



## NORTH CAROLINA AUCTIONEER LICENSING BOARD

**CHAIRMAN:**  
MELINDA Q. PORTER  
PLYMOUTH, NC

**VICE CHAIRMAN**  
T. KYLE SWICEGOOD  
MOCKSVILLE, NC

108 Ber Creek Drive  
Fuquay-Varina, North Carolina 27526  
(919) 567-2844 Fax (919) 567-2865

Charles F. Diehl, EXECUTIVE DIRECTOR

**BOARD MEMBERS:**

DANIEL H. DEVANE  
GARNER, NC

JOHN M. HARRIS  
WINSTON-SALEM, NC

BUCK LATTIMORE  
RALEIGH, NC

October 24, 2025

### ANNUAL REPORT

Fiscal Year 2024-2025

The following is submitted in compliance with Chapter 93B-2 of the General Statutes for the period ending June 30, 2025.

1. The address of the Board and the names of its members and officers are as listed:

N. C. Auctioneer Licensing Board  
108 Ber Creek Drive  
Fuquay-Varina, North Carolina 27526

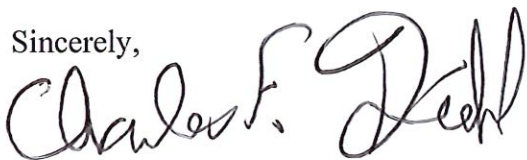
Chairman: Melinda Q. Porter  
Vice Chairman: T. Kyle Swicegood  
Board Members: John M. Harris  
Daniel DeVane  
Buck Lattimore

- 1a. The total number of licensees supervised by the Board is 2,287. 1,758 are Auctioneers; 13 are Apprentice Auctioneers; and 516 are Auction Firms.
2. 67 persons applied to the Board for the auctioneer examination during the 2024-2025 fiscal year. 25 persons applied to the Board for the auction firm examination during the 2024-2025 fiscal year.
3. 0 persons were refused the written examination. 0 persons withdrew their auctioneer applications. 1 entity withdrew their auction firm application.
4. 67 persons took the auctioneer examination during the 2024-2025 fiscal year. 25 persons took the auction firm examination during the 2024-2025 fiscal year.
5. The number to whom initial licenses were issued was 140. 73 auctioneers were issued initial licenses through examination; 15 auctioneers were issued initial licenses through reciprocity; 4 apprentice auctioneers were issued initial licenses; and 48 auction firms were issued initial licenses.

- 5a. 12 persons failed the auctioneer examination once; 2 persons failed the auctioneer examination twice; 11 persons failed the auction firm examination once; and 1 person failed the auction firm examination twice.
- 6. 15 persons applied for a license by reciprocity or comity.
- 7. 15 persons were granted a license by reciprocity or comity.
- 7a. 11 official complaints were received involving licensed and unlicensed activities.
- 7b. 9 disciplinary actions were taken against licensees; 1 non-disciplinary action (letter of caution) was taken against a licensee; and 0 non-disciplinary actions were taken against non-licensees.
- 8. 0 licenses were revoked; 2 licenses under complaint investigations were voluntarily surrendered by the licensees prior to any final agency decision, 2 licenses were suspended; 4 civil penalties were issued to licensees; and 3 licensees were reprimanded. (These figures include disciplinary actions from complaint cases from the previous reporting year that had not been issued prior to June 30 of that year.)
- 9. 12 licenses were terminated due to death, and 4 licenses were permanently retired.
- 9a. 140 persons applied for licensure. Of that number, 132 were granted a license.
- 9b. There were 13 applicants with a conviction record. Of that number, 13 were granted a license. Overall, a total of 3 applicants were denied a license for any reason (failure to forthrightly disclose material criminal history matters). None of these applicants were denied licenses because of one or more criminal convictions per the provisions of G.S. 93B, but rather their applications were denied due to their failure to disclose relevant criminal history information. Each of these three applicants who were initially denied licensure submitted new applications with accurate answers pertaining to criminal history matters, and licenses were subsequently approved and issued to all three.
- 9c. There were 0 applications for licensure from military trained applicants. 0 licenses were granted to military trained applicants, and 0 military trained applicants were denied licenses. There were 0 applications for licensure from known active-duty military applicants. 0 licenses were granted to known active duty military applicants, and 0 known active-duty military applicants were denied licenses. There were 2 applications for initial licensure from known military veteran applicants. 2 licenses were granted to known military veteran applicants. There were 0 license renewal applications from known active-duty military applicants. There were 192 license renewal applications from known military veterans, all of which were approved.
- 9d. There were 0 applications for licensure from military spouse applicants. 0 licenses were granted to military spouse applicants, and 0 military spouse applicants were denied licenses.
- 10. At this time, there are no anticipated requests by the North Carolina Auctioneer Licensing Board to the General Assembly to amend statutes related to Auctioneer Law.
- 11. As of the effective date of this FYE June 30, 2025 Annual Report there were no significant changes in the preceding 12 months to the rules adopted by the North Carolina Auctioneer Licensing Board.

Attached is a financial report that includes the source and amount of all funds credited to the North Carolina Auctioneer Licensing Board and the purpose and amount of all funds disbursed by the North Carolina Auctioneer Licensing Board during the previous 12-month period.

Sincerely,

A handwritten signature in black ink, appearing to read "Charles F. Diehl". The signature is fluid and cursive, with the first name "Charles" and last name "Diehl" clearly distinguishable.

Charles F. Diehl  
Executive Director





North Carolina Financial System  
Office of State Controller  
NC Budget to Actual (701) Certified Report (RPT-BE-006)  
10/21/2025 11:12:23 AM

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Parameters	Agency	Budget Code	Period	Report Mode
All	All	All	13-Jun-25	All Sections

B500-AUCTIONEER LICENSING BOARD												
28106-AUCTIONEER LICENSING BOARD												
*** Summary by Account ***												
Account	Funding Source	Inter Fund	Description	Budgeted		Actual		Unexpended/Unrealized		Encumbrances		Rate
				Certified	Authorized	Current-Month	Year-To-Date	Certified	Authorized	Obligations	Unpaid Invoices + Inventory Receipts	
Expenditures-Budget and Actual												
51110000	2000		EPA-REG SALARIES-RECEIPTS	176,170.00	179,534.00	(176,553.00)	0.00	176,170.00	179,534.00	0.00	0.00	0.00
51310000	2000		REG(N S) TEMP WAGES-RECEIPTS	10,423.00	1,456.00	0.00	0.00	10,423.00	1,456.00	0.00	0.00	0.00
51400000	2000		EP&SPA-LONGVTY PAY-RECEIPTS	1,527.00	3,829.00	(3,155.00)	0.00	1,527.00	3,829.00	0.00	0.00	0.00
51470000	2000		BONUS-INCENTIVE PAY-RECEIPTS	2,062.00	2,062.00	0.00	0.00	2,062.00	2,062.00	0.00	0.00	0.00
51510000	2000		SOCIAL SEC CONTRIB-RECEIPTS	15,943.00	15,020.00	(13,620.00)	0.00	15,943.00	15,020.00	0.00	0.00	0.00
51520000	2000		REG RETIRE CONTRIB-RECEIPTS	44,791.00	45,151.00	(43,209.00)	0.00	44,791.00	45,151.00	0.00	0.00	0.00
51530000	2000		LEO RETIRE CONTRIB-RECEIPTS	4,330.00	4,918.00	(4,917.23)	0.00	4,330.00	4,918.00	0.00	0.00	0.00
51560000	2000		MED INS CONTRIB-RECEIPTS	16,150.00	16,150.00	(16,189.92)	0.00	16,150.00	16,150.00	0.00	0.00	0.00
51576000	0000		FLEXIBLE SPENDING SVG ACCT-UNDESIGNATED	50.00	0.00	0.00	0.00	50.00	0.00	0.00	0.00	0.00
51576000	2000		FLEXIBLE SPENDING SVG ACCT-RECEIPTS	0.00	50.00	(8.28)	0.00	0.00	50.00	0.00	0.00	0.00
51651000			COMPENSATION TO BOARD MEM	8,800.00	11,076.00	(11,074.65)	0.00	8,800.00	11,076.00	0.00	0.00	0.00
51699999			PERSONAL SERVICES	280,286.00	280,286.00	(268,227.82)	0.00	280,286.00	280,286.00	0.00	0.00	0.00
52109999			PURCHASED CONTRACTUAL SERVICES	79,920.00	81,948.00	(67,288.55)	0.00	79,920.00	81,948.00	0.00	0.00	0.00
52209999			UTILITY/ENERGY SERVICES	2,750.00	5,524.00	(5,523.03)	0.00	2,750.00	5,524.00	0.00	0.00	0.00
52409999			MAINTENANCE AGREEMENTS	100.00	745.00	(729.41)	0.00	100.00	745.00	0.00	0.00	0.00
52509999			RENTALS/LEASES	3,600.00	3,362.00	(3,361.89)	0.00	3,600.00	3,362.00	0.00	0.00	0.00
52709999			TRAVEL & OTHER EMPLOYEE EXPENSE	10,146.00	8,077.00	(7,755.42)	0.00	10,146.00	8,077.00	0.00	0.00	0.00
52809999			COMMUNICATION AND IT SERVICES	6,173.00	12,405.00	(12,313.48)	0.00	6,173.00	12,405.00	0.00	0.00	0.00
52909999			OTHER SERVICES	5,134.00	5,979.00	(5,977.03)	0.00	5,134.00	5,979.00	0.00	0.00	0.00
52999999			PURCHASED SERVICES	107,223.00	118,640.00	(102,948.81)	0.00	107,223.00	118,640.00	0.00	0.00	0.00
53099999			SUPPLIES	2,200.00	5,609.00	(5,608.41)	0.00	2,200.00	5,609.00	0.00	0.00	0.00
54099999			PROPERTY, PLANT & EQUIPMENT	1,000.00	1,072.00	(1,071.26)	0.00	1,000.00	1,072.00	0.00	0.00	0.00
55099999			OTHER EXPENSES AND ADJUSTMENTS	4,600.00	3,098.00	(3,652.56)	0.00	4,600.00	3,098.00	0.00	0.00	0.00
58030000		201185	FINE/PENALTY/FORFEIT-TRAN-GOV 2301	3,350.00	3,350.00	(3,350.00)	0.00	3,350.00	3,350.00	0.00	0.00	0.00
58099999			CIVIL PENALTY & FORFEIT BC 23005	3,350.00	3,350.00	(3,350.00)	0.00	3,350.00	3,350.00	0.00	0.00	0.00
58099999			INTRAGOVERNMENTAL TRANSACTIONS									
			Expenditures	398,659.00	410,855.00	(383,659.26)	0.00	398,659.00	410,855.00	0.00	0.00	0.00
			Total Cash Expenditures	398,659.00	410,855.00	(383,659.26)	0.00	398,659.00	410,855.00	0.00	0.00	0.00



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				Certified	Authorized	Current-Month	Year-To-Date	Certified	Authorized	Obligations	Unpaid Invoices + Inventory Receipts
Revenues-Estimated and Actual											
43121000			STIP INT INC-NONOP-PROGRAM	6,400.00	6,400.00	(18,218.33)	0.00	6,400.00	6,400.00	0.00	0.00
45100000			INDIVIDUAL LICENSE	298,868.00	298,868.00	(247,025.00)	0.00	298,868.00	298,868.00	0.00	0.00
45100062			BUS/SHOP/SALON PERMIT	61,191.00	64,955.00	(68,550.00)	0.00	61,191.00	64,955.00	0.00	0.00
45300015			EXAMINATION FEES	4,000.00	4,000.00	(3,500.00)	0.00	4,000.00	4,000.00	0.00	0.00
45300019			APPRENTICE CERTIFICATES	1,450.00	1,450.00	(1,000.00)	0.00	1,450.00	1,450.00	0.00	0.00
45500000			PINES PENAL ASSESS FEE	3,350.00	3,350.00	(3,350.00)	0.00	3,350.00	3,350.00	0.00	0.00
45900000			OTHER LIC FEES/PERMITS	18,000.00	18,000.00	(6,200.00)	0.00	18,000.00	18,000.00	0.00	0.00
45900021			APPLICATION FEE	5,300.00	5,300.00	(6,287.00)	0.00	5,300.00	5,300.00	0.00	0.00
47900000			OTHER MISC REV-PROGRAM	100.00	100.00	0.00	0.00	100.00	100.00	0.00	0.00
			Revenues	398,659.00	402,423.00	(354,530.33)	0.00	398,659.00	402,423.00	0.00	0.00
			Total Cash Revenues	398,659.00	402,423.00	(354,530.33)	0.00	398,659.00	402,423.00	0.00	0.00
			Increase/(Decrease) in Fund Balance	0.00	(8,432.00)	29,128.93	0.00	0.00	(8,432.00)	0.00	0.00
Cash Analysis											
			Beginning Balance				457,399.55				
			+ Allowments				0.00				
			+ Cash Receipts				0.00				
			- Cash Disbursements				0.00				
			+ Assets				0.00				
			+ Liability				0.00				
			+ Equity				(29,128.93)				
			= Ending Balance				428,270.62				
Additional Assets											
			Total Availability				428,270.62				