



**STATE OF NORTH CAROLINA  
OFFICE OF STATE BUDGET AND MANAGEMENT**

BEVERLY EAVES PERDUE  
GOVERNOR

ANDY WILLIS  
STATE BUDGET DIRECTOR

September 23, 2011

MEMORANDUM

TO: Senator Phil Berger, President Pro-Tempore of the Senate  
Representative Thom Tillis, Speaker of the House of Representatives

FROM: Andy Willis  
State Budget Director

A handwritten signature in black ink, appearing to read "Andy Willis", written over the printed name and title.

SUBJECT: Consultation on Expenditure of Grant Awards

Pursuant to Section 5.2 of Session Law 2011-0145 (House Bill 200), the Office of State Budget and Management is to report to the Joint Legislative Commission on Governmental Operations prior to expending funds received from grant awards. Funding is anticipated to be received and expended for grants included in the attached Notifications of Application for Grant Funds/Awards.

If you have any questions or concerns, please contact me by telephone 919-807-4717 or email to [andy.willis@osbm.nc.gov](mailto:andy.willis@osbm.nc.gov).

Thank you.

AWkl

## Notification of Application for Grant Funds/Awards, 2010-11

Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8005, 919-807-4700.  
Instructions at [http://www.osbm.state.nc.us/files/pdf\\_files/grants\\_instr.pdf](http://www.osbm.state.nc.us/files/pdf_files/grants_instr.pdf)

Department of Environment and Natural Resources

DWQ

- 1 Department .....
- 2 Division (except in DHHS) .....
- 3 DHHS only, choose division from drop down list .....
- 4 Contact person (name) .....
- 5 Phone number .....
- 6 E-mail .....
- 7 Funding Entity (grantor) .....

Larry Sutton  
807-6320  
larry.sutton@ncdenr.gov  
US EPA

- 7 CFDA number .....
- 8 Grant title .....

Water Pollution Control - State and Interstate Program Grants

66 419

- 9 Grant application deadline (MM/DD/YY) .....

09/30/10

- 10 Start date of grant (MM/DD/YY) .....
- 11 End date of grant (MM/DD/YY) .....

10/01/11

09/30/13

- 12 Application type .....

Continuation/renewal

- 13 Is this grant already in agency's continuation budget?

No

- 14 Budget code the grant will be expended in (XXXX) .....

14300

- 15 Fund code (XXXX or NA) .....

1650

- 16 Is there a state matching requirement?

No

- 17 If yes, what is the matching requirement?

- 18 If yes, what is the source of state funds being used to match grant funds .....

- 19 Is there a maintenance of effort (MOE) requirement?

No

- 20 If yes, what is the MOE? .....

- 21 Is an additional General Fund appropriation required to meet the state match requirement? .....

No

- 22 Will any of these funds be passed through to local governments or non-state entities? .....

No

- 23 If yes, identify affected entities by type .....

- 24 Will additional state monies be required to continue the program if grant expires or is reduced?

No

- 25 If yes, is this a requirement of the grant?

No

- 26 Are new FTEs funded through the grant?

No

For 2010-11

SFY 2009-10

SFY 2010-11

SFY 2010-11

SFY 2011-12

SFY 2012-13

SFY 2013-14

Actual

Authorized

Proposed

Proposed

Proposed

Proposed

- 27 If yes, give the number by type for each year: Permanent

Time-Limited

- 28 Amount of grants funds applied for in each year .....

\$1,085,300.00

- 29 Amount of grants funds awarded in each year .....

- 30 Purpose of grant or amendment .....

To implement the ground water pollution control programs, CWA 106.

- 31 Comments .....

Required signatures are being obtained

Return completed form as email attachment and indicate in message that proper agency sign-offs have been obtained. Contact your OSBM budget analyst if you have questions.

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- 23 Will any of these funds be passed through to local governments or non-state entities? .....
- 24 If yes, identify affected entities by type .....
- 25 Will additional state monies be required to continue the program if grant expires or is reduced? .....
- 26 If yes, is this a requirement of the grant? .....
- 27 Are new FTEs funded through the grant?.....
- 28 If yes, give the number by type for each year: Permanent
- 29 Time-Limited
- 30 Amount of grants funds applied for in each year .....
- 31 Amount of grants funds awarded in each year .....
- 32 Purpose of grant or amendment .....
- 33 Comments .....

Department of Environment and Natural Resources  
Div of Water Quality

Larry Sutton

807.6320

larry.sutton@ncdenr.gov

Environmental Protection Agency

66.419

Water Pollution Control - State and Interstate Program Grants

09/01/10

10/01/10

09/30/12

Continuation/renewal

Yes

14300

1690

Yes

In Kind \$3,157,055

In Kind

No

No

No

No

No

No

SFY 2009-10

Actual

For 2010-11

Complete either Authorized or Proposed

Authorized      Proposed

SFY 2011-12

Proposed

SFY 2012-13

Proposed

SFY 2013-14

Proposed

Time-Limited

\$6,150,300.00

This grant will allow the implementation of the surface pollution control programs associated with the Clean Water Act 106.

Appropriate signatures have been obtained

Return completed form as email attachment and indicate in message that proper agency sign-offs have been obtained. Contact your OSBM budget analyst if you have questions.