

### STATE OF NORTH CAROLINA OFFICE OF STATE BUDGET AND MANAGEMENT

BEVERLY EAVES PERDUE GOVERNOR

ANDY WILLIS
STATE BUDGET DIRECTOR

September 2, 2011

### **MEMORANDUM**

TO: Senator Phil Berger, President Pro-Tempore of the Senate

Representative Thom/Tillis, Speaker of the House of Representatives

FROM: Andy Willis

State Budget Director

SUBJECT: Consultation on Expenditure of Grant Awards

Pursuant to Section 5.4 of Session Law 2010-31 (Senate Bill 897), the Office of State Budget and Management is to report to the Joint Legislative Commission on Governmental Operations prior to expending funds received from grant awards. Funding is anticipated to be received and expended for grants included in the attached Notifications of Application for Grant Funds/Awards.

If you have any questions or concerns, please contact me by telephone 919-807-4717 or email to andy.willis@osbm.nc.gov.

Thank you.

 $AW \hspace{-0.5em}\backslash kl$ 

# Notification of Application for Grant Funds/Awards, 2011-12 Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8005, 919-807-4700.

Department DHHS).  Division (except in DHHS).  DHHS only, choose division from drop down list Contact person (name)  Phone number  E-mail  Funding Entity (grantor)  CFDA number  Grant title  Grant application deadline (MM/DD/YY)  Start date of grant (MM/DD/YY)  End date of grant (MM/DD/YY)  End date of grant (MM/DD/YY)	Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8005, 919-807-4700 Instructions at http://www.osbm.state.nc.us/files/grants_instr.pdf Department of Agriculture and Consumer Services Plant Industry (12-008Pt) Stephen P. Schmidt 919-733-8930 Stephen.schmidt@ncagr.gov USDA_APHIS 10.025 Asain Defoliator Survey  08/15/11 09/15/12 New	VC 27603-8005, 919-807-4700.	7	
9 Grant application deadline (MM/DD/YY)  10 Start date of grant (MM/DD/YY)  11 End date of grant (MM/DD/YY)  12 Application type  13 Is this grant already in agency's continuation budget?  14 Budget code the grant will be expended in (XXXXX)  15 Fund code (XXXX or NA)  16 Is there a state matching requirement?  17 If yes, what is the matching requirement?	08/15//1 09/16//1 09/15//2 New No 13700 TBD Yes \$1,539.00			
18 If yes, what is the source of state funds being used to match grant funds	No			
21 Is an additional General Fund appropriation required to meet the state match requirement?  22 Will any of these funds be passed through to local governments or non-state entities?  23 If yes, identify affected entities by type  24 Will additional state monies be required to continue the program if grant expires or is reduced?  25 If yes, is this a requirement of the grant?	No No			
Per	SFY 2010-11 Complete either Authorized or Proposed SFY 2010-11 SFY 2011-12 SFY 2011-1:  Actual Authorized Proposed	orized or Proposed SFY 2011-12 Proposed Proposed	SFY 2012-13 Proposed	
Time-Limited 28 Amount of grants funds <u>applied for</u> in each year	To survey for Asian defoliator moths at ports of entry in North Carolina	\$23,912.00 th Carolina.		
31 Comments	This is the preaward. Amt applied for \$23,912, Cooperative Agreement #(11-8237-0812-CA), Copies to Donna Cox, Catherine Stogner, Terri Overton 8/11/11 ADM. This is the post award amt awarded \$23.912. Copies to Donna cox, Catherine Stogner, Terri Overton 8/29/11 ADM.	\$23,912, Cooperative Agreement #(11-8237-0812-CA). Copies to Don Copies to Donna cox, Catherine Stogner, Terri Overton 8/29/11 ADM	(s) Copies to Donna ton 8/29/11 ADM	3 Cox, C
recurr completed furth as etital attachment and indicate in message that proper agency sign-offs have been obtained. Contact your OSBM budget analyst if you have questions	agency sign-offs have been obtained. Contact your OSBI	M budget analyst if you have qu	estions.	

### Notification of Application for Grant Funds/Awards, 2011-12 Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8005, 919-807-4700. Instructions at http://www.osbm.state.nc.us/files/pdf\_files/grants\_instr.pdf

31 Comments	Time-Limited	S If yes, give the number by type for each year: Permanent	≥ ₽ 5	21 Is an additional General Fund appropriation required to meet the state match requirement?	18 If yes, what is the source of state funds being used to match grant funds	/s continuation budget? expended in (XXXXX) i/rement?	9 Grant application deadline (MM/DD/YY) 08/15/11 10 Start date of grant (MM/DD/YY) 09/16/11 11 End date of grant (MM/DD/YY) 09/16/11 12 Application type 08/15/12	7 CFDA number 10.025 8 Grant title Tomate	5 Funding Entity (grantor) Stephic USDA.	DHHS only, choose division from drop down list  Contact person (name)	1 Department Departmen
This is the preaward. Amt requested \$27,932. Agreement # (11-8237-0810-CA). Copies to Donna Cox, Catherine Stogner, Teri Overton 8/11/11 ADM. This is the Post Award. Amt awarded \$27,932. Copies to Donna Cox, Catherine Stogner, Teri Overton 8/20/11 ADM.	\$27,932.00	SFY 2010-11  SFY 2011-12  Actual  Authorized  For 2011-12  For 2011-12  Froposed  For 2011-12  Froposed  Froposed				7.00	M1 M1 M2	10.025 Tomato Commodity - Tuta absoluta Survey Cooperative	919-733-5930 <u>stephen schmidt@ncagr.gov</u> USDA, APHIS, PPQ	Stephen P. Schmidt	Department of Agriculture and Consumer Services
es to Donna Cox, Catherine S		SFY 2012-13 Proposed						J			
togner. Teri Overton 8/11/11		SFY 2013-14 Proposed									
ADM This is the Post		SFY 2014-15 Proposed									финанський при

### 12 Application type 13 Is this grant already in agency's continuation budget? 14 Budget code the grant will be expended in (XXXXX)... 15 Fund code (XXXXX or NA)... 16 Is there a state matching requirement? 17 If yes, what is the matching requirement? 28 Amount of grants funds <u>applied for</u> in each year 29 Amount of grants funds <u>awarded</u> in each year ... 30 Purpose of grant or amendment ...... 25 If yes, is this a requirement of the grant26 Are new FTEs funded through the grant? 24 Will additional state monies be required to continue the 31 Comments 27 23 22 Will any of these funds be passed through to local govern-21 Is an additional General Fund appropriation required to meet 19 20 <u>~</u>` 9 Grant application deadline (MM/DD/YY) 10 Start date of grant (MM/DD/YY) ......... 11 End date of grant (MM/DD/YY) ....... 7 CFDA num 8 Grant title . 3 Contact person (name) ... Is there a maintenance of effort (MOE) requirement? ..... program if grant expires or is reduced? ... CFDA number... Department ments or non-state entities? the state match requirement? completed form as email attachment and indicate in message that proper agency sign-offs have been obtained. Contact your OSBM budget analyst if you have questions. If yes, give the number by type for each year: If yes, is this a requirement of the grant? If yes, identify affected entities by type If yes, what is the MOE? If yes, what is the source of state funds being used If yes, what is the matching requirement? ...... End date of grant (MM/DD/YY) ... Phone number DHHS only, choose division from drop down list. to match grant funds. Notification of Application for Grant Funds/Awards, 2011-12 Permanent Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8005, 919-807-4700, Instructions at http://www.osbm.state.nc.us/files/gdf\_files/grants\_instr.pdf Time-Limited This is the preaward. Amount applied for \$12,000. (11-8237-0805-CA). Copies to Donna Cox, Catherine Stogner, Terri Overton. 8/30/11 ADM To determine which bee dieseases/parasites/pests/ of honey bees are and are not present in the US, also to investigate potential causes of Colony Collapse Disorder \$1,486.00 Farm Bill Honey Bee Survey stephen.schmidt@ncagr.gov USDA, APHIS, PPQ 919-733-6930 Plant Industry (12-015-PI) Department of Agriculture and Consumer Services 08/31/11 Stephen Schmidt 7/01/11 SFY 2010-11 Actual SFY 2011-12 Authorized Complete either Authorized or Proposed For 2011-12 SFY 2011-12 Proposed \$12,000.00 SFY 2012-13 Proposed SFY 2013-14 Proposed SFY 2014-15 Proposed

### Notification of Application for Grant Funds/Awards, 2010-11 Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8005, 919-807-4700.

Office of State	Office of State Budget and Management, 116 West Jones Street, Raieigh, NC 27603-8005, 919-807-4700	est Jones Street, Raleigh, NC	27603-8005, 919-807-470	0.		
	Department of Environment and Natural Resources	id Natural Resources	instr.pdf		enema de la medica popular acuanda se principa qui principa annoma principa del mande de la companya de la belanda	
2 Division (except in DHHS)	Division of Coastal Management	nt				
9	Affinur Stadiem					
5 E-mail	arthur stadiem@nodenr	At the first of				
Funding Entity (grant	NOAA	GCV				
7 CFDA number	11.419					
	Implementation of Coastal Zone Requirements	one Requirements				
9 Grant application deadline (MM/DD/YY)	DAIDAIAA					
	07/01/11					
11 End date of grant (MM/DD/YY)	12/31/12					
₹	Revision					
13 Is this grant already in agency's continuation budget?	No	CONTRACTOR OF THE PROPERTY OF				
14 Budget code the grant will be expended in (XXXXX)	14300					
15 Fund code (XXXX or NA)	1625		Control de la Co			
(s)	Yes					
17 If yes, what is the matching requirement?	\$90,000.00					
18 If yes, what is the source of state funds being used to match grant funds	General Fund					
CO	No					
to a yes, what is the MCE?						
21 Is an additional General Fund appropriation required to meet the state match requirement?	No					
22 Will any of these funds be passed through to local governments or non-state entities?	No					
23 If yes, identify affected entities by type	local govt					
Will additional state monies be required to continue the	V					
program if grant expires or is reduced?	Yes					
25 If yes, is this a requirement of the grant?	No					
20 Are new Files funded through the grant?	No o					
		For 2010-11  Complete <u>either</u> Authorized or Proposed	nized or Proposed			
	SFY 2009-10	SFY 2010-11	SFY 2010-11	SFY 2011-12	SFY 2012-13	SEV 2013-14
	Actual	Authorized	Proposed	Proposed	Proposed	Proposed
27 If yes, give the number by type for each year: Permanent						Management of the second secon
Time-Limited						e des français au manados and persona por proposition de la companya de la manada de la companya de la companya
28 Amount of grants funds applied for in each year	\$2,427,000.00	\$2,422,000.00		\$90,000.00		
29 Amount of grants funds <u>awarded</u> in each year	\$2,427,000.00	\$2,422,000.00				Andromana arabı, epocentalı barracıy, biqqiyleri balıklarılı değiriye gere
30 rulpose or grant or amendment	This grant funds operations of Coastal Management Division oversight and adminitration. Also, it funds minor permits to local communities	Coastal Management Division	oversight and adminitration	n. Also, it funds minor permits	to local communities.	
31 Comments			WITT STREET, WITH COLUMN AND WAS TO STREET, WHITE COLUMN AND WAS TO STREET, WHITE COLUMN AS A STREET, WHITE COLUMN AS			
A. Comments	It is flat to break the grant amount into each year because the majority of the funds are spent the first year on general operations. The programs can finish the first ry or within 18 months so it would spread the program payments into two FYs. The centers that will use this great money are 1625.1625.06254/66255/-1812. The match will be from 1625.635.1 1625.6271, 1625.6253, 1625.6253 and from Division of Marine Fisheries & Division of Environmental Health - Shellfish Sanitation. The Division Directors approved the application of funds for the division. This is the same as last year but gould change based on the federal history. There is An ADDITIONAL AND CHARGE.	ount into each year because to sread the program payments in 325 6253, 1625 6295 and from ds for the division. This is the	the majority of the funds are not two FYs. The centers the Division of Marine Fisherical same as last year but could	spent the first year on generathat will use this grant money as & Division of Enviromental change hassed on the federal	In the first year on general operations. The programs can finish the first ty or will use this great money are 1625-16252/6254/6255/-1812. The match will be Division of Environmental Health - Shelffish Sanitation. The Division Division of Environmental Health - Shelffish Sanitation. The Division Division of Environmental Health - Shelffish Sanitation. The Division Division of Environmental Health - Shelffish Sanitation. The Division Division of Environmental Health - Shelffish Sanitation.	ons. The programs can finish the first ry or 6252/6254/6255/-1812. The match will be hellfish Sanitation. The Division Director har this is an arrotation.
there completed from second effective than the second seco	FUNDS MADE AVAILABLE FOR THIS FY. The total amount for this grant is \$2,481,000.	R THIS FY. The total amount	for this grant is \$2,481,000			
message that proper agency sign-offs have been obtained. Contact your OSBM budget analyst if you have questions.	per agency sign-offs have been	obtained. Contact your OSBN	A budget analyst if you have	questions.	A CONTRACTOR OF THE CONTRACTOR	

## Notification of Application for Grant Funds/Awards, 2010-11 Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8005, 919-807-4700. Instructions at http://www.osbm.state.nc.us/files/grafts\_instr.pdf

	Department of Environment and Natural Resources	Natural Resources		****		
2 Division (except in DHHS)	Division of Coastal Management					
3 Contact person (name)	Ather Civilian					
	252-808-2808 ext 232	AND THE REAL PROPERTY OF THE P				
5 E-mail	arthur.stadiem@ncdenr.gov	V				
CFDA number	44 44 44 44 44 44 44 44 44 44 44 44 44					
	Implementation of Coastal Zone Requirements	ne Requirements				
9 Grant application deadline (MM/DD/YY)	04/04/11					
ŝ	07/01/11					
11 End date of grant (MM/DD/YY)	12/31/12					
` ≱	Continuation/renewal					
13 Is this grant already in agency's continuation budget?	No					
Œ	14300					
Turiu code (XXXX or IVA)	1625					
S	Yes					
17 If yes, what is the matching requirement?	\$1,993,000.00					
18 If yes, what is the source of state funds being used to match grant funds.	General Fund					
19 Is there a maintenance of effort (MOE) requirement? 20 If yes, what is the MOE?	No					
21 Is an additional General Fund appropriation required to meet the state match requirement?	No					
22 Will any of these funds be passed through to local governments or non-state entities?	Z <sub>0</sub>					
23 If yes, identify affected entities by type	local govt	The second secon				
p 5	Yes					
25 If yes, is this a requirement of the grant?	No					
20 Are flew riles luffded through the grant?	No					
		Complete either Authorized or Proposed	ized or Proposed			
	SFY 2009-10	SFY 2010-11		SFY 2011-12	SFY 2012-13	SFY 2013-14
	Actual	Authorized	Proposed	Proposed	Proposed	Proposed
Time-I imited by type tot each year. Permanent	D. C.					
28 Amount of grants funds applied for in each year	\$2,427,000.00	\$2,422,000.00		\$2,422,000.00		
29 Amount of grants funds <u>awarded</u> in each year	\$2,427,000.00	\$2,422,000.00		\$2,391,000.00		
30 Purpose of grant or amendment	This grant funds operations of Coastal Management Division oversight and adminitration.	oastal Management Division	oversight and adminitration	Also, it fun	to local communities.	чения в серез на пределения в терез на пределения в пределения в пределения в пределения в пределения в пределе
34 Comparts	The state of the s					
Comments	It is nard to break the grant amount into each year because the majority of the funds are spent the first year on general operations. The programs can finish the first fy or within 18 months so it would spread the program payments into two FYs. The centers that will use this grant money are 1625 16252/6254/6256/6256/6262/-1811. The match will be from 1625 6251, 1625 6253, 1625 6253, 1625 6295 and from Division of Marine Fisheries & Division of Environmental Health. Shallfish Sanitation. The Division Director has approved the application of funds for the division. This is the same as last were this could be the control to the first that the division of the formal through the program of the first through the formal through the first through through the first through through the first through through through the first through through the first through through through	unt into each year because the sad the program payments in 525 6271, 1625 6253, 1625 for the application of funds for the	ne majority of the funds are into two FY's. The centers it 295 and from Division of M division. This is the same	ount into each year because the majority of the funds are spent the first year on general operations. The programs can finish the first pread the program payments into two FYs. The centers that will use this grant money are 1625 1625/2/62564/6256/6256/6256/6256/6256/625	al operations. The programs are 1625 16252/6254//6255// Enviromental Health - Shellfi	can finish the first fY or 5256/6262/-1811. The sh Sanitation. The
Return completed form as email attachment and indicate in message that proper agency sign-offs have been obtained. Contact your OSBM budget analyst if you have questions	per agency sign-offs have been o	btained. Contact your OSBM	budget analyst if you have	questions.		
		000000000000000000000000000000000000000	padget at alyat II you slave	drest out		