



STATE OF NORTH CAROLINA
OFFICE OF STATE BUDGET AND MANAGEMENT

BEVERLY EAVES PERDUE
GOVERNOR

ANDY WILLIS
STATE BUDGET DIRECTOR

November 10, 2011

MEMORANDUM

TO: Senator Phil Berger, President Pro-Tempore of the Senate
Representative Thom Tillis, Speaker of the House of Representatives

FROM: Andy Willis
State Budget Director

SUBJECT: Consultation on Expenditure of Grant Awards

Pursuant to Section 5.2 of Session Law 2011-0145 (House Bill 200), the Office of State Budget and Management is to report to the Joint Legislative Commission on Governmental Operations prior to expending funds received from grant awards. Funding is anticipated to be received and expended for grants included in the attached Notifications of Application for Grant Funds/Awards.

If you have any questions or concerns, please contact me by telephone 919-807-4717 or email to andy.willis@osbm.nc.gov.

Thank you.

AWkl



Notification of Application for Grant Funds/Awards, 2011-12

Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8005, 919-807-4700
Instructions at http://www.osbm.state.nc.us/files/pdf_files/grants_instir.pdf

- 1 Department
- 2 Division (except in DHHS)
DHHS only, choose division from drop down list.
- 3 Contact person (name)
- 4 Phone number
- 5 E-mail
- 6 Funding Entity (grantor)

- 7 CFDA number
- 8 Grant title

- 9 Grant application deadline (MM/DD/YY)
- 10 Start date of grant (MM/DD/YY)
- 11 End date of grant (MM/DD/YY)
- 12 Application type
- 13 Is this grant already in agency's continuation budget?
- 14 Budget code the grant will be expended in (XXXX)
- 15 Fund code (XXXX or NA)
- 16 Is there a state matching requirement?
- 17 If yes, what is the matching requirement?

- 18 If yes, what is the source of state funds being used to match grant funds?
- 19 Is there a maintenance of effort (MOE) requirement?
- 20 If yes, what is the MOE?

- 21 Is an additional General Fund appropriation required to meet the state match requirement?
- 22 Will any of these funds be passed through to local governments or non-state entities?
- 23 If yes, identify affected entities by type
- 24 Will additional state monies be required to continue the program if grant expires or is reduced?
- 25 If yes, is this a requirement of the grant?
- 26 Are new FTEs funded through the grant?

- 27 If yes, give the number by type for each year: Permanent
- 28 Amount of grants funds applied for in each year
- 29 Amount of grants funds awarded in each year
- 30 Purpose of grant or amendment

- 31 Comments

Department of Environment and Natural Resources
Division of Marine Fisheries
Kelly Price
252-808-8168
Kelly Price@ncdent.gov
National Fish and Wildlife Foundation

Characterization of NC's Commercial Shrimp Trawl Fishery

10/24/11
03/01/12
02/28/15
New
No
14300
1320
Yes
\$60,021.00

In Kind

No

No

No

No

No

For 2011-12

SFY 2010-11

SFY 2011-12

SFY 2011-12

SFY 2012-13

SFY 2013-14

SFY 2014-15

Actual

Authorized

Proposed

Proposed

Proposed

Proposed

Time-Limited

\$122,329.00

\$122,329.00

\$122,329.00

Proposed funds for this project will be used to characterize the NC commercial shrimp trawl fishery with a goal of 150 observed fishing days per year. These observations will be used to collect information specific to turtle interactions, turtle excluder devices (TED) compliance, and to improve the understanding of shrimp trawl gear and their impacts on sea turtle populations. This information is necessary as the NC Sea Turtle Advisory Committee listed shrimp trawls as a gear of primary concern due to a history of turtle interactions. Total grant \$365,987.

This application of funds has been approved by the Division Director. If approved this project grant will require a new revenue account that is not in the continuation budget. Match for this project will come from already established appropriated positions charging time to the grant. Line 17 shows a match of \$60,021.00. This is for the 3 years of the Wildlife Foundation (NFWF).

Return completed form as email attachment and indicate in message that proper agency sign-offs have been obtained. Contact your OSBM budget analyst if you have questions.



Notification of Application for Grant Funds/Awards, 2011-12

Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8005, 919-807-4700.
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- 11 End date of grant (MM/DD/YY)
- 12 Application type
- 13 Is this grant already in agency's continuation budget?
- 14 Budget code the grant will be expended in (XXXX)
- 15 Fund code (XXXX or NA)
- 16 Is there a state matching requirement?
- 17 If yes, what is the matching requirement?
- 18 If yes, what is the source of state funds being used to match grant funds
- 19 Is there a maintenance of effort (MOE) requirement?
- 20 If yes, what is the MOE?
- 21 Is an additional General Fund appropriation required to meet the state match requirement?
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- 25 If yes, is this a requirement of the grant?
- 26 Are new FTEs funded through the grant?
- 27 If yes, give the number by type for each year
- 28 Amount of grants funds applied for in each year
- 29 Amount of grants funds awarded in each year
- 30 Purpose of grant or amendment
- 31 Comments

Department of Environment and Natural Resources

Division of Marine Fisheries

Kelly Price

252-808-8168

Kelly Price@ncdent.gov

National Fish and Wildlife Foundation

MC RIVER HERRING HABITAT RESTORATION (CULVERT REPLACEMENT)

10/24/11

01/01/12

06/30/13

New

No

14300

1320

Yes

\$13,794.00

In Kind

No

No

No

No

No

For 2011-12

SFY 2010-11

SFY 2011-12

SFY 2011-12

SFY 2012-13

SFY 2013-14

SFY 2014-15

Actual

Authorized

Proposed

Proposed

Proposed

Proposed

Permanent

Time-Limited

\$109,865.00

\$219,729.00

Proposed funds for this project will be used to sub-contract with NC Department of Transportation (DOT) to replace a culvert located off of the Albemarle Sound. This culvert will be replaced with a fish friendly culvert to allow passage to spawn herring areas for the river herring fish and also to aid in the water quality. The river herring stock has been characterized as being depleted and it is anticipated that this will reclaim about 0.5 mile of spawning habitat.

This application of funds has been approved by the Division Director. If approved this project/grant will require a new revenue account that is not in the continuation budget. Match for this project will come from already established appropriated positions charging time to the grant. It is anticipated that most of the funds would be needed in FY 2012/13 based on the proposed grant period. There is no CFDA number associated with this application as it would be a contract with the National Fish and Wildlife Foundation (NFWF). Total grant \$329,594.

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