

Department: NC Department of Public Safety  
Report Date: October 1, 2012

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| CAPITAL IMPROVEMENT PROJECTS REPORT |             |           |   |                                   |                    |                            |  |  |  | CAPITAL IMPROVEMENT PROJECTS REPORT |   |   |   |   |  |  |                               |        |        | CAPITAL IMPROVEMENT PROJECTS REPORT |        |                 |  |  |  |
|-------------------------------------|-------------|-----------|---|-----------------------------------|--------------------|----------------------------|--|--|--|-------------------------------------|---|---|---|---|--|--|-------------------------------|--------|--------|-------------------------------------|--------|-----------------|--|--|--|
| Project Identification              |             |           |   |                                   |                    | Current Construction Phase |  |  |  | Funding and Sources                 |   |   | Adequacy of Funding                     |   |  | Comments   | For new capital projects only |        |        |                                     |        |                 |  |  |  |
| #                                   | Budget Code | Fund Code | OC-25 # or SCO Project ID#                          | Project Title                     | R&R Project? (Y/N) | Land Acquisition           | Design Stages (Pick one from dropdown) | Construction Stage (Pick % complete from dropdown) | Projected Completion Date from Current Project Phase (Enter mo.&yr: XX/20XX) | Amt Expended YTD                    | Primary Funding Source (Pick one from dropdown) | Secondary Funding Source (Pick one from dropdown) | Is the project adequately funded? (Y/N) | How do current cost estimates compare to the project's initial authorized budget? | Will scope reductions be necessary to complete the project within its initial authorized budget? (Y/N) | Notes/Comments   | Year 1                        | Year 2 | Year 3 | Year 4                              | Year 5 | Source of Funds |  |  |  |
| 1                                   | 40371       | 4E01      | 200510014516  | FIRE & LIFE SAFETY                | Yes                |                            | Final Drawings/Bid (FD)                | 51-75%   | 11/2013  | 358,500                             | Debt Financed                                   | -   | Yes                                     | On budget   | No   | Funded replacement of Fire Alarm Systems at Central Prison and Western YI  |                               |        |        |                                     |        |                 |  |  |  |
| 2                                   | 40371       | 4E02      | 200510014517  | ROOF REPAIRS & REPLACE            | Yes                |                            | Final Drawings/Bid (FD)                | 76%-100%   | 12/2012  | 4,699,600                           | Debt Financed                                   | -   | Yes                                     | On budget   | No   | Funds used to address 24 projects - 22 complete with 2 projects still in progress  |                               |        |        |                                     |        |                 |  |  |  |
| 3                                   | 40513       | 4H02      | 200510014518  | PERIMETER FENCE SYSTEM            | Yes                |                            | Final Drawings/Bid (FD)                | 76%-100%   | 01/2013  | 285,900                             | Debt Financed                                   | -   | Yes                                     | On budget   | No   | Funds remaining after reversion and reallocation used to replace fence at Western YI   |                               |        |        |                                     |        |                 |  |  |  |
| 4                                   | 40513       | 4H05      | 200510014542  | ROOF REPAIR REPLACE PROJ          | Yes                |                            | Final Drawings/Bid (FD)                | 0-25%  | 12/2012  | 648,400                             | General Fund                                    |   | Yes                                     | On budget   | No   | Funds used to address 12 projects - 11 complete with one in construction stage   |                               |        |        |                                     |        |                 |  |  |  |
| 5                                   | 40513       | 4H08      | 200510014547  | FIRE & LIFE SAFETY STATE          | Yes                |                            | Final Drawings/Bid (FD)                | 0-25%  | 06/2013  | 400,300                             | General Fund                                    | Receipts  | Yes                                     | On budget   | No   | Funds used to address 17 projects - 13 complete with 4 in construction stage   |                               |        |        |                                     |        |                 |  |  |  |
| 6                                   | 40513       | 4H09      | 200510014529  | WATER WASTERWATER STATE           | Yes                |                            | Design Development (DD)                | -  | 03/2013  | 359,778                             | General Fund                                    |   | Yes                                     | On budget   | No   | Funds used to address 9 projects - 8 complete with one in design stage   |                               |        |        |                                     |        |                 |  |  |  |
| 7                                   | 40513       | 4H10      | 200510014543  | GEN RENOV DART CHERRY             | Yes                |                            | Final Drawings/Bid (FD)                | 0-25%  | 10/2013  | 231,358                             | General Fund                                    | -   | Yes                                     | On budget   | No   | Funds have been used for a major shower renovation, electrical modifications and preliminary work on a new maintenance repair and storage area   |                               |        |        |                                     |        |                 |  |  |  |
| 8                                   | 40513       | 4H13      | 20071001452   | SPILL PREVENTION STATE            | Yes                |                            | Construction Drawings (CD)             | 25-50%   | 12/2014  | 198,798                             | General Fund                                    |   | Yes                                     | On budget   | No   | Some site modifications complete with others in design phase; conversion to Natural Gas will allow some fuel oil storage to be eliminated; funds will be used for recurring 5-year SPCC Plan updates and continued remediation at Central Prison |                               |        |        |                                     |        |                 |  |  |  |
| 9                                   | 40513       | 4H14      | 200510014531  | UST REMOVAL                       | Yes                |                            | Final Drawings/Bid (FD)                | 0-25%  | 06/2014  | 170,614                             | General Fund                                    |   | Yes                                     | On budget   | No   | Inactive UST's have been removed at several locations but there are still many to be removed and contaminated sites to be remediated.  |                               |        |        |                                     |        |                 |  |  |  |
| 10                                  | 40513       | 4H21      | OC 25 to be revised                                 | MAURY WELFARE FUNDED - Greenhouse |                    |                            | Construction Drawings (CD)             | 0-25%  | on hold  | 0                                   | Receipts  |   | Yes                                     | On budget   | No   | Upon completion of the current dormitory construction, decision will be made on use of land and funds for a greenhouse for the horticulture program at Maury CI  |                               |        |        |                                     |        |                 |  |  |  |
| 11                                  | 40613       | 4K02      | 200710014519  | FIRE & LIFE SAFETY PROJ           | Yes                |                            | Final Drawings/Bid (FD)                | 76%-100%   | 06/2013  | 530,892                             | General Fund                                    | Receipts  | Yes                                     | On budget   | No   | Funds have been used for 19 projects - 17 completed and 2 in progress. The projects completed include fire alarm systems, electrical panel upgrades and generators and TVSS equipment  |                               |        |        |                                     |        |                 |  |  |  |
| 12                                  | 40613       | 4K03      | 200710014513  | KITCH HOOD SUPP SYS UPGR          | Yes                |                            | Final Drawings/Bid (FD)                | 0-25%  | 12/2012  | 90,428                              | General Fund                                    | Receipts  | Yes                                     | On budget   | No   | Funds have been used for 5 projects - 2 completed and 3 in progress. These are code required upgrades to existing hoods.   |                               |        |        |                                     |        |                 |  |  |  |
| 13                                  | 40613       | 4K04      | 200710014514  | REPL/UPGR OBS ELECTRONIC SYS      | Yes                |                            | Final Drawings/Bid (FD)                | Complete   |  | 973,804                             | General Fund                                    |   | Yes                                     | On budget   | No   | Funds have been used for 8 projects. All projects have been completed with a balance of \$54.31 to be reassigned or reverted.  |                               |        |        |                                     |        |                 |  |  |  |
| 14                                  | 40613       | 4K05      | 200710014521  | ROOF REP & REPLACE PROJ           | Yes                |                            | Final Drawings/Bid (FD)                | 76%-100%   | 12/2012  | 913,634                             | General Fund                                    |   | Yes                                     | On budget   | No   | Funds have been used for 7 projects. Six projects have been completed with one still under construction. Any balance when this project complete will be used for another project.  |                               |        |        |                                     |        |                 |  |  |  |
| 15                                  | 40613       | 4K06      | 200710014520  | MECHANICAL SYS REP & RENOV        | Yes                |                            | Final Drawings/Bid (FD)                | Complete   |  | 306,775                             | General Fund                                    | Receipts  | Yes                                     | On budget   | No   | Funds have bee used for 8 projects. All projects have been completed. Remaining balance will be used for another project.  |                               |        |        |                                     |        |                 |  |  |  |
| 16                                  | 40613       | 4K07      | 200710014522  | WATER/WASTEWATER IMPROVE          | Yes                |                            | Design Development (DD)                | 0-25%  | 03/2013  | 855,177                             | General Fund                                    | Receipts  | Yes                                     | On budget   | No   | Funds have been used for 7 projects. Six projects have been completed with one still under design. Additional funds will be needed to complete construction.   |                               |        |        |                                     |        |                 |  |  |  |
| 17                                  | 40613       | 4K08      | 200710014524  | ENERGY EFF IMPROV PROJECTS        | Yes                |                            | Final Drawings/Bid (FD)                | 0-25%  | 06/2013  | 442,803                             | General Fund                                    |   | Yes                                     | On budget   | No   | Funds have been used for 13 project. Nine projects have been completed. The remaining projects are under design or construction.   |                               |        |        |                                     |        |                 |  |  |  |
| 18                                  | 40613       | 4K09      | 200710014530  | PERIMETER FENCE SYS UPGR          | Yes                |                            | Final Drawings/Bid (FD)                | -  | 06/2013  | 624,458                             | General Fund                                    | Receipts  | Yes                                     | On budget   | No   | Funds have been used to complete one project. Design is nearly complete on a second project. A third project will be undertaken if sufficient funds remain.  |                               |        |        |                                     |        |                 |  |  |  |
| 19                                  | 40613       | 4K12      | 200710014525  | PAVING REP/PARKING & DRWAY        | Yes                |                            | Final Drawings/Bid (FD)                | 51-75%   | 12/2012  | 144,164                             | General Fund                                    | Receipts  | Yes                                     | On budget   | No   | Funds have been used to complete 3 pprojects. Remaining funds will be used on the project under construction.  |                               |        |        |                                     |        |                 |  |  |  |
| 20                                  | 40613       | 4K13      | 200710014528  | KITCHEN FLOOR REPAIRS             | Yes                |                            | Final Drawings/Bid (FD)                | 0-25%  | 12/2012  | 69,514                              | General Fund                                    |   | Yes                                     | On budget   | No   | Funds are committed to complete repairs at Caledonia.  |                               |        |        |                                     |        |                 |  |  |  |
| 21                                  | 40613       | 4K14      | 200710014526  | ASBESTOS ABATEMENT                | Yes                |                            | Final Drawings/Bid (FD)                | 76%-100%   | on hold  | 219,458                             | General Fund                                    | Receipts  | Yes                                     | On budget   | No   | Funds have been used for 11 projects to date. Balance will be used when another abatement project is identified.   |                               |        |        |                                     |        |                 |  |  |  |
| 22                                  | 40613       | 4K16      | 200710014517  | FOUNTAIN/DEMOL ABAN BLD           | Yes                |                            | Final Drawings/Bid (FD)                | Complete   |  | 1,202,923                           | General Fund                                    |   | Yes                                     | On budget   | No   | Demolition completed. Balance will be used for additional projects.  |                               |        |        |                                     |        |                 |  |  |  |
| 23                                  | 40613       | 4K17      | 200710014518  | SWANNANO/CONVER PHASE I           | Yes                |                            | Final Drawings/Bid (FD)                | 76%-100%   | 06/2013  | 4,678,144                           | General Fund                                    | Receipts  | Yes                                     | On budget   | No   | Part of a campus wide renovation and repair project. Project is being completed in phases.   |                               |        |        |                                     |        |                 |  |  |  |
| 24                                  | 40613       | 4K19      | OC 25 to be revised                                 | BERTIE-ENTRPRS-PREFAB C           | No                 |                            | Design Development (DD)                | -  | on hold  | 0                                   | Receipts  | -   | Yes                                     | On budget   | No   | Project will be funded by Correction Enterprise at approved budget when funds are available.   |                               |        |        |                                     |        |                 |  |  |  |
| 25                                  | 40613       | 4K21      | 200945100145  | CP HOSP SUPPLEMENTL FUNDS         | No                 | -                          | Final Drawings/Bid (FD)                | 0-25%  | 03/2014  | 1,314,484                           | Receipts  | General Fund                                      | Yes                                     | On budget   | No   | Additional phase of work to upgrade kitchen facilities and complete connectors throughout complex.   |                               |        |        |                                     |        |                 |  |  |  |
| 26                                  | 40671       | 4J01      | 200710014549  | CORRECTION REG MED CENTR          | No                 |                            | Final Drawings/Bid (FD)                | Complete   |  | 142,650,540                         | Debt Financed                                   | -   | Yes                                     | On budget   | No   |  |                               |        |        |                                     |        |                 |  |  |  |
| 27                                  | 40713       | 4L02      | 200710014532/200710014534/200710014535/200710014536 | CAPITAL PLANNING RESERVE          | No                 | -                          | Final Drawings/Bid (FD)                | 76%-100%   | 10/2013  | 3,342,801                           | General Fund                                    |   | Yes                                     | On budget   | No   | Funds for planning and design. Reserved for any changes required during construction. Fund will be closed when construction complete.  |                               |        |        |                                     |        |                 |  |  |  |
| 28                                  | 40713       | 4L03      | 200510014536  | CALDWELL 40 CELL UNIT             | No                 | -                          | Final Drawings/Bid (FD)                | Complete   |  | 495,571                             | Receipts  |   | Yes                                     | On budget   | No   | Project complete. Fund can be closed.  |                               |        |        |                                     |        |                 |  |  |  |
| 29                                  | 40713       | 4L04      | 200510014537  | ORANGE 40 CELL UNIT               | No                 | -                          | Final Drawings/Bid (FD)                | Complete   |  | 494,887                             | Receipts  |   | Yes                                     | On budget   | No   | Project complete. Fund can be closed.  |                               |        |        |                                     |        |                 |  |  |  |
| 30                                  | 40713       | 4L05      | 20091001459   | WELFARE-WESTERN YTH PROG          | No                 | -                          | Design Development (DD)                |  | on hold  | 0                                   | Receipts  |   | No                                      | On budget   | No   | Project will be funded by Innate Welfare Fund at approved budget when funds are available.   |                               |        |        |                                     |        |                 |  |  |  |
| 31                                  | 40713       | 4L06      | 20091001455   | WELFARE-CALEDONIA PROG B          | No                 | -                          | Design Development (DD)                |  | on hold  | 720                                 | Receipts  |   | No                                      | On budget   | No   | Project will be funded by Innate Welfare Fund at approved budget when funds are available.   |                               |        |        |                                     |        |                 |  |  |  |
| 32                                  | 40713       | 4L07      | 20091001458   | WELFARE-CASWELL PROG BLD          | No                 | -                          | Design Development (DD)                |  | on hold  | 8,120                               | Receipts  |   | No                                      | On budget   | No   | Project will be funded by Innate Welfare Fund at approved budget when funds are available.   |                               |        |        |                                     |        |                 |  |  |  |
| 33                                  | 40713       | 4L08      | 200910014524  | FIRE & LIFE SAFETY PROJ           | Yes                | -                          | Construction Drawings (CD)             | 51-75%   | 11/2013  | 618,504                             | General Fund                                    |   | Yes                                     | On budget   | No   | Funds have been used to complete 10 projects. There are 15 active projects ranging from design to construction.  |                               |        |        |                                     |        |                 |  |  |  |
| 34                                  | 40713       | 4L09      | 200910014523  | KITCHEN HOOD SUPP SYS UP          | Yes                |                            | Design Development (DD)                | 25-50%   | 03/2013  | 113,238                             | General Fund                                    | Receipts  | Yes                                     | On budget   | No   | Two major hood projects have been completed and several minor projects. One major project is being designed and several smaller projects are under design or construction.   |                               |        |        |                                     |        |                 |  |  |  |
| 35                                  | 40713       | 4L10      | 200910014522  | REP/UDGD OBSOL ELECT SYS          | Yes                | -                          | Final Drawings/Bid (FD)                | 76%-100%   | 12/2012  | 1,024,169                           | General Fund                                    | Receipts  | Yes                                     | On budget   | No   | Six projects have been completed. Three projects are in the final installation stage.  |                               |        |        |                                     |        |                 |  |  |  |
| 36                                  | 40713       | 4L11      | 200910014512  | ROOF REP & REPL PROJECTS          | Yes                | -                          | Final Drawings/Bid (FD)                | 76%-100%   | 12/2012  | 933,277                             | General Fund                                    | Receipts  | Yes                                     | On budget   | No   | Three projects have been completed. Two are in the construction stage.   |                               |        |        |                                     |        |                 |  |  |  |
| 37                                  | 40713       | 4L12      | 200910014529  | MECHAN SYS RENOV/REPLAC           | Yes                | -                          | Final Drawings/Bid (FD)                | 76%-100%   | 6/2013   | 409,522                             | General Fund                                    | Receipts  | Yes                                     | On budget   | No   | Five projects have been completed. One project is under construction   |                               |        |        |                                     |        |                 |  |  |  |
| 38                                  | 40713       | 4L13      | 200910014531  | WATER/WASTEWATER IMPROVE          | Yes                |                            | Construction Drawings (CD)             | 51-75%   | 06/2013  | 557,321                             | General Fund                                    | Receipts  | Yes                                     | On budget   | No   | One project completed. Five projects in progress from design to construction stage.  |                               |        |        |                                     |        |                 |  |  |  |
| 39                                  | 40713       | 4L14      | 200910014513  | ENERGY EFFICIENCY IMPROV          | Yes                |                            | Final Drawings/Bid (FD)                | 76%-100%   | 08/2013  | 365,969                             | General Fund                                    |   | Yes                                     | On budget   | No   | Two projects completed. Four projects in progress from design to construction stage.   |                               |        |        |                                     |        |                 |  |  |  |
| 40                                  | 40713       | 4L15      | 200910014515  | WEATHERPRF DART CHERRY/O          | Yes                |                            | Construction Drawings (CD)             | 76%-100%   | 01/2013  | 148,295                             | General Fund                                    | Receipts  | Yes                                     | On budget   | No   | One phase of a multi-phase project. This phase is nearly complete.   |                               |        |        |                                     |        |                 |  |  |  |
| 41                                  | 40713       | 4L16      | 200910014516  | PARKING/DRVWAY PAVING RE          | Yes                |                            | Design Development (DD)                | Complete   |  | 236,720                             | General Fund                                    |   | Yes                                     | On budget   | No   | Three projects have been completed. Other projects under review.   |                               |        |        |                                     |        |                 |  |  |  |
| 42                                  | 40713       | 4L19      | 200910014520  | R&R DETERIORATED FENCs            | Yes                |                            | Final Drawings/Bid (FD)                | 76%-100%   | 06/2013  | 517,152                             | General Fund                                    | Receipts  | Yes                                     | On budget   | No   | Four projects have been completed. One project is still under construction.  |                               |        |        |                                     |        |                 |  |  |  |
| 43                                  | 40713       | 4L22      | 200910014530  | CENTRAL PRISON ELEVATORS          | Yes                |                            | Final Drawings/Bid (FD)                | 76%-100%   | 12/2012  | 491,307                             | General Fund                                    | Receipts  | Yes                                     | On budget   | No   | Part of a renovation of all elevators. This phase nearing completion.  |                               |        |        |                                     |        |                 |  |  |  |

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| Project Identification              |             |           |                            |               |                    | Current Construction Phase |  |  |  | Funding and Sources                 |   |   | Adequacy of Funding                     |   |  | Comments       | For new capital projects only       |        |        |        |        |                 |
| #                                   | Budget Code | Fund Code | OC-25 # or SCO Project ID# | Project Title | R&R Project? (Y/N) | Land Acquisition           | Design Stages (Pick one from dropdown) | Construction Stage (Pick % complete from dropdown) | Projected Completion Date from Current Project Phase (Enter mo.&yr: XX/20XX) | Amt Expended YTD                    | Primary Funding Source (Pick one from dropdown) | Secondary Funding Source (Pick one from dropdown) | Is the project adequately funded? (Y/N) | How do current cost estimates compare to the project's initial authorized budget? | Will scope reductions be necessary to complete the project within its initial authorized budget? (Y/N) | Notes/Comments | Year 1                              | Year 2 | Year 3 | Year 4 | Year 5 | Source of Funds |
|                                     |             |           |                            |               |                    |                            |  |  |  |                                     |   |   |   |   |  |                |                                     |        |        |        |        |                 |
|                                     |             |           |                            |               |                    |                            |  |  |  |                                     |   |   |   |   |  |                |                                     |        |        |        |        |                 |
|                                     |             |           |                            |               |                    |                            |  |  |  |                                     |   |   |   |   |  |                |                                     |        |        |        |        |                 |
|                                     |             |           |                            |               |                    |                            |  |  |  |                                     |   |   |   |   |  |                |                                     |        |        |        |        |                 |