



**STATE OF NORTH CAROLINA
OFFICE OF STATE BUDGET AND MANAGEMENT**


PAT MCCRORY
GOVERNOR

ART POPE
STATE BUDGET DIRECTOR

April 11, 2014

MEMORANDUM

TO: Senator Phil Berger, President Pro-Tempore of the Senate
Representative Thom Tillis, Speaker of the House of Representatives

FROM: Art Pope 
State Budget Director

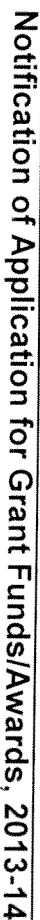
SUBJECT: Consultation on Expenditure of Grant Awards

Pursuant to Section 5.2 of Session Law 2013-360, the Office of State Budget and Management is to report to the Joint Legislative Commission on Governmental Operations prior to expending funds received from grant awards. Funding is anticipated to be received and expended for grants included in the attached Notifications of Application for Grant Funds/Awards.

If you have any questions or concerns, please contact me by telephone 919-807-4717 or email to art.pope@osbm.nc.gov.

Thank you.

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Office of State Budget and Management, 115 West Jones Street, Raleigh, NC 27603-8005, 919-807-4700

Instructions at http://www.osdm.state.nc.us/files/pdf_files/grants_insr.pdf

1 Department	Department of Agriculture and Consumer Services
2 Division (except in DHHS)	Agromomic
3 DHHS only, choose division from drop down list	
4 Contact person (name)	Michelle McGinnis
5 Phone number	919-735-2655
6 E-mail	michelle.mcginis@ncagr.gov
7 Funding Entity (grantor)	
8 CFDA number	
9 Grant title	Christmas Tree Sampling/Database Study
10 Grant application deadline (MM/DD/YY)	05/01/13
11 Start date of grant (MM/DD/YY)	10/01/13
12 End date of grant (MM/DD/YY)	12/31/14
13 Application type	New
14 Is this grant already in agency's continuation budget?	no
15 Budget code the grant will be expended in (XXXX)	
16 Fund code (XXXX or NA)	
17 Is there a state matching requirement?	no
18 If yes, what is the matching requirement?	
19 If yes, what is the source of state funds being used to match grant funds	
20 Is there a maintenance of effort (MOE) requirement?	no
21 If yes, what is the MOE?	
22 Is an additional General Fund appropriation required to meet the state match requirement?	
23 Will any of these funds be passed through to local governments or non-state entities?	no
24 If yes, identify affected entities by type	
25 Will additional state monies be required to continue the program if grant expires or is reduced?	
26 If yes, is this a requirement of the grant?	
27 Are new FTEs funded through the grant?	
28 CFDA number	
29 Grant title	
30 Start date of grant (MM/DD/YY)	
31 End date of grant (MM/DD/YY)	
32 Application type	
33 Is this grant already in agency's continuation budget?	
34 Budget code the grant will be expended in (XXXX)	
35 Fund code (XXXX or NA)	
36 Is there a state matching requirement?	
37 If yes, what is the matching requirement?	
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45 If yes, is this a requirement of the grant?	
46 Are new FTEs funded through the grant?	
47 CFDA number	
48 Grant title	
49 Start date of grant (MM/DD/YY)	
50 End date of grant (MM/DD/YY)	
51 Application type	
52 Is this grant already in agency's continuation budget?	
53 Budget code the grant will be expended in (XXXX)	
54 Fund code (XXXX or NA)	
55 Is there a state matching requirement?	
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64 If yes, is this a requirement of the grant?	
65 Are new FTEs funded through the grant?	
66 CFDA number	
67 Grant title	
68 Start date of grant (MM/DD/YY)	
69 End date of grant (MM/DD/YY)	
70 Application type	
71 Is this grant already in agency's continuation budget?	
72 Budget code the grant will be expended in (XXXX)	
73 Fund code (XXXX or NA)	
74 Is there a state matching requirement?	
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83 If yes, is this a requirement of the grant?	
84 Are new FTEs funded through the grant?	
85 CFDA number	
86 Grant title	
87 Start date of grant (MM/DD/YY)	
88 End date of grant (MM/DD/YY)	
89 Application type	
90 Is this grant already in agency's continuation budget?	
91 Budget code the grant will be expended in (XXXX)	
92 Fund code (XXXX or NA)	
93 Is there a state matching requirement?	
94 If yes, what is the matching requirement?	
95 If yes, what is the source of state funds being used to match grant funds	
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102 If yes, is this a requirement of the grant?	
103 Are new FTEs funded through the grant?	
104 CFDA number	
105 Grant title	
106 Start date of grant (MM/DD/YY)	
107 End date of grant (MM/DD/YY)	
108 Application type	
109 Is this grant already in agency's continuation budget?	
110 Budget code the grant will be expended in (XXXX)	
111 Fund code (XXXX or NA)	
112 Is there a state matching requirement?	
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116 If yes, what is the MOE?	
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121 If yes, is this a requirement of the grant?	
122 Are new FTEs funded through the grant?	
123 CFDA number	
124 Grant title	
125 Start date of grant (MM/DD/YY)	
126 End date of grant (MM/DD/YY)	
127 Application type	
128 Is this grant already in agency's continuation budget?	
129 Budget code the grant will be expended in (XXXX)	
130 Fund code (XXXX or NA)	
131 Is there a state matching requirement?	
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135 If yes, what is the MOE?	
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140 If yes, is this a requirement of the grant?	
141 Are new FTEs funded through the grant?	
142 CFDA number	
143 Grant title	
144 Start date of grant (MM/DD/YY)	
145 End date of grant (MM/DD/YY)	
146 Application type	
14	

<p>30 Purpose of grant or amendment</p>	<p>Identify the best soil sampling technique for Christmas Tree production</p>
<p>31 Comments</p>	<p>Grant award notification #14-051-AGO Copies to Michelle Shepard, Terr Overton and Catherine Stogner 04/04/2014 KLC.</p>

Return completed form as email attachment and indicate in message that proper agency sign-offs have been obtained Contact your OSBM budget analyst if you have questions

Notification of Application for Grant Funds/Awards, 2013-14

Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8005, 919-807-4700

Instructions at http://www.osbm.state.nc.us/files/pdf_files/grants_instr.pdf

1 Department	Department of Agriculture and Consumer Services
2 Division (except in DHHS)	Forestry
DHHS only, choose division from drop down list	
3 Contact person (name)	Frances Jones
4 Phone number	919-857-4837
5 E-mail	frances.jones@ncagr.gov
6 Funding Entity (grantor)	Natural Heritage Trust Fund
7 CFDA number	
8 Grant title	Phase 6, SPO#68 AT Headwaters 687 acres
9 Grant application deadline (MM/DD/YY)	
10 Start date of grant (MM/DD/YY)	03/01/14
11 End date of grant (MM/DD/YY)	03/31/16
12 Application type	No
13 Is this grant already in agency's continuation budget?	No
14 Budget code the grant will be expended in (XXXX)	13700
15 Fund code (XXXX or NA)	4121
16 Is there a state matching requirement?	no
17 If yes, what is the matching requirement?	
18 If yes, what is the source of state funds being used to match grant funds?	
19 Is there a maintenance of effort (MOE) requirement?	no
20 If yes, what is the MOE?	
21 Is an additional General Fund appropriation required to meet the state match requirement?	
22 Will any of these funds be passed through to local governments or non-state entities?	no
23 If yes, identify affected entities by type	
24 Will additional state monies be required to continue the program if grant expires or is reduced?	
25 If yes, is this a requirement of the grant?	
26 Are new FTEs funded through the grant?	no

	SFY 2012-13	SFY 2013-14	SFY 2013-14	SFY 2014-15	SFY 2015-16	SFY 2016-17
27 If yes, give the number by type for each year	Permanent	Actual	Authorized	Proposed	Proposed	Proposed
28 Amount of grants funds applied for in each year	Time-Limited					
29 Amount of grants funds awarded in each year				\$2,000,000.00		\$2,000,000.00

30 Purpose of grant or amendment	Phase 6, SP0#68 AT Headwaters 687 acres
31 Comments	Notification of grant award 14-052 NCF Copies to Michelle Shepard, Catherine Slogner and Terri Overton 04/04/2014 KLC

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Notification of Application for Grant Funds/Awards, 2013-14

Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8005, 919-807-4700
Instructions at http://www.osbm.state.nc.us/files/pdf_files/grants_instr.pdf

1 Department	Department of Agriculture and Consumer Services
2 Division (except in DHHS)	Forestry
3 DHHS only, choose division from drop down list	
4 Contact person (name)	Frances Jones
5 Phone number	919-857-4837
6 E-mail	frances.jones@ncagr.gov
7 Funding Entity (grantor)	Clean Water Management Trust Fund
8 CFDA number	
9 Grant title	Phase 6, SPO#88 AT Headwaters of the East Fork, Public Recreation Expansion
10 Grant application deadline (MM/DD/YY)	05/17/13
11 Start date of grant (MM/DD/YY)	03/01/14
12 End date of grant (MM/DD/YY)	03/31/16
13 Application type	New
14 Is this grant already in agency's continuation budget?	No
15 Budget code the grant will be expended in (XXXX)	13700
16 Fund code (XXXX or NA)	4121
17 Is there a state matching requirement?	no
18 If yes, what is the matching requirement?	
19 If yes, what is the source of state funds being used to match grant funds	
20 Is there a maintenance of effort (MOE) requirement?	no
21 If yes, what is the MOE?	
22 Is an additional General Fund appropriation required to meet the state match requirement?	
23 Will any of these funds be passed through to local governments or non-state entities?	no
24 If yes, identify affected entities by type	
25 Will additional state monies be required to continue the program if grant expires or is reduced?	
26 If yes, is this a requirement of the grant?	
27 Are new FTEs funded through the grant?	no

27 If yes, give the number by type for each year: Permanent	Complete either Authorized or Proposed			
	SFY 2012-13	SFY 2013-14	SFY 2013-14	SFY 2014-15
28 Amount of grants funds applied for in each year	Actual	Authorized	Proposed	Proposed
29 Amount of grants funds awarded in each year	Actual	Authorized	Proposed	Proposed
			\$2,000,000.00	
			\$750,000.00	

<p>30 Purpose of grant or amendment</p>	<p>Phase 6, SP0#68 AT Headwaters of th East Fork, Public Recreation Expansion</p>
<p>31 Comments</p>	<p>Notification of grant award 14-049-NCF. Copies to Michelle Shepard, Catherine Stogner and Terri Overton 04/04/2014 KLC.</p>

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Notification of Application for Grant Funds/Awards, 2013-14

Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8005, 919-807-4700
Instructions at http://www.osbm.state.nc.us/files/pdf_files/grants_instr.pdf

1 Department	Department of Agriculture and Consumer Services
2 Division (except in DHS)	Forestry
3 Contact person (name)	Frances Jones
4 Phone number	919-857-4837
5 E-mail	frances.jones@ncagr.gov
6 Funding Entity (grantor)	Clean Water Management Trust Fund
7 CFDA number	
8 Grant title	East Fork of the French Broad Headwaters
9 Grant application deadline (MM/DD/YY)	
10 Start date of grant (MM/DD/YY)	03/01/14
11 End date of grant (MM/DD/YY)	03/31/16
12 Application type	New
13 Is this grant already in agency's continuation budget?	No
14 Budget code the grant will be expended in (XXXX)	13700
15 Fund code (XXXX or NA)	4121
16 Is there a state matching requirement?	no
17 If yes, what is the matching requirement?	
18 If yes, what is the source of state funds being used to match grant funds	
19 Is there a maintenance of effort (MOE) requirement?	no
20 If yes, what is the MOE?	
21 Is an additional General Fund appropriation required to meet the state match requirement?	
22 Will any of these funds be passed through to local governments or non-state entities?	no
23 If yes, identify affected entities by type	
24 Will additional state monies be required to continue the program if grant expires or is reduced?	
25 If yes, is this a requirement of the grant?	
26 Are new FTEs funded through the grant?	no

27 If yes, give the number by type for each year: Permanent	SFY 2012-13	Complete either Authorized or Proposed for 2013-14	SFY 2013-14	SFY 2013-14	SFY 2014-15	SFY 2015-16	SFY 2016-17
	Actual		Authorized	Proposed	Proposed	Proposed	Proposed
28 Amount of grants funds applied for in each year				\$4,000,000.00			
29 Amount of grants funds awarded in each year				\$2,000,000.00			

<p>30 Purpose of grant or amendment</p>	<p>Protect 8000 acres of working forestland in the Blue Ridge Mountains</p>
<p>31 Comments</p>	<p>Notification of grant award 14-048 NCF. Copies to Michelle Shepard, Catherine Slogner and Terri Overton 04/04/2014 KLC</p>

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Notification of Application for Grant Funds/Awards, 2013-14

Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8005, 919-807-4700.

Instructions at http://www.osbm.state.nc.us/files/pdf_files/grants_instr.pdf

1 Department	Department of Agriculture and Consumer Services
2 Division (except in DHHS)	Forestry
3 DHHS only, choose division from drop down list	Frances Jones
4 Contact person (name)	919-857-4837
5 Phone number	frances.jones@ncagr.gov
6 E-mail	Clean Water Management Trust Fund
7 Funding Entity (grantor)	
8 CFDA number	Backbone 754 Caldwell
9 Grant title	
10 Grant application deadline (MM/DD/YY)	03/01/14
11 Start date of grant (MM/DD/YY)	03/31/16
12 End date of grant (MM/DD/YY)	New
13 Application type	No
14 Is this grant already in agency's continuation budget?	13700
15 Budget code the grant will be expended in (XXXX)	4121
16 Fund code (XXXX or NA)	no
17 Is there a state matching requirement?	
18 If yes, what is the matching requirement?	
19 If yes, what is the source of state funds being used to match grant funds?	
20 Is there a maintenance of effort (MOE) requirement?	no
21 If yes, what is the MOE?	
22 Is an additional General Fund appropriation required to meet the state match requirement?	
23 Will any of these funds be passed through to local governments or non-state entities?	no
24 If yes, identify affected entities by type	
25 Will additional state monies be required to continue the program if grant expires or is reduced?	
26 If yes, is this a requirement of the grant?	
27 Are new FTEs funded through the grant?	no

	For 2013-14			
	SFY 2012-13	SFY 2013-14	SFY 2013-14	SFY 2014-15
28 Amount of grants funds applied for in each year	Actual	Authorized	Proposed	Proposed
29 Amount of grants funds awarded in each year			\$1,200,000.00	
			\$750,000.00	

30 Purpose of grant or amendment	acquire 400 acres for Pisgah National Forest
31 Comments	Notification of grant award 14-047-NCF. Copies to Michelle Shepard, Catherine Sogner and Terri Overton 04/04/2014 KLC.

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