



**STATE OF NORTH CAROLINA
OFFICE OF STATE BUDGET AND MANAGEMENT**

PAT MCCRORY
GOVERNOR

LEE HARRISS ROBERTS
STATE BUDGET DIRECTOR

December 5, 2014

MEMORANDUM

TO: Senator Phil Berger, President Pro-Tempore of the Senate
Representative Thom Tillis, Speaker of the House of Representatives

FROM: Lee Harriss Roberts
State Budget Director 

SUBJECT: Consultation on Expenditure of Grant Awards

Pursuant to Section 5.2 of Session Law 2013-360, the Office of State Budget and Management is to report to the Joint Legislative Commission on Governmental Operations prior to expending funds received from grant awards. Funding is anticipated to be received and expended for grants included in the attached Notifications of Application for Grant Funds/Awards.

If you have any questions or concerns, please contact me by telephone 919-807-4717 or email to lee.roberts@osbm.nc.gov.

Thank you.

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Notification of Application for Grant Funds/Awards, 2014-15

Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8005, 919-807-4700.

Instructions at http://www.osbm.state.nc.us/files/pdf_files/grants_instir.pdf

1 Department	Department of Environment and Natural Resources					
2 Division (except in DHS)	Water Resources					
3 Contact person (name)	Steven Kroeger					
4 Phone number	919-743-8409					
5 E-mail	steve.kroeger@ncdenr.gov					
6 Funding Entity (grantor)	US Environmental Protection Agency					
7 CFDA number	66.419					
8 Grant title	Water Pollution Control State, Interstate and Tribal Program Support (Monitoring Initiative)					
9 Grant application deadline (MM/DD/YYYY)	07/01/14					
10 Start date of grant (MM/DD/YYYY)	10/01/14					
11 End date of grant (MM/DD/YYYY)	09/30/16					
12 Application type	New					
13 Is this grant already in agency's continuation budget?	No					
14 Budget code the grant will be expended in (XXXXX)	14300					
15 Fund code (XXXX or NA)	1690					
16 Is there a state matching requirement?	No					
17 If yes, what is the matching requirement?						
18 If yes, what is the source of state funds being used to match grant funds.						
19 Is there a maintenance of effort (MOE) requirement?	No					
20 If yes, what is the MOE?						
21 Is an additional General Fund appropriation required to meet the state match requirement?	No					
22 Will any of these funds be passed through to local governments or non-state entities?	No					
23 If yes, identify affected entities by type						
24 Will additional state monies be required to continue the program if grant expires or is reduced?	No					
25 If yes, is this a requirement of the grant?						
26 Are new FTEs funded through the grant?	No					
27 If yes, give the number by type for each year:	SFY 2013-14 Actual	SFY 2014-15 Authorized	SFY 2014-15 Proposed	SFY 2015-16 Proposed	SFY 2016-17 Proposed	SFY 2017-18 Proposed
28 Amount of grants funds applied for in each year						
29 Amount of grants funds awarded in each year		\$175,000.00				

For 2014-15
Complete either Authorized or Proposed

Permanent

Time-Limited

30 Purpose of grant or amendment

This grant provides \$175,000 in federal dollars and \$153,000 in EPA in-kind services to the state to assist in building capacity in the water quality monitoring programs by undertaking a number of activities, which may include the use of statistical surveys, improving data management systems, enhancing biological monitoring efforts, expanding monitoring networks, monitoring new water types, and/or obtaining monitoring equipment and laboratory services.

31 Comments

Return completed form as email attachment and indicate in message that proper agency sign-offs have been obtained. Contact your OSBM budget analyst if you have questions.



Instructions at http://www.osbm.state.nc.us/files/pdf_files/grants_instr.pdf

21 Is an additional General Fund appropriation required to meet the state match requirement?

22 Will any of these funds be passed through to local governments or non-state entities?

23 If yes, identify affected entities by type.

24 Will additional state monies be required to continue the program if grant expires or is reduced?

25 If yes, is this a requirement of the grant?

26 Are new FTEs funded through the grant?

	If yes, give the number by type for each year.	Permanent Time-Limited
27	Amount of grants funds applied for in each year	
28	Amount of grants funds awarded in each year	
29	Amount of grants funds awarded in each year	

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For 2014-15
Complete either Authorized or Proposed

SFY 2014-15	SFY 2014-15
Authorized	Proposed

3091		3091
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	\$160,000.00
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	\$160,000.00
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27 If yes, give the number by type for each year. Permanent

28 Amount of grants funds applied for in each year

29 Amount of grants funds awarded in each year

30 Purpose of grant or amendment

This grant is to enhance the state's random ambient monitoring program, which enable the state to report on overall conditions and obtain the needed water quality information to manage state waters. This monitoring program supports the state's efforts in the prevention, reduction and elimination of pollution. Since this is two year grant funds will be managed over a two year period.

31 Comments

Return completed form as email attachment and indicate in message that proper agency sign-offs have been obtained. Contact your OSBM budget analyst if you have questions.

Notification of Application for Grant Funds/Awards, 2014-15

Instructions at http://www.osbm.state.nc.us/files/pdf_files/grants_instr.pdf

Department of Environment and Natural Resources

1 Department	Department of Environment and Natural Resources												
2 Division (except in DHHS)	Division Of Air Quality												
3 Contact person (name)	Ronald Jackson												
4 Phone number	919-707-8498												
5 E-mail	ronald.jackson@ncdenr.gov												
6 Funding Entity (grantor)	Environmental Protection Agency, Region 4, Air Grants Management Office 61 Forsyth Street, Atlanta GA 30303-8960												
7 CFDA number	66.04												
8 Grant title	State Clean Diesel Grant Program												
9 Grant application deadline (MM/DD/YYYY)													
10 Start date of grant (MM/DD/YYYY)	10/01/14												
11 End date of grant (MM/DD/YYYY)	09/30/15												
12 Application type	New												
13 Is this grant already in agency's continuation budget?	No												
14 Budget code the grant will be expended in (XXXXX)	14300												
15 Fund code (XXXX or NA)	1770												
16 Is there a state matching requirement?	Yes												
17 If yes, what is the matching requirement?	\$98,217.00												
18 If yes, what is the source of state funds being used to match grant funds.	In Kind												
19 Is there a maintenance of effort (MOE) requirement?	No												
20 If yes, what is the MOE?													
21 Is an additional General Fund appropriation required to meet the state match requirement?	No												
22 Will any of these funds be passed through to local governments or non-state entities?	No												
23 If yes, identify affected entities by type													
24 Will additional state monies be required to continue the program if grant expires or is reduced?	No												
25 If yes, is this a requirement of the grant?	No												
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27 If yes, give the number by type for each year:	<table border="1"> <thead> <tr> <th></th> <th>SFY 2013-14 Actual</th> <th>SFY 2014-15 Authorized</th> <th>SFY 2014-15 Proposed</th> </tr> </thead> <tbody> <tr> <td>Permanent</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Time-Limited</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>		SFY 2013-14 Actual	SFY 2014-15 Authorized	SFY 2014-15 Proposed	Permanent				Time-Limited			
	SFY 2013-14 Actual	SFY 2014-15 Authorized	SFY 2014-15 Proposed										
Permanent													
Time-Limited													
28 Amount of grants funds applied for in each year			\$147,325.00										
29 Amount of grants funds awarded in each year	\$108,875.00		\$147,325.00										

30 Purpose of grant or amendment	
31 Comments	

Funds granted through the 2014 State Clean Diesel Grant Program will be used to fund a request for proposals for diesel projects across the State through our State Air Grant Program and to offer auxiliary power units with diesel particulate filters. It is expected that the applicant pool during this call would be similar to previous calls.

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