



NORTH CAROLINA AUCTIONEER LICENSING BOARD

CHAIRMAN:

DANIEL H. DEVANE
1504 CANE CREEK DRIVE
GARNER 27529

VICE CHAIRMAN:

GARY BOYD
29589 NELSON MTN. ROAD
ALBEMARLE 28001

108 Ber Creek Drive
Fuquay-Varina, North Carolina 27526
(919) 567-2844 Fax (919) 567-2865
www.ncalb.org

Charles F. Diehl, EXECUTIVE DIRECTOR

BOARD MEMBERS:

LISA L. BROWN
210 MADDREY DRIVE
CAMDEN 27921

MOSES GALLION
69 TIMBER CREEK LANE
DUNN 28335

SUSAN ROGERS HOLDER
352 OLD HWY 601
MOUNT AIRY 27030

October 27, 2015

Ms. Karen Cochrane-Brown
North Carolina General Assembly
300 North Salisbury Street, Suite 545
Raleigh, North Carolina 27603

Dear Ms. Cochrane-Brown:

Enclosed is the Annual Report for the North Carolina Auctioneer Licensing Board for the fiscal year ending June 30, 2015, as required by N.C.G.S. 93B-2.

Please advise if any additional information is needed.

Sincerely,

A handwritten signature in black ink, appearing to read "Charles F. Diehl".

Charles F. Diehl
Executive Director



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ANNUAL REPORT

Fiscal Year 2014-2015

The following is submitted in compliance with Chapter 93B-2 of the General Statutes for the period ending June 30, 2015.

1. The address of the Board and the names of its members and officers are as listed:
N.C. Auctioneer Licensing Board
108 Ber Creek Drive
Fuquay-Varina, North Carolina 27526

Chairman: Daniel H. DeVane
Vice Chairman: Randy B. Lee
Board Members: Moses Gallion
Deborah Johnson
Gary Boyd
- 1a. 1,759 Auctioneers; 12 Apprentice Auctioneers; and, 385 Auction Firms –2,156 total number of Licensees supervised by the Board.
2. 74 persons applied to the Board for the auctioneer examination during the 2014-15 fiscal year.
27 persons applied to the Board for the auction firm examination during the 2014-15 fiscal year.
3. No persons were refused the written examination.
4. 74 persons took the auctioneer examination during the 2014-15 fiscal year.
27 persons took the auction firm examination during the 2014-15 fiscal year.
5. 69 auctioneers were issued initial licenses. 4 apprentice auctioneers were issued initial licenses; and 29 auction firms were issued initial licenses.
- 5a. 7 persons failed the auctioneer examination once; 3 persons failed the auctioneer examination twice.
10 persons failed the auction firm examination once; 4 persons failed the auction firm examination twice.

6. 13 persons applied for an auction license by reciprocity or comity.
7. 13 persons were granted licenses by reciprocity or comity.
- 7a. 20 official complaints were received involving licensed and unlicensed activities.
- 7b. 10 disciplinary actions were taken against licensees; 7 non-disciplinary actions were taken against licensees; and 0 non-disciplinary actions were taken against non-licensees.
8. 6 licenses were revoked. 2 licenses were suspended.
9. 7 licenses were terminated due to death, and 1 license was permanently retired.
10. At this time, there are no anticipated requests by the North Carolina Auctioneer Licensing Board to the General Assembly to amend statutes related to Auctioneer Law.
11. At this time, there are no anticipated changes in rules adopted by the North Carolina Auctioneer Licensing Board or any anticipated adoption of new rules by the North Carolina Auctioneer Licensing Board.

Attached is a financial report that includes the source and amount of all funds credited to the North Carolina Auctioneer Licensing Board and the purpose and amount of all funds disbursed by the North Carolina Auctioneer Licensing Board during the previous 12 month period.

Sincerely,

A handwritten signature in cursive script, reading "Charles F. Diehl".

Charles F. Diehl
Executive Director

STATE OF NORTH CAROLINA GENERAL LEDGER SYSTEM
AGENCY MANAGEMENT BUDGET REPORT
FOR THE PERIOD ENDING JUNE 29, 2015
SUMMARY BY ACCOUNT

RMDSID5
BD701-03
B50 NC AUCTIONEER LIC. BOARD
28106 AUCTIONEER LICENSING BOARD

ACCOUNT	DESCRIPTION	CERTIFIED	AUTHORIZED	CURRENT-MONTH	YEAR-TO-DATE	CERTIFIED	UNREALIZED	AUTHORIZED	ENCUMBRANCES	RATE
***** B U D G E T D ***** A C T U A L ***** UNEXPENDED / UNREALIZED *****										
EXPENDITURES--BUDGET AND ACTUAL										
531112	EPA-REG SALARIES-RECP	149,509.00	139,509.00	3,949.00	102,685.01	46,823.99	36,823.99		.00	.74
531312	REGIN S) TEMP WAGES-RECP	45,490.00	45,490.00	3,459.88	29,202.00	16,288.00	16,288.00		.00	.64
531462	EPASPA-LONGVY FAY-REC	2,988.00	2,988.00	.00	2,164.05	823.95	823.95		.00	.72
531512	SOCIAL SEC CONTRIB-RECP	16,685.00	16,685.00	567.55	10,254.90	6,430.10	6,430.10		.00	.61
531522	REG RETIRE CONTRIB-RECP	13,789.00	16,289.00	600.64	15,892.94	2,103.94	396.06		.00	.98
531532	LEO RETIRE CONTRIB-RECP	4,000.00	11,500.00	281.56	10,400.65	6,400.65	1,099.35		.00	.90
531562	MED INS CONTRIB-RECP	15,416.00	15,416.00	448.12	9,858.64	5,557.36	5,557.36		.00	.64
531651	COMPENSATION TO BOARD ME	18,500.00	18,500.00	600.00	7,000.00	11,500.00	11,500.00		.00	.38
531XXX	PERSONAL SERVICES	266,377.00	266,377.00	9,916.75	187,458.19	78,918.81	78,918.81		.00	.70
532110	LEGAL SERVICES	50,000.00	50,600.00	9,450.00	37,206.72	12,793.28	13,393.28		.00	.74
532120	FINAN/AUDIT SERVICES	3,499.00	6,499.00	.00	6,295.00	2,796.00	204.00		.00	.97
532140	OTH INFORMATION TECH SVC	5,000.00	8,050.00	425.00	7,605.45	2,606.45	443.55		.00	.94
532144	PC/PRINTER SUPPORT SVC	.00	900.00	.00	848.66	848.66	51.34		.00	.94
532198	MISC CONTRACTUAL SERVICE	46,500.00	46,200.00	8,117.00	38,144.25	8,355.75	8,055.75		.00	.83
532200	UTILITY/ENERGY SERVICE	2,750.00	4,900.00	37.11	4,560.90	1,810.90	339.10		.00	.93
532400	MAINTENANCE AGREEMENTS	300.00	800.00	43.26	729.72	428.72	71.28		.00	.91
532500	RENTAL / LEASES	1,368.00	1,368.00	.00	1,341.30	26.70	26.70		.00	.98
532700	TRAVEL/OTHER EMPLOYEE EX	26,330.00	17,330.00	281.79	2,964.26	23,365.74	14,365.74		.00	.17
532800	COMMUNICATION / DATA PRO	20,600.00	21,185.00	1,739.35	14,291.84	6,308.16	6,893.16		.00	.67
532900	OTHER SERVICES	2,538.00	1,053.00	.00	100.00	2,438.00	953.00		.00	.09
532XXX	PURCHASED SERVICES	158,885.00	158,885.00	20,093.51	114,088.10	44,796.90	44,796.90		.00	.72
533100	GENERAL ADMIN SUPPLIES	3,500.00	3,500.00	239.78	2,284.30	1,215.70	1,215.70		.00	.65
533XXX	SUPPLIES	3,500.00	3,500.00	239.78	2,284.30	1,215.70	1,215.70		.00	.65
534300	BUILDINGS--CONSTRUCTED	48,380.00	48,580.00	4,047.27	48,567.24	12.76	12.76		.00	1.00
534500	EQUIPMENT	4,000.00	4,000.00	.00	.00	4,000.00	4,000.00		.00	.00
534700	INTANGIBLE ASSETS	900.00	900.00	.00	208.15	691.85	691.85		.00	.23
534XXX	PROPERTY, PLANT & EQUIP	53,480.00	53,480.00	4,047.27	48,775.39	4,704.61	4,704.61		.00	.91
535100	LEGAL LICENSE/PERMIT COS	500.00	500.00	.00	.00	500.00	500.00		.00	.00
535800	OTHER ADMIN EXPENSES	1,250.00	1,585.00	.00	1,583.72	333.72	1.28		.00	1.00
535900	OTHER EXPENSES	3,000.00	2,665.00	40.31	556.52	2,443.48	2,108.48		.00	.21
535XXX	OTHER EXPENSES & ADJUST	4,750.00	4,750.00	40.31	2,140.24	2,609.76	2,609.76		.00	.45
TOTAL NON-SALARY ITEMS										
		220,615.00	220,615.00	24,420.87	167,288.03	53,326.97	53,326.97		.00	.76
EXPENDITURES										
		486,992.00	486,992.00	34,337.62	354,746.22	132,245.78	132,245.78		.00	.73

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RD701-03
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STATE OF NORTH CAROLINA GENERAL LEDGER SYSTEM
AGENCY MANAGEMENT BUDGET REPORT
FOR THE PERIOD ENDING JUNE 29, 2015

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RUN DATE: 07/13/2015
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SUMMARY BY ACCOUNT

ACCOUNT	DESCRIPTION	***** B U D G E T E D *****	***** A C T U A L *****	***** UNEXPENDED / UNREALIZED *****	ENCUMBRANCES	RATE
		CERTIFIED	AUTHORIZED	CERTIFIED	AUTHORIZED	
REVENUES-ESTIMATED AND ACTUAL						
433121	STIF INT INC-NONOP-PROGR	5,000.00	5,000.00	1,958.82	1,958.82	.61
435100	BNS LICENSE FEES	400,006.00	400,006.00	72,806.00	72,806.00	.82
435300	CERTIFICATION FEES	9,250.00	9,250.00	2,560.00	2,560.00	.72
435900	OTHER LIC.FEES/PERMITS	25,618.00	25,618.00	3,493.00-	3,493.00-	1.14
437990	OTHER MISC REV-PROGRAM	47,500.00	500.00	309.17-	309.17-	1.62
438102	TRANSFER FROM 68106	47,500.00	47,500.00	47,500.00	47,500.00	.00
REVENUES		487,874.00	487,874.00	121,022.65	121,022.65	.75
INCREASE/(DECREASE)						
IN FUND BALANCE		882.00	882.00	11,223.13-	11,223.13-	.00 13.72

CASH ANALYSIS

BEGINNING BALANCE
+ CASH RECEIPTS
- CASH DISBURSEMENTS
+ ASSETS
+ LIABILITY
+ EQUITY
= ENDING BALANCE

689,508.45
366,851.35
354,746.22
.00
.00
701,613.58

ADDITIONAL ASSETS

TOTAL AVAILABILITY

701,613.58