



NORTH CAROLINA AUCTIONEER LICENSING BOARD

CHAIRPERSON:

DANIEL H. DEVANE
1504 CANE CREEK DRIVE
GARNER 27529

VICE CHAIRPERSON:

RANDY B. LEE
1730 ALLENS CROSSROAD
FOUR OAKS 27524

108 Ber Creek Drive
Fuquay-Varina, North Carolina 27526
(919) 567-2844 Fax (919) 567-2865
www.ncalb.org

TERESA L. WATSON, EXECUTIVE DIRECTOR

BOARD MEMBERS:

MOSES GALLION
69 TIMBER CREEK LANE
DUNN 28335

DEBORAH JOHNSON
2425 IRVIN DRIVE
KINSTON 28504

EDWARD B. WEBB
4124 HIGHWAY 58 SOUTH
WILSON 27893

August 22, 2013

Ms. Karen Cochrane-Brown
North Carolina General Assembly
Joint Select Regulatory Reform Committee
300 North Salisbury Street, Suite 545
Raleigh, North Carolina 27603

Dear Ms. Cochrane-Brown:

Enclosed you will find the Annual Report for the N.C. Auctioneer Licensing Board for the fiscal year ending June 30, 2013, as required by N.C.G.S. 93B-2.

Please advise if any additional information is needed at the present time.

Sincerely,

A handwritten signature in cursive script that reads "Teresa L. Watson".

Teresa L. Watson
Executive Director

Enclosures



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ANNUAL REPORT

Fiscal Year 2012-2013

The following is submitted in compliance with Chapter 93B-2 of the General Statutes for the period ending June 30, 2013.

1. The address of the Board and the names of its members and officers are as listed:
N.C. Auctioneer Licensing Board
108 Ber Creek Drive
Fuquay-Varina, North Carolina 27526

Chairperson: Clegg Grady
Vice Chairperson: Deborah Johnson
Board Members: Daniel H. DeVane
Randy B. Lee
Edward B. Webb
2. 121 persons applied to the Board for the auctioneer examination during the 2012-13 fiscal year.
18 persons applied to the Board for the auction firm examination during the 2012-13 fiscal year.
3. No persons were refused the written examination.
4. 119 persons took the auctioneer examination during the 2012-13 fiscal year.
17 persons took the auction firm examination during the 2012-13 fiscal year.
5. 111 auctioneers were issued initial licenses; 3 apprentice auctioneers were issued initial licenses; and, 30 auction firms were issued initial licenses.
6. 8 persons applied for an auctioneer license by reciprocity or comity.
7. 8 persons were granted licenses by reciprocity or comity.
- 7a. 12 official complaints were received involving licensed and unlicensed activities.

- 7b. 6 disciplinary actions were taken against licensees; 5 non-disciplinary actions were taken against licensees; and 0 non-disciplinary actions were taken against non-licensees.
- 8. 2 licenses were revoked. 1 license was suspended.
- 9. 11 licenses were terminated due to death and 12 licenses were permanently retired.
- 10. At this time, there are no anticipated requests by the North Carolina Auctioneer Licensing Board to the General Assembly to amend statutes related to the Auctioneer Law.
- 11. At this time there are no anticipated changes in rules adopted by the North Carolina Auctioneer Licensing Board or any anticipated adoption of new rules by the North Carolina Auctioneer Licensing Board.

Attached is a financial report that includes the source and amount of all funds credited to the North Carolina Auctioneer Licensing Board and the purpose and amount of all funds disbursed by the North Carolina Auctioneer Licensing Board during the previous 12 month period.

Sincerely,

A handwritten signature in cursive script that reads "Teresa L. Watson".

Teresa L. Watson
Executive Director

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BD701-03
B50 NC AUCTIONEER LLC. BOARD
28106 AUCTIONEER LICENSING BOARD

STATE OF NORTH CAROLINA GENERAL LEDGER SYSTEM
AGENCY MANAGEMENT BUDGET REPORT
FOR THE PERIOD ENDING JUNE 29, 2013
SUMMARY BY ACCOUNT

PAGE: 1
C-GL-BD701-CERT-REPORT
RUN DATE: 07/12/2013
ATBD701

ACCOUNT	DESCRIPTION	CERTIFIED	AUTHORIZED	CURRENT-MONTH	YEAR-TO-DATE	UNEXPENDED / CERTIFIED	UNREALIZED / AUTHORIZED	ENCUMBRANCES	RATE
***** B U D G E T ***** A C T U A L *****									
EXPENDITURES-BUDGET AND ACTUAL									
531112	EPA-REG SALARIES-RECP	172,948.00	149,509.00	8,766.67	105,200.04	67,747.96	44,308.96	.00	.70
531312	REG(N S) TEMP WAGES-RECP	45,490.00	45,490.00	2,288.00	26,638.44	18,851.56	18,851.56	.00	.59
531462	EPA&SPA-LONGEVY PAY-RECP	2,988.00	2,988.00	2,840.00	2,840.00	148.00	148.00	.00	.95
531512	SOCIAL SEC CONTRIB-RECP	16,685.00	16,685.00	1,062.96	10,302.92	6,382.08	6,382.08	.00	.62
531522	REG RETIRE CONTRIB-RECP	13,789.00	15,375.00	1,651.63	15,374.12	1,585.12	.88	.00	1.00
531532	LEO RETIRE CONTRIB-RECP	.00	7,704.00	827.56	7,703.32	7,703.32	.68	.00	1.00
531562	MED INS CONTRIB-RECP	15,416.00	15,416.00	865.32	10,383.84	5,032.16	5,032.16	.00	.67
531651	COMPENSATION TO BOARD ME	18,500.00	13,210.00	600.00	6,700.00	11,800.00	6,510.00	.00	.51
531XXX	PERSONAL SERVICES	285,816.00	266,377.00	18,902.14	185,142.68	100,673.32	81,234.32	.00	.70
532110	LEGAL SERVICES	50,000.00	34,060.00	3,000.00	33,065.00	16,935.00	995.00	.00	.97
532120	FINAN/AUDIT SERVICES	3,499.00	5,195.00	.00	5,195.00	1,696.00	.00	.00	1.00
532140	OTH INFORMATION TECH SVC	5,000.00	5,000.00	.00	300.00	4,700.00	4,700.00	.00	.06
532143	LAN SUPPORT SERVICES	.00	375.00	.00	375.00	375.00	.00	.00	1.00
532144	PC/PRINTER SUPPORT SVC	.00	363.00	.00	362.93	362.93	.07	.00	1.00
532145	SERVER SUPPORT SVC	.00	2,300.00	.00	2,300.00	2,300.00	.00	.00	1.00
532199	MISC CONTRACTUAL SERVICE	46,500.00	46,500.00	1,047.00	32,430.07	14,069.93	14,069.93	.00	.70
532200	UTILITY/ENERGY SERVICE	2,750.00	4,535.00	353.70	4,533.21	1,783.21	1.79	.00	1.00
532400	MAINTENANCE AGREEMENTS	300.00	1,065.00	93.62	1,063.45	763.45	1.55	.00	1.00
532500	RENTAL / LEASES	49,942.00	1,378.00	.00	1,377.22	48,564.78	.78	.00	1.00
532700	TRAVEL/OTHER EMPLOYEE EX	26,330.00	26,330.00	235.94	4,345.14	21,984.86	21,984.86	.00	.17
532800	COMMUNICATION / DATA PRO	17,600.00	21,515.00	932.43	16,652.27	947.73	4,862.73	.00	.77
532900	OTHER SERVICES	3,420.00	2,538.00	.00	175.00	3,245.00	2,363.00	.00	.07
532XXX	PURCHASED SERVICES	205,341.00	151,154.00	5,662.69	102,174.29	103,166.71	48,979.71	.00	.68
533100	GENERAL ADMIN SUPPLIES	3,500.00	3,671.00	407.38	3,670.72	170.72	.28	.00	1.00
533XXX	SUPPLIES	3,500.00	3,671.00	407.38	3,670.72	170.72	.28	.00	1.00
534300	BUILDINGS-CONSTRUCTED	.00	48,580.00	4,047.27	48,567.24	48,567.24	12.76	.00	1.00
534500	EQUIPMENT	4,000.00	7,335.00	.00	3,334.87	665.13	4,000.13	.00	.45
534700	INTANGIBLE ASSETS	.00	3,989.00	.00	3,237.70	3,237.70	751.30	.00	.81
534XXX	PROPERTY, PLANT & EQUIP	4,000.00	59,904.00	4,047.27	55,139.81	51,139.81	4,764.19	.00	.92
535100	LEGAL, LICENSE, PERMIT COS	500.00	500.00	.00	.00	500.00	500.00	.00	.00
535800	OTHER ADMIN EXPENSES	1,250.00	2,325.00	23.01	2,323.51	1,073.51	1.49	.00	1.00
535900	OTHER EXPENSES	3,000.00	3,061.00	20.79	3,060.05	60.05	.95	.00	1.00
535XXX	OTHER EXPENSES & ADJUST	4,750.00	5,886.00	43.80	5,383.56	633.56	502.44	.00	.91
TOTAL NON-SALARY ITEMS		217,591.00	220,615.00	10,161.14	166,368.38	51,222.62	54,246.62	.00	.75

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EXPENDITURES									
		503,407.00	486,992.00	29,063.28	351,511.06	151,895.94	135,480.94	.00	.72

REVENUES-ESTIMATED AND ACTUAL									
433121	STIF INT INC-NONOP-PROGR	15,000.00	5,000.00	190.50	3,007.90	11,992.10	1,992.10	.00	.60
433100	BENS LICENSE FEES	405,539.00	400,006.00	275,100.00	365,900.00	39,639.00	34,106.00	.00	.91
433300	CERTIFICATION FEES	9,250.00	9,250.00	1,400.00	7,650.00	1,600.00	1,600.00	.00	.83
433900	OTHER LIC,FEES/PERMITS	26,500.00	25,618.00	4,195.00	20,696.00	5,804.00	4,922.00	.00	.81
437990	OTHER MISC REV-PROGRAM	500.00	500.00	25.00	185.00	315.00	315.00	.00	.37
438102	TRANSFER FROM 68106	47,500.00	47,500.00	.00	.00	47,500.00	47,500.00	.00	.00

REVENUES									
		504,289.00	487,874.00	280,910.50	397,438.90	106,850.10	90,435.10	.00	.81
INCREASE/(DECREASE)									
	IN FUND BALANCE	882.00	882.00	251,847.22	45,927.84	45,045.84-	45,045.84-	.00	52.07

CASH ANALYSIS									
BEGINNING BALANCE									
+ CASH RECEIPTS									
- CASH DISBURSEMENTS									
+ ASSETS									
+ LIABILITY									
+ EQUITY									
= ENDING BALANCE									
728,200.38									
397,438.90									
351,511.06									
.00									
.00									
.00									
774,128.22									

ADDITIONAL ASSETS									
TOTAL AVAILABILITY									
774,128.22									