



NORTH CAROLINA AUCTIONEER LICENSING BOARD

CHAIRMAN:
GARY BOYD
ALBEMARLE, NC

108 Ber Creek Drive
Fuquay-Varina, North Carolina 27526
(919) 567-2844 Fax (919) 567-2865
www.ncalb.org

Charles F. Diehl, EXECUTIVE DIRECTOR

BOARD MEMBERS:

DANIEL H. DEVANE
GARNER, NC

SUSAN ROGERS HOLDER
MOUNT AIRY, NC

WILLIAM B. LILLY, JR.
CHARLOTTE, NC

VICE CHAIRWOMAN:
LISA L. BROWN
CAMDEN, NC

October 28, 2016

Ms. Karen Cochrane-Brown
North Carolina General Assembly
300 North Salisbury Street, Suite 545
Raleigh, North Carolina 27603

Dear Ms. Cochrane-Brown:

Enclosed is the Annual Report for the North Carolina Auctioneer Licensing Board for the fiscal year ending June 30, 2016, as required by N.C.G.S. 93B-2.

Please advise if any additional information is needed.

Thank you,

A handwritten signature in black ink, appearing to read "Charles F. Diehl".

Charles F. Diehl
Executive Director



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ANNUAL REPORT

Fiscal Year 2015-2016

The following is submitted in compliance with Chapter 93B-2 of the General Statutes for the period ending June 30, 2016.

1. The address of the Board and the names of its members and officers are as listed:

N. C. Auctioneer Licensing Board
108 Ber Creek Drive
Fuquay-Varina, North Carolina 27526

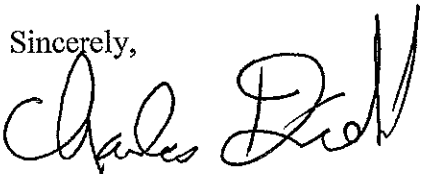
Chairman:	Daniel H. DeVane
Vice Chairman:	Gary Boyd
Board Members:	Moses Gallion
	Susan Rogers Holder
	Lisa L. Brown

- 1a. The total number of licensees supervised by the Board is 2,535. 2,030 are Auctioneers; 15 are Apprentice Auctioneers; and 490 are Auction Firms.
2. 72 persons applied to the Board for the auctioneer examination during the 2015-2016 fiscal year.
27 persons applied to the Board for the auction firm examination during the 2015-2016 fiscal year.
3. 1 person was refused the written examination.
4. 72 persons took the auctioneer examination during the 2015-2016 fiscal year.
26 persons took the auction firm examination during the 2015-2016 fiscal year.
5. The number to whom initial licenses were issued was 111. 52 auctioneers were issued initial licenses; 4 apprentice auctioneers were issued initial licenses; and 35 auction firms were issued initial licenses. 20 auctioneers were issued initial licenses through reciprocity.
- 5a. 20 persons failed the auctioneer examination once; 5 persons failed the auctioneer examination twice; 9 persons failed the auction firm examination once; and 1 person failed the auction firm examination twice.

6. 20 persons applied for a license by reciprocity or comity.
7. 20 persons were granted a license by reciprocity or comity.
- 7a. 20 official complaints were received involving licensed and unlicensed activities.
- 7b. 5 disciplinary actions were taken against licensees; 6 non-disciplinary actions were taken against licensees; and 0 non-disciplinary actions were taken against non-licensees.
8. 1 license was revoked. 3 licenses were suspended. 3 licenses were voluntarily surrendered in lieu of disciplinary action. 1 licensee was reprimanded.
9. 4 licenses were terminated due to death, and 4 licenses were permanently retired.
10. At this time, there are no anticipated requests by the North Carolina Auctioneer Licensing Board to the General Assembly to amend statutes related to Auctioneer Law.
11. At this time, there are no anticipated changes in rules adopted by the North Carolina Auctioneer Licensing Board or any anticipated adoption of new rules by the North Carolina Auctioneer Licensing Board.

Attached is a financial report that includes the source and amount of all funds credited to the North Carolina Auctioneer Licensing Board and the purpose and amount of all funds disbursed by the North Carolina Auctioneer Licensing Board during the previous 12 month period.

Sincerely,

A handwritten signature in black ink, appearing to read "Charles Diehl", with a stylized, flowing script.

Charles F. Diehl
Executive Director

RMSIDS
BD701-03
B50 NC AUCTIONEER LLC. BOARD
28106 AUCTIONEER LICENSING BOARD

STATE OF NORTH CAROLINA GENERAL LEDGER SYSTEM
AGENCY MANAGEMENT BUDGET REPORT
FOR THE PERIOD ENDING JUNE 29, 2016
SUMMARY BY ACCOUNT

PAGE: 1
C-GI-BD701-CERT-REPORT
RUN DATE: 07/21/2016
ATBD701

ACCOUNT	DESCRIPTION	CERTIFIED	AUTHORIZED	CURRENT-MONTH	YEAR-TO-DATE	CERTIFIED	UNREALIZED	ENCUMBRANCES	RATE
***** B U D G E T D ***** A C T U A L *****									
EXPENDITURES-BUDGET AND ACTUAL									
53112	EPA-RDG SALARIES-RECP	114,035.00	106,506.00	9,532.33	103,221.30	10,813.70	3,284.70	.00	.97
53132	REG(N S) TEMP WAGES-RECP	47,050.00	47,050.00	3,538.70	39,736.38	7,313.62	7,313.62	.00	.84
53142	EPASPA-LONGTAY PAY-REC	2,988.00	2,988.00	.00	711.00	2,277.00	2,277.00	.00	.24
53147	BONDS-INCENTIVE PAY-RECP	2,062.00	2,062.00	.00	2,062.50	.50	.50	.00	1.00
53152	SOCIAL SEC CONTRIB-RECP	13,971.00	13,971.00	724.83	9,652.42	4,318.58	4,318.58	.00	.69
53152	REG RETIRE CONTRIB-RECP	8,393.00	15,921.00	1,460.36	15,922.50	7,529.50	1,50-	.00	1.00
53152	LEO RETIRE CONTRIB-RECP	4,000.00	4,000.00	281.56	3,482.90	517.10	517.10	.00	.87
53156	MED INS CONTRIB-RECP	10,038.00	10,038.00	927.36	9,149.12	888.88	888.88	.00	.91
53157	FLYTIME SPENDING SVG ACC	50.00	50.00	3.66	33.21	33.21-	16.79	.00	.66
53165	COMPENSATION TO BOARD ME	18,500.00	18,450.00	500.00	9,100.00	9,400.00	9,350.00	.00	.49
531XX	PERSONAL SERVICES	221,037.00	221,037.00	16,968.80	193,071.33	27,965.67	27,965.67	.00	.87
53110	LEGAL SERVICES	50,000.00	50,450.00	3,000.00	33,456.00	16,550.00	17,000.00	.00	.66
53120	FINAN/AUDIT SERVICES	10,000.00	10,000.00	.00	8,928.00	1,075.00	1,075.00	.00	.89
53210	OTH INFORMATION TECH SVC	21,038.00	21,038.00	115.00	20,192.24	845.76	845.76	.00	.96
53210	ADMIN SERVICES	650.00	650.00	.00	650.00	.00	.00	.00	1.00
53219	MISC CONTRACTUAL SERVICE	49,500.00	44,907.00	895.48	39,109.17	10,390.83	5,797.83	.00	.87
53200	UTILITY/ENERGY SERVICE	2,750.00	4,679.00	593.11	4,545.86	1,795.86-	133.14	.00	.97
53200	MAINTENANCE AGREEMENTS	300.00	300.00	.00	75.54	224.46	224.46	.00	.25
53200	RENTAL / LEASES	1,368.00	1,368.00	.00	73.97	1,294.03	1,294.03	.00	.05
53270	TRAVEL/OTHER EMPLOYEE EX	27,330.00	25,429.00	914.10	9,271.26	18,058.74	16,157.74	.00	.36
53280	COMMUNICATION / DATA PRO	20,600.00	21,506.00	2,766.99	11,788.83	8,811.17	9,717.17	.00	.55
53290	OTHER SERVICES	4,500.00	5,709.00	.00	1,239.00	3,261.00	4,470.00	.00	.22
532XX	PURCHASED SERVICES	188,036.00	186,036.00	8,284.68	129,320.87	58,715.13	56,715.13	.00	.70
53100	GENERAL ADMIN SUPPLIES	18,689.00	18,689.00	92.00	1,568.46	17,120.54	17,120.54	.00	.08
533XX	SUPPLIES	18,689.00	18,689.00	92.00	1,568.46	17,120.54	17,120.54	.00	.08
53300	BUILDINGS-CONSTRUCTED	48,580.00	48,580.00	4,047.27	48,567.24	12.76	12.76	.00	1.00
53400	EQUIPMENT	4,000.00	6,803.00	.00	6,637.12	2,637.72-	165.28	.00	.98
53470	INTANGIBLE ASSETS	900.00	97.00	.00	96.06	803.94	.94	.00	.99
534XX	PROPERTY, PLANT & EQUIP	53,480.00	55,480.00	4,047.27	55,301.02	1,821.02-	178.98	.00	1.00
53510	LEGAL, LICENSE, PERMIT COS	500.00	500.00	.00	.00	500.00	500.00	.00	.00
53580	OTHER ADMIN EXPENSES	2,250.00	2,250.00	.00	1,237.19	1,012.81	1,012.81	.00	.55
53590	OTHER EXPENSES	3,000.00	3,000.00	33.21	519.57	2,480.43	2,480.43	.00	.17
535XX	OTHER EXPENSES & ADJUST	5,750.00	5,750.00	33.21	1,756.76	3,993.24	3,993.24	.00	.31
TOTAL NON-SALARY ITEMS		265,955.00	265,955.00	12,457.16	187,947.11	78,007.89	78,007.89	.00	.71

RMDSDMS
BD701-03
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EXPENDITURES

REVENUES-ESTIMATED AND ACTUAL

433121 STIP INT INC-NONOP-PROGR
433100 BSNLS LICENSE FEES
433300 CERTIFICATION FEES
433900 OTHER LIC FEES/PERMITS
433990 OTHER MISC REV-PROGRAM
438102 TRANSFER FROM 68106

REVENUES

INCREASE/(DECREASE)
IN FUND BALANCE

CASH ANALYSIS

BEGINNING BALANCE
+ CASH RECEIPTS
- CASH DISBURSEMENTS
+ ASSETS
+ LIABILITY
+ EQUITY
= ENDING BALANCE

ADDITIONAL ASSETS

TOTAL AVAILABILITY

486,992.00	486,992.00	29,425.96	381,018.44	105,973.56	105,973.56	.00	.78
5,000.00	5,000.00	321.91	4,562.22	437.78	437.78	.91	.91
400,006.00	400,006.00	260,400.00	396,325.00	3,681.00	3,681.00	.89	.89
9,250.00	9,250.00	850.00	5,500.00	3,750.00	3,750.00	.39	.39
25,618.00	25,618.00	6,589.00	31,288.00	3,670.00	3,670.00	1.22	1.22
500.00	500.00	.00	157.46	342.54	342.54	.31	.31
47,500.00	47,500.00	.00	.00	47,500.00	47,500.00	.00	.00
487,874.00	487,874.00	268,160.91	437,832.68	50,041.32	50,041.32	.90	.90
882.00	882.00	238,734.95	56,814.24	55,932.24	55,932.24	.00	64.42

701,613.58
437,832.68
381,018.44
.00
.00
758,427.82

758,427.82