



NORTH CAROLINA AUCTIONEER LICENSING BOARD

CHAIRMAN:
GARY BOYD
ALBEMARLE, NC

108 Ber Creek Drive
Fuquay-Varina, North Carolina 27526
(919) 567-2844 Fax (919) 567-2865
www.ncalb.org

Charles F. Diehl, EXECUTIVE DIRECTOR

BOARD MEMBERS:

LISA L. BROWN
CAMDEN, NC

DANIEL H. DEVANE
GARNER, NC

SUSAN ROGERS HOLDER
MOUNT AIRY, NC

VICE CHAIRMAN

WILLIAM B. LILLY, JR.
CHARLOTTE, NC

October 27, 2017

Mr. Harrison Moore
North Carolina General Assembly
300 North Salisbury Street, Suite 545
Raleigh, North Carolina 27603

Dear Mr. Moore:

Enclosed is the Annual Report for the North Carolina Auctioneer Licensing Board for the fiscal year ending June 30, 2017, as required by N.C.G.S. 93B-2.

Please advise if any additional information is needed.

Thank you,

A handwritten signature in blue ink, reading "Charles F. Diehl".

Charles F. Diehl
Executive Director



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ANNUAL REPORT

Fiscal Year 2016-2017

The following is submitted in compliance with Chapter 93B-2 of the General Statutes for the period ending June 30, 2016.

1. The address of the Board and the names of its members and officers are as listed:

N. C. Auctioneer Licensing Board
108 Ber Creek Drive
Fuquay-Varina, North Carolina 27526

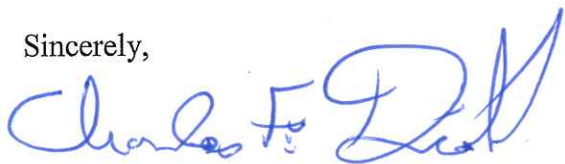
Chairman:	Gary Boyd
Vice Chairman:	William B. Lilly, Jr.
Board Members:	Susan Rogers Holder
	Lisa L. Brown
	Daniel H. DeVane

- 1a. The total number of licensees supervised by the Board is 2,549. 2,055 are Auctioneers; 6 are Apprentice Auctioneers; and 488 are Auction Firms.
2. 75 persons applied to the Board for the auctioneer examination during the 2016-2017 fiscal year.
33 persons applied to the Board for the auction firm examination during the 2016-2017 fiscal year.
3. 2 persons were refused the written examination.
4. 75 persons took the auctioneer examination during the 2016-2017 fiscal year.
32 persons took the auction firm examination during the 2016-2017 fiscal year.
5. The number to whom initial licenses were issued was 107. 69 auctioneers were issued initial licenses; 3 apprentice auctioneers were issued initial licenses; and 35 auction firms were issued initial licenses. 16 auctioneers were issued initial licenses through reciprocity.
- 5a. 13 persons failed the auctioneer examination once; 7 persons failed the auctioneer examination twice; 6 persons failed the auction firm examination once; and 0 persons failed the auction firm examination twice.

6. 16 persons applied for a license by reciprocity or comity.
7. 16 persons were granted a license by reciprocity or comity.
- 7a. 21 official complaints were received involving licensed and unlicensed activities.
- 7b. 10 disciplinary actions were taken against licensees; 6 non-disciplinary actions were taken against licensees; and 0 non-disciplinary actions were taken against non-licensees.
8. 2 licenses were revoked. 6 licenses were suspended. 1 license was voluntarily surrendered in lieu of disciplinary action. 2 licensees were reprimanded.
9. 8 licenses were terminated due to death, and 5 licenses were permanently retired.
10. At this time, there are no anticipated requests by the North Carolina Auctioneer Licensing Board to the General Assembly to amend statutes related to Auctioneer Law.
11. At this time, there are no anticipated changes in rules adopted by the North Carolina Auctioneer Licensing Board or any anticipated adoption of new rules by the North Carolina Auctioneer Licensing Board. 21 NCAC 4B is scheduled for re-adoption by February 28, 2019. That process may generate proposed new rules and/or proposed changes to existing rules, but no changes or new rules are currently anticipated.

Attached is a financial report that includes the source and amount of all funds credited to the North Carolina Auctioneer Licensing Board and the purpose and amount of all funds disbursed by the North Carolina Auctioneer Licensing Board during the previous 12 month period.

Sincerely,



Charles F. Diehl
Executive Director

RMD5B5
BD701-03
B50 NC AUCTIONEER, LLC, BOARD
28106 AUCTIONEER LICENSING BOARD

STATE OF NORTH CAROLINA GENERAL LEDGER SYSTEM
AGENCY MANAGEMENT BUDGET REPORT
FOR THE PERIOD ENDING JUNE 29, 2017
SUMMARY BY ACCOUNT

PAGE: 1
C-GI-BD701-CERT-REPORT
RUN DATE: 07/31/2017
ATBD701

ACCOUNT	DESCRIPTION	CERTIFIED	AUTHORIZED	CURRENT-MONTH	YEAR-TO-DATE	UNEXPENDED / CERTIFIED	UNREALIZED / AUTHORIZED	ENCUMBRANCES	RATE
EXPENDITURES-BUDGET AND ACTUAL									
531112	EPA-REG SALARIES-RECP	114,035.00	149,509.00	10,773.50	129,282.00	15,247.00-	20,227.00	.00	.86
531312	REG (N S) TEMP WAGES-RECP	47,050.00	47,050.00	2,601.44	30,800.89	16,249.11	16,249.11	.00	.65
531462	EPASPA-LONGVAY PAY-REC	2,291.00	2,291.00	.00	733.00	1,552.00	1,552.00	.00	.32
531472	BONUS-INCENTIVE PAY-REC	2,062.00	2,062.00	.00	.00	2,062.00	2,062.00	.00	.00
531512	SOCIAL SEC CONTRIB-RECP	13,971.00	9,920.00	820.68	9,899.23	4,071.77	20.77	.00	1.00
531522	REG RETIRE CONTRIB-RECP	9,651.00	21,231.00	1,781.68	21,230.91	11,579.91-	.09	.00	1.00
531532	LEO RETIRE CONTRIB-RECP	4,000.00	4,000.00	292.83	3,566.65	433.35	433.35	.00	.89
531562	MED INS CONTRIB-RECP	10,414.00	11,318.00	958.96	11,317.92	903.92-	64.06	.00	1.00
531576	FLEXIBLE SPENDING SVCS ACC	18,500.00	12,450.00	700.00	8,700.00	9,800.00	3,750.00	.00	.36
531651	COMPENSATION TO BOARD ME	221,974.00	259,931.00	17,931.68	215,572.54	6,401.46	44,358.46	.00	.83
531XXX	PERSONAL SERVICES	50,000.00	22,326.00	.00	22,326.00	27,674.00	1,075.00	.00	1.00
532110	LEGAL SERVICES	10,000.00	10,000.00	.00	8,925.00	1,075.00	1,075.00	.00	.89
532120	FINANCIAL/AUDIT SERVICES	21,038.00	19,442.00	2,680.00	19,441.58	1,596.42	10.42	.00	1.00
532140	OTH INFORMATION TECH SVC	49,500.00	37,309.00	1,404.33	37,308.74	12,191.26	2.26	.00	1.00
532170	ADMIN SERVICES	2,750.00	4,702.00	629.28	4,072.70	1,323.70-	.87	.00	.87
532199	MISC CONTRACTUAL SERVICE	300.00	412.00	277.00	197.21	102.79	214.79	.00	.48
532200	UTILITY/ENERGY SERVICE	1,368.00	4,600.00	523.95	3,855.74	2,491.74-	740.26	.00	.84
532500	MAINTENANCE AGREEMENTS	27,330.00	18,587.00	523.95	10,325.62	17,004.38	11,987.88	.00	.56
532700	TRAVEL/OTHER EMPLOYEE EX	20,600.00	18,994.00	2,697.48	7,006.12	13,593.88	3,996.42	.00	.37
532800	COMMUNICATION / DATA PRO	4,500.00	8,647.00	.00	4,650.56	150.58-	150.58	.00	.54
532900	OTHER SERVICES	188,036.00	145,029.00	8,212.82	118,114.29	69,921.71	26,914.71	.00	.81
533100	GENERAL ADMIN SUPPLIES	18,689.00	18,689.00	451.09	2,141.35	16,547.65	16,547.65	.00	.11
533XXX	SUPPLIES	48,580.00	48,580.00	4,047.27	48,567.24	12.76	12.76	.00	1.00
534300	BUILDINGS-CONSTRUCTED	4,000.00	6,000.00	.00	.00	4,000.00	6,000.00	.00	.00
534500	EQUIPMENT	900.00	900.00	.00	.00	900.00	900.00	.00	.00
534700	INTANGIBLE ASSETS	53,480.00	55,480.00	4,047.27	48,567.24	4,912.76	6,912.76	.00	.88
534XXX	PROPERTY, PLANT & EQUIP	500.00	2,250.00	29.14	1,741.72	508.28	508.28	.00	.77
535100	LEGAL, LICENSE, PERMIT COS	3,000.00	3,000.00	31.79	579.58	2,420.42	2,420.42	.00	.19
535800	OTHER ADMIN EXPENSES	5,750.00	5,750.00	60.93	2,321.30	3,428.70	3,428.70	.00	.40
535900	OTHER EXPENSES	265,955.00	224,948.00	12,772.11	171,144.18	94,810.82	53,803.82	.00	.76
535XXX	OTHER EXPENSES & ADJUST								
	TOTAL NON-SALARY ITEMS								

RMN5IDB5
BD701-03
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EXPENDITURES

487,929.00	484,879.00	30,703.79	386,716.72	101,212.28	98,162.28	.00	.80
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REVENUES-ESTIMATED AND ACTUAL

433121 STIP INT INC-NONOP-PROGR
435100 BSNs LICENSE FEES
435300 CERTIFICATION FEES
435900 OTHER, LLC, FEES/PERMITS
437990 OTHER MISC REV-PROGRAM
438102 TRANSFER FROM 66106

REVENUES

5,000.00	5,000.00	497.03	6,359.19	1,359.19-	1,359.19-	1.27
400,943.00	400,943.00	249,450.00	328,575.00	72,368.00	72,368.00	.82
9,250.00	6,400.00	700.00	5,750.00	3,500.00	650.00	.90
25,618.00	25,618.00	5,566.00	23,613.00	2,005.00	2,005.00	.92
500.00	300.00	.00	1.00	499.00	299.00	.00
47,500.00	47,500.00	.00	.00	47,500.00	47,500.00	.00
488,811.00	485,761.00	256,213.03	364,298.19	124,512.81	121,462.81	.75
882.00	882.00	225,509.24	22,418.53-	23,300.53	23,300.53	.00 25.42-

INCREASE/(DECREASE)
IN FUND BALANCE

CASH ANALYSIS

BEGINNING BALANCE
+ CASH RECEIPTS
- CASH DISBURSEMENTS
+ ASSETS
+ LIABILITY
+ EQUITY
= ENDING BALANCE

758,427.82
364,298.19
386,716.72
.00
.00
736,009.29

ADDITIONAL ASSETS

TOTAL AVAILABILITY

736,009.29