



NORTH CAROLINA AUCTIONEER LICENSING BOARD

CHAIRMAN:
WILLIAM B. LILLY, JR.
CHARLOTTE, NC

108 Ber Creek Drive
Fuquay-Varina, North Carolina 27526
(919) 567-2844 Fax (919) 567-2865
www.ncalb.org

CHARLES F. DIEHL, EXECUTIVE DIRECTOR

BOARD MEMBERS:
LISA L. BROWN
CAMDEN, NC

WILLIE A. JOHNSON
GREENSBORO, NC

VICE CHAIRMAN:
GARY BOYD
ALBEMARLE, NC

LISA D. YORK
NEW HILL, NC

ANNUAL REPORT

Fiscal Year 2017-2018

The following is submitted in compliance with Chapter 93B-2 of the General Statutes for the period ending June 30, 2018.

1. The address of the Board and the names of its members and officers are as listed:

N. C. Auctioneer Licensing Board
108 Ber Creek Drive
Fuquay-Varina, North Carolina 27526

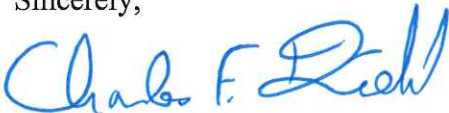
Chairman: William B. Lilly, Jr.
Vice Chairman: Gary Boyd
Board Members: Susan Rogers Holder
Lisa L. Brown
Daniel H. DeVane

- 1a. The total number of licensees supervised by the Board is 2,607. 2,091 are Auctioneers; 9 are Apprentice Auctioneers; and 507 are Auction Firms.
2. 66 persons applied to the Board for the auctioneer examination during the 2017-2018 fiscal year.
15 persons applied to the Board for the auction firm examination during the 2017-2018 fiscal year.
3. 1 person was refused the written examination.
4. 66 persons took the auctioneer examination during the 2017-2018 fiscal year.
15 persons took the auction firm examination during the 2017-2018 fiscal year.
5. The number to whom initial licenses were issued was 103. 48 auctioneers were issued initial licenses; 3 apprentice auctioneers were issued initial licenses; and 36 auction firms were issued initial licenses. 16 auctioneers were issued initial licenses through reciprocity.
- 5a. 17 persons failed the auctioneer examination once; 6 persons failed the auctioneer examination twice; 3 persons failed the auction firm examination once; and 0 persons failed the auction firm examination twice.

6. 17 persons applied for a license by reciprocity or comity.
7. 16 persons were granted a license by reciprocity or comity.
- 7a. 21 official complaints were received involving licensed and unlicensed activities.
- 7b. 4 disciplinary actions were taken against licensees; 5 non-disciplinary actions were taken against licensees; and 0 non-disciplinary actions were taken against non-licensees.
8. 0 licenses were revoked. 2 licenses were suspended. 0 licenses were voluntarily surrendered in lieu of disciplinary action. 2 licensees were reprimanded.
9. 0 licenses were terminated due to death, and 0 licenses were permanently retired.
10. At this time, there are no anticipated requests by the North Carolina Auctioneer Licensing Board to the General Assembly to amend statutes related to Auctioneer Law.
11. As of the effective date of this FYE June 30, 2018 Annual Report there were no known anticipated changes in rules adopted by the North Carolina Auctioneer Licensing Board or any known anticipated adoption of new rules by the North Carolina Auctioneer Licensing Board. 21 NCAC 4B is scheduled for re-adoption by February 28, 2019. That process may generate proposed new rules and/or proposed substantive and non-substantive changes to existing rules, but no specific anticipated proposed changes or specific anticipated proposed new rules were known as of June 30, 2018. Proposed readopted rules and any proposed new rules under 21 NCAC 4B are expected to be posted at www.ncalb.org and www.ncoah.com/rules, respectively, by December 1, 2018.

Attached is a financial report that includes the source and amount of all funds credited to the North Carolina Auctioneer Licensing Board and the purpose and amount of all funds disbursed by the North Carolina Auctioneer Licensing Board during the previous 12 month period.

Sincerely,



Charles F. Diehl
Executive Director

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ATBD701

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EXPENDITURES-BUDGET AND ACTUAL

531112	EPI-REG	SALARIES-RECEP	114,035.00	149,509.00	11,041.66	132,499.92	18,464.92	17,009.08	.00	.88
531312	REGIN	TEMP WAGES-RECEP	47,050.00	47,050.00		30,390.58	16,659.42	16,659.42	.00	.65
531462	EPASAPR	-LONGTLY PAY-REC	2,291.00	2,291.00	2,177.79	773.00	1,518.00	1,518.00	.00	.34
531472	BONUS-INCENTIVE	PAY-RECEP	2,062.00	2,062.00	.00	2,062.00	2,062.00	2,062.00	.00	.00
531512	SOCIAL SEC	CONTRIB-RECEP	13,971.00	16,688.00	793.46	9,819.23	4,151.77	6,662.00	.00	.59
531522	REG RETIRE	CONTRIB-RECEP	20,836.00	26,555.00	1,891.44	22,829.70	1,993.53	3,725.30	.00	.86
531532	LEO RETIRE	CONTRIB-RECEP	4,000.00	4,000.00	306.01	3,727.23	272.77	3,727.77	.00	.93
531562	MED INS CONTRIB	-RECEP	11,738.00	11,738.00	997.36	11,737.92	.08	.08	.00	1.00
531576	FLEXIBLE	SPENDING SVG ACC	.00	50.00	2.27	27.60	27.60	22.40	.00	.55
531651	COMPENSATION	TO BOARD ME	18,500.00	13,790.00	877.20	8,769.35	9,730.65	5,020.65	.00	.64
531XXX	PERSONAL SERVICES		234,483.00	273,730.00	18,081.19	220,574.53	13,908.47	53,155.47	.00	.81
532110	LEGAL	SERVICES	38,433.00	9,728.00	288.00	8,297.00	30,156.00	1,451.00	.00	.85
532120	FIRMAN/AUDIT	SERVICES	10,000.00	10,000.00	.00	9,295.00	705.00	705.00	.00	.93
532140	OTH INFORMATION	TECH SVC	21,036.00	13,544.00	1,525.00	13,543.24	7,494.76	.00	.00	1.00
532170	ADMIN	SERVICES	650.00	650.00	.00	650.00	650.00	650.00	.00	.00
532199	MISC CONTRACTUAL	SERVICE	49,500.00	43,342.00	21,070.90	43,341.98	6,158.02	.02	.00	1.00
532200	UTILITY/ENERGY	SERVICE	2,750.00	4,103.00	322.45	4,101.56	1,351.56	1.00	.00	1.00
532400	MAINTENANCE	AGREEMENTS	300.00	300.00	.00	2.03	297.97	297.97	.00	.01
532500	RENTAL / LEASES		1,368.00	4,693.00	277.78	4,692.17	3,324.17	1.00	.83	1.00
532600	TRAVEL / OTHER	EMPLOYEE EX	25,053.00	19,091.00	1,113.21	10,373.11	14,679.89	8,717.89	.00	.54
532800	COMMUNICATION	/ DATA PRO	18,323.00	17,977.00	2,360.58	6,480.56	11,842.44	11,496.44	.00	.36
532900	OTHER	SERVICES	4,500.00	8,651.00	.00	4,330.40	169.60	4,360.60	.00	.50
532XXX	PURCHASED SERVICES		171,915.00	132,119.00	26,957.92	104,437.05	67,477.95	27,681.95	.00	.79
533100	GENERAL	ADMIN SUPPLIES	18,689.00	18,689.00	119.13	1,945.38	16,743.62	16,743.62	.00	.10
533XXX	SUPPLIES		18,689.00	18,689.00	119.13	1,945.38	16,743.62	16,743.62	.00	.10
534300	BUILDINGS-CONSTRUCTED		48,580.00	209,016.00	.00	209,015.96	160,435.96	.04	.00	1.00
534500	EQUIPMENT		4,000.00	4,000.00	.00	4,000.00	4,000.00	4,000.00	.00	.00
534700	INTANGIBLE	ASSETS	900.00	1,449.00	549.00	549.00	351.00	900.00	.00	.38
534XXX	PROPERTY, PLANT & EQUIP		53,480.00	214,465.00	549.00	209,564.96	156,084.96	4,900.04	.00	.98
535100	LEGAL, LICENSE, PERMIT	COS	500.00	500.00	.00	.00	500.00	500.00	.00	.00
535800	OTHER	ADMIN EXPENSES	2,250.00	2,250.00	71.68	1,287.04	962.96	.57	.96	.00
535900	OTHER	EXPENSES	3,000.00	3,000.00	21.62	180.11	2,819.89	2,819.89	.00	.06
535XXX	OTHER EXPENSES & ADJUST		5,750.00	5,750.00	93.30	1,467.15	4,282.85	4,282.85	.00	.26
TOTAL NON-SALARY ITEMS			249,834.00	371,023.00	27,719.35	317,414.54	67,580.54	53,608.46	.00	.86

RMDSID35 CERTIFIED ON 07/09/2018
 BD701-03
 B50 NC AUCTIONEER LIC. BOARD
 28106 AUCTIONEER LICENSING BOARD

STATE OF NORTH CAROLINA GENERAL LEDGER SYSTEM
 AGENCY MANAGEMENT BUDGET REPORT
 FOR THE PERIOD ENDING JUNE 29, 2018

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SUMMARY BY ACCOUNT

ACCOUNT	DESCRIPTION	CERTIFIED	BUDGET AUTHORIZED	CURRENT-MONTH	YEAR-TO-DATE	UNEXPENDED / CERTIFIED	UNREALIZED AUTHORIZED	ENCUMBRANCES	RATE
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EXPENDITURES

REVENUES-ESTIMATED AND ACTUAL

433121 STIP INT INC-NONOP-PROGR
 435100 BSNB LICENSE FEES
 435300 CERTIFICATION FEES
 435900 OTHER LIC. FEES/PERMITS
 437990 OTHER MISC REV-PROGRAM
 438102 TRANSFER FROM 68106

REVENUES

INCREASE/(DECREASE)
 IN FUND BALANCE

484,317.00	644,753.00	45,800.54	537,989.07	53,672.07-	106,763.93	.00	.83
5,000.00	5,000.00	473.58	6,542.18	1,542.18-	1,542.18-	1.31	
400,381.00	400,381.00	230,100.00	310,250.00	90,131.00	90,131.00	.77	
6,400.00	6,400.00	1,100.00	5,150.00	1,250.00	1,250.00	.80	
25,618.00	25,618.00	5,830.00	22,960.00	2,658.00	2,658.00	.90	
300.00	300.00	.00	.00	300.00	300.00	.00	
47,500.00	47,500.00	.00	.00	47,500.00	47,500.00	.00	
485,199.00	485,199.00	237,503.58	344,902.18	140,296.82	140,296.82	.71	
882.00	159,554.00-	191,703.04	193,086.89-	193,968.89	33,532.89	.00	1.21

CASH ANALYSIS

BEGINNING BALANCE
 + CASH RECEIPTS
 - CASH DISBURSEMENTS
 + ASSETS
 + LIABILITY
 + EQUITY
 = ENDING BALANCE

736,009.29
 344,902.18
 537,989.07
 .00
 .00
 542,922.40

ADDITIONAL ASSETS

TOTAL AVAILABILITY

542,922.40