Roy Cooper, Governor Eddie M. Buffaloe, Jr., Secretary Vincent "Bud" Cesena, Chair Paul Sherwin, Director

February 22, 2022

TO: N.C. Secretary of State

N.C. Attorney General

N.C. Joint Legislative Administrative Procedure Oversight Committee

FROM: Paul Sherwin, Board Director

SUBJECT: FY21 Annual Report, pursuant to NCGS 93B-2(a)

- (1) The address of the board, and the names of its members and officers.
 - The Private Protective Services Board is located at 3101 Industrial Drive, Raleigh, North Carolina 27609. See attached documents for a listing of the Board members and officers.
- (1a) The total number of licensees supervised by the board.
 - 2864
- (2) The number of persons who applied to the board for examination.
 - ()
 - An examination administered by a third-party designated by the Board is required for licensure as a polygraph examiner and psychological stress evaluator; however, the Board does not administer its own exams.
- (3) The number who were refused examination.
 - 0
- (4) The number who took the examination.
 - 0
- (5) The number to whom initial licenses were issued.
 - 260
- (5a) The number who failed the examination.
 - 0

MAILING ADDRESS: 3101 Industrial Drive, Suite 104 Raleigh, NC 27609



OFFICE LOCATION: 3101 Industrial Drive, Suite 104 Raleigh, NC 27609 Telephone: (919) 788-5320

Email: ppsasl@ncdps.gov

- (6) The number who applied for license by reciprocity or comity.
 - 0
 - The Private Protective Services Board does have reciprocal agreements with various states. However, there is no application process. If an individual has a license from a state that North Carolina has an agreement with, the individual can come to North Carolina and work on specific cases without informing this agency.
- (7) The number who were granted licenses by reciprocity or comity.
 - 0
- (7a) The number of official complaints received involving licensed and unlicensed activities.
 - 60
- (7b) The number of disciplinary actions taken against licensees, or other actions taken against non-licensees, including injunctive relief.
 - 49
- (8) The number of licenses suspended or revoked.
 - 8
- (9) The number of licenses terminated for any reason other than failure to pay the required Renewal fee.
 - 0
- (9a) The number of applicants for a license and, of that number, the number granted a license.
 - Applied 1,010; Granted: 1,000
- (9b) The number of applicants with a conviction record and, of that number, the number granted a license, denied a license for any reason, and denied a license because of a conviction.
 - Number of applicants with a conviction record: 10
 - o The number granted a license: 6
 - o The number denied a license for any reason: 3
 - The number denied a license because of a conviction: 1
- (9c) The number of applicants with military training, the number granted a license, the number denied a license for any reason, and a summary of the reasons for denial. The information provided in accordance with this subdivision shall not disclose any identifying information of any applicant.
 - Number of applicants with military training: 313
 - The number denied: 4 (failure to complete application process; criminal history; lack of relevant job experience; and/or unfavorable employment history)

- (9d) The number of applicants who are military spouses, the number granted a license, the number denied a license for any reason, and a summary of the reasons for denial. The information provided in accordance with this subdivision shall not disclose any identifying information of any applicant.
 - Number of applicants who are military spouses: 0
 - o The number denied: 0
- (10) The substance of any anticipated request by the occupational licensing board to the General Assembly to amend statutes related to the occupational licensing board.
 - See Senate Bill 424 (2021-2022 session)—seeks to make technical updates to NCGS 74C and add two new occupational licenses.
- (11) The substance of any anticipated change in rules adopted by the occupational Licensing board or the substance of any anticipated adoption of new rules by the occupational licensing board.
 - The following rules were amended to permanently adopt emergency rules that were implemented in response the COVID-19 pandemic:
 - 14B NCAC 16 .0201, .0806, .0904, .1202, .1203 (proposed effective date Dec. 1, 2021)
 - The following rules are in the process of being amended to clarify the definition of "Qualifying Agent" and "Registered Agent;" adds new criminal history reporting requirements; clarifies polygrapher examination requirements; corrects required training hours for armed private investigators; clarifies firearms qualification criteria; raises the fee for a firearms instructor certification; and transfers continuing education requirements to a more appropriate section of the rules.
 - 14B NCAC 16 .0110, .0501, .0807, .0903, .1203 (proposed effective date Dec. 1, 2021)
 - The following rules are being adopted (.0115) and amended (.0113) to clarify the term "registered agent" and establish a rule detailing the Board's procedure for receiving complaints.
 - o 14B NCAC 16 .0115 and .0113 (proposed effective date Feb. 1, 2022)

NORTH CAROLINA PRIVATE PROTECTIVE SERVICES BOARD Members and Officers

Vincent Cesena **CHAIRMAN**

Steve Johnson

VICE-CHAIRMAN

MEMBERS OF THE BOARD

M. Greg Scott
Nada Lawrimore
Jerry Pitman
Gerald Stickl
Debra Duncan
Stacy Buff
Ron Burris
Tamara Rabenold
W. Sam Russell
Dave Stephens
Kim Heffney
Assata Buffaloe

Paul Sherwin

DIRECTOR

Amanda Rolle **DEPUTY DIRECTOR**

EXECUTIVE OFFICES

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