

BUDGET NARRATIVE BY YEAR

The following budget narrative describes the cost components of the proposed NC P20+ State Longitudinal Data System (NC P20+). NCDPI is the lead entity, in addition to its work within its sector, and will serve as the fiscal agent for the NC P20+ effort. Pass-through funding will be provided to partner entities accompanied by the appropriate written agreements for their work toward achieving project outcomes. Partners include NCCCS, NCECSC, NCICU, UNC, and NCECDG, and UNC-Chapel Hill's Frank Porter Graham (FPG) Child Development Institute. For this proposal, budget support is provided to all sector partners, including NCDPI, for their sector-specific development and implementation activities. Budget support is also provided to NCDPI for its role as overall project administrator and for development of the NC Education Data Hub

Total Cost	18,063,674
Year 1	7,971,405
Year 2	6,282,664
Year 3	3,809,605

	Year 1	Year 2	Year 3	Total
Outcome 1.1	11,955	11,955	11,955	35,865
Outcome 1.3	85,753	84,758	87,301	257,812
Outcome 1.4	85,753	84,758	87,301	257,812
Outcome 1.5	413,558	204,080	210,204	827,842
Outcome 2.2	678,855	179,502	183,083	1,041,440
Outcome 2.3	281,946	795,855	345,132	1,422,933
Outcome 3.1	621,133	119,322	122,901	863,356
Outcome 3.2	352,830	60,180	60,180	473,190
Outcome 4.1	5,131,149	4,491,503	2,500,950	12,123,602
Outcome 4.3	254,536	150,450	100,300	505,286
Outcome 5.3	53,936	100,300	100,300	<u>254,536</u>
				18,063,674

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Descriptions of costs for all years are presented below by year and cost category. The budget descriptions for partners are presented in alphabetical order within each year under the contracts section. Also presented are three-year budget descriptions by outcome, with the partners presented alphabetically in the contracts section.

YEAR 1 – \$8,025,0234

Direct Personnel - \$278,200

Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.

Direct personnel costs are comprised of \$178,200 for an Administrative Assistant (100%) for the Project Management Office, Functional Systems Analysts (2) (100%) and \$100,000 for Technical staff (\$100,000 release time)

In-kind effort in the amount of \$88,685 is provided by the following personnel

Adam Levinson, Project Director, 25%

Gerald Thompson, 5%

Karl Pond, 30%

Michael Veckenstedt, 5%

Peter Asmar, NC DPI CIO, 10%

Philip Price, NC DPI CFO, 5%

Direct Fringe Benefits - \$55,640

Fringe benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

In-kind contributions of \$17,737 are for the fringe benefits associated with the in-kind effort.

Travel - \$4,800

Funds are budgeted at \$1,200/person for four people to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. Those traveling include the Project Director, one of the Systems Analysts, and members of the NC DPI Enterprise Data Management staff (1 for project management and 3 for the NC DPI sector).

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Equipment - \$338,000

\$240,000 is budgeted for 30 servers at \$8,000/each for the NC DPI sector infrastructure development. \$48,000 is budgeted for agent servers at \$8,000/each for each of the 6 sectors. \$50,000 is budgeted for additional hardware to handle the increased load to support the UID system.

Supplies - \$9,000

Expendable supplies include \$9,000 to acquire three lap top computers to be used by the system analyst(s), administrative assistance and technical staff.

Contractual - \$7,139,314

- *Contracted Services* - \$2,146,500 – comprised of \$247,500 for a contracted program manager and \$99,000 for a contracted program assistant manager to provide day-to-day management for the entire project (including sector integration), \$300,000 for a license extension and \$200,000 for enhancements for the UID software, \$500,000 to establish the data exchange middleware, \$300,000 for SIF/PESC Agents for each sector (6 at \$50,000/each), \$200,000 to establish and implement the data dictionary, \$250,000 contracted support for data audit and \$50,000 contracted support for structured documentation and communication of stakeholder needs.
- *Vendors and Consultants* - \$1,490,000 – comprised of \$840,000 for vendor-provided services/license for NCDPI to establish messaging standardization among source systems; \$300,000 for vendor-provided services for upgrade of the front-end controls on source system; \$200,000 contracted support for IT integration management; and \$150,000 contracted support for other technology contractors.

Partner Entities – Pass-through funds exempt from F&A base

NCECDG - \$262,500

- Contractual - \$250,000 is budgeted to established contractual services for Smart Start-related data: software application (GEMS) 75 partnerships @ \$10,000 per, including 1 time start up expenses plus user fees, phased in over three years.
- F&A – \$12,500 is budgeted for F&A at the approved F&A rate is 5% of total direct costs.

NCCCS - \$665,732

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$247,000 – comprised of \$40,000 for a to-be-hired Director of Academic Services (50%); \$120,000 for a to-be-hired project manager (100%) and \$87,000 for a P20+ database administrator (100%).
- In-kind effort in the amount of \$5,000 is provided by the Associate Vice President for Planning and Research – Keith Brown (5%)
- Direct Fringe Benefits - \$61,750 - Fringe benefits are budgeted at 25% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

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- In-kind fringe benefits contributions of \$1,250 are for the fringe benefits associated with the in-kind effort.
- Travel - \$2,200 – comprised of \$1,200 for the project manager to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. \$1,000 is budgeted for in-state travel for the project manager and other project staff to travel state-wide to engage the 58 community colleges and to engage sector partners and stakeholders. Mileage is budgeted at the current state rate of .55/mile.
- Equipment - \$160,000 – comprised of \$15,000 for 2 database servers (32GB RAM x 4 processors (2 cores each) at \$7,500/each; \$15,000 to 2 VM Physical Servers (32GB RAM x 4 processors 2 cores each) at \$7,500/each; and 2 network-based storage arrays (iSCSI devices/9.6TB raw storage) at \$65,000/each
- Supplies - \$9,000 - expendable supplies include \$9,000 to acquire three lap top computers to be used by the project manager, database administrator and director of academic services.
- Other - \$132,000 comprised of \$125,000 to acquire via contract the necessary software components; \$5,000 is for communication support (long distance phone/fax) and \$2,000 for publication and printing of information materials for campuses and stakeholders.
- F&A - \$53,782 is budgeted for F&A at the approved rate of 11.9% of modified total direct costs.

NCESC – \$821,982

- Direct Personnel - \$117,242 to support Information Systems staff (72%) and Labor Market Information staff (20%)
- In-kind effort in the amount of \$12,087 is contributed by Betty McGrath (5%) and Bob Cottrell (5%).
- Direct Fringe Benefits - \$26,062. Fringe benefits are budgeted at 17.90% plus \$4,527/annual FTE for health insurance and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- In-kind contributions of \$2,417 are for the fringe benefits associated with the in-kind effort.
- Travel - \$1,200 for the project manager to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor.
- Equipment - \$650,430 comprised of \$151,290 to acquire and provision new server(s)[2] for the database and \$499,140 to acquire via contract the necessary software components and related maintenance agreement.

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- Supplies - \$1,905 for consumable supplies associated with the project. \$168 is provided in-kind toward supplies.
- Contractual - \$4,420 is budgeted for hourly IT support services (68 hours @ \$65/hr.)
- Other - \$4,895 is budgeted for communication (long distance phone/fax) and engagement of stakeholders and sector partners.
- F&A - \$15,828 is budgeted at the approved rate of 13.50% of salaries only.

NCICU - \$934,200

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$175,000 comprised of \$100,000 for a system administrator (100%) and \$75,000 for an assistant system administrator (100%).
- In-kind effort in the amount of \$17,871 is provided from 5% of Hope Williams project director and 5% of Tim McDowell
- Direct Fringe Benefits – \$45,500 –Fringe benefits are budgeted at 26% and will be charged at actual amount in accordance with institutional practice.
- In-kind contributions of \$4,646 are for the fringe benefits associated with the in-kind effort.
- Travel - \$1,200 for the project manager to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on institutional travel policy.
- Equipment - \$444,600 comprised of: \$30,000 for a primary server to be housed at MCNC; \$30,000 for a backup server in Rutherfordton, NC; \$60,000 for hosting/service agreements with MCNC; \$60,000 for storage/disc array; \$1,000 for installation; \$174,800 for associated and necessary software licenses; \$40,000 for database software maintenance; and \$48,800 for the development and deployment of reporting tools.
- Supplies \$6,000 - expendable supplies include \$6,000 to acquire two lap top computers to be used by the system administrator and the assistant system administrator.
- Contractual - \$230,000 in contractual services to acquire system design consulting services. Procurement actions will be in accordance with institutional practice.
- Other - \$14,400 is budgeted for communication (long distance phone/fax) and engagement of the 39 system campuses state-wide, stakeholders and sector partners.
- F&A - \$17,500 is budgeted at a temporary rate of 10% of salaries and wages – the entity is submitting an indirect cost rate proposal for negotiation.

UNC-Chapel Hill FPG Child Development Institute - \$210,848

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$110,811 comprised of \$66,303 for a project co-director (100% allocated across multiple outcomes), \$25,974 in temporary hourly support for a data systems specialist; \$18,182 in temporary hourly support for a research administrative assistance and \$352 for a processing specialist (1%).
- In-kind effort in the amount of \$4,750 is provided from Patrice Neal (5%)

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- Direct Fringe Benefits – \$20,921 – Fringe benefits are budgeted at 19.4% plus \$4,527/annual FTE for health insurance and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- In-kind contributions of \$1,149 are for the fringe benefits associated with the in-kind effort.
- Travel - \$9,120 comprised of \$1,200 for the project manager to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. Mileage is budgeted at the current state rate of .55/mile. \$5,544 is for in-state travel for multiple meetings of project partners, stakeholders, parent representatives, agency representatives and for the convening of advisory committee meetings. \$2,376 is budgeted for up to two project staff to participate in out-of-state exceptional child-focused data systems conferences or meetings.
- Supplies - \$5,360 in consumable supplies and charges associated with repair/maintenance of existing equipment.
- Contractual - \$27,952 in contractual services comprised of \$20,700 in consulting fees for state- and national-level exceptional child data experts in an amount not to exceed \$1,000/day and \$7,252 for consultant travel to UNC-CH.
- Other - \$17,516 is comprised of \$1,292 for televideo, long distance phone/fax charges, \$10,120 for design and printing of information sharing materials for NCECDG stakeholders, \$1,154 for stipends for parents participation in planning meetings and \$4,950 for meeting expenses (regionally-based stakeholders and sector partners) including room rental.
- F&A - \$19,168 is budgeted at a rate of 10% on total direct costs. The approved F&A rate for UNC-CH is 48% on a modified total direct cost base. UNC-CH has approved a waiver of the approved rate down to 10% of total direct costs for this proposal.

UNC-GA - \$607,552

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$210,000 – comprised of \$120,000 for a to-be-hired data architect/project co-director (100%) and \$90,000 for a to-be-hired database administrator (100%).
- In-kind effort in the amount of \$5,727 is provided by Alisa Chapman (5%).
- Direct Fringe Benefits - \$52,500 - Fringe benefits are budgeted at 25% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- In-kind contributions of \$1,432 are for the fringe benefits associated with the in-kind effort.

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- Travel - \$2,200 comprised of \$1,200 for the project director or co-director to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. \$1,000 is budgeted for in-state travel for the project manager and other project staff to travel state-wide to engage the 16 campuses and to engage sector partners and stakeholders. Mileage is budgeted at the current state rate of .55/mile.
- Equipment - \$160,000 – comprised of \$15,000 for 2 database servers (32GB RAM x 4 processors (2 cores each) at \$7,500/each; \$15,000 to 2 VM Physical Servers (32GB RAM x 4 processors 2 cores each) at \$7,500/each; and 2 network-based storage arrays (iSCSI devices/9.6TB raw storage) at \$65,000/each
- Supplies - \$6,000 - expendable supplies include \$6,000 to acquire two lap top computers to be used by the data architect/co-project director and database administrator.
- Other - \$132,500 comprised of \$125,000 budgeted to acquire via contract the necessary software components [VMWare VM virtualization licenses for 2 servers (1 in production and 1 hotsite). ORACLE: OLAP, Partitioning, Data Integrator Enterprise, Data Mining, Business Intelligence Suite and annual maintenance]; \$5,000 is for communication support (televideo, long distance phone/fax) and \$2,500 for publication and printing of information materials for campuses and stakeholders and meeting support for campus institutional research directors on ORCAL database transition.
- F&A - \$44,352 is budgeted for F&A at the approved rate of 11% of modified total direct costs.

Other - \$15,000

Funds are requested to support stakeholder engagement activities including communication, requirements gathering, regional stakeholder discussion sessions and marketing of system development/capabilities.

Facilities and Administrative Costs - \$107,608, \$23,843 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

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YEAR 2 – \$6,282,664

Personnel - \$286,546

Personnel costs and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.

Direct personnel costs are comprised of \$183,456 for the Administrative Assistant for the Project Management Office (100%) and Functional Systems Analysts (2) (100%) and \$103,000 release time for Technical staff

In-kind effort in the amount of \$90,621 is provided by the following personnel

Adam Levinson, Project Director, 25%

Gerald Thompson, 5%

Karl Pond, 30%

Michael Veckenstedt, 5%

Peter Asmar, NC DPI CIO, 10%

Philip Price, NC DPI CFO, 5%

Fringe Benefits - \$57,309

Fringe benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

In-kind contributions of \$18,123 are for the fringe benefits associated with the in-kind effort.

Travel - \$4,800

Funds are budgeted at \$1,200/person for four people to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. Those traveling include the Project Director, the Systems Analyst, and members of the NC DPI Enterprise Data Management staff (1 for project management and 3 for the NC DPI sector).

Equipment - \$40,000

Funds are budgeted for provisioning/wiring and maintenance for the 36 servers purchased in year 1.

Contractual - \$5,808,758

- Contracted Services - \$726,895 comprised of \$254,925 for contracted program manager and \$101,970 for contract program assistant manager to provide day-to-day management of the entire project, \$60,000 for maintenance agreement on the license extension for the UID software, \$60,000 for maintenance on the SIF/PESC software/hardware, \$150,000

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contracted support for data audit and \$100,000 contracted support for structured documentation and communication of stakeholder needs.

- Vendors and consultants - \$2,390,000 comprised of \$1,540,000 for vendor-provided services/license for NCDPI to establish messaging standardization among source systems; \$500,000 for vendor-provided services for upgrade front-end controls on source system; \$200,000 contracted support for IT integration management; and \$150,000 contracted support for other technology contractors.

Partner Entities – Pass-through funds

NCECDG - \$286,125

- Contractual - \$272,500 – comprised of \$250,000 to establish contractual services for Smart Start-related data: software application (GEMS) 75 partnerships @ \$10,000 per, including 1 time start up expenses plus user fees, phased in over three years and \$22,500 services for Head Start to enhance existing state-level system with local interface to accommodate child-level data, add common data files and enable assignment of NC-WISE UIDs.
- F&A – \$13,625 is budgeted for F&A at the approved F&A rate is 5% of total direct costs.

NCCCS - \$403,638

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$254,410 – comprised of \$41,200 for a to-be-hired Director of Academic Services (50%) \$123,600 for a to-be-hired project manager (100%) and \$89,610 for a P20+ database administrator (100%).
- In-kind effort in the amount of \$5,150 is provided by the Associate Vice President for Planning and Research – Keith Brown (5%)
- Direct Fringe Benefits - \$63,603 - Fringe benefits are budgeted at 25% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- In-kind contributions of \$1,288 are for the fringe benefits associated with the in-kind effort.
- Travel - \$2,700 – comprised of \$1,200 for the project manager to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. \$1,500 is budgeted for in-state travel for the project manager and other project staff to travel state-wide to engage the 58 community colleges and to engage sector partners and stakeholders. Mileage is budgeted at the current state rate of .55/mile.
- Other - \$40,000 comprised of \$25,000 budgeted for maintenance agreements associated with software purchased in year 1, \$5,000 for communication support

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(long distance phone/fax) and \$10,000 for publication and printing of information materials for campuses and stakeholders.

- F&A - \$36,653 is budgeted for F&A at the approved rate of 11.9% of modified total direct costs.

NCESC – \$132,721

- Direct Personnel - \$38,155 to support Information Systems (10%) and Labor Market Information staff (20%).
- In-kind effort in the amount of \$12,450 is contributed by Betty McGrath (5%) and Bob Cottrell (5%).
- Direct Fringe Benefits - \$9,075. Fringe benefits are budgeted at 17.90% plus \$4,527/annual FTE for health insurance and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- In-kind contributions of \$2,490 are for the fringe benefits associated with the in-kind effort.
- Travel - \$1,200 for the project manager to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor.
- Equipment - \$76,140 to acquire via contract the necessary maintenance agreements for the hardware/software obtained in year 1.
- Supplies - \$840 for consumable supplies associated with the project. \$168 is provided in-kind toward supplies.
- Other - \$2,160 is budgeted for communication (long distance phone/fax) and engagement of stakeholders and sector partners.
- F&A - \$5,151 is budgeted at the approved rate of 13.50% of salaries only.

NCICU - \$1,306,140

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$180,250 comprised of \$103,000 for a system administrator (100%) and \$77,250 for an assistant system administrator (100%).
- In-kind effort in the amount of \$18,407 is provided from 5% of Hope Williams project director and 5% of Tim McDowell
- Direct Fringe Benefits – \$46,865 –Fringe benefits are budgeted at 26% and will be charged at actual amount in accordance with institutional practice.
- In-kind contributions of \$4,785 are for the fringe benefits associated with the in-kind effort.
- Travel - \$1,200 for the project manager to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on institutional travel policy.

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- Equipment - \$110,600 comprised of: \$60,000 for hosting/service agreements with MCNC; \$41,800 for associated and necessary software licenses and \$8,800 for the development and deployment of reporting tools.
- Contractual - \$836,000 comprised of \$336,000 in contractual services to acquire system design consulting services and \$500,000 in contractual services for campus consultants – assets to be allocated according to campus need. Procurement actions will be in accordance with institutional practice.
- Other - \$113,200 is comprised of \$64,800 for training of personnel from 36 of the campuses, \$30,400 for the production and distribution of training materials and \$18,000 for communication (long distance phone/fax) and engagement of the 39 system campuses state-wide, stakeholders and sector partners.
- F&A - \$18,025 is budgeted at a temporary rate of 10% of salaries and wages – the entity is submitting an indirect cost rate proposal for negotiation.

UNC-Chapel Hill FPG Child Development Institute - \$213,506

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$114,135 comprised of \$68,292 for a project co-director (100% allocated across multiple outcomes), \$26,753 in temporary hourly support for a data systems specialist; \$18,728 in temporary hourly support for a research administrative assistance and \$362 for a processing specialist (1%).
- In-kind effort in the amount of \$4,893 is provided from Patrice Neal (5%)
- Direct Fringe Benefits – \$21,549 – In-kind contributions of \$1,183 are for the fringe benefits associated with the in-kind effort. Fringe benefits are budgeted at 19.4% plus \$4,527/annual FTE for health insurance and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- Travel - \$9,120 comprised of \$1,200 for the project manager to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. Mileage is budgeted at the current state rate of .55/mile. \$5,544 is for in-state travel for multiple meetings of project partners, stakeholders, parent representatives, agency representatives and for the convening of advisory committee meetings. \$2,376 is budgeted for up to two project staff to participate in out-of-state exceptional child-focused data systems conferences or meetings.
- Supplies - \$4,975 in consumable supplies and charges associated with repair/maintenance of existing equipment.
- Contractual - \$27,952 in contractual services comprised of \$20,700 in consulting fees for state- and national-level exceptional child data experts in an amount not to exceed \$1,000/day and \$7,252 for consultant travel to UNC-CH.
- Other - \$16,366 is comprised of \$1,192 for televideo, long distance phone/fax charges, \$9,100 for design and printing of information sharing materials for

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NCECDG stakeholders, \$1,154 for stipends for parents participation in planning meetings and \$4,920 for meeting expenses (regionally-based stakeholders and sector partners) including room rental.

- F&A - \$19,409 is budgeted at a rate of 10% on total direct costs. The approved F&A rate for UNC-CH is 48% on a modified total direct cost base. UNC-CH has approved a waiver of the approved rate down to 10% of total direct costs for this proposal.

UNC-GA - \$349,733

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$216,300 – comprised of \$123,600 for a to-be-hired data architect/project co-director (100%) and \$92,700 for a to-be-hired database administrator (100%).
- In-kind effort in the amount of \$5,899 is provided by Alisa Chapman (5%).
- Direct Fringe Benefits - \$54,075 - Fringe benefits are budgeted at 25% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- In-kind contributions of \$1,475 are for the fringe benefits associated with the in-kind effort.
- Travel - \$2,200 comprised of \$1,200 for the project director or co-director to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. \$1,000 is budgeted for in-state travel for the project manager and other project staff to travel state-wide to engage the 16 campuses and to engage sector partners and stakeholders. Mileage is budgeted at the current state rate of .55/mile.
- Other - \$42,500 - comprised of \$25,000 is budgeted for maintenance agreements for the associated hardware and software purchased in year 1; \$5,000 is for communication support (televideo, long distance phone/fax) and \$12,500 for publication and printing of information materials for campuses and stakeholders and meeting support for campus institutional research directors on ORCAL database transition.
- F&A - \$34,658 is budgeted for F&A at the approved rate of 11% of modified total direct costs.

Other - \$12,000

Funds are requested to support stakeholder engagement activities including communication, requirements gathering, regional stakeholder discussion sessions and marketing of system development/capabilities.

BUDGET NARRATIVE BY YEAR

Facilities and Administrative Costs - \$54,459, \$18,792 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

YEAR 3 – \$3,809,605

Personnel - \$295,142

Personnel costs and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.

Direct personnel costs are comprised of \$189,052 for Administrative Assistant for the Project Management Office (100%) and Functional Systems Analysts (2) (100%) and \$106,090 in release time for Technical staff.

In-kind effort in the amount of \$93,340 is provided by the following personnel

Adam Levinson, Project Director, 25%

Gerald Thompson, 5%

Karl Pond, 30%

Michael Veckenstedt, 5%

Peter Asmar, NC DPI CIO, 10%

Philip Price, NC DPI CFO, 5%

Fringe Benefits - \$59,028

Fringe benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

In-kind contributions of \$18,668 are for the fringe benefits associated with the in-kind effort.

Travel - \$4,800

Funds are budgeted at \$1,200/person for four people to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. Those traveling include the Project Director, the Systems Analyst, and members of the NC DPI Enterprise Data Management staff (1 for project management and 3 for the NC DPI sector).

BUDGET NARRATIVE BY YEAR

Equipment - \$30,000

Funds are budgeted for provisioning/wiring and maintenance for the 36 servers purchased in year 1.

Contractual - \$3,337,769

- Contracted Services - \$687,602 comprised of \$262,572 for contracted program manager and \$105,030 for contract program assistant manager to provide day-to-day management of the entire project, \$60,000 for maintenance agreement on the license extension for the UID software, \$60,000 for maintenance on the SIF/PESC software/hardware, \$100,000 contracted support for data audit and \$100,000 contracted support for structured documentation and communication of stakeholder needs.
- Vendors and consultants - \$720,000 comprised of \$420,000 for vendor-provided services/license for NCDPI to establish messaging standardization among source systems; \$200,000 contracted support for IT integration management; and \$100,000 contracted support for other technology contractors.

Partner Entities – Pass-through funds

NCECDG - \$425,303

- Contractual - \$405,050 – comprised of \$250,000 to establish contractual services for Smart Start-related data: software application (GEMS) 75 partnerships @ \$10,000 per, including 1 time start up expenses plus user fees, phased in over three years; \$12,500 services for Head Start to enhance existing state-level system with local interface to accommodate child-level data, add common data files and enable assignment of NC-WISE UIDs; \$36,000 for DPH enhancement of existing Part C Instant-Toddler system to add common data fields plus interface to enable assignment of NC-WISE UID; and \$106,550 DCD programming modifications to enhance child care subsidy system and interface to enable assignment of NC-WISE UID.
- F&A – \$20,252 is budgeted for F&A at the approved F&A rate is 5% of total direct costs.

NCCCS - \$414,872

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$262,042 – comprised of \$42,436 for a to-be-hired Director of Academic Services (50%) \$127,308 for a to-be-hired project manager (100%) and \$92,298 for a B-20+ database administrator (100%).
- In-kind effort in the amount of \$5,150 is provided by the Associate Vice President for Planning and Research – Keith Brown (5%)
- Direct Fringe Benefits - \$65,511 - Fringe benefits are budgeted at 25% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- In-kind contributions of \$1,288 are for the fringe benefits associated with the in-kind effort.

BUDGET NARRATIVE BY YEAR

- Travel - \$3,200 – comprised of \$1,200 for the project manager to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. \$2,000 is budgeted for in-state travel for the project manager and other project staff to travel state-wide to engage the 58 community colleges and to engage sector partners and stakeholders. Mileage is budgeted at the current state rate of .55/mile.
- Other - \$40,000 comprised of \$25,000 budgeted for maintenance agreements associated with software purchased in year 1, \$5,000 for communication support (long distance phone/fax) and \$10,000 for publication and printing of information materials for campuses and stakeholders.
- F&A - \$44,119 is budgeted for F&A at the approved rate of 11.9% of modified total direct costs.

NCESC – \$132,721

- Direct Personnel - \$38,155 to support IS staff (10%) and LMI staff (20%).
- In-kind effort in the amount of \$12,823 is contributed by Betty McGrath (5%) and Bob Cottrell (5%).
- Direct Fringe Benefits - \$9,075. Fringe benefits are budgeted at 17.90% plus \$4,527/annual FTE for health insurance and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- In-kind contributions of \$2,565 are for the fringe benefits associated with the in-kind effort.
- Travel - \$1,200 for the project manager to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor.
- Equipment - \$76,140 to acquire via contract the necessary maintenance agreements for the hardware and software acquired in year 1.
- Supplies - \$840 for consumable supplies associated with the project. \$169 is provided in-kind toward supplies.
- Other - \$2,160 is budgeted for communication (long distance phone/fax) and engagement of stakeholders and sector partners.
- F&A - \$5,151 is budgeted at the approved rate of 13.50% of salaries only.

NCICU - \$382,294

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$185,658 comprised of \$106,090 for a system administrator (100%) and \$79,568 for an assistant system administrator (100%).

BUDGET NARRATIVE BY YEAR

- In-kind effort in the amount of \$18,959 is provided from 5% of Hope Williams project director and 5% of Tim McDowell
- Direct Fringe Benefits – \$48,271 - Fringe benefits are budgeted at 26% and will be charged at actual amount.
- In-kind contributions of \$4,929 are for the fringe benefits associated with the in-kind effort.
- Travel - \$1,200 for the project manager to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on institutional travel policy.
- Equipment - \$110,600 comprised of: \$60,000 for hosting/service agreements with MCNC; \$41,800 for associated and necessary software licenses and \$8,800 for the development and deployment of reporting tools.
- Other - \$18,000 is budgeted for communication (long distance phone/fax) and engagement of the 39 system campuses state-wide, stakeholders and sector partners.
- F&A - \$18,565 is budgeted at a temporary rate of 10% of salaries and wages – the entity is submitting an indirect cost rate proposal for negotiation.

UNC-Chapel Hill FPG Child Development Institute - \$216,240

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$117,559 comprised of \$70,341 for a project co-director (100% allocated across multiple outcomes), \$27,566 in temporary hourly support for a data systems specialist; \$19,289 in temporary hourly support for a research administrative assistance and \$373 for a processing specialist (1%).
- In-kind effort in the amount of \$5,039 is provided from Patrice Neal (5%)
- Direct Fringe Benefits – \$22,196 – In-kind contributions of \$1,219 are for the fringe benefits associated with the in-kind effort. Fringe benefits are budgeted at 19.4% plus \$4,527/annual FTE for health insurance and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- Travel - \$9,120 comprised of \$1,200 for the project manager to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. Mileage is budgeted at the current state rate of .55/mile. \$5,544 is for in-state travel for multiple meetings of project partners, stakeholders, parent representatives, agency representatives and for the convening of advisory committee meetings. \$2,376 is budgeted for up to two project staff to participate in out-of-state exceptional child-focused data systems conferences or meetings.
- Supplies - \$4,625 in consumable supplies and charges associated with repair/maintenance of existing equipment.

BUDGET NARRATIVE BY YEAR

- Contractual - \$27,952 in contractual services comprised of \$20,700 in consulting fees for state- and national-level exceptional child data experts in an amount not to exceed \$1,000/day and \$7,252 for consultant travel to UNC-CH.
- Other - \$15,131 is comprised of \$997 for televideo, long distance phone/fax charges, \$8,100 for design and printing of information sharing materials for NCECDG stakeholders, \$1,134 for stipends for parents participation in planning meetings and \$4,900 for meeting expenses (regionally-based stakeholders and sector partners) including room rental.
- F&A - \$19,657 is budgeted at a rate of 10% on total direct costs. The approved F&A rate for UNC-CH is 48% on a modified total direct cost base. UNC-CH has approved a waiver of the approved rate down to 10% of total direct costs for this proposal.

UNC-GA - \$358,737

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$222,789 – comprised of \$127,308 for a to-be-hired data architect/project co-director (100%) and \$95,481 for a to-be-hired database administrator (100%).
- In-kind effort in the amount of \$6,076 is provided by Alisa Chapman (5%).
- Direct Fringe Benefits - \$55,697 - Fringe benefits are budgeted at 25% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- In-kind contributions of \$1,519 are for the fringe benefits associated with the in-kind effort.
- Travel - \$2,200 comprised of \$1,200 for the project director or co-director to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. \$1,000 is budgeted for in-state travel for the project manager and other project staff to travel state-wide to engage the 16 campuses and to engage sector partners and stakeholders. Mileage is budgeted at the current state rate of .55/mile.
- Other - \$42,500 - comprised of \$25,000 is budgeted for maintenance agreements for the associated hardware and software purchased in year 1; \$5,000 is for communication support (televideo, long distance phone/fax) and \$12,500 for publication and printing of information materials for campuses and stakeholders and meeting support for campus institutional research directors on ORCAL database transition.
- F&A - \$35,551 is budgeted for F&A at the approved rate of 11% of modified total direct costs.

BUDGET NARRATIVE BY YEAR

Other - \$15,000

Funds are requested to support stakeholder engagement activities including communication, requirements gathering, regional stakeholder discussion sessions and marketing of system development/capabilities.

Facilities and Administrative Costs - \$56,471, \$11,395 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

BUDGET NARRATIVE BY OUTCOME / YEAR

OUTCOME 1.1 – TOTAL - \$35,866 (All Sectors)

YEAR 1 - \$11,955

Personnel - \$0

No direct funds are requested for personnel associated with outcome 1.1.

In-kind contributions of \$77,895 include:

Adam Levinson, Project Director, 5%

Gerald Thompson, 5%

Karl Pond, 30%

Michael Veckenstedt, 5%

Peter Asmar, NC DPI CIO, 10%

Philip Price, NC DPI CFO, 5%

Fringe Benefits - \$0

In-kind contributions of \$15,579 are for the fringe benefits associated with the in-kind effort.

Fringe benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

Travel - \$4,800

Funds are budgeted at \$1,200/person for four people to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. Those traveling include the Project Director, a Systems Analyst, and members of the NC DPI Enterprise Data Management staff (1 for project management and 3 for the NC DPI sector).

Partner Entities – Pass-through funds

NCECDG - \$0

NCCCS - \$1,343

- Personnel – In-kind contributions of \$5,000 include: Keith Brown (5%)
- Fringe Benefits - In-kind contributions of \$1,250 are for fringe benefits associated with the in-kind effort.
- Travel - \$1,200 – funds are budgeted for one person to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates.
- F&A – \$143 - The approved F&A rate is 11.9% on a modified total direct cost base.

BUDGET NARRATIVE BY OUTCOME / YEAR

NCESC – \$1,200

- Personnel – In-kind contributions of \$12,087 include: Betty McGrath, 5% and Robert Cottrell, 5%
- Fringe Benefits - In-kind contributions of \$2,417 are for fringe benefits associated with the in-kind effort.
- Travel - \$1,200 – funds are budgeted for one person to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates.

NCICU - \$1,200

- Personnel – In-kind contributions of \$11,974 include Hope Williams, 5%
- Fringe Benefits - In-kind contributions of \$3,113 are for fringe benefits associated with the in-kind effort.\$ on in-kind contributions
- Travel - \$1,200 – funds are budgeted for one person to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates.

UNC-Chapel Hill FPG Child Development Institute - \$1,320

- Personnel – In-kind contributions of \$4,750 are for Patrice Neal (5%)
- Fringe Benefits - In-kind contributions of \$1,149 are for fringe benefits associated with the in-kind effort
- Travel - \$1,200 – funds are budgeted for one person to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates.
- F&A –\$120 - The approved F&A rate is 47% on a modified total direct cost base. UNC has approved a waiver of the approved rate down to 10% of total direct costs for this proposal.

UNC-GA - \$1,332

- Personnel – In-kind contributions of \$5,727 are for Alisa Chapman, 5%
- 7,946:
- Fringe Benefits - In-kind contributions of \$1,432 are for fringe benefits associated with the in-kind effort
- Travel - \$1,200 – funds are budgeted for one person to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates.
- F&A – \$132 - The approved F&A rate is 11% on a modified total direct cost base.

Facilities and Administrative Costs - \$725, \$36 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

BUDGET NARRATIVE BY OUTCOME / YEAR

YEAR 2 - \$11,955

Personnel - \$0

No direct funds are requested for personnel associated with outcome 1.1.

In-kind contributions of \$80,232 include:

Adam Levinson, Project Director, 55%

Gerald Thompson, 5%

Karl Pond, 30%

Michael Veckenstedt, 5%

Peter Asmar, NC DPI CIO, 10%

Philip Price, NC DPI CFO, 5%

Fringe Benefits - \$0

In-kind contributions of \$16,046 are for the fringe benefits associated with the in-kind effort. Fringe benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

Travel - \$4,800

Funds are budgeted at \$1,200/person for four people to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. Those traveling include the Project Director, the Systems Analyst, and members of the NC DPI Enterprise Data Management staff (1 for project management and 3 for the NC DPI sector).

Partner Entities – Pass-through funds

NCECDG - \$0

NCCCS - \$1,343

- Personnel – In-kind contributions of \$5,150 include: Keith Brown (5%)
- Fringe Benefits - In-kind contributions of \$1,288 are for fringe benefits associated with the in-kind effort.
- Travel - \$1,200 – funds are budgeted for one person to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates.
- F&A – \$143 - The approved F&A rate is 11.9% on a modified total direct cost base.

BUDGET NARRATIVE BY OUTCOME / YEAR

NCESC – \$1,200

- Personnel – In-kind contributions of \$12,450 include: Betty McGrath, 5% and Robert Cottrell, 5%
- Fringe Benefits - In-kind contributions of \$2,490 are for fringe benefits associated with the in-kind effort.
- Travel - \$1,200 – funds are budgeted for one person to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates.

NCICU - \$1,200

- Personnel – In-kind contributions of \$12,333 include Hope Williams, 5%
- Fringe Benefits - In-kind contributions of \$3,203 are for fringe benefits associated with the in-kind effort. \$ on in-kind contributions
- Travel - \$1,200 – funds are budgeted for one person to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates.

UNC-Chapel Hill FPG Child Development Institute - \$1,320

- Personnel – In-kind contributions of \$4,893 are for Patrice Neal (5%)
- Fringe Benefits - In-kind contributions of \$1,183 are for fringe benefits associated with the in-kind effort
- Travel - \$1,200 – funds are budgeted for one person to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates.
- F&A –\$120 - The approved F&A rate is 47% on a modified total direct cost base. UNC has approved a waiver of the approved rate down to 10% of total direct costs for this proposal.

UNC-GA - \$1,332

- Personnel – In-kind contributions of \$5,899 are for Alisa Chapman, 5%
- 7,946:
- Fringe Benefits - In-kind contributions of \$1,475 are for fringe benefits associated with the in-kind effort
- Travel - \$1,200 – funds are budgeted for one person to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates.
- F&A – \$132 - The approved F&A rate is 11% on a modified total direct cost base.

Facilities and Administrative Costs - \$725, \$36 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

BUDGET NARRATIVE BY OUTCOME / YEAR

YEAR 3 - \$11,955

Personnel - \$0

No direct funds are requested for personnel associated with outcome 1.1.

In-kind contributions of \$82,639 include:

Adam Levinson, Project Director, 5%

Gerald Thompson, 5%

Karl Pond, 30%

Michael Veckenstedt, 5%

Peter Asmar, NC DPI CIO, 10%

Philip Price, NC DPI CFO, 5%

Fringe Benefits - \$0

In-kind contributions of \$16,528 are for the fringe benefits associated with the in-kind effort.

Fringe benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

Travel - \$4,800

Funds are budgeted at \$1,200/person for four people to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. Those traveling include the Project Director, the Systems Analyst, and members of the NC DPI Enterprise Data Management staff (1 for project management and 3 for the NC DPI sector).

Partner Entities – Pass-through funds

NCECDG - \$0

NCCCS - \$1,343

- Personnel – In-kind contributions of \$5,305 include: Keith Brown (5%)
- Fringe Benefits - In-kind contributions of \$1,326 are for fringe benefits associated with the in-kind effort.
- Travel - \$1,200 – funds are budgeted for one person to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates.
- F&A – \$143 - The approved F&A rate is 11.9% on a modified total direct cost base.

BUDGET NARRATIVE BY OUTCOME / YEAR

NCESC – \$1,200

- Personnel – In-kind contributions of \$12,823 include: Betty McGrath, 5% and Robert Cottrell, 5%
- Fringe Benefits - In-kind contributions of \$2,565 are for fringe benefits associated with the in-kind effort.
- Travel - \$1,200 – funds are budgeted for one person to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates.

NCICU - \$1,200

- Personnel – In-kind contributions of \$12,703 include Hope Williams, 5%
- Fringe Benefits - In-kind contributions of \$3,303 are for fringe benefits associated with the in-kind effort.\$ on in-kind contributions
- Travel - \$1,200 – funds are budgeted for one person to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates.

UNC-Chapel Hill FPG Child Development Institute - \$1,320

- Personnel – In-kind contributions of \$5,039 are for Patrice Neal (5%)
- Fringe Benefits - In-kind contributions of \$1,219 are for fringe benefits associated with the in-kind effort
- Travel - \$1,200 – funds are budgeted for one person to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates.
- F&A –\$120 - The approved F&A rate is 47% on a modified total direct cost base. UNC has approved a waiver of the approved rate down to 10% of total direct costs for this proposal.

UNC-GA - \$1,332

- Personnel – In-kind contributions of \$6,076 are for Alisa Chapman, 5%
- 7,946:
- Fringe Benefits - In-kind contributions of \$1,519 are for fringe benefits associated with the in-kind effort
- Travel - \$1,200 – funds are budgeted for one person to travel to the annual project director's meeting in Washington, DC and will cover air and ground transportation, lodging and per diem, based on state-approved rates.
- F&A – \$132 - The approved F&A rate is 11% on a modified total direct cost base.

Facilities and Administrative Costs - \$725, \$36 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

BUDGET NARRATIVE BY OUTCOME / YEAR

OUTCOME 1.2 – No budget association with outcome 1.2

OUTCOME 1.3 – TOTAL- \$257,812 (Funding for NC DPI only in support of overall data exchange)

YEAR 1 - \$85,753

Personnel - \$59,400

Personnel costs and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.

Direct personnel of \$59,400 is comprised of two functional systems analysts. (33%). The cost of the systems analysts have been allocated across three outcomes equally at 33% effort/each outcome.

In-kind contributions of \$5,395 are for Adam Levinson (5%).

Fringe Benefits - \$11,880

Fringe benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

In-kind contributions of \$1,079 are for the fringe benefits associated with the in-kind effort.

Supplies - \$3,000

The cost of expendable supplies for the system analyst(s), administrative assistant and technical staff have been allocated across three outcomes equally at \$3,000/each outcome.

Facilities and Administrative Costs - \$11,216, \$256 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

BUDGET NARRATIVE BY OUTCOME / YEAR

YEAR 2 - \$84,758

Personnel - \$61,182

Personnel costs and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.

Direct personnel of \$61,182 is comprised of two functional systems analysts. (33%). The cost of the systems analysts have been allocated across three outcomes equally at 33% effort/each outcome.

In-kind contributions of \$5,557 are for Adam Levinson (5%).

Fringe Benefits - \$12,236

Fringe benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

In-kind contributions of \$1,111 are for the fringe benefits associated with the in-kind effort.

Facilities and Administrative Costs - \$11,086, \$254 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

YEAR 3 - \$87,301

Personnel - \$63,017

Personnel costs and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.

Direct personnel of \$63,017 is comprised of two functional systems analysts. (33%). The cost of the systems analysts have been allocated across three outcomes equally at 33% effort/each outcome.

In-kind contributions of \$5,724 are for Adam Levinson (5%).

Fringe Benefits - \$12,603

Fringe benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

BUDGET NARRATIVE BY OUTCOME / YEAR

In-kind contributions of \$1,145 are for the fringe benefits associated with the in-kind effort.

Facilities and Administrative Costs - \$11,419, \$261 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

OUTCOME 1.4 – TOTAL - \$257,812 (Funding for NC DPI only in support of overall data exchange)

YEAR 1 - \$85,753

Personnel - \$59,400

Personnel costs and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.

Direct personnel of \$59,400 is comprised of two functional systems analysts (33%). The cost of the SA have been allocated across three outcomes equally at 33% effort/each outcome.

Fringe Benefits - \$11,880

benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

Supplies - \$3,000

The cost of expendable supplies for the system analyst (s), administrative assistant and technical staff have been allocated across three outcomes equally at \$3,000/each outcome.

Facilities and Administrative Costs - \$11,216, \$256 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

BUDGET NARRATIVE BY OUTCOME / YEAR

YEAR 2 - \$84,758

Personnel - \$61,182

Personnel costs and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.

Direct personnel of \$61,182 is comprised of two functional systems analysts (33%). The cost of the systems analysts have been allocated across three outcomes equally at 33% effort/each outcome.

Fringe Benefits - \$12,236

Fringe benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

Facilities and Administrative Costs - \$11,086, \$254 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

YEAR 3 - \$87,301

Personnel - \$63,017

Personnel costs and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.

Direct personnel of \$63,017 is comprised of two functional systems analysts (33%). The cost of the systems analysts have been allocated across three outcomes equally at 33% effort/each outcome.

Fringe Benefits - \$12,603

Fringe benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

Facilities and Administrative Costs - \$11,419, \$261 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment

BUDGET NARRATIVE BY OUTCOME / YEAR

places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

OUTCOME 1.5 – TOTAL- \$872,842 (Funding for NC DPI only in support of overall data exchange)

YEAR 1 - \$413,558

Personnel - \$59,400

Personnel costs and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.

Direct personnel of \$59,400 is comprised of two functional systems analysts (33%). The cost of the systems analysts have been allocated across three outcomes equally at 33% effort/each outcome.

Fringe Benefits - \$11,880

Fringe benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

Supplies - \$3,000

The cost of expendable supplies for the system analyst (s), administrative assistant and technical staff have been allocated across three outcomes equally at \$3,000/each outcome.

Contractual - \$315,500

Contracts will be issued to secure critical day-to-day program management support for the entire project. The cost of the program management support has been allocated across three outcomes equally at 33% per outcome. Contracts will be issued to secure the necessary program management support in the form of a program manager (\$82,500), an assistant program manager (\$33,000). A contract will be issued to develop and implement the data dictionary (\$200,000).

Facilities and Administrative Costs - \$22,541, \$1,237 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

BUDGET NARRATIVE BY OUTCOME / YEAR

YEAR 2 – \$204,080

Personnel - \$61,182

Personnel costs and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.

Direct personnel of \$61,182 comprised of two functional systems analysts (33%). The cost of the systems analysts have been allocated across three outcomes equally at 33% effort/each outcome.

Fringe Benefits - \$12,236

Fringe benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

Contractual - \$118,965

Contracts will be issued to secure critical day-to-day program management support for the entire project. The cost of the program management support has been allocated across three outcomes equally at 33% effort/each outcome. Contracts will be issued to secure the necessary program management support in the form of a program manager (\$84,975), an assistant program manager (\$33,990).

Facilities and Administrative Costs - \$11,086, \$610 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

YEAR 3 - \$210,204

Personnel - \$63,017

Personnel costs and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.

Direct personnel of \$63,017 is comprised of two functional systems analysts (33%). The cost of the systems analysts have been allocated across three outcomes equally at 33% effort/each outcome.

Fringe Benefits - \$12,603

Fringe benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the

BUDGET NARRATIVE BY OUTCOME / YEAR

optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

Contractual - \$122,534

Contracts will be issued to secure critical day-to-day program management support for the entire project. The cost of the program management support has been allocated across three outcomes equally at 33% effort/each outcome. Contracts will be issued to secure the necessary program management support in the form of a program manager (\$87,524), an assistant program manager (\$35,010).

Facilities and Administrative Costs - \$11,420, \$629 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

OUTCOME 2.1 – No budget associated with outcome 2.1

OUTCOME 2.2 – TOTAL - \$1,041,440 (Funding for NC DPI only in support of overall data exchange)

YEAR 1 - \$678,855

Equipment - \$50,000

Funds are budgeted for additional hardware to handle the increased load to support the UID system.

Contractual - \$665,500

Contracts will be issued to secure critical day-to-day program management support for the entire project. The cost of the program management support has been allocated across three outcomes equally at 33% per outcome. Contracts will be issued to secure the necessary program management support in the form of a program manager (\$82,500), an assistant program manager (\$33,000). A contract will be issued to secure a license extension for UID software (\$300,000) and to implement system enhancements (\$200,000).

Facilities and Administrative Costs - \$11,325, \$2,030 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

BUDGET NARRATIVE BY OUTCOME / YEAR

YEAR 2 - \$179,502

Contractual - \$178,965

Contracts will be issued to secure critical day-to-day program management support for the entire project. The cost of the program management support has been allocated across three outcomes equally at 33% effort/each outcome. Contracts will be issued to secure the necessary program management support in the form of a program manager (\$84,975), an assistant program manager (\$33,990). A contract will be issued to secure maintenance on the license extension for UID software (\$60,000).

Facilities and Administrative Costs - \$0, \$537 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

YEAR 3 - \$183,082

Contractual - \$182,534

Contracts will be issued to secure critical day-to-day program management support for the entire project. The cost of the program management support has been allocated across three outcomes equally at 33% effort/each outcome. Contracts will be issued to secure the necessary program management support in the form of a program manager (\$87,524), an assistant program manager (\$35,010). A contract will be issued to secure maintenance on the license extension for YUID software (\$60,000).

Facilities and Administrative Costs - \$0, \$548 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

BUDGET NARRATIVE BY OUTCOME / YEAR

OUTCOME 2.3 – TOTAL - \$1,422,933

YEAR 1 - \$281,946

Contractual - \$281,102

Partner Entities – Pass-through funds

NCECDG - \$86,625

- Contractual - \$82,500 is budgeted to established contractual services for Smart Start-related data: software application (GEMS) 75 partnerships @ \$10,000 per, including 1 time start up expenses plus user fees, phased in over three years. The cost for the application is allocated 33% to this outcome and 66% to another outcome.
- F&A - \$4,125 is budgeted at the approve F&A rate of 5% total direct cost

NCCCS - \$55,950

- Personnel costs and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct personnel - \$40,000 comprised of the to-be-hired director of academic services (50%).
- Direct Fringe Benefits - \$10,000 - Fringe benefits are budgeted at 25% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- F&A - \$5,950 is budgeted at the approved rate of 11.9% of modified total direct costs.

UNC-Chapel Hill FPG Child Development Institute - \$138,527

- All costs for this sector partner are allocated 66% to this outcome and 33% to another outcome.
- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$73,873 comprised of \$44,202 for a project co-director (66%) \$17,316 in temporary hourly support for a data systems specialist; \$12,121 in temporary hourly support for a research administrative assistance and \$234 for a processing specialist (1%).
- Direct Fringe Benefits – \$13,933 –Fringe benefits are budgeted at 19.4% plus \$4,527/annual FTE for health insurance and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- Travel - \$5,280 comprised of \$3,696 is for in-state travel for multiple meetings of project partners, stakeholders, parent representatives, agency representatives and for the convening of advisory committee meetings. \$1,584 is budgeted for up to two project staff to participate in out-of-state exceptional child-focused data systems conferences or meetings. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. Mileage is budgeted at the current state rate of .55/mile.

BUDGET NARRATIVE BY OUTCOME / YEAR

- Supplies - \$3,570 in consumable supplies and charges associated with repair/maintenance of existing equipment.
- Contractual - \$17,952 in contractual services comprised of \$13,200 in consulting fees for state- and national-level exceptional child data experts in an amount not to exceed \$1,000/day and \$4,752 consultant travel to UNC-CH.
- Other - \$11,326 is comprised of \$792 for televideo, long distance phone/fax charges, \$6,600 for design and printing of information sharing materials for NCECDG stakeholders, \$634 for stipends for parents participation in planning meetings and \$3,300 for meeting expenses (regionally-based stakeholders and sector partners) including room rental.
- F&A - \$12,593 is budgeted at a rate of 10% on total direct costs. The approved F&A rate for UNC-CH is 48% on a modified total direct cost base. UNC-CH has approved a waiver of the approved rate down to 10% of total direct costs for this proposal

F&A - \$0, \$843 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

YEAR 2 - \$795,855

Contractual - \$793,475

Partner Entities – Pass-through funds

NCECDG - \$94,421

- Contractual - \$82,500 is budgeted to established contractual services for Smart Start-related data: software application (GEMS) 75 partnerships @ \$10,000 per, including 1 time start up expenses plus user fees, phased in over three years. And \$7,425 for Head Start to enhance existing state-level system with local interface to accommodate child-level data, add common data files and enable assignment of NC-WISE UIDs. The cost for the applications is allocated 33% to this outcome and 66% to another outcome.
- F&A - \$4,496 is budgeted at the approve F&A rate of 5% total direct cost

NCCCS - \$57,629

- Personnel costs and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct personnel - \$41,200 comprised of the to-be-hired director of academic services (50%).
- Direct Fringe Benefits - \$10,300 - Fringe benefits are budgeted at 25% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

BUDGET NARRATIVE BY OUTCOME / YEAR

- F&A - \$6,129 is budgeted at the approved rate of 11.9% of modified total direct costs.

NCICU - \$500,000

- Contractual - \$500,000 in contractual services to acquire campus based consultants - assets to be allocated according to campus need. Procurement actions will be in accordance with institutional practice.

UNC-Chapel Hill FPG Child Development Institute - \$141,425

- All costs for this sector partner are allocated 66% to this outcome and 33% to another outcome.
- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$76,089 comprised of \$45,528 for a project co-director (66%) \$17,835 in temporary hourly support for a data systems specialist; \$12,485 in temporary hourly support for a research administrative assistance and \$241 for a processing specialist (1%).
- Direct Fringe Benefits – \$14,351 –Fringe benefits are budgeted at 19.4% plus \$4,527/annual FTE for health insurance and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- Travel - \$5,280 comprised of \$3,696 is for in-state travel for multiple meetings of project partners, stakeholders, parent representatives, agency representatives and for the convening of advisory committee meetings. \$1,584 is budgeted for up to two project staff to participate in out-of-state exceptional child-focused data systems conferences or meetings. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. Mileage is budgeted at the current state rate of .55/mile.
- Supplies - \$3,570 in consumable supplies and charges associated with repair/maintenance of existing equipment.
- Contractual - \$17,952 in contractual services comprised of \$13,200 in consulting fees for state- and national-level exceptional child data experts in an amount not to exceed \$1,000/day and \$4,752 consultant travel to UNC-CH.
- Other - \$11,326 is comprised of \$792 for televideo, long distance phone/fax charges, \$6,600 for design and printing of information sharing materials for NCECDG stakeholders, \$634 for stipends for parents participation in planning meetings and \$3,300 for meeting expenses (regionally-based stakeholders and sector partners) including room rental.
- F&A - \$12,587 is budgeted at a rate of 10% on total direct costs. The approved F&A rate for UNC-CH is 48% on a modified total direct cost base. UNC-CH has approved a waiver of the approved rate down to 10% of total direct costs for this proposal

F&A - \$0, \$2,380 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment

BUDGET NARRATIVE BY OUTCOME / YEAR

places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

YEAR 3 - \$345,132

Contractual - \$344,099

Partner Entities – Pass-through funds

NCECDG - \$140,333

- Contractual - \$82,500 is budgeted to established contractual services for Smart Start-related data: software application (GEMS) 75 partnerships @ \$10,000 per, including 1 time start up expenses plus user fees, phased in over three years; \$4,125 for Head Start to enhance existing state-level system with local interface to accommodate child-level data, add common data files and enable assignment of NC-WISE UIDs, \$11,880 for DPH enhancement of existing Part C Instant-Toddler system to add common data fields plus interface to enable assignment of NC-WISE UID; and \$35,145 for DCD programming modifications to enhance child care subsidy system and interface to enable assignment of NC-WISE UID.. The cost for the applications is allocated 33% to this outcome and 66% to another outcome.
- F&A - \$6,682 is budgeted at the approve F&A rate of 5% total direct cost

NCCCS - \$59,357

- Personnel costs and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct personnel - \$42,436 comprised of the to-be-hired director of academic services (50%).
- Direct Fringe Benefits - \$10,609 - Fringe benefits are budgeted at 25% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- F&A - \$6,312 is budgeted at the approved rate of 11.9% of modified total direct costs.

UNC-Chapel Hill FPG Child Development Institute - \$144,410

- All costs for this sector partner are allocated 66% to this outcome and 33% to another outcome.
- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$78,372 comprised of \$46,894 for a project co-director (66%) \$18,371 in temporary hourly support for a data systems specialist; \$12,859 in temporary hourly support for a research administrative assistance and \$248for a processing specialist (1%).
- Direct Fringe Benefits – \$14,782 –Fringe benefits are budgeted at 19.4% plus \$4,527/annual FTE for health insurance and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject

BUDGET NARRATIVE BY OUTCOME / YEAR

positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

- Travel - \$5,280 comprised of \$3,696 is for in-state travel for multiple meetings of project partners, stakeholders, parent representatives, agency representatives and for the convening of advisory committee meetings. \$1,584 is budgeted for up to two project staff to participate in out-of-state exceptional child-focused data systems conferences or meetings. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. Mileage is budgeted at the current state rate of .55/mile.
- Supplies - \$3,570 in consumable supplies and charges associated with repair/maintenance of existing equipment.
- Contractual - \$17,952 in contractual services comprised of \$13,200 in consulting fees for state- and national-level exceptional child data experts in an amount not to exceed \$1,000/day and \$4,752 consultant travel to UNC-CH.
- Other - \$11,326 is comprised of \$792 for televideo, long distance phone/fax charges, \$6,600 for design and printing of information sharing materials for NCECDG stakeholders, \$634 for stipends for parents participation in planning meetings and \$3,300 for meeting expenses (regionally-based stakeholders and sector partners) including room rental.
- F&A - \$13,128 is budgeted at a rate of 10% on total direct costs. The approved F&A rate for UNC-CH is 48% on a modified total direct cost base. UNC-CH has approved a waiver of the approved rate down to 10% of total direct costs for this proposal

F&A - \$0, \$1,032 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

OUTCOME 3.1 – TOTAL - \$863,356 (Funding for NC DPI only in support of overall data exchange)

YEAR 1 - \$621,133

Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.

In-kind effort in the amount of \$5,395 is for Adam Levinson, Project Director, 5%

In-kind contributions of \$1,079 are for the fringe benefits associated with the in-kind effort.

BUDGET NARRATIVE BY OUTCOME / YEAR

Contractual - \$615,500

Contracts will be issued to secure critical day-to-day program management support for the entire project. The cost of the program management support has been allocated across three outcomes equally at 33% per outcome. Contracts will be issued to secure the necessary program management support in the form of a program manager (\$82,500), an assistant program manager (\$33,000). A contract will be issued to establish the data exchange middleware (\$500,000).

Facilities and Administrative Costs - \$3,775, \$1,858 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

YEAR 2 - \$119,322

In-kind effort in the amount of \$5,557 is for Adam Levinson, Project Director, 5%

In-kind contributions of \$1,111 are for the fringe benefits associated with the in-kind effort.

Contractual - \$118,965

Contracts will be issued to secure critical day-to-day program management support for the entire project. The cost of the program management support has been allocated across three outcomes equally at 33% effort/each outcome. Contracts will be issued to secure the necessary program management support in the form of a program manager (\$84,975), an assistant program manager (\$33,990).

Facilities and Administrative Costs - \$0, \$357 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

YEAR 3 - \$122,901

In-kind effort in the amount of \$5,724 is for Adam Levinson, Project Director, 5%

In-kind contributions of \$1,145 are for the fringe benefits associated with the in-kind effort.

Contractual - \$122,534

Contracts will be issued to secure critical day-to-day program management support for the entire project. The cost of the program management support has been allocated across three outcomes equally at 33% effort/each outcome. Contracts will be issued to secure the necessary program

BUDGET NARRATIVE BY OUTCOME / YEAR

management support in the form of a program manager (\$87,524), an assistant program manager (\$35,010).

Facilities and Administrative Costs - \$0, \$367 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

OUTCOME 3.2 – TOTAL- \$473,190 (Funding for NC DPI only in support of overall data exchange)

YEAR 1 - \$352,830

Equipment - \$48,000

Funds are budgeted for agent servers at \$8,000/each for each of the 6 sectors.

Contractual - \$300,000

A contract will be issued to establish SIF/PESC Agents for each sector (6 at \$50,000/each) (\$300,000).

Facilities and Administrative Costs - \$3,775, \$1,055 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

YEAR 2 - \$60,180

Contractual - \$60,000

Contracts will be issued to provide for maintenance agreements associated with the software and hardware acquired for this outcome in year 1.

Facilities and Administrative Costs - \$0, \$180 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

BUDGET NARRATIVE BY OUTCOME / YEAR

YEAR 3 - \$60,180

Contractual - \$60,000

Contracts will be issued to provide for maintenance agreements associated with the software and hardware acquired for this outcome in year 1.

Facilities and Administrative Costs - \$0, \$180 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

OUTCOME 4.1 – TOTAL \$12,132,844 (All Sectors)

YEAR 1 - \$5,131,149

Direct Personnel - \$100,000

Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.

Direct personnel costs are comprised of \$100,000 for Technical staff (\$100,000 release time)

In-kind effort in the amount of \$10,790 is for Adam Levinson, Project Director, 10%

Direct Fringe Benefits - \$20,000

Fringe benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

In-kind contributions of \$2,158 are for the fringe benefits associated with the in-kind effort.

Equipment - \$240,000

Funds are budgeted for 30 servers at \$8,000/each for the NC DPI sector infrastructure development.

Contractual - \$4,705,317

Vendors and Consultants - \$1,490,000 comprised of \$840,000 for vendor-provided services/license for NCDPI to establish messaging standardization among source systems; \$300,000 for vendor-provided services for upgrade front-end controls on source system; \$200,000 contracted support for IT integration management; and \$150,000 contracted support for other technology contractors.

BUDGET NARRATIVE BY OUTCOME / YEAR

Partner Entities – Pass-through funds exempt from F&A base

NCECDG - \$175,875

- Contractual - \$167,500 is budgeted to established contractual services for Smart Start-related data: software application (GEMS) 75 partnerships @ \$10,000 per, including 1 time start up expenses plus user fees, phased in over three years.
- F&A – \$8,375 is budgeted at the approved F&A rate is 5% of total direct costs.

NCCCS - \$608,439

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$207,000 – comprised of \$120,000 for a to-be-hired project manager (100%) and \$87,000 for a B20+ database administrator (100%).
- Direct Fringe Benefits - \$51,750 - Fringe benefits are budgeted at 25% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- Travel - \$1,000 is budgeted for in-state travel for the project manager and other project staff to travel state-wide to engage the 58 community colleges and to engage sector partners and stakeholders. Mileage is budgeted at the current state rate of .55/mile.
- Equipment - \$160,000 – comprised of \$15,000 for 2 database servers (32GB RAM x 4 processors (2 cores each) at \$7,500/each; \$15,000 to 2 VM Physical Servers (32GB RAM x 4 processors (2 cores each) at \$7,500/each; and 2 network-based storage arrays (iSCSI devices/9.6TB raw storage) at \$65,000/each
- Supplies - \$9,000 - expendable supplies include \$9,000 to acquire three lap top computers to be used by the project manager, database administrator and director of academic services.
- Other - \$132,000 is budgeted to acquire via contract the necessary software components; \$5,000 is for communication support (long distance phone/fax) and \$2,000 for publication and printing of information materials for campuses and stakeholders.
- F&A - \$47,689 is budgeted for F&A at the approved rate of 11.9% of modified total direct costs.

NCESC – \$820,782

- Direct Personnel - \$117,242 to support Information Systems (72%) and Labor Market Information staff (20%)
- Direct Fringe Benefits - \$26,062. Fringe benefits are budgeted at 17.90% plus \$4,527/annual FTE for health insurance and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- Equipment - \$650,430 - comprised of \$151,290 to acquire new server(s)[2] for database and necessary provisioning and \$499,140 to acquire via contract the necessary software components.
- Supplies - \$1,905 for consumable supplies associated with the project. \$168 is provided in-kind toward supplies.

BUDGET NARRATIVE BY OUTCOME / YEAR

- Contractual - \$4,420 is budgeted for hourly IT support services (68 hours @ \$65/hr.)
- Other - \$4,895 is budgeted for communication (long distance phone/fax) and engagement of stakeholders and sector partners.
- F&A - \$15,828 is budgeted at the approved rate of 13.50% of salaries only.

NCICU - \$933,000

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$175,000 comprised of \$100,000 for a system administrator (100%) and \$75,000 for an assistant system administrator (100%).
- In-kind effort in the amount of \$5,897 is for Tim McDowell, 5%
- Direct Fringe Benefits – \$45,500 –Fringe benefits are budgeted at 26% and will be charged at actual amount in accordance with institutional practice.
- In-kind contributions of \$1,533 are for the fringe benefits associated with the in-kind effort.
- Equipment - \$444,600 comprised of: \$30,000 for a primary server to be housed at MCNC; \$30,000 for a backup server in Rutherfordton, NC; \$60,000 for hosting/service agreements with MCNC; \$60,000 for storage/disc array; \$1,000 for installation; \$40,000 for database software maintenance; \$174,800 for associated and necessary software licenses; and \$48,800 for the development and deployment of reporting tools.
- Supplies \$6,000 - expendable supplies include \$6,000 to acquire two lap top computers to be used by the system administrator and the assistant system administrator.
- Contractual - \$230,000 in contractual services to acquire system design consulting services. Procurement actions will be in accordance with institutional practice.
- Other - \$14,400 is budgeted for communication (long distance phone/fax) and engagement of the 39 system campuses state-wide, stakeholders and sector partners.
- F&A - \$17,500 is budgeted at a temporary rate of 10% of salaries and wages – the entity is submitting an indirect cost rate proposal for negotiation.

UNC-Chapel Hill FPG Child Development Institute - \$71,001

- All costs for this sector partner are allocated 33% to this outcome and 66% to another outcome.
- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$36,938 comprised of \$22,101 for a project co-director (33%), \$8,658 in temporary hourly support for a data systems specialist; \$6,061 in temporary hourly support for a research administrative assistance and \$118 for a processing specialist (1%).
- Direct Fringe Benefits – \$6,988 – Fringe benefits are budgeted at 19.4% plus \$4,527/annual FTE for health insurance and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- Travel - \$2,640 comprised of \$1,848 for in-state travel for multiple meetings of project partners, stakeholders, parent representatives, agency representatives and for the convening of advisory committee meetings and \$792 is budgeted for up to two project

BUDGET NARRATIVE BY OUTCOME / YEAR

staff to participate in out-of-state exceptional child-focused data systems conferences or meetings. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. Mileage is

- Supplies - \$1,790 in consumable supplies and charges associated with repair/maintenance of existing equipment.
- Contractual - \$10,000 in contractual services comprised of \$7,500 in consulting fees for state- and national-level exceptional child data experts in an amount not to exceed \$1,000/day and \$2,500 for consultant travel to UNC-CH.
- Other - \$6,190 is comprised of \$500 for televideo, long distance phone/fax charges, \$3,520 for design and printing of information sharing materials for NCECDG stakeholders, \$520 for stipends for parents participation in planning meetings and \$1,650 for meeting expenses (regionally-based stakeholders and sector partners) including room rental.
- F&A - \$6,455 is budgeted at a rate of 10% on total direct costs. The approved F&A rate for UNC-CH is 48% on a modified total direct cost base. UNC-CH has approved a waiver of the approved rate down to 10% of total direct costs for this proposal.

UNC-GA - \$606,220

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$210,000 – comprised of \$120,000 for a to-be-hired data architect/project co-director (100%) and \$90,000 for a to-be-hired database administrator (100%).
- Direct Fringe Benefits - \$52,500 - Fringe benefits are budgeted at 25% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- Travel - \$1,000 is budgeted for in-state travel for the project manager and other project staff to travel state-wide to engage the 16 campuses and to engage sector partners and stakeholders. Mileage is budgeted at the current state rate of .55/mile.
- Equipment - \$160,000 – comprised of \$15,000 for 2 database servers (32GB RAM x 4 processors (2 cores each) at \$7,500/each; \$15,000 to 2 VM Physical Servers (32GB RAM x 4 processors (2 cores each) at \$7,500/each; and 2 network-based storage arrays (iSCSI devices/9.6TB raw storage) at \$65,000/each
- Supplies - \$6,000 - expendable supplies include \$6,000 to acquire two lap top computers to be used by the data architect/co-project director and database administrator.
- Other - \$132,500 comprised of \$125,000 budgeted to acquire via contract the necessary software components [VMWare VM virtualization licenses for 2 servers (1 in production and 1 hotsite). ORACLE: OLAP, Partitioning, Data Integrator Enterprise, Data Mining, Business Intelligence Suite and annual maintenance]; \$5,000 is for communication support (televideo, long distance phone/fax) and \$2,500 for publication and printing of information materials for campuses and stakeholders and meeting support for campus institutional research directors on ORCAL database transition.

BUDGET NARRATIVE BY OUTCOME / YEAR

- F&A - \$44,220 is budgeted for F&A at the approved rate of 11% of modified total direct costs.

Other - \$15,000

Funds are requested to support stakeholder engagement activities including communication, requirements gathering, regional stakeholder discussion sessions and marketing of system development/capabilities.

Facilities and Administrative Costs - \$35,485, \$15,347 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

YEAR 2 - \$4,493,670

Direct Personnel - \$103,000

Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.

Direct personnel costs are comprised of \$103,000 for Technical staff (\$100,000 release time)

In-kind effort in the amount of \$11,114 is for Adam Levinson, Project Director, 10%

Direct Fringe Benefits - \$20,600

Fringe benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

In-kind contributions of \$2,222 are for the fringe benefits associated with the in-kind effort.

Equipment - \$40,000

Funds are budgeted for provisioning/wiring and maintenance for the servers purchased in year 1.

Contractual - \$4,281,993

Vendors and consultants - \$2,390,000

\$1,540,000 for vendor-provided services/license for NCDPI to establish messaging standardization among source systems; \$500,000 for vendor-provided services for upgrade front-end controls on source system; \$200,000 contracted support for IT integration management; and \$150,000 contracted support for other technology contractors.

BUDGET NARRATIVE BY OUTCOME / YEAR

Partner Entities – Pass-through funds exempt from F&A base

NCECDG - \$191,704

- Contractual - \$167,500 is budgeted to established contractual services for Smart Start-related data: software application (GEMS) 75 partnerships @ \$10,000 per, including 1 time start up expenses plus user fees, phased in over three years and \$15,075 for Head Start-related enhancements to existing state-level system with local interface to accommodate child-level data, add common data fields plus enable assignment of NC-WISE UID.
- F&A – \$9,129 is budgeted at the approved F&A rate is 5% of total direct costs.

NCCCS - \$344,666

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$213,210 – comprised of \$123,600 for a to-be-hired project manager (100%) and \$89,610 for a B20+ database administrator (100%).
- Direct Fringe Benefits - \$53,303 - Fringe benefits are budgeted at 25% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- Travel - \$1,500 is budgeted for in-state travel for the project manager and other project staff to travel state-wide to engage the 58 community colleges and to engage sector partners and stakeholders. Mileage is budgeted at the current state rate of .55/mile.
- Other - \$40,000 is budgeted to acquire via contract maintenance for the necessary software components obtained in year 1; \$5,000 is for communication support (long distance phone/fax) and \$10,000 for publication and printing of information materials for campuses and stakeholders.
- F&A - \$36,653 is budgeted for F&A at the approved rate of 11.9% of modified total direct costs.

NCESC – \$131,521

- Direct Personnel - \$38,155 to support IS staff (10%) and LMI staff (20%)
- Direct Fringe Benefits - \$9,075. Fringe benefits are budgeted at 17.90% plus \$4,527/annual FTE for health insurance and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- Equipment - \$76,140 to acquire maintenance agreements for the necessary software/hardware obtained in year 1.
- Supplies - \$840 for consumable supplies associated with the project. \$168 is provided in-kind toward supplies.
- Other - \$2,160 is budgeted for communication (long distance phone/fax) and engagement of stakeholders and sector partners.
- F&A - \$5,151 is budgeted at the approved rate of 13.50% of salaries only.

BUDGET NARRATIVE BY OUTCOME / YEAR

NCICU - \$804,940

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$180,250 comprised of \$103,000 for a system administrator (100%) and \$77,250 for an assistant system administrator (100%).
- In-kind effort in the amount of \$6,014 is for Tim McDowell, 5%
- Direct Fringe Benefits – \$46,865 –Fringe benefits are budgeted at 26% and will be charged at actual amount in accordance with institutional practice.
- In-kind contributions of \$1,564 are for the fringe benefits associated with the in-kind effort.
- Equipment - \$110,600 comprised of \$60,000 for hosting/service agreements with MCNC; \$60,000 for storage/disc array; \$41,800 for associated and necessary software licenses; and \$8,800 for the development and deployment of reporting tools.
- Contractual - \$336,000 in contractual services to acquire system design consulting services. Procurement actions will be in accordance with institutional practice.
- Other - \$113,200 comprised of \$18,000 for communication (long distance phone/fax) and engagement of the 39 system campuses state-wide, stakeholders and sector partners, \$64,800 for participant training at 36 of the campuses and \$30,400 for the production of manuals and documents.
- F&A - \$18,025 is budgeted at a temporary rate of 10% of salaries and wages – the entity is submitting an indirect cost rate proposal for negotiation.

UNC-Chapel Hill FPG Child Development Institute - \$70,761

- All costs for this sector partner are allocated 33% to this outcome and 66% to another outcome.
- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$38,046 comprised of \$22,764 for a project co-director (33%), \$8,918 in temporary hourly support for a data systems specialist; \$6,061 in temporary hourly support for a research administrative assistance and \$121 for a processing specialist (1%).
- Direct Fringe Benefits – \$7,198 – Fringe benefits are budgeted at 19.4% plus \$4,527/annual FTE for health insurance and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- Travel - \$2,640 comprised of \$1,848 for in-state travel for multiple meetings of project partners, stakeholders, parent representatives, agency representatives and for the convening of advisory committee meetings and \$792 is budgeted for up to two project staff to participate in out-of-state exceptional child-focused data systems conferences or meetings. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. Mileage is
- Supplies - \$1,405 in consumable supplies and charges associated with repair/maintenance of existing equipment.

BUDGET NARRATIVE BY OUTCOME / YEAR

- Contractual - \$10,000 in contractual services comprised of \$7,500 in consulting fees for state- and national-level exceptional child data experts in an amount not to exceed \$1,000/day and \$2,500 for consultant travel to UNC-CH.
- Other - \$5,040 is comprised of \$400 for televideo, long distance phone/fax charges, \$2,500 for design and printing of information sharing materials for NCECDG stakeholders, \$520 for stipends for parents participation in planning meetings and \$1,620 for meeting expenses (regionally-based stakeholders and sector partners) including room rental.
- F&A - \$6,432 is budgeted at a rate of 10% on total direct costs. The approved F&A rate for UNC-CH is 48% on a modified total direct cost base. UNC-CH has approved a waiver of the approved rate down to 10% of total direct costs for this proposal.

UNC-GA - \$348,401

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$216,300 – comprised of \$123,600 for a to-be-hired data architect/project co-director (100%) and \$92,700 for a to-be-hired database administrator (100%).
- Direct Fringe Benefits - \$54,075 - Fringe benefits are budgeted at 25% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- Travel - \$1,000 is budgeted for in-state travel for the project manager and other project staff to travel state-wide to engage the 16 campuses and to engage sector partners and stakeholders. Mileage is budgeted at the current state rate of .55/mile.
- Other - \$42,500 comprised of \$25,000 acquire via maintenance agreements for the necessary software components acquired in year 1, \$5,000 is for communication support (televideo, long distance phone/fax) and \$12,500 for publication and printing of information materials for campuses and stakeholders and meeting support for campus institutional research directors on ORCAL database transition.
- F&A - \$34,526 is budgeted for F&A at the approved rate of 11% of modified total direct costs.

Other - \$12,000

Funds are requested to support stakeholder engagement activities including communication, requirements gathering, regional stakeholder discussion sessions and marketing of system development/capabilities.

Facilities and Administrative Costs - \$20,476, \$13,434 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

BUDGET NARRATIVE BY OUTCOME / YEAR

YEAR 3 - \$2,500,950

Direct Personnel - \$106,090

Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.

Direct personnel costs are comprised of \$106,090 for Technical staff (\$100,000 release time)

In-kind effort in the amount of \$11,447 is for Adam Levinson, Project Director, 10%

Direct Fringe Benefits - \$21,218

Fringe benefits are budgeted at 20% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

In-kind contributions of \$2,289 are for the fringe benefits associated with the in-kind effort.

Equipment - \$30,000

Funds are budgeted for provisioning/wiring and maintenance for the servers purchased in year 1.

Contractual - \$2,299,673

Vendors and consultants - \$720,000

\$420,000 for vendor-provided services/license for NCDPI to establish messaging standardization among source systems; \$200,000 contracted support for IT integration management; and \$100,000 contracted support for other technology contractors.

Partner Entities – Pass-through funds exempt from F&A base

NCECDG - \$284,970

- Contractual - \$271,400 comprised of \$167,500 is budgeted to established contractual services for Smart Start-related data: software application (GEMS) 75 partnerships @ \$10,000 per, including 1 time start up expenses plus user fees, phased in over three years and \$8,375 for Head Start-related enhancements to existing state-level system with local interface to accommodate child-level data, add common data fields plus enable assignment of NC-WISE UID, \$24,120 for DPH-related enhancements for the existing part C Infant-Toddler system to add common data field plus interface to enable use of NC-WIDE UID and \$71,405 DCD-related programming modifications to enhance child care subsidy system and interface to enable assignment of NC-WISE UIC.
- F&A – \$13,570 is budgeted at the approved F&A rate is 5% of total direct costs.

NCCCS - \$354,172

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$219,606 – comprised of \$127,308 for a to-be-hired project manager (100%) and \$92,298 for a B20+ database administrator (100%).

BUDGET NARRATIVE BY OUTCOME / YEAR

- Direct Fringe Benefits - \$54,902 - Fringe benefits are budgeted at 25% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- Travel - \$2,000 is budgeted for in-state travel for the project manager and other project staff to travel state-wide to engage the 58 community colleges and to engage sector partners and stakeholders. Mileage is budgeted at the current state rate of .55/mile.
- Other - \$40,000 comprised of \$25,000 budgeted to acquire via contract maintenance for the necessary software components acquired in year 1; \$5,000 is for communication support (long distance phone/fax) and \$10,000 for publication and printing of information materials for campuses and stakeholders.
- F&A - \$37,664 is budgeted for F&A at the approved rate of 11.9% of modified total direct costs.

NCESC – \$131,521

- Direct Personnel - \$38,155 to support IS staff (10%) and LMI staff (20%)
- Direct Fringe Benefits - \$9,075. Fringe benefits are budgeted at 17.90% plus \$4,527/annual FTE for health insurance and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- Equipment - \$76,140 to acquire maintenance agreements for the necessary software/hardware acquired in year 1.
- Supplies - \$840 for consumable supplies associated with the project. \$169 is provided in-kind toward supplies.
- Other - \$2,160 is budgeted for communication (long distance phone/fax) and engagement of stakeholders and sector partners.
- F&A - \$5,151 is budgeted at the approved rate of 13.50% of salaries only.

NCICU - \$381,094

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$185,658 comprised of \$106,900 for a system administrator (100%) and \$79,568 for an assistant system administrator (100%).
- In-kind effort in the amount of \$6,194 is for Tim McDowell, 5%
- Direct Fringe Benefits – \$48,271 –Fringe benefits are budgeted at 26% and will be charged at actual amount in accordance with institutional practice.
- In-kind contributions of \$1,610 are for the fringe benefits associated with the in-kind effort.
- Equipment - \$110,600 comprised of \$60,000 for hosting/service agreements with MCNC; \$60,000 for storage/disc array; \$41,800 for associated and necessary software licenses; and \$8,800 for the development and deployment of reporting tools.
- Other - \$18,000 for communication (long distance phone/fax) and engagement of the 39 system campuses state-wide, stakeholders and sector partners.

BUDGET NARRATIVE BY OUTCOME / YEAR

- F&A - \$18,565 is budgeted at a temporary rate of 10% of salaries and wages – the entity is submitting an indirect cost rate proposal for negotiation.

UNC-Chapel Hill FPG Child Development Institute - \$70,511

- All costs for this sector partner are allocated 33% to this outcome and 66% to another outcome.
- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$39,187 comprised of \$23,447 for a project co-director (33%), \$9,185 in temporary hourly support for a data systems specialist; \$6,430 in temporary hourly support for a research administrative assistance and \$125 for a processing specialist (1%).
- Direct Fringe Benefits – \$7,414 – Fringe benefits are budgeted at 19.4% plus \$4,527/annual FTE for health insurance and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.
- Travel - \$2,640 comprised of \$1,848 for in-state travel for multiple meetings of project partners, stakeholders, parent representatives, agency representatives and for the convening of advisory committee meetings and \$792 is budgeted for up to two project staff to participate in out-of-state exceptional child-focused data systems conferences or meetings. For FY 09-10 the state-approved rate for out-of-state per diem is \$7.75 for breakfast, \$10.10 for lunch and \$19.65 for dinner. Hotel will be charged at actual cost, based on appropriate organizational prior approval and published rates by the conference sponsor. Mileage is
- Supplies - \$1,055 in consumable supplies and charges associated with repair/maintenance of existing equipment.
- Contractual - \$10,000 in contractual services comprised of \$7,500 in consulting fees for state- and national-level exceptional child data experts in an amount not to exceed \$1,000/day and \$2,500 for consultant travel to UNC-CH.
- Other - \$3,805 is comprised of \$205 for televideo, long distance phone/fax charges, \$1,500 for design and printing of information sharing materials for NCECDG stakeholders, \$500 for stipends for parents participation in planning meetings and \$1,600 for meeting expenses (regionally-based stakeholders and sector partners) including room rental.
- F&A - \$6,410 is budgeted at a rate of 10% on total direct costs. The approved F&A rate for UNC-CH is 48% on a modified total direct cost base. UNC-CH has approved a waiver of the approved rate down to 10% of total direct costs for this proposal.

UNC-GA - \$357,405

- Personnel costs (direct and in-kind) and related fringe benefits have been adjusted 3% annually beginning in year 2 for anticipated inflationary increases.
- Direct Personnel - \$222,789 – comprised of \$127,308 for a to-be-hired data architect/project co-director (100%) and \$95,481 for a to-be-hired database administrator (100%).
- Direct Fringe Benefits - \$55,697 - Fringe benefits are budgeted at 25% and will be charged at actual cost. Fringe benefits include 7.65% for social security, 8.75% for state

BUDGET NARRATIVE BY OUTCOME / YEAR

retirement for FLSA subject positions, 11.86% for the optional retirement for exempt professional positions and \$4,527 per annual FTE for health insurance.

- Travel - \$1,000 is budgeted for in-state travel for the project manager and other project staff to travel state-wide to engage the 16 campuses and to engage sector partners and stakeholders. Mileage is budgeted at the current state rate of .55/mile.
- Other - \$42,500 comprised of \$25,000 acquire via maintenance agreements for the necessary software components acquired in year 1, \$5,000 is for communication support (televideo, long distance phone/fax) and \$12,500 for publication and printing of information materials for campuses and stakeholders and meeting support for campus institutional research directors on ORCAL database transition.
- F&A - \$35,419 is budgeted for F&A at the approved rate of 11% of modified total direct costs.

Other - \$15,000

Funds are requested to support stakeholder engagement activities including communication, requirements gathering, regional stakeholder discussion sessions and marketing of system development/capabilities.

Facilities and Administrative Costs - \$21,489, \$7,480 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

OUTCOME 4.2 – No budget associated with outcome 4.2

OUTCOME 4.3 – TOTAL \$505,286 (Funding for NC DPI only in support of overall data exchange)

YEAR 1 - \$254,536

Contractual - \$250,000

Contracts will be issued to secure data audit support for processing and rule validation.

Facilities and Administrative Costs - \$3,775, \$761 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

BUDGET NARRATIVE BY OUTCOME / YEAR

YEAR 2 - \$150,450

Contractual - \$150,000

Contracts will be issued to secure data audit support for processing and rule validation.

Facilities and Administrative Costs - \$0, \$450 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

YEAR 3 - \$100,300

Contractual - \$100,000

Contracts will be issued to secure data audit support for processing and rule validation.

Facilities and Administrative Costs - \$0, \$300 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

OUTCOME 5.1 – No budget associated with outcome 5.1

OUTCOME 5.2 – No budget associated with outcome 5.2

BUDGET NARRATIVE BY OUTCOME / YEAR

OUTCOME 5.3 – TOTAL \$254,536 (Funding for NC DPI only in support of overall data exchange)

YEAR 1 - \$53,936

Contractual - \$50,000

Contracts will be issued to secure contracted support for structured communication and documentation of stakeholder needs.

Facilities and Administrative Costs - \$3,775, \$161 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

YEAR 2 - \$100,300

Contractual - \$100,000

Contracts will be issued to secure contracted support for structured communication and documentation of stakeholder needs.

Facilities and Administrative Costs - \$0, \$300 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18

YEAR 3 - \$100,300

Contractual - \$100,000

Contracts will be issued to secure contracted support for structured communication and documentation of stakeholder needs.

Facilities and Administrative Costs - \$0, \$300 (OERI Fee)

The approved F&A rate for NCDPI is 15.1% on a modified total direct cost base. The base excludes equipment and pass-through funding and is limited to the first \$25,000 of each subcontract. Additionally, the North Carolina Office of Economic Recovery and Reinvestment places a .003 administrative fee on the total cost of proposals to ARRA funded programs in accordance with OMB Guidance Memo 9-18.