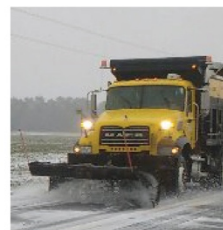




NORTH CAROLINA

Department of Transportation



Integrated Mobility Division CARES Act Implementation NC Transit Cares

December 10, 2020



- \$25 billion in funding to support the transit industry response for COVID-19
 - Urbanized Area Formula Program: \$22.7 billion
 - Formula Grants for Rural Areas: \$2.2 billion
 - Administration and oversight: \$75 million
- Beginning January 20, 2020, all activities normally eligible under the Urbanized Area (49 USC 5307) and Rural Area (49 USC 5311) formula programs are eligible for CARES Act funding, including:
 - Planning
 - Capital (preventive maintenance, rolling stock, equipment, etc.)
 - Operating

Total CARES Act Funding for North Carolina *	\$322,395,741
Large Urban 5307	\$188,982,531
Small Urban 5307 GA	\$38,472,209
Rural 5311	\$93,491,001
Rural 5311 Appalachian	\$1,450,000

*Does not include \$583,934 to the Eastern Band of Cherokee Indians.

Planned 5311 CARES Act Allocation

CARES 5311 Funds Available	\$72,286,351*
Initial Disbursement	\$20 M
Second Disbursement	\$27.5 M
Remainder for Third Disbursement	\$24.8 M

*\$94,941,001 (5311 + ADTAP) less \$8,631,000 (state admin) and \$14,023,650 (require 15% InterCity Bus)

Methodology for Allocation

- First tranche mirrored each transit system's yearly allocation of FTA admin funds which enabled us to move quickly
- Second disbursement formula was developed in concert with NCPTA and input from transit systems
 - Based on the total of other federal and state funding commitments for operations
- Third funding methodology developed with our partners will be needs based and require a detailed application by each system
 - Focus will remain on operations with funding for capital if all operational needs can be met

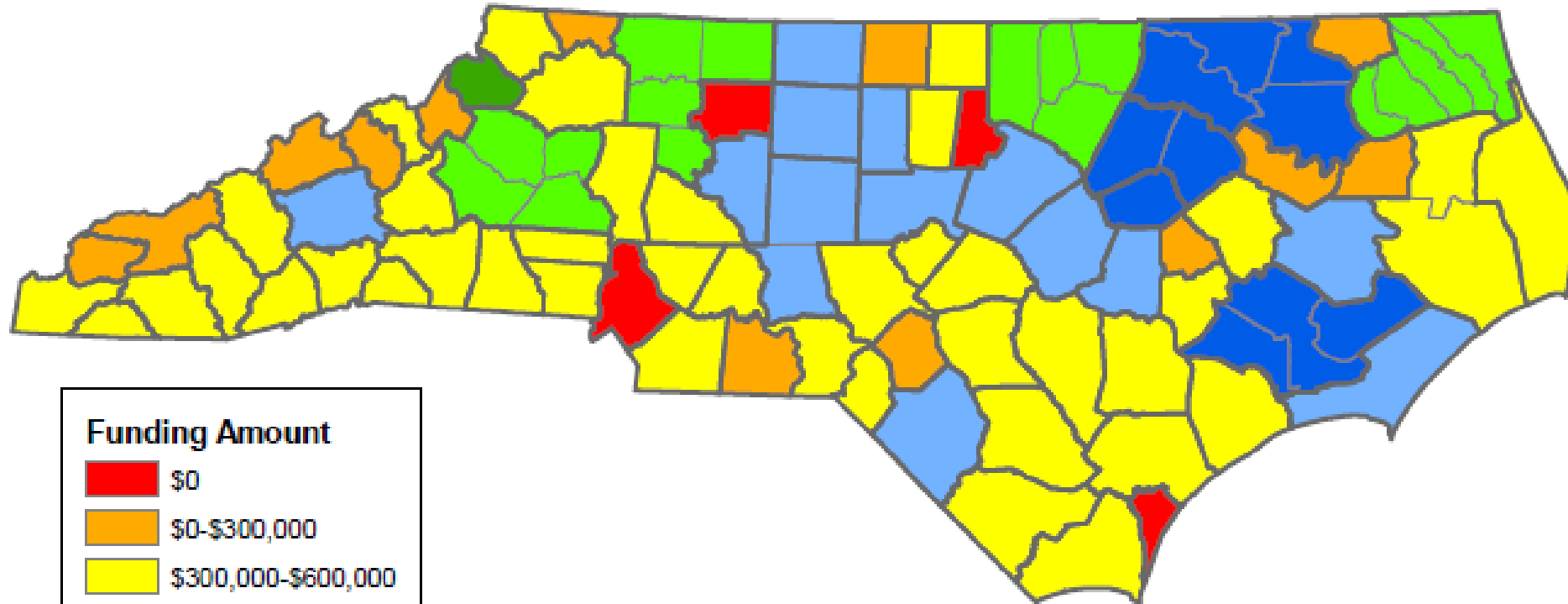
CARES Act Process

- IMD develops application to FTA and submits through TrAMS
- FTA reviews and returns to IMD for correction (if needed)
- DOL approval (can take up to 30 days)
- Systems enter application in EBS
- Agreements are produced and distributed
- Systems submit claims
- NCDOT reviews claims, submits to FTA, reimburses eligible expenses to systems

Status of FTA CARES Act Grant Agreements

- 5311 Operating
 - 74/75 systems with agreements in place
- 5307 GA
 - 10/10 systems with agreements in place
- ADTAP
 - 18/22 systems with agreements in place
- Intercity CARES
 - Application for funding being submitted to FTA
- 5311 Capital
 - Application to FTA to be developed once all operating needs are met

Map of 5311 CARES Act Allocation

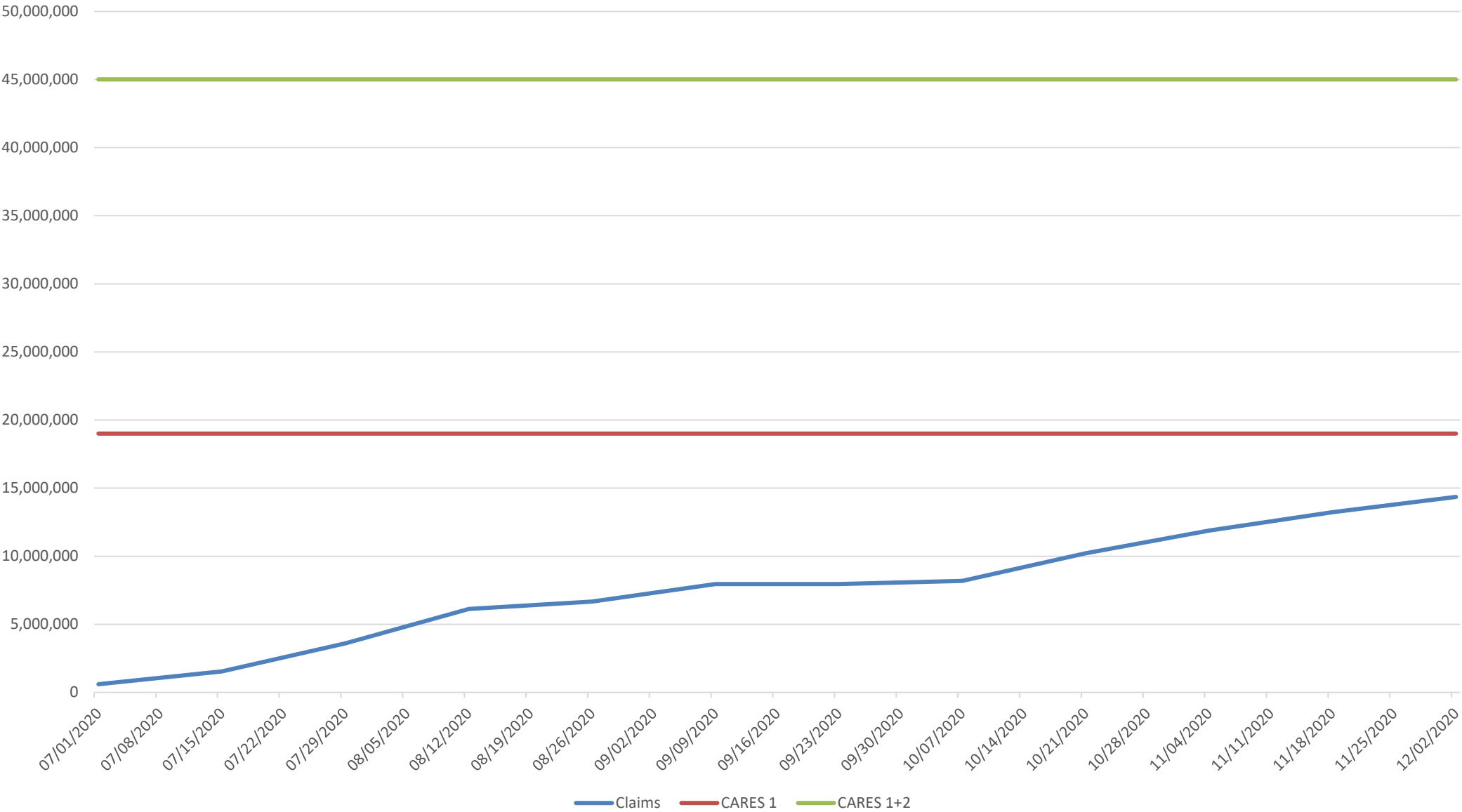


Funding Amount

Red	\$0
Orange	\$0-\$300,000
Yellow	\$300,000-\$600,000
Light Blue	\$600,000-\$1M
Dark Blue	\$1M - \$1.5M
Light Green	\$1.5M - \$2M
Dark Green	\$2M - \$3.5M

With agreements in place, systems will have access to the funding indicated on the map.

5311 CARES Act Claims Over Time



Claims Submitted/Paid

- 5311
 - 148 claims worth \$14,353,897 submitted
 - \$12,830,381 processed and approved for payment
- 5307 GA
 - 3 claims worth \$357,984 submitted
 - \$238,503 processed and approved for payment
- 5311 ADTAP
 - 0 claims submitted to date

DHHS CARES Act Funding



Up to \$5 Million



Tier 1 Rural Counties

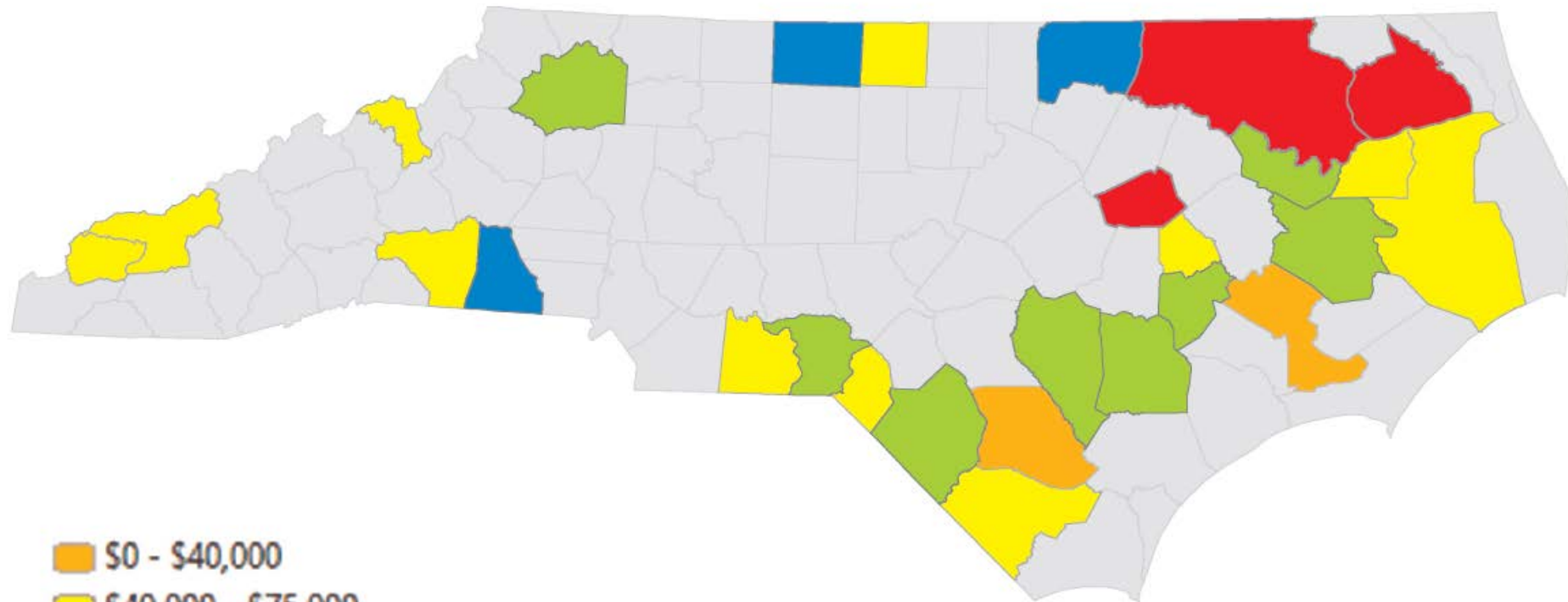


Transit trips within the 34
designated counties are
eligible for funding

DHHS CARES Allocation Methodology

- Using ROAP allocation methodology, the maximum \$5M was apportioned to eligible systems
- Systems were surveyed on need and ability to draw down funds by December 30
 - Responses used to scale the original allocation by the \$2.5M initial allotment received from DHHS
 - Systems with ability to draw down funds more quickly were given a larger initial share up to the original allocation level
- SmartSheet for tracking of claims documentation to DHHS

DHHS CARES Act Initial Disbursement



- Orange \$0 - \$40,000
- Yellow \$40,000 - \$75,000
- Light Green \$75,000 - \$100,000
- Blue \$100,000 - \$150,000
- Purple \$150,000 - \$200,000
- Red \$200,000 - \$270,000

Current as of December 2, 2020

Understanding Impacts of COVID-19 on Transit

- Communication
- Data collection
- NC Transit Cares project

Transit System Communication

- Weekly/bi-weekly transit systems call
- Website with funding dashboard, Q&A, and past presentations
- SmartSheet surveys for impacts and data collection
- Workgroups to develop disbursement formula and discuss claims processing

Connect NCDOT
BUSINESS PARTNER RESOURCES

Home Help Site Map

Doing Business Bidding & Letting Projects Resources Local Governments Search...

Prequalify Small Business Consultants Directory of Firms Trucking Fleet & Material Mgmt DMV Public Trans Purchasing ROW

COVID-19-Response

Coronavirus/COVID-19 Response

Connect NCDOT > Doing Business > Public Trans > COVID-19-Response

CARES Act Transit Funding Dashboard

On Friday, March 27, President Trump signed the Coronavirus Aid, Relief, and Economic Security (CARES) Act into law. The Federal Transit Administration is allocating \$25 billion to recipients of urbanized area and rural area formula funds, with \$22.7 billion to large and small urban areas and \$2.2 billion to rural areas. Funding will be provided at a 100-percent federal share, with no local match required, and will be available to support capital, operating, and other expenses generally eligible under those programs to prevent, prepare for, and respond to COVID-19.

Operating expenses incurred beginning on January 20, 2020 for all rural and urban recipients, even those in large urban areas, are also eligible, including operating expenses to maintain transit services as well as paying for administrative leave for transit personnel due to reduced operations during an emergency.

CARES Act Transit Funding Dashboard

CARES Act Transit Funding Dashboard: smartsheet

NC CARES Act Transit Amounts \$311		Total CARES Act Funding in North Carolina*	
Amount	Requested Amount (Applied for)	Amount	Amount
\$1,606,717		Total CARES Act Funding in North Carolina*	\$322,395,741.00
\$19,943,937		Large Urban \$307	\$188,982,531
		Small Urban \$307 GA	\$38,472,209
		Rural \$311	\$93,491,001
		Rural \$311 Appalachian	\$1,450,000

*Does not include \$583,934 to the Eastern Band of Cherokee Indians.

Transit System Name	Operating Funds	Requested Amount (Applied for)	Transit System Name	Operating Funds
Rural \$311			Large Urban	
Alamance (ACT)	\$197,048		Charlotte, NC-SC	\$63,620,890
Albemarle Regional Health			Charlotte Area Transit	

COVID-19 Response FAQs

Frequently Asked Questions

CARES Act Grant Application

CARES Act Grants

Subrecipient Timeline

Activity	Date
Allocation and application released to transit systems	April 20-25, 2020
Enter FTA application in TRAMS	April 20, 2020
FTA Review	Apr 27, 2020
DOL Certification (3 days) expedited	April 28, 2020
FTA Final Concurrence/Reservation	April 29, 2020

Observed Impacts to Transit

- Average impacts (FY 2019 Q4 compared to FY 2020 Q4):
 - 50% local revenue loss
 - 55% trip reduction
 - 17% reduction in expenses overall
 - 84% increase in costs per trip

*Impacts to systems obtained through survey results and analysis of OpStat reports.

Priority Innovations for Implementation Plans

1

Safety

- Air Filtration Systems
- Transit Cleaning Accreditation Program

COVID-19 new consideration since 2018 plan

2

Ridership

- New marketing techniques
- Technologies to enhance service delivery flexibility

Tactic 12: Use technology to foster transit system integration, innovation, and operating efficiency

3

Funding and Revenues

- NCDOT improve data collection to demonstrate impacts of funding reductions
- Additional COVID-19 funding and funding flexibility strategies

Tactic 16: Support and enable greater flexibility in funding transit investments

4

New Service Patterns

- Statewide technology deployment and integration
- Expand micro-transit

Tactic 17: Embrace Smart, Connected, and Autonomous Technology

STRATEGIC PLAN

Integrated Mobility Division Fiscal 2021 Cash Spend Plan

Program	Target
State Maximum	\$18,578,036
State Carry Forward (Charlotte Blue Line Ext)	\$37,261,485
Federal Transit Admin. Traditional Maximum	\$55,147,641
Federal CARES Maximum *	\$106,200,000
NC DHHS Maximum	\$5,000,000
Integrated Mobility Division State Admin.	\$862,205
Total	\$223,049,367

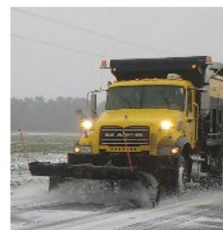
IMD budget is primarily driven by recurring programs and FTA grants awarded through IMD to transit systems. Timing of drawdowns are at the mercy of the transit systems. Federal funds are passed through to transit systems and may include a required local/state match.

*Based on current drawdown, it is unlikely that all CARES Act funds will be expended by end of fiscal year. As they do not expire, we have requested a reduction for FY21 and for these funds to be carried forward to FY22.



NORTH CAROLINA

Department of Transportation



Heather Hildebrandt, Interim Director

Integrated Mobility Division

hjhildebrandt@ncdot.gov

919-707-2601