

## NC CAP-MR/DD Waivers: Summary of Planned Tiers (3 waivers)

### Home and Community Based (HCBS) Waivers

Three (3) targeted waivers – *Supports, Comprehensive, Community Intensive*: each waiver provides for the specifically defined needs of intended participants based on intensity of need, financial limits, and services/supports. The primary purpose of the Tiered waivers is to develop a system that; 1) assures that individuals receive the services/supports they need; 2) Services are authorized equitably based on the individual's intensity of need.

These waivers require individuals to participate in Habilitative services and are not intended to provide individuals 24 hour direct services/support.

TIER	FINANCIAL RANGE	LEVEL AND INTENSITY OF NEED	SERVICE ARRAY
Tier 1 <b>Supports Waiver</b>	Up to \$17,500	<ul style="list-style-type: none"> <li>Individuals have natural/community/non-paid supports and/or low intensity of service/support need to enable them to live successfully in the community.</li> <li>The individual is at risk of out-of-home placement or loss of their current living situation due to the family/caregiver's inability to continue to provide support.</li> <li>The individuals' needs are successfully met through the services offered in the Supports Waiver and/or with the addition of natural/community/non-paid supports.</li> <li>Individuals need periodic and intermittent paid services/supports to maintain habilitative skills and reduce risk or jeopardy of health and safety.</li> </ul>	<p><b>Self-Direction is an option</b></p> <p><b>Available Services/Supports</b></p> <p><b>Where People Live</b></p> <ul style="list-style-type: none"> <li>Home and Community Supports</li> <li>Personal Care Services</li> </ul> <p><b>Where People Work/Habilitative Activities</b></p> <ul style="list-style-type: none"> <li>Day Supports</li> <li>Supported Employment</li> <li>Long Term Vocational Services</li> </ul> <p><b>Support to Caregivers</b></p> <ul style="list-style-type: none"> <li>Individual Caregiver Training and Education</li> <li>Specialized Consultative Services</li> <li>Respite</li> </ul> <p><b>Crisis Management</b></p> <ul style="list-style-type: none"> <li>Behavioral Consultant</li> <li>Crisis Services</li> <li>Crisis Respite</li> </ul> <p><b>Additional Supports</b></p> <ul style="list-style-type: none"> <li>Augmentative Communication Devices Maximum of \$ 5,000 for the life of the waiver)</li> <li>Specialized Equipment and Supplies (Maximum of</li> </ul>

			<p>\$3,000 per plan year)</p> <ul style="list-style-type: none"> <li>• Vehicle Adaptations (Maximum of \$15,000 for life of the waiver)</li> <li>• Personal Emergency Response System</li> <li>• Transportation (Maximum of \$2,000 per plan year)</li> <li>• Home Modifications (Maximum of \$15,000 for life of waiver)</li> </ul> <p><b>Self-Direction</b></p> <ul style="list-style-type: none"> <li>• Individual Goods and Services-<b>Self-Direction ONLY</b> (Maximum of \$3,000 per the plan year)</li> </ul> <p><b>Any combination of these services may not exceed the \$17,500 maximum annual benefit of the waiver.</b></p>
<b>TIER</b>	<b>FINANCIAL RANGE</b>	<b>LEVEL AND INTENSITY OF NEED</b>	<b>SERVICE ARRAY</b>
<p>Tier 2</p> <p><b>Comprehensive waiver</b></p> <p>Difference in bands –</p> <p><b>BAND A:</b> Can access any combination up to \$45k adhering to individual category limits.</p> <p>.</p>	<p><b>Band A:</b> \$17, 501 to \$45,000</p>	<p><b>Band A:</b></p> <ul style="list-style-type: none"> <li>• The individual requires a higher level of paid support/services than those available within the Supports Waiver <b>and/or</b> they require a service/or intensity of the service not available in the Supports Waiver</li> <li>• The individual is at <u>high</u> risk of out-of-home placement or loss of their current living situation due to the family/caregiver's inability to continue to provide support</li> <li>• The individual requires assistance /support to maintain current living arrangement and to minimize the potential for victimization/exploitation.</li> <li>• The individual requires support to develop and maintain life skills (paying utility bills/rent on time to prevent disconnection, maintenance of home environment to prevent eviction, etc.).</li> <li>• The individual's support needs are routine,</li> </ul>	<p><b>Self-Direction is an option</b></p> <p><b>BAND A</b></p> <p><b>Available Services/Supports</b></p> <p><b>Where People Live</b></p> <ul style="list-style-type: none"> <li>• Home and Community Supports</li> <li>• Personal Care Services</li> <li>• <b>Residential Supports( Levels I and II)</b></li> <li>• <b>Home Supports(Level I, II)</b></li> </ul> <p><b>Where People Work/Habilitative Activities</b></p> <ul style="list-style-type: none"> <li>• Day Supports</li> <li>• Supported Employment</li> <li>• Long Term Vocational Services</li> <li>• <b>Adult Day Health</b></li> </ul> <p><b>Support to Caregivers</b></p> <ul style="list-style-type: none"> <li>• Individual Caregiver Training and Education</li> <li>• Specialized Consultative Services</li> <li>• Respite</li> </ul> <p><b>Crisis Management</b></p>

		planned and structured to maintain habilitative skills and reduce risk or jeopardy of health and safety	<ul style="list-style-type: none"> <li>• Behavioral Consultant</li> <li>• Crisis Services</li> <li>• Crisis Respite</li> </ul> <p><b>Additional Supports ( \$15,000 per the life of the waiver on any combination of these services)</b></p> <ul style="list-style-type: none"> <li>• Augmentative Communication Devices (Maximum of \$ 5,000 for the life of the waiver)</li> <li>• Specialized Equipment and Supplies (Maximum of \$3,000 per plan year)</li> <li>• Vehicle Adaptations (Maximum of \$15,000 for life of the waiver)</li> <li>• Personal Emergency Response System</li> <li>• Transportation (Maximum of \$2,000 per plan year)</li> <li>• Home Modifications (Maximum of \$15,000 for life of waiver)</li> </ul> <p><b>Self-Direction</b></p> <ul style="list-style-type: none"> <li>• Individual Goods and Services-<b>Self-Direction ONLY</b> (Maximum of \$3,000 per the plan year)</li> </ul> <p><b>Any combination of these services may not exceed the \$45,000 maximum annual benefit of Band A.</b></p>
<b>TIER</b>	<b>FINANCIAL RANGE</b>	<b>LEVEL AND INTENSITY OF NEED</b>	<b>SERVICE ARRAY</b>
<p>Tier 2</p> <p><b>Comprehensive waiver</b></p> <p><b>Band B:</b> Can access any combination up to \$75k adhering to individual category limits.</p>	<p><b>Band B:</b> \$45,001 to \$75,000</p>	<p><b>Band B: All of the above in addition to the following;</b></p> <ul style="list-style-type: none"> <li>• The individual has greater intensity of support needs/are at <i>severe</i> risk of loss of their current living arrangement due to ongoing challenging behavior and/or chronic medical needs.</li> <li>• The individual's needs involve extensive supervision and support due to their related skill development, behavioral and/or medical needs.</li> </ul>	<p>Self Direction is not an option</p> <p><b>BAND B</b> <b>Available Services/Supports</b> <b>Where People Live</b></p> <ul style="list-style-type: none"> <li>• Home and Community Supports</li> <li>• Personal Care Services</li> <li>• <b>Personal Care Services-Enhanced</b></li> <li>• <b>Residential Supports( Levels III)</b></li> <li>• <b>Home Supports(Level III)</b></li> </ul> <p><b>Where People Work/Habilitative Activities</b></p>

		<ul style="list-style-type: none"> <li>• The individual's paid supervision and support needs are extensive; frequency, duration and intensity are greater than for individuals served within <b>Band A</b>.</li> <li>• The individual requires enhanced medical monitoring/support (G-Tube feeding, suctioning, positioning)</li> <li>• The individual requires ongoing behavioral supports.</li> </ul>	<ul style="list-style-type: none"> <li>• Day Supports</li> <li>• Supported Employment</li> <li>• Long Term Vocational Services</li> <li>• Adult Day Health</li> </ul> <p><b>Support to Caregivers</b></p> <ul style="list-style-type: none"> <li>• Individual Caregiver Training and Education</li> <li>• Specialized Consultative Services</li> <li>• Respite</li> <li>• <b>Respite-Enhanced</b></li> <li>• <b>Respite-Institutional</b></li> </ul> <p><b>Crisis Management</b></p> <ul style="list-style-type: none"> <li>• Behavioral Consultant</li> <li>• Crisis Services</li> <li>• Crisis Respite</li> </ul> <p><b>Additional Supports ( \$20,000 per the life of the waiver on any combination of these services)</b></p> <ul style="list-style-type: none"> <li>• Augmentative Communication Devices (Maximum of \$ 5,000 for the life of the waiver)</li> <li>• Specialized Equipment and Supplies (Maximum of \$3,000 per plan year)</li> <li>• Vehicle Adaptations (Maximum of \$15,000 for life of the waiver)</li> <li>• Personal Emergency Response System</li> <li>• Transportation (Maximum of \$2,000 per plan year)</li> <li>• Home Modifications (Maximum of \$15,000 for life of waiver)</li> </ul> <p><b>Any combination of these services may not exceed the \$75,500 maximum annual benefit of Band B.</b></p>
<b>TIER</b>	<b>FINANCIAL RANGE</b>	<b>LEVEL AND INTENSITY OF NEED</b>	<b>SERVICE ARRAY</b>
Tier 3 <b>Community Intensive</b>	\$75,001 to \$135,000	<ul style="list-style-type: none"> <li>• The individual's support needs can not be adequately met in either the Supports or Comprehensive waivers.</li> </ul>	<p><b>Self-Direction is NOT an option</b></p> <p><b>All services offered in the Supports and Comprehensive waivers are available in addition to these enhanced</b></p>

		<ul style="list-style-type: none"> <li>• The individual is at <u>immediate</u> risk of loss of their current living situation, and/or have an identified health and welfare needs that requires 24 hours per day supervision.</li> <li>• The individual requires specially trained staff to provide continuous, non-stop monitoring/supervision 24 hours a day to ensure the individual's health, safety and wellbeing.</li> <li>• The individual has chronic, pervasive, and extensive medical conditions that require specialized services and supports, <b>AND/OR;</b></li> <li>• The individual exhibits frequent intensive behavioral episodes that may include; sexual offending, self-injurious behaviors, aggression, property destruction, etc.</li> <li>• The individual requires on-going specialized therapeutic services by a qualified Behavioral Health Professional, <b>AND/OR</b> qualified medical professionals.</li> </ul>	<p><b>services.</b></p> <p><b>Available Services/Supports</b></p> <p><b>Where People Live</b></p> <ul style="list-style-type: none"> <li>• Home and Community Supports</li> <li>• Personal Care Services</li> <li>• <b>Personal Care Services-Enhanced</b></li> <li>• <b>Residential Supports( Levels IV)</b></li> <li>• <b>Home Supports (Level V)</b></li> </ul> <p><b>Where People Work/Habilitative Activities</b></p> <ul style="list-style-type: none"> <li>• Day Supports</li> <li>• Supported Employment</li> <li>• Long Term Vocational Services</li> <li>• Adult Day Health</li> </ul> <p><b>Support to Caregivers</b></p> <ul style="list-style-type: none"> <li>• Individual Caregiver Training and Education</li> <li>• Specialized Consultative Services</li> <li>• Respite</li> <li>• Respite-Enhanced</li> <li>• Respite-Institutional</li> <li>• <b>Respite- Nursing</b></li> </ul> <p><b>Crisis Management</b></p> <ul style="list-style-type: none"> <li>• Behavioral Consultant</li> <li>• Crisis Services</li> <li>• Crisis Respite</li> </ul> <p><b>Additional Supports ( \$25,000 per the life of the waiver on any combination of these services)</b></p> <ul style="list-style-type: none"> <li>• Augmentative Communication Devices (Maximum of \$ 5,000 for the life of the waiver)</li> <li>• Specialized Equipment and Supplies (Maximum of \$3,000 per plan year)</li> <li>• Vehicle Adaptations (Maximum of \$15,000 for life of the waiver)</li> <li>• Personal Emergency Response System</li> <li>• Transportation (Maximum of \$2,000 per plan year)</li> <li>• Home Modifications (Maximum of \$15,000 for life of waiver)</li> </ul>
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