

Plans for Development & Implementation of the Tiered CAP-MR/DD Waivers

Joint Legislative Oversight Committee on
Mental Health, Developmental Disabilities, & Substance Abuse
Services

Rose Burnette
DMH-DD-SAS
2-10-10

1

CAP-MR/DD Waivers Summary of Planned Tiers

The Overall Goal of the Tiered waivers:

To improve efficiency in the expenditure of available funds and effectively identify and meet the needs of individuals who are eligible for CAP-MR/DD funding.

2

CAP-MR/DD Waivers Summary of Planned Tiers

Learning from the current waivers, feedback from stakeholders and from review and discussion with other states and national consultants.

3

CAP-MR/DD Waivers

Summary of Planned Tiers

Per federal regulations, each waiver must “stand alone” with its own quality assurance plan, eligibility criteria, financial tracking and reporting, etc. Each waiver requires effort by the State, LMEs and providers.

Can accomplish goal and minimize administrative burden by implementing three tiered waivers, rather than four.

4

CAP-MR/DD Waivers

Summary of Planned Tiers

Each waiver provides for the specifically defined needs of intended participants based on intensity of need, financial limits, and services/supports.

The development of the Tiered waivers system is intended to; 1) assure that individuals receive the services/supports they need; and 2) Services are authorized equitably based on the individual's intensity of need.

5

Three Targeted Waivers

- ☐ Supports Waiver
- ☐ Comprehensive Waiver-
 - ☐ Band A
 - ☐ Band B
- ☐ Community Intensive Waiver

6

Supports Waiver

The Supports Waiver is Designed for Individuals Who:

- ☐ Have natural/community/non-paid supports and/or low intensity of service/support need to enable them to live successfully in the community.
- ☐ Are at risk of out-of-home placement or loss of their current living situation due to the family/caregiver's inability to continue to provide support.

7

Supports Waiver

The Supports Waiver is Designed for Individuals Who:

- ☐ Needs are successfully met through the services offered in the Supports Waiver and/or with the addition of natural/community/non-paid supports.
- ☐ Need periodic and intermittent paid services/supports to maintain Habilitative skills and reduce risk or jeopardy of health and safety.

8

Supports Waiver

- ☐ Financial Maximum-\$17,500 per year
- ☐ Includes the Self-Directed option
- ☐ The service array includes all services *except for*:
 - ☐ Home Supports,
 - ☐ Residential Supports,
 - ☐ Enhanced Personal Care,
 - ☐ Enhanced Respite,
 - ☐ Adult Day Health

9

Comprehensive Waiver

Divided Into 2 Bands:

- ☐ Based on the intensity of the individual's need
- ☐ Each contain a specific service array
- ☐ Financial limit per Band

10

Comprehensive Waiver-Band A

The Comprehensive Waiver-Band A is Designed for Individuals Who:

- ☐ Require a higher level of paid support/services than those available within the Supports Waiver **and/or** they require a service/or intensity of the service not available in the Supports Waiver.
- ☐ Are at **high** risk of out-of-home placement or loss of their current living situation due to the family/caregiver's inability to continue to provide support.

11

Comprehensive Waiver-Band A

The Comprehensive Waiver-Band A is Designed for Individuals Who:

- ☐ Require assistance and structured support to maintain current living arrangement, to develop and maintain life skills and to minimize the potential for victimization/exploitation.
- ☐ Support needs are routine, planned and structured to increase Habilitative skills and reduce risk or jeopardy of health and safety.

12

Comprehensive Waiver-Band A

- ☐ Financial Range-\$17,501-\$45,000 per year
- ☐ Includes the Self-Directed option

13

Comprehensive Waiver-Band A

The service array includes all Support Waiver services with the addition of the following:

- Residential Supports-Levels I & II
- Home Supports-Levels I & II
- Adult Day Health

14

Comprehensive Waiver-Band A

The service array includes:

- ☐ Services in the *Additional Supports Category*;
 - Home Modifications,
 - Vehicle Adaptations,
 - Augmentative Communications Devices
- With a **limitation of \$15,000** per the life of the waiver *for any combination* of these services.

15

Comprehensive Waiver-Band B

The Comprehensive Waiver-Band B is
Designed for Individuals Who;

- ☐ Have greater intensity of support needs/are assessed at severe risk of loss of current living arrangement due to ongoing challenging behavior and/or chronic medical needs.
- ☐ Needs involve extensive supervision and support due to their related skill development, behavioral and/or medical needs.

16

Comprehensive Waiver-Band B

The Comprehensive Waiver-Band B is
Designed for Individuals Who;

- ☐ Paid supervision and support needs are extensive meaning, frequency, duration and intensity are greater than for individuals served within Band A.
- ☐ Require *enhanced* medical monitoring/support (G-Tube feeding, suctioning, positioning).
- ☐ Require ongoing behavioral supports

17

Comprehensive Waiver-Band B

- ☐ Financial Range-\$45,001-\$75,000 per year
- ☐ Self-Direction is NOT an option

18

Comprehensive Waiver-Band B

The service array includes all Support Waiver services with the addition of the following:

- Residential Supports-Levels III
- Home Supports-Levels III
- Enhanced Personal Care
- Enhanced Respite

19

Comprehensive Waiver-Band B

The service array includes:

- ☐ Services in the *Additional Supports Category*;
 - Home Modifications,
 - Vehicle Adaptations,
 - Augmentative Communications Devices
 - with a **limitation of \$20,000** per the life of the waiver *for any combination* of these services.

20

Community Intensive Waiver

The Community Intensive Waiver is Designed for Individuals Who:

- ☐ Support needs can not be adequately met in the Comprehensive waivers.
- ☐ Are at immediate risk of loss of their current living situation, and/or have health and welfare needs that require 24 hours per day supervision.
- ☐ Require specially trained staff to provide continuous, non-stop monitoring/supervision 24 hours a day to ensure the health, and safety.

21

Community Intensive Waiver

The Community Intensive Waiver is Designed for Individuals Who:

- ☐ Have chronic, pervasive, and extensive medical conditions that require specialized services and supports, **AND/OR**;
- ☐ Exhibit frequent intensive behavioral episodes that may include: sexual offending, self-injurious behaviors, aggression, property destruction, etc.
- ☐ Require on-going specialized therapeutic services by a qualified Behavioral Health Professional, **AND/OR** qualified medical professionals.

22

Community Intensive Waiver

- ☐ Financial Range-\$75,001-\$135,000 per year
- ☐ Self-Direction is NOT an option

23

Community Intensive Waiver

All services offered in the Supports and Comprehensive waivers are available in addition to these enhanced services;

- Residential Supports *Level IV*
- Home Supports *Level V*
- *Nursing* Respite

24

Community Intensive Waiver

The service array includes:

- ❑ Services in the *Additional Supports Category*;
 - Home Modifications,
 - Vehicle Adaptations,
 - Augmentative Communications Devices
 - with a **limitation of \$25,000** per the life of the waiver *for any combination* of these services.

25

Determination of Intensity of Need

Determination of intensity of need will be made by using information gathered through the use of the:

- NC-SNAP
- Supports Intensity Scale
- Other assessments
- Person Centered Planning process

26

Supports Intensity Scale (SIS)

- ❑ The data accumulated from among the state's seven Pilot sites/LME(s) appear to be a representation of the entire state population.
- ❑ The total of adolescent and adult **SIS assessments completed as of January 31, 2010 is 488**, and this sample size appears to be average in making such a determination based on the use of the SIS in other states.

27

SIS Examiners

- SIS examiners are Licensed independent practitioners enrolled with Medicaid.
- The majority of SIS examiners are in private practice and therefore are independent of provider agencies delivering services.
- However, SIS examiners that provide services to provider agencies are not permitted to conduct SIS assessments for individuals served by that provider agency.

28

Transition Into the New Waivers

Individuals in the Supports and Comprehensive waivers will transition into the revised and new waivers based on information gathered from the NC-SNAP, SIS and other assessment and support needs information contained in each Person Centered Plan.

29

Projected Timeline for Implementation of the Tiered Waivers

- Development of the waivers with submission to CMS will occur by Spring 2011 allowing adequate time for CMS approval and transition to the new waivers when the current waivers expire on November 1, 2011.
- Current Supports Waiver will also have to be renewed on November 1, 2011.

30

Stakeholder Involvement

- ☐ The Division will solicit stakeholder input during the development process.
- ☐ Training opportunities for stakeholders regarding the details of the Tiered Waivers will occur prior to implementation of the new waivers.
- ☐ Training will involve both face to face regional meetings and web based options, in addition to information sharing through the LME(s) and web postings.

31

CAP-MR/DD Waivers Summary of Planned Tiers

- ☐ Questions???

32
