

- November 17, 2016 – OSA first met with Martin Edwards & Associates (MEA)
- December 15/16, 2016 – OSA made requests to MEA to provide documentation related to its performance.
- December 16, 2016 – MEA replied stating that they would work on the request and provide documentation periodically
- December 30, 2016 – OSA notified MEA that we would be coming to MEA’s offices on 1/5/2017 to begin reviewing requested documentation (OSA did not receive any response or documentation since MEA’s 12/16/2016 email)
- December 30, 2016 – MEA responded that nothing would be ready for OSA to review by 1/5/2017 and that date was unrealistic due to personal matters, holidays, vacations, documents archived, some hard copies, some electronic, etc.
- January 4, 2017 – OSA called MEA to discuss MEA producing the documentation that was requested. MEA stated that the items would not be available for review due to limited staff and existence of records in multiple locations and databases.
  - OSA offered to pull the information ourselves onsite (MEA refused)
  - MEA requested push back until March or mid-February
  - OSA offered to conduct review in stages with first production due 1/17/2017 (MEA refused)
  - No agreement was reached
- January 9, 2017 – OSA held a teleconference with MEA to again discuss MEA producing required information and documentation.
  - MEA again refused access to documentation
  - OSA notified that we would be serving a subpoena upon MEA on 1/10/17
- January 10, 2017 – OSA served subpoena to MEA
  - MEA refused the subpoena, did not sign and threw it back at the auditor.

### **Working Through OSA and MEA Attorneys**

MEA began providing OSA with some of the requested documents

First Production: 1/18/17 – Initial production received without 9 requested documents  
1/20/17- On OSA’s behalf, DOJ sent 2 spreadsheets identifying missing information and requesting originals of certain original documents.

Second Production: 1/27/17 – MEA’s file included over 1,000 documents. However, several were duplicates, several were documents not requested, and several were illegible or redacted. 581 of 952 requested documents were not provided

Third Production: 2/9/17 – MEA provided a portion of the requested documentation

2/10/17- On OSA’s behalf, DOJ spreadsheet identifying docs still needed included some previously produced docs that were not legible.

2/21/17- On OSA’s behalf, DOJ spreadsheet identifying docs still needed included some previously produced docs that were not legible.

3/31/17- On OSA’s behalf, DOJ emailed MEA’s attorney and told him that “although OSA did not receive all the documentation sought by subpoena or otherwise requested, it was proceeding with its audit.”

Fourth Production: 4/28/17 – despite documentation being received almost two months late, OSA reviewed and applied to testing. A lot of the information provided was duplicates, illegible, redacted, etc. After reviewing all provided documentation, over 440 documents were still missing.