

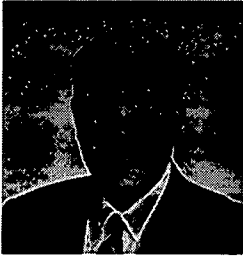
2011

**UNC BOARD OF
GOVERNORS
NOMINATIONS
COMMITTEE**

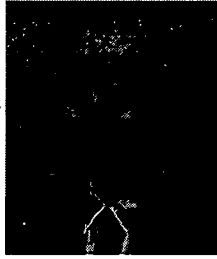
MINUTES

NORTH CAROLINA GENERAL ASSEMBLY

**House Select Committee on University Board of Governors Nominations
2011-2012 SESSION**



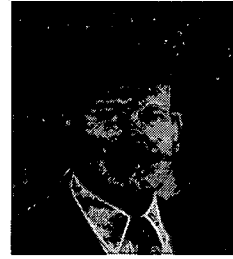
**Rep. Tim Moore
Chair**



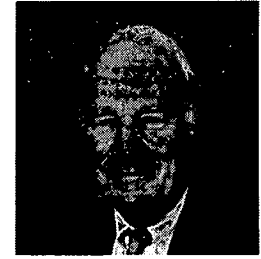
Rep. Harold Brubaker



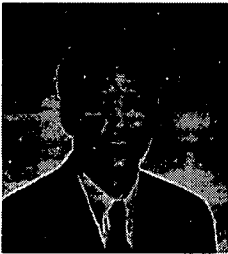
Rep. Angela Bryant



Rep. Nelson Dollar



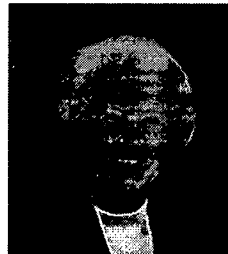
Rep. Phil Haire



Rep. Bryan Holloway



Rep. Bert Jones



Rep. Edith Warren

HOUSE SELECT COMMITTEE ON UNIVERSITY BOARD OF GOVERNORS
NOMINATIONS

<u>MEMBER</u>	<u>ASSISTANT</u>	<u>PHONE</u>	<u>OFFICE</u>	<u>SEAT</u>
Rep. Tim Moore	Nancy Garriss	733-4838	1326 LB	8
Rep. Harold Brubaker	Cindy Coley	715-4946	302 LOB	1
Rep. Angela Bryant	Karon Hardy	733-5878	542 LOB	115
Rep. Nelson Dollar	Candace Slate	715-0795	307B1	31
Rep. Phil Haire	Theresa Lopez	715-3005	609	83
Rep. Bryan Holloway	Cara Philon	733-5609	529 LOB	30
Rep. Bert Jones	Brenda Olls	733-5779	306A1	100
Rep. Edith Warren	Ruth Fish	715-3023	1323	35

MINUTES
HOUSE SELECT COMMITTEE ON UNIVERSITY BOARD OF
GOVERNORS NOMINATIONS
Tuesday, March 22, 2011

The House Select Committee on University Board of Governors Nominations met on Tuesday, March 22, 2011, at 11:10 a.m. in Room 1327 of the Legislative Building. The following members were present: Representative Tim Moore, Chair; Representatives Brubaker, Bryant, Dollar, Haire, Holloway, Jones and Warren.

Representative Tim Moore called the meeting to order.


Chair Moore stated the list of Board of Governors nominees would be cut from 19 to 16. Discussion followed. Tuesday, March 29, 2011 is when the Board of Governor Nominees will be voted on in the House of Representatives. Several members requested more time to look over the applications.

Chair Moore recessed the committee meeting at 11:20 a.m. until 10 minutes after session.

Respectfully submitted,



Representative Tim Moore



Nancy Garriss
Committee Assistant

MINUTES
HOUSE SELECT COMMITTEE ON UNIVERSITY BOARD OF
GOVERNORS NOMINATIONS
Tuesday, March 22, 2011

The House Select Committee on University Board of Governors Nominations continued their meeting on Tuesday, March 22, 2011, at 3:43 p.m. in Room 1327 of the Legislative Building. The following members were present: Representative Tim Moore, Chair; Representatives Bryant, Dollar, Haire, Holloway, Jones and Warren.

Representative Tim Moore called the meeting to order.

Chair Moore explained the ballots and to vote for 16 of the 19 nominees. The Sergeant at Arms collected the ballots, and Chair Moore said Dianne Russell, House LA Director, Representative Bryant, and Representative Dollar would count the ballots.

Chair Moore asked if any nominees were present. Gene Davis, Jr. was recognized.

After the ballots were counted, Chair Moore announced the slate of 16 nominees:

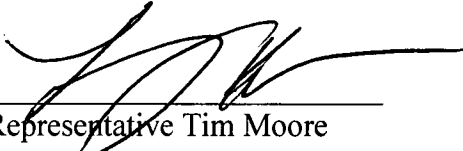
Brent Barringer	Hari Nath
Neal Coker	David Powers
Gene Davis, Jr.	Alan Pugh
Ada Fisher	Noah Seymore, III
Clarice Cato Goodyear	Richard Taylor
James Holmes, Jr.	Raiford Trask, III
Joseph Jenkins	
Leroy Lail	
Mary Ann Maxwell	
Ed McMahan	

Chair Moore stated the three nominees eliminated were:

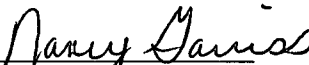
Charles Hayes
Ron Leatherwood
Cheryl Locklear

The meeting adjourned at 3:55 p.m.

Respectfully submitted,



Representative Tim Moore



Nancy Garriss
Committee Assistant



North Carolina General Assembly
House of Representatives

REPRESENTATIVE TIM MOORE
SERVING CLEVELAND COUNTY

OFFICE ADDRESS: ROOM 1326 LEGISLATIVE BUILDING
16 WEST JONES STREET
RALEIGH, NC 27601-1096

TELEPHONE: (919) 733-4838
FAX: (919) 754-3142

EMAIL: tim.moore@ncleg.net

HOME ADDRESS: 305 EAST KING STREET
KINGS MOUNTAIN, NC 28086

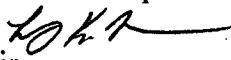
TELEPHONE: (704) 739-1221

COMMITTEES:

CHAIRMAN: RULES, CALENDAR, AND
OPERATIONS OF THE HOUSE
CHAIRMAN: JUDICIARY SUBCOMMITTEE ON
FAMILY LAW
VICE CHAIR: JUDICIARY
ELECTION LAW
ENVIRONMENT
FINANCE
PUBLIC UTILITIES

March 22, 2011

MEMORANDUM TO: The Honorable Thom Tillis
Speaker of the House of Representatives

FROM: Tim Moore, Chair 
Select Committee on University Board of Governors Nominations

SUBJECT: Committee Report of Nominees for 2011 House Election of the Board
of Governors of the University of North Carolina

Listed below are the nominees for consideration by members of the House of Representatives in the Forthcoming election to the Board of Governors of the University of North Carolina under House Resolution 314. The names of the nominees are listed in alphabetical order. The members of the Committee adopted the slate of nominees at a meeting on March 22, 2011.

Nominees

Brent Barringer
Neal Coker
Gene Davis, Jr.
Ada Fisher
Clarice Cato Goodyear
James Holmes, Jr.
Joseph Jenkins
Leroy Lail
Mary Ann Maxwell
Ed McMahan
Hari Nath
David Powers
Alan Pugh

Noah Seymore, III
Richard Taylor
Raiford Trask, III

**NORTH CAROLINA HOUSE OF REPRESENTATIVES
COMMITTEE MEETING NOTICE
AND
BILL SPONSOR NOTIFICATION
2011-2012 SESSION**

You are hereby notified that the Committee on **House Select Committee on University Board of Governors Nominations** will meet as follows:

DAY & DATE: Tuesday, March 22, 2011

TIME: 11:00 a.m.

LOCATION: 1327 LB

COMMENTS: Discuss Nominees

The following bills will be considered:

Respectfully,
Representative Tim Moore, Chair

I hereby certify this notice was filed by the committee assistant at the following offices at 11 AM o'clock on **March 17, 2011**.

- Principal Clerk
- Reading Clerk – House Chamber

Nancy Garriss (Committee Assistant)

Corrected Notice

**NORTH CAROLINA HOUSE OF REPRESENTATIVES
COMMITTEE MEETING NOTICE
AND
BILL SPONSOR NOTIFICATION
2011-2012 SESSION**

You are hereby notified that the Committee on **House Select Committee on University Board of Governors Nominations** will meet as follows:

DAY & DATE: Tuesday, March 22, 2011
TIME: Reconvene 10 minutes after session
LOCATION: 1327 LB
COMMENTS: Nominees

The following bills will be considered:

Respectfully,
Representative T. Moore, Chair

I hereby certify this notice was filed by the committee assistant at the following offices at **11 AM** o'clock on **March 22, 2011**.

- Principal Clerk
- Reading Clerk – House Chamber

Nancy Garriss (Committee Assistant)

GENERAL ASSEMBLY OF NORTH CAROLINA
SESSION 2011

H

Simple
Resolution
Adopted

HOUSE RESOLUTION 314
Adopted 3/9/11

Sponsors: Representative T. Moore (Primary Sponsor)
For a complete list of Sponsors, see Bill Information on the NCGA Web Site.

Referred to:

March 9, 2011

1 A HOUSE RESOLUTION TO ESTABLISH THE PROCEDURE FOR NOMINATING AND
2 ELECTING MEMBERS OF THE BOARD OF GOVERNORS OF THE UNIVERSITY OF
3 NORTH CAROLINA.

4 Be it resolved by the House of Representatives:

5 **SECTION 1.** The following procedures for nominating and electing members of
6 the Board of Governors of The University of North Carolina (hereinafter the "Board of
7 Governors") are adopted:

8 **I. COMMITTEE RESPONSIBILITIES.**

9 1. It is the duty of the Select Committee on UNC Board of Governors Nominations
10 of the House of Representatives (hereinafter the "Committee") to conduct a process for
11 nominating and electing persons for each opening on the Board of Governors to which the
12 House of Representatives is to elect members.

13 2. The Committee shall receive nominations for election to the Board of Governors
14 from Friday, March 11, 2011, through 12:00 P.M. on Thursday, March 17, 2011. In 2011, the
15 total number of open positions is eight. Each Representative may nominate up to **two persons**.
16 In order for a person to have standing as a nominee, that person must be formally nominated by
17 a member of the House of Representatives. Only a written nomination that includes all the
18 requested information, which is submitted on a form provided by the Chair of the Committee
19 for that purpose, and that is received in the Office of the House Principal Clerk on or after
20 Friday, March 11, 2011, and no later than 12:00 P.M. on Thursday, March 17, 2011, shall
21 constitute a formal nomination. Delivery to the Office of the House Principal Clerk by
22 facsimile transmission or e-mail transmission shall not constitute a formal nomination of a
23 candidate. An individual is not eligible for nomination if he or she was nominated and not
24 elected to the Board of Governors by the Senate during the 2011 Session.

25 3. Notwithstanding G.S. 138A-22, every person who has been formally nominated
26 shall file a completed North Carolina State Ethics Commission Statement of Economic Interest
27 with the North Carolina State Ethics Commission to be received by the North Carolina State
28 Ethics Commission no later than 12:00 P.M. on Thursday, March 17, 2011.

29 4. On or after March 18, 2011, the Committee shall list all proposed nominees. The
30 Committee shall screen the nominees as to their qualifications, experience, and statutory
31 suitability. The Committee may screen nominees by interviewing or by soliciting written
32 information or by both means. On completion of the screening process, the Committee shall
33 conduct a vote to ensure that the slate of legally qualified candidates lists 16 candidates if 16 or
34 more have been nominated.



1 5. Each nominee shall confirm his or her willingness to serve if elected. Any
2 nominee may withdraw without the approval of the sponsor.

3 6. The Committee's nominees shall be placed before and recommended to the House
4 of Representatives for election.

5 **II. ELECTIONS IN THE HOUSE OF REPRESENTATIVES.**

6 1. A ballot shall be prepared under the supervision of the Chair of the Committee for
7 the use of the House of Representatives. The ballot shall include 16 legally qualified candidates
8 if 16 or more have been nominated.

9 2. The names of the nominees shall be arranged on the ballot by alphabetical order
10 and shall list only the names of those nominees recommended by the Committee.

11 3. The House of Representatives shall hold its election no later than the beginning of
12 the daily session on Tuesday, March 29, 2011. Before the voting begins, the Speaker of the
13 House shall explain the voting rules, which are:

14 (a) No nomination shall be received from the floor.

15 (b) In order to be elected, a nominee must receive the votes of a majority of all
16 members present and voting.

17 (c) Each member present and voting shall vote for as many nominees as there
18 are positions to be filled, and any ballot not so marked shall be deemed void.

19 (d) If fewer than eight nominees receive the votes of a majority of all members
20 present and voting, a runoff to fill the open position or positions shall be
21 conducted among the nominees who were not elected but who received the
22 highest numbers of votes cast; and the number of nominees eligible to be
23 voted on in the runoff shall be twice the number of positions to be filled.

24 (e) If there is a tie for the last position between two nominees who are eligible
25 for the next runoff, both nominees shall be included in the next runoff
26 balloting, even though there would be more than two nominees per available
27 position.

28 (f) If more than eight nominees receive the votes of a majority of all members
29 present and voting, then the eight nominees receiving the highest numbers of
30 votes shall be deemed to have been chosen.

31 4. The members of the House of Representatives shall proceed to mark their ballots
32 for eight persons for four-year terms.

33 Every ballot shall be signed by the member of the House of Representatives casting
34 it, and no unsigned ballots shall be counted.

35 5. The Chair of the Committee is responsible for canvassing the vote and declaring
36 the results and may designate members of the Committee to assist. All ballots shall be retained
37 by the House Principal Clerk as part of the permanent records of the House of Representatives
38 and shall be open for immediate public inspection upon adjournment of that day's session.

39 6. When the Chair of the Committee has determined that the House of
40 Representatives has elected eight persons to serve as members of the Board of Governors for
41 terms of four years, the Speaker of the House of Representatives shall declare those eight
42 persons to have been elected to the Board of Governors by the House of Representatives.

43 7. The results of the election in the House of Representatives shall then be sent by
44 Special Messenger to the Senate.

45 **III. NOTIFICATION OF ELECTION RESULTS.**

46 When the election process is complete, the Chair of the Committee shall notify the
47 Secretary of the Board of Governors of the names of the persons elected by the House of
48 Representatives and the term for which each person was elected.

49 **SECTION 2.** As used in this resolution, the term "Chair" means the Chair of the
50 Select Committee on UNC Board of Nominations of the House of Representatives.

51 **SECTION 3.** This resolution is effective upon adoption.

House Select Committee on University Board of Governors Nominations

Ballot – March 22, 2011

The Committee shall conduct a vote to ensure that the slate of legally qualified candidates lists 16 candidates if 16 or more have been nominated.

Please circle the names of 16 nominees.

Brent Barringer	Cheryl Locklear
Neal Coker	Mary Ann Maxwell
Gene Davis, Jr.	Ed McMahan
Ada Fisher	Hari Nath
Clarice Goodyear	David Powers
Charles Hayes	Alan Pugh
James Holmes, Jr.	Noah Seymore, III
Joseph Jenkins	Richard Taylor
Leroy Lail	Raiford Trask, III
Ron Leatherwood	

Name _____

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Name

Bru Baker

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Ron Leatherwood	

Name

Angela H. Bryant

House Select Committee on University Board of Governors Nominations

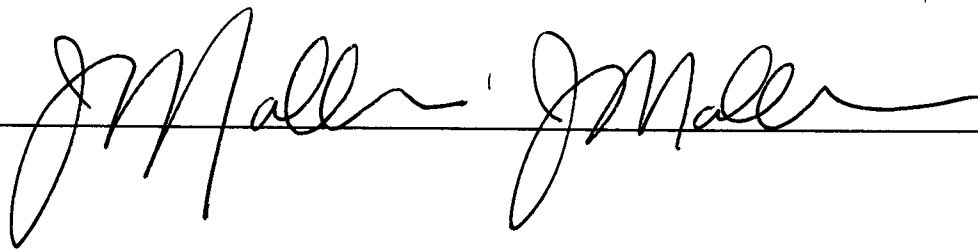
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Name

Phil Harce

House Select Committee on University Board of Governors Nominations

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Ron Leatherwood	

Name

Bryan R. Haller

House Select Committee on University Board of Governors Nominations

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Ron Leatherwood	

Name Bert Jones

House Select Committee on University Board of Governors Nominations

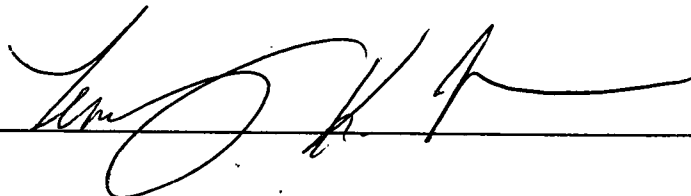
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House Select Committee on University Board of Governors Nominations

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Name

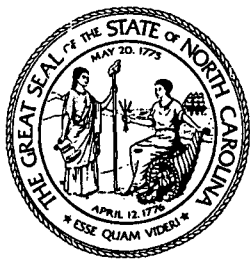
Edith A Warren

RECEIVED

MAR 15 2011

2:55 pm

Denise Weeks



**NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM**

(1) **Representative Nelson Dollar** (Sponsor's Name)

proposes **Brent D. Barringer** (Nominee's name) as a nominee for election to the Board of Governors of The University of North Carolina for a four-year term to commence July 1, 2011.

(2) Nominee's Name: **Brent D. Barringer**

Nominee's Occupation: Attorney (Small Business & Commercial Real Estate)

Nominee's Physical Home Address: 305 Queensferry Road, Cary, NC 27511

Home Phone: 919-467-4131

Work Phone: 919-467-6700

Fax # 919-481-9190

Email address: brent@barringerlaw.com

(3) Has the nominee ever been arrested, given a written warning, taken into custody, or accused, formally or informally, of the violation of a law for any offense?

No, except for minor traffic offenses.

If yes, list every incident including the date, place, charges, and final disposition. Attach additional sheets if necessary.

*N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
* * DEADLINE - 12:00 p.m., Thursday, March 17, 2011. * **

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(4) Is the nominee current on all federal, State, and local taxes?

YES

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds:

1) Member, UNC Board of Governors since 2003 (appointed by NC House of Representatives);

2) Member, Centennial Authority of RBC Center since 1995 (appointed by Wake County Commissioners since 2002).

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds:

NO

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

NO

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

NO

*N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
* * DEADLINE - 12:00 p.m., Thursday, March 17, 2011. * **

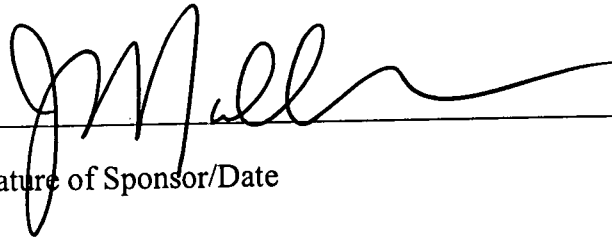
All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(9) If elected, will the nominee serve?

YES

(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina?

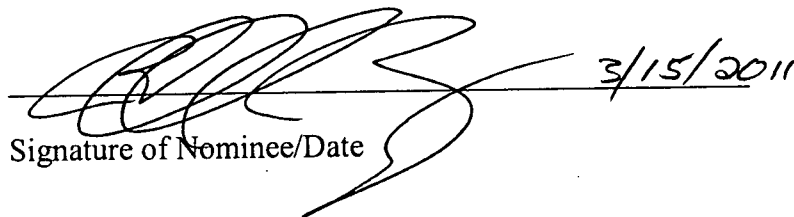
No such conflict exists.



Signature of Sponsor/Date

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.



Signature of Nominee/Date

*N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
* * DEADLINE - 12:00 p.m., Thursday, March 17, 2011. * **

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

BRENT D. BARRINGER

111 Commonwealth Court, Suite 101
Cary, North Carolina 27511
Office: (919) 467-6700 or 467-1776
Mobile: (919) 669-1776

**PROFESSIONAL
EXPERIENCE**

Barringer Law Firm, LLP, Attorneys at Law- Cary, N.C.
Partner since 1986. Practice concentrating in small business/corporate work, contracts, commercial real estate and residential development.

**The Honorable Eugene A. Gordon, Senior Judge,
U.S. District Court, Middle District of North Carolina,
Greensboro, North Carolina - Law Clerk (1984-1985)**

**PUBLIC SERVICE
EXPERIENCE**

Member, University of North Carolina Board of Governors (2003-2011) Appointed by NC House of Representatives in 2003 and 2007; Appointed Co-Chair of 2010 Presidential Search Selection/Screening Committee; Elected Chair of Public Affairs Committee, 2007-2008; Appointed Chair of 2006 University Award Committee. Served on Personnel & Tenure Committee 2003 thru 2006, Budget & Finance Committee 2006 thru 2008, and Education Planning and Audit Committees 2008 thru 2011; Appointed by Chair to Special Teaching Task Force in 2003 thru 2004, Special Nursing Task Force in 2004 thru 2007, Special Tuition Task Force in 2004 thru 2006, and to Campus Security Task Force in 2007.

Member, Centennial Authority of the RBC Center (1995-2013)
First Appointed by Speaker of N.C. House of Representatives (1995-2001), and then by Wake County Commissioners (2002-2013). Served as Chair of Long-Range Planning Committee & on all major committees.

Member, Charter Board of Directors and Executive Committee Crescent State Bank (current Chair of Asset Liability Committee), community bank started in Cary in December, 1998 with offices now in Apex, Clayton, Garner, Holly Springs, Knightdale, Pinehurst, Raleigh, Sanford, Southern Pines & Wilmington, and its holding company, Crescent Financial Corp (NASDAQ symbol: CRFN).

Cary Chamber of Commerce, Chairman of Board of Directors (1995-1996).

Licensed Foster Parent, Methodist Home for Children, 1994-2004.

Member, N. C. Housing Finance Agency Board of Directors
(Appointed by N.C. House of Representatives and served from December, 2001 thru May, 2003—required by law to resign to join UNC Board of Governors)

Wake County Economic Development Commission,
Cary's Representative from 1995-2000.

Cary Rotary Club, Member since 1991.

Chair, Charter Board of Directors, Crimestoppers of Cary (1992-1994).

Visiting Lecturer, Business Law, UNC-Chapel Hill Kenan-Flagler School of Business Administration, Spring 1987.

EDUCATION

**University of North Carolina School of Law
Juris Doctor, May, 1984.**

**University of North Carolina at Chapel Hill
B.S. in Business Administration with Highest Honors, May, 1981.
Completed Senior Honors Thesis on debt versus equity corporate tax issue now housed in North Carolina Collection of UNC Library.**

LEGAL PUBLICATIONS

"The 1982 Merger Guidelines: If Too Lenient, Why No Old Style Schlitz or Blue Ribbon?", *American Business Law Journal*, Vol. 22 (Co-author with Prof. Barry Roberts of UNC Kenan-Flagler School of Business Administration).



NORTH CAROLINA STATE ETHICS COMMISSION
2011 STATEMENT OF ECONOMIC INTEREST

919-715-2071

www.ethicscommission.nc.gov

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STATE ETHICS COMMISSION

COMPLETE THIS FORM AND MAIL SIGNED, ORIGINAL TO
STATE ETHICS COMMISSION, 1324 MAIL SERVICE CENTER, RALEIGH, NC 27699-1324

1. STATEMENT TYPE (SELECT ONE)

- NEW **Deadline for filing Statement of Economic Interest**
 AMENDED **Newly Appointed/Employed:** Generally prior to your appointment/employment
All Others: Generally April 15 of current year

COPY

2. FILER'S NAME (FIRST, MIDDLE, LAST)

First Name	Middle Name	Last Name	Suffix
Brent	David	Barringer (BDB)	

3. MAILING ADDRESS, CITY, STATE, ZIP+4¹

Address 1	Address 2	City	State	ZIP
P.O. Box 5566		Cary	NC	27512

4. EMPLOYER

Barringer Law Firm, LLP

5. TITLE OR POSITION SOUGHT

UNC Board of Governors

6. DAYTIME PHONE NUMBER (10-digit number no spaces, no characters.)

(919) 467-6700

7. ALTERNATE PHONE NUMBER (10-digit number no spaces, no characters.)

(919) 669-1776

8. E-MAIL ADDRESS

brent@barringerlaw.com

9. REASON FOR FILING (SELECT ALL THAT APPLY)

- STATE GOVERNMENT JOB BOARD/COMMISSION APPOINTMENT

10. EMPLOYED BY (IF FILING BASED ON EMPLOYMENT)

11. BOARD(S) SERVED - Select up to 11 Boards

UNC Board of Governors
Centennial Authority

12. HOUSEHOLD MEMBERS: Please provide the following information concerning your spouse and other members of your immediate family **RESIDING IN YOUR HOUSEHOLD.**² If the information requested does not apply, please indicate "none."

No other household members.

Full Name ³	Relationship	Occupation/Employer	Nature of Business
Tamara P. Barringer (TPB)	Wife	Attorney/Barringer Law Firm, LLP	Wills/Estates and Adjunct Prof. of Business Law @ UNC-CH
Jessica J. Barringer (19)	Child	Student	
John C. Barringer (13)	Child	Student	
Emily C. Barringer (10)	Child	Student	

¹With the exception of judicial officers (including Justices or Judges of the General Court of Justice, district attorneys, and clerks of court), persons holding or seeking an elected office with a residency requirement must provide a home address.

²Immediate family includes your spouse (unless legally separated), minor children, and members of your extended family (your and your spouse's adult children, grandchildren, parents, grandparents, and siblings, and the spouses of each of those persons) **that reside in your household.**

³Filers may use the initials of unemancipated children instead of those children's names. If initials are used, the children's names should be provided on a (non-public) supplement form available from the Commission upon request.

I. \$10,000 PLUS DISCLOSURES

If you, your spouse, or other members of your immediate family have assets or liabilities with a market value of at least \$10,000 in the following categories, please provide the requested information as of 12/31/10 unless another time period is specified in the question.

- ▶ Do not list the value of those assets or liabilities.
- ▶ Do not list assets or liabilities held in a blind trust⁴ established by or for the benefit of you or an immediate family member.

1. NORTH CAROLINA REAL ESTATE OWNED: Do you, your spouse, or members of your immediate family have an ownership interest in North Carolina real estate with a market value of \$10,000 or more?

Yes No. If "Yes", please list below.

Owner of Real Estate	% Ownership Interest	Location by County and City
BDB & TPB	100%	Wake County, Cary
TPB (by inheritance)	25%	Cleveland County, outside of Shelby
All other real estate is owned in the several LLCs listed below.		

2. NORTH CAROLINA REAL ESTATE RENTED: Do you, your spouse, or members of your immediate family rent North Carolina real estate with a market value of \$10,000 or more to or from the State?

Yes No. If "Yes", please list below and identify the State agency involved in the property lease.

Identity of Lessor	Identity of Lessee (Renter)	Location by County and City

3. PERSONAL PROPERTY OWNED: Within the preceding two years, have you, your spouse, or members of your immediate family sold or bought personal property with a market value of \$10,000 or more to or from the State?

Yes No. If "Yes", please list below and identify the State agency involved in the purchase or sale.

Identity of Purchaser	Identity of Seller	Nature and Location of Property

4. PERSONAL PROPERTY RENTED: Do you, your spouse, or members of your immediate family rent personal property with a market value of \$10,000 or more to or from the State?

Yes No. If "Yes", please list below and identify the State agency involved in the property lease.

Identity of Lessor	Identity of Lessee (Renter)	Nature and Location of Property

⁴ "blind trust" is a trust that meets all of the following criteria: (a) the owner of the trust's assets is unaware of the trust's holdings and sources of income, the individual or entity managing the trust's assets ("the trustee") is not a member of the covered person's extended family and is not associated with or employed by the covered person or his or her immediate family, and (c) the trustee has sole discretion to manage the trust's assets. G.S. 138A-3(1).

5(a). **PUBLIC COMPANIES:** Do you, your spouse, or members of your immediate family own interests (generally stock) in a publicly owned company valued at \$10,000 or more?

Yes No. If "Yes", please list below.

▶ Do not list ownership interests in a widely held investment fund (including mutual funds, regulated investment companies, or pension or deferred compensation plans) if (i) the fund is publicly traded or its assets are widely diversified and (ii) neither you nor an immediate family member are able to control the assets held in the mutual fund, investment company, or pension or deferred compensation plan.

▶ You may use three-letter ticker symbol to identify stocks.

Owner of Interest	Name of Company
BDB & TPB	Crescent Financial Corp. (CRFN)
BDB & TPB	Paragon Commercial Bank
BDB & TPB	Peoples Bankcorp of N.C.
BDB & TPB	Lincoln National Corp.
BDB & TPB	Triangle Capital Corp.
Several other publicly-traded securities are held in various	"SEP/IRA" and simple retirement plans by both BDB & TPB.

5(b). **OPTIONS:** Do you, your spouse, or members of your immediate family hold stock options in a publicly owned company valued at \$10,000 or more?

Yes No. If "Yes", please list below.

Owner of Stock Option	Name of Company

6(a). **NON-PUBLIC COMPANIES:** Do you, your spouse, or members of your immediate family have financial interests valued at \$10,000 or more in a non-publicly owned company or business entity (including interests in sole proprietorships, partnerships, limited partnerships, joint ventures, limited liability companies, limited liability partnerships, and closely held corporations)?

Yes No. If "Yes", please list below and complete 6(b) and 6(c).

Owner of Interest	Name of Business Entity
BDB & TPB	Abaigeal Properties, LLC
BDB & TPB	Caspar Park, LLC
BDB	HBJ Properties, LLC
BDB & TPB	JJB Properties, LLC
Please see attachment for complete list.	

6(b). For each of those non-publicly owned companies or business entities identified in question 6(a) (the "primary company"), please list the names of *any other companies* in which the primary company owns securities or equity interests valued at over \$10,000, *if known*.

Non-Publicly Owned Company (the Primary Company)	Other Companies in which the Primary Company Owns Security or Equity Interests
<input checked="" type="checkbox"/> None or Not Known	

6(c). If you know that any company or business entity listed in 6(a) or (b) above has any material business dealings or business contracts with the State, or is regulated by the State, provide a brief description of that business activity or relationship.

Identify Company or Business Entity	Nature of Business Relationship with the State
<input type="checkbox"/> None or Not Known	
Please see attachment.	

7. **TRUSTS:** Are you, your spouse, or members of your immediate family the beneficiaries of a vested trust with a value of \$10,000 or more that is created, established, or controlled by you?

Yes No. If "Yes", please list below.

▶ **Do not list blind trusts.** A "blind trust" is a trust that meets all of the following criteria: (a) the owner of the trust's assets is unaware of the trust's holdings and sources of income, (b) the individual or entity managing the trust's assets ("the trustee") is not a member of the covered person's extended family and is not associated with or employed by the covered person or his or her immediate family, and (c) the trustee has sole discretion to manage the trust's assets. G.S. 138A-3(1)

Name and Address of Trustee	Description of the Trust	Your Relationship to the Trust

8. **LIABILITIES:** Do you, your spouse, or members of your immediate family have a liability (debt) of \$10,000 or more, excluding indebtedness (mortgage) on your primary personal residence?

Yes No. If "Yes", please list below. Examples include credit card debts, auto loans, and student loans.

Name of Debtor (You, Spouse, Immediate Family Member)	Type of Creditor (Commercial Bank, Credit Union, Individual, etc.)
Crescent State Bank	Commercial Bank
SunTrust Bank	Commercial Bank
Gateway Bank/Hampton Roads Bankshares	Commercial Bank
B&T	Commercial Bank
Patriot State Bank	Commercial Bank
First Citizens Bank	Commercial Bank
Fifth/Third Bank	Commercial Bank

II. OTHER DISCLOSURES

9. NONPROFIT INTERESTS: At any time during 2010, were you, your spouse or other members of your immediate family a director, officer, governing board member, employee, independent contractor, or registered lobbyist of a nonprofit corporation or organization operating in the State primarily for religious, charitable, scientific, literary, public health and safety, or educational purposes?

Yes No If "Yes", provide the following information.

- ▶ Do not list State boards or entities, or entities created by a political subdivision of the State.
- ▶ Do not list organizations of which you are a mere member or subscriber.
- ▶ If the listed nonprofit corporations or organizations do business with the State or receive State funds, please provide a brief description of the nature of that business, if known, or which with due diligence could reasonably be known.

Identify Person and His/Her Position	Name of Nonprofit Corporation or Organization	Nature of Business or Purpose of Organization	Describe State Business or State Funding
BDB Board Member	Conference Table	Community support for GRCVB	Unknown
TPB Board Member	Safechild	Child abuse prevention	Unknown
See attachment			

10. INCOME: List all sources of income (not amounts) of more than \$5,000 received by you, your spouse, or other members of your immediate family during 2010. Include salary, wages, state/local government retirement, professional fees, honoraria, interest, dividends, rental income, and business income. Do not include income received from the following sources:

- ▶ Capital Gains
- ▶ Federal government retirement
- ▶ Military retirement
- ▶ Social security income/SSDI

Recipient of Income	Name of Source	Business or Industry	Type of Income
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I had no reportable income over \$5,000 in 2010.

BDB & TPB	Barringer Law Firm, LLP	Law Firm	Partnership draws/earned
BDB	Crescent State Bank	Commercial Bank	Director compensation
BDB & TPB	JJB Properties, LLC	Rental Property	Net rental income
BDB	Linda Properties	Rental Property	Net rental income
BDB	Lu-Bar Properties, LLC	Rental Property	Net rental income
BDB	5560 Munford Partners, LLC	Rental Property	Net rental income

11. PRACTICING ATTORNEY: If you are a practicing attorney check each category of legal representation in which you or the law firm with which you are associated has earned legal fees of \$10,000 or more during 2010:

I am not a practicing attorney.

- | | | | |
|---|---|---|-----------------------------------|
| <input type="checkbox"/> Administrative | <input type="checkbox"/> Admiralty | <input checked="" type="checkbox"/> Corporate | <input type="checkbox"/> Criminal |
| <input checked="" type="checkbox"/> Decedent's Estates | <input type="checkbox"/> Environmental | <input type="checkbox"/> Insurance | <input type="checkbox"/> Labor |
| <input type="checkbox"/> Local Government | <input checked="" type="checkbox"/> Real Property | <input type="checkbox"/> Securities | <input type="checkbox"/> Tax |
| <input type="checkbox"/> Tort litigation (including negligence) | | <input type="checkbox"/> Utilities Regulation | |

12. LICENSED PROFESSIONAL: Are you (1) a licensed professional (other than an attorney) or do you provide consulting services individually or as a member of a professional association **and** (2) did you charge or were you paid over \$10,000 for those services during 2010?

Yes No. If yes, please provide the following information.

Type of Business	Nature of Services Rendered

13. BUSINESS RELATIONSHIPS: As of December 31, 2010, were you or your employer, or your spouse or other members of your **immediate** family, or their employer, licensed or regulated by, or have a business relationship with, your State board or employing entity?

Yes No Legislator / Judicial Officer. If "Yes", provide the following information.

▶ You are not required to complete this question if you are filing because you are a legislator or a judicial officer ("judicial officer" is defined in footnote 1) or you are filing as an appointee to those offices. Please indicate if this is the case.

Identify Person	Identify Employer (if applicable)	Licensing, Business or Regulatory Relationship

14. INTEREST IN AGENCY OR BOARD ISSUES: As of December 31, 2010, were you, your spouse, or other members of your **immediate** family a director, officer, or governing board member of any society, organization, or advocacy group which has an interest in issues over which your agency or board may have jurisdiction?

Yes No Legislator / Judicial Officer. If "Yes", provide the following information.

▶ You are not required to complete this question if you are filing because you are a legislator or a judicial officer or you are filing as an appointee to those offices. Please indicate if this is the case.

▶ Do not list organizations of which you are only a member (not in a leadership role).

Identify Person	Identify Name of Society, Organization or Advocacy Group	Leadership Position (Director, Officer, Board Member)
BDB	UNC Board of Governors	Board Member
BDB	Centennial Authority	Board Member
B	Carolina Ballet (has some relationship with UNC-CH and UNCSA)	Board Member

15. FELONY CONVICTION: Have you ever been convicted of a felony for which you have not received either (i) a pardon of innocence or (ii) an order of expungement regarding that conviction?

Yes No. If "Yes", please provide the following information.

Offense	Date of Conviction	County and State of Conviction

16. RECEIPT OF GIFTS OUTSIDE OF NORTH CAROLINA: During any calendar quarter in the preceding year (but only the time period after you were appointed, employed or filed or were nominated as a candidate), did you (1) receive any gift(s) exceeding \$200 per quarter from a person or group of persons acting together, **and** (2) when both you and those person(s) were outside North Carolina at the time you accepted the gift(s), **and** (3) the gift(s) were given under circumstances that would lead a reasonable person to conclude that they were given for lobbying?

Yes No. If yes, please provide the following information.

▶ Do not report gifts given by members of your extended family.

▶ Do not report gifts that have previously been reported by you to the Department of the Secretary of State on the "Expense Report for Exempted or Persons Not Covered."

Date Item Received	Name and Address of Donor(s)	Describe Items Received	Estimated Market Value

17. **ACCEPTANCE OF SCHOLARSHIP:** During the preceding year (but only the time period after you were appointed, employed, or filed or were nominated as a candidate) have you (1) accepted a "scholarship" exceeding \$200 from a person or group of persons acting together and (2) those person(s) were outside North Carolina and (3) the scholarship was related to your public position? A "scholarship" is a grant-in-aid to attend a conference, meeting, or similar event.

Yes No I am a Legislator / Judicial officer. If yes, please provide the following information.

- ▶ Do not report gifts that have previously been reported by you to the Department of the Secretary of State on the "Expense Report for Exempted or Persons Not Covered."
- ▶ You are not required to complete this question if you are a judicial officer or you are filling as a Judicial officer appointee. Please indicate if this is the case:
- ▶ Legislators are not required to report scholarships paid by a nonpartisan legislative organization of which the legislator or the General Assembly is a member or participant or an affiliate of that organization.

Date of Scholarship	Name and Address of Donor(s)	Describe Event	Estimated Market Value

18. **LOBBYIST:** Are you or a member of your immediate family currently registered as a lobbyist or lobbyist principal or were you registered as such during 2010?

Yes No. If "Yes", please provide the following information.

Name of Lobbyist	Lobbyist's Principal	Date of Registration	Registration Expiration

19(a). **BUSINESS ASSOCIATIONS:** List the name of each business with which you are associated (sole proprietorships, partnerships, limited partnerships, joint ventures, limited liability companies, limited liability partnerships, and closely held corporations, publicly-held or privately-held) where you or a member of your immediate family is an employee, director, officer, partner, proprietor, or member or manager.

No Business Associations

Identify Person	Relationship to Filer	Company	Role of Person
See Section 6(a) herein and attachment.			

19(b). **COMPANY OR BUSINESS DEALINGS WITH STATE:** If you know that any company or business entity listed in 19(a) above has any material business dealings or business contracts with the State, or is regulated by the State, provide a brief description of that business activity or relationship.

Identify Company or Business Entity	Nature of Business Relationship with the State
<input type="checkbox"/> Not applicable (No entities listed on #19a) <input checked="" type="checkbox"/> No relationship / Not known	

20(a). **APPOINTMENT TO BOARDS COVERED BY STATE GOVERNMENT ETHICS ACT, CHAPTER 138A OF THE GENERAL STATUTES:** Did a Council of State member appoint you to or recommend you for appointment to a board covered by the Ethics Act? The Council of State members are: Governor, Lt. Governor, Secretary of State, State Auditor, State Treasurer, Superintendent of Public Instruction, Attorney General, Commissioner of Agriculture, Commissioner of Labor, or Commissioner of Insurance.

Yes No. If "Yes", proceed to question 20(b). If "No", proceed to question 21.

20(b). **CAMPAIGN CONTRIBUTIONS:** In the preceding calendar year did you (not immediate family members) make contributions with a cumulative total of more than \$1,000 to the Council of State member (see list above) who appointed you? Contributions are defined in N.C.G.S. 163-278.6(6) and include, but are not limited to, "any advance, conveyance, deposit, distribution, transfer of funds, loan, payment, gift, pledge or subscription of money or anything of value whatsoever."

Yes No. If "Yes", list all such contributions. If "No", proceed to question 21.

Date	Amount	Contributed to

21. CAMPAIGN ACTIVITIES: Are you now, or are you a prospect to be:	
<p>a. the head of a principal state department (e.g. cabinet secretary) appointed by the Governor; or</p> <p>b. a North Carolina Supreme Court Justice; Court of Appeals, Superior or District Court Judge; or</p> <p>c. a member of any of the following boards:</p> <ul style="list-style-type: none"> • ABC Commission • Coastal Resources Commission • State Board of Education • State Board of Elections • Employment Security Commission • Environmental Management Commission • Industrial Commission • State Personnel Commission • Rules Review Commission • Board of Transportation • UNC Board of Governors • Utilities Commission • Wildlife Resources Commission 	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If "No", proceed to question 22.</p>
<p>d. If so, were you appointed to, or are you being considered for, appointment to your public position by a Council of State Member (Governor, Lt. Governor, Secretary of State, State Auditor, State Treasurer, Superintendent of Public Instruction, Attorney General, Commissioner of Agriculture, Commissioner of Labor, or Commissioner of Insurance)?</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If "No", proceed to question 22.</p>
<p>e. If so, you must indicate whether during the preceding calendar year you (not immediate family members) engaged in any of the following activities with respect to or on behalf of the candidate or campaign committee of the Council of State member who appointed you to your public position:</p> <p>i. Collected contributions from multiple contributors, took possession of such multiple contributions, and transferred or delivered those collected contributions to the candidate or committee? Contributions are defined in N.C.G.S. 163-278.6(6) and include, but are not limited to, "any advance, conveyance, deposit, distribution, transfer of funds, loan, payment, gift, pledge or subscription of money or anything of value whatsoever."</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>ii. Hosted a fundraiser at your residence or place of business?</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>iii. Volunteered for campaign-related activities, which include, but are not limited to, phone banks, event assistance, mailings, canvassing, surveying, or any other activity that advances the campaign of a candidate?</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
22. OTHER INFORMATION: Are you aware of any other information that you believe may assist the State Ethics Commission in advising you concerning your compliance with the State Government Ethics Act?	
<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", please provide that information.</p>	

Please ensure that you have responded to all questions and that you have stated "None" in response to those questions in which you have nothing to disclose. In the event you fail to answer a question, you will be provided with a supplement to complete, sign and return. Your SEI is not deemed "filed" until complete answers are submitted for every question.

**** North Carolina law establishes a fine of \$250 for failure to timely file a complete Statement of Economic Interest. In addition, it is a Class 1 misdemeanor to knowingly conceal or fail to disclose required information, and a Class H felony to provide false information on a Statement. Such actions can also subject you to disciplinary action in connection with your employment.****

AFFIRMATION

I swear or affirm that the information provided in this Statement of Economic Interest and any attachments hereto are true, complete, and accurate to the best of my knowledge and belief.

I also certify that I have not transferred, and will not transfer, any asset, interest, or property for the purpose of concealing it from disclosure while retaining an equitable interest.

I understand that my Statement of Economic Interest and any attachments or supplements thereto are public record.

I acknowledge that I have read and understand N.C.G.S. 138A-26 regarding concealing or failing to disclose material information and N.C.G.S. 138A-27 regarding providing false information:

§ 138A-26. Concealing or failing to disclose material information.

A filing person who knowingly conceals or knowingly fails to disclose information that is required to be disclosed on a statement of economic interest under this Article shall be guilty of a Class 1 misdemeanor and shall be subject to disciplinary action under G.S. 138A-45. (2006-201, s. 1.)

§ 138A-27. Penalty for false information.

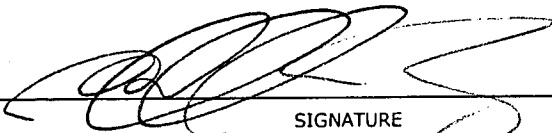
A filing person who provides false information on a statement of economic interest as required under this Article knowing that the information is false is guilty of a Class H felony and shall be subject to disciplinary action under G.S. 138A-45. (2006-201, s. 1.)

I Agree

BRENT D. BARRINGER

PRINTED NAME

** Notarization is no longer required. **



SIGNATURE

3/15/2011

DATE

Submit SIGNED, ORIGINAL documents.

ATTACHMENT
TO
March 15, 2011 STATEMENT OF ECONOMIC INTEREST
FOR
BRENT D. BARRINGER,
UNC BOARD OF GOVERNORS
AND
CENTENNIAL AUTHORITY OF THE RBC CENTER

Section 6(a) (continued):

Owner of Interest	Name of Company Or Business Entity	Specify Legal Status	Nature of Business
BDB & TPB	Lu-Bar Properties, LLC	BDB Member & Manager	Real Estate
BDB	PMRRS, LLC	BDB Member & Manager	Real Estate
BDB & TPB	WPMB, LLC	BDB Member & Manager	Real Estate
BDB & TPB	Barringer Law Firm, LLP	BDB & TPB Partners	Law Firm
BDB & TPB	5560 Munford Partners, LLC	BDB Member & Manager	Real Estate
BDB	Linda Apartments ownership group	BDB alone Tenant in Common	Real Estate (California)
BDB	Bearhead Investments, LLC	BDB Member & Manager	Real Estate Lending

Section 6(a) (continued):

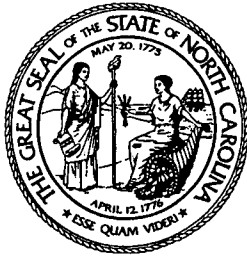
Owner of Interest	Name of Company Or Business Entity	Specify Legal Status	Nature of Business
BDB	Williams Innovations, LLC	BDB Member	Trailer Hitch Design/Manufacturing (primarily Military use)
BDB	4711 Six Forks, LLC	BDB Member	Real Estate
BDB	Oceanpeak, LLC	BDB Member & Manager	Restaurant Ownership
BDB	Powerchalk	BDB Member	Sports/Coaching Software

Section 6 (c) (continued)

All business entities in 6(a) are regulated by the State of North Carolina to varying degrees. Except for our Law Firm almost all are involved in real estate leasing or development. In addition, our Law Firm (almost exclusively through TPB) serves as an independent contractor for teaching/instructional purposes in both the MAC program and the undergraduate program at the UNC-CH Kenan-Flagler School of Business Administration. Williams Innovations, LLC (telescoping swivel trailer hitches primarily for Military use), Oceanpeak, LLC (restaurants) and Powerchalk (sports coaching software) are other small businesses also regulated by the state.

Section 9 (continued):

Identify Person & Position	Name of Nonprofit	Nature of Business	State Funding or Business
TPB, Board Member, & Executive Committee Member	Carolina Ballet	Professional Ballet	Some educational funding from State
TPB, Board Member	MS Society of Eastern NC	Charitable	Unknown
TPB, Board Member	Cary Debutante Ball Society	Annual Debutante Ball	Unknown



RECEIVED

MAR 16 2011

3:12 pm JNS

**NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM**

Representative Marilyn Avila

Proposes **C. Neal Coker** as a nominee for election to the Board of Governors of The University of North Carolina for a four-year term to commence July 1, 2011.

Nominee's Name: **C. Neal Coker**

Nominee's Occupation: Real Estate Investor and Developer

Nominee's **Physical Home** Address: 4521 Touchstone Forest Road; Raleigh,
North Carolina 27612

Home Phone: (919) 781-8884

Work Phone: (919) 801-9499

Fax: (919) 917-7580

Email address: ncoker@verusrea.com

(3) Has the nominee ever been arrested, given a written warning, taken into custody, or accused, formally or informally, of the violation of a law for any offense? No

If yes, list every incident including the date, place, charges, and final disposition. Attach additional sheets if necessary.

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE – 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(4) Is the nominee current on all federal, State, and local taxes?

Yes

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds:

None

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds:

None

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE – 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(9) If elected, will the nominee serve?

Yes

(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina?

Not applicable

Maibyn W. Anila 3/16/11

Signature of Sponsor/Date

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.

Maibyn W. Anila 3/13/2011

Signature of Nominee/Date

*N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
* * DEADLINE – 12:00 p.m., Thursday, March 17, 2011. * **

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

C. Neal Coker
4521 Touchstone Forest Road
Raleigh, North Carolina 27612
(919)-801-9499
ncoker@verusrea.com

Summary:

My personal history of community service and business activity has exposed me to all areas of North Carolina. For eight years I was a member and past chairman of the Northeast Regional Morehead Scholarship Selection Committee. In that role I participated in the interviewing and selection of students from the region stretching roughly from Greenville north and east, to Elizabeth City south to Wilmington, and all areas in between. I currently serve on the Board of Trustees for St. David's School, an independent college preparatory school in Raleigh, North Carolina. I am a proud native of Edgecombe County North Carolina and the father of a current student at Appalachian State University.

My professional background includes extensive experience in the development, acquisition, brokerage, finance, and asset management of all types of commercial and residential real estate. I have 23 years experience in commercial real estate, 20 years experience as an investor and principal.

I believe my past history of service in the furtherance of education, my knowledge of the distinct differences between the urban and rural areas of our state, my understanding of finance and real estate, and my role as the father of a current student in the UNC system will allow me to draw on past and current experiences and bring them to bear in making decisions on the Board of Governors.

Education:

Bachelor of Arts in Economics, Morehead Scholar, University of North Carolina, 1982

Juris Doctorate, University of North Carolina, 1985

Community and Civic Service:

- Board of Trustees, St. David's School, Raleigh, North Carolina 2000-Present.
- John Motley Morehead Foundation
 - Wake County Selection Committee
 - Northeast Region Selection Committee and former Chairman of the Committee
- Past Trustee, North Carolina Center for the Advancement of Teaching
- Past Trustee, Highland Endowment
- Sunday School Teacher, Highland United Methodist Church, Raleigh, North Carolina
- Former Trustee, North Carolina Center for the Advancement of Teaching

Selected Professional Accomplishments:

- Co-Founded Verus Real Estate Advisors. Firm named as an Advisor to a top 5 state pension fund.

- Developed 510 Glenwood, the first vertical mixed use development in North Carolina. 510 Glenwood named the 2001 Sir Walter Raleigh Award winner for commercial development in Raleigh, North Carolina.
- Co-developed and structured historic tax credit financing for the renovation of 1001 Wade Avenue, which won the 2008 Sir Walter Raleigh Award for Historic Renovation of a Commercial Property.
- Successfully completed the first major condominium conversion under North Carolina revised condominium conversion statute in 1996.
- Direct involvement as developer, owner, broker or advisor in over \$300,000,000 in real estate transactions.

Professional Affiliations and Licenses: Active North Carolina licenses in real estate brokerage and law

Personal:

Married 21 years to Mamie Harrell Coker

Three sons

- Charles Burgess Coker currently a freshman at Appalachian State University
- Cameron Harrell Coker currently choosing between Appalachian State University and the University of South Carolina.
- Franklin Chase Coker, currently a 6th grader enrolled at St. David's School in Raleigh.

Resident of Wake County since 1999

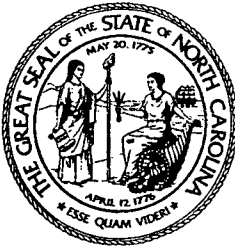
Native of Edgecombe County

51 years old

RECEIVED

MAR 17 2011

10:59am MB



NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM

Martin

(1) Representatives Tim Moore, Bryan Holloway & Grier / (Sponsor's Name)

proposes Gene Davis (Nominee's name)
as a nominee for election to the Board of Governors of The University of North Carolina
for a four-year term to commence July 1, 2011.

(2) Nominee's Name: Rodney Eugene (Gene) Davis, Jr.

Nominee's Occupation: Attorney

Nominee's Physical Home Address: 2204 Byrd Street
Raleigh, North Carolina 27608

Home Phone: N/A

Work Phone: 919-795-8575

Fax # 919-828-8189

Email address: genedavisjr@yahoo.com

(3) Has the nominee ever been arrested, given a written warning, taken into custody,
or accused, formally or informally, of the violation of a law for any offense?

No (except traffic violations).

If yes, list every incident including the date, place, charges, and final disposition. Attach
additional sheets if necessary.

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
*** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ***

All nominees shall also file a Statement of Economic Interest with the North Carolina State
Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(4) Is the nominee current on all federal, State, and local taxes?

Yes.

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds:

Member, Board of Directors, North Carolina Housing Finance Agency

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds:

N/A

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No.

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No.

*N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
** DEADLINE – 12:00 p.m., Thursday, March 17, 2011. ***


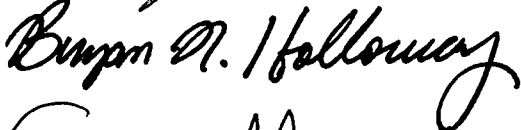
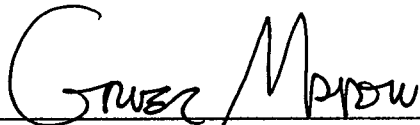
All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(9) If elected, will the nominee serve?

Yes, I would be honored to serve.

(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina?


Yes.

 3/16/2011
 16 Mar 2011
 16 Mar 2011

Signature of Sponsor/Date

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.

 March 17, 2011

Signature of Nominee/Date

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

GENE DAVIS

PERSONAL

Birthplace - Raleigh, North Carolina
Wife - Mary Kaitland Stuart Davis
Home - 2204 Byrd Street, Raleigh, North Carolina 27608
Office - 615 Oberlin Road, Suite 104, Raleigh, North Carolina 27605

EDUCATION

University of North Carolina School of Law, Chapel Hill, North Carolina, J.D.
University of North Carolina at Chapel Hill, Chapel Hill, North Carolina, B.A. with distinction
Majors: Speech Communications and Political Science
La Sorbonne, Paris, France, Certificat de Langue française
Duke University, Durham, North Carolina, Certificate of Nonprofit Management
Cardinal Gibbons High School, Raleigh, North Carolina, High School Diploma

SCHOLASTIC HONORS AND ACTIVITIES

Law School

Executive Editor, 1996-1997, NORTH CAROLINA BANKING INSTITUTE
Recipient, 1997, Lissa L. Broome Award for outstanding service to the banking journal
Articles Editor, 1996-1997, Staff Member, 1995-1996, NORTH CAROLINA JOURNAL OF
INTERNATIONAL LAW AND COMMERCIAL REGULATION
Inductee, 1997, James E. and Carolyn B. Davis Honorary Society
Recipient, Certificate of Merit, International Business Transactions
Chief Justice, 1996-1997, Associate Justice, 1994-1996, Law School Honor Court
Chief Justice, 1995-1996, Phi Alpha Delta
Associate Justice, 1994-1997, University of North Carolina Supreme Court
Delegata, 1995-1997, Order of the Grail Honorary Society
Vice President, 1995-1996, Order of the Old Well Honorary Society

Undergraduate

Phi Eta Sigma

President, 1989-1990, University of North Carolina Association of Student Governments

President, 1989-1990, North Carolina Inter-Campus Government Association

Speaker, 1989-1990, University of North Carolina Student Congress

Rules and Judiciary Chair, 1988-1989, University of North Carolina Student Congress

Ethics Chair, 1987-1988, University of North Carolina Student Congress

Board of Directors, Student Union

Board of Directors, Student Activities Center

Board of Directors, WXYC

Member, Order of the Old Well Honorary Society

Member, Theta Chi Fraternity

PROFESSIONAL BACKGROUND

Attorney at Law, 2006-present, R. Gene Davis, Jr., Attorney at law (Solo Practice)
Raleigh, North Carolina

Partner, 2000-2005, Davis, Flanagan, Bibbs & Smith, PLLC
Raleigh, North Carolina

Associate, 1998-2000, Wood & Francis, PLLC
Raleigh, North Carolina

Attorney at Law, 1997, R. Gene Davis, Jr., Attorney at law (Solo Practice)
Raleigh, North Carolina

AREAS OF PRACTICE

Corporate Law, Real Estate, and Wills, Trusts and Estates

BAR MEMBERSHIPS

State of North Carolina

ASSOCIATIONS/ACTIVITIES

North Carolina Housing Finance Agency Board of Directors, 2010 - present

Cardinal Gibbons High School Alumni Council, 1999-2002, 2010 – present
President, 2011 - present

Duke University Paralegal Program, 2010 – present, Instructor in Real Estate Law and Business Law

Fund Development Committee, 2009 – present, Wake County Habitat for Humanity

Professionalism Committee, 2005-2008, Wake County Bar Association

Board of Directors, 2002 – 2003, The Ark of Wake County

Board of Directors, 1998 - 2001, Good Work

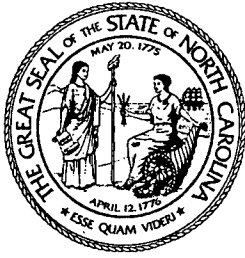
Sunday School Teacher, 2011 – present, Hayes Barton United Methodist Church

Pastor-Parish Relations Committee, 1992-1993, St. Mark's United Methodist Church

Sunday School Teacher, 1988-1993, St. Mark's United Methodist Church

Board of Directors, 1989-1990, Greater Carolinas Chapter of the American Red Cross

North Carolina, and officers or employees of the constituent institutions of The University of North Carolina.



NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM

RECEIVED

MAR 16 2011

3:13 pm ms

(1) Representative Harry Warren (Sponsor's Name)

proposes Dr. Ada M. Fisher (Nominee's name) as a nominee for election to the Board of Governors of The University of North Carolina for a four-year term to commence July 1, 2011.

(2) Nominee's Name: Dr. Ada M. Fisher

Nominee's Occupation: Occupational Health Consultant, retired physician

Nominee's **Physical Home** Address:

425 W. Horah Street
Salisbury, NC 28145

Home Phone: 704-762-5144 (cell)

Work Phone:

Fax # 704-672-0358 (Salisbury Rowan Community Action Agency)

Email address: FisherAM@MSN.com

(3) Has the nominee ever been arrested, given a written warning, taken into custody, or accused, formally or informally, of the violation of a law for any offense?

Not to my knowledge

If yes, list every incident including the date, place, charges, and final disposition. Attach additional sheets if necessary.

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(4) Is the nominee current on all federal, State, and local taxes?

In process; awaiting accountants' deposition. Delayed by heart attack and problems requiring three stints.

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds:

Not applicable (NC Republican National Committeewoman. This is an intraparty position.)

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds:

Not applicable

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

Not applicable

**** DEADLINE – 12:00 p.m., Thursday, March 17, 2011. ****

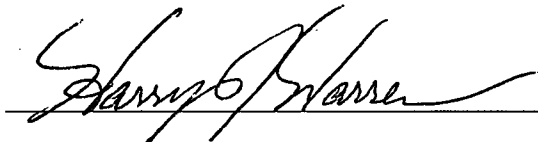
All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(9) If elected, will the nominee serve?

Yes

(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina?

None applicable

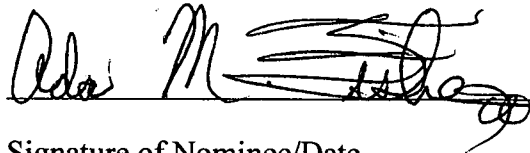


Signature of Sponsor/Date

March 15, 2011

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.



Signature of Nominee/Date

March 15, 2011

ADA MARKITA FISHER, M.D., M.P.H.

Post Office Box 777
(704) 637-6134

(704) 637-0317(Fax)

Salisbury, North Carolina 28145
(704) 223-2321(Cell)

EXPERIENCE SUMMARY

Business minded physician with management experience in two major "Fortune 500" corporations as a Medical Director and Manager in Occupational Medicine, preceded by public and private sector work in family medicine, community medicine and public health services. Expertise in Health Services Administration and Cost Effective Services/Budget Strategies. Educator with experience in secondary, and higher and professional education. Community Activist.

Areas of expertise include:

- I. Health Service Administration
 - Facilities Management
 - Health and safety protocols for international operations and emergency preparedness
 - Health Information Management Systems
 - Quality Assurance

- II. Occupational Health Services/Community and Family Medicine
 - Line Management Experience – responsible for 15+ professional Doctors/Nurses ongoing staff development, spanning 21 states and parts of the world.
 - Authored Corporate Occupational Health Services Manual; Medical Surveillance Guidelines and Personal Protective Equipment (VA State of the Art pioneer work)
 - Developed medical evaluation procedures and methods for certifying performance for job categories
 - Initiated occupational health training practicum for businesses, providers and managers
 - Initiated research into methodologies for measuring cost effectiveness and performance outcomes for health services
 - Hands-on care and assessment of occupational cases
 - Benefits design to enhance work/family issues
 - Health Education, public service announcements, newspaper columns, youth motivation work
 - Designed Occupational Health Encounter Form, data capture systems, etc. to assess quality of care, levels of care and efficacy of care.

- III. Human Resources Consultant
 - Employee Assistance Program services utilization and organizational fit, e.g. Drug Free Workplace, ADA compliance
 - Medicolegal issues affecting worker health and safety, fitness for duty assessments, disability evaluations
 - "Diversity" achievement and compliance

- IV. Educator
 - County/City Board of Education expertise
 - College Board of Trustees
 - Medical residency and student teaching
 - Visiting College Professor in health sciences and health services administration
 - Certification as a secondary school teacher in mathematics and science

PROFESSIONAL EXPERIENCE

Consultant in Occupational Health Services and Operations 2000 to Present

Edited: "Preparation to Survive a Terrorist Attack Citizen's Advisory" – 2003

W.G. "Bill" Hefner Veterans Affairs Medical Center, Salisbury, NC 1996 to 2000

Chief, Occupational Health Services (1998 - 2000)

Chair, Department of Veterans Affairs Technical Advisory Group (TAG) Occupational Health Services

Chief, Occupational Health Safety and Wellness (1997 – 1998)

VISN Consultant on OWCP (Office of Workers Compensation Program (1996 – 1998)

Occupational Health Physician (1996)

HEALTHLINE CORPORATE HEALTH SERVICES, Metro St. Louis, Inc. 1995 to 1996

A wholly owned subsidiary of Saint Louis University. Healthline specializes in the treatment of work-related injuries, providing occupational health services to over 2,500 businesses in the St. Louis, Missouri area.

Associate Program Director – Occupational and Environmental Medicine Program

Direct services provision, instructor in Occupational Medicine Residency Program, and coordinator of medical student elective in occupational health.

Contract Services to Monsanto Corporation two days per week, providing medical surveillance and occupational health services.

AMOCO CORPORATION, Chicago, Illinois 1989 to 1995

A \$28 billion multi-national oil, gas, chemical and technology company headquartered in Chicago with offices and operations in over 40 countries.

Manager, Medical Policies and Practices (1993 to 1995)

Medical Director, Amoco Oil Company (1990 to 1993)

Director, Occupational Medicine, Amoco Corporation (1989 to 1990)

Occupational Health

Patient Care

- Examinations for expatriate workforce and other required/mandated examinations
- Case management for occupational illnesses and injuries
- Ergonomics assessment, medical surveillance responsibilities, risk management, reproductive risk evaluations

International Standard of Care Committee

- Included but not limited to Vision Protection Performance Requirements Respiratory Protection, Infectious Disease Control, Fire Fighter Standards, Waste Management Program (medical waste disposal)

Health and Safety Committees

- Topics include: Recording and Reporting Occupational Injuries and Illness Regulation, Lead in Construction Regulations, High Risk Occupational Disease Notification Legislation, Medical Surveillance, Regulatory Affairs Review, and Product Stewardship.

Americans with Disabilities Act Task Force

- Developed Physical Capacities Evaluation System
- Developed reporting Plan form and procedures on how a company should comply with this legislation.

Safety/Audio Visual

- Developed and edited training and communications films (several Telly Award winners) on topics including Blood Borne Pathogen Training, Chemical Company Systems of Exposure, HAZCOM CBT Training Sessions
- Co-authored and designed state of the art material on motorized cart usage, health education on hypertension, chronic obstructive and lung disease, etc.

- Columnist, Lecturer, motivational speaker on AIDS and worker health lectures on issues of concern to employees

Innovative Field Programs/Committees

- Medical Analysis and Reporting System Enhancement – designed to improve tracking and monitoring of occupational exposures, injuries and illnesses
- Radiology – designed a survey to determine cost effectiveness impacting retention of this service
- Physicians Written Opinion reporting essential for system compliance with OSHA requirements, Quality Assurance/Audits, Food Services Advisory, to name a few.
- Occupational Health Report to Supervisor form cited as state of the art by the industry.

Amoco Corporation

- Human Resources Long Range Strategic Planning
- Medical Review Officer for Trucking Operation
- Medical/Law – issues impacting compliance with professional standards in a company setting

MARTIN MARIETTA ENERGY SYSTEMS, INC., Oak Ridge, Tennessee

1985 to 1989

One of several Department of Energy sites subcontracted for management to this Fortune 500 aerospace, research and technology, as well as energy/fuels Development Company.

Industrial Physician

- At this facility the nature of the work involved pre-placement physicals, fitness for duty evaluation, medical surveillance activities, and worker security assessments.
- Contributed to security exercises designed to protect and defend the material integrity of the business operation.
- Health education series on AIDS in the Workplace and Individual Nutritional Analysis.

JOHN UMSTEAD HOSPITAL, Butner, North Carolina

1981 to 1985

A state operated mental health care facility serving the needs of those from a 17 county catchment area.

Program Director, Alcohol Detoxification Unit (1981 to 1983)

Managed rehabilitation and community transition for people institutionalized or committed for substance abuse.

Physician-In-Charge of Medical Services on the Continued Treatment Unit (1984)

Medical care for adult in-patients.

Physician-In-Charge of Medical Services on the Geropsychiatric Unit (1984 to 1985)

Medical care for elderly in-patients.

Employee Health Supervisor (1981 to 1985)

Additionally responsible for employee healthcare serving a staff of approximately 150

Residency Supervisor (1981 to 1983)

Responsible for the medical training and supervision of psychiatric residents assigned to the facility.

U.S. PUBLIC HEALTH SERVICE, National Health Service Corps

1978 to 1980

Chief Medical Officer and Medical Director

Plain View Health Services, Inc., Greenevers, North Carolina

- Only health provider in a county of approximately 50,000 residents providing obstetrical/gynecological services
- Provider of back-up psychiatric services for Duplin and Sampson Counties in North Carolina
- Provider of supplemental medical services for Duplin County, North Carolina Sheriff's Department

THE FAMILY MEDICINE PROGRAM

1975 to 1978

An affiliate of Highland Hospital and the University of Rochester (residency training)

Resident Family Medicine

- Emergency Room approved "moonlighter" for three county hospitals
- Associate Editor of weekly newspaper, "The Holler."

LICENSURE

For the practice of Medicine and Surgery in the states of Illinois, Indiana, Maryland, Missouri, New York, North Carolina and Tennessee.

EDUCATION

30-50 hours annually of Continuing Medical Education including certification from the Levinson Institute of Harvard University on Leadership for Physician Executives and Organizational Management; Medical Review Officer; and Advance Cardiac Life Support Certification

M.P.H., Johns Hopkins University School of Hygiene and Public Health

Baltimore, Maryland

Family Medicine Residency – Three Year Program

Certificate of Completion, the Family Medicine Program,

An affiliate of The University of Rochester and Highland Hospital Rochester, New York

M.D., University of Wisconsin at Madison

Madison, Wisconsin

B.A., University of North Carolina at Greensboro

Greensboro, North Carolina

COMMUNITY INVOLVEMENT

North Carolina Republican National Committee Woman 2008-2012

State Office Candidate from North Carolina—N C House District 77

Federal Office Candidate from North Carolina—US Senate 2002, 12th US Congressional District 2004, 12th US Congressional District 2006

Author, poet, periodic columnist for several NC Black newspapers and the NC Conservative

Visiting Professor, Health Education, Livingstone College, Salisbury, N.C. and Knoxville College in Knoxville, TN

Member, North Carolina Legislative Study Commission on Occupational Musculoskeletal Disorders, 1999 to present

Previous Member, Rowan-Salisbury Board of Education, Special Seat (Historic District)

Board of Directors, Rowan County Chamber of Commerce, 1997 to 2000, Member

Previous Member, Board of Directors, Salisbury Rowan Symphony

Co-developed Employee Assistance Program for Chicago Public School's Board of Education

Life Member of the University of Wisconsin Medical Alumni Association, the NAACP, the University of North Carolina at Greensboro Alumni Association

Livingstone College Advisory Committees – National Youth Sports Program, Mathematics and Science Division, and Education Division

Unofficial recruiter of students and faculty for Historically Black Colleges and Universities

Charter Member, North Carolina African-American Network for Historic Preservation

North Carolina Black Leadership Caucus

Member, Board of Directors, Preservation North Carolina

Mentor, Salisbury-Rowan Community Service Council

Rowan County Republican Party-Precinct Leader, Member of the Executive Committee, Member Rowan County Republican Women's Club

Has been recognized as a leader in her field and has received numerous awards and accolades including Koontz Humanitarian of the Year recipient Rowan Salisbury Human Relations Council, Inductee NC Community Action Agency Hall of Fame, "Badger Then and Now" Hall of Fame Inductee (University of Wisconsin at Madison), one of "Ten Outstanding Young Women of America (1984) to Community Physician of the Year (1978). Received Certificates for lectures on "AIDS in the Work Place" to 1983 White House Fellowship Finalist and a Regional Finalist in 1983. Selected for Alumni Distinguished Service Award – The University of North Carolina at Greensboro (1985). Citations from the Illinois Department of Children and Family services for her work on adoption and adoption reform

Established 8 endowed scholarships at Historically Black Colleges and Universities—Morehouse College, North Carolina Central University, Shaw University and Virginia Union University.

March 10, 2011

Received
2:00 pm

MEMORANDUM

MAR 15 2011

Senie Weeks

TO: Members of the 2011 House of Representatives

FROM: Representative Tim Moore, Chair, House Select Committee on UNC Board of Governors Nominations

RE: House Nomination Form/2011 UNC Board of Governors Election

All nominees must submit the attached completed nomination form (including the nominee's vitae) to the Office of the House Principal Clerk no later than 12 P.M. on Thursday, March 17, 2011. Nominations received after 12 P.M. on Thursday, March 17, 2011 will not be accepted.

Additional nomination forms are available in the Office of the House Principal Clerk. Nominations received by facsimile or email will not be accepted.

The Nominee's application and vitae are public documents.

Only the submission of both a completed nomination form and vitae to the Office of the House Principal Clerk and a completed original Statement of Economic Interest shall constitute official nomination of an individual.

Statement of Economic Interest

All nominees must also file a completed original Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, which must be received by the State Ethics Commission no later than 12 P.M., Thursday, March 17, 2011. Questions regarding Statements of Economic Interest must be directed to the North Carolina State Ethics Commission. Nominees must clearly indicate on their Statements of Economic Interest that they are a nominee for the UNC Board of Governors.

Contact information for the State Ethics Commission:

**Mailing Address: 1324 Mail Service Center
Raleigh, NC 27699-1324**

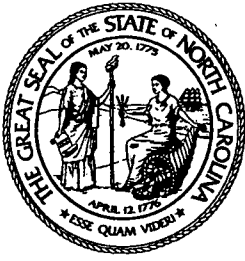
**Physical Address: 424 N. Blount St.
Raleigh, NC 27601-1010**

Phone Number: (919) 715-2071

Website: <http://www.ethicscommission.nc.gov/>

NOTE: The following individuals are not eligible to serve on the UNC Board of Governors: members of the General Assembly, officers or employees of the State, officers or employees of The University of North Carolina, and officers or employees of the constituent institutions of The University of North Carolina.

The spouses of the following individuals are not eligible to serve on the UNC Board of Governors: members of the General Assembly, officers or employees of The University of North Carolina, and officers or employees of the constituent institutions of The University of North Carolina.



**NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM**

(1) Representative Ruth Samuelson (Sponsor's Name) proposes Clarice Cato Goodyear (Nominee's name) as a nominee for election to the Board of Governors of The University of North Carolina for a four-year term to commence July 1, 2011.

(2) Nominee's Name: Clarice Cato Goodyear

Nominee's Occupation: Consultant/Asset Management, exclusive client Cato Management, LLC

Nominee's **Physical Home** Address: 10802 Tara Oaks Drive, Charlotte, NC 28227-9713

Home Phone: 704-817-9923

Work Phone: (same) 704-817-9923

Fax #: (home) 704- 900-5557

Email address: cgoodyear@deltaforce.net

(3) Has the nominee ever been arrested, given a written warning, taken into custody, or accused, formally or informally, of the violation of a law for any offense? No.

If yes, list every incident including the date, place, charges, and final disposition. Attach additional sheets if necessary. Not applicable.

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(4) Is the nominee current on all federal, State, and local taxes? Yes.

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds: None.

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds: None.

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina? No.

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form

**** DEADLINE – 12:00 p.m., Thursday, March 17, 2011. ****

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?
No.

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

Amy Grindstaff at the NCSEC confirmed the receipt of SEI on February 22, 2011 at 12:57 PM.

(9) If elected, will the nominee serve? Yes.

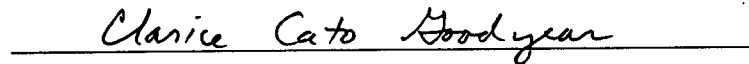
(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina? Yes.

 3/15/11

Signature of Sponsor/Date

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.



Signature of Nominee/Date March 12, 2011

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

CLARICE CATO GOODYEAR

Biography/Resume
(updated 1-28-11)

Contact Info:

Home Address:

Zephyr Hill
10802 Tara Oaks Drive
Charlotte, North Carolina 28227-9713
Home Phone: 704-817-9923
Mobile Phone: 704-654-9285
Home Fax: 704-900-5557
Home Email: CCGoodyear@deltaforce.net



Birth:

October 23, 1946
Charlotte, North Carolina

Husband:

J. Dent Goodyear
(born 1946; married 1968 Myers Park Baptist Church, Charlotte, NC)

Family:

No children.

Since 1971, rescuers of and caregivers to homeless cats and dogs, who live the rest of their lives as companion animal members of the Goodyear family. Count since 1971 totals 83--47 dogs and 36 cats.

Parents:

Wayland H. Cato, Jr. (born 1923) and Margaret Boutt Cato (1925-1963)

Education:

- Brown University, Providence, RI, graduated 1975 with M.A. in English Literature.
- Rhode Island College, Providence, RI, graduated 1972 with M.A.T. in English.

- Barnard College of Columbia University, New York, NY, graduated cum laude 1968 with B.A. in English Literature.
- Charlotte Country Day School, Charlotte, NC, graduated 1964.

Career:

- Since 2008, Chair, Advisory Committee to Cato Management LLC (no affiliation with The Cato Corporation) and Waygroup, LLC. From 2006-2008, Vice Chair. Since 2004, member, Advisory Committee and member, Executive Committee of Advisory Committee.
- Since 2008, President, Waygroup, LLC. From 2006-8, Vice President.
- Since 2006: Vice Chair Board of Directors and Vice President, Wayland Henry Cato Jr. Foundation (no affiliation with The Cato Corporation). From 1999-2005, Secretary-Treasurer.
- Since 2004: President and Secretary, Cato & Goodyear Connect, LLC, a company owned by Clarice and Dent Goodyear that performs services exclusively for Cato Management, LLC (the Wayland Henry Cato, Jr. Family Office) and affiliated companies.
- Since 1993: Consultant to Wayland H. Cato, Jr. for personal charitable giving and to the Wayland Henry Cato, Jr. Foundation for private foundation charitable giving.
- 1975 through 2003: employee of The Cato Corporation*, Charlotte, NC.
 1993-2003: Director of Corporate Charitable Giving (part-time), reporting to Chairman (Wayland H. Cato, Jr.).
 1991-2002, Assistant Secretary, The Cato Corporation.
 1990-97, Member, Management Executive Group, The Cato Corporation.
 1975-1993: full-time employee in positions ranging from Senior Vice President-General Manager in charge of The Crystal Shoppe division (a chain of 11 gift stores) to Executive Vice President-Administration and Chief Administrative Officer of The Cato Corporation. During those 18 years, departments supervised included Distribution and Traffic, Advertising and Sales Promotion, Visual Merchandising, Store Planning-Construction-Maintenance, Loss Prevention, Store Operations Control, Store Communications, Human Resources, Training, Legal, Customer Service, Help Desk (liaison between the stores and the Management Information Services department), and Purchasing (all non-merchandise).

- 1968 through 1972: public school teacher—grades 7 (library science), 9 (English and American History), 11 (English), 12 (English)—at Slater Junior High in Pawtucket, RI and Attleboro High School in Attleboro, MA.

*The Cato Corporation is a leading specialty retailer of value-priced women’s fashion apparel and accessories operating two divisions, “Cato” and “It’s Fashion!” with 1,276 stores in 31 states (as of January 1, 2011). The company’s Cato division offers exclusive merchandise with fashion and quality comparable to mall specialty stores at low prices every day. The It’s Fashion! division offers fashion with a focus on the latest trendy styles and nationally recognized urban brands for the entire family at low prices every day. The Cato Corporation was co-founded in 1946 by Clarice’s grandfather Wayland H. Cato, Sr. and father Wayland H. Cato, Jr. Effective January 31, 2004, her father became Chairman Emeritus and a brother, John P. Derham Cato, became Chairman, President and CEO. Shares in The Cato Corporation are traded on the New York Stock Exchange. Additional information on The Cato Corporation is available at www.catocorp.com .

Author of Book:

A Commitment to Change: The Cato Corporation, A Personal History of the Company from Its Beginnings until 1974; published 1975; reprinted 1997.

Books in progress:

The Man Behind the Wayland Henry Cato Jr. Foundation: A Pictorial History of his Ancestors, Life, Family and Influences.

Wayland Henry Cato, Jr.: Family Man, Business Leader, Rancher and Philanthropist.

Honors:

2006: Girl Scouts, Hornets’ Nest Council, First Annual Women of Distinction, Achievement in Social Capital & Community Building. “Has demonstrated the ability to build social capital, effectively building connectivity and trust among communities; has been involved in philanthropic and community service activities throughout her community.”

2010: Charlotte Country Day School—Clarice Cato Goodyear '64 and James Dent Goodyear '64 honored as Alumni of the Year for “their outstanding commitment and dedication to the school.”

Board membership:

- *University of North Carolina (17 campuses), Chapel Hill, NC:*
Board of Governors, member, elected unanimously by N. C. Senate 4-5-07; sworn in 6-8-07, four year term began 7-1-07 and concludes 6-30-11 (eligible for re-elections for two additional four year terms).
2010 Presidential Search Committees—Chair, Leadership Statement Committee.
Vice Chair, University Governance Committee 9-1-10 to 9-1-11;
Campus Liaison to Elizabeth City State University and to East Carolina University 9-1-10 to 9-1-11.
Vice Chair, Educational Planning, Policies, and Programs Committee 9-1-09 to 9-1-10, member 9-1-08 to 9-1-09.
Vice Chair, Audit Committee 9-1-08 to 9-1-09.
Invocation, 14th Annual Awards for Excellence in Teaching, 5-9-08.
Member, Personnel and Tenure Committee 9-1-07 to 9-1-08.
- *Charlotte Country Day School, Charlotte, NC:*
CCDS Board of Visitors, member since 2002.
- *Echo Foundation, Charlotte, NC:*
Charlotte Board of Advisors since 2006.

Former board membership:

- *Cato Corporation, Charlotte, NC:*
Board of Directors 1979 through 1-31-2004; Secretary to Board Committees 1998 through 2001; member Audit Committee 1993 through 2000; member Compensation Committee 1993 through 1999.
- *Central Piedmont Community College, Charlotte, NC:*
CPCC Board of Trustees 2004 and 2005. Member Finance and Facilities Committee and official liaison to the CPCC Foundation Board of Directors 2004 and 2005.
CPCC Foundation Board of Directors, 1994-2007; Secretary 2005-7.
Chair, Major Gifts Committee of Capital Campaign Cabinet 2004 through 2006. Member, Fundraising and Cultivation Committee 2002 through 2005. Chair, Donor Recognition Committee of Capital Campaign Cabinet 1994 and 1995.
- *Charlotte Country Day School, Charlotte, NC:*

Co-Chair, with husband, 45th Reunion of Class of 1964, held at Goodyear home 10-24-09.

CCDS Board of Trustees 2005.

Annual Fund Class Representative 2003 and 2004.

- *College of Veterinary Medicine of NC State University, Raleigh, NC:* NC Veterinary Medical Foundation Board of Directors 2001 through 2005; member Investment Committee 2003; member Executive Committee and member Nominating Committee 2002.
- *Davidson College, Davidson, NC:* Board of Visitors 2003 through 2005.
- *Echo Foundation, Charlotte, NC:* Board of Trustees 2005.
- *Humane Society of Charlotte, Charlotte, NC:* HSC Board of Directors 2005 and 2006. Treasurer 2006. Chair, Operations Committee 2006. Member, Executive Committee, Budget and Finance Committee, Development and Fundraising Committee, and Animal Welfare Advocacy Committee 2006. Member Budget Committee and Executive Search Committee 2005.
- *Johnson C. Smith University, Charlotte, NC:* Board of Visitors 1995 through 2006; Chair, Membership Committee 1998.
- *Justice for Animals, Raleigh, NC:* Board of Directors 2002 through 2004.
- *Levine Museum of the New South, Charlotte, NC:* Board of Directors 2001 through 2005; member Endowment Task Force 2004 and 2005; Co-Chair, Corporate Sponsors Committee of Taste of the New South Committee 2003 and 2004. Co-Chair Advocacy Committee 2002 and 2003; member Programs and Exhibits Committee 2002 and 2003.
- *McColl School of Business at Queens University of Charlotte, Charlotte, NC:* Board of Visitors charter member 1994 through 2003; McColl School Community Partner Program participant and Fundraising Committee member 2002 and 2003.
- *Medical University of South Carolina, Charleston, SC:* MUSC Foundation Board of Directors, 2004 through 2006; member Finance and Investment Committee and member Audit Committee 2004 through 2006.
- *North Carolina Museum of Art, Raleigh, NC:*

NCMA Board of Trustees 2002 through 2004; member Building and Grounds Committee.

- *North Carolina Voters for Animal Welfare, Greensboro, NC:* Board of Directors charter member 2004.
- *North Carolina Zoological Society, Asheboro, NC:* Board of Directors, 2001 through 2005; Chair 2004 and 2005; Vice Chair 2002 and 2003; Chair, Transfers to the Park Committee 2002 and 2003.
- *North Carolina Zoological Park Council, Asheboro, NC:* Council Member 2004 and 2005.
- *Presbyterian Hospital, Charlotte, NC:* PH Foundation Board of Trustees 1995 through 2008.
- *Queens University of Charlotte, Charlotte, NC:* President's Advisory Circle charter member 2003 through 2005.
- *University of North Carolina at Charlotte, Charlotte, NC:* UNCC Board of Visitors, 2002 through 2006.

Former committee membership:

- Co-Chair, Companion Animal Control Policies and Standards Subcommittee, and companion animal advocate member, North Carolina House of Representatives Interim Committee on the Prevention and Disposition of Unwanted and Abandoned Companion Animals, Raleigh, NC; Committee term July 1, 2003-December 31, 2004.
- Dress for Success, Charlotte, NC, Steering Committee of Community Advisory Committee, founding member, 2007 through 2010.
- Good Friends Membership Committee, 2004 and 2005.
- NCSU College of Veterinary Medicine Friends of Randall B. Terry Companion Animal Veterinary Medical Center, 2006-7.
- UNCC 49ers Athletic Foundation, Let Me Play Women's Fundraiser Steering Committee, 2005.

Life membership:

- Central Piedmont Community College, Charlotte, NC, Heritage Society.
- Charlotte Country Day School, Charlotte, NC, Oak Society.
- Gaston College Foundation, Dallas, NC, Heritage Society, charter member.

- Good Friends, Charlotte, NC.
- North Carolina State University, Raleigh, NC, Wallace Carl Riddick Society.
- North Carolina Zoological Society, Asheboro, NC, Director's Guild and Lion's Pride.
- Presbyterian Hospital Foundation, Charlotte, NC, Mary Belle May Society.
- Presbyterian Hospital, Charlotte, NC, John Irwin Heritage Society.
- Queens University of Charlotte, Charlotte, NC, Thelma Albright Society.
- University of North Carolina at Charlotte, Charlotte, NC, charter member Bonnie Cone Society and member Innovators Society.

Dent and Clarice Cato Goodyear Endowed Funds:

Summary: 9 institutions, 20 endowed funds (17 endowed scholarship funds and 3 endowed program funds)

Central Carolina Community College, Sanford, NC (1):

- Dent and Clarice Cato Goodyear Veterinary Medical Technology Companion Animal Lover Endowed Scholarship Fund.

Central Piedmont Community College, Charlotte, NC (10):

- The Margaret Catherine Boutt Cato Endowed Scholarship Fund for financially-challenged students;
- The J. Dent Goodyear and Clarice Cato Goodyear Endowed Scholarship Fund for financially-challenged students;
- The Wayland Henry Cato III Endowed Scholarship Fund for English as a Second Language and English Language Literacy;
- The John Pickens Derham Cato Endowed Scholarship Fund for English as a Second Language and English Language Literacy;
- The Catherine Cato DeStefano Endowed Scholarship Fund for English as a Second Language and English Language Literacy;
- The Margaret Louise Cato Endowed Scholarship Fund for English as a Second Language and English Language Literacy;
- The Thomas Edgar (Tom) Cato Endowed Scholarship Fund for English as a Second Language and English Language Literacy;

- The Henry Pickens Cato Endowed Scholarship Fund for English as a Second Language and English Language Literacy;
- The Joseph Courtney (Joe) Cato Endowed Scholarship Fund for English as a Second Language and English Language Literacy;
- The George and Anne Goodyear Endowed Scholarship Fund for financially-challenged students.

Gaston Community College, Dallas, NC (1):

- The J. Dent Goodyear and Clarice Cato Goodyear Veterinary Medical Technology Endowed Scholarship Fund.

Levine Museum of the New South, Charlotte, NC (1):

- Museum Endowed Fund.

North Carolina State University, College of Veterinary Medicine, Raleigh, NC (2):

- Dent and Clarice Cato Goodyear Companion Animal Lover Endowed Scholarship Fund;
- Dent and Clarice Cato Goodyear Cat and Dog Endowed Fund.

North Carolina Zoological Society, Asheboro, NC (1):

- Dent and Clarice Cato Goodyear Animal Environmental and Behavioral Enrichment Endowed Fund.

Presbyterian Hospital Foundation, Charlotte, NC (1):

- Dent and Clarice Cato Goodyear Companion Animal Lover Endowed Nurse Scholarship Fund.

Queens University of Charlotte, Charlotte, NC (1):

- Dent and Clarice Cato Goodyear Companion Animal Lover Endowed Scholarship Fund.

University of North Carolina at Charlotte, Charlotte, NC (2):

- Dent and Clarice Cato Goodyear Companion Animal Lover Endowed Scholarship Fund;
- Wayland H. Cato Jr. Endowed Scholarship Fund.

CLARICE CATO GOODYEAR

10802 Tara Oaks Drive

Charlotte, North Carolina 28277-9713

Home Phone 704-817-9923 Email CCGoodyear@deltaforce.net

Dear Members of the House Select Committee on UNC Board of Governors Nominations:

I am hopeful of becoming one of the slate of 16 people that your Committee will put in front of the entire House to elect to the UNC Board of Governors.

I understand that, from the outset, I may have one strike, or even two strikes, against me, which I wish to address:

For most of the last decade I was a registered Democrat, and I was first elected to the UNC Board of Governors in ~~2004~~²⁰⁰⁷ by the Senate, nominated by Democratic Senator Dan Clodfelter.

In January 2011 I became a registered Unaffiliated.

I have included in my Nomination materials to the Committee a summary of "endorsements," principally by stalwart Republicans, in support of my re-election to the UNC BOG at this time.

I believe what I have done during my first four years of experience on the UNC Board of Governors warrants me being re-elected to a second four term.

- When my term began on June 8, 2007, I established a goal for myself of "bringing greetings" from the UNC BOG at a minimum of one commencement ceremony at each of the 17 universities/schools before the end of my first term in June 2011. By the end of 2010 I had brought greetings during 21 ceremonies at 16 of the 17 universities/schools and, by the end of May 2011, God willing, I will have brought greetings at the 17th university's commencement as well as at two more university ceremonies for a total of 24 in four years. I am told that I will be the only member of the UNC BOG to have ever achieved this personal goal. Usually, I spend two days on campus and meet with a variety of students, faculty and administrators, learning a great deal about each school that can not be understood by simply attending UNC BOG meetings in Chapel Hill.
- I was born in Charlotte, North Carolina and raised on a farm in then-rural Mecklenburg County. As an adult, I lived for a number of years in Union County and now live in unincorporated Mecklenburg

County. During my Cato Corporation career, I traveled extensively in all 100 counties of North Carolina. From direct observation, I know and understand the variety of circumstances not only of the 17 institutions of higher education that comprise UNC but also the varied situations of our citizens throughout North Carolina—and can apply that appreciation to the issues before the UNC BOG.

- I was appointed chair of one of the three committees that comprised the 2010 Presidential Search Committee of the UNC BOG. As Chair of the Leadership Statement Committee, I led the creation of an 89 page document that 1) summarized the perspectives of over 1000 representatives of the University's seven major constituent groups (NC citizens, students, faculty, staff, the 17 Boards of Trustees, the Chancellors, and UNC BOG members present and past), 2) provided a brief history and summary of significant facts about the University, and 3) presented a one page summary of each of the 17 constituent educational institutions as well as other major entities of the University—accomplished in the prescribed eight week time line.
- I have been Vice Chair of 3 of the 6 standing committees of the UNC BOG—Governance; Education Planning, Policies, and Programs; and Audit—as well as a member of Personal and Tenure.
- Over the years I have been on numerous boards, with a focus on education, including UNC Charlotte, College of Veterinary Medicine of NC State University, Central Piedmont Community College, Johnson C. Smith University, and McColl School of Business at Queens University of Charlotte—all of which have enabled me to better compare and evaluate not only the performance of our 17 universities/schools but also the performance of our UNC BOG itself.
- I read and analyze the massive amount of materials UNC BOG members receive daily, weekly and monthly in order to be fully informed on all topics that will come before all 6 committees and the entire Board.
- I regularly do extensive, in-depth additional research on subjects that come before the UNC BOG. I do not confine myself to the materials provided by UNC staff or to the views expressed by UNC or UNC BOG leadership. I ask provocative questions and have been willing to take unpopular positions.
- I am a fiscal conservative. My 30 plus years with The Cato Corporation as a member of senior management, culminating as Executive Vice President-Administration and Chief Administrative Officer, as well as my 24 years on the Board of Directors, has given me ample opportunity to 1) participate in re-invention of an organization; 2) focus on efficiency and effectiveness; 3) address expense reduction in the service of reality; 4) deal with sensitive personnel issues—all of which have served me well during my tenure on the UNC BOG—and which, I believe, are especially valuable now.

- During my four years on the UNC BOG there has been only one other representative from the greater Charlotte area (for the first two years, Ray Farris, and for the second two years, Franklin McCain). I have brought greetings at three UNC Charlotte commencements, at six ceremonies.

I believe my four years of experience on the UNC BOG, as well as my lifetime of applicable experience, will allow me to be particularly useful at this time.

We have to insure that we have a sound state-wide system of higher education—and we have to accomplish that outcome with fewer resources. I am hopeful that you will include me among your slate of 16 to be put before the entire House for election to the UNC BOG, so that I will have the opportunity to apply all that I have learned to the work that will be required to achieve that end.

Many thanks for your consideration!

Warmly,

Clarice

Clarice Cato Goodyear Re-Election to UNC Board of Governors **Endorsements**, as of March 10, 2011
(listed alphabetically):

1. Dan Cottingham: “Clarice, I have put in a call to Ruth on your behalf, left her a very direct, informative, and most supportive message. I hope you get another term.” (3-1-11) Dan Cottingham—co-founder, 1983, and co-owner Cottingham-Chalk Bissell-Hayes Realtors; former chair, Presbyterian Hospital Foundation.

2. Peggy Culbertson: “Dear Speaker Tillis, I hope you will see fit to support Clarice Cato Goodyear for a second term on the UNC Board of Governors as she has the special financial, educational and historical abilities to (continue on) this important board. She deserves another term.” (2-2-11) Peggy Culbertson—listed in New South Women: 20th Century Women of Charlotte, NC, by Mary Kratt, 2001.

3. Ned Davis: “I would like to speak up for Clarice on her being (elected) to a second term on the UNC Board of Governors. I can not imagine anyone else that would put more into the job of governance of this very important function that is so important to the State of North Carolina.” (3-2-11) Ned Davis—President, Colville Group Ltd; former Trustee, The Davidson Trust of Davidson College.

4. **Alan Dickson:** “I wish to add my support for the (re-election) of Clarice Cato Goodyear to the UNC Board of Governors. Clarice has been a devoted and exceptionally informed member whose presence would be greatly missed. **I realize Clarice is a former Democrat and now Independent, but, as a life-long Republican myself, I think her objective and sound judgment, reflected in her past service to this Board, is beyond Party loyalty. She is exceptionally competent in the workings of the system and invaluable in deliberations that probably lie ahead in maintaining a sound state-wide higher education system with less resources.**” (3-1-11)

Alan Dickson—co-founder, with his brother Stuart Dickson, 1968, Ruddick Corp; retired Chairman of the Board.

5. Mark Erwin: “I hope you will consider (nominating and re-electing) Clarice Cato Goodyear to the UNC Board of Governors for a second term. She is an outstandingly well-qualified candidate and a wonderfully involved citizen of our great state. As a member of the UNC Charlotte Board of Trustees, I can attest to the quality of Clarice’s commitment to the university system.” (3-1-11) Mark Erwin—president, Erwin Capital and former U. S. Ambassador.

6. Ray Farris: “Clarice, I will be delighted to write (in support of your re-election).” (3-2-11) Ray Farris—Johnston, Allison & Hord Attorneys; former member UNC Board of Governors.

7. Dale Halton: “Clarice, Just wanted to let you know that I got in touch with Ruth Samuelson. She said she would pass on my comments to Speaker Tillis. You have an amazing resume! How have you had time for all of that?! Surely no one is more qualified than you.” (3-9-11) Dale Halton—retired president and CEO Pepsi-Cola Bottling Company of Charlotte.

8. Ben Hawfield: “I am writing to give my most sincere endorsement of Clarice Cato Goodyear for (re-election) to the UNC Board of Governors. I have known Clarice for over 50 years and can attest to her civic-mindedness and dedication to the common good. Education is her passion and she has repeatedly demonstrated her commitment by steadfast support of numerous colleges and universities in North Carolina. Her service on the UNC Board of Governors for the last four years has been truly exemplary. She has conscientiously worked on numerous committees, been a wonderful representative, and made a special effort to visit (all 17) of the campuses. As an alumnus of UNC Chapel Hill, I know how important the support of the Board of Governors is for a strong and vibrant university system. Clarice Cato

Goodyear is just the sort of person to provide that leadership.” (3-7-11) Ben Hawfield—partner, Moore & Van Allen, PLLC; 2010-11 board of trustees, Queens University of North Carolina.

9. Sam Howe: “I write today to endorse Clarice Cato Goodyear for re-election to the UNC Board of Governors. Clarice and I were high school classmates at Charlotte Country Day School from which we graduated in 1964. We remain good friends to this day. Clarice was and continues to be one of the brightest people I have ever known. **She is a very strong fiscal conservative. Obviously she has tremendous experience in (playing a leadership role) in a large organization due to her career at The Cato Corporation. Perhaps more importantly, she is very aggressive about cost control. At a time when the university system must go through painful cost cutting, Clarice will be the first to get with the program, and to let folks at all levels know why this is absolutely necessary.** Clarice is diplomatic, but also very strong in her positions. She is not in this to add another title to her resume. She is in this for the good of our beloved state, and for the good of our high school graduates who will move through our university system now and in the future.” (2-28-11) Sam Howe—retired founder and president, Swag-Nit, Inc., Belmont, NC.

10. Stasia McGriff Howe: “I would like to offer a word of support for the re-election of Clarice Cato Goodyear to the University of North Carolina system Board of Governors. Clarice and I were very close friends many years ago during our high school years at Charlotte Country Day School. Clarice was then, and is now, one of the most extraordinarily intellectually gifted individuals I have had the privilege to know. Her capacity to assimilate complex issues and reason through them in a studied fashion, while maintaining balance with a variety of individuals of diverse opinions and backgrounds, makes her a valuable asset to any team and especially one with such significant stature in our state. Because of both her education and business background with The Cato Corporation, she is able to see ‘the big picture’ as well as how to accomplish the smaller objectives along the way. **It would seem a shame to have the experience gained through her service these last four years lost in replacement by a newcomer.** She gives intense study and boundless energy to any cause she undertakes. Stasia McGriff—retired, 20 years as School Psychologist in public schools.

11. Annabel Jones Link: “Clarice, I do hope you will be re-elected to the UNC Board of Governors. Your efforts and vision are amazing, and your resume is extraordinary! I can’t imagine anyone more qualified or enthusiastic about serving a second term.” (3-4-11) Annabel Jones Link—teacher of English for Speakers of Other Languages (ESOL), facilitator for autistic children, and teacher’s assistant in a public elementary school.

12. Michael Marsicano: “Clarice, we simply must get you re-elected to the UNC Board of Governors!” (3-1-11) Michael Marsicano—President, Foundation for the Carolinas; chair-elect Board of Trustees, Queens University of Charlotte.

13. Governor Jim Martin: “Clarice, I will be happy to help. Tomorrow morning (Thursday, March 3, 2011), I will be in Raleigh to meet with Speaker Tillis, and will tell him my support directly.” (3-2-11) Jim Martin—Senior Advisor, State and Federal Government Relations, McGuireWoods Consulting.

14. Neill McBryde: “I am writing this letter in support of Clarice Cato Goodyear being (re-elected) to the UNC Board of Governors. I know Clarice personally and have had the opportunity to work with her and other members of the Cato family for many years in depth on professional business. **I should note at the outset that I am a strong Republican who has financially supported the North Carolina Republican party, particularly in the last election, and for many years the National Republican party (Senate, House, and RNC) and many individual Republican candidates, local and nationally. But this letter is really not about politics. Although I note with great pleasure that Clarice has now seen the light and is officially unaffiliated for voter registration purposes, based on my direct contact and**

relationship with her, I know Clarice brings a depth of knowledge about the University system and its needs and shortcomings and a perspective that is transparent, reflective, and grounded in good policy and common sense.” (3-3-11) Neill McBryde—partner, Moore & Van Allen.

15. Mayor Pat McCrory: **“I appreciate your service to the University system. I am often corresponding with our new leaders in Raleigh and will be sure to communicate your willingness to serve another term and talk about your qualifications.”** (2-22-11) Pat McCrory—Senior Director of Strategic Initiatives, Moore & Van Allen.

16. Ed McMahan: **“Ray Farris is a friend and speaks very highly of you.”** (2-23-11) Ed McMahan—Vice Chair, Little & Associates Architects; former Representative (six terms), NC House of Representatives; chair, Economic Development Committee, Charlotte Chamber of Commerce.

17. Bailey Patrick: **“I just want you to know that I have known Clarice Cato Goodyear for many years and have observed her and her family giving very generously of their time, talent and money towards supporting numerous very worthy causes in our community. In particular, they have been very supportive of education. As a Republican, I am pleased that Clarice has come around and is now ‘unaffiliated’ as opposed to formerly being a registered Democrat. I hope she will be nominated and serve a second term on the UNC Board of Governors.”** (3-7-11) Bailey Patrick—Managing Partner, Merrifield Patrick Vermillion; trustee, Queens University of Charlotte; former trustee, Charlotte Country Day School; former director, Central Piedmont Community College Foundation.

18. **Russell Robinson: “I am writing to express my most enthusiastic endorsement of Clarice Cato Goodyear for (re-election) to the UNC Board of Governors. The termination of Clarice’s devoted service would be a huge loss to the Board, to the UNC system and to our growing UNC Charlotte area where fully informed and responsible representation is especially needed. I am sure from my own experience that the Board has no member more conscientious and effective than Clarice, and, in fact, I got a call today from another Board member to tell me that she is always the best prepared of all because she carefully studies every bit of the massive material for each meeting. Of course, I favor the (election) of good Republicans generally now in this welcomed new time for our party, but I hope partisan loyalty will not control this particular (election). As a Republican, I was the beneficiary of non-partisan Democrat appointment in my ten-year service on the UNC Charlotte board, and I urgently seek the same for Clarice as an Independent whose merits for continued service far exceed any that I had to offer.”** (2-28-11)

Russell Robinson—co-founder and partner, Robinson Bradshaw & Hinson; chair, Board of Trustees, The Duke Endowment; former chair, UNC Charlotte Foundation.

19. Jane Shaw: **“Clarice, thank you for writing me. I appreciate the attention that you have paid to the Pope Center. I’m not sure how many members of the UNC BOG pay attention to what we write. We want people who will stand up to the establishment and raise tough questions....Jay Schalin (Senior Writer) talked with Representative Tim Moore yesterday (2-24-11) and spoke well of you....I am certainly willing to write a fairly simple but positive letter, noting that you have been interested in the Pope Center positions, more than most Republicans on the Board have been, and that, for that reason, I would recommend you for re-election. On the Senate side, I have recommended Ed Broyhill. On the House side, I have recommended Michael Sanera, Peter Burgess, and Joseph Jenkins.... (Writing to Speaker Tillis and Representative Samuelson), I’ll include my entire list of recommendations.”** (2-25-11) Jane Shaw—President, John W. Pope Center for Higher Education Policy.

20. Ron Sherrill: **“Clarice, Don (Sherrill, brother) and I both know and supported Ruth and Don is going to contact Ruth. I hope it works out and thank you for your time and energy.”** (3-2-11) Don and Ron Sherrill—sons of founder, joined company 1970-76, Steelfab Inc; Don, President and COO; Ron, CEO.

21. **Mayor Richard Vinroot:** “It is my understanding that the General Assembly will soon consider nominations for election to the UNC Board of Governors. I wish to urge you to consider my friend, Clarice Cato Goodyear. **Although Clarice is an Independent and former Democrat in this new (hallelujah!) ‘Republican Era,’ I know her to be a thoughtful, committed, independent-minded person, and to have been an excellent member of the UNC Board of Governors. I know her well and endorse her to you without any reservations whatsoever.**” (2-22-11) Richard Vinroot—partner, Robinson Bradshaw & Hinson.

22. Jim Woodward: “Your service is important to all of UNC and especially UNC Charlotte. I personally appreciate your willingness to serve another term.” (2-22-11) Jim Woodward—former Chancellor, UNC Charlotte.

23. Joan Zimmerman: “I do hope you will be one of those voting to elect Clarice to another term. Her passion for education is felt throughout the state, and her honesty and ability to see all sides of our current dilemmas and opportunities in our educational system, are legend. *We really* need her now, more than ever.” (3-1-11) Joan Zimmerman—co-founder, with husband Robert, Southern Shows, Inc.; first Charlotte Businesswoman of the Year Award, 1986; inducted NC Business Hall of Fame, 2005.



Bennie Weeks
Received 3-16-11
10:02 am

**NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM**

(1) Representative James W. Crawford, Jr. (Sponsor's Name)

proposes Charles A. Hayes (Nominee's name)
as a nominee for election to the Board of Governors of The University of North Carolina for
a four-year term to commence July 1, 2011.

(2) Nominee's Name: Charles A. Hayes

Nominee's Occupation: Regional Economic Development

Nominee's **Physical Home** Address: 1709 Lord Ashley Drive
Sanford, NC 27730

Home Phone: 919-776-0669

Work Phone: 919-840-7372

Fax #: 919-840-0142

Email address: chayes@researchtriangle.org

(3) Has the nominee ever been arrested, given a written warning, taken into custody, or
accused, formally or informally, of the violation of a law for any offense? Yes,
various traffic citations. Records have been ordered from the NC DMV. Please see
attached

If yes, list every incident including the date, place, charges, and final disposition.
Attach additional sheets if necessary.

(4) Is the nominee current on all federal, State, and local taxes? Yes

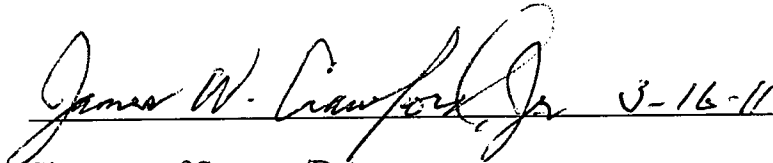
(5) List (if any) all federal, State or local offices, either elected or appointed, which the
nominee currently holds: Member, UNC Board of Governors

(6) List (if any) all federal, State or local offices, either elected or appointed, which the
nominee's spouse currently holds: Member, Central Carolina Community College,
Board of Trustee

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE – 12:00 p.m., Thursday, March 17, 2011. ****

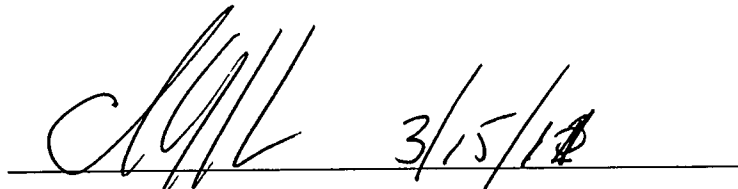
**All nominees shall also file a Statement of Economic Interest with the North Carolina State
Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.**

- (7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina? No
- (8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina? No
- (9) If elected, will the nominee serve? Yes
- (10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina? Yes


Signature of Sponsor/Date

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.


Signature of Nominee/Date

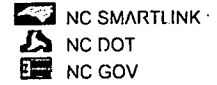
N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.



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[FAQs](#)



LOG IN > INTENDED USE > CERTIFY > REQUEST > PAYMENT > DISPLAY RECORD/CONFIRMATION
 INTERNET DRIVING RECORD REQUEST -- DISPLAY RECORD/CONFIRMATION

Thank you for making an Internet Driving Record Request

[Privacy/Security](#)

Please print this confirmation page for your records.

Certified driving records will be mailed to the address you provided within 10 business days.

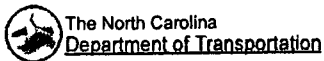
DL or ID No.	Last Name	First Name	Type	Fee
000002167542	HAYES	CHARLES	Certified Driving Record	\$11.00
TOTAL				\$11.00

[Return to the Driving Records Home Page](#)

[Go to the NC DMV Home Page](#)

If you have questions regarding your driving record request or you do not receive your certified driving record(s) within 10 business days, please contact us using the following link https://apps.dot.state.nc.us/contactus/ContactUs.asp?Unit=DMV_DR

DATE	APPROVAL CODE	TRANSACTION ID	SEQUENCE NUMBER
Monday, March 14, 2011 16.54.35	030034	16929396	001000029675330





Charles A. Hayes, CEcD.
President and CEO
Research Triangle Regional Partnership

Charles A. Hayes is president and CEO of the Research Triangle Regional Partnership (RTRP), the public-private partnership that leads economic development for the 13-county Research Triangle Region of North Carolina.

He is active in numerous professional organizations including:

- University of North Carolina Board of Governors
- N.C. Partnership for Economic Development
- N.C. Economic Developers Association (past president)
- International Economic Development Council
- RTI Board of Directors
- Kennan Fellow Advisory Board
- UNC Center for International Understanding

He is a frequent speaker on strategies for promoting economic growth and prosperity in a knowledge-based economy.

- Hugh Shelton Leadership Conference
- National Governors Association
- IBM Leadership Event

During his tenure, RTRP has been widely recognized as an exemplary economic-development organization. It has received the following awards/recognitions:

- International Economic Development Council's regional collaboration award
- U.S. Economic Development Administration's National Award for Excellence in Economic Development Regional Competitiveness Strategic Planning
- Council for Entrepreneurial Development's Outstanding Service to Entrepreneurs Award
- Goodmon Award for Exemplary Regional Leadership by an Organization and an Exemplary Regional Partnership.

Hayes received bachelors and masters degrees from East Carolina University and economic development certifications from the University of North Carolina at Chapel Hill and the International Economic Development Council. Hayes served in the US Army, a Vietnam Veteran. Hayes is married with 3 children and 2 grandchildren and teaches Sunday school at the St. Luke Methodist church in Sanford NC.



**NORTH CAROLINA STATE ETHICS COMMISSION
2011 STATEMENT OF ECONOMIC INTEREST**

919-715-2071

www.ethicscommission.nc.gov

FOR OFFICE USE ONLY
RECEIVED ON:

COMPLETE THIS FORM AND MAIL SIGNED, ORIGINAL TO
THE ETHICS COMMISSION, 1324 MAIL SERVICE CENTER, RALEIGH, NC 27699-1324

1. STATEMENT TYPE (SELECT ONE)

- NEW** **Deadline for filing Statement of Economic Interest**
Newly Appointed/Employed: Generally prior to your appointment/employment
 AMENDED **All Others:** Generally April 15 of current year

2. FILER'S NAME (FIRST, MIDDLE, LAST)

First Name	Middle Name	Last Name	Suffix
Charles	Austin	Hayes	none

3. MAILING ADDRESS, CITY, STATE, ZIP+4¹

Address 1	Address 2	City	State	ZIP
1709 lord ashley drive	none	Sanford	NC	27330

4. EMPLOYER

research triangle regional partnership

5. TITLE OR POSITION SOUGHT

UNC Board of Governors

6. DAYTIME PHONE NUMBER (10-digit number no spaces, no characters.)

(919) 840-7372

7. ALTERNATE PHONE NUMBER (10-digit number no spaces, no characters.)

(919) 776-0669

8. E-MAIL ADDRESS

chayes@researchtriangle.org

9. REASON FOR FILING (SELECT ALL THAT APPLY)

- STATE GOVERNMENT JOB BOARD/COMMISSION APPOINTMENT

10. EMPLOYED BY (IF FILING BASED ON EMPLOYMENT)

11. BOARD(S) SERVED - Select up to 11 Boards

UNC Board of Governors

12. HOUSEHOLD MEMBERS: Please provide the following information concerning your spouse and other members of your immediate family **RESIDING IN YOUR HOUSEHOLD.**² If the information requested does not apply, please indicate "none."

No other household members.

Full Name ³	Relationship	Occupation/Employer	Nature of Business
Janet Perkinson Hayes	Wife	united way of lee county	charitable

¹With the exception of judicial officers (including Justices or Judges of the General Court of Justice, district attorneys, and clerks of court), persons holding or seeking an elected office with a residency requirement must provide a home address.

²Immediate family includes your spouse (unless legally separated), minor children, and members of your extended family (your and your spouse's adult children, grandchildren, parents, grandparents, and siblings, and the spouses of each of those persons) that reside in your household.

³Filers may use the initials of unemancipated children instead of those children's names. If initials are used, the children's names should be provided on a (non-public) supplement form available from the Commission upon request.

I. \$10,000 PLUS DISCLOSURES

If you, your spouse, or other members of your immediate family have assets or liabilities with a market value of at least \$10,000 in the following categories, please provide the requested information as of 12/31/10 unless another time period is specified in the question.

- ▶ Do not list the value of those assets or liabilities.
- ▶ Do not list assets or liabilities held in a blind trust⁴ established by or for the benefit of you or an immediate family member.

1. NORTH CAROLINA REAL ESTATE OWNED: Do you, your spouse, or members of your immediate family have an ownership interest in North Carolina real estate with a market value of \$10,000 or more?

Yes No If "Yes", please list below.

Owner of Real Estate	% Ownership Interest	Location by County and City
Charles A. and Janet P. Hayes	100%	Lee Sanford

2. NORTH CAROLINA REAL ESTATE RENTED: Do you, your spouse, or members of your immediate family rent North Carolina real estate with a market value of \$10,000 or more to or from the State?

Yes No If "Yes", please list below and identify the State agency involved in the property lease.

Identity of Lessor	Identity of Lessee (Renter)	Location by County and City

3. PERSONAL PROPERTY OWNED: Within the preceding two years, have you, your spouse, or members of your immediate family sold or bought personal property with a market value of \$10,000 or more to or from the State?

Yes No If "Yes", please list below and identify the State agency involved in the purchase or sale.

Identity of Purchaser	Identity of Seller	Nature and Location of Property

4. PERSONAL PROPERTY RENTED: Do you, your spouse, or members of your immediate family rent personal property with a market value of \$10,000 or more to or from the State?

Yes No If "Yes", please list below and identify the State agency involved in the property lease.

Identity of Lessor	Identity of Lessee (Renter)	Nature and Location of Property

5(a). PUBLIC COMPANIES: Do you, your spouse, or members of your immediate family own interests (generally stock) in a publicly owned company valued at \$10,000 or more?

Yes No If "Yes", please list below.

- ▶ Do not list ownership interests in a widely held investment fund (including mutual funds, regulated investment companies, or pension or deferred compensation plans) if (i) the fund is publicly traded or its assets are widely diversified and (ii) neither you nor an immediate family member are able to control the assets held in the mutual fund, investment company, or pension or deferred compensation plan.
- ▶ You may use three-letter ticker symbol to identify stocks.

Owner of Interest	Name of Company

⁴"blind trust" is a trust that meets all of the following criteria: (a) the owner of the trust's assets is unaware of the trust's holdings and sources of income, (b) the individual or entity managing the trust's assets ("the trustee") is not a member of the covered person's extended family and is not associated with or employed by the covered person or his or her immediate family, and (c) the trustee has sole discretion to manage the trust's assets. G.S. 138A-3(1).

5(b). **OPTIONS:** Do you, your spouse, or members of your immediate family hold stock options in a publicly owned company valued at \$10,000 or more?

Yes No If "Yes", please list below.

Owner of Stock Option	Name of Company

6(a). **NON-PUBLIC COMPANIES:** Do you, your spouse, or members of your immediate family have financial interests valued at \$10,000 or more in a non-publicly owned company or business entity (including interests in sole proprietorships, partnerships, limited partnerships, joint ventures, limited liability companies, limited liability partnerships, and closely held corporations)?

Yes No If "Yes", please list below and complete 6(b) and 6(c).

Owner of Interest	Name of Business Entity
Charles A. and Janet P. Hayes	The Oyster Republic
Charles A. and Janet P. Hayes	Privaris

6(b). For each of those non-publicly owned companies or business entities identified in question 6(a) (the "primary company"), please list the names of *any other companies* in which the primary company owns securities or equity interests valued at over \$10,000, *if known*.

Non-Publicly Owned Company (the Primary Company)	Other Companies in which the Primary Company Owns Security or Equity Interests
<input checked="" type="checkbox"/> None or Not Known	

6(c). If you know that any company or business entity listed in 6(a) or (b) above has any material business dealings or business contracts *with the State*, or is *regulated by the State*, provide a brief description of that business activity or relationship.

Identify Company or Business Entity	Nature of Business Relationship with the State
<input checked="" type="checkbox"/> None or Not Known	

7. **TRUSTS:** Are you, your spouse, or members of your immediate family the beneficiaries of a vested trust with a value of \$10,000 or more that is created, established, or controlled *by you*?

Yes No If "Yes", please list below.

▶ **Do not list blind trusts.** A "blind trust" is a trust that meets all of the following criteria: (a) the owner of the trust's assets is unaware of the trust's holdings and sources of income, (b) the individual or entity managing the trust's assets ("the trustee") is not a member of the covered person's extended family and is not associated with or employed by the covered person or his or her immediate family, and (c) the trustee has sole discretion to manage the trust's assets. G.S. 138A-3(1).

Name and Address of Trustee	Description of the Trust	Your Relationship to the Trust

8. **LIABILITIES:** Do you, your spouse, or members of your immediate family have a liability (debt) of \$10,000 or more, excluding indebtedness (mortgage) on your primary personal residence?

Yes No If "Yes", please list below. Examples include credit card debts, auto loans, and student loans.

Name of Debtor (You, Spouse, Immediate Family Member)	Type of Creditor (Commercial Bank, Credit Union, Individual, etc.)
Charles A. and Janet P. Hayes	Commercial Bank
Janet P. Hayes	Commercial Bank
Janet P. Hayes	Commercial Bank

II. OTHER DISCLOSURES

9. NONPROFIT INTERESTS: At any time during 2010, were you, your spouse or other members of your immediate family a director, officer, governing board member, employee, independent contractor, or registered lobbyist of a nonprofit corporation or organization operating in the State primarily for religious, charitable, scientific, literary, public health and safety, or educational purposes?

Yes No If "Yes", provide the following information.

- ▶ Do not list State boards or entities, or entities created by a political subdivision of the State.
- ▶ Do not list organizations of which you are a mere member or subscriber.
- ▶ If the listed nonprofit corporations or organizations do business with the State or receive State funds, please provide a brief description of the nature of that business, if known, or which with due diligence could reasonably be known.

Identify Person and His/Her Position	Name of Nonprofit Corporation or Organization	Nature of Business or Purpose of Organization	Describe State Business or State Funding
Charles A. Hayes	Research Triangle Regional Partnership	Economic Development	economic development for 13 county Research Triangle
Janet P. Hayes	United Way of Lee County	Charitable	none known

10. INCOME: List all sources of income (not amounts) of more than \$5,000 received by you, your spouse, or other members of your immediate family during 2010. Include salary, wages, state/local government retirement, professional fees, honoraria, interest, dividends, rental income, and business income. Do *not* include income received from the following sources:

- ▶ Capital Gains
- ▶ Military retirement
- ▶ Federal government retirement
- ▶ Social security income/SSDI

Recipient of Income	Name of Source	Business or Industry	Type of Income
<input type="checkbox"/> I had no reportable income over \$5,000 in 2010.			
Charles A. Hayes	Research Triangle Regional Partnership	Economic Development	Salary
Charles A. Hayes	Local Government Retirement	Retirement	Retirement
Janet P. Hayes	United Way of Lee County	Charitable	Salary

11. PRACTICING ATTORNEY: If you are a practicing attorney check each category of legal representation in which you or the law firm with which you are associated has earned legal fees of \$10,000 or more during 2010:

I am not a practicing attorney.

- | | | | |
|---|--|---|-----------------------------------|
| <input type="checkbox"/> Administrative | <input type="checkbox"/> Admiralty | <input type="checkbox"/> Corporate | <input type="checkbox"/> Criminal |
| <input type="checkbox"/> Decedent's Estates | <input type="checkbox"/> Environmental | <input type="checkbox"/> Insurance | <input type="checkbox"/> Labor |
| <input type="checkbox"/> Local Government | <input type="checkbox"/> Real Property | <input type="checkbox"/> Securities | <input type="checkbox"/> Tax |
| <input type="checkbox"/> Tort litigation (including negligence) | | <input type="checkbox"/> Utilities Regulation | |

12. LICENSED PROFESSIONAL: Are you (1) a licensed professional (other than an attorney) or do you provide consulting services individually or as a member of a professional association and (2) did you charge or were you paid over \$10,000 for those services during 2010?

Yes No If yes, please provide the following information.

Type of Business	Nature of Services Rendered

13. BUSINESS RELATIONSHIPS: As of December 31, 2010, were you or your employer, or your spouse or other members of your immediate family, or their employer, licensed or regulated by, or have a business relationship with, your State board or employing entity?

Yes No Legislator / Judicial Officer. If "Yes", provide the following information.

- ▶ You are not required to complete this question if you are filing because you are a legislator or a judicial officer ("judicial officer" is defined in footnote 1) or you are filing as an appointee to those offices. Please indicate if this is the case.

Identify Person	Identify Employer (if applicable)	Licensing, Business or Regulatory Relationship

14. **INTEREST IN AGENCY OR BOARD ISSUES:** As of December 31, 2010, were you, your spouse, or other members of your immediate family a director, officer, or governing board member of any society, organization, or advocacy group which has an interest in issues over which your agency or board may have jurisdiction?

Yes No Legislator / Judicial Officer. If "Yes", provide the following information.

- ▶ You are not required to complete this question if you are filing because you are a legislator or a judicial officer or you are filing as an appointee to those offices. Please indicate if this is the case.
- ▶ Do not list organizations of which you are only a member (not in a leadership role).

Identify Person	Identify Name of Society, Organization or Advocacy Group	Leadership Position (Director, Officer, Board Member)

15. **FELONY CONVICTION:** Have you ever been convicted of a felony for which you have not received either (i) a pardon of innocence or (ii) an order of expungement regarding that conviction?

Yes No If "Yes", please provide the following information.

Offense	Date of Conviction	County and State of Conviction

16. **RECEIPT OF GIFTS OUTSIDE OF NORTH CAROLINA:** During any calendar quarter in the preceding year (but only the time period after you were appointed, employed or filed or were nominated as a candidate), did you (1) receive any gift(s) exceeding \$200 per quarter from a person or group of persons acting together, **and** (2) when both you and those person(s) were outside North Carolina at the time you accepted the gift(s), **and** (3) the gift(s) were given under circumstances that would lead a reasonable person to conclude that they were given for lobbying?

Yes No If yes, please provide the following information.

- ▶ Do not report gifts given by members of your extended family.
- ▶ Do not report gifts that have previously been reported by you to the Department of the Secretary of State on the "Expense Report for Exempted or Persons Not Covered."

Date Item Received	Name and Address of Donor(s)	Describe Items Received	Estimated Market Value

17. **ACCEPTANCE OF SCHOLARSHIP:** During the preceding year (but only the time period after you were appointed, employed, or filed or were nominated as a candidate) have you (1) accepted a "scholarship" exceeding \$200 from a person or group of persons acting together **and** (2) those person(s) were outside North Carolina **and** (3) the scholarship was related to your public position? A "scholarship" is a grant-in-aid to attend a conference, meeting, or similar event.

Yes No I am a Legislator / Judicial officer. If yes, please provide the following information.

- ▶ Do not report gifts that have previously been reported by you to the Department of the Secretary of State on the "Expense Report for Exempted or Persons Not Covered."
- ▶ You are not required to complete this question if you are a judicial officer or you are filing as a judicial officer appointee. Please indicate if this is the case.
- ▶ Legislators are not required to report scholarships paid by a nonpartisan legislative organization of which the legislator or the General Assembly is a member or participant or an affiliate of that organization.

Date of Scholarship	Name and Address of Donor(s)	Describe Event	Estimated Market Value

18. **LOBBYIST:** Are you or a member of your immediate family currently registered as a lobbyist or lobbyist principal or were you registered as such during 2010?

Yes No If "Yes", please provide the following information.

Name of Lobbyist	Lobbyist's Principal	Date of Registration	Registration Expiration
Janet P. Hayes	United Way of Lee County	04/05/2010	12/31/2010

19(a). **BUSINESS ASSOCIATIONS:** List the name of each business with which you are associated (sole proprietorships, partnerships, limited partnerships, joint ventures, limited liability companies, limited liability partnerships, and closely held corporations, publicly-held or privately-held) where you or a member of your immediate family is an employee, director, officer, partner, proprietor, or member or manager.

No Business Associations

Identify Person	Relationship to Filer	Company	Role of Person
James A. Hayes	Filer	The Oyster Republic	Member
Janet P. Hayes	Wife	The Oyster Republic	Member

19(b). **COMPANY OR BUSINESS DEALINGS WITH STATE:** If you know that any company or business entity listed in 19(a) above has any material business dealings or business contracts with the State, or is regulated by the State, provide a brief description of that business activity or relationship.

Identify Company or Business Entity	Nature of Business Relationship with the State
<input type="checkbox"/> Not applicable (No entities listed on #19a) <input checked="" type="checkbox"/> No relationship / Not known	

20(a). **APPOINTMENT TO BOARDS COVERED BY STATE GOVERNMENT ETHICS ACT, CHAPTER 138A OF THE GENERAL STATUTES:** Did a Council of State member appoint you to or recommend you for appointment to a board covered by the Ethics Act? The Council of State members are: Governor, Lt. Governor, Secretary of State, State Auditor, State Treasurer, Superintendent of Public Instruction, Attorney General, Commissioner of Agriculture, Commissioner of Labor, or Commissioner of Insurance.

Yes No If "Yes", proceed to question 20(b). If "No", proceed to question 21.

20(b). **CAMPAIGN CONTRIBUTIONS:** In the preceding calendar year did you (not immediate family members) make contributions with a cumulative total of more than \$1,000 to the Council of State member (see list above) who appointed you? Contributions are defined in N.C.G.S. 163-278.6(6) and include, but are not limited to, "any advance, conveyance, deposit, distribution, transfer of funds, loan, payment, gift, pledge or subscription of money or anything of value whatsoever."

Yes No If "Yes", list all such contributions. If "No", proceed to question 21.

Date	Amount	Contributed to

21. CAMPAIGN ACTIVITIES: Are you now, or are you a prospect to be:

a. the head of a principal state department (e.g. cabinet secretary) appointed by the Governor; or

b. a North Carolina Supreme Court Justice; Court of Appeals, Superior or District Court Judge; or

c. member of any of the following boards:

- ABC Commission
- Coastal Resources Commission
- State Board of Education
- State Board of Elections
- Employment Security Commission
- Environmental Management Commission
- Industrial Commission
- State Personnel Commission
- Rules Review Commission
- Board of Transportation
- UNC Board of Governors
- Utilities Commission
- Wildlife Resources Commission

Yes No

If "No", proceed to question 22.

d. If so, were you appointed to, or are you being considered for, appointment to your public position by a Council of State Member (Governor, Lt. Governor, Secretary of State, State Auditor, State Treasurer, Superintendent of Public Instruction, Attorney General, Commissioner of Agriculture, Commissioner of Labor, or Commissioner of Insurance)?

Yes No

If "No", proceed to question 22.

e. If so, you must indicate whether during the preceding calendar year you (not immediate family members) engaged in any of the following activities with respect to or on behalf of the candidate or campaign committee of the Council of State member who appointed you to your public position:

i. Collected contributions from multiple contributors, took possession of such multiple contributions, and transferred or delivered those collected contributions to the candidate or committee? Contributions are defined in N.C.G.S. 163-278.6(6) and include, but are not limited to, "any advance, conveyance, deposit, distribution, transfer of funds, loan, payment, gift, pledge or subscription of money or anything of value whatsoever."

Yes No

ii. Hosted a fundraiser at your residence or place of business?

Yes No

iii. Volunteered for campaign-related activities, which include, but are not limited to, phone banks, event assistance, mailings, canvassing, surveying, or any other activity that advances the campaign of a candidate?

Yes No

22. OTHER INFORMATION: Are you aware of any other information that you believe may assist the State Ethics Commission in advising you concerning your compliance with the State Government Ethics Act?

Yes No If "Yes", please provide that information.

Please ensure that you have responded to all questions and that you have stated "None" in response to those questions in which you have nothing to disclose. In the event you fail to answer a question, you will be provided with a supplement to complete, sign and return. Your SEI is not deemed "filed" until complete answers are submitted for every question.

**** North Carolina law establishes a fine of \$250 for failure to timely file a complete Statement of Economic Interest. In addition, it is a Class 1 misdemeanor to knowingly conceal or fail to disclose required information, and a Class H felony to provide false information on a Statement. Such actions can also subject you to disciplinary action in connection with your employment.****

AFFIRMATION

I swear or affirm that the information provided in this Statement of Economic Interest and any attachments hereto are true, complete, and accurate to the best of my knowledge and belief.

I also certify that I have not transferred, and will not transfer, any asset, interest, or property for the purpose of concealing it from disclosure while retaining an equitable interest.

I understand that my Statement of Economic Interest and any attachments or supplements thereto are public record.

I acknowledge that I have read and understand N.C.G.S. 138A-26 regarding concealing or failing to disclose material information and N.C.G.S. 138A-27 regarding providing false information:

§ 138A-26. Concealing or failing to disclose material information.

A filing person who knowingly conceals or knowingly fails to disclose information that is required to be disclosed on a statement of economic interest under this Article shall be guilty of a Class 1 misdemeanor and shall be subject to disciplinary action under G.S. 138A-45. (2006-201, s. 1.)

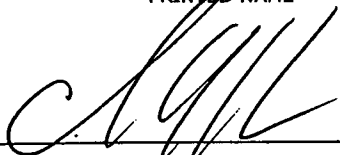
§ 138A-27. Penalty for false information.

A filing person who provides false information on a statement of economic interest as required under this Article knowing that the information is false is guilty of a Class H felony and shall be subject to disciplinary action under G.S. 138A-45. (2006-201, s. 1.)

I Agree

CHARLES AUSTIN HAYES

PRINTED NAME



SIGNATURE

**** Notarization is no longer required. ****

3/15/11

DATE

Submit SIGNED, ORIGINAL documents.

RECEIVED

March 10, 2011

MAR 14 2011

E. Wulu 3:00 pm

MEMORANDUM

TO: Members of the 2011 House of Representatives

FROM: Representative Tim Moore, Chair, House Select Committee on UNC Board of Governors Nominations

RE: House Nomination Form/2011 UNC Board of Governors Election

All nominees must submit the attached completed nomination form (including the nominee's vitae) to the Office of the House Principal Clerk no later than 12 P.M. on Thursday, March 17, 2011. Nominations received after 12 P.M. on Thursday, March 17, 2011 will not be accepted.

Additional nomination forms are available in the Office of the House Principal Clerk. Nominations received by facsimile or email will not be accepted.

The Nominee's application and vitae are public documents.

Only the submission of both a completed nomination form and vitae to the Office of the House Principal Clerk and a completed original Statement of Economic Interest shall constitute official nomination of an individual.

Statement of Economic Interest

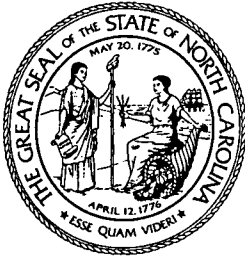
All nominees must also file a completed original Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, which must be received by the State Ethics Commission no later than 12 P.M., Thursday, March 17, 2011. Questions regarding Statements of Economic Interest must be directed to the North Carolina State Ethics Commission. Nominees must clearly indicate on their Statements of Economic Interest that they are a nominee for the UNC Board of Governors.

Contact information for the State Ethics Commission:

Mailing Address: 1324 Mail Service Center
Raleigh, NC 27699-1324
Physical Address: 424 N. Blount St.
Raleigh, NC 27601-1010
Phone Number: (919) 715-2071
Website: <http://www.ethicscommission.nc.gov/>

NOTE: The following individuals are not eligible to serve on the UNC Board of Governors: members of the General Assembly, officers or employees of the State, officers or employees of The University of North Carolina, and officers or employees of the constituent institutions of The University of North Carolina.

The spouses of the following individuals are not eligible to serve on the UNC Board of Governors: members of the General Assembly, officers or employees of The University of North Carolina, and officers or employees of the constituent institutions of The University of North Carolina.



NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM

(1) Representative Stam (Sponsor's Name)
proposes James L. Holmes, Jr., CPA (Nominee's name)
as a nominee for election to the Board of Governors of The University of North Carolina
for a four-year term to commence July 1, 2011.

(2) Nominee's Name: James L. Holmes, Jr., CPA

Nominee's Occupation: Commercial Insurance

Nominee's Physical Home Address:

316 Buncombe Street Raleigh NC 27609

Home Phone: 919-781-3526

Work Phone: 919-388-1904

Fax # 919-467-4976

Email address: jholmes@trisure.com

(3) Has the nominee ever been arrested, given a written warning, taken into custody,
or accused, formally or informally, of the violation of a law for any offense?

no

If yes, list every incident including the date, place, charges, and final disposition. Attach
additional sheets if necessary.

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State
Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(4) Is the nominee current on all federal, State, and local taxes?

yes

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds:

none

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds:

none

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

no

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

no

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
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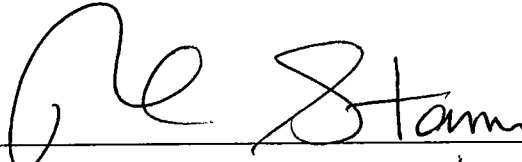
All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(9) If elected, will the nominee serve?

yes

(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina?

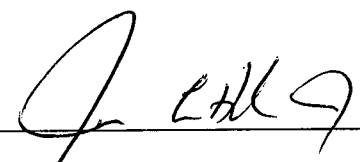
yes



Signature of Sponsor/Date 3/14/11

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.



Signature of Nominee/Date 5/14/2011

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
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NORTH CAROLINA STATE ETHICS COMMISSION
2011 STATEMENT OF ECONOMIC INTEREST

919-715-2071

www.ethicscommission.nc.gov

RECEIVED

MAR 14 2011

5 Weeks 3:00 pm

COMPLETE THIS FORM AND MAIL SIGNED, ORIGINAL TO
STATE ETHICS COMMISSION, 1324 MAIL SERVICE CENTER, RALEIGH, NC 27699-1324

1. STATEMENT TYPE (SELECT ONE)				
<input checked="" type="checkbox"/> NEW		Deadline for filing Statement of Economic Interest		
<input type="checkbox"/> AMENDED		Newly Appointed/Employed: Generally prior to your appointment/employment All Others: Generally April 15 of current year		
2. FILER'S NAME (FIRST, MIDDLE, LAST)				
First Name	Middle Name	Last Name	Suffix	
James	Leroy	Holmes	Jr.	
3. MAILING ADDRESS, CITY, STATE, ZIP+4 ¹				
Address 1	Address 2	City	State	ZIP
316 Buncombe Street		Raleigh	NC	27609
4. EMPLOYER		5. TITLE OR POSITION SOUGHT		
TriSure Corporation		UNC Board of Governors		
6. DAYTIME PHONE NUMBER (10-digit number no spaces, no characters.)		7. ALTERNATE PHONE NUMBER (10-digit number no spaces, no characters.)		
(919) 388-1904		(919) 469-2473		
8. E-MAIL ADDRESS		9. REASON FOR FILING (SELECT ALL THAT APPLY)		
jholmes@trisure.com		<input type="checkbox"/> STATE GOVERNMENT JOB <input checked="" type="checkbox"/> BOARD/COMMISSION APPOINTMENT		
10. EMPLOYED BY (IF FILING BASED ON EMPLOYMENT)				
11. BOARD(S) SERVED - Select up to 11 Boards				
UNC Board of Governors				
12. HOUSEHOLD MEMBERS: Please provide the following information concerning your spouse and other members of your immediate family RESIDING IN YOUR HOUSEHOLD: ² If the information requested does not apply, please indicate "none."				
<input type="checkbox"/> No other household members.				
Full Name ³	Relationship	Occupation/Employer	Nature of Business	
Lauren P. Holmes	Wife	Homemaker	none	
Lauren K. Holmes	Daughter	Minor	none	
Jordan E. Holmes	Daughter	Minor	none	

¹With the exception of judicial officers (including Justices or Judges of the General Court of Justice, district attorneys, and clerks of court), persons holding or seeking an elected office with a residency requirement must provide a home address.

²Immediate family includes your spouse (unless legally separated), minor children, and members of your extended family (your and your spouse's adult children, grandchildren, parents, grandparents, and siblings, and the spouses of each of those persons) that reside in your household.

³Filers may use the initials of unemancipated children instead of those children's names. If initials are used, the children's names should be provided on a (non-public) supplement form available from the Commission upon request.

I. \$10,000 PLUS DISCLOSURES

If you, your spouse, or other members of your immediate family have assets or liabilities with a market value of at least \$10,000 in the following categories, please provide the requested information as of 12/31/10 unless another time period is specified in the question.

- ▶ Do not list the value of those assets or liabilities.
- ▶ Do not list assets or liabilities held in a blind trust⁴ established by or for the benefit of you or an immediate family member.

1. NORTH CAROLINA REAL ESTATE OWNED: Do you, your spouse, or members of your immediate family have an ownership interest in North Carolina real estate with a market value of \$10,000 or more?

Yes No If "Yes", please list below.

Owner of Real Estate	% Ownership Interest	Location by County and City
James L. and Lauren Holmes	100%	Wake County
JPD Holdings, LLC	100%	Johnston County
James L. and Lauren Holmes	100%	Carteret County
Huntington I, LLC	25%	Wake County
Eastern NC Investors, LLC	16%	Various Counties

2. NORTH CAROLINA REAL ESTATE RENTED: Do you, your spouse, or members of your immediate family rent North Carolina real estate with a market value of \$10,000 or more to or from the State?

Yes No If "Yes", please list below and identify the State agency involved in the property lease.

Identity of Lessor	Identity of Lessee (Renter)	Location by County and City

3. PERSONAL PROPERTY OWNED: Within the preceding two years, have you, your spouse, or members of your immediate family sold or bought personal property with a market value of \$10,000 or more to or from the State?

Yes No If "Yes", please list below and identify the State agency involved in the purchase or sale.

Identity of Purchaser	Identity of Seller	Nature and Location of Property

4. PERSONAL PROPERTY RENTED: Do you, your spouse, or members of your immediate family rent personal property with a market value of \$10,000 or more to or from the State?

Yes No If "Yes", please list below and identify the State agency involved in the property lease.

Identity of Lessor	Identity of Lessee (Renter)	Nature and Location of Property

5(a). PUBLIC COMPANIES: Do you, your spouse, or members of your immediate family own interests (generally stock) in a publicly-owned company valued at \$10,000 or more?

Yes No If "Yes", please list below.

- ▶ Do not list ownership interests in a widely held investment fund (including mutual funds, regulated investment companies, or pension or deferred compensation plans) if (i) the fund is publicly traded or its assets are widely diversified and (ii) neither you nor an immediate family member are able to control the assets held in the mutual fund, investment company, or pension or deferred compensation plan.
- ▶ You may use three-letter ticker symbol to identify stocks.

Owner of Interest	Name of Company
Lauren P Holmes	General Electric

⁴ A "blind trust" is a trust that meets all of the following criteria: (a) the owner of the trust's assets is unaware of the trust's holdings and sources of income, (b) the individual or entity managing the trust's assets ("the trustee") is not a member of the covered person's extended family and is not associated with or employed by the covered person or his or her immediate family, and (c) the trustee has sole discretion to manage the trust's assets. G.S. 138A-3(1).

5(b). **OPTIONS:** Do you, your spouse, or members of your immediate family hold stock options in a publicly owned company valued at \$10,000 or more?

Yes No If "Yes", please list below.

Owner of Stock Option	Name of Company

6(a). **NON-PUBLIC COMPANIES:** Do you, your spouse, or members of your immediate family have financial interests valued at \$10,000 or more in a non-publicly owned company or business entity (including interests in sole proprietorships, partnerships, limited partnerships, joint ventures, limited liability companies, limited liability partnerships, and closely held corporations)?

Yes No If "Yes", please list below and complete 6(b) and 6(c).

Owner of Interest	Name of Business Entity
TriSure Corporation	Insurance Agency
Deacon Norman Anderson	Insurance Agency
Paragon Commercial Bank	Bank

6(b). For each of those non-publicly owned companies or business entities identified in question 6(a) (the "primary company"), please list the names of *any other companies* in which the primary company owns securities or equity interests valued at over \$10,000, *if known*.

Non-Publicly Owned Company (the Primary Company)	Other Companies in which the Primary Company Owns Security or Equity Interests
<input checked="" type="checkbox"/> None or Not Known	

6(c). If you know that any company or business entity listed in 6(a) or (b) above has any material business dealings or business contracts *with the State*, or is *regulated by the State*, provide a brief description of that business activity or relationship.

Identify Company or Business Entity	Nature of Business Relationship with the State
<input checked="" type="checkbox"/> None or Not Known	

7. **TRUSTS:** Are you, your spouse, or members of your immediate family the beneficiaries of a vested trust with a value of \$10,000 or more that is created, established, or controlled by you?

Yes No If "Yes", please list below.

▶ **Do not list blind trusts.** A "blind trust" is a trust that meets all of the following criteria: (a) the owner of the trust's assets is unaware of the trust's holdings and sources of income, (b) the individual or entity managing the trust's assets ("the trustee") is not a member of the covered person's extended family and is not associated with or employed by the covered person or his or her immediate family, and (c) the trustee has sole discretion to manage the trust's assets. G.S. 138A-3(1).

Name and Address of Trustee	Description of the Trust	Your Relationship to the Trust
Lauren Holmes	Invivo Trust	Grantor

8. **LIABILITIES:** Do you, your spouse, or members of your immediate family have a liability (debt) of \$10,000 or more, **excluding** indebtedness (mortgage) on your primary personal residence?

Yes No If "Yes", please list below. Examples include credit card debts, auto loans, and student loans.

Name of Debtor (You, Spouse, Immediate Family Member)	Type of Creditor (Commercial Bank, Credit Union, Individual, etc.)
Paragon Commercial Bank	Bank
Westfield Bank	Bank

II. OTHER DISCLOSURES

9. NONPROFIT INTERESTS: At any time during 2010, were you, your spouse or other members of your immediate family a director, officer, governing board member, employee, independent contractor, or registered lobbyist of a nonprofit corporation or organization operating in the State primarily for religious, charitable, scientific, literary, public health and safety, or educational purposes?

Yes No If "Yes", provide the following information.

- ▶ Do not list State boards or entities, or entities created by a political subdivision of the State.
- ▶ Do not list organizations of which you are a mere member or subscriber.
- ▶ If the listed nonprofit corporations or organizations do business with the State or receive State funds, please provide a brief description of the nature of that business, if known, or which with due diligence could reasonably be known.

Identify Person and His/Her Position	Name of Nonprofit Corporation or Organization	Nature of Business or Purpose of Organization	Describe State Business or State Funding

10. INCOME: List all sources of income (not amounts) of more than \$5,000 received by you, your spouse, or other members of your immediate family during 2010. Include salary, wages, state/local government retirement, professional fees, honoraria, interest, dividends, rental income, and business income. Do not include income received from the following sources:

- ▶ Capital Gains
- ▶ Federal government retirement
- ▶ Military retirement
- ▶ Social security income/SSDI

Recipient of Income	Name of Source	Business or Industry	Type of Income
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I had no reportable income over \$5,000 in 2010.

James L. Holmes Jr	TriSure Corporation	Insurance	Salary
James L. Holmes Jr	J. Holmes & Associates	Insurance	Distribution
James L. Holmes Jr	Huntingon I LLC	Real Estate	Distribution
James L. Holmes Jr	Plantaton I LLC	Real Estate	Distribution
James L. Holmes Jr	JPD Holdings LLC	Real Estate	Distribution
James L. Holmes Jr	Eastern NC Investors LLC	Real Estate	Distribution
James L. Holmes Jr	Trisure Benefits	Insurance	Distribution

11. PRACTICING ATTORNEY: If you are a practicing attorney check each category of legal representation in which you or the law firm with which you are associated has earned legal fees of \$10,000 or more during 2010:

I am not a practicing attorney.

- | | | | |
|---|--|---|-----------------------------------|
| <input type="checkbox"/> Administrative | <input type="checkbox"/> Admiralty | <input type="checkbox"/> Corporate | <input type="checkbox"/> Criminal |
| <input type="checkbox"/> Decedent's Estates | <input type="checkbox"/> Environmental | <input type="checkbox"/> Insurance | <input type="checkbox"/> Labor |
| <input type="checkbox"/> Local Government | <input type="checkbox"/> Real Property | <input type="checkbox"/> Securities | <input type="checkbox"/> Tax |
| <input type="checkbox"/> Tort litigation (Including negligence) | | <input type="checkbox"/> Utilities Regulation | |

12. LICENSED PROFESSIONAL: Are you (1) a licensed professional (other than an attorney) or do you provide consulting services individually or as a member of a professional association and (2) did you charge or were you paid over \$10,000 for those services during 2010?

Yes No If yes, please provide the following information.

Type of Business	Nature of Services Rendered

13. BUSINESS RELATIONSHIPS: As of December 31, 2010, were you or your employer, or your spouse or other members of your immediate family, or their employer, licensed or regulated by, or have a business relationship with, your State board or employing entity?

Yes No Legislator / Judicial Officer. If "Yes", provide the following information.

▶ You are not required to complete this question if you are filing because you are a legislator or a judicial officer ("judicial officer" is defined in footnote 1) or you are filing as an appointee to those offices. Please indicate if this is the case.

Identify Person	Identify Employer (if applicable)	Licensing, Business or Regulatory Relationship

14. INTEREST IN AGENCY OR BOARD ISSUES: As of December 31, 2010, were you, your spouse, or other members of your immediate family a director, officer, or governing board member of any society, organization, or advocacy group which has an interest in issues over which your agency or board may have jurisdiction?

Yes No Legislator / Judicial Officer. If "Yes", provide the following information.

▶ You are not required to complete this question if you are filing because you are a legislator or a judicial officer or you are filing as an appointee to those offices. Please indicate if this is the case.
▶ Do not list organizations of which you are only a member (not in a leadership role).

Identify Person	Identify Name of Society, Organization or Advocacy Group	Leadership Position (Director, Officer, Board Member)

15. FELONY CONVICTION: Have you ever been convicted of a felony for which you have not received either (i) a pardon of innocence or (ii) an order of expungement regarding that conviction?

Yes No If "Yes", please provide the following information.

Offense	Date of Conviction	County and State of Conviction

16. RECEIPT OF GIFTS OUTSIDE OF NORTH CAROLINA: During any calendar quarter in the preceding year (but only the time period after you were appointed, employed or filed or were nominated as a candidate), did you (1) receive any gift(s) exceeding \$200 per quarter from a person or group of persons acting together, and (2) when both you and those person(s) were outside North Carolina at the time you accepted the gift(s), and (3) the gift(s) were given under circumstances that would lead a reasonable person to conclude that they were given for lobbying?

Yes No If yes, please provide the following information.

▶ Do not report gifts given by members of your extended family.
▶ Do not report gifts that have previously been reported by you to the Department of the Secretary of State on the "Expense Report for Exempted or Persons Not Covered."

Date Item Received	Name and Address of Donor(s)	Describe Items Received	Estimated Market Value

17. ACCEPTANCE OF SCHOLARSHIP: During the preceding year (but only the time period after you were appointed, employed, or filed or were nominated as a candidate) have you (1) accepted a "scholarship" exceeding \$200 from a person or group of persons acting together and (2) those person(s) were outside North Carolina and (3) the scholarship was related to your public position? A "scholarship" is a grant-in-aid to attend a conference, meeting, or similar event.

Yes No I am a Legislator / Judicial officer. If yes, please provide the following information.

▶ Do not report gifts that have previously been reported by you to the Department of the Secretary of State on the "Expense Report for Exempted or Persons Not Covered."
▶ You are not required to complete this question if you are a judicial officer or you are filing as a judicial officer appointee. Please indicate if this is the case.
▶ Legislators are not required to report scholarships paid by a nonpartisan legislative organization of which the legislator or the General Assembly is a member or participant or an affiliate of that organization.

Date of Scholarship	Name and Address of Donor(s)	Describe Event	Estimated Market Value

18. **LOBBYIST:** Are you or a member of your immediate family currently registered as a lobbyist or lobbyist principal or were you registered as such during 2010?

Yes No If "Yes", please provide the following information.

Name of Lobbyist	Lobbyist's Principal	Date of Registration	Registration Expiration

19(a). **BUSINESS ASSOCIATIONS:** List the name of each business with which you are associated (sole proprietorships, partnerships, limited partnerships, joint ventures, limited liability companies, limited liability partnerships, and closely held corporations, publicly-held or privately-held) where you or a member of your immediate family is an employee, director, officer, partner, proprietor, or member or manager.

No Business Associations

Identify Person	Relationship to Filer	Company	Role of Person
James L. Holmes Jr.	Same	TriSure Corporation	Officer
James L. Holmes Jr.	Same	J. Holmes and Associates	Manager
James L. Holmes Jr.	Same	JPD Holdings, LLC	Manager
James L. Holmes Jr.	Same	Eastern NC LLC	Manager
James L. Holmes Jr	Same	Raleigh Capital LLC	Manager
James L. Holmes, Jr	Same	Plantation I LLC	Manager
James L. Holmes Jr	Same	TriSure Benefits	Manager
James L. Holmes Jr	Same	DHolmes LLC	Manager
James L. Holmes Jr	Same	Huntington I, LLC	Manager
James L. Holmes Same	Same	TriSure Personal Lines	Manager

19(b). **COMPANY OR BUSINESS DEALINGS WITH STATE:** If you know that any company or business entity listed in 19(a) above has any material business dealings or business contracts with the State, or is regulated by the State, provide a brief description of that business activity or relationship.

Identify Company or Business Entity	Nature of Business Relationship with the State
<input type="checkbox"/> Not applicable (No entities listed on #19a) <input checked="" type="checkbox"/> No relationship / Not known	

20(a). **APPOINTMENT TO BOARDS COVERED BY STATE GOVERNMENT ETHICS ACT, CHAPTER 138A OF THE GENERAL STATUTES:** Did a Council of State member appoint you to or recommend you for appointment to a board covered by the Ethics Act? The Council of State members are: Governor, Lt. Governor, Secretary of State, State Auditor, State Treasurer, Superintendent of Public Instruction, Attorney General, Commissioner of Agriculture, Commissioner of Labor, or Commissioner of Insurance.

Yes No If "Yes", proceed to question 20(b). If "No", proceed to question 21.

20(b). **CAMPAIGN CONTRIBUTIONS:** In the preceding calendar year did you (not immediate family members) make contributions with a cumulative total of more than \$1,000 to the Council of State member (see list above) who appointed you? Contributions are defined in N.C.G.S. 163-278.6(6) and include, but are not limited to, "any advance, conveyance, deposit, distribution, transfer of funds, loan, payment, gift, pledge or subscription of money or anything of value whatsoever."

Yes No If "Yes", list all such contributions. If "No", proceed to question 21.

Date	Amount	Contributed to

21. CAMPAIGN ACTIVITIES: Are you now, or are you a prospect to be:

- a. the head of a principal state department (e.g. cabinet secretary) appointed by the Governor; or
- b. a North Carolina Supreme Court Justice; Court of Appeals, Superior or District Court Judge; or
- c. member of any of the following boards:
 - ABC Commission
 - Coastal Resources Commission
 - State Board of Education
 - State Board of Elections
 - Employment Security Commission
 - Environmental Management Commission
 - Industrial Commission
 - State Personnel Commission
 - Rules Review Commission
 - Board of Transportation
 - UNC Board of Governors
 - Utilities Commission
 - Wildlife Resources Commission

Yes No

If "No", proceed to question 22.

- d. If so, were you appointed to, or are you being considered for, appointment to your public position by a Council of State Member (Governor, Lt. Governor, Secretary of State, State Auditor, State Treasurer, Superintendent of Public Instruction, Attorney General, Commissioner of Agriculture, Commissioner of Labor, or Commissioner of Insurance)?

Yes No

If "No", proceed to question 22.

- e. If so, you must indicate whether during the preceding calendar year you (not immediate family members) engaged in any of the following activities with respect to or on behalf of the candidate or campaign committee of the Council of State member who appointed you to your public position:

- i. Collected contributions from multiple contributors, took possession of such multiple contributions, and transferred or delivered those collected contributions to the candidate or committee? Contributions are defined in N.C.G.S. 163-278.6(6) and include, but are not limited to, "any advance, conveyance, deposit, distribution, transfer of funds, loan, payment, gift, pledge or subscription of money or anything of value whatsoever."

Yes No

- ii. Hosted a fundraiser at your residence or place of business?

Yes No

- iii. Volunteered for campaign-related activities, which include, but are not limited to, phone banks, event assistance, mailings, canvassing, surveying, or any other activity that advances the campaign of a candidate?

Yes No

22. OTHER INFORMATION: Are you aware of any other information that you believe may assist the State Ethics Commission in advising you concerning your compliance with the State Government Ethics Act?

Yes No If "Yes", please provide that information.

Please ensure that you have responded to all questions and that you have stated "None" in response to those questions in which you have nothing to disclose. In the event you fail to answer a question, you will be provided with a supplement to complete, sign and return. Your SEI is not deemed "filed" until complete answers are submitted for every question.

**** North Carolina law establishes a fine of \$250 for failure to timely file a complete Statement of Economic Interest. In addition, it is a Class 1 misdemeanor to knowingly conceal or fail to disclose required information, and a Class H felony to provide false information on a Statement. Such actions can also subject you to disciplinary action in connection with your employment.****

AFFIRMATION

I swear or affirm that the information provided in this Statement of Economic Interest and any attachments hereto are true, complete, and accurate to the best of my knowledge and belief.

I also certify that I have not transferred, and will not transfer, any asset, interest, or property for the purpose of concealing it from disclosure while retaining an equitable interest.

I understand that my Statement of Economic Interest and any attachments or supplements thereto are public record.

I acknowledge that I have read and understand N.C.G.S. 138A-26 regarding concealing or failing to disclose material information and N.C.G.S. 138A-27 regarding providing false information:

§ 138A-26. Concealing or failing to disclose material information.

A filing person who knowingly conceals or knowingly fails to disclose information that is required to be disclosed on a statement of economic interest under this Article shall be guilty of a Class 1 misdemeanor and shall be subject to disciplinary action under G.S. 138A-45. (2006-201, s. 1.)

§ 138A-27. Penalty for false information.

A filing person who provides false information on a statement of economic interest as required under this Article knowing that the information is false is guilty of a Class H felony and shall be subject to disciplinary action under G.S. 138A-45. (2006-201, s. 1.)

I Agree

JAMES C. HOLMES JR

PRINTED NAME

**** Notarization is no longer required. ****

James C. Holmes Jr

SIGNATURE

3/14/2011

DATE

Submit SIGNED, ORIGINAL documents.

RECEIVED

MAR 15 2011

5:25 pm
Dennis Weeks

James L. Holmes, Jr., CPA
316 Buncombe Street
Raleigh, NC 27609
919-388-1904

Education:

North Carolina State University	1998-1991	B.A. Business Administration
Guilford College	1992-1993	Certificate in Accountancy
Certified Public Accountant	1995	Certificate Number: 20471

Experience

TriSure Corporation	Partner	September 2001-Present
Marsh McLennan	Vice President	January 2000 – September 2001
<ul style="list-style-type: none">Recruited to work in value added capacity with middle market accounts from practical business experienceResponsible for advising and representing accounts from 25 million to 1 billion in revenues with employee base from 100 to 22,000Design and implement risk management strategies for complete business scenarios		
Aspen Furniture	Chief Financial Officer and Executive Vice President	June 1998 – December 2000
<ul style="list-style-type: none">Responsible for Risk Management, Finance, Human Resources and Materials ManagementResponsible for Safety Programs and Safety Department800 total employees70 million in total revenues		
LADD Furniture	Corporate Controller	August 1993 - June 1998
<ul style="list-style-type: none">Risk Advisory Board700 million in revenues18 subsidiary companies		

Community Service

Wake Technical Community College	Foundation Board	2008-2010
Wake Tech	Academic Mentor	
Fostering Bright Futures	Founding Chairman and Board Member	2008-Present
Wake Med	Foundation Board	2008-Present
Band Together	Advisory Board	

References

Dr. Bill Atkinson	Wake Med	919-350-8000
Stephen D. Bell	Bell Partners	336-232-1905
Randy Broad	Dixon Hughes	919-875-4967
Michael Story	Paragon Commercial Bank	919-788-7770
Jill Heath	Mulkey Engineering	919-836-4807
James Maynard	Golden Corral	919-881-4545
F. Timothy Nicholls	Nicholls and Crampton	919-781-1311
Randal Ramsey	Jarrett Bay Boats	252-728-2690
Dr. Stephen Scott	Wake Technical Community College	919-866-5141
J. Troy Smith	Ward and Smith	252-672-5425
Michael Story	Paragon Commercial Bank	919-788-7770
David Ward	Ward and Smith	252-672-5448



WAKE TECH COMMUNITY COLLEGE

Office of the President

March 15, 2011

Representative Paul Stam
NC House of Representatives
16 W. Jones Street, Room 2301
Raleigh, NC 27601-1096

Dear Representative Stam:

As his friend and colleague, it is my privilege to present James L. Holmes, Jr., to you for consideration as a candidate for appointment to the University of North Carolina's Board of Governors.

I have had the pleasure of working with Jim over the last four years through an educational initiative here at Wake Tech called Fostering Bright Futures. Thanks to Jim's vision, leadership and financial commitment, we created a program that takes young people who come from foster home environments and transition them into a supportive academic environment at Wake Tech in order to stay in school and graduate. Jim's commitment and involvement with us here at Wake Tech, as well as the tremendous work that he has done for North Carolina State University (his alma mater), clearly demonstrates his passion for higher education in North Carolina and the value that he places on this state's asset.

I consider Jim a strong candidate for the Board of Governors due to the broad experience and diverse perspective he will bring to the table. He is a successful and engaged entrepreneur who is respected deeply by the business community. He has served as a CFO for many companies and fully understands the financial complexities that are involved with running large, multi-million dollar operations. As a relatively young candidate, Jim can bring a fresh perspective to many of the issues facing the young students of the university system. And lastly, he is a team player who works well with others by respecting where they come from, but isn't afraid to share his opinion when warranted.

I understand that your pool of candidates will be large and broad. To appoint someone like Jim to the UNC Board of Governors will attest to the vision of the members of the NC General Assembly to bring in the right leadership at the right time to make our great state stronger. Thank you for your consideration.

Sincerely,

Dr. Stephen C. Scott
President

March 10, 2011

RECEIVED

MAR 14 2011

6 pm ms

MEMORANDUM

TO: Members of the 2011 House of Representatives

FROM: Representative Tim Moore, Chair, House Select Committee on UNC Board of Governors Nominations

RE: House Nomination Form/2011 UNC Board of Governors Election

All nominees must submit the attached completed nomination form (including the nominee's vitae) to the Office of the House Principal Clerk no later than 12 P.M. on Thursday, March 17, 2011. Nominations received after 12 P.M. on Thursday, March 17, 2011 will not be accepted.

Additional nomination forms are available in the Office of the House Principal Clerk. Nominations received by facsimile or email will not be accepted.

The Nominee's application and vitae are public documents.

Only the submission of both a completed nomination form and vitae to the Office of the House Principal Clerk and a completed original Statement of Economic Interest shall constitute official nomination of an individual.

Statement of Economic Interest

All nominees must also file a completed original Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, which must be received by the State Ethics Commission no later than 12 P.M., Thursday, March 17, 2011. Questions regarding Statements of Economic Interest must be directed to the North Carolina State Ethics Commission. Nominees must clearly indicate on their Statements of Economic Interest that they are a nominee for the UNC Board of Governors.

Contact information for the State Ethics Commission:

Mailing Address: 1324 Mail Service Center
Raleigh, NC 27699-1324

Physical Address: 424 N. Blount St.
Raleigh, NC 27601-1010

Phone Number: (919) 715-2071

Website: <http://www.ethicscommission.nc.gov/>

NOTE: The following individuals are not eligible to serve on the UNC Board of Governors: members of the General Assembly, officers or employees of the State, officers or employees of The University of North Carolina, and officers or employees of the constituent institutions of The University of North Carolina.

The spouses of the following individuals are not eligible to serve on the UNC Board of Governors: members of the General Assembly, officers or employees of The University of North Carolina, and officers or employees of the constituent institutions of The University of North Carolina.

(9) If elected, will the nominee serve? YES

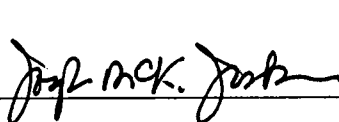
(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina? YES

 March 14, 2011

Signature of Sponsor/Date

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

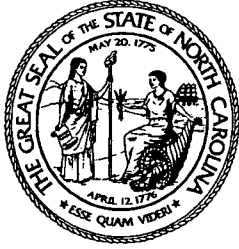
I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.

 3/14/11

Signature of Nominee/Date

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.



NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM

(1) Representative Tom MURRY (Sponsor's Name)

proposes JOSEPH MCKENDRIE JENKINS (Nominee's name)
as a nominee for election to the Board of Governors of The University of North Carolina
for a four-year term to commence July 1, 2011.

(2) Nominee's Name: JOSEPH MCKENDRIE JENKINS

Nominee's Occupation: PHYSICIAN/BUSSINESSMAN

Nominee's Physical Home Address: 101 VILLAGE GATE DR
CHAPEL HILL NC 27514

Home Phone: (919) 967-1925

Work Phone: (910) 273-3098

Fax # NONE

Email address: JJMDJD@EARTHLINK.NET

(3) Has the nominee ever been arrested, given a written warning, taken into custody,
or accused, formally or informally, of the violation of a law for any offense?

NO

If yes, list every incident including the date, place, charges, and final disposition. Attach
additional sheets if necessary.

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State
Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(4) Is the nominee current on all federal, State, and local taxes? YES

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds:

NONE

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds:

NONE

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

NO

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form

**** DEADLINE – 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

RECEIVED

MAR 14 2011

6 Pm Tns

Curriculum Vitae

Joseph McKendrie Jenkins, M.D., J.D.

Business Address:

101 Village Gate Dr
Chapel Hill, N.C. 27514
(910) 273-3098

Home Address:

101 Village Gate Dr
Chapel Hill, NC 27514
(919) 967-1925

Date of Birth: July 17, 1947

College: University of Virginia, Charlottesville, Virginia
September 1965 - May 1968; English Major

University of North Carolina-Chapel Hill
1968-70; B.A. History

Medical School: University of North Carolina-Chapel Hill
M.D. received May 1974

Internship/
Residency: North Carolina Memorial Hospital
University of North Carolina-Chapel Hill
1974-76; Internship & General Surgery
1976-79; Urology Residency

Law School: University of North Carolina-Chapel Hill
J.D. received May 1990

Employment:

6/1/07 – present Chairman of the Board and Executive Director of the Council for
Urological Interests

6/1/06 – present Chief Medical Officer, Neusys Solutions, Inc and Neusys LLC

4/15/04 – 1/31/06 President, Urology Division, HealthTronics, Inc.

4/1/02 – 4/1/03 Chief Medical Officer, Mercury MD

1/1/2001 – Present President, Mad Dash Consulting, LLC.

6/2000 – 1/1/2001 Vice Chairman of the Board, Prime Medical Services, Inc.

1996 – 6/2000 President & CEO, Prime Medical Services, Inc.

1992 – Present President, Lithotripters, Inc.

1990 – 1992

Vice President Physician Relations, Lithotripters, Inc.

1979 – 1989

Senior Partner, Washington Urological Associates, Washington, NC –
Private Practice of Urological Surgery

Medical Licensure: North Carolina

Joseph McK. Jenkins, M.D., J.D.

Offices Held:

- | | |
|-----------------|--|
| 2007-Present | Founder and Chairman of the Council for Urological Interests |
| 2007 | Adjunct professor Department of Surgery, UNC School of Medicine |
| 2007-Present | Elected to Board of Visitors, University of North Carolina at Chapel Hill |
| 2005—2008 | Board of Directors, UNC General Alumni Association |
| 2004 – 2008 | Founding Director / Executive Committee, New Century Bank of Fayetteville |
| 2002 – 2008 | Chairman of Board of Trustees, North Carolina Teachers' and State Employees' Comprehensive Major Medical Plan. |
| 2001 – 2008 | Trustee, North Carolina Teachers' and State Employees' Comprehensive Major Medical Plan. |
| 2002 – May 2006 | Board of Directors, Mercury MD |
| 1996 - 2004 | Board of Directors, Prime Medical Services, Inc. |
| 1988 - 2004 | Board of Directors, American Lithotripsy Society |
| 1990 - 2004 | Chairman, Governmental Affairs, American Lithotripsy Society |
| 2004 | Senior Warden, St. John's Episcopal Church |
| 2003 | Chairman of Rector Search Committee, St. John's Episcopal Church |
| 2002 - 2004 | Vestry, St. John's Episcopal Church |
| 2002 - 2003 | Spokesman and Chairman of PLI Task Force, North Carolina Medical Society |
| 1995 - 2000 | Board of Directors, North Carolina Ports Authority |
| 1994— 99 | Board of Visitors, University of North Carolina |
| 1992 - 98 | Board of Directors & Executive Committee - Fayetteville Academy |
| 1996 –97 | Immediate Past President & Executive Committee, American Lithotripsy Society |
| 1995 -96 | President, American Lithotripsy Society |

1989 -96 Board of Directors, American Urological Association Southeast Section

1992 -95 Chairman, Legislative Committee for the North Carolina Medical Society

1990 -95 Chairman, Joint Commission of the North Carolina Medical Society and the North Carolina Bar Association

1994 Chairman, Marquis Society, United Way

1994 President-Elect, American Lithotripsy Society

1989-1994 President, National Lithotripters Association

1993 Treasurer, American Lithotripsy Society

1985-1992 Chairman, Medical-Legal Committee, North Carolina Medical Society

1991-1992 President, Carolina Urological Association

1987-1989 Clinical Assistant Professor, East Carolina University School of Medicine

1987-1989 Chairman, Liaison Committee, America Lithotripsy Society

1987-1988 President, North Carolina Urological Association

Joseph McK. Jenkins, M.D., J.D.

- 1987-1988 Chairman, Urology Section, North Carolina Medical Society
- 1985-1987 Delegate, Hospital Medical Staff Section, North Carolina Medical Society
- 1985-1987 Delegate, North Carolina Medical Society
- 1987 Chairman, "Medical Legal Guidelines" Conference North Carolina Medical Society's Mid-winters Conference
- 1987 Chairman, Legislative Meeting, Pamlico-Albemarle Society
- 1984-1986 Vestry, St. Peter's Episcopal Church
- 1985 Chairman, Renovation 1985 (successful \$400,000 capital funds campaign, St. Peter's Episcopal Church)
- 1984 Rector Search Committee, St. Peter's Episcopal Church
- 1983 Chief of Staff, Beaufort County Hospital (BCH)
- 1981 Secretary, Beaufort County Hospital (BCH)

Professional Organizations:

Diplomat, American Board of Urology
American Urological Association (AUA)
Southeastern Section, American Urological Association (SES, AUA)
American Medical Association
North Carolina Medical Society (NCMS)
American Lithotripsy Society (ALS)
Nathan Womack Surgical Society
National Lithotripters Association

Presentations:

- "Yesterday, Today, Tomorrow: Federal Regulations for In Office Imaging." North Carolina Urological Association Annual Meeting, Research Triangle Park, NC, January 2011.
- "The Rule of Law: Substitutional Ethics." Methodist College executive MBA program Pinehurst, September 24, 2006.
- "The Ethics of Offshore Outsourcing." Lowdermilk Ethics Lecture, Methodist College School of Business, March 26, 2006.
- "Who is taking care of the patient?" Keynote speaker at "Rally in Raleigh", April 8, 2003. (3000 physicians and nurses gathered at NC Legislature)
- "Medical Malpractice 2000 and the Urologists." American Lithotripsy Society Meeting –

Charleston, SC, March 2000.

“Compliance and the Doctor.” American Lithotripsy Society Meeting – San Antonio, Texas, March 1999.

“One Hell of a Gamble: Regulatory Landmines.” American Lithotripsy Society Meeting – Ft. Lauderdale, Florida, March 1998.

Joseph McK. Jenkins, M.D., J.D.

"Sailing the Seven C's: What a Urology Resident Needs to Know about the Business of Medicine." University of North Carolina – Chapel Hill, Urology General Rounds, October 1997.

"Diagnosing a Kidney Stone." American Lithotripsy Society Annual Meeting, La Jolla, California, March 1997.

"The Story of An Entrepreneurial Business". Invited Speaker, UNC Kenan Flagler School of Business, Chapel Hill, NC, October 1996

"Past, Present and Future of ESWL". Guest Lecturer, Puerto Rico, August 1996.

"Complications of ESWL". Guest Lecturer, Puerto Rico, August 1996.

"Management of Ureteral Calculi". Guest Lecturer, Puerto Rico, August 1996.

"Managing Care: Lithotripsy". Western Section AUA, Scottsdale, AZ, November 1995.

"Managing Care: Lithotripsy". Kimbrough Urological Seminar, Charles, SC, January, 1995.

"Lithotripsy and the Pediatric Patient". New England Section AUA, Southampton, Bermuda, September, 1994.

"Lithotripsy and the Pediatric Patient". Guest Lecturer, European Urological Summer forum, Vaals, Netherlands, June, 1994.

"Treatment Results with 33,012 Renal and Ureteral Calculi". Western Section AUA meeting, Palm Desert, California, November, 1993.

"Current possibilities and statistics of ESWL treatment". Guest Lecturer, American Lithotripsy Society meeting, Chicago, IL, October, 1993.

Guest Lecturer. European Urological Summer Forum, Vaals, Netherlands, June, 1993.

"Treatment Results with 19,962 Renal and Ureteral Calculi using the Siemens Lithostar Lithotripter". Southeastern Section meeting, Nashville, TN, April 1993.

"Lithotripsy in 1993 - Treatment of Ureteral Stones". Nathan Womack Surgical Society meeting, Chapel Hill, NC, January, 1993.

"Ureteral Stones and the Low Energy Technique". New York Section of the AUA, Berlin, Germany, October, 1992.

"Ureteral Stones and Lithotripsy". Annual American Lithotripsy Society meeting, Boston, MA, October, 1992.

Joseph McK. Jenkins, M.D., J.D.

"The Lithostar and the Low Energy Technique". World Congress of Endourology, Singapore, September, 1992.

"Doctor Ownership: A Different Point of View". Forsyth Business Health Coalition, Winston Salem, NC August, 1992.

"Ureteral Stone Lithotripsy". Invited speaker at European Lithostar meeting, Regensburg, Germany, April, 1992.

"Anesthesia Free Lithotripsy". Annual American Lithotripsy Society meeting, Orlando, FL, October, 1992.

"An AIDS Hypothetical". Second Doctors and Lawyers Conference, Winston-Salem, NC, February, 1991.

"You, Me and the Law". Paul Brandeis Lecturer, University of North Carolina School of Medicine, Chapel Hill, NC Spring 1990.

"Second Generation Lithotripters", given as an invited speaker at the Philippine College of Urology, Manila, Philippines, November, 1989.

"The Lithostar and Ureteral Stones", at the North Carolina Urological Association's Annual Meeting, Charlotte, NC, October, 1989.

"Doctors and Lawyers at a Crossroads". Participation on faculty for North Carolina Bar Association, 1989.

"Doctors and the Court Room". Superior Court Judges panel, Institute of Government, UNC, Chapel Hill, 1987.

"Percutaneous Nephrolithotomy in a Community Hospital". Southeastern Section, AUA, Marcos Island, FL, 1985.

"Percutaneous Nephrolithotomy: 2 Years Experience:.". Nathan Womack Society, Chapel Hill, NC 1985.

"The Use of Dynamic Ultrasound Scanner to Detect Intrarenal Calculi". Southeastern Section, AUA, Memphis, TN, 1979.

Joseph McK. Jenkins, M.D., J.D.

Publications:

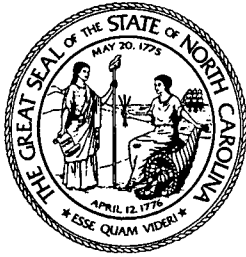
“Finding the Truth: The Medical Malpractice Crisis in North Carolina”. The NC Medical Journal, July/August 2003, Vol. 64 Number 4, pages 169-175.

“Low Energy Lithotripsy with the Lithostar: Treatment Results with 19,962 Renal and Ureteral Calculi”. The Journal of Urology, June 1993, Vol. 149, pages 1419-1424.

“Effects of Stents on Lithotripsy of Ureteral Calculi: Treatment Results with 18,825 calculi using the Lithostar Lithotripter”. The Journal of Urology, July 1994, Vol. 152, pages 53-56.

“Pediatric Low Energy Lithotripsy with the Lithostar”. The Journal of Urology, February 1995, Vol. 153, pages 453-457.

“New Lithostar Treatment Technique for Difficult Upper Ureteral Stones”. Journal of Endourology, June 1995, Vol. 9, Number 3, pages 233-234.



NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM

Received
Katie Weeks

MAR 14 2011

7:25 pm

(1) Representative Mitchell Setzer *as Mark Hilton* (Sponsor's Name)
proposes G. Leroy Lail (Nominee's name)
as a nominee for election to the Board of Governors of The University of North Carolina
for a four-year term to commence July 1, 2011.

(2) Nominee's Name: G. Leroy Lail

Nominee's Occupation: Businessman

Nominee's **Physical Home** Address: 3619 Links Drive, Conover, NC 28613

Home Phone: 828-459-1268

Work Phone: 828-322-3510

Fax # 828-322-6286

Email address: leroylail@hickoryfurniture.com

(3) Has the nominee ever been arrested, given a written warning, taken into custody,
or accused, formally or informally, of the violation of a law for any offense?

No

If yes, list every incident including the date, place, charges, and final disposition. Attach
additional sheets if necessary.

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

**All nominees shall also file a Statement of Economic Interest with the North Carolina State
Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.**

(4) Is the nominee current on all federal, State, and local taxes?

Yes

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds:

UNC Board of Governors

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds:

Catawba County Commissioner

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No

*N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
* * DEADLINE – 12:00 p.m., Thursday, March 17, 2011. * **

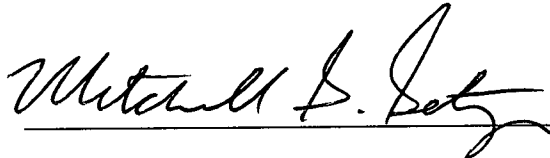
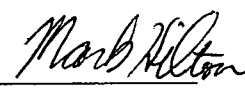
All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(9) If elected, will the nominee serve?

Yes

(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina?

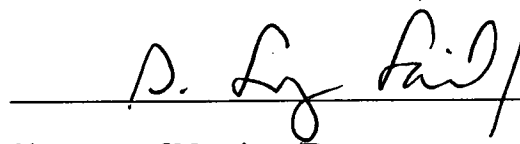
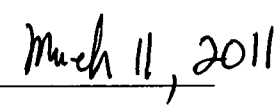
N/A

Signature of Sponsor/Date

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.

Signature of Nominee/Date

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
*** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ***

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

G. LEROY LAIL

Leroy Lail's name is equally well known in the areas of furniture, hospitality and development. Under his direction, Hickory Furniture Mart evolved from a wholesale furniture exhibition facility to the largest retail furniture center of its kind in the nation. Long active in hospitality-industry affairs, he has served international, national, state and local organizations. He was Chairman of the Board of IAHI, Property Owners Association of InterContinental Hotel Corporation, a worldwide group representing, Holiday Inn, Crowne Plaza, Holiday Inn Express and Staybridge Suites.

Volunteer Activities

- University of North Carolina Board of Governors 2003 – Present
Has chaired various committees including Chairman of Special Committee on Economic Development and the University, Presidential Selection Committee, Public Affairs Committee, and Budget and Finance Committee.
- InterContinental Hotels Property Owner Association (IAHI), Board of Directors, Chairman 2003-2004
- North Carolina Hotel and Motel Association, Board of Directors-former member
- Catawba Valley Hospitality and "Tourism 'Alliance, Board Member
- North Carolina Economic and community Development Board, former member
- North Carolina Entrepreneurial Development Board, former member
- Lenoir-Rhyne College, former trustee
- Frye Regional Medical Center Board of Directors, past-chair
- Hickory Metro Convention & Visitor Bureau, Board of Directors
- Brian Long Foundation, Board of Directors
- Catawba County Chamber of commerce, Board of Directors – former member
- American Furniture Academy and Hall of Fame, founder and Board of Directors member
- Boy Scouts, Piedmont Council, Executive Committee
- Foresight Committee of Catawba County, Charter Member

Business Interests

- Hickory Furniture Mart, Chairman of Board
- Hickory Mart Shows, President
- Piedmont Center Associates LLC, President
- First Piedmont LLC, President
- Major development projects include:
Crowne Plaza, Hampton Inn, Fairfield Inn, Holiday Inn Express, Marriott Courtyard, Hickory; Holiday Inn Express, Conover; Staybridge Suites, Durham

Awards

- The Order of the Long Leaf Pine 1987 – North Carolina's Highest Citizen Award
- Lenoir Rhyne College Business Leader of the year 2001

G. LEROY LAIL, continued

Church and Social:

- Member of Beth Eden Evangelical Lutheran Church, Newton, NC\
- Catawba Country Club
- Lake Hickory Country Club
- NC Committee of Newcomen Society
- Various other social clubs

Education

- Graduate of UNC at Chapel Hill, 1961
- Naval Officer of the US Navy
- Started at Hickory Furniture Mart in 1963 and in the hotel business in 1966

Personal

- Born December 27, 1938
- Married – wife, Lynn Mull Lail
- Three children
- Six grandchildren

Residence

- 3619 Links Drive, Rock Barn Golf Club, Conover, NC 28613

Telephone:

- 828-459-1268

COPY

NORTH CAROLINA STATE ETHICS COMMISSION 2011 STATEMENT OF ECONOMIC INTEREST

919-715-2071

www.ethicscommission.nc.gov

FOR OFFICE USE ONLY

RECEIVED

JAN 27 2011

STATE ETHICS COMMISSION

COMPLETE THIS FORM AND ELECTRONICALLY FILE OR MAIL TO
STATE ETHICS COMMISSION, 1324 MAIL SERVICE CENTER, RALEIGH, NC 27699-1324

1. STATEMENT TYPE (SELECT ONE)				
<input checked="" type="checkbox"/> NEW Deadline for filing Statement of Economic Interest				
<input type="checkbox"/> AMENDED Newly Appointed/Employed: Generally prior to your appointment/employment				
All Others: Generally April 15 of current year				
2. FILER'S NAME (FIRST, MIDDLE, LAST)				
First Name		Middle Name		Last Name
Graham		Leroy		Lail
3. MAILING ADDRESS, CITY, STATE, ZIP+4 ¹				
Address 1		Address 2		City
3619 Links Drive				Conover
				State
				NC
				ZIP
				28613
4. EMPLOYER			5. TITLE OR POSITION SOUGHT	
Hickory Furniture Mart			Chairman	
6. DAYTIME PHONE NUMBER (###) ###-####			7. ALTERNATE PHONE NUMBER (###) ###-####	
828-322-3510				
8. E-MAIL ADDRESS			9. REASON FOR FILING (SELECT ALL THAT APPLY)	
leroylail@hickory-furniture.com			<input type="checkbox"/> STATE GOVERNMENT JOB <input checked="" type="checkbox"/> BOARD/COMMISSION APPOINTMENT	
10. EMPLOYED BY (IF FILING BASED ON EMPLOYMENT)				
11. BOARD(S) SERVED -- Select up to 11 Boards UNC Board of Governors				
12. HOUSEHOLD MEMBERS: Please provide the following information concerning your spouse and other members of your immediate family RESIDING IN YOUR HOUSEHOLD. ² If the information requested does not apply, please indicate "none."				
<input type="checkbox"/> No other household members.				
Full Name ³	Relationship	Occupation/Employer	Nature of Business	
Lynn M. Lail	wife	Hickory Furniture Mart	Rental Real Estate	

¹With the exception of judicial officers (including Justices or Judges of the General Court of Justice, district attorneys, and clerks of court), persons holding or seeking an elected office with a residency requirement must provide a home address.

²Immediate family includes your spouse (unless legally separated), minor children, and members of your extended family (your and your spouse's adult children, grandchildren, parents, grandparents, and siblings, and the spouses of each of those persons) that reside in your household.

³Filers may use the initials of unemancipated children instead of those children's names. If initials are used, the children's names should be provided on a (non-public) supplement form available from the Commission upon request.

I. \$10,000 PLUS DISCLOSURES

If you, your spouse, or other members of your immediate family have assets or liabilities with a market value of at least \$10,000 in the following categories, please provide the requested information as of 12/31/10 unless another time period is specified in the question.

▶ Do not list the value of those assets or liabilities.

▶ Do not list assets or liabilities held in a blind trust⁴ established by or for the benefit of you or an immediate family member.

1. NORTH CAROLINA REAL ESTATE OWNED: Do you, your spouse, or members of your immediate family have an ownership interest in North Carolina real estate with a market value of \$10,000 or more?

Yes No If "Yes", please list below.

Owner of Real Estate	% Ownership Interest	Location by County and City
See Schedule A		

2. NORTH CAROLINA REAL ESTATE RENTED: Do you, your spouse, or members of your immediate family rent North Carolina real estate with a market value of \$10,000 or more to or from the State?

Yes No If "Yes", please list below and identify the State agency involved in the property lease.

Identity of Lessor	Identity of Lessee (Renter)	Location by County and City

3. PERSONAL PROPERTY OWNED: Within the preceding two years, have you, your spouse, or members of your immediate family sold or bought personal property with a market value of \$10,000 or more to or from the State?

Yes No If "Yes", please list below and identify the State agency involved in the purchase or sale.

Identity of Purchaser	Identity of Seller	Nature and Location of Property

4. PERSONAL PROPERTY RENTED: Do you, your spouse, or members of your immediate family rent personal property with a market value of \$10,000 or more to or from the State?

Yes No If "Yes", please list below and identify the State agency involved in the property lease.

Identity of Lessor	Identity of Lessee (Renter)	Nature and Location of Property

⁴ A "blind trust" is a trust that meets all of the following criteria: (a) the owner of the trust's assets is unaware of the trust's holdings and sources of income, (b) the individual or entity managing the trust's assets ("the trustee") is not a member of the covered person's extended family and is not associated with or employed by the covered person or his or her immediate family, and (c) the trustee has sole discretion to manage the trust's assets. G.S. 138A-3(1).

SCHEDULE A**REAL ESTATE**

<u>Owner of Real Estate</u>	<u>Location by County and City</u>	<u>% Ownership Interest</u>
G. L. Lail and Lynn Lail	Catawba County Newton	100%
G. L. Lail and Lynn Lail	Catawba County Newton	100%
G. L. Lail and Lynn Lail	Catawba County Hickory	100%
G. L. Lail and Lynn Lail	Catawba County Newton	67%
G. L. Lail and Lynn Lail	Catawba County Hickory	67%
G. L. Lail and Lynn Lail	Catawba County Newton	67%
G. L. Lail and Lynn Lail	Catawba County Hickory	50%
G. L. Lail and Lynn Lail	Catawba County Conover	68%
G. L. Lail and Lynn Lail	Catawba County Conover	50%
G. L. Lail and Lynn Lail	Catawba County Conover	100%
G. L. Lail and Lynn Lail	Catawba County Conover	100%
G. L. Lail and Lynn Lail	Catawba County Conover	100%
G. L. Lail and Charles Lail	Catawba County Hickory	50%
G. L. Lail and Charles Lail	Catawba County Hickory	50%
G. L. Lail and Lynn Lail	Catawba County Conover	100%
G. L. Lail and Charles Mull	Catawba County Cookesville	50%

5(a). PUBLIC COMPANIES: Do you, your spouse, or members of your immediate family own interests (generally stock) in a publicly owned company valued at \$10,000 or more?

Yes No If "Yes", please list below.

Do not list ownership interests in a widely held investment fund (including mutual funds, regulated investment companies, or pension or deferred compensation plans) if (1) the fund is publicly traded or its assets are widely diversified and (2) neither you nor an immediate family member are able to control the assets held in the mutual fund, investment company, or pension or deferred compensation plan.

You may use three-letter ticker symbol to identify stocks.

Owner of Interest	Name of Company
G L Lail & Lynn M. Lail	Wachovia / Wells Fargo
G L Lail & Lynn M. Lail	BB&T

5(b). OPTIONS: Do you, your spouse, or members of your immediate family hold stock options in a publicly owned company valued at \$10,000 or more?

Yes No If "Yes", please list below.

Owner of Stock Option	Name of Company

6(a). **NON-PUBLIC COMPANIES:** Do you, your spouse, or members of your immediate family have financial interests valued at \$10,000 or more in a non-publicly owned company or business entity (including interests in sole proprietorships, partnerships, limited partnerships, joint ventures, limited liability companies, limited liability partnerships, and closely held corporations)?

Yes No If "Yes", please list below and complete 6(b) and 6(c).

Owner of Interest	Name of Business Entity
See Attached Schedule B	

6(b). For each of those non-publicly owned companies or business entities identified in question 6(a) (the "primary company"), please list the names of any other companies in which the primary company owns securities or equity interests valued at over \$10,000, if known.

Non-Publicly Owned Company (the Primary Company)	Other Companies in which the Primary Company Owns Security or Equity Interests
<input checked="" type="checkbox"/> None or Not Known	

6(c). If you know that any company or business entity listed in 6(a) or (b) above has any material business dealings or business contracts with the State, or is regulated by the State, provide a brief description of that business activity or relationship.

Identify Company or Business Entity	Nature of Business Relationship with the State
<input checked="" type="checkbox"/> None or Not Known	

7. **TRUSTS:** Are you, your spouse, or members of your immediate family the beneficiaries of a vested trust with a value of \$10,000 or more that is created, established, or controlled by you?

Yes No If "Yes", please list below.

Do not list blind trusts. A "blind trust" is a trust that meets all of the following criteria: (a) the owner of the trust's assets is unaware of the trust's holdings and sources of income, (b) the individual or entity managing the trust's assets ("the trustee") is not a member of the covered person's extended family and is not associated with or employed by the covered person or his or her immediate family, and (c) the trustee has sole discretion to manage the trust's assets. G.S. 136A-3(1).

Name and Address of Trustee	Description of the Trust	Your Relationship to the Trust

INTEREST IN NON-PUBLICLY OWNED COMPANIES OR BUSINESS ENTITIES (SCHEDULE B)

Owner of Interest	Name of Company or Business Entity	Specify owner, employee, etc.
G. L. Lail and Lynn Mull Lail	Hickory Furniture Mart	Owner
G. L. Lail and Lynn Mull Lail	Hickory Mart Shows	Owner
G. L. Lail and Lynn Mull Lail	Piedmont Designs	Owner
G. L. Lail and Lynn Mull Lail	Mull's Motel	Owner
G. L. Lail and Lynn Mull Lail	Holiday Inn Express Hickory	Owner
G. L. Lail	Holiday Inn Select Hickory LLC	Member
G. L. Lail	Hampton Inn Hickoy LLC	Member
G. L. Lail	Fairfield Inn Hickory LLC	Member
G. L. Lail	Marriott Courtyard Hickory LLC	Member
G. L. Lail	Holiday Inn Express Conover LLC	Member
G. L. Lail	Piedmont Center Associates LLC	Member
G. L. Lail	Crowne Plaza Greensboro LLC	Member
G. L. Lail	Staybridge Suites of Durham LLC	Member
G. L. Lail	Furniture Enterprises	Partner
G. L. Lail	City Properties	Partner
Lynn Lail	L & L Associates	Partner
G. L. Lail and Lynn Mull Lail	First Piedmont Company	Member
G. L. Lail and Lynn Mull Lail	Startown DH LLC	Member
G. L. Lail and Lynn Mull Lail	WA MA LLC	Member
G. L. Lail and Lynn Mull Lail	GLL & TEG LLC	Member
Lynn Lail	R L Associates of Catawba Valley LLC	Member
G. L. Lail and Lynn Mull Lail	Lail/Dora LLC	Member

8. **LIABILITIES:** Do you, your spouse, or members of your immediate family have a liability (debt) of \$10,000 or more, excluding indebtedness (mortgage) on your primary personal residence?

Yes No If "Yes", please list below. Examples include credit card debts, auto loans, and student loans.

Name of Debtor (You, Spouse, Immediate Family Member)	Type of Creditor (Commercial Bank, Credit Union, Individual, etc.)
Lynn Lail	Commercial Bank

II. OTHER DISCLOSURES

9. **NONPROFIT INTERESTS:** At any time during 2010, were you, your spouse or other members of your immediate family a director, officer, governing board member, employee, independent contractor, or registered lobbyist of a nonprofit corporation or organization operating in the State primarily for religious, charitable, scientific, literary, public health and safety, or educational purposes?

Yes No If "Yes", provide the following information.

- ▶ Do not list State boards or entities, or entities created by a political subdivision of the State.
- ▶ Do not list organizations of which you are a mere member or subscriber.
- ▶ If the listed nonprofit corporations or organizations do business with the State or receive State funds, please provide a brief description of the nature of that business, if known, or which with due diligence could reasonably be known.

Identify Person and His/Her Position	Name of Nonprofit Corporation or Organization	Nature of Business or Purpose of Organization	Describe State Business or State Funding
G Leroy Lail	American Furniture Hall of Fame	Educational	NONE
G Leroy Lail	Brian Long Foundation	Educational	NONE
G Leroy Lail	Beth Eden Lutheran	Religious	NONE

10. INCOME: List all sources of income (not amounts) of more than \$5,000 received by you, your spouse, or other members of your immediate family during 2010. Include salary, wages, state/local government retirement, professional fees, honoraria, interest, dividends, rental income, and business income. Do not include income received from the following sources:

- ▶ Capital Gains
- ▶ Military retirement
- ▶ Federal government retirement
- ▶ Social security income/SSDI

Recipient of Income	Name of Source	Business or Industry	Type of Income
<input type="checkbox"/> I had no reportable income over \$5,000 in 2010.			
Glery Lail & Lynn M. Lail	Hickory Furniture Mart	Rental Real Estate	Salary
"	First Piedmont Co	Hotel Management	Mgmt Fee + Business Income
"	Hickory Furniture Mart	Rental Real Estate	Business Income + Interest
"	Piedmont Center Associates	Hotels	Business Income
"	City Properties	Rental Real Estate	Business Income
"	Holiday Inn Express/Hickory	Hotel	Business Income
Lynn M. Lail	L+L Assoc. & RL Assoc	Rental Real Estate	Business Income

11. PRACTICING ATTORNEY: If you are a practicing attorney check each category of legal representation in which you or the law firm with which you are associated has earned legal fees of \$10,000 or more during 2010:

I am not a practicing attorney.

- | | | | |
|---|--|---|-----------------------------------|
| <input type="checkbox"/> Administrative | <input type="checkbox"/> Admiralty | <input type="checkbox"/> Corporate | <input type="checkbox"/> Criminal |
| <input type="checkbox"/> Decedent's Estates | <input type="checkbox"/> Environmental | <input type="checkbox"/> Insurance | <input type="checkbox"/> Labor |
| <input type="checkbox"/> Local Government | <input type="checkbox"/> Real Property | <input type="checkbox"/> Securities | <input type="checkbox"/> Tax |
| <input type="checkbox"/> Tort litigation (including negligence) | | <input type="checkbox"/> Utilities Regulation | |

12. LICENSED PROFESSIONAL: Are you a licensed professional (other than an attorney) or do you provide consulting services individually or as a member of a professional association?

Yes No If "Yes", provide the following information for those services for which you charged or were paid over \$10,000 during 2010.

Type of Business	Nature of Services Rendered

13. BUSINESS RELATIONSHIPS: As of December 31, 2010, were you or your employer, or your spouse or other members of your immediate family, or their employer, licensed or regulated by, or have a business relationship with, your State board or employing entity?

Yes No Legislator / Judicial Officer. If "Yes", provide the following information.

▶ You are not required to complete this question if you are filing because you are a legislator or a judicial officer ("judicial officer" is defined in footnote 1) or you are filing as an appointee to those offices. Please indicate if this is the case.

Identify Person	Identify Employer (if applicable)	Licensing, Business or Regulatory Relationship

14. INTEREST IN AGENCY OR BOARD ISSUES: As of December 31, 2010, were you, your spouse, or other members of your immediate family a director, officer, or governing board member of any society, organization, or advocacy group which has an interest in issues over which your agency or board may have jurisdiction?

Yes No Legislator / Judicial Officer. If "Yes", provide the following information.

▶ You are not required to complete this question if you are filing because you are a legislator or a judicial officer or you are filing as an appointee to those offices. Please indicate if this is the case.

▶ Do not list organizations of which you are only a member (not in a leadership role).

Identify Person	Identify Name of Society, Organization or Advocacy Group	Leadership Position (Director, Officer, Board Member)

15. FELONY CONVICTION: Have you ever been convicted of a felony for which you have not received either (i) a pardon of innocence or (ii) an order of expungement regarding that conviction?

Yes No If "Yes", please provide the following information.

Offense	Date of Conviction	County and State of Conviction

16. RECEIPT OF GIFTS OUTSIDE OF NORTH CAROLINA: During any calendar quarter in the preceding year (but only the time period after you were appointed, employed, or filed or were nominated as a candidate), did you receive any gifts while both you and the donor were outside North Carolina and under circumstances that would lead a reasonable person to conclude that the gifts were given for lobbying?

Yes No If "Yes", and the total value of those gifts from a person or a group of persons acting together exceeds \$200 per quarter, please provide the following information.

▶ Do not report gifts given by members of your extended family.

▶ Do not report gifts that have previously been reported by you to the Department of the Secretary of State on the "Expense Report for Exempted or Persons Not Covered."

Date Item Received	Name and Address of Donor(s)	Describe Items Received	Estimated Market Value

17. ACCEPTANCE OF SCHOLARSHIP: During the preceding year (but only the time period after you were appointed, employed, or filed or were nominated as a candidate), have you accepted a "scholarship" (a "grant-in-aid to attend a conference, meeting, or similar event") from a donor outside North Carolina and that was related to your public position?

Yes No I am a Legislator / Judicial officer. If "Yes", and the value of that scholarship from a person or group of persons acting together exceeds \$200, please provide the following information.

- ▶ Do not report gifts that have previously been reported by you to the Department of the Secretary of State on the "Expense Report for Exempted or Persons Not Covered."
- ▶ You are not required to complete this question if you are a judicial officer or you are filing as a judicial officer appointee. Please indicate if this is the case.
- ▶ Legislators are not required to report scholarships paid by a nonpartisan legislative organization of which the legislator or the General Assembly is a member or participant or an affiliate of that organization.

Date of Scholarship	Name and Address of Donor(s)	Describe Event	Estimated Market Value

18. LOBBYIST: Are you or a member of your immediate family currently registered as a lobbyist or lobbyist principal or were you registered as such during 2010?

Yes No If "Yes", please provide the following information.

Name of Lobbyist	Lobbyist's Principal	Date of Registration	Registration Expiration

19(a). BUSINESS ASSOCIATIONS: List the name of each business with which you are associated (sole proprietorships, partnerships, limited partnerships, joint ventures, limited liability companies, limited liability partnerships, and closely held corporations, publicly-held or privately-held) where you or a member of your immediate family is an employee, director, officer, partner, proprietor, or member or manager.

No Business Associations

Identify Person	Relationship to Filer	Company	Role of Person
See Schedule C			

19(b). COMPANY OR BUSINESS DEALINGS WITH STATE: If you know that any company or business entity listed in 19(a) above has any material business dealings or business contracts with the State, or is regulated by the State, provide a brief description of that business activity or relationship.

Identify Company or Business Entity	Nature of Business Relationship with the State
<input type="checkbox"/> Not applicable (No entities listed on #19a) <input checked="" type="checkbox"/> No relationship / Not known	

20(a). APPOINTMENT TO BOARDS COVERED BY STATE GOVERNMENT ETHICS ACT, CHAPTER 138A OF THE GENERAL STATUTES: Did a Council of State member appoint you to or recommend you for appointment to a board covered by the Ethics Act? The Council of State members are: Governor, Lt. Governor, Secretary of State, State Auditor, State Treasurer, Superintendent of Public Instruction, Attorney General, Commissioner of Agriculture, Commissioner of Labor, or Commissioner of Insurance.

Yes No If "Yes", proceed to question 20(b). If "No", proceed to question 21.

Please ensure that you have responded to all questions and that you have stated "None" in response to those questions in which you have nothing to disclose. In the event you fail to answer a question, you will be provided with a supplement to complete, sign and return. Your SEI is not deemed "filed" until complete answers are submitted for every question.

** North Carolina law establishes a fine of \$250 for failure to timely file a complete Statement of Economic Interest. In addition, it is a Class 1 misdemeanor to knowingly conceal or fail to disclose required information, and a Class H felony to provide false information on a Statement. Such actions can also subject you to disciplinary action in connection with your employment.**

AFFIRMATION

I swear or affirm that the information provided in this Statement of Economic Interest and any attachments hereto are true, complete, and accurate to the best of my knowledge and belief.

I also certify that I have not transferred, and will not transfer, any asset, interest, or property for the purpose of concealing it from disclosure while retaining an equitable interest.

I understand that my Statement of Economic Interest and any attachments or supplements thereto are public record.

I acknowledge that I have read and understand N.C.G.S. 138A-26 regarding concealing or failing to disclose material information and N.C.G.S. 138A-27 regarding providing false information:

§ 138A-26. Concealing or failing to disclose material information.

A filing person who knowingly conceals or knowingly fails to disclose information that is required to be disclosed on a statement of economic interest under this Article shall be guilty of a Class 1 misdemeanor and shall be subject to disciplinary action under G.S. 138A-45. (2006-201, s. 1.)

§ 138A-27. Penalty for false information.

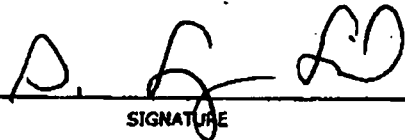
A filing person who provides false information on a statement of economic interest as required under this Article knowing that the information is false is guilty of a Class H felony and shall be subject to disciplinary action under G.S. 138A-45. (2006-201, s. 1.)

I Agree

FOR PAPER (NON-ELECTRONIC) FILERS:

Graham Lenoy Laic

PRINTED NAME



SIGNATURE

Jan 25, 2011

DATE

Submit SIGNED, ORIGINAL documents.

RECEIVED

March 10, 2011

MAR 15 2011

3:20 PM ms

MEMORANDUM

TO: Members of the 2011 House of Representatives

FROM: Representative Tim Moore, Chair, House Select Committee on UNC Board of Governors Nominations

RE: House Nomination Form/2011 UNC Board of Governors Election

All nominees must submit the attached completed nomination form (including the nominee's vitae) to the Office of the House Principal Clerk no later than 12 P.M. on Thursday, March 17, 2011. Nominations received after 12 P.M. on Thursday, March 17, 2011 will not be accepted.

Additional nomination forms are available in the Office of the House Principal Clerk. Nominations received by facsimile or email will not be accepted.

The Nominee's application and vitae are public documents.

Only the submission of both a completed nomination form and vitae to the Office of the House Principal Clerk and a completed original Statement of Economic Interest shall constitute official nomination of an individual.

Statement of Economic Interest

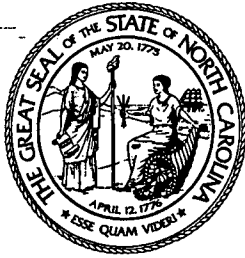
All nominees must also file a completed original Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, which must be received by the State Ethics Commission no later than 12 P.M., Thursday, March 17, 2011. Questions regarding Statements of Economic Interest must be directed to the North Carolina State Ethics Commission. Nominees must clearly indicate on their Statements of Economic Interest that they are a nominee for the UNC Board of Governors.

Contact information for the State Ethics Commission:

Mailing Address: 1324 Mail Service Center
Raleigh, NC 27699-1324
Physical Address: 424 N. Blount St.
Raleigh, NC 27601-1010
Phone Number: (919) 715-2071
Website: <http://www.ethicscommission.nc.gov/>

NOTE: The following individuals are not eligible to serve on the UNC Board of Governors: members of the General Assembly, officers or employees of the State, officers or employees of The University of North Carolina, and officers or employees of the constituent institutions of The University of North Carolina.

The spouses of the following individuals are not eligible to serve on the UNC Board of Governors: members of the General Assembly, officers or employees of The University of North Carolina, and officers or employees of the constituent institutions of The University of North Carolina.



**NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM**

(1) Representative RAY RAPP & PHIL HAIRE (Sponsor's Name)

Proposes Ronald C. Leatherwood (Nominee's name)
as a nominee for election to the Board of Governors of The University of North Carolina
for a four-year term to commence July 1, 2011.

(2) Nominee's Name: Ronald C. Leatherwood

Nominee's Occupation: General Contractor

Nominee's **Physical Home** Address: 171 Scenic Circle, Waynesville, NC 28786

Home Phone: 828-452-5470

Work Phone: 828-452-4500

Fax # 828-452-3411

Email address: rleatherwood@charter.net

(3) Has the nominee ever been arrested, given a written warning, taken into custody, or accused, formally or informally, of the violation of a law for any offense? yes

If yes, list every incident including the date, place, charges, and final disposition. Attach additional sheets if necessary.

Speeding 70 in 55 mph zone, Bristol Va, October, 1988, fine paid.

*N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
* * DEADLINE - 12:00 p.m., Thursday, March 17, 2011. * **

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(4) Is the nominee current on all federal, State, and local taxes? Yes.

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds:

UNC Board of Governors, member

Chairman, Haywood County Erosion and Sedimentation Control Board

Member, Haywood County Economic Development Commission

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds:

N/A

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No.

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No.

*N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
* * DEADLINE – 12:00 p.m., Thursday, March 17, 2011. * **

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(9) If elected, will the nominee serve?

March 2, 2011

Rep. Ray Rapp
NC House of Representatives
16 West Jones Street, 1013 LB
Raleigh, NC 27601-1096

Dear Rep. Rapp:

As I complete my first four-year term on the University of North Carolina Board of Governors having been elected by the House of Representatives in 2007, I would like to re-introduce myself and ask for your support for another term to serve on the UNC Board of Governors. Our university system has a great legacy of excellence and I am asking for your assistance so that I might have the opportunity to continue working to ensure that legacy.

Both of my parents were educators in North Carolina who taught me the importance of a good, solid and challenging education. With today's rapid transition toward a global economy, the importance of quality education and the ability to understand changing trends has never been more critical.

I have enclosed my Professional Vitae for your review. I believe my experience in business, economic development, construction, athletics and community affairs have helped me be a productive and effective board member.

Thank you for your support in the past. Your time and consideration are deeply appreciated. I am looking forward to working with you in order to help North Carolina be all it can be.

Sincerely,

Ronald C. Leatherwood

Ronald C. Leatherwood

HOME ADDRESS: 171 SCENIC CIRCLE • WAYNESVILLE, NC 28786

MAILING ADDRESS: PO BOX 826, WAYNESVILLE, NC 28786

WORK PHONE: 828-452-4500

CELL PHONE: 828-421-4516

HOME PHONE: 828-452-5470

rleatherwood@charter.net

PROFESSIONAL VITAE

ACADEMIC PREPARATION/EDUCATION

B.S. Industrial Engineering, North Carolina State University
1965-1970, Raleigh, North Carolina

PROFESSIONAL EXPERIENCE

Clark & Leatherwood, Inc. - General Contractors, Executive Vice President, Co-Founder and Owner, Waynesville, North Carolina
1988 - Present

- Clark and Leatherwood, Inc. - Construction company was started in 1988 with 5 employees and has grown to over 50 full-time employees. The company is a full service construction company including construction management, design/build, and traditional construction. Projects include residential, commercial and industrial. Geographic area includes: Northern Georgia, Western North Carolina, and Eastern Tennessee.

Smokey Mountain Lumber and Fixture Company, President and Co-Founder, Waynesville, North Carolina
2002 - Present

- Smokey Mountain Lumber and Fixture Company is a vintage lumber reclamation venture developed to support the custom home and building industry in Western North Carolina.

Coleman Mountain Tree Farm - Co-Owner, Waynesville, North Carolina
1974 - Present

- Coleman Mountain Tree Farm is a family owned Christmas tree and mountain farm in Haywood County.

Southeastern Conference - Football Official - Independent Contractor - Birmingham, Alabama
1992 - Present

- Independent Contractor for the Southeastern Conference Football. Position - Headlinesman. Crew Chief 2003 - 2005, Executive Committee and Secretary

of the SEC Football Officials Association. Currently Assistant Instant Replay Official and Observer.

Southern Conference – Football Official – Independent Contractor – Greenville, South Carolina
1978 – 1992

Hotwheels & Waterbugs – Owner, Maggie Valley, North Carolina
1983 – 1994

- A summer tourism entertainment business located in Maggie Valley, North Carolina.

Mountain Builders, Inc. General Contractors – Vice President, Waynesville, North Carolina
1986 – 1988

FBN Construction & FBN Cabinet Company – Owner, Waynesville, North Carolina
1972 – 1986

North Carolina State University – Recruiter for the School of Engineering, Raleigh, North Carolina
1970 - 1972

TEACHING EXPERIENCE

Haywood Community College – Part-time Instructor, Clyde, North Carolina
Taught Contractor's License Class
1976-1978

Workshops - Over the years have led workshops on football, basketball, and baseball for youth, high school, and college officiating

LEADERSHIP EXPERIENCE

- AdvantageWest – Board of Directors – Vice Chairman 1993-2005, Treasurer – 2005-2007.
North Carolina Citizens For Business & Industry (North Carolina Chamber of Commerce) Board Member – 2004 – 2009
- Haywood County Board of Commissioners – Vice Chairman 1990-1994
- North Carolina Association of County Commissioners – Member – Served on Legislative Goals Committee, Unfunded Mandates Committee and Watershed Rules to Environmental Mgt Commission – 1990-1994
- North Carolina Economic Development Board (Department of Commerce) – Executive Committee and Incentives Task Force Chairman, Statewide Water and Sewer Infrastructure Task Force Chairman – 1992-1997
- North Carolina Department of Transportation – 14th Division Board Member – 1997-2001

- North Carolina Higher Education Bond Oversight Committee – Member – 2001 - 2003
- Western North Carolina Regional Assembly – Member – Past Service
- Western North Carolina Development Foundation – Board Member – Past Service
- Western North Carolina Tomorrow – Board Member – Vice Chairman – Past Service
- Year of the Mountains Commission – Board Member – Past Service
- Western North Carolina Community Foundation – Haywood County Fund – Board Member - Current
- Haywood 2000 – Board Member – Past Service
- Haywood County Economic Development Commission – Current
- Pigeon River Fund Board 1994-2002 – Chairman 2001-2002, Treasurer 2004 – 2008
- Haywood Regional Medical Center Board of Trustees – 1984 – 1990, Chairman 1989-1990
- Haywood Advancement Foundation – 1996 – 2004, President 2004, President 2007 - Current Service
- Haywood County Erosion and Sedimentation Control Committee – Currently Chairman
- Haywood Christian Ministries – Board Member – Past Service
- United Way of Haywood County – Board Member, Chairman 1986
- First United Methodist Church – Board of Trustees, Administration Board, Currently usher, Endowment Committee
- Kids Advocacy Resource Effort – Board of Directors – Past Service
- Waynesville Rotary Club Board of Directors – Past Service
- Folkmoot International – Past Board Member
- Leadership Haywood -1988
- Town of Waynesville Land Design Standards Committee

COMMUNITY VOLUNTEER ACTIVITIES

- First United Methodist Church
- REACH of Haywood County – Domestic Violence Agency
- Habitat for Humanity
- United Way Day of Caring
- Waynesville Rotary Club
- Waynesville Recreation Department – Built Community Playground
- North Carolina High School Athletic Association

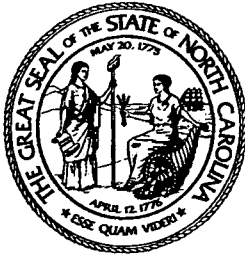
AWARDS

- Order of Long Leaf Pine – 2001
- Paul Harris Fellow – Waynesville Rotary Club
- Outstanding Football Official – Southern Conference Football – 1988
- Officiated 2011 Rose Bowl, Pasadena CA

RECEIVED

MAR 17 2011

9:32 AM MS.



NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM

(1) Representative Charles Graham (Sponsor's Name)
proposes Cheryl Locklear (Nominee's name)
as a nominee for election to the Board of Governors of The University of North Carolina
for a four-year term to commence July 1, 2011.

(2) Nominee's Name: Cheryl Marvileane Ransom Locklear
Nominee's Occupation: Dentistry

Nominee's Physical Home Address:

7823 Hwy 711
Pembroke NC 28372

Home Phone: 910-521-7475

Work Phone: 910-843-4262

Fax # 910-843-1238

Email address: drcheryllocklear1@earthlink.net

(3) Has the nominee ever been arrested, given a written warning, taken into custody,
or accused, formally or informally, of the violation of a law for any offense?

NO

If yes, list every incident including the date, place, charges, and final disposition. Attach
additional sheets if necessary.

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

**All nominees shall also file a Statement of Economic Interest with the North Carolina State
Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.**

(4) Is the nominee current on all federal, State, and local taxes?

Yes

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds:

N/A

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds:

N/A

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

NO

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

NO

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE – 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(9) If elected, will the nominee serve?

YES

(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina?

YES

Chalm Zahar 3/14/11

Signature of Sponsor/Date

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.

Dr. Cheryl Lecklear / 3-16-2011

Signature of Nominee/Date

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

Cheryl Ransom Locklear

Hwy 711 7823 · PO Box 1240 · Pembroke, NC 28372
Phone (910) 521-7475 · Fax (910)843-1238

Experienced and established dentist with a Masters degree in Public Health. UNC Chapel Hill graduate currently practicing in Red Springs, North Carolina, providing general and cosmetic dentistry in a rural community.

Work Experience

2010-Present	Lumbee Nation, Inc., Founder, CEO Established December 17, 2010	Pembroke, NC
1985-Present	Ransom-Locklear Dental Services <i>General Dentist</i> ▪ Owner of private dental practice	Red Springs, NC
1980-1985	Robeson County Health Department <i>General Dentist</i> ▪ Employed by the state of North Carolina as a Public Health Dentist for Robeson and Bladen Counties	Lumberton, NC

Education

1984	UNC – Chapel Hill <i>Masters in Public Health</i> ▪ Received Masters Degree in Dental Public Health Administration	Chapel Hill, NC
1975-1979	UNC- Chapel Hill <i>Doctor of Dental Surgery</i>	Chapel Hill, NC
1971-1975	Pembroke State College <i>Bachelor of Science in Mathematics</i> ▪ Graduated Summa Cum Laude	Pembroke, NC

Professional Memberships

2007- Present	UNC – Chapel Hill <i>Board of Governors</i>	Chapel Hill, NC
---------------	--	-----------------

2002-2006	UNC – Chapel Hill <i>Board of Visitors</i> ▪ Member through 2006	Chapel Hill, NC
2004	Alpha PI Omega Sorority, Inc. <i>Beta PJ Chapter</i> ▪ Pledged in the spring of 2004	Chapel Hill, NC
2003-2004	American Dental Association <i>Robeson County "Give Kids A Smile Campaign" Committee</i> ▪ Committee Chair for 2004 Southeastern Dental Society	Lumberton, NC
1995-2003	UNC – Pembroke <i>Board of Trustees</i> ▪ Served as board chair from 2001 to 2003	Pembroke, NC
1993–Present	Branch Banking & Trust <i>Advisory Board Member</i>	Red Springs, NC
1988-1993	John Motley Morehead Scholarship <i>Local Committee Member</i> ▪ Served as chair from 1992-1993	Lumberton, NC

*** Member of the American Dental Association, North Carolina Dental Society, and Southeastern Dental Society ***

Accreditations

2007	2007 Dental Implant Continuum sponsored by The Triangle Dental Institute 216 hours of CE in Implantology	Durham, NC
2000	University of Florida <i>Continuing Dental Education in Cosmetic Dentistry</i> ▪ Received post-graduate training in cosmetic dentistry	Gainesville, FL
1998	University of Missouri-Kansas City <i>Continuing Dental Education in Cosmetic Dentistry</i> ▪ Received post-graduate training in cosmetic dentistry	Kansas City, MO
1998-Present	Real Estate Broker <i>Real Estate Broker</i> ▪ Received Real Estate Brokerage License in 1999	Pembroke, NC

Interests and Activities

- I am now a member of Berea Baptist Church.

Awards Received

- June 1967
 - Appointed by David Britt as the first Native American to serve as Page in NC House of Representatives
- September 2, 1967
 - Crowned the first "Miss Lumbee". Name later changed to "Lumbee Ambassadors"
- 1975
 - First Native American student to be accepted at the UNC-School of Dentistry
- 1981
 - Named 1 of 5 "Outstanding Women in North Carolina" by the North Carolina Jaycees
- 1993
 - Named "Outstanding Alumna" of UNC-Pembroke
- 1995
 - Named one of the 25 "Pathmakers: North Carolina Native American Women of Distinction". Received Eagle Feather when named "One of 25 Pathmakers" NC Native American Women of Distinction
- 2008
 - Honored by American Indian Mothers. Received Medicine Shield when Honored by American Indian Mothers in 2008 with the "Medicine Wheel Award"

Professional Achievements

- Developed and implemented pilot program to provide dental sealants to school age children in Elizabethtown Primary School, Pembroke Elementary School and East Robeson Elementary School for the prevention of decay in permanent molars. The program later became a state wide public health preventive program.

Family

- Dr Cheryl R. Locklear is the daughter of Flora C. Ransom and the late Marvin Ransom.
- Daughter Dr Nanci Paige Locklear Harp, a Morehead scholar, is a General Dentist, a graduate of UNC-School of Dentistry (Dr Cheryl Locklear and her daughter are the first mother/daughter graduates of the UNC-School of Dentistry). Nanci is married to Chris Harp, of Durham, NC. Chris is a financial planner and the CEO of CH Capital Management. They reside in Pinehurst, NC, with their daughter Hollister Harp. She practices dentistry at Ransom-Locklear Dental Services in Red Springs, NC with her mother. She also is a partner at Johnston Family Dentistry in Smithfield, NC.
- Daughter Dr Sarah Ransom Brewington, is a graduate of UNC-Pembroke (2002) and UNC-School of Medicine (2006). Sarah is married to Ben Brewington, of Pembroke, NC. Ben is currently employed as a NC State Trooper. They reside in Pinehurst, NC. She is employed by Sandhills Pediatrics in Southern Pines, NC.
- Son Christopher Marvin Locklear, is a graduate of UNC-Chapel Hill (2005) with a double major in Communications and Drama. He currently lives in Los Angeles, CA (West Hollywood) and is employed with Hilton & Hyland and CB 2.



RECEIVED

MAR 17 2011

11:35am

TAS

North Carolina General Assembly
House of Representatives

REPRESENTATIVE STEPHEN LAROQUE
10TH DISTRICT

TELEPHONE: (919) 715-3017
(919) 754-3312 FAX

DISTRICT ADDRESS: POST OFFICE BOX 1034
KINSTON, NC 28503

EMAIL: stephen.laroque@ncleg.net

COMMITTEES:

CHAIRMAN, RULES, CALENDAR, AND
OPERATIONS OF THE HOUSE
APPROPRIATIONS
VICE CHAIRMAN, APPROPRIATIONS
SUBCOMMITTEE ON NATURAL AND ECONOMIC
RESOURCES
COMMERCE AND JOB DEVELOPMENT
COMMERCE AND JOB DEVELOPMENT ON
BUSINESS AND LABOR
ENVIRONMENT
PUBLIC UTILITIES

March 15, 2011

Chairman Tim Moore
North Carolina Board of Governors Nominating Committee
16 W. Jones Street, Room 1326
Raleigh, NC 27601-1096

Dear Chairman Moore,

I would like to nominate Ann Maxwell as a board member of the North Carolina Board of Governors. She is well qualified to be a member of the board and would be a great asset.

Sincerely,

Representative Stephen LaRoque
10th District
(919) 715-3017



(919-778-5521 Home)

Ann Maxwell

616 Lake Shore Drive

Goldsboro, North Carolina 27534

(cell) 919-705-3219

3-17-2011

To: 919-754-3312 (fax)

Office of Steve LaRoque

ATTN: Teresa

From: Ann Maxwell

FAX # 919-778-5515

Please let me know when
you receive this.

4 pages to follow

Thank you - Ann Maxwell

March 10, 2011

MEMORANDUM

TO: Members of the 2011 House of Representatives

FROM: Representative Tim Moore, Chair, House Select Committee on UNC Board of Governors Nominations

RE: House Nomination Form/2011 UNC Board of Governors Election

All nominees must submit the attached completed nomination form (including the nominee's vitae) to the Office of the House Principal Clerk no later than 12 P.M. on Thursday, March 17, 2011. Nominations received after 12 P.M. on Thursday, March 17, 2011 will not be accepted.

Additional nomination forms are available in the Office of the House Principal Clerk. Nominations received by facsimile or email will not be accepted.

The Nominee's application and vitae are public documents.

Only the submission of both a completed nomination form and vitae to the Office of the House Principal Clerk and a completed original Statement of Economic Interest shall constitute official nomination of an individual.

Statement of Economic Interest

All nominees must also file a completed original Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, which must be received by the State Ethics Commission no later than 12 P.M., Thursday, March 17, 2011. Questions regarding Statements of Economic Interest must be directed to the North Carolina State Ethics Commission. Nominees must clearly indicate on their Statements of Economic Interest that they are a nominee for the UNC Board of Governors.

Contact information for the State Ethics Commission:

Mailing Address: 1324 Mail Service Center
Raleigh, NC 27699-1324

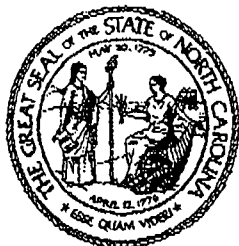
Physical Address: 424 N. Blount St.
Raleigh, NC 27601-1010

Phone Number: (919) 715-2071

Website: <http://www.ethicscommission.nc.gov/>

NOTE: The following individuals are not eligible to serve on the UNC Board of Governors: members of the General Assembly, officers or employees of the State, officers or employees of The University of North Carolina, and officers or employees of the constituent institutions of The University of North Carolina.

The spouses of the following individuals are not eligible to serve on the UNC Board of Governors: members of the General Assembly, officers or employees of The University of North Carolina, and officers or employees of the constituent institutions of The University of North Carolina.



NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM

RECEIVED

MAR 17 2011

11:35 am

YMS

(1) Representative Stephen LaRogue (Sponsor's Name)

proposes MARY ANN MAXWELL (Nominee's name)

as a nominee for election to the Board of Governors of The University of North Carolina for a four-year term to commence July 1, 2011.

(2) Nominee's Name: Mary Ann Maxwell

Nominee's Occupation: self employed business

Nominee's Physical Home Address:
616 LAKE SHORE DRIVE
Goldsboro, N.C. 27534

Home Phone: 919-778-5521

Work Phone: (cell) 919-705-3219

Fax # 919-778-5515

Email address: amax2@suddenlink.net

(3) Has the nominee ever been arrested, given a written warning, taken into custody, or accused, formally or informally, of the violation of a law for any offense?

No

If yes, list every incident including the date, place, charges, and final disposition. Attach additional sheets if necessary.

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(4) Is the nominee current on all federal, State, and local taxes?

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds:

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds:

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No.

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No.

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
*** * DEADLINE - 12:00 p.m., Thursday, March 17, 2011. * ***

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(9) If elected, will the nominee serve?

(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina? *yes*

Steph L. [unclear] 3-17-11

Signature of Sponsor/Date

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.

Mary Ann M. [unclear] 3-16-2011

Signature of Nominee/Date

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

ANN MAXWELL

616 Lake Shore Drive
Goldsboro, NC 27534

Family business owner of Goldsboro Milling Company and
affiliate companies (Butterball, LLC and Goldsboro Hog Farms)

Past employments include:

Maxwell Interiors (1985 to present) Owner

Salomon Brothers (1979 to 1985) Institutional Bond Sales

US House of Representatives (1975 to 1979)

Legislative Aide to Congressman John Flynt and
Congressman Wyche Fowler, Jr. (Georgia)

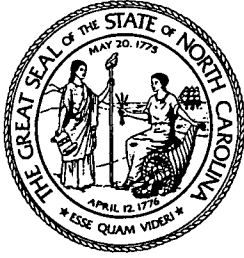
BA in Psychology, UNC-CH 1975

Contact information: amax2@suddenlink.net

(home) 919-778-5521

(cell) 919-705-3219

(fax) 919-778-5515



NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM

RECEIVED

MAR 15 2011

4:10 pm
Kimmie Weeks

(1) Representative Jerry Dockham proposes Ed McMahan (Nominee's name) as a nominee for election to the Board of Governors of The University of North Carolina for a four-year term to commence July 1, 2011.

(2) Nominee's Name: Ed McMahan

Nominee's Occupation: Businessman

Nominee's **Physical Home** Address: 1959 Queens Road West

Charlotte, NC 28207

Home Phone:(704) 372- 2382

Work Phone: (704) 561- 3402

Fax # (704) 561- 8700

Email address: emcmahan@littleonline.com

(3) Has the nominee ever been arrested, given a written warning, taken into custody, or accused, formally or informally, of the violation of a law for any offense?

NO

If yes, list every incident including the date, place, charges, and final disposition. Attach additional sheets if necessary.

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE – 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(4) Is the nominee current on all federal, State, and local taxes?

YES

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds:

NONE

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds:

NONE

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

NO

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

NO

*N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
* * DEADLINE – 12:00 p.m., Thursday, March 17, 2011. * **

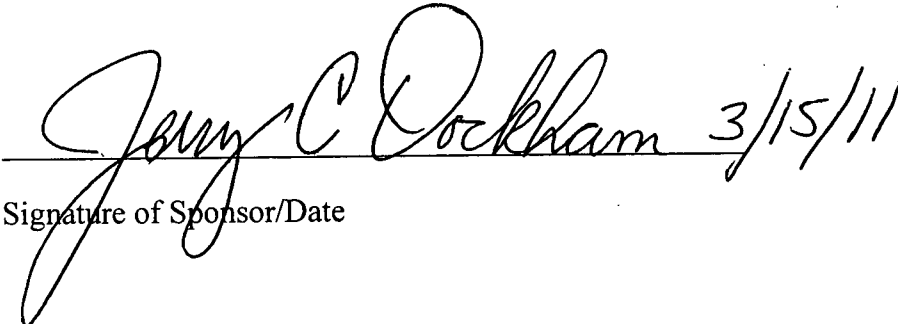
All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(9) If elected, will the nominee serve?

YES

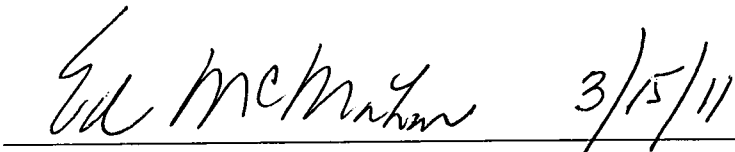
(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina?

N/A


Signature of Sponsor/Date

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.


Signature of Nominee/Date

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

W. Edwin McMahan

Personal:

Birthdate: August 13, 1944 in Asheville, North Carolina
Children: Debbie (41), Eddie (36), Julie (32)
Residence: 1959 Queens Rd West, Charlotte, NC 28207

Education:

Lee H. Edwards High School, Asheville, N.C.
University of North Carolina, Bachelor of Science (1966), Industrial Relations

Employment:

1967-1971 **Mortgage Loan District Supervisor**, Jefferson Standard Life Insurance Company
1971-1974 **Senior Vice President**, Commercial and Residential Real Estate Division,
Carolina National Mortgage Investment Company (A subsidiary of C&S Bank of
South Carolina)
1974-Present **Vice Chairman**, LITTLE, Diversified Architectural Consulting
President & CEO, Little-McMahan Group

Current Appointments:

Chairman - Charlotte Chamber Economic Development Committee
Member - Charlotte Chamber Board of Directors
Member - Charlotte Airport Advisory Committee
Member - Charlotte Regional Roads Committee
Member - Charlotte Children's Theatre Advisory Board
Member - Salvation Army Advisory Board

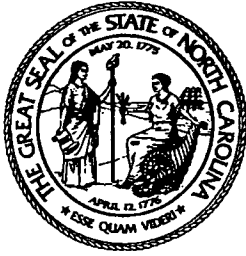
Former Appointments:

NC National Committeeman - Republican National Committee
Chairman - Legislative Congressional Redistricting Committee
Chairman - North Carolina Non-Profit Study Commission
Chairman - North Carolina Lien Laws Review Commission
Chairman - City of Charlotte Zoning Board of Adjustments
Chairman - Crisis Assistance Ministry Board
Co-Chairman - Appropriations Committee on Transportation
Vice Chairman - Salvation Army Board
Vice Chairman - St. Mark's Lutheran Church Council
Member - NC House of Representatives
Member - University Capital Needs Study Commission
Member - North Carolina Study Commissions in Electric Deregulation

North Carolina House Appointee - Southern Growth Policy Board
Member - North Carolina House and Senate Education Oversight Committee
Member - North Carolina House and Senate Transportation Oversight Committee
President - Myers Park Country Club
Member - Alumni Board UNC-Chapel Hill
Member - Board of Visitors UNC Chapel Hill
Member - Board of Directors of the North Carolina Center for Business and Industry
Member - Board of Directors of the Blumenthal Performing Arts Center
Member - Board of Directors of the North Carolina Public Schools Partnership for Excellence
Member - Board of Directors – NC Center for Public Policy Research
Member - Board of Directors- Charlotte Chamber of Commerce
Member- Charlotte Tree Commission
Member - 2015 City of Charlotte Transportation Committee
Member - Carolina's Partnership Coalition Committee
Member - Board of Directors of the Charlotte Repertory Theatre
Member - City of Charlotte Storm Water Task Force
Member - Board of Directors – Florence Crittendon Services

Honors and Awards:

1972 - North Carolina Mortgage Bankers Association "Man of the Year"
1997 - N.C. Chapter of the American Society of Landscape Architects Legislator of Year and
Citizenship Awards
1998 - North Carolina Public Transportation Legislator of Year Award
1998 - North Carolina Chapter of American Planning Association Legislator of Year
Award
1998 - Association of General Contractors Pinnacle Award
2000 - Mint Museum of Art Spirit Award
2006 - NC Blumenthal Performing Arts Center – Center Stage Award



RECEIVED

MAR 16 2011

11:52am ms

**NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM**

(1) Representative Nelson Dollar (Sponsor's Name)

proposes Hari H Nath (Nominee's name) as a nominee for election to the Board of Governors of The University of North Carolina for a four-year term to commence July 1, 2011.

(2) Nominee's Name: **Hari H Nath**

Nominee's Occupation: **Management Consulting, Self Employed**

Nominee's Physical Home Address:

102 Loch Stone Ln, Cary NC 27518

Home Phone: **919 803 0478**

Work Phone: **919 803 0478**

Fax # **919 803 0478**

Email address: **hnath45@yahoo.com**

(3) Has the nominee ever been arrested, given a written warning, taken into custody, or accused, formally or informally, of the violation of a law for any offense?

NO. A few motor vehicle speeding tickets several years ago.

If yes, list every incident including the date, place, charges, and final disposition. Attach additional sheets if necessary.

Paid fine for tickets

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
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(4) Is the nominee current on all federal, State, and local taxes?

Yes

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds:

Town of Cary, Member, Planning and Zoning Board (2007 to date)

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds :

NONE

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

NO

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
*** DEADLINE – 12:00 p.m., Thursday, March 17, 2011. ***

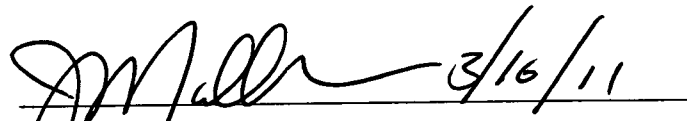
All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(9) If elected, will the nominee serve?

Yes

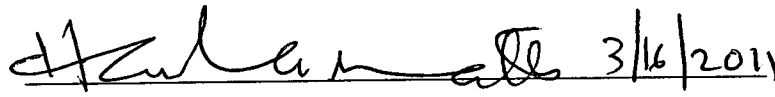
(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina?

Yes


Signature of Sponsor/Date

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.


Signature of Nominee/Date

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
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All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

Hari H Nath, CDP

Education

Mr. Nath received his Master of Science degree in Industrial Engineering (Operations Research) from the University of Missouri, Columbia in 1971. Selected as a member of Alpha Pi Mu, an Honor Society for the Industrial Engineering students.

In 1974-1975, Mr. Nath completed partial course work for his MBA (Finance) degree at the Virginia Commonwealth University (VCU). In 1966, Mr. Nath received a Bachelor of Engineering (Mining) degree from University of Jodhpur, India.

Professional Experience: (*Attachment 1*)

1987-2001: Founder and CEO of an IT management consulting firm **Technology Planning and Management Corp (TPMC)** with its Headquarter in RTP and offices in Washington DC, Boston, MA and Charleston, SC. Sold the firm of 250 IT professionals to Soza Inc. in 2001 (Now part of Perot Federal Systems.)

1982 to 1987: Manager, IT Planning Department, Systems Development Corp (SDC) and subsequently UNISYS corporation.

1978-1982: Manager, IT Management Consulting, Arthur Young and Company (Now Ernst and Young)

1971 to 1978, Commonwealth of Virginia, Department of Management Analysis and Systems Development. Positions held include, Software Engineer, Systems Engineer and Director of Planning and Procurement.

Community Service (*Attachment 2*)

Town of Cary (2003 -current)

The Caring Place (2002 - current)

The Cary Central Rotary Club (2002-2008)

Ekal Viyalaya Foundation (2003 to current)

The Indus Entrepreneur of NC (TIE NC, 1998-Present))

Political Activism

Member Executive Committee, Wake GOP, 2010 to present.

Treasurer and Board Member, Western Wake Republican Club, 2007-2009.

Board of Advisors, North Carolina Indian American Political Action Committee 2008-present: (Indian American Physicians and Businesses created NCINPAC.)

Advisor, Indian American Forum for Political Education (1995 -2005)

For past 12 years I have organized multiple fundraisers for the Republican Party and candidates for state wide and local offices.

Philanthropy

Executive Director, Ganga Bai and Pyare Nath Narhari Foundation (2001 - present).

Established this private grant making Foundation in memory of my parents. Foundation grants funds in support of basic children education, vocational education, health research, and transitional housing for homeless in USA and India.

PROFESSIONAL EXPERIENCE (Attachment 1)

Mr. Nath was founder and CEO of Technology Planning and Management Corp (TPMC), an IT consulting firm that was acquired by SOZA AND COMPANY in March 2001. His 32 years of experience within the IT industry includes 22 years as a manager of information technology services and 16 years in support of complex IT projects for large enterprises in public and private sector. Mr. Nath has over 19 years of experience in managing IT services programs in Federal, State, Local Government, Academic Institutions and Commercial sector.

As CEO of TPMC Mr. Nath was recognized as the leader of a successful IT consulting firm. TPMC was founded by Mr. Nath with a single employee in 1987 and rapidly grew to employ over 250 IT professionals with offices in Research Triangle Park, NC, Fairfax, VA, Boston, MA and Charleston SC. TPMC, now a part of Perot Federal Systems Group, provided IT services to a large number of clients including U. S. Environmental Protection Agency (EPA), National Oceanic and Atmospheric Administration (NOAA), National Institute of Health (NIH), US Bureau of Census, US Department of Treasury, FBI, US Department of Education, State of North Carolina, Commonwealth of Virginia, numerous local governments in Massachusetts and the Duke University.

Its clients and peers recognized TPMC as a firm that provides high quality of consulting services, effective program management and customer satisfaction. Mr. Nath's accomplishments were recognized in 1994 and 1996 through 2001 when TPMC was selected as a one of the Research Triangle areas fastest growing business. TPMC received Triangle's FAST 50, Future 30, Partner in Quality Contracting and similar awards for several years during 1987-2001

In 1994, US Small Business Administration selected Mr. Nath as the Small Business Person of the Year for the South East Region.

In 1987 and 1988, Mr. Nath worked as a consultant to the Duke University where he developed a strategic IT Plan for the Auxiliary Services. In 1991 he developed a strategic IT plan for the Duke University's Administrative and Academic computing organizations.

Prior to founding TPMC Mr. Nath was a Manager of IT Planning Services Department at the UNISYS Corp. in support of EPA's IT Operations contract (1982-1987).

He also served as Manager of IT consulting services at Ernst and Young (1978-1982) and Director of IT Planning and Procurement at the Commonwealth of Virginia (1971-1978).

As a Director of IT Planning and Procurement at the Department of Management Analysis and Systems Development, Commonwealth of Virginia. Mr. Nath coordinated IT plans and procurement strategies for VCU, University of Virginia, Virginia Tech, College of William and Mary and numerous state agencies. In 1977, Mr. Nath led a team of analysts to improve Hospital Information System at the Medical College of Virginia (MCV). In 1972, Mr. Nath conducted a study for the Speaker of the Va. State Legislature to minimize the conflicts in the scheduling of various committees.

Community Service (Attachment 2)

After the acquisition of TPMC by a large business in 2001, I have committed myself to serving the Cary community and children's basic education and vocational education organizations in India and USA. Some of my community support activities include:

Town of Cary

Member, Planning and Zoning (P & Z) Board since February 1, 2007. The P & Z Board reviews and approves Land Development Ordinances (LDO) and reviews requests for rezoning and modifying town's comprehensive plans.

Member, Cary Economic Development Commission (February 1, 2006 to January 31, 2007). During my tenure, our team presented an Economic Development Plan to the Cary Town Council. As a result, the Town funded two ED positions with the Cary Chamber of Commerce and an ED Director has been hired.

Member, Cary Zoning Board of Adjustment (2004-2006)

The Caring Place

Since 2003, I have been a member of the Board of The Caring Place Inc, This Cary based nonprofit organization provides transitional housing to low income homeless working families. Through our townhomes in downtown Cary we provide free housing to 6 families at a time for a four-month period, while providing education in budget management and life improvement skills.

As a Board Member I raised funds from local businesses and foundations. Currently working with Kirk of Kildaire Presbyterian Church to establish an Aftercare program for TCP graduates.

In January 2011, appointed as Chairman of the TCP Board of Advisors.

The Cary Central Rotary Club

Member of Cary Central Rotary Club (2003- 2008). Our Club supported various local non-profit organizations and community activities including STOP Hunger Now, Habitat for Humanity, Life Experiences and Boys and Girls Home. As Director of International Service I led an international project to establish a Cardiac Care Unit in an under funded hospital in small town in India. Raised \$ 17,000 from local Rotary Clubs, District and Rotary International

Ekal Vivalaya Foundation

Ekal Vidyalaya Foundation USA collects funds to operate over 33,000 elementary schools in tribal and remote villages of India
President for North Carolina Chapter 2005-2008: Raised over \$ 40,000 per year from the Indian American Community through appeals and cultural events.

Regional President (NC and SC) 2008-2009: Raised over \$ 100,000 in 2009

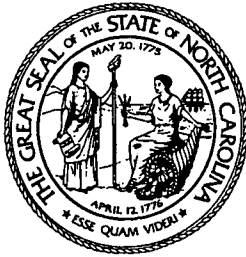
The Indus Entrepreneur of NC (TIE NC)

Founding Member (1999), The Indus Entrepreneur (TIE) chapter for North and South Carolina, TIE-CAROLINAS. A silicon valley based non-profit international organization that promotes entrepreneurship and mentors young entrepreneurs.

RECEIVED

MAR 16 2011

12:12 PM ms



NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM

(1) Representative Justin P. Burr (Sponsor's Name)

proposes David Murphy Powers (Nominee's name)
as a nominee for election to the Board of Governors of The University of North Carolina
for a four-year term to commence July 1, 2011.

(2) Nominee's Name: David Murphy Powers

Nominee's Occupation: Vice-President, RAI Services Co

Nominee's **Physical Home** Address: 130 Copperfield Place Court
Winston-Salem, NC 27106

Home Phone: 336-922-5411

Work Phone: 336-741-2754

Fax # 336-741-4401

Email address: david.m.powers83@gmail.com

(3) Has the nominee ever been arrested, given a written warning, taken into custody,
or accused, formally or informally, of the violation of a law for any offense? No

If yes, list every incident including the date, place, charges, and final disposition. Attach
additional sheets if necessary.

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

**All nominees shall also file a Statement of Economic Interest with the North Carolina State
Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.**

(4) Is the nominee current on all federal, State, and local taxes?

Yes

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds:

None

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds:

None

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE – 12:00 p.m., Thursday, March 17, 2011. ****

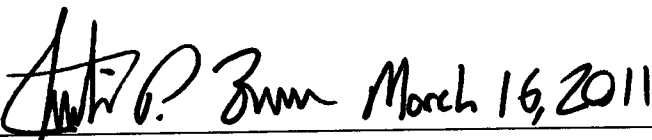
All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(9) If elected, will the nominee serve?

Yes

(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina?


Yes



Signature of Sponsor/Date

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.



Signature of Nominee/Date

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

DAVID M. POWERS

130 Copperfield Place Court
Winston-Salem, NC 27106
Powersd@rjrt.com

Office: (336) 741-2754
Residence: (336) 922-5411
Mobile: (336) 829-9638

PROFILE

- Experienced executive with 22 years experience in all areas of government relations.
 - Experienced in direct lobbying and managing contract lobbyists in over 30 states for Fortune 500 company.
 - Liaison for Federal cabinet secretary to governors, state legislators and local elected officials.
 - Lobbied Congress, Federal agencies and international governments on conservation issues.

EXPERIENCE

R. J. REYNOLDS TOBACCO COMPANY
Vice President, State Government Relations
Senior Director, State Government Relations
Regional Director, State Government Relations

Winston-Salem, NC
2008-Present
2006-2007
1995-2005

- Manage a team of six regional directors representing the second largest domestic cigarette manufacturer. Team directs company lobbying efforts in all fifty states and Puerto Rico.
- Work with governors, state legislators and state agency officials and their respective staffs to ensure that corporate positions are fairly represented in the legislative and regulatory process.
- Direct corporate and political action committee contributions. Ensure compliance with all relevant lobbying, contribution and reporting statutes.
- Coordinate corporate involvement in legislative service organizations such as the American Legislative Exchange Council, National Speakers Association, State Legislative Leaders Foundation, Council of State Governments and National Governors Association.

SMOKELESS TOBACCO COUNCIL
Director of State Relations

Washington, DC
1992-1995

- Managed a team of professional contract lobbyists in twelve Southern states representing the six major manufacturers of smokeless tobacco products.

- Directed association political contributions and advised member companies on contributions.
- Coordinated association involvement in legislative service organizations and charitable activities.

U. S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT Washington DC
Senior Intergovernmental Relations Officer 1991-1992

- Appointed by President George H.W. Bush to serve as a liaison for Secretary Jack Kemp to state and local governments, other Federal agencies and corporate and constituent agencies. Served as point of contact for governors, mayors, and state elected officials to resolve problems or provide information on behalf of the Secretary and the Department.
- Prepared talking points and background materials for the Secretary for his use at public appearances before Congressional committees, elected officials, policy makers and business and interest groups. On behalf of the Secretary, delivered speeches and served on discussion panels sponsored by government entities and corporate and constituent groups.
- Also served as the liaison for the Assistant Secretary for Community Planning and Development to her staff two Deputy Assistant Secretaries, nine office directors and over 400 civil service employees.

INTERNATIONAL SHOOTING AND HUNTING ALLIANCE Washington, DC
Executive Vice-President 1987-1991

- Administered international membership organization with \$1 million annual operating budget. Served as public spokesman for the organization.
- Lobbied Congress, government agencies and international governments and organizations on conservation issues.

SOUTHERN NATIONAL BANK Lumberton, NC
Assistant Vice President, Director of Real Estate 1988-1989

- Researched, selected and negotiated purchase or lease of banking facilities for multi-state \$3 billion financial institution. Coordinated construction and renovation of bank facilities. Directed disposal of foreclosed properties.

FRANCHISE ENTERPRISES, INCORPORATED Rocky Mount, NC
Real Estate Representative 1987-1988

- Assisted in planning growth for 120+ unit system of Hardee's restaurants. Researched, selected and negotiated purchase or lease of restaurant sites.

**NC DEPARTMENT OF REVENUE
Revenue Tax Auditor**

**Raleigh, NC
1985-1986**

- Worked with attorneys, accountants, bank trust professionals and taxpayers in preparation, filing and auditing North Carolina Inheritance and Estate Tax returns.

**HELMS FOR SENATE COMMITTEE
Field Representative**

**Raleigh, NC
1983-1984**

- Directed paid coordinator and volunteer campaign staff in Eastern North Carolina for successful 1984 re-election campaign of United States Senator Jesse Helms.

EDUCATION

CAMPBELL UNIVERSITY, Lundy Fetterman School of Business (1988) – Master of Business Administration. Phi Kappa Phi Honor Society.

NORTH CAROLINA STATE UNIVERSITY (1983) – B.A. – Economics, B. A. – Business Management.

UNIVERSITY OF VIRGINIA, Darden School of Business Administration (1996) – Program for Emerging Political Leaders.

REFERENCES

Furnished upon request.

COPY

NORTH CAROLINA STATE ETHICS COMMISSION 2011 STATEMENT OF ECONOMIC INTEREST

919-715-2071

www.ethicscommission.nc.gov

FOR OFFICE USE ONLY

RECEIVED

MAR 16 2011

STATE ETHICS COMMISSION

COMPLETE THIS FORM AND MAIL SIGNED, ORIGINAL TO
STATE ETHICS COMMISSION, 1324 MAIL SERVICE CENTER, RALEIGH, NC 27699-1324

1. STATEMENT TYPE (SELECT ONE)					
<input type="checkbox"/> NEW Deadline for filing Statement of Economic Interest					
<input type="checkbox"/> AMENDED Newly Appointed/Employed: Generally prior to your appointment/employment All Others: Generally April 15 of current year					
2. FILER'S NAME (FIRST, MIDDLE, LAST)					
First Name		Middle Name	Last Name	Suffix	
David		Murphy	Powers		
3. MAILING ADDRESS, CITY, STATE, ZIP+4 ¹					
Address 1		Address 2	City	State	ZIP
130 Copperfield Place Court			Winston-Salem	NC	27106
4. EMPLOYER			5. TITLE OR POSITION SOUGHT		
RAI Services Company			UNC Board of Governors		
6. DAYTIME PHONE NUMBER (10-digit number no spaces, no characters.)			7. ALTERNATE PHONE NUMBER (10-digit number no spaces, no characters.)		
(336) 741-2754			(336) 829-9638		
8. E-MAIL ADDRESS			9. REASON FOR FILING (SELECT ALL THAT APPLY)		
david.m.powers83@gmail.com			<input type="checkbox"/> STATE GOVERNMENT JOB <input checked="" type="checkbox"/> BOARD/COMMISSION APPOINTMENT		
10. EMPLOYED BY (IF FILING BASED ON EMPLOYMENT)					
11. BOARD(S) SERVED - Select up to 11 Boards					
12. HOUSEHOLD MEMBERS: Please provide the following information concerning your spouse and other members of your immediate family RESIDING IN YOUR HOUSEHOLD . ² If the information requested does not apply, please indicate "none."					
<input type="checkbox"/> No other household members.					
Full Name ³		Relationship	Occupation/Employer	Nature of Business	
Shreita Taylor Powers		Spouse	Schoolteacher	Elementary Education	

¹With the exception of judicial officers (including Justices or Judges of the General Court of Justice, district attorneys, and clerks of court), persons holding or seeking an elected office with a residency requirement must provide a home address.

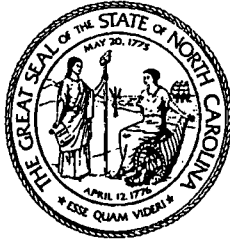
²Immediate family includes your spouse (unless legally separated), minor children, and members of your extended family (your and your spouse's adult children, grandchildren, parents, grandparents, and siblings, and the spouses of each of those persons) **that reside in your household**.

³Filers may use the initials of unemancipated children instead of those children's names. If initials are used, the children's names should be provided on a (non-public) supplement form available from the Commission upon request.

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MAR 15 2011

1:40 PM MS



NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM

(1) Representative Harold J. Brubaker (Sponsor's Name)

proposes Alan V. Pugh (Nominee's name)
as a nominee for election to the Board of Governors of The University of North Carolina
for a four-year term to commence July 1, 2011.

(2) Nominee's Name: **Alan Virgil Pugh**

Nominee's Occupation: **Attorney at Law**

Nominee's Physical Home Address: **1124 Westover Terrace
Asheboro, NC 27205**

Home Phone: **336-625-0755**

Work Phone: **336-629-5200**

Fax # **336-629-5265**

Email address: **apugh@wpa-law.com**

(3) Has the nominee ever been arrested, given a written warning, taken into custody,
or accused, formally or informally, of the violation of a law for any offense?

Minor traffic offenses only

If yes, list every incident including the date, place, charges, and final disposition. Attach
additional sheets if necessary.

Exceeding a safe speed, 2009, Asheboro, North Carolina — plead guilty.

**Exceeding a safe speed, date unknown, Asheboro, North Carolina — plead
guilty.**

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE — 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State
Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(4) Is the nominee current on all federal, State, and local taxes?

Yes

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds:

None

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds:

None

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No

*N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
* * DEADLINE – 12:00 p.m., Thursday, March 17, 2011. * **

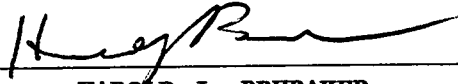
All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(9) If elected, will the nominee serve?

Yes

(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina?

Yes

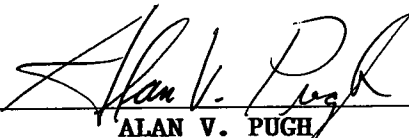


HAROLD J. BRUBAKER

Signature of Sponsor/Date — **March 11, 2011**

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.



ALAN V. PUGH

Signature of Nominee/Date — **March 11, 2011**

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

ALAN VIRGIL PUGH

Business Address
119 Worth Street
Asheboro, NC 27203

Tel: (336) 629-5200
Fax: (336) 629-5265
E-mail: apugh@wpa-law.com

Home Address
1124 Westover Ter.
Asheboro, NC 27205

Tel: (336) 625-0755
Cell: (336) 963-2714

OCCUPATION: Attorney (33 years) (NC State Bar # 7274)
Partner: Wilhoit and Pugh – General civil practice focused on civil litigation, local government, education law, corporate, estate planning and administration, commercial real estate, and appellate practice.

Adjunct Professor – High Point University (2005)
Guest lecturer – Randolph Community College
AV rating – Martindale-Hubbell

Sample of reported appellate cases:

<u>McDowell v. Randolph County</u> , 649 SE 2 nd 920	(2007)
<u>Mann Media v. Randolph County</u> , 356 NC 1	(2002)
<u>In Re Allred</u> , 351 NC 1,	(1999)
<u>Clark v. City of Asheboro</u> , 136 NC App 273	(1999)
<u>Johnson v Burrow</u> , 42 NC App. 273	(1979)

GOVERNMENT AND PUBLIC SERVICE:

-General Counsel to Harold Brubaker, Speaker of the NC House of Representatives (1995-1999).

-Secretary of the NC Department of Crime Control and Public Safety
(Responsible for Highway Patrol, National Guard, Emergency Management, Civil Air Patrol and Butner Police)
(1992)

-Senior Assistant and Special Counsel to Governor James G. Martin (1986-1991)

-Deputy Secretary of the NC Department of Administration
(Responsible for Divisions of State Construction, Purchase

and Contract, State Property Office, and State and Federal Surplus Property.) (1985)

-Member – Board of Visitors of the University of NC at Chapel Hill (1995-1999)

-Member – UNC School of Government Foundation Board of Directors (2000-2008)

-Member – NC Department of Transportation (1999)

-Member – Governor’s Commission on Juvenile Justice (1997-1998)

-Member- Governor’s Crime Commission (1992)

-Randolph County Attorney (1993-2008)

-Counsel to the Board of Trustees of Randolph Community College (1977-1984) (1993-present)

-Staff to the Governor’s Commission on Legislative and Judicial Compensation (1986)

-Board member – National Federation of Independent Business/NC

-President – Randolph County Bar Association

EDUCATION:

- Juris Doctor University of NC at Chapel Hill (1976)**
 - Bachelor of Arts (American History) – University of NC at Chapel Hill (1973)**
 - Lecturer and attendee at numerous continuing legal education courses sanctioned by the NC State Bar,**
-

COMMUNITY AND CHURCH:

- **Episcopal Church of the Good Shepherd – Asheboro, Vestry, Choir, Sunday School teacher, lay reader, and chalice bearer.**
- **Asheboro Rotary Club (25 years) former board member, Paul Harris Fellow**
- **Asheboro- Randolph United Way – former board member**
- **RSVP (Randolph Community Theatre) Performed leads “The King and I” (2007), and “Annie” (2009). Supporting roles in “Oliver” and “Oklahoma”)**
- **NC Delta Upsilon Foundation, President (1977-1984)**
- **Boy Scouts of America, Old North State Council – Assistant Scout Master, Merit Badge College Teacher, Fundraiser for “Friends of Scouting”. Two sons who are Eagle Scouts.**
- **Mentor and judge for senior projects, Asheboro High School.**
- **Volunteer counsel for “Trees Asheboro”**

PERSONAL:

- **Born July 10, 1952, Asheboro, NC to Maxine Pugh, (deceased) and John Q. Pugh, Jr. (age – 97)**
- **Franklinville School and Eastern Randolph High School**
- **Married for 36 years to Mary Joan Manley of Asheville (former Asheboro and High Point Planning Director, Assistant Secretary of DENR, and currently Chief of Staff at the NC Zoological Park)**
- **Two sons: Julian Pugh – 2006 graduate of Elon University
Worth Pugh – 2010 graduate of Appalachian State University.**

ALAN V. PUGH

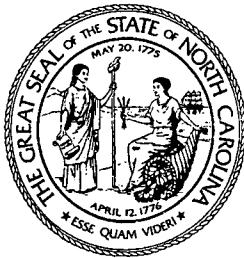
Page 4.

HEALTH: - Most recent physical – 2010 – results – excellent

REFERENCES:

- Hon. Harold Brubaker	-Mr. Phillip Dixon
- Hon. Leo Daughtry	-Hon. Skip Stam
- Hon. Jerry Tillman	-Hon. Jonathan Rhyne
- Hon. James G. Martin	-Hon. Debbie Clarie
- Hon. James Holshouser	-Hon. Cherie Berry
- Hon. Stan Bingham	-Justice Mark Martin
- Hon. Austin Allran	-Justice Bob Edmunds
- Hon. Julia Howard	-Justice Paul Newby
- Hon. Louis Pate	-Justice Barbara Jackson
- Hon. Danny McComas	-Hon. Fletcher Hartzell, Jr.
- Hon. Tom Goolsby	-Hon. Howard Coble
- Hon. Pat McCrory	-Hon, Virginia Foxx
- Hon. Robin Hayes	-Hon. James Forrester
- Mr. Tom Fetzer	-Hon Jerry Dockham
- Hon. Fred Smith	-Hon. Richard Vinroot

Others can be forwarded upon request.



NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM

RECEIVED

MAR 17 2011

12:00

*nomination form
incomplete -
nominee not
signed; no
vitae*

(1) Representative BERT JONES (Sponsor's Name)
proposes NOAH MCKIMMEY SEYMORE, III (Nominee's name)
as a nominee for election to the Board of Governors of The University of North Carolina
for a four-year term to commence July 1, 2011.

*KWecker
3/17/11*

(2) Nominee's Name: NOAH MCKIMMEY SEYMORE III

Nominee's Occupation: DENTIST

Nominee's Physical Home Address: 3005 River Lane
New Bern, NC 28562

*Vitae + signed
Copy attached.
3/17/11
mswitt*

Home Phone: 252-636-0953

Work Phone: 252-633-4444

Fax # 252-638-1586

Email address: kseymore @ embargmail . com

(3) Has the nominee ever been arrested, given a written warning, taken into custody,
or accused, formally or informally, of the violation of a law for any offense? NO

If yes, list every incident including the date, place, charges, and final disposition. Attach
additional sheets if necessary.

*N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
* * DEADLINE - 12:00 p.m., Thursday, March 17, 2011. * **

All nominees shall also file a Statement of Economic Interest with the North Carolina State
Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(4) Is the nominee current on all federal, State, and local taxes? *YES*

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds: *NONE*

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds: *NONE*

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina? *NO*

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina? *NO*

*N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ***

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(9) If elected, will the nominee serve? *YES*

(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina? *YES*

Beit Jones 3-17-2011

Signature of Sponsor/Date

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.

Signature of Nominee/Date

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

March 10, 2011

MEMORANDUM

TO: Members of the 2011 House of Representatives

FROM: Representative Tim Moore, Chair, House Select Committee on UNC Board of Governors Nominations

RE: House Nomination Form/2011 UNC Board of Governors Election

All nominees must submit the attached completed nomination form (including the nominee's vitae) to the Office of the House Principal Clerk no later than 12 P.M. on Thursday, March 17, 2011. Nominations received after 12 P.M. on Thursday, March 17, 2011 will not be accepted.

Additional nomination forms are available in the Office of the House Principal Clerk. Nominations received by facsimile or email will not be accepted.

The Nominee's application and vitae are public documents.

Only the submission of both a completed nomination form and vitae to the Office of the House Principal Clerk and a completed original Statement of Economic Interest shall constitute official nomination of an individual.

Statement of Economic Interest

All nominees must also file a completed original Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, which must be received by the State Ethics Commission no later than 12 P.M., Thursday, March 17, 2011. Questions regarding Statements of Economic Interest must be directed to the North Carolina State Ethics Commission. Nominees must clearly indicate on their Statements of Economic Interest that they are a nominee for the UNC Board of Governors.

Contact information for the State Ethics Commission:

Mailing Address: 1324 Mail Service Center
Raleigh, NC 27699-1324

Physical Address: 424 N. Blount St.
Raleigh, NC 27601-1010

Phone Number: (919) 715-2071

Website: <http://www.ethicscommission.nc.gov/>

NOTE: The following individuals are not eligible to serve on the UNC Board of Governors: members of the General Assembly, officers or employees of the State, officers or employees of The University of North Carolina, and officers or employees of the constituent institutions of The University of North Carolina.

The spouses of the following individuals are not eligible to serve on the UNC Board of Governors: members of the General Assembly, officers or employees of The University of North Carolina, and officers or employees of the constituent institutions of The University of North Carolina.

RECEIVED

MAR 17 2011

12:29 pm
and attached
DWeeks

March, 2011

Noah McKimmey Seymore, III, DDS

kseymore@embarqmail.com

Cell (252) 229-0240

DOB 12-18-1961 Elizabeth City, NC

Home- 3007 River Lane, New Bern, NC 28562 (252)636-0953

Business- 2815 Village Way, New Bern, NC 28562 (252)633-4444

Married to Stephanie Beyer Seymore

Children (7) Noah M. Seymore, IV

Caleb D Beyer

Aleksander H Seymore

Katherine D Beyer

Jackson C Seymore

Christian D Beyer

Chacy B Seymore

Education

UNC School of Dentistry DDS 1988

UNC-CH BA 1984

New Bern HS 1980

Work Experience

Owner private practice in New Bern, NC 1990-present

Associate dentist 1989-1990 FH Miller, DDS, PA

Visiting Clinical Instructor UNC School of Dentistry 1988-1989

Professional Society Memberships and offices

ADA, AGD, Craven Dental Society, W-Group Study Club, NC Dental Society, New Bern Chamber of Commerce, NFIB, Past president NC-AGD, Craven Dental Society

Other

Lettered in track at UNC-CH, youth soccer coach with State D liscence, Methodist men

President

References

C. Bert Jones, DDS 299 Fairfield RD, Reidsville, NC

Harold O Heymann, DDS, M. Ed UNC-CH School of Dentistry, Chapel Hill, NC

Jeffrey R Thomas, DDS, MS 2808 Village Way, New Bern, NC

N. Kimmey Seymore, III, DDS
2815 Village Way
New Bern, NC 28562
Phone: (252) 633-4444
Fax: (252) 638-1586
E-mail: dental123@live.com

RECEIVED

MAR 17 2011

mp

12:54pm

Vital + signed copy
attached

✓ **Date:** March 17, 2011

✓ **To:** Bert Jones

✓ **Fax #:** 919-754-3300

✓ **From:** Kimmy Seymore

✓ **Re:**

Page 1 of 5 pages.

N. Kimmey Seymore, III, DDS
2815 Village Way
New Bern, NC 28562
Phone: (252) 633-4444
Fax: (252) 638-1586
E-mail: dental123@live.com

✓ **Date:** March 17, 2011

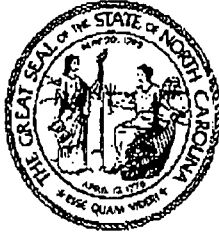
✓ **To:** Bert Jones

✓ **Fax #:** 919-754-3310

✓ **From:** Kimmey Seymore

✓ **Re:**

Page 1 of 5 pages.



NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM

(1) Representative BERT JONES (Sponsor's Name)

proposes NOAH MCKIMMEY SEYMORE III (Nominee's name)
as a nominee for election to the Board of Governors of The University of North Carolina
for a four-year term to commence July 1, 2011.

(2) Nominee's Name: NOAH MCKIMMEY SEYMORE III

Nominee's Occupation: DENTIST

Nominee's Physical Home Address: 3005 River Lane
New Bern, NC 28562

Home Phone: 252-636-0953

Work Phone: 252-633-4444

Fax # 252-638-1586

Email address: kseymore @ embargmail . com

(3) Has the nominee ever been arrested, given a written warning, taken into custody,
or accused, formally or informally, of the violation of a law for any offense? NO

If yes, list every incident including the date, place, charges, and final disposition. Attach
additional sheets if necessary.

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
* * **DEADLINE** ~ 12:00 p.m., Thursday, March 17, 2011. * *

All nominees shall also file a Statement of Economic Interest with the North Carolina State
Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(4) Is the nominee current on all federal, State, and local taxes? **YES**

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds: **NONE**

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds: **NONE**

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina? **NO**

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina? **NO**

*N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ***

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(9) If elected, will the nominee serve? **YES**

(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina? **YES**

Best Jones 3-17-2011

Signature of Sponsor/Date

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.

NKS [Signature] 3-17-11

Signature of Nominee/Date

*N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
* * DEADLINE - 12:00 p.m., Thursday, March 17, 2011. * **

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

March 10, 2011

MEMORANDUM

TO: Members of the 2011 House of Representatives

FROM: Representative Tim Moore, Chair, House Select Committee on UNC Board of Governors Nominations

RE: House Nomination Form/2011 UNC Board of Governors Election

All nominees must submit the attached completed nomination form (including the nominee's vitae) to the Office of the House Principal Clerk no later than 12 P.M. on Thursday, March 17, 2011. Nominations received after 12 P.M. on Thursday, March 17, 2011 will not be accepted.

Additional nomination forms are available in the Office of the House Principal Clerk. Nominations received by facsimile or email will not be accepted.

The Nominee's application and vitae are public documents.

Only the submission of both a completed nomination form and vitae to the Office of the House Principal Clerk and a completed original Statement of Economic Interest shall constitute official nomination of an individual.

Statement of Economic Interest

All nominees must also file a completed original Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, which must be received by the State Ethics Commission no later than 12 P.M., Thursday, March 17, 2011. Questions regarding Statements of Economic Interest must be directed to the North Carolina State Ethics Commission. Nominees must clearly indicate on their Statements of Economic Interest that they are a nominee for the UNC Board of Governors.

Contact information for the State Ethics Commission:

Mailing Address: 1324 Mail Service Center
Raleigh, NC 27699-1324

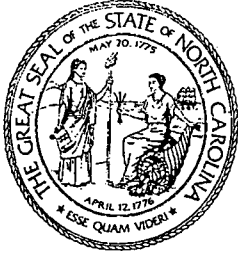
Physical Address: 424 N. Blount St.
Raleigh, NC 27601-1010

Phone Number: (919) 715-2071

Website: <http://www.ethicscommission.nc.gov/>

NOTE: The following individuals are not eligible to serve on the UNC Board of Governors: members of the General Assembly, officers or employees of the State, officers or employees of The University of North Carolina, and officers or employees of the constituent institutions of The University of North Carolina.

The spouses of the following individuals are not eligible to serve on the UNC Board of Governors: members of the General Assembly, officers or employees of The University of North Carolina, and officers or employees of the constituent institutions of The University of North Carolina.



NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM

(1) Representative Gaston L Pridgen (Sponsor's Name)

proposes Richard F. (Dick) Taylor (Nominee's name)
as a nominee for election to the Board of Governors of The University of North Carolina
for a four-year term to commence July 1, 2011.

(2) Nominee's Name: Richard F. (Dick) Taylor

Nominee's Occupation: Insurance, Real Estate & Auto Dealer

Nominee's Physical Home Address:

1111 Carthage Rd., Lumberton NC 28358

Home Phone: 910 73801111

Work Phone: 910 739-1111

Fax # 910 739-3038

Email address: tarheel1111@nc.rr.com

(3) Has the nominee ever been arrested, given a written warning, taken into custody,
or accused, formally or informally, of the violation of a law for any offense?

No

If yes, list every incident including the date, place, charges, and final disposition. Attach
additional sheets if necessary.

*N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ***

All nominees shall also file a Statement of Economic Interest with the North Carolina State
Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(4) Is the nominee current on all federal, State, and local taxes?

Yes

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds:

No

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds:

None

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

Yes,
UNC Pembroke Board of Trustees
(term expires 06/30/11)

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No

*N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ***

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(9) If elected, will the nominee serve?

Yes

(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina?

Yes

March 14, 2011

Signature of Sponsor/Date

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.

March 14, 2011

Signature of Nominee/Date

*N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
* * DEADLINE - 12:00 p.m., Thursday, March 17, 2011. * **

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

Richard F. "Dick" Taylor
111 East Third Street
Lumberton, NC
910-739-1111
E-mail: tarheel111@nc.rr.com

Professional Experience:

- Taylor Insurance Agency, Inc., Owner
Lumberton, North Carolina, 1952 to Present

Education:

- University of North Carolina at Chapel Hill (1950)
 - *Bachelor of Science Degree in Commerce*

Awards and Honors:

- All-American Track Team, 1949
 - Three time Conference Champion
- University of North Carolina Track Hall of Fame, Member
- The University of North Carolina at Pembroke Distinguished Service Award, 2008
- Penn Relays Wall of Fame, Philadelphia, Pennsylvania, 2009
- Order of Long Leaf Pine, 2009

Professional Memberships/Community Service:

- University of North Carolina Educational Foundation Board of Directors,
 - Executive Committee
- University of North Carolina Spiked Shoe Track Club
 - President
- University of North Carolina General Alumni Association
 - Board of Directors
- The University of North Carolina at Pembroke Board of Trustees
 - Vice Chair (2009 – 2011)
- The University of North Carolina at Pembroke
 - Faculty Athletic Committee
 - Braves Club
 - Chancellor Club Advisory Board
 - Foundation and Endowment Board
- Senior Games – Local, State and National level
 - Local - Over 250 Gold Medals
 - State – 20 Gold Medals
 - Nation – tie for 1st place and tie for 4th place
- Senior Olympic Flag Bearer for NC contingent, California
 - Basketball Team, 2009

- Lumberton Rotary Club, Lumberton, North Carolina
 - President
 - Paul Harris Fellow
- Downtown Merchants Association, Lumberton, North Carolina
 - President
- Lumberton City Council
- Lumberton Economic Advancement for Downtown
 - President
- Board of Realtors, Lumberton, North Carolina
 - President (four one-year terms)
- Crimestoppers, Lumberton, North Carolina
 - Chairman
- Robeson County Historic Association
- Trinity Episcopal Church, Lumberton, North Carolina
 - Vestryman
 - Senior Warden
 - Chairman of Church Building Committee
- The Annual Dick Taylor Invitational Track Meet, Chapel Hill, North Carolina

Philanthropy:

- University of North Carolina at Chapel Hill Indoor Track
- The University of North Carolina at Pembroke Outdoor Track
- The University of North Carolina at Pembroke
 - First and Ten Campaign

Miscellaneous:

- Renovated and/or restored twenty buildings in Historic Downtown Lumberton
- Owns and restored multiple historic and antique automobiles

NORTH CAROLINA STATE ETHICS COMMISSION
2011 STATEMENT OF ECONOMIC INTEREST

919-715-2071

www.ethicscommission.nc.gov

FOR OFFICE USE ONLY
RECEIVED ON:

COMPLETE THIS FORM AND MAIL SIGNED, ORIGINAL TO
STATE ETHICS COMMISSION, 1324 MAIL SERVICE CENTER, RALEIGH, NC 27699-1324

1. STATEMENT TYPE (SELECT ONE)						
<input checked="" type="checkbox"/> NEW Deadline for filing Statement of Economic Interest <input type="checkbox"/> AMENDED Newly Appointed/Employed: Generally prior to your appointment/employment All Others: Generally April 15 of current year						
2. FILER'S NAME (FIRST, MIDDLE, LAST)						
First Name		Middle Name	Last Name		Suffix	
Richard		F	Taylor			
3. MAILING ADDRESS, CITY, STATE, ZIP+4 ¹						
Address 1		Address 2		City	State	ZIP
1111 Carthage Road		PO Box 111		Lumberton	NC	28358
4. EMPLOYER			5. TITLE OR POSITION SOUGHT			
Taylor Insurance Agency, Inc.			Board of Governors			
6. DAYTIME PHONE NUMBER (10-digit number no spaces, no characters.)			7. ALTERNATE PHONE NUMBER (10-digit number no spaces, no characters.)			
(910) 739-1111						
8. E-MAIL ADDRESS			9. REASON FOR FILING (SELECT ALL THAT APPLY)			
Tarheel111@nc.rr.com			<input type="checkbox"/> STATE GOVERNMENT JOB <input checked="" type="checkbox"/> BOARD/COMMISSION APPOINTMENT			
10. EMPLOYED BY (IF FILING BASED ON EMPLOYMENT)						
11. BOARD(S) SERVED - Select up to 11 Boards						
UNC Board of Governors						
12. HOUSEHOLD MEMBERS: Please provide the following information concerning your spouse and other members of your immediate family RESIDING IN YOUR HOUSEHOLD. ² If the information requested does not apply, please indicate "none."						
<input type="checkbox"/> No other household members.						
Full Name ³		Relationship		Occupation/Employer	Nature of Business	
Lenore B. Taylor		Wife		Housewife		

¹With the exception of judicial officers (including Justices or Judges of the General Court of Justice, district attorneys, and clerks of court), persons holding or seeking an elected office with a residency requirement must provide a home address.

²Immediate family includes your spouse (unless legally separated), minor children, and members of your extended family (your and your spouse's adult children, grandchildren, parents, grandparents, and siblings, and the spouses of each of those persons) that reside in your household.

³Filers may use the initials of unemancipated children instead of those children's names. If initials are used, the children's names should be provided on a (non-public) supplement form available from the Commission upon request.

I. \$10,000 PLUS DISCLOSURES

If you, your spouse, or other members of your immediate family have assets or liabilities with a market value of at least \$10,000 in the following categories, please provide the requested information as of 12/31/10 unless another time period is specified in the question.

- ▶ Do not list the value of those assets or liabilities.
- ▶ Do not list assets or liabilities held in a blind trust⁴ established by or for the benefit of you or an immediate family member.

1. NORTH CAROLINA REAL ESTATE OWNED: Do you, your spouse, or members of your immediate family have an ownership interest in North Carolina real estate with a market value of \$10,000 or more?

Yes No If "Yes", please list below.

Owner of Real Estate	% Ownership Interest	Location by County and City
Richard F. & Lenore B. Taylor	100%	Robeson - Lumberton
Richard F. & Lenore B. Taylor	100%	Robeson - Lumberton
Richard F. & Lenore B. Taylor	100%	Robeson - Lumberton
Richard F. & Lenore B. Taylor	100%	Robeson - Lumberton
Richard F. & Lenore B. Taylor	100%	Robeson - Lumberton
Richard F. & Lenore B. Taylor	100%	Robeson - Lumberton
Richard F. & Lenore B. Taylor	100%	Robeson - Lumberton
Richard F. & Lenore B. Taylor	100%	Robeson - Lumberton
Richard F. Taylor	100%	Robeson - Lumberton
Richard F. Taylor	100%	Robeson - Lumberton
Lenore B. Taylor	100%	Robeson - Lumberton

2. NORTH CAROLINA REAL ESTATE RENTED: Do you, your spouse, or members of your immediate family rent North Carolina real estate with a market value of \$10,000 or more to or from the State?

Yes No If "Yes", please list below and identify the State agency involved in the property lease.

Identity of Lessor	Identity of Lessee (Renter)	Location by County and City

3. PERSONAL PROPERTY OWNED: Within the preceding two years, have you, your spouse, or members of your immediate family sold or bought personal property with a market value of \$10,000 or more to or from the State?

Yes No If "Yes", please list below and identify the State agency involved in the purchase or sale.

Identity of Purchaser	Identity of Seller	Nature and Location of Property

⁴ A "blind trust" is a trust that meets all of the following criteria: (a) the owner of the trust's assets is unaware of the trust's holdings and sources of income, (b) the individual or entity managing the trust's assets ("the trustee") is not a member of the covered person's extended family and is not associated with or employed by the covered person or his or her immediate family, and (c) the trustee has sole discretion to manage the trust's assets. G.S. 138A-3(1).

4. **PERSONAL PROPERTY RENTED:** Do you, your spouse, or members of your immediate family rent personal property with a market value of \$10,000 or more to or from the State?

Yes No If "Yes", please list below and identify the State agency involved in the property lease.

Identity of Lessor	Identity of Lessee (Renter)	Nature and Location of Property

5(a). **PUBLIC COMPANIES:** Do you, your spouse, or members of your immediate family own interests (generally stock) in a publicly owned company valued at \$10,000 or more?

Yes No If "Yes", please list below.

▶ Do not list ownership interests in a widely held investment fund (including mutual funds, regulated investment companies, or pension or deferred compensation plans) if (i) the fund is publicly traded or its assets are widely diversified and (ii) neither you nor an immediate family member are able to control the assets held in the mutual fund, investment company, or pension or deferred compensation plan.

▶ You may use three-letter ticker symbol to identify stocks.

Owner of Interest	Name of Company
Richard F. Taylor	Prudential Financial

5(b). **OPTIONS:** Do you, your spouse, or members of your immediate family hold stock options in a publicly owned company valued at \$10,000 or more?

Yes No If "Yes", please list below.

Owner of Stock Option	Name of Company

6(a). **NON-PUBLIC COMPANIES:** Do you, your spouse, or members of your immediate family have financial interests valued at \$10,000 or more in a non-publicly owned company or business entity (including interests in sole proprietorships, partnerships, limited partnerships, joint ventures, limited liability companies, limited liability partnerships, and closely held corporations)?

Yes No If "Yes", please list below and complete 6(b) and 6(c).

Owner of Interest	Name of Business Entity
Richard F. Taylor	Seibels-Bruce Group Inc.
Richard F. Taylor	Taylor Ins. Agency, Inc.
Lenore B. Taylor	Taylor Ins. Agency, Inc.

6(b). For each of those non-publicly owned companies or business entities identified in question 6(a) (the "primary company"), please list the names of any other companies in which the primary company owns securities or equity interests valued at over \$10,000, if known.

Non-Publicly Owned Company (the Primary Company)	Other Companies in which the Primary Company Owns Security or Equity Interests
<input checked="" type="checkbox"/> None or Not Known	

6(c). If you know that any company or business entity listed in 6(a) or (b) above has any material business dealings or business contracts with the State, or is regulated by the State, provide a brief description of that business activity or relationship.

Identify Company or Business Entity	Nature of Business Relationship with the State
<input checked="" type="checkbox"/> None or Not Known	

7. **TRUSTS:** Are you, your spouse, or members of your immediate family the beneficiaries of a vested trust with a value of \$10,000 or more that is created, established, or controlled by you?

Yes No If "Yes", please list below.

▶ **Do not list blind trusts.** A "blind trust" is a trust that meets all of the following criteria: (a) the owner of the trust's assets is unaware of the trust's holdings and sources of income, (b) the individual or entity managing the trust's assets ("the trustee") is not a member of the covered person's extended family and is not associated with or employed by the covered person or his or her immediate family, and (c) the trustee has sole discretion to manage the trust's assets. G.S. 138A-3(1).

Name and Address of Trustee	Description of the Trust	Your Relationship to the Trust

8. **LIABILITIES:** Do you, your spouse, or members of your immediate family have a liability (debt) of \$10,000 or more, excluding indebtedness (mortgage) on your primary personal residence?

Yes No If "Yes", please list below. Examples include credit card debts, auto loans, and student loans.

Name of Debtor (You, Spouse, Immediate Family Member)	Type of Creditor (Commercial Bank, Credit Union, Individual, etc.)

II. OTHER DISCLOSURES

9. **NONPROFIT INTERESTS:** At any time during 2010, were you, your spouse or other members of your immediate family a director, officer, governing board member, employee, independent contractor, or registered lobbyist of a nonprofit corporation or organization operating in the State primarily for religious, charitable, scientific, literary, public health and safety, or educational purposes?

Yes No If "Yes", provide the following information.

- ▶ Do not list State boards or entities, or entities created by a political subdivision of the State.
- ▶ Do not list organizations of which you are a mere member or subscriber.
- ▶ If the listed nonprofit corporations or organizations do business with the State or receive State funds, please provide a brief description of the nature of that business, if known, or which with due diligence could reasonably be known.

Identify Person and His/Her Position	Name of Nonprofit Corporation or Organization	Nature of Business or Purpose of Organization	Describe State Business or State Funding
Richard F. Taylor	UNC Pembroke	Educational Purposes	Board of Trustees
Richard F. Taylor	UNC Pembroke Foundation	Educational Purposes	Lease Property to & from the State for UNC Pembroke

10. **INCOME:** List all sources of income (not amounts) of more than \$5,000 received by you, your spouse, or other members of your immediate family during 2010. Include salary, wages, state/local government retirement, professional fees, honoraria, interest, dividends, rental income, and business income. Do not include income received from the following sources:

- ▶ Capital Gains
- ▶ Military retirement
- ▶ Federal government retirement
- ▶ Social security income/SSDI

Recipient of Income	Name of Source	Business or Industry	Type of Income
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I had no reportable income over \$5,000 in 2010.

Richard F. Taylor	Britt's Carpet Service	Carpet Service	Rental
Richard F. Taylor	Omega Ministries	Thrift Shop	Rental
Richard F. Taylor	CAB Bail Bonds	Bail Bonds	Rental
Richard F. Taylor	SOS Office Supply	Office Supply	Rental

11. PRACTICING ATTORNEY: If you are a practicing attorney check each category of legal representation in which you or the law firm with which you are associated has earned legal fees of \$10,000 or more during 2010:

- I am not a practicing attorney.
- | | | | |
|---|---|-------------------------------------|-----------------------------------|
| <input type="checkbox"/> Administrative | <input type="checkbox"/> Admiralty | <input type="checkbox"/> Corporate | <input type="checkbox"/> Criminal |
| <input type="checkbox"/> Decedent's Estates | <input type="checkbox"/> Environmental | <input type="checkbox"/> Insurance | <input type="checkbox"/> Labor |
| <input type="checkbox"/> Local Government | <input type="checkbox"/> Real Property | <input type="checkbox"/> Securities | <input type="checkbox"/> Tax |
| <input type="checkbox"/> Tort litigation (including negligence) | <input type="checkbox"/> Utilities Regulation | | |

12. LICENSED PROFESSIONAL: Are you (1) a licensed professional (other than an attorney) or do you provide consulting services individually or as a member of a professional association **and** (2) did you charge or were you paid over \$10,000 for those services during 2010?

Yes No If yes, please provide the following information.

Type of Business	Nature of Services Rendered

13. BUSINESS RELATIONSHIPS: As of December 31, 2010, were you or your employer, or your spouse or other members of your immediate family, or their employer, licensed or regulated by, or have a business relationship with, your State board or employing entity?

Yes No Legislator / Judicial Officer. If "Yes", provide the following information.

▶ You are not required to complete this question if you are filing because you are a legislator or a judicial officer ("judicial officer" is defined in footnote 1) or you are filing as an appointee to those offices. Please indicate if this is the case.

Identify Person	Identify Employer (if applicable)	Licensing, Business or Regulatory Relationship

14. INTEREST IN AGENCY OR BOARD ISSUES: As of December 31, 2010, were you, your spouse, or other members of your immediate family a director, officer, or governing board member of any society, organization, or advocacy group which has an interest in issues over which your agency or board may have jurisdiction?

Yes No Legislator / Judicial Officer. If "Yes", provide the following information.

▶ You are not required to complete this question if you are filing because you are a legislator or a judicial officer or you are filing as an appointee to those offices. Please indicate if this is the case.

▶ Do not list organizations of which you are only a member (not in a leadership role).

Identify Person	Identify Name of Society, Organization or Advocacy Group	Leadership Position (Director, Officer, Board Member)

15. FELONY CONVICTION: Have you ever been convicted of a felony for which you have not received either (i) a pardon of innocence or (ii) an order of expungement regarding that conviction?

Yes No If "Yes", please provide the following information.

Offense	Date of Conviction	County and State of Conviction

16. RECEIPT OF GIFTS OUTSIDE OF NORTH CAROLINA: During any calendar quarter in the preceding year (but only the time period after you were appointed, employed or filed or were nominated as a candidate), did you (1) receive any gift(s) exceeding \$200 per quarter from a person or group of persons acting together, **and** (2) when both you and those person(s) were outside North Carolina at the time you accepted the gift(s), **and** (3) the gift(s) were given under circumstances that would lead a reasonable person to conclude that they were given for lobbying?

Yes No If yes, please provide the following information.

▶ Do not report gifts given by members of your extended family.

▶ Do not report gifts that have previously been reported by you to the Department of the Secretary of State on the "Expense Report for Exempted or Persons Not Covered."

Date Item Received	Name and Address of Donor(s)	Describe Items Received	Estimated Market Value

17. **ACCEPTANCE OF SCHOLARSHIP:** During the preceding year (but only the time period after you were appointed, employed, or filed or were nominated as a candidate) have you (1) accepted a "scholarship" exceeding \$200 from a person or group of persons acting together **and** (2) those person(s) were outside North Carolina **and** (3) the scholarship was related to your public position? A "scholarship" is a grant-in-aid to attend a conference, meeting, or similar event.

Yes No I am a Legislator / Judicial officer. If yes, please provide the following information.

- ▶ Do not report gifts that have previously been reported by you to the Department of the Secretary of State on the "Expense Report for Exempted or Persons Not Covered."
- ▶ You are not required to complete this question if you are a judicial officer or you are filing as a Judicial officer appointee. Please indicate if this is the case.
- ▶ Legislators are not required to report scholarships paid by a nonpartisan legislative organization of which the legislator or the General Assembly is a member or participant or an affiliate of that organization.

Date of Scholarship	Name and Address of Donor(s)	Describe Event	Estimated Market Value

18. **LOBBYIST:** Are you or a member of your immediate family currently registered as a lobbyist or lobbyist principal or were you registered as such during 2010?

Yes No If "Yes", please provide the following information.

Name of Lobbyist	Lobbyist's Principal	Date of Registration	Registration Expiration

19(a). **BUSINESS ASSOCIATIONS:** List the name of each business with which you are associated (sole proprietorships, partnerships, limited partnerships, joint ventures, limited liability companies, limited liability partnerships, and closely held corporations, publicly-held or privately-held) where you or a member of your immediate family is an employee, director, officer, partner, proprietor, or member or manager.

No Business Associations

Identify Person	Relationship to Filer	Company	Role of Person
Richard F. Taylor	President	Taylor Ins. Agency, Inc.	Proprietor
Lenore B. Taylor	Secretary	Taylor Ins. Agency, Inc.	Employee

19(b). **COMPANY OR BUSINESS DEALINGS WITH STATE:** If you know that any company or business entity listed in 19(a) above has any material business dealings or business contracts with the State, or is regulated by the State, provide a brief description of that business activity or relationship.

Identify Company or Business Entity	Nature of Business Relationship with the State
<input type="checkbox"/> Not applicable (No entities listed on #19a) <input checked="" type="checkbox"/> No relationship / Not known	

20(a). **APPOINTMENT TO BOARDS COVERED BY STATE GOVERNMENT ETHICS ACT, CHAPTER 138A OF THE GENERAL STATUTES:** Did a Council of State member appoint you to or recommend you for appointment to a board covered by the Ethics Act? The Council of State members are: Governor, Lt. Governor, Secretary of State, State Auditor, State Treasurer, Superintendent of Public Instruction, Attorney General, Commissioner of Agriculture, Commissioner of Labor, or Commissioner of Insurance.

Yes No If "Yes", proceed to question 20(b). If "No", proceed to question 21.

20(b). **CAMPAIGN CONTRIBUTIONS:** In the preceding calendar year did you (not immediate family members) make contributions with a cumulative total of more than \$1,000 to the Council of State member (see list above) who appointed you? Contributions are defined in N.C.G.S. 163-278.6(6) and include, but are not limited to, "any advance, conveyance, deposit, distribution, transfer of funds, loan, payment, gift, pledge or subscription of money or anything of value whatsoever."

Yes No If "Yes", list all such contributions. If "No", proceed to question 21.

Date	Amount	Contributed to

21. **CAMPAIGN ACTIVITIES:** Are you now, or are you a prospect to be:

a. the head of a principal state department (e.g. cabinet secretary) appointed by the Governor; or

b. a North Carolina Supreme Court Justice; Court of Appeals, Superior or District Court Judge; or

c. a member of any of the following boards:

- ABC Commission
- Coastal Resources Commission
- State Board of Education
- State Board of Elections
- Employment Security Commission
- Environmental Management Commission
- Industrial Commission
- State Personnel Commission
- Rules Review Commission
- Board of Transportation
- UNC Board of Governors
- Utilities Commission
- Wildlife Resources Commission

Yes No

If "No", proceed to question 22.

d. If so, were you appointed to, or are you being considered for, appointment to your public position by a Council of State Member (Governor, Lt. Governor, Secretary of State, State Auditor, State Treasurer, Superintendent of Public Instruction, Attorney General, Commissioner of Agriculture, Commissioner of Labor, or Commissioner of Insurance)?

Yes No

If "No", proceed to question 22.

e. If so, you must indicate whether during the preceding calendar year you (not immediate family members) engaged in any of the following activities with respect to or on behalf of the candidate or campaign committee of the Council of State member who appointed you to your public position:

i. Collected contributions from multiple contributors, took possession of such multiple contributions, and transferred or delivered those collected contributions to the candidate or committee? Contributions are defined in N.C.G.S. 163-278.6(6) and include, but are not limited to, "any advance, conveyance, deposit, distribution, transfer of funds, loan, payment, gift, pledge or subscription of money or anything of value whatsoever."

Yes No

ii. Hosted a fundraiser at your residence or place of business?

Yes No

iii. Volunteered for campaign-related activities, which include, but are not limited to, phone banks, event assistance, mailings, canvassing, surveying, or any other activity that advances the campaign of a candidate?

Yes No

22. **OTHER INFORMATION:** Are you aware of any other information that you believe may assist the State Ethics Commission in advising you concerning your compliance with the State Government Ethics Act?

Yes No If "Yes", please provide that information.

Please ensure that you have responded to all questions and that you have stated "None" in response to those questions in which you have nothing to disclose. In the event you fail to answer a question, you will be provided with a supplement to complete, sign and return. Your SEI is not deemed "filed" until complete answers are submitted for every question.

**** North Carolina law establishes a fine of \$250 for failure to timely file a complete Statement of Economic Interest. In addition, it is a Class 1 misdemeanor to knowingly conceal or fail to disclose required information, and a Class H felony to provide false information on a Statement. Such actions can also subject you to disciplinary action in connection with your employment.****

AFFIRMATION

I swear or affirm that the information provided in this Statement of Economic Interest and any attachments hereto are true, complete, and accurate to the best of my knowledge and belief.

I also certify that I have not transferred, and will not transfer, any asset, interest, or property for the purpose of concealing it from disclosure while retaining an equitable interest.

I understand that my Statement of Economic Interest and any attachments or supplements thereto are public record.

I acknowledge that I have read and understand N.C.G.S. 138A-26 regarding concealing or failing to disclose material information and N.C.G.S. 138A-27 regarding providing false information:

§ 138A-26. Concealing or failing to disclose material information.

A filing person who knowingly conceals or knowingly fails to disclose information that is required to be disclosed on a statement of economic interest under this Article shall be guilty of a Class 1 misdemeanor and shall be subject to disciplinary action under G.S. 138A-45. (2006-201, s. 1.)

§ 138A-27. Penalty for false information.

A filing person who provides false information on a statement of economic interest as required under this Article knowing that the information is false is guilty of a Class H felony and shall be subject to disciplinary action under G.S. 138A-45. (2006-201, s. 1.)

I Agree

Richard F. Taylor

PRINTED NAME

**** Notarization is no longer required. ****

Richard F. Taylor

SIGNATURE

3-15-2011

DATE

Submit SIGNED, ORIGINAL documents.

RECEIVED

MAR 17 2011

10:25am ML.



NORTH CAROLINA
HOUSE OF REPRESENTATIVES
UNC BOARD OF GOVERNORS
2011 NOMINATION FORM

(1) Representative Daniel J. McComas (Sponsor's Name)
proposes Raiford Trask, III (Nominee's name)
as a nominee for election to the Board of Governors of The University of North Carolina
for a four-year term to commence July 1, 2011.

(2) Nominee's Name: Raiford Trask, III

Nominee's Occupation:

Nominee's Physical Home Address:

1202 Eastwood Road
Wilmington, NC 28403

Home Phone: (910) 313-0795

Work Phone: (910) 512-5084

Fax #

Email address: raiford@autumnhall.com

(3) Has the nominee ever been arrested, given a written warning, taken into custody,
or accused, formally or informally, of the violation of a law for any offense?

No w/ the exception of 2 speeding tickets in the 1980s

If yes, list every incident including the date, place, charges, and final disposition. Attach
additional sheets if necessary.

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
* * DEADLINE - 12:00 p.m., Thursday, March 17, 2011. * *

All nominees shall also file a Statement of Economic Interest with the North Carolina State
Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(4) Is the nominee current on all federal, State, and local taxes?

Yes

(5) List (if any) all federal, State or local offices, either elected or appointed, which the nominee currently holds:

~~None~~ NC Economic Development Board

(6) List (if any) all federal, State or local offices, either elected or appointed, which the nominee's spouse currently holds:

None

(7) Is the nominee a State employee or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No

(8) Is the nominee's spouse a member of the General Assembly or an employee or officer of one of the UNC constituent institutions or The University of North Carolina?

No

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form

**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

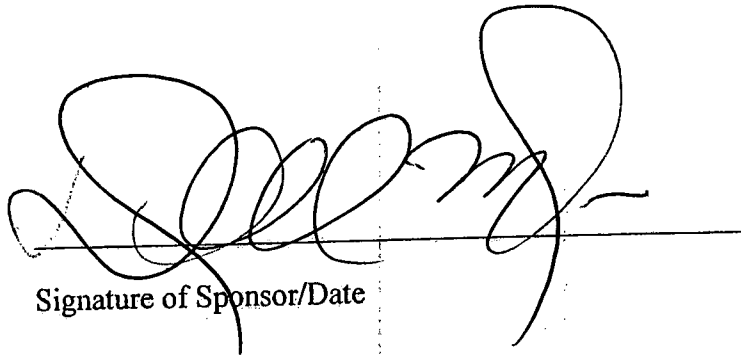
All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.

(9) If elected, will the nominee serve?

Yes

(10) If elected, will the nominee (or if applicable, the nominee's spouse) resign from any elected or appointed offices or any positions of employment which conflict with service on the Board of Governors of The University of North Carolina?

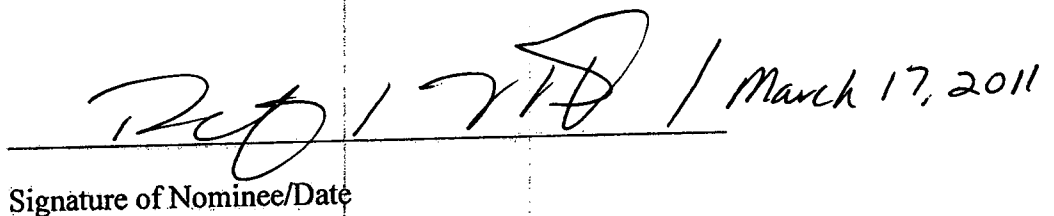
yes



Signature of Sponsor/Date

I certify the above information is accurate, I will serve if elected, and I understand that G.S. 116-7(b) provides that "No member of the General Assembly or officer or employee of the State, The University of North Carolina, or any constituent institution may be a member of the Board of Governors. No spouse of a member of the General Assembly, or of an officer or employee of The University of North Carolina, or of any constituent institution may be a member of the Board of Governors."

I also certify that I have attached a current vitae and have submitted a completed original Statement of Economic Interest to the North Carolina State Ethics Commission.



Signature of Nominee/Date

N.C. House of Representatives ~ 2011 UNC Board of Governors Candidate Nomination Form
**** DEADLINE - 12:00 p.m., Thursday, March 17, 2011. ****

All nominees shall also file a Statement of Economic Interest with the North Carolina State Ethics Commission, as required by G.S. 138A-22, by 12 p.m., Thursday, March 17, 2011.



AUTUMN HALL

Bio for Raiford Trask III
March 2011

Mr. Trask is vice president of Autumn Hall, Inc. and president of Trask Land Company, Inc. Mr. Trask is responsible for all aspects of day-to-day management, strategic planning and long range planning for the Trask family's real estate assets in Southeastern North Carolina, including the development of Autumn Hall and Blue Clay Farms.

Autumn Hall is a 230 acre mixed use development in Wilmington, NC. Mr. Trask led a complex rezoning effort in 2005 for the Trask family, and he is currently responsible for day-to-day activities of the development which includes planning, permitting and sales and marketing of the residential, office and retail aspects of the development. When complete, the development will have 300 single family units, 202 multi-family units, up to 500,000 square feet of retail space and up to 350,000 square feet of office space. Autumn Hall's total value at full build out is expected to approach \$500 million.

Mr. Trask is currently developing Southbridge, a 185-acre Mixed Use community in Sneads Ferry, NC. To date, 59 single family lots have been recorded and sold to builders with 220 additional lot/home packages planned for Phase Two. There are plans for approximately 100 townhomes and 264 multifamily units as well as a significant office component or additional housing.

Mr. Trask also leads the development team for Blue Clay Farms, an assemblage of over 1,000 acres owned by the Trask family in New Hanover County, NC. Mr. Trask led the rezoning effort for 740 acres of this parcel in 2006 to PD, which is New Hanover County's version of Mixed Use zoning. The rezoning was unanimously approved and, when fully built out, Blue Clay Farms is expected to add an estimated \$2 billion in property values to New Hanover County tax rolls.

Mr. Trask's commercial development portfolio includes The Renaissance, a 23 acre Class A office and retail facility located on Military Cutoff Road in Wilmington. As a principle, Mr. Trask continues to serve a number of functions for the various entities associated with the office and retail park.

In June 2009, Mr. Trask was appointed to the North Carolina Economic Development Board by Governor Perdue, where he served on the Innovation, Technology, Workforce and Education Committee in 2009 and 2010. He is currently serving as the Board's contact person to the Southeast Partnership for Economic Development.

AUTUMN HALL, INC.
1202 Eastwood Road, Wilmington, NC 28403
phone: 910-799-8755 fax: 910-799-8785

WWW.AUTUMNHALL.COM



AUTUMN HALL™

In 2008, Mr. Trask earned the Marvin Collins Distinguished Leadership Award in the Citizen Planner category presented by the North Carolina Chapter of the American Planning Association. The City of Wilmington nominated Mr. Trask for this award which recognizes nonprofessional citizen planners for outstanding contributions to planning programs or activities. Autumn Hall also earned several awards and designations in 2008 and 2009 under Mr. Trask's management. Autumn Hall earned awards for both Use of Native Trees and Outstanding Tree Preservation from the City of Wilmington. Metro Magazine awarded Autumn Hall with its Metro Bravo award in the coastal development category for two consecutive years. Most recently, Mr. Trask's commitment to environmental preservation earned Autumn Hall the Significant Achievement Award presented by the Lower Cape Fear Stewardship Development Program.

Mr. Trask's approach to real estate is unique in that, despite a constantly changing marketplace, he is able to produce communities that enhance and sustain the cultural and environmental fabric of a community. The North Carolina Chapter of the American Planning Association noted Mr. Trask's "strong commitment and actions to preserve natural resources, create a walkable community and incorporate local, historical and architectural elements." Mr. Trask served on the Unified Development Ordinance Steering Committee from 1993 to 1996 and participated in crafting the City of Wilmington Future Land Use Plan during that same period.

He presently serves on the Board of Directors for the Greater Wilmington Chamber of Commerce (chair of Energy and Environment Committee) and the Finance Committee for the NC Coastal Land Trust. Mr. Trask has recently but is no longer serving as President and founding member of Coastal Carolina Tomorrow, an advocacy group for responsible approaches to development and development ordinances on North Carolina's Southeast coast (www.coastalcarolinatomorrow.org), The Cape Fear Community College Foundation Board of Directors (Finance Committee) and the Board of Trustees for Thalian Hall.

Mr. Trask serves on the Capital Campaign Cabinet for the University of North Carolina Wilmington which helps sustain his family's long-time commitment to UNCW.

Mr. Trask has worked with his father, Raiford Trask, Jr., since childhood in various capacities associated with his father's real estate ventures, beginning as an after-school member of a residential construction crew at age 12. He is very familiar with businesses other than real estate having participated in the magazine industry as a two-decade contributor to Marlin Magazine. Mr. Trask also founded and served for 12 years as president of Cape Fear Rod Company, a North Carolina-based fishing tackle manufacturing company. Mr. Trask oversaw the creation of the renowned Cape Fear fishing rod and guided the startup company through the purchase and sale of competitor Fin-Nor and the eventual shut down of the company due to unsustainable increases

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in raw materials cost. He is very aware of the many challenges and opportunities associated with recruiting, raw materials sourcing, manufacturing and sales and marketing for North Carolina's manufacturing companies competing in a global market place.

Mr. Trask was born in 1961 and met his wife while they were both attending the University of North Carolina Chapel Hill. They have been married for fifteen years and live in Wilmington with two children.

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phone: 910-799-8755 fax: 910-799-8785

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Committee Sergeants at Arms

NAME OF COMMITTEE HOUSE SELECT UNIV B of GOVS

DATE: 3/22 Room: 1228

House Sgt-At Arms:

1. Name: DOUG HARRIS

2. Name: CARLTON ADAMS

3. Name: CHAMP CLARIS

4. Name: _____

5. Name: _____

Senate Sgt-At Arms:

1. Name: _____

2. Name: _____

3. Name: _____

4. Name: _____

5. Name: _____

HOUSE PAGES

NAME OF COMMITTEE B of G^ov's DATE 3/22

1. Name: Catherine McCabe

County: Carteret

Sponsor: Pat McElraft

2. Name: _____

County: _____

Sponsor: _____

3. Name: _____

County: _____

Sponsor: _____

4. Name: _____

County: _____

Sponsor: _____

5. Name: _____

County: _____

Sponsor: _____

VISITOR REGISTRATION SHEET

House Select Committee on University Bd. of Governors Nominations

Name of Committee

Date 3/22

VISITORS: PLEASE SIGN IN BELOW AND RETURN TO COMMITTEE CLERK

NAME

FIRM OR AGENCY AND ADDRESS

Jay Schalin	Topo Center
JOSEPH JENKINS	CHAPEL HILL NC
DAVID RICE	MANNING FULTON
Michael Houser	NC DOR
Caanan Huie	NC DOR
Paul Stam	House
Bill Holmes	Rep. Hickey's office

Committee Sergeants at Arms

NAME OF COMMITTEE Univ. Bd of Gov. Nominations

DATE: 3-22-11 Room: 1228
2nd mtg.

House Sgt-At Arms:

1. Name: Doug Harris
2. Name: Champ Clark
3. Name: Ken Kirby
4. Name: _____
5. Name: _____

Senate Sgt-At Arms:

1. Name: _____
2. Name: _____
3. Name: _____
4. Name: _____
5. Name: _____

