

**1997**

**HOUSE  
UNC BOARD OF  
GOVERNORS**

**MINUTES**

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1997

H

Simple  
Resolution  
Adopted

HOUSE RESOLUTION 323  
Adopted 2/27/97

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Sponsors: Committee on UNC Board of Governors; and Rayfield.

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Referred to: Rules, Calendar and Operations of the House.

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February 25, 1997

1 A HOUSE RESOLUTION TO ESTABLISH THE PROCEDURE FOR  
2 NOMINATING AND ELECTING MEMBERS OF THE BOARD OF  
3 GOVERNORS OF THE UNIVERSITY OF NORTH CAROLINA, INCLUDING  
4 A PROHIBITION ON NOMINATIONS FROM THE FLOOR, A  
5 REQUIREMENT THAT A NOMINEE RECEIVE THE VOTES OF A  
6 MAJORITY OF THE MEMBERS PRESENT AND VOTING IN THE  
7 NOMINEE'S CATEGORY IN ORDER TO BE ELECTED, AND TO PROVIDE  
8 THAT AT LEAST TWO PERSONS ON THE AT-LARGE BALLOT SHALL BE  
9 MEMBERS OF THE MAJORITY POLITICAL PARTY.

10 Be it resolved by the House of Representatives:

11 Section 1. The following procedures for nominating and electing eight  
12 members of the Board of Governors of The University of North Carolina (hereinafter  
13 the "Board of Governors") are adopted:

14 I. COMMITTEE RESPONSIBILITIES.

15 1. It is the duty of the UNC Board of Governors Committee of the  
16 House of Representatives (hereinafter the "Committee") to conduct a process for  
17 nominating and electing persons for each opening in each category of seats on the  
18 Board of Governors to which the House of Representatives is to elect members.

19 2. The Committee shall receive nominations for election to the Board of  
20 Governors through March 6, 1997. Each Representative may nominate up to two  
21 persons. In order for a person to have standing as a nominee, that person must be  
22 formally nominated by a member of the House of Representatives. Only a written  
23 nomination on a form provided by the Chair of the Committee for that purpose and

1 received in the office of the Chair of the Committee by 12:00 noon on March 6, 1997,  
2 shall constitute a nomination. An individual cannot be a nominee or be nominated  
3 in more than one category. An individual is not eligible for nomination if he or she  
4 is nominated to the Board of Governors by the Senate during the 1997 Session.

5 3. A Representative may nominate persons only for the categories  
6 available for election by the House of Representatives. In the 1997 Session, the  
7 House of Representatives will elect:

8 (a) Six at-large members for four-year terms; and

9 (b) Two members of the political party to which the largest minority  
10 of members of the General Assembly belong for four-year terms.

11 4. On or after March 7, 1997, the Committee shall list all nominees by  
12 category. The Committee shall screen the nominees as to their qualifications,  
13 experience, opinions, and statutory suitability. The Committee may screen nominees  
14 by interviewing, or by soliciting written information, or by both means. On  
15 completion of the screening process, the Committee shall conduct a vote to ensure  
16 that the slate of legally qualified candidates lists no more than twice the number of  
17 candidates for the total seats open in each category. No less than two candidates on  
18 the ballot shall be members of the political party to which the majority of members of  
19 the General Assembly belong.

20 5. Each nominee shall confirm his or her willingness to serve if elected.  
21 Any nominee may withdraw without the approval of the sponsor.

## 22 II. ELECTIONS IN THE HOUSE OF REPRESENTATIVES.

23 1. A ballot shall be prepared under the supervision of the Chair of the  
24 Committee for the use of the House of Representatives. The ballot shall include no  
25 more than twice the number of legally qualified candidates for the total seats open in  
26 each category. No less than two candidates on the ballot shall be members of the  
27 political party to which the majority of members of the General Assembly belong.

28 2. The names of the nominees shall be arranged on the ballot (a) by  
29 category and (b) within each category by alphabetical order.

30 3. The House of Representatives shall hold its election no later than the  
31 beginning of the daily session on March 18, 1997. Before the voting begins, the  
32 Speaker of the House shall explain the voting rules, which are:

33 (a) No nomination shall be received from the floor.

34 (b) In order to be elected, a nominee must receive the votes of a  
35 majority of all members present and voting in the nominee's  
36 category.

37 (c) Each member present and voting shall vote for as many nominees  
38 as there are positions to be filled in each category.

39 (d) When fewer than two nominees in the minority party category, or  
40 fewer than six nominees in the at-large category, receive the votes  
41 of a majority of all members present and voting for positions in  
42 those categories, a runoff to fill the open position or positions shall  
43 be conducted among the nominees who were not elected but who  
44 received the highest number of votes cast in each category; and the

- 1 number of nominees eligible to be voted on in the runoff shall be  
2 twice the number of positions to be filled.
- 3 (e) If there is a tie for the last position between two nominees who are  
4 eligible for the next runoff, both nominees shall be included in the  
5 next runoff balloting, even though there would be more than two  
6 nominees per available position.
- 7 (f) When more than two nominees in the minority party category, or  
8 more than six nominees in the at-large category, receive the votes  
9 of a majority of all members present and voting for positions in  
10 those categories, then the two nominees in the minority party  
11 category, and the six nominees in the at-large category receiving  
12 the highest number of votes in the category shall be deemed to  
13 have been elected.
- 14 4. The members of the House of Representatives shall proceed to mark  
15 their ballots for the following:
- 16 (a) Two persons in the minority political party of the General  
17 Assembly category for four-year terms; and
- 18 (b) Six persons in the at-large category, for four-year terms.
- 19 Every ballot shall be signed by the member of the House of  
20 Representatives casting it, and no unsigned ballots shall be counted.
- 21 5. The Chair of the Committee is responsible for canvassing the vote and  
22 declaring the results and may designate members of the Committee to assist. All  
23 ballots shall be retained by the Principal Clerk as part of the permanent records of  
24 the House of Representatives and shall be open for immediate public inspection.
- 25 6. When the Chair of the Committee has determined that the House of  
26 Representatives has elected two members from the minority party category, and six  
27 members from the at-large category to the Board of Governors for terms of four  
28 years, the Speaker of the House of Representatives shall declare those eight persons  
29 to have been elected by the House of Representatives to the indicated positions and  
30 for the indicated terms.
- 31 7. The results of the election in the House of Representatives shall then  
32 be sent by Special Messenger to the Senate.
- 33 III. NOTIFICATION OF ELECTION RESULTS.
- 34 When the election process is complete, the Chair of the Committee shall  
35 notify the Secretary of the Board of Governors of the names of the persons elected by  
36 the House of Representatives and the category and term for which each person was  
37 elected.
- 38 Section 2. This resolution is effective upon adoption.

HOUSE COMMITTEE ON UNIVERSITY BOARD OF GOVERNORS  
1997-98 SESSION

MEMBER (Clerk)	TEL.	OFFICE	SEAT
Lyons Gray, Chair Catherine Parsons	3-5995	532	49
M.W. "Henry" Aldridge Susan Groh	3-5958	640	39
Martha Bedell Alexander, RMM Margy Blackmon	3-5605	1209	34
Bobby Harold Barbee, Sr. Rosa Murray	3-5908	1025	74
Donald Allen Bonner Lucy Johnson	5-9664	617	109
Debbie A. Clary Mary Jamison	5-3011	418B	75
Arlie F. Culp Waneta Lord	3-5865	1010	62
Jerry C. Dockham Nell Edwards	3-5822	1106	18
Wayne Goodwin Ann Smith	3-4838	502	111
Robert Grady Peggy Murray	5-3024	402	37
Julia Craven Howard Gail Stewart	3-5904	1021	8
Linwood E. Mercer Kevin LeCount	5-2526	1424	60
Kory J. Goldsmith, Committee Counsel	3-2578	Rm 508	



# FINAL LIST, UNIVERSITY BOARD OF GOVERNORS

March 6, 1997

## At-Large Candidates, University Board of Governors, completed forms

Bradley T. **Adcock** 3-6

Arch T. **Allen III** 3-4

J. Addison **Bell** 3-4

Mark L. **Bibbs** 3-6

H. Frank **Grainger** 3-6

Harry Clinton **Grimmer** 3-4

Howard H. **Haworth** 3-5

John J. (Jack) **Hunt** 3-6

Reef C. **Ivey, II** 3-5

Peter **Keber** 3-4

John R. **Lauritzen** 2-25

Henry **McKoy** 3-5

Wendell Clay **Moseley** 3-6

D. Wayne **Peterson** 3-4

Edward L. **Powell** 2-27

H. D. **Reaves Jr** 3-4

Vernon L. **Robinson** 3-6

J. Craig **Souza** 3-5

Robert Franklin **Warwick** 3-4

Harold H. **Webb** 3-4

### sponsored by

Hensley, Morgan

Daughtry, Neely, Russell, Sherrill

Barbee, Crawford

Gulley

Goodwin, Clary

Miner

C. Wilson, Barbee

McMahan

Miller

Hill

Dickson

Bowie

C. Wilson, Justus, Morris, Thompson

Crawford

Creech

Esposito, Decker, Sexton,

Brown, Hiatt, Baker, G. Wilson

Hurley, Warner

Reynolds

Rogers, Esposito

McComas, Hill, Wright

Blue

## Political Minority Candidates, University Board of Governors, completed forms

John F.A.V. **Cecil** 3-5

William W. **Cobey, Jr.** 3-4

Joan N. **Danieley** 3-6

Timothy Keith **Moore** 3-5

R. B. **Sloan, Jr.** 3-5

### sponsored by

Ives, Cansler, Sherrill, Justus,

Nesbitt

Culp, Davis, Dockham, Neely

Dockham

Weatherly

Howard



**North Carolina General Assembly  
Legislative Services Office**

George R. Hall, Legislative Services Officer  
(919) 733-7044

Eiaine W. Robinson, Director  
Administrative Division  
Room 5, Legislative Building  
16 W. Jones Street  
Raleigh, NC 27603-5925  
(919) 733-7500

Gerry F. Cohen, Director  
Bill Drafting Division  
Suite 401, LOB  
300 N. Salisbury St.  
Raleigh, NC 27603-5925  
(919) 733-6660

Thomas L. Covington, Director  
Fiscal Research Division  
Suite 619, LOB  
300 N. Salisbury St.  
Raleigh, NC 27603-5925  
(919) 733-4910

Donald W. Fulford, Director  
Information Systems Division  
Suite 400, LOB  
300 N. Salisbury St.  
Raleigh, NC 27603-5925  
(919) 733-6834

Terrence D. Sullivan, Director  
Research Division  
Suite 545, LOB  
300 N. Salisbury St.  
Raleigh, NC 27603-5925  
(919) 733-2578

TO: Representative Gray, Chair, UNC Board of Governors Committee  
FROM: Kory Goldsmith, Committee Counsel  
DATE: March 11, 1997  
RE: House UNC Board of Governors Candidates

The following is a list of the candidates for the UNC Board of Governors as selected by the UNC Board of Governors Committee. Pursuant to House Resolution 323, the election shall occur no later than the beginning of the daily session on March 18, 1997. The House is to elect 6 candidates from the At-Large category, and 2 candidates from the Political Minority category.

**UNIVERSITY BOARD OF GOVERNORS  
CANDIDATE BALLOT**

**At-Large Candidates**

- Bradley T. Adcock
- H. Frank Grainger
- Harry Clinton Grimmer
- Howard H. Haworth
- Peter Keber
- John R. Lauritzen
- Henry McKoy
- D. Wayne Peterson
- Edward L. Powell
- H. D. Reaves, Jr.
- J. Craig Souza
- Robert Franklin Warwick

**Political Minority Candidates**

- John F.A.V. Cecil
- William W. Cobey, Jr.
- Timothy Keith Moore
- R. B. Sloan, Jr.

House BOG Candidates



**UNIVERSITY BOARD OF GOVERNORS COMMITTEE  
CANDIDATE BALLOT**

**At-Large Candidates (vote for 12)**

- Bradley T. **Adcock**
- Arch T. **Allen**
- J. Addison **Bell**
- Mark L. **Bibbs**
- H. Frank **Grainger**
- Harry Clinton **Grimmer**
- Howard H. **Haworth**
- John J. (Jack) **Hunt**
- Reef C. **Ivey, II**
- Peter **Keber**
- John R. **Lauritzen**
- Henry **McKoy**
- Wendell Clay **Moseley**
- D. Wayne **Peterson**
- Edward L. **Powell**
- H. D. **Reaves, Jr.**
- Vernon L. **Robinson**
- J. Craig **Souza**
- Robert Franklin **Warwick**
- Harold H. **Webb**

**Political Minority Candidates, (vote for 4)**

- John F.A.V. **Cecil**
- William W. **Cobey, Jr.**
- Joan N. **Danieley**
- Timothy Keith **Moore**
- R. B. **Sloan, Jr.**

**HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS  
CANDIDATE BALLOT**

**At-Large Candidates (vote for 6)**

- Bradley T. Adcock
- H. Frank Grainger
- Harry Clinton Grimmer
- Howard H. Haworth
- Peter Keber
- John R. Lauritzen
- Henry McKoy
- D. Wayne Peterson
- Edward L. Powell
- H. D. Reaves, Jr.
- J. Craig Souza
- Robert Franklin Warwick

**Political Minority Candidates, (vote for 2)**

- John F.A.V. Cecil
- William W. Cobey, Jr.
- Timothy Keith Moore
- R. B. Sloan, Jr.

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Member's name (please print)

---

Member's signature

**Pursuant to HR323, "[e]very ballot shall be signed by the member of the House of Representatives casting it, and no unsigned ballots shall be counted."**

## **Catherine Parsons (Rep. Gray)**

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**From:** Kory Goldsmith (Research)  
**Sent:** Wednesday, March 19, 1997 11:52 AM  
**To:** Catherine Parsons (Rep. Gray)  
**Subject:** FW: BOG election

Rosalind Fuse-Hall is the Secretary of the University. Her address is UNC General Administration, 910 Raleigh Road, P.O. Box 2688, Chapel Hill, NC 27515-2688. You should also copy the letter to President C.D. Spangler (same address) and Mr. C. Clifford Cameron, Chairman of the Board of Governors. Give me a call if you have any questions.

-----  
**From:** Kory Goldsmith (Research)  
**Sent:** Tuesday, March 18, 1997 5:51 PM  
**To:** Rep. Lyons Gray  
**Subject:** BOG election

The resolution requires one last act. The chairman of the committee is supposed to notify the Secretary of the Board of Governors of the names of the persons elected and the category and the term. I will provide Catherine with the address and the name of the Secretary.

Kory J. Goldsmith, Research Division  
NC General Assembly  
Suite 545 Legislative Office Building  
Raleigh, North Carolina 27603-5925  
(919)733-2578, FAX: (919)715-5460  
koryg@ms.ncga.state.nc.us

March 19, 1997

Ms. Rosalind Fuse-Hall, Secretary of the University  
UNC General Administration  
PO Box 2688  
Chapel Hill, NC 27515-2688

Dear Ms. Fuse-Hall:

The following is a list of the successful candidates for the UNC Board of Governors as elected by the House of Representatives on March 18, 1997. All were elected for four-year terms

At-Large Category

Bradley T. Adcock  
H. Frank Grainger  
Henry McKoy  
D. Wayne Peterson  
J. Craig Souza  
Robert Franklin Warwick

Political Minority Category

John F. A. V. Cecil  
Timothy Keith Moore

Sincerely,

Lyons Gray, Chairman  
House Committee, University Board of Governors

cc: President C. D. Spangler  
Mr. C. Clifford Cameron, Chairman of the Board of Governors

# FINAL LIST, UNIVERSITY BOARD OF GOVERNORS

March 6, 1997

## **At-Large** Candidates, University Board of Governors, completed forms

Bradley T. **Adcock** 3-6  
Arch T. **Allen III** 3-4

J. Addison **Bell** 3-4  
Mark L. **Bibbs** 3-6  
H. Frank **Grainger** 3-6  
Harry Clinton **Grimmer** 3-4  
Howard H. **Haworth** 3-5  
John J. (Jack) **Hunt** 3-6  
Reef C. **Ivey, II** 3-5  
Peter **Keber** 3-4  
John R. **Lauritzen** 2-25  
Henry **McKoy** 3-5  
Wendell Clay **Moseley** 3-6  
D. Wayne **Peterson** 3-4  
Edward L. **Powell** 2-27

H. D. **Reaves Jr** 3-4  
Vernon L. **Robinson** 3-6  
J. Craig **Souza** 3-5  
Robert Franklin **Warwick** 3-4  
Harold H. **Webb** 3-4

### **sponsored by**

Hensley, Morgan  
Daughtry, Neely, Russell, Sherrill  
Barbee  
Gulley  
Goodwin, Clary  
Miner  
C. Wilson, Barbee  
McMahan  
Miller  
Hill  
Dickson  
Bowie  
Wilson, Justus, Morris, Thompson  
Crawford  
Creech  
Esposito, Decker, Sexton,  
Brown, Hiatt, Baker, G. Wilson  
Hurley, Warner  
Reynolds  
Rogers, Esposito  
McComas, Hill, Wright  
Blue

## **Political Minority** Candidates, University Board of Governors, completed forms

John F.A.V. **Cecil** 3-5  
  
William W. **Cobey, Jr.** 3-4  
Joan N. **Danieley** 3-6  
Timothy Keith **Moore** 3-5  
R. B. **Sloan, Jr.** 3-5

### **sponsored by**

Ives, Cansler, Sherrill, Justus,  
Nesbitt  
Culp, Davis, Dockham, Neely  
Dockham  
Weatherly  
Howard

MEMORANDUM

Page 3

February 26, 1997

**BOG Seats Available for 1997 Election  
House of Representatives**

<u>BOG Member</u>	<u>Seat</u>	<u>Eligible for Reelection 1997</u>
<u>Mark Bibbs</u>	At-Large	Y
John F.A.V. Cecil	Political Minority	Y
Derick S. Close	At-Large	Y
Ellen S. Newbold	At-Large	Y
Wayne Peterson	At-Large	Y
H.D. Reaves, Jr.	At-Large	Y
Harold Webb	At-Large	Y
Vacant	Political Minority	

Resignation from 1997 class:

John Garwood                      Political Minority

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) Bob Hensley Richard Morgan (Sponsor's Name) proposes  
Bradley T. Adcock (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

Minority political party category

At-large category

(2) Nominee's Name: Bradley T. Adcock  
Nominee's Occupation: Vice President, Government Affairs, BCBSNC  
Address: 106 Lindenthal Court  
Cary, North Carolina 27513

Home Phone: 919-469-2583

Work Phone: 919-490-4119

Fax #: 919-490-2432

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

Board of Trustees, Appalachian State University

MEMORANDUM

Page 3

February 19, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:

None

- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?

No

- (6) If elected, will the nominee serve?

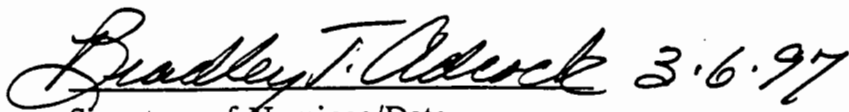
Yes

- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.)

Yes

 3/6/97  
Rep. Bob Zinke, 3/5/97  
Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

 3.6.97  
Signature of Nominee/Date



See the attached pages for answers to questions.

February 19, 1997

MEMORANDUM

TO: House Nominees to the Board of Governors  
FROM: Representative Gray, Chair, University Board of Governors Committee  
RE: Questions to Board of Governors Nominees

Each House nominee to the Board of Governors is asked to answer the following questions and to submit a brief vitae. Answers should be succinct and limited to no more than one typed page per question. Please note that the responses to the questionnaire and vitae are public documents. All responses are due by 12:00 noon on March 6, 1997 in the office of Representative Lyons Gray, Room 532, Legislative Office Building, 300 North Salisbury Street, Raleigh, North Carolina 27611.

1. In your opinion, what is the role of the University of North Carolina Board of Governors?
2. What do you see as your role as an individual member of the Board of Governors?
3. What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?
4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

**In your opinion, what is the role of the University of North Carolina Board of Governors?**

The University of North Carolina Board of Governors, along with the President and General Administration, provides leadership and strategic direction, thus creating and maintaining a higher education system second to none.

A significant responsibility within this role is determining the financial resources needed to accomplish the Board's strategic goals, and fairly allocating those resources to constituent institutions.

The Board of Governors is the steward of the State's investment in higher education. While it is incumbent upon the Board to provide leadership in requesting needed financial resources, it is just as essential that the Board and the General Administration establish high expectations that existing human and financial resources be maximized.

Although most, if not all, members of the Board of Governors have some degree of allegiance to one or more constituent institutions, each member has a responsibility to understand and support the unique missions of all member institutions.

**What do you see as your role as an individual member of the Board of Governors?**

My most important role would be working with the General Assembly, President and General Administration in fulfilling those responsibilities discussed in question one.

Inherent within that role would be devoting necessary time to understand the needs of each institution within the context of the University of North Carolina System.

I would strive to assist in providing the highest quality education while maximizing existing resources.

**What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?**

My primary concerns regarding improvement of the University System center around adequate funding, fair distribution of funds and the most efficient use of funds available.

An environment must be maintained that enables each institution to recruit and retain the highest quality faculty and staff possible. A component of this environment is establishing a stable system that promotes professional growth and appropriate compensation.

While long term strategic goals must be established, it is critical that we keep in mind that appropriate funding be secured and fairly allocated so that science and technology equipment meets the demands of student growth and keeps pace with ever changing technological advances.

My position on any question would be determined after much research and deliberation. A person must have the confidence to advocate a position whether or not it is in the majority of whether it is supported by the General Administration.

**In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?**

It is my hope that I shared, in previous answers, the importance of each Board member recognizing and becoming knowledgeable of the uniqueness and mission of each constituent institution. With this understanding must come the commitment to encourage and support each member institution in being the best they can be.

Bradley T. Adcock  
106 Lindenthal Court  
Cary, North Carolina 27513  
919-469-2583 Home  
919-490-4119 Work

## EXPERIENCE

*Vice-President, Government Affairs*, Blue Cross and Blue Shield of North Carolina, 1994-present.  
Responsible for government affairs operations, representing and protecting the interests of Blue Cross and Blue Shield of North Carolina and its subscribers in state, federal and local government affairs. Act as the corporate spokesperson on matters related to health care reform and principal liaison to the North Carolina Department of Insurance.

*Senior Director, Government Affairs*, Blue Cross and Blue Shield of North Carolina 1985-1995.

*Director, Government Affairs*, Blue Cross and Blue Shield of North Carolina, 1981-1985.

*Manager, Government Affairs*, Blue Cross and Blue Shield of North Carolina, 1978-1981.

*Political Campaign Organizer*, Luther Hodges for U.S. Senate 1977-1978.

## ASSOCIATIONS

- Member, Board of Trustees, Appalachian State University, 1995-present
- Cary Elementary PTA, Parliamentarian, 1993
- Who's Who Among Human Services Professionals, 1992
- Blue Cross and Blue Shield Association Federal and State Relations Advisory Groups, 1989-present
- Leukemia Society of America, Board of Trustees, North Carolina Chapter, 1988-1991
- North Carolina Child Advocacy Institute, Board of Associates, 1988-1991
- North Carolina Child Advocacy Institute, Board of Associates, 1988-1991
- Mental Health Study Commission, Ad Hoc Committee on Health Insurance 1987-1988
- Outstanding Young Men of American, 1979

## EDUCATION

Appalachian State University, Boone, North Carolina  
Bachelor of Arts in Political Science, 1976  
Concentration in American National Government and Campaign Management

Appalachian State University, Boone, North Carolina  
Graduate work in Political Science, 1976-1977  
Concentration in American National Government

## PERSONAL DATA

Born August 12, 1954 in Roxboro, North Carolina.  
Married, two children.

MEMORANDUM

Page 2

February 19, 1997

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) Rep. Carolyn Russell (Sponsor's Name) proposes  
Arch T. Allen III (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

[ ] Minority political party category

[x] At-large category

(2) Nominee's Name: Arch T. Allen III  
Nominee's Occupation: Lawyer  
Address: 1214 Cowper Drive  
Raleigh, North Carolina 27608

Home Phone: 919/832-7651

Work Phone: 919/783-8023

Fax #: 919/783-8282

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

None.

MEMORANDUM

Page 3

February 19, 1997

(4) List (if any) all state or local offices, either elected or appointed, \_\_\_\_\_  
nominee's spouse currently holds: \_\_\_\_\_

None.

(5) Is the nominee or the nominee's spouse an employee of the State of \_\_\_\_\_  
Carolina or a constituent university of the University of North Carolina? \_\_\_\_\_


No.

(6) If elected, will the nominee serve? \_\_\_\_\_

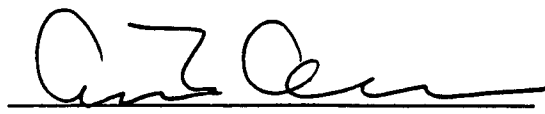
Yes.

(7) If elected will the nominee or the nominee's spouse resign from any \_\_\_\_\_  
appointed positions which conflict with service on the Board of Governors \_\_\_\_\_  
University of North Carolina? (Nominees generally need not resign from \_\_\_\_\_  
positions.) \_\_\_\_\_

Not applicable (but "yes" if applicable) \_\_\_\_\_

  
\_\_\_\_\_  
Signature of Sponsor/Date

The above information is accurate, and I will serve if elected. \_\_\_\_\_

  
\_\_\_\_\_  
Signature of Nominee/Date  
Arch T. Allen III/3 March 1997

**ARCH T. ALLEN, III**  
3700 Computer Drive, Suite 320  
Raleigh, NC 27609  
919/783-8023

*Partner, Allen & Moore, L.L.P., Attorneys at Law*

General civil and business-law practice (September 1996- )  
Certified Mediator for Mediated Settlement Conferences (1996)

*Education and Academic Honors:*

BSBA 1962 and JD with honors 1965, UNC at Chapel Hill  
Order of the Coif  
Editor-in-Chief, *The North Carolina Law Review* (1964-1965)

*Military Service and Awards:*

1LT and CPT, JAGC, USAR (active duty 1965-1968)  
Legal Assistance Officer, EA, MTMTS, New York, NY (1966)  
Trial Counsel, 25th Infantry Division, Cu Chi, RVN (1967-1968)  
Staff Counsel, HQ, 3d Army, Atlanta, GA (1968)  
Bronze Star for Meritorious Achievement (1968)  
Army Commendation Medal (1968)

*Prior Professional Career:*

Private law practice, Raleigh (1968-1991),  
Partner, Moore & Van Allen (and predecessor firm, Allen, Steed & Allen)  
General civil and business-law practice  
Vice Chancellor for Development and University Relations,  
UNC at Chapel Hill (1991-1995)  
Management responsibilities for UNC Bicentennial Observance,  
celebration of University's 200th anniversary  
Management responsibilities for the Bicentennial Campaign  
for Carolina, a \$440 million capital campaign  
Co-chairman, Richard Vinroot Committee (Jan.-May 1996)  
Shared responsibilities in campaign for governor of North Carolina

*Civic Activities:*

Member, Board of Trustees, UNC at Chapel Hill (1989-1991)  
Member, Board of Visitors, UNC at Chapel Hill (1980-1988)  
Director and Member of Executive Committee,  
Greater Raleigh Chamber of Commerce (1981-1982)  
Chairman, Wake County Mental Health, Mental Retardation  
and Substance Abuse Board (1985-1987)  
President, Tammy Lynn Center for Developmental  
Disabilities (1984)  
Member, North Carolina Board of Ethics (1986-1989)  
Member, North Carolina Judicial Center Study  
Commission (1986-1987)  
Wake County Co-chair, Jim Broyhill for Senate (1986)  
Chairman, Wake County Republican Party (1987-1991)  
Chairman, North Carolina Lawyers for Bush-Quayle (1988)  
Director, Wake Medical Center Foundation (1994- )

*Publications:*

Article, *Scholarship under Siege: Can the Search for Truth and Reality Survive?*,  
Spectator Magazine (27 February 1997 p. 7)  
Article, *Insurance Rate Regulation and the Courts: North Carolina's  
"Battleground" Becomes a "Hornbook,"* 61 N.C. L. Rev. 97 (1982)  
Article, *Architecture and Aesthetic Regulation: A Lawyer's Look through the  
Looking Glass,* 30 N. C. Architect 14 (1982)  
Book Review, *Dollars and Deficits* by Milton Friedman, 47 N.C.L. Rev. 1019  
(1969)  
Survey of North Carolina Case Law, *Civil Procedure (Pleadings and Parties)*,  
42 N.C.L. Rev. 612 (1964)  
Note, *Workmen's Compensation—Scope of Immunity from Actions at Law—The  
Question of Borrowed Servants,* 42 N.C.L. Rev. 251 (1963)

*Personal:*

Born in Raleigh in 1940; lifelong Raleigh resident  
Married to Nell Ward Allen; three children (ages 28, 18 and 12)  
Member, Christ Episcopal Church

March 1997



February 19, 1997

MEMORANDUM

TO: House Nominees to the Board of Governors  
FROM: Representative Gray, Chair, University Board of Governors Committee  
RE: Questions to Board of Governors Nominees

Each House nominee to the Board of Governors is asked to answer the following questions and to submit a brief vitae. Answers should be succinct and limited to no more than one typed page per question. Please note that the responses to the questionnaire and vitae are public documents. All responses are due by 12:00 noon on March 6, 1997 in the office of Representative Lyons Gray, Room 532, Legislative Office Building, 300 North Salisbury Street, Raleigh, North Carolina 27611.

1. In your opinion, what is the role of the University of North Carolina Board of Governors?
2. What do you see as your role as an individual member of the Board of Governors?
3. What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?
4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

RESPONSE OF ARCH T. ALLEN, III  
TO  
QUESTIONS TO BOARD OF GOVERNORS NOMINEES

1. *Response to Question 1—The Role of the Board of Governors.*

Because the General Assembly created the Board of Governors, its role is as defined by the General Assembly: to “plan and develop a coordinated system of higher education in North Carolina . . . ,” with specific statutory powers and duties. That role must be consistent with the General Assembly’s stated purpose: “to foster the development of a well-planned and coordinated system of higher education, to improve the quality of education, to extend its benefits and to encourage an economical use of the State’s resources . . . .”

In essence, the Board collectively, through its individual members faithfully and diligently performing those specific duties, sets policies as prescribed by statute toward that stated institutional purpose.

2. *Response to Question 2—My Role as an Individual Member.*

First and foremost, as an individual member, if elected my role would be to perform those specific statutory duties faithfully and diligently, always adhering to the standards set by the General Assembly. Second, I would personally try to be a good listener and learn more about the system as a whole and about the particular needs of the individual campuses, their faculties, and their students. (My personal style is always to work more with my ears than my mouth.) Third, after study and deliberation, I would assert my individual judgment; and, when I became convinced that a certain policy or course of action was appropriate, I would attempt to persuade a majority of the members of the Board of the appropriateness of that position. Fourth, I would recognize that I must accede to the institutional decisions made by a majority of the members of the Board, even if I advocated another position.

In sum, I would bring my individual experiences and independent judgment to the institutional decision-making process, always adhering to the standards set by the General Assembly.

3. *Response to Question 3—My Primary Concerns and Methods for Solutions.*

I have primary concerns about all three parts of the university system’s academic mission of teaching, research, and public service. First is the need to improve undergraduate education throughout the system with a renewed emphasis on the importance of rewarding faculty for excellent teaching in a traditional core curriculum. (I understand that UNC-Asheville has a model undergraduate core curriculum.) Second, while fully recognizing the importance of research and post-graduate and professional

education to the State, I am concerned that non-educational factors such as regional economic development will lead to a dilution of the State's resources at the graduate and professional school level and a diminution of quality of the system's existing programs at that level; in other words, I would be more inclined to eliminate some Ph. D. programs rather than creating more of them (only after careful study, of course). Third, although I am aware of much of the public service provided to our State by our university system, I am concerned that it has not been more effective in improving our State's public education at the primary and secondary levels. —

I am also concerned that the Board's and General Administration's centralized management structure, perhaps appropriate when adopted 25 years ago, is too rigid and bureaucratic for current needs, and I think that the Board should study the desirability of a more decentralized management structure with more responsibility and accountability at the campus level. Finally, a related concern is that the Board is too staff-driven by the General Administration.

In advocating and implementing solutions to my concerns, I would encourage a more pro-active, probing Board. I recognized, of course, that neither I nor any Board member or candidate has solutions to all the concerns confronting our university system. I think, however, that a more dynamic, less bureaucratic environment would be more conducive to solutions.

I would not be deterred from advocating a position contrary to that of a majority of the Board, but once the Board acted under prescribed procedure I would accede to its institutional decision. I would have no reluctance in advocating a position contrary to that of the General Administration. In fact, when I was a member of the UNC-CH Board of Trustees (1989-91 as an appointee of Governor Martin), I advocated positions contrary to those of the system General Administration.

#### 4. *Response to Question 4—the Individual Constituent Universities.*

I would pursue a personalized and decentralized approach to learn more about the individual campuses.

Because I am already familiar with UNC-CH, I have written the other 15 campuses' chancellors as a courtesy to inform them of my candidacy and to express my interests in visiting their campuses and learning of their particular concerns. I know Chancellor Monteith of NCSU (where my wife graduated) and have already spoken with him about some of his concerns. I also know Chancellor Chambers of NCCU and have spoken briefly with him to discuss arrangements to visit with him at that campus. I also know Chancellor Leutze of UNC-Wilmington, but have not visited with him since my candidacy for the Board. I look forward to further discussions with them and to discussions with the other chancellors.

As those efforts indicate, I would focus my approach through the individual campuses' chancellors, but I would extend my efforts to faculty, trustees, alumni, students, and interested friends of the individual campuses including members of the General Assembly. Although I would certainly be interested in learning what the General Administration reports about the individual campuses, my emphasis would be on the campuses themselves as the primary sources of information about them.

Despite this personalized and decentralized approach to better understanding the individual campuses, it would be for informational purposes only, and in no manner would those personal informational efforts interfere with management and administrative protocols or executive responsibilities of the University president or other officers.

MEMORANDUM

Page 2

February 19, 1997

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) J. Jim Gulley (Sponsor's Name) proposes  
J. Addison Bell (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

- Minority political party category  
 At-large category

(2) Nominee's Name: J. Addison Bell  
Nominee's Occupation: CEO, Carotek, Inc.  
Address: 2216 Sherwood Avenue  
Charlotte, NC 28207

Home Phone: 704-343-9712  
Work Phone: 704-847-4406  
Fax #: 704-845-5077

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

None

MEMORANDUM

Page 3

February 19, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:

None

- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?

No

- (6) If elected, will the nominee serve?

Yes

- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.)

Yes

*Jim Gullig* 3-3-97  
Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

*J. Addison Bell* 2/27/97  
Signature of Nominee/Date

February 19, 1997

MEMORANDUM

TO: House Nominees to the Board of Governors  
FROM: Representative Gray, Chair, University Board of Governors Committee  
RE: Questions to Board of Governors Nominees

Each House nominee to the Board of Governors is asked to answer the following questions and to submit a brief vitae. Answers should be succinct and limited to no more than one typed page per question. Please note that the responses to the questionnaire and vitae are public documents. All responses are due by 12:00 noon on March 6, 1997 in the office of Representative Lyons Gray, Room 532, Legislative Office Building, 300 North Salisbury Street, Raleigh, North Carolina 27611.

1. In your opinion, what is the role of the University of North Carolina Board of Governors?
2. What do you see as your role as an individual member of the Board of Governors?
3. What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?
4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

1. In your opinion, what is the role of the University of North Carolina Board of Governors?

The Board of Governors provides the leadership to the 16 constituent universities by:

oversight and approval of financial budgets with strict accountability for submission to the General Assembly,

working with the President of the university system and the Chancellors of each university,

defining and coordinating the various undergraduate and graduate programs of the university system,

establishing and enforcing the ethical and moral codes of the system,

oversight of student tuitions,

promoting a positive working relationship with the general public including the private sector encouraging financial support,

working with the General Assembly on all significant university system functions especially financial planning and budgeting requirements,

and promoting the overall betterment of higher education for the people of the State of North Carolina.

2. What do you see as your role as an individual member of the Board of Governors?

I would endeavor to work closely with the other members of the Board of Governors, the President of the University system, the Chancellors and the General Assembly by:

promoting an active and inclusive agenda addressing the needs of each constituent university,

recognizing the common and different missions of each university,

providing careful budget oversight,

encouraging expansion of the university system as may be demonstrated by the needs of our citizens,

serve on any appropriate committees,

commit a large portion of my time,

and hopefully have an idea or two.



3. What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?

I would hope the equity issue concerning the university system can be resolved by a formula that is fair to each constituent university while recognizing the unique mission posed by each university. I would work with the Board and the General Assembly to address and implement the recommendations in the recent study UNC Equity of Funding commissioned by the General Assembly.

The financial needs of the system will continue to increase and must be considered if the needs of our citizens are to be met and if North Carolina is to remain a competitive and vigorous economy. I believe we can do a far better job of encouraging private financial funding and by especially increasing our endowments where we lag far behind many other states and universities.

Where I may hold a position contrary to that of the majority of the Board or the General Administration I would endeavor to consider realistically the facts of the position, to hopefully persuade based upon those facts or to accept an appropriate compromise. If proven wrong I would yield gracefully and at all times conduct myself in an amiable and professional manner.

4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

Our constituent universities have different origins as well as different missions. They range from large student bodies to relatively small student bodies. We have some of the oldest universities in the country, particularly Chapel Hill and later NC State, to some of the newest universities in the country such as UNC Charlotte and the NC School of the Arts. The 16 universities are spread geographically across the state and they serve densely populated urban areas as well as some rural ones. The needs across the state are different yet they have many educational needs in common.

We have two research universities, Chapel Hill and NC State, which have unique requirements and five historically black universities which also have unique and special needs. Each university must be judged and cared for in relationship to its unique roll and mission while an equity formula must be developed that is as fair as possible with the resources that can be provided by the people of North Carolina.

# VITAE

February 15, 1997

J. Addison Bell  
2216 Sherwood Avenue  
Charlotte, NC 28207

I was born April 5, 1933 in Lynchburg, Virginia and married Janette (Sue) Graham Bell in 1956. We have four children and six grandchildren.

I attended the Engineering College of the University of Virginia for two years and later graduated from UNC-Charlotte with a BA in History with high honors. From 1958 to 1961 I was employed by the Douglas Aircraft Company as a process engineer and from 1961 to 1965 by an Atlanta engineering firm as a sales engineer. I also served in the aviation branch of the U.S. Navy for four years.

In 1965 I founded and am currently CEO of Carotek, Inc., headquartered in Matthews, NC. Carotek presently employs 150 personnel consisting of graduate electrical and mechanical engineers, graduate community college technicians, engineering coop students from UNC-Charlotte and NC State, computer scientists, administrators, electricians, mechanics and other work disciplines.

I have served as a PTA President and as a founder and President of the Matthews Chamber of Commerce. I have been a Council Member of Christ Lutheran Church and I am presently Finance Chairman. I have been and am currently a member of several professional associations and have served as a member and President of several manufacturer's advisory boards as well as the Advisory Bank Board of B B & T.

I am an active aviation pilot. I enjoy reading mostly 20th century American history. I also enjoy golf, running, my grandchildren and trips with my wife.

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) Wayne Goodwin, Debbie Clary (Sponsor's Name) proposes  
MARK L. BIBBS (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

[ ] Minority political party category

At-large category

(2) Nominee's Name: MARK L. BIBBS

Nominee's Occupation: ATTORNEY

Address: P.O. Box 1730  
Raleigh NC 27602

Home Phone: 919-821-8444

Work Phone: 919-833-1931

Fax #: 919-833-8009

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

Member, UNC Board of Governors

MEMORANDUM

Page 3

February 19, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:

*NONE.*

- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?

*No.*

- (6) If elected, will the nominee serve?

*YES.*

- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Generally, local positions do not need to be resigned). *yes.*

*Sebbu Cary*

*Wayne Goodwin* *3-5-97*  
Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

*Mark R. Bilbo*  
Signature of Nominee/Date

February 19, 1997

MEMORANDUM

TO: House Nominees to the Board of Governors  
FROM: Representative Gray, Chair, University Board of Governors Committee  
RE: Questions to Board of Governors Nominees

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3. What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?
4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

## **MARK L. BIBBS**

P.O. Box 1730  
Raleigh, North Carolina 27602  
(919) 833-1931

### **EDUCATION**

**B.A., Political Science, University of North Carolina at Chapel Hill,  
(May 1992).**

**J.D., University of North Carolina at Chapel Hill,  
(May 1995).**

### **PROFESSIONAL EXPERIENCE**

#### **ATTORNEY AT LAW**

**March 1996 to present**

Engaged in the full-time practice of law. Areas of practice include: Administrative and Regulatory Law; Business and Corporate Law; Utilities Law; Civil Litigation; General Trial Practice in all State and Federal Courts.

#### **THE BIBBS GROUP**

**October 1991 to February 1996**

***Consultant***

Specializing in Political Consulting; Legislative and Governmental Affairs Consulting; and Legislative Advocacy.

#### **UNC LAW SCHOOL CRIMINAL CLINIC**

**August 1994 – May 1995**

***Certified Law Student***

Represented indigent clients on various misdemeanor charges in the District Courts of Orange and Chatham Counties as a certified law student.

#### **SMITH, ANDERSON, BLOUNT, DORSETT, MITCHELL & JERNIGAN, LLP**

**Attorneys at Law.**

**May 1994 to August 1994**

Raleigh, N.C. – Specialized in the area of legislative and governmental affairs.

#### **BURFORD & PUGH, Attorneys at Law**

**May 1993 to August 1993**

Raleigh, N.C. – Summer Law Associate. Concentrated in the areas of Legislative Representation and Governmental Affairs and Civil Litigation.

#### **OFFICE OF THE SPEAKER, N.C. HOUSE OF REPRESENTATIVES**

**May 1990 to September 1991**

***Special Aide***

Served as staff to Representative Daniel T. Blue, Jr., before and during his term as Speaker of the North Carolina House of Representatives. Responsibilities included: Public Relations work; Constituent Services; Legislative Affairs and Research; Special Projects and Events.

### PUBLIC SERVICE

#### **Board of Governors, The University of North Carolina System September 1991 – Present.**

##### **Member**

Elected by the N.C. House of Representatives in March of 1993 for a term ending in June of 1997. Youngest person to ever be elected. Built a strong bipartisan coalition that garnered 101 of 114 votes to win the 3rd place slot for 6 At Large seats. One of only 3 people to receive more than 100 votes. Appointed in September of 1991 as the first student in North Carolina history to serve on this Board. The Board is responsible for setting the policies for the 16 campus University system.

**Member, Secretary & Vice Chairman of The Committee on Budget and Finance.**

**Other Committee appointments include:** The Committee on University Planning Policies and Programs; The University Award Committee; The Special Committee on Student Fees; and the O. Max Gardner Award Committee.

#### **Board of Directors, The North Carolina Museum of Natural Sciences May 1996 to present.**

##### **Member**

### COLLEGE AND LAW SCHOOL ACTIVITIES

#### **President, The University of North Carolina System Association of Student Governments.**

**1990 to 1993.**

Served an unprecedented 3 terms as President for the 16 campus student government association. Was the first black student elected to this position. Responsible for the administration and co-ordination of the Student Government Associations for the 16 campus UNC system. Served as chief student representative to the North Carolina General Assembly, and to the UNC Board of Governors.

#### **The UNC Student Supreme Court**

April 1990-94. Served as Chief Justice from April 1991 until April 1992. Served as an Associate Justice of the Court from April 1990 to April 1991, then again from April 1992 until January 1994. Responsible for presiding over, and writing opinions for cases heard by the court.

### POLITICAL ACTIVITIES

1996 Democratic National Convention – Delegate from North Carolina.

1996 Clinton/Gore – Volunteer and Aide to North Carolina Co-Chairman, Daniel T. Blue, Jr.

Volunteer: North Carolina Clinton/Gore Campaign – 1992.

Delegate: Wake County Democratic Convention – 1996.

Delegate: N.C. 4th Congressional District Democratic Convention – 1996.

Delegate: North Carolina State Democratic Convention – 1996.

### ADDITIONAL UNIVERSITY ACTIVITIES

- UNC-CH Student Congress Representative, 1989-90. Chair of the Rules and Judiciary Committee.

## BIBBS RESUME

Page 3

- **Executive Director**, North Carolina Inter-Campus Government Association.  
A statewide Association comprised of both public and private colleges and universities, 1989-90.
- UNITAS, 1988-89. A year-long multicultural living and learning program for academic credit.
- The Dialectic and Philanthropic Literary and Debate Society 1989-91. Served as parliamentarian.
- North Carolina Student Legislature, 1988-90. Chair, Committee on Courts, Constitutional Amendments and Referenda. Co-Chair, Finance Committee. Member of the Senate.

### UNIVERSITY HONORARY SOCIETIES AND FRATERNITIES

Order of the Grail-Valkyries.  
President, Order of the Grail.  
Order of the Old Well.  
Phi Alpha Delta, Legal Fraternity.  
Alpha Phi Alpha Fraternity, Inc.

### UNIVERSITY BOARDS AND COMMISSIONS

UNC-CH, Student Union Board of Directors, 1990-91.  
UNC-CH, Student Recreation Center Board of Directors, 1989-90.  
UNC-CH, Transportation & Parking Advisory Board, 1989-90.  
UNC-CH, Food Services Advisory Board, 1989-90.

Town of Chapel Hill, Human Services Advisory Board, 1989-90.  
First student to ever be appointed.

Board of Directors, UNC Association of Student Governments, 1990-1993. Chairman.

### HONORS AND AWARDS

UNC-CH, Dean's List 1990 & 1991.  
United Way Outstanding Service Award, 1988.  
Outstanding Community Service Award, Gaston County Organization for Community Concerns, 1988. Youngest person, and first student to ever receive this award.  
Governor's Award for Human Relations, 1988.  
Academic scholarships from: FMC Corporation and N.C. Order of the Eastern Star.

### RELIGIOUS ACTIVITIES

Member, First Baptist Church, Raleigh, North Carolina  
Former Member, Board of Trustees, Ebenezer Baptist Church, Kings Mountain, N.C.  
Vice-President, Youth Auxiliary of the General Baptist State Convention of N.C., Inc. 1988-91.

### PERSONAL

Born: September 2, 1970, Gaston County, North Carolina.  
Parents: Christine and the late Lenzie Bibbs.  
Raised: Kings Mountain, North Carolina.  
Married: September 7, 1996, to Chermayne Rayford Bibbs. No children.



MEMORANDUM

TO: Members of the UNC Board of Governors Committee  
FROM: Mark L. Bibbs  
RE: Responses to Questions  
DATE: March 6, 1997

**1. In your opinion, what is the role of the University of North Carolina Board of Governors?**

During my six years as a member of the Board of Governors, I have come to understand that the role of the Board is to set policy for our University system. Our Board is the chief advocate for our University to the General Assembly and to the people of our great state. We have the responsibility of setting a course for our campuses that will continue to make our University system the best in the nation. We do not favor any campus over any other campus, however we help ensure that each campus carries out its individual mission to the people of North Carolina, and we do this in a bi-partisan manner.

**2. What do you see as your role as an individual member of the Board of Governors?**

Over the last six years, I have remained an independent voice on our Board of Governors. If re-elected I will continue to be an independent voice not influenced by politics. I have been very active in lobbying for the University both at the General Assembly and across the state. I will continue to do that even if I do not serve another term on the Board of Governors because I believe strongly in our University system. As a two time graduate of our system, I understand the importance of our University to this state. I have worked hard for each campus, and have not shown any favoritism for any school. I have been very active on the Board and committed to the success of the University. I have not been a rubber stamp for anyone or anything, and will not be. In my six years, I have missed only 2 meetings of the full Board of Governors, once for illness and once for my wedding and honeymoon. I am a serious and committed member and will continue to be if re-elected.

**3. What are your primary concerns regarding improvement of the University system? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of a majority of the Board or of the General Administration?**

My primary concern regarding improvement of the system is to keep partisan politics out of our decision making process, and to work hard to improve the University's image with the General Assembly and the people of our state. I have addressed these and many other concerns over the last six years, and at times I have been in the minority on votes on the Board. I have advocated contrary to the General Administration at times, when I disagreed with certain policies and procedures they advocated. The Board is elected to lead the University and make the decisions, we depend on the staff to help in that effort, but the end result is our own, and should continue to be.

**4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?**

I have been a strong advocate for each of our campuses. I have worked to help each campus with various projects and problems. I understand the missions of all of the campuses and have advocated for policies and programs to strengthen each campus and to help maintain their individual missions. All of our campuses cannot and must not be the same, but they should have equal access and should be treated fairly by the Board of Governors in every aspect of our decision making process.

MEMORANDUM

Page 2

February 19, 1997

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) Rep. David Miner (Sponsor's Name) proposes  
H. Frank Grainger (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

[ ] Minority political party category

[] At-large category

(2) Nominee's Name: H. Frank Grainger  
Nominee's Occupation: Agriculture Chemical Business  
Address: 806 Reedy Creek Rd.  
Cory, NC 27513

Home Phone: (919) 467-1599

Work Phone: (919) 469-~~88~~0644

Fax #: (919) 467-9142

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds: none

MEMORANDUM

Page 3

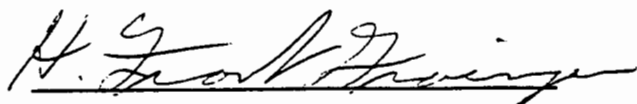
February 19, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds: *none*
- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina? *no*
- (6) If elected, will the nominee serve? *yes*
- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.) *yes, but no offices held.*

 *2/27/97*

Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

 *2/28/97*

Signature of Nominee/Date

## **Responses to Questions Posed to Board of Governors Nominees**

by

**Representative Gray, Chair, University Board of Governors Committee**

1. In my opinion the role of the University of North Carolina Board of Governors is to develop the long-range vision for the University of North Carolina System to bring the system into the 21st century with goals and objectives. The long-range vision must take into account the needs of the people of North Carolina, the desires of the General Assembly of North Carolina, the resources available, and the ability of the individual member institutions to develop plans and objectives to meet this vision. The role of the Board of Governors in this process is to collect all the input from the above sources and to provide overall policy that will direct the member institutions to the long-range vision. Over time the role of the Board of Governors is to monitor the progress towards the vision and to modify and change the vision as the situation arises. The Board of Governors has to be responsive to the wishes of the people of North Carolina as they speak to the General Assembly, in particular, the House of Representatives.
2. I see my role as an individual member of the Board of Governors much like that of an outside director of the corporations on whose boards I sit. My responsibility is to listen to information presented; to obtain additional information if needed; and help set policies that will achieve a long-term vision for the University System. It is not my role to involve myself with individual member institutions and their daily operations. My role is primarily that of advising and guiding.
3. My primary concerns regarding improvement of the University System are related to a vision for the system and that vision's responsiveness to the needs of our state. I have not seen an articulated vision of where the Board of Governors would like or expects the University System to be in the 21st century. While it may exist, it certainly has not been articulated to the citizens of North Carolina for their response. The University System needs a vision that meets the needs of the citizens of North Carolina. Each individual institution under that vision must take actions that lead it to compliment the vision. This vision needs to be exposed to the citizens of North Carolina and to the General Assembly to make sure they are in accord with where the System is going.

As an individual Board member I would not hesitate to advocate a position contrary to that of the majority of the Board or the General Administration if I felt that my position was based in fact meritorious. I would of course want to make sure that I was right on my facts before advocating a contrary position. Just because I may feel or believe differently on a situation, does not mean that I should oppose the majority after the vote has been taken. I am entitled to express my opinion and to seek to implement solutions within the Board. There comes a time in all groups that the majority must rule. If I thought the majority was absolutely wrong, then I would continue to press the issue with members of the General Assembly.

With regard to opposition to the General Administration, I do not feel the same way. I believe it is my responsibility to challenge their positions and test the facts underlying them. When the General Administration makes a proposal, they should be prepared to convince me of the rightness of their position. If I am not convinced, then they either need to present me with more information or face the prospect of having me opposing them within the confines of the Board. I would certainly not expect to be a rubber stamp for the General Administration. I see that happen too many times on boards where I sit.

4. I would reflect my appreciation and understanding for the unique missions of the individual constituent universities by visiting each member and learning of their uniqueness as perceived by the institution. I would then work within the Board to develop policies that reflected the unique missions while meeting the overall vision of the system. I would be open to input from the various institutions to help me keep a current understanding of each unique mission.



## **Hiram Frank Grainger**

### ***Early Years***

Born in New Hanover County, Wilmington, North Carolina, on October 18, 1944, to John William Grainger and Rowena Cannon Grainger.

### ***Educational Background***

Williams Township High School, 1963; Wayne Community College, 1963 - 1965, Agricultural Chemical Program.

### ***Professional Background***

*Sales of Agricultural Products 1965 to 1984: Kerr McGee Oil Co., Oklahoma City, Oklahoma; Mobile Oil Co., New York; Procter & Gamble Co., Cincinnati, Ohio; and Fairmount Chemical Co., Newark, New Jersey.*

Owner, *Fair Products, Inc.*, Cary, NC, 1984 to present; Owner, *Crossroads Travel & Cruise Center*, Cary, NC, 1994 to present; Owner, *Port of Call Travel*, Myrtle Beach, SC, 1994 to present; Owner, *TriTest, Inc.*, 1996 to present; Owner, *family dairy & tobacco farm*, Columbus County, NC, 1984 to present.

### ***Organizations***

1981 - Co-founder and Treasurer, *Tobacco Growers Association of North Carolina*, 1990 "Presidents Award"; beginning February 1997, *Tobacco Growers Association Board*; 1984 - *NC Grange - Tobacco Executive Board*; beginning 1969, Member, *Southern Crop Protection Association - 1992 to present, Board Member*; 1994 - Co-founder and Chairman, *Economic Development Coalition - 2000*; 1996 - *NC State Education and Psychology Board*; 1996 - *Parks Scholarship Board*; 1996 - *Board of Directors, Wolfpack Club*.

### ***Personal Information***

Married, Judith (Keever) Grainger, October 31, 1987. Children: Michelle Lee Grainger and Hiram Frank Grainger II. Michelle is a Senior at NC State University and Frank attends Brewster Academy in Wolfeboro, New Hampshire. Attends Edenton Street Methodist Church, Raleigh, NC.

MEMORANDUM

Page 2

February 19, 1997

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) Rep. Constance (Connie) Wilson (Sponsor's Name) proposes  
Harry Clinton Grimmer (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

Minority political party category

At-large category

(2) Nominee's Name: Harry Clinton Grimmer

Nominee's Occupation: Residential Builder and Developer

Address: 8720 Lake Challis Lane  
Charlotte, NC 28226

Home Phone: (704) 544-9194

Work Phone: (704) 847-8823

Fax #: (704) 845-9297

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds: None



MEMORANDUM

Page 3

February 19, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:      None
- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?      No
- (6) If elected, will the nominee serve?      Yes
- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.)      Yes - N/A See 3 & 4 above

Constance K. Wilson  
Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

Henry C. Ginnier 2/28/97  
Signature of Nominee/Date

February 19, 1997

MEMORANDUM

TO: House Nominees to the Board of Governors  
FROM: Representative Gray, Chair, University Board of Governors Committee  
RE: Questions to Board of Governors Nominees

Each House nominee to the Board of Governors is asked to answer the following questions and to submit a brief vitae. Answers should be succinct and limited to no more than one typed page per question. Please note that the responses to the questionnaire and vitae are public documents. All responses are due by 12:00 noon on March 6, 1997 in the office of Representative Lyons Gray, Room 532, Legislative Office Building, 300 North Salisbury Street, Raleigh, North Carolina 27611.

1. In your opinion, what is the role of the University of North Carolina Board of Governors?
2. What do you see as your role as an individual member of the Board of Governors?
3. What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?
4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

ANSWERS TO MEMORANDUM QUESTIONNAIRE

1. The primary function of the University of North Carolina Board of Governors is to plan and develop a coordinated system of higher education for the state of North Carolina. The following, although not all inclusive, represents some of their major responsibilities:
  - A. To govern all of the affairs of the constituent institutions of the U.N.C. system while maintaining close liaison with the State Board of Education, private colleges and universities of the State and the Dept. of Community Colleges.
  - B. Prepare and revise, as needed, a long range plan for a coordinated system of higher education.
  - C. Determine types of degrees to be awarded by each of the sixteen constituent institutions.
  - D. Set enrollment levels.
  - E. Elect and hire the President of the University of North Carolina system.
  - F. Elect the Chancellors of each institution. Also elect and/or appoint and fix the remuneration package for Vice Chancellors and employees of tenure.
  - G. Approve the budgets of each institution as submitted by the President.
2. To use my maturity, business and legislative experience, and genuine concern for the availability of higher education opportunities for the citizens of North Carolina to add meaningful input to the decision making process to insure a continuation of one of the best higher education systems in the country.
3. I believe my record indicates that I have the ability to form the necessary coalitions to successfully achieve the positions of advocacy I choose to present.

Presently, my primary concerns regarding improvements to the University System are as follows:

- A. Developing a more pro-active Board of Governor's position relative to the Department of Community College's sense of urgency to develop a "common course" curriculum as required by 1995-96 legislation.
- B. Seek an adoption of "Post" Tenure review policies.
- C. Encourage constant internal Board review relative to how the Board can best add "value" to the higher education system.
- D. Encourage the Board to delegate more authority relative to faculty decisions to institution administration while maintaining final control through adoption of necessary "oversight committees".

4. Set a goal to become as learned as possible relative to the overall needs of the higher education goals and requirements of the university system and business community. Work diligently to accomplish these goals. In this process I would always keep in mind that each institution is designed to add key parts to the whole process of availability of quality higher education to the citizens of North Carolina.

  
Candidate for Board of Governor's

2/27/97  
Date

## BRIEF BIOGRAPHY

### PERSONAL

NAME: Harry Clinton Grimmer  
8720 Lake Challis Lane  
Charlotte, NC 28226

FAMILY: Married 40 years to  
Elise R. - 2 children  
Janet E. & H. Craig

BORN: Pitt County, NC 12/6/35

EDUCATION: BSIE N.C. State  
University

### BUSINESS

EXPERIENCE: U.S. Navy Meteorologist; Plant Industrial Engineer; Manufacturer's Representative, Sales Manager; General Manager and Executive Vice President; President and Owner of Harry Grimmer & Co., Inc. (22 years)

EDUCATION: Honors Graduate, North Carolina State University  
Very Active in Campus Activities  
Voted one of ten outstanding Engineering Students

### INVOLVEMENT

COMMUNITY 5 Years Leadership - YMCA Indian Guides

SOCIAL & Boy Scout Counselor - 1981 - 1982

CHURCH Coach - Little League Basketball

#### ACTIVITIES:

Advisory Board - United Carolina Bank 1982 - 1991

Former member of Board Directors, Park Meridian Bank

Housing Cost Task Force, Mecklenburg County and State level

Active Member-Calvary Church - Building Program Fund Raising Committee  
51 Committee, etc.

Mayoral Appointments: Capital Improvement Program (CIP) 1982

Citizens Advisory Committee - County 1983

"Everyone Step Forward" Bond Referendum Committee

Charlotte/Mecklenburg Residential Ordinance - Rezoning Review Committee

South Mecklenburg Interim District Plan Committee 1986

United Way: Allocation & Review Board - 1985

Community Resource Board - 1985

Strategic Planning Committee - 1986

Chairman of Christmas Bureau - 1985

Chairman - Mecklenburg County Zoning Board of Adjustment 1980 - 1982

Board of Directors - GPAHA (Greater Providence Area Homeowners Assoc.)  
1983 - 1984

Vice President 1985 - 1986

EDUCATIONAL: President of Lansdowne P.T.A. (1974)

Harry C. Grimmer  
Brief Biography  
Page 2

PROFESSIONAL: President - CHBA (Charlotte Home Builders Assoc.) 1983  
B.O.D. - Executive Committee NCHBA, 1982 - 1984  
V.P. - Region VI - NCHBA 1982  
Co-Chairman - NCHBA Legislative Committee 1985, 1986  
V.P. - HOW (Home Owner's Warranty Assoc.) 1983  
Lifetime Director National Association of Home Builders  
Charlotte "Home Builder of the Year" 1983

OTHERS: Served on various Ad Hoc Committees  
Board of Directors, etc., of above and other organizations.

POLITICAL: N.C. House of Representatives, 1987-88, 1989-90, 1991-92  
Vice Chairman N.C. House Solid Waste Committee, 1989-1990  
Vice Chairman N.C. House Public Transportation Committee, 1989-1990  
General Assembly Highway Oversight Committee, 1989-1992  
Governor Advisory Budget Committee  
Mecklenburg County's Most Effective Legislator, 1989-1990  
Third Most Effective N.C. House Republican Legislator, 1989-1990

ASSOCIATIONS: Member of  
Charlotte Mecklenburg Republican Party  
N.C. Republican Party  
National Republican Committee  
Charlotte Home Builder's Association  
Charlotte Board of Realtors

February 19, 1997

MEMORANDUM

TO: Members of the House of Representatives  
FROM: Representative Gray, Chair, UNC Board of Governors Committee  
RE: Nomination Form 1997 Board of Governors

Only the submission of the attached completed nomination form (including the nominee's responses to the questionnaire and the nominee's vitae) to the office of Representative Lyons Gray, Chair, UNC Board of Governors Committee, Room 532, by 12:00 noon on March 6, 1997 shall constitute official nomination of an individual.

NOTE: No member of the General Assembly, State officer or employee, employee of a constituent university, nor any spouse of these persons may serve on the Board.

G.S. 116-6(a) defines the minority political party as "the political party to which the largest minority of the members of the General Assembly belongs." During the 1997-98 Session, the Republican Party is the minority political party for purposes of satisfying G.S. 116-6.

MEMORANDUM

Page 2

February 19, 1997

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) Representative W. Edwin McMahan (Sponsor's Name) proposes  
Mr. Howard H. Haworth (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

Minority political party category

At-large category

(2) Nominee's Name: Howard H. Haworth

Nominee's Occupation: President - The Haworth Group, The Haworth Foundation, Inc.  
(Details on attached biographical summary)

Address: 1340 Scotland Avenue  
Charlotte, N.C. 28207

Home Phone: (704) 332-1666

Work Phone: (704) 332-7666

Fax #: (704) 332-8666

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

Chairman Emeritus - North Carolina State Board of Education (non voting)



MEMORANDUM

Page 3

February 19, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:

None

- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?

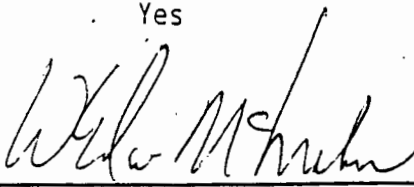
No

- (6) If elected, will the nominee serve?

Yes

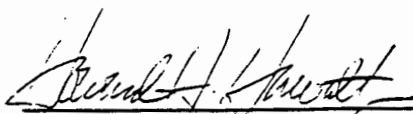
- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Generally, local positions do not need to be resigned).

Yes

  
3/5/97

Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

  
3/5/97

Signature of Nominee/Date

Howard H. Haworth  
Biographical Information

Current Business Involvement

The Haworth Group, Charlotte, N.C. - President\*  
Haworth Foundation, Inc. Charlotte, N.C. - President  
First Union Corporation - Member of Board of Directors

\*Haworth family investment management and management of Haworth Foundation, Inc. activities. Includes management of all activities connected with numerous local, state and national boards that I serve.

Education

High Point Central High School, High Point, North Carolina  
Guilford College, Greensboro, North Carolina - B.A. Degree - History  
Rhodes Scholarship Competition Nominee - 1957

Current Community, State and National Involvement

Chairman Emeritus - North Carolina State Board of Education (non voting)  
North Carolina Public School Forum - Board of Directors  
Childrens Defense Fund - Board of Directors  
Davidson College - Board of Visitors  
Charlotte Mecklenburg Education Foundation - Board of Directors  
Charlotte Chamber - Vice Chairman/Education Council

Former Business/State Government/Civic Involvements

Drexel Heritage Furnishings, Inc. - President 1/1/73 - 2/2/83  
Chairman of the Board - November 1982/March 1985  
(Employment Date - August 18, 1958)  
Secretary of Commerce/State of N.C. 1985 - 1987  
Member N.C. State Board of Education 1987 - 1995  
Chairman 1988 - 1991  
Guilford College, Greensboro, N.C. - Board of Trustees (15 years)  
UNC Charlotte - Board of Trustees 1979 - 1983

Special Recognition/Awards Presented

Morganton "Man of the Year" - Morganton Rotary Club 1987  
North Carolina's "Order of the Long Leaf Pine" - 1987  
Guilford College "Alumni Excellence Award" - 1987  
Silver Beaver Award for Distinguished Service to Youth  
Presented by Piedmont Council Boy Scouts of America 1994  
Guilford College Athletic Hall of Fame - 1980

Personal

Born in Buffalo, N.Y. 1934 - Moved to High Point, N.C. 1937  
Married Patricia (Pat) Garrison Haworth 1958  
(Two children ages 34 and 31 - 4 grandchildren)  
Residence: 1340 Scotland Avenue, Charlotte, N.C. 28207 - Phone (704) 332-1666  
Business: P.O. Box 15369, Charlotte, N.C. 28211 - Phone (704) 332-7666 - Fax (704) 332-8666

Howard H. Haworth

Answers to Questions to Board of Governors Nominees

1. In your opinion, what is the role of the University of North Carolina Board of Governors?

In the course of being involved in the past with two constitutional appointed positions (Secretary of Commerce and member of the State Board of Education), I have become reasonably knowledgeable of the North Carolina Constitution and particularly Article IX and its content regarding all aspects of public education in North Carolina. I have also secured a copy and reviewed General Statutes 115 and 116 as they apply to higher education in North Carolina. Finally, I have read thoroughly the "Statement of Mission" adopted November 8, 1996 regarding the University of North Carolina System. I understand the provisions and expectations of these documents and support them in their entirety.

Clearly, since teaching and learning of excellent quality is the highest priority of the University of North Carolina system it is the Board of Governors first responsibility to satisfy itself in a variety of ways that this is indeed occurring. The Board must always be knowledgeable of and responsive to the similarities and differences between and among, the sixteen institutions.

The Board of Governors through the work of its four standing and numerous subcommittees must also insure efficient use of resources, fiscal oversight and planning, adequate attention to governance matters as well as personnel and program oversight responsibilities.

The Board of Governors should consistently provide to the North Carolina Legislature in a timely fashion information they find necessary regarding higher education to carry out their duties and responsibilities. It must consistently be realized by the Board that they are one of the very important links between the public and their University of North Carolina system.

2. What do you see as your role as an individual member of the Board of Governors?

I would do my homework thoroughly and regularly with regard to both short range and longer range matters and responsibilities of the Board.

I believe it is particularly important to understand the environment in which the endeavor is being conducted and to work to maintain or as necessary to seek changes in that environment.

I would certainly expect to attend each Board meeting and be an active participant in the dialogue necessary to reach creative and beneficial decisions for the system. As a former Chmn./CEO of a successful major corporation and member and in several instances chairman of several significant boards, I am experienced in participating effectively with others to reach positive decisions when faced with complex challenges.

It is important to me that we be consistently alert to the need for adequate academic rigor so that our students acquire the essential skills and knowledge to be responsible and effective citizens. I believe that one of my most important individual responsibilities is to pursue vigorously this type of outcome throughout the University of North Carolina system.

3. What are your primary concerns regarding improvement of the University System? As an individual board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?

I am particularly interested in seeing the University System enhance considerably further its partnership in a variety of ways with the public K-12 schools in North Carolina. This would include but is not limited to such things as teacher preparation, improved techniques to recruit, in part, academically stronger freshmen candidates for our Schools of Education, teacher re-training and technology transfer.

There are many opportunities for making sure that our three major investments here in North Carolina public education---the University System, Community College System and K-12---provide a well coordinated and somewhat seamless opportunity for maximizing excellence in essential skills acquisition by the student to enter in an exciting, productive and rewarding way the myriad world of work. Our mission and responsibility to the North Carolina tax payer requires us to pursue further this opportunity.

Prudent fiscal planning continues to require our best thinking. Because of the partially similar and in some instances dissimilar missions and growth patterns of our institutions the needs vary. One thing that is quite clear however is the aggressive attention that must be given to expenses, revenue flows and conservation or efficiency. All this must be accomplished in an acceptable fashion without undercutting the individual institutions ability to carry out its mission with increasing excellence.

In terms of advocating and implementing solutions to concerns, I believe you would build consensus through carefully researching and making available the facts to support your position coupled with convincing dialogue with individual Board members, committees of the Board as well as effective presentation of the action you favor to the full board. My experience in accomplishing specific goals in various arenas has been quite positive.

One should always have a strong personal commitment to testing pending decisions against a backdrop of the priorities established both by the institutions mission and the individual Board members perception of importance seen within the context of the complete system. My approach to prevailing in a decision that I was strongly committed to would not be altered by sensing I was in a Board minority or facing opposition from the General Administration. You may be required to work harder to improve your chances of prevailing but you do not change the approach that you have found to be successful a majority of the time for many years.

Once a decision is made that is clearly within the purview of the Board, I would expect to be given by the General Administration and institution(s) involved a plan and acceptable time line for implementation. A further report of outcome and benefit realized would be expected at the timely conclusion of the matter.

4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

As a result of living in North Carolina for almost sixty years coupled with being quite active in a variety of endeavors, I have a good beginning understanding of the University System and its various institutions unique missions. Certain involvements

have taken me to at least ten of the campuses and I had the opportunity to serve one of our institutions as a trustee for four years as reflected in my vitae.

I would plan as soon as possible a formal visit to each institution for the purpose of meeting with administration, faculty and students thus giving me an early insight regarding mission, physical plant and "on campus" perspective. Further, I would look forward to gathering historical, demographic and statistical summaries pertinent to each institution so as to study carefully the development and unique role that each institution is playing on a local, state and national level.

I would thoroughly enjoy the opportunity to work toward becoming highly knowledgeable of the obvious as well as subtle similarities and differences of our University System. Serving the individual institutions and the total system well as a member of the Board of Governors would require an aggressive acquisition of such knowledge.

3/5/97

MEMORANDUM

Page 2

February 19, 1997

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) Representative George W. Miller, Jr. (Sponsor's Name) proposes  
John J. (Jack) Hunt (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

[ ] Minority political party category

[X] At-large category

(2) Nominee's Name: John Jackson Hunt  
Nominee's Occupation: Business man; Retired Dentist  
Address: Peachtree Road, Box 277  
Lattimore, NC 28089

Home Phone: (704)434-6853

Work Phone: (704)482-7431

Fax #: (704)434-6853

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds: None

MEMORANDUM

Page 3

February 19, 1997

(4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds: None

(5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?

No

(6) If elected, will the nominee serve?

Yes

(7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.)

Rep. George Hines 5 March 97  
Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

John J. Hunt 5 Mar 97  
Signature of Nominee/Date

## Responses to Questions:

1. The Board must provide strong and effective leadership for the support and growth of all the University constituent institutions. This must include a progressive mind set and a willingness to see that the potential of each institution is fully addressed.
2. Each member must be committed to devoting the time and effort necessary to be fully informed. Once fully informed, the member must be willing to understand differences in opinions and viewpoints and in the final analysis call on his best judgment using a lot of common sense to reach a decision.
3. The state is, as it should be, committed to higher education. Excellence for each institution is the goal. As a long time member of the General Assembly, I am accustomed to the role of being an advocate while at the same time seeking consensus on hard issues.
4. My appreciation of the unique mission comes from being a parent of five children who have enjoyed the opportunity for a higher education and as a legislator who has both observed and participated in the growth and development of higher education through the individual and sometimes unique mission of the constituent universities.





## John Jackson Hunt

(Democrat - Cleveland County)

*Forty-eighth Representative District - Cleveland, Gaston (part), Polk (part), and Rutherford Counties.*

### *Early Years*

Born in Lattimore, Cleveland County, November 27, 1922, to Robert Lee and Alma (Harrill) Hunt.

### *Educational Background*

Lattimore High School, 1939; Wake Forest University, 1943, B.S.; Emory University, 1946, D.D.S.

### *Professional Background*

Dentist, Building Materials Retailer and Wholesaler, and Farmer.

### *Political Activities*

Member, N.C. House of Representatives, 1973-74, 1975-76, 1989-90, 1991-92, 1993-94, 1995-present; Chair, Military and Veteran's Affairs, 1979-80; Speaker Pro Tempore, 1985-86, 1987-88; Chair of Rules Committee, 1981-82, 1983-84, 1991-92, 1993-94.

### *Organizations*

ADA; NCDS; Isothermal Dental Society; Mason; Shriner.

### *Boards and Commissions*

Legislative Research Committee; National Conference on State Legislative; Governmental Operations; Legislative Services Commission; Capital Planning Commission.

### *Military Service*

Served, US Army, 1950-52 (Major).

### *Honors and Awards*

Honorary member, N.C. National Guard; USS N.C. Battleship Award, AMVETS.

### *Personal Information*

Married, Ruby Cowder, June 22, 1946. Children: Judy Hunt, Penny (Hunt) Corn, Libby (Hunt) Sarazen, Sally (Hunt) Royster, and Cindy (Hunt) Martin. Member, First Baptist Church.

### **COMMITTEE ASSIGNMENTS**

Ranking Minority Member: Business and Labor, Subcommittee on Travel and Tourism.

Member: Ethics; Finance; Transportation, Subcommittee on Airports, Railways, and Waterways.

## Howard J. Hunt

(Democrat - Northampton)

*Fifth Representative District (part), Gates, Hertford (part), Northampton Counties*

### *Early Years*

Born in Washington, DC on Dec 1946, to Howard and Madge Hunter, Sr.

### *Educational Background*

C. S. Brown High School, 1964; Carolina Central University, 1971.

### *Professional Background*

Vice President, Hunters Funeral Home, Inc., Director, Partner/Owner.

### *Political Activities*

Member, N.C. House of Representatives, 1991-92, 1993-94; State Democratic

Life member, Ahoskie Alumni Club; Funeral Home Association; N.C. County Chapter President, 1991-92; Manager; Hertford County Unit Safety Commission, Hertford County Commission, Chair; Kappa Alpha Chapter; Boy Scout Authority, Former Chair; Governor's and Justice Committee for the N.C.

### *Boards*

Hertford County Commissioner,

### *Honors*

Outstanding Young Men of America; Leaf Pine; Distinguished Service in Human Relations; Outstanding Graduate, A&T State University, 1992; Distinguished Graduate, A&T State University; Outstanding Service Award, Boy Scouts of America, N.C. Central University Alumni of the South Award for Outstanding Legislator of the Year, N.C. Alliance of the General Assembly Award

### *Personal*

Married; Vivian Flythe, December 1964; Member, First Baptist Church, 1964.

### *COMMITTEE ASSIGNMENTS*

Member: Agriculture; Appropriations; Resources; Transportation; Reform and Human Resources

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) Dewey L. Hill (Sponsor's Name) proposes  
Reef C. Ivey, II (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

Minority political party category

At-large category

(2) Nominee's Name: Reef C. Ivey, II  
Nominee's Occupation: Attorney  
Address: 3216 Sussex Road  
Raleigh, North Carolina

Home Phone: (919) 781-9266

Work Phone: (919) 755-1800

Fax #: (919) 890-4180

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

Senate Appointee

Centennial Authority - Term expires August, 1997

MEMORANDUM

Page 3

February 19, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:

None

- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?

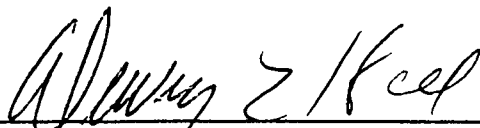
No

- (6) If elected, will the nominee serve?

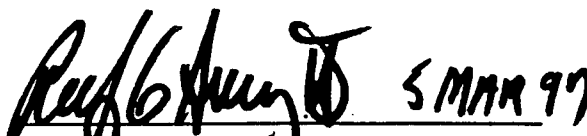
Yes

- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.)

Yes

  
\_\_\_\_\_  
Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

  
\_\_\_\_\_  
Signature of Nominee/Date

# THE SANFORD HOLSHOUSER LAW FIRM

PLLC

TO: Representative Gray, Chair, University Board of Governors Committee

FROM: Reef C. Ivey, II

DATE: March 4, 1997

RE: Answers to Questions to Board of Governors Nominees

1. In my opinion the role of the University of North Carolina Board of Governors is to make policy which will guide the general determination, control, supervision, management and governance of all the affairs of the 16 campuses which make up the University. In setting this policy, the Board of Governors should establish the direction in which the University moves as we approach the 21st Century. With the direction established by the policies of the Board controlling the goals and objectives which each campus sets for itself, the Board can act as a monitor of progress.

The Board will have already selected the president of the University to administer the policies set out by the Board by the time the election of members takes place in the House. The Board should offer continuing advice to the president in order that the goals and objectives of each of the constituent campuses will comply with the policies of the Board.

With the opportunity to set policy and advise the president, the Board of Governors has the ability to point the University system in the right direction for realizing its full potential in the 21st century. Continual watchfulness as to the implementation of its policies at individual university campuses by the Board will enable the entire system to move forward in a unified direction.

2. As an individual member of the Board of Governors I see my role as one of helping to set policy and monitor its implementation through the establishing of the goals and objectives of the constituent campuses. I see a need for myself as a member of the Board of Governors to visit each campus and to become familiar with its goals and objectives. With the individual goals and objectives in mind, I will be in a position to help formulate policy that will bring the separate campuses into a unified approach for implementing policy.

# THE SANFORD HOLSHOUSER LAW FIRM

PLLC

An as individual member of the Board of Governors, I will be required to work closely with the other members of the Board in order to clearly enunciate the Board's policies from a unified front. I would seek to obtain the input of each individual member of the Board as one of my foremost concerns in trying to bring goals and objectives into line with policies.

Finally, I believe part of my role as an individual member of the Board of Governors is to be responsive to the House of Representatives which elected me. Too often in the past it appears that elected Board members have forgotten to ascertain the wishes of the constituency that elected them. I expect part of my individual role to be in contact with the leadership and other representatives in the House in order to secure their input in formulating the policies for the University system.

3. My primary concerns regarding improvement of the University system relate to more efficient use of resources and the need for closer scrutiny of the issues surrounding the University from the perspective of the citizens of North Carolina in general and members of the House of Representatives in particular. There are resources currently available within the system that can be put to the disposal of all the members of the system and create better utilization, as opposed to seeking other monies from the General Assembly which could result in needless duplication. Use of resources has to be done in the context of acknowledging what the citizens of North Carolina want and need, as well as the General Assembly.

Prior to advocating a solution for my concerns, I would first want to visit with each campus and get a better idea of how the campuses view their mission of serving the citizens of North Carolina. I would also like to know how each of those campuses views its responsiveness to the General Assembly. With this background in hand, I would attempt to broaden the interests in my concerns within the members of the Board of Governors. I am quite sure that all members would be interested in being more efficient in the use of resources and responsive to the needs of our citizens and the General Assembly. I would also expect to keep members of the House of Representatives who elected me informed as to opportunities which I thought existed to be efficient in the use of resources and responsive to needs.

Clearly I would seek the input of the General Administration during this process. With their experience and background, I am sure the General Administration could aid in formulating my position. At the same time, I would continue to seek to build a broader group of consensus within the Board itself.

# THE SANFORD HOLSHOUSER LAW FIRM

PLLC

Obviously, there will be times when advocating a position might be contrary to the majority of the Board or the General Administration. This would be expected in any democracy. The crucial issue for any member of the Board of Governors is how important is this particular issue to the overall benefit of the University System. There will be many cases when the majority of the Board's opinion being contrary to mine might be the correct position for the University as a whole. I would hope I would be intelligent enough to see these times and revise my position. However, there are times when the majority is not always right. In those instances, I would seek the counsel of members of the House of Representatives who elected me with regard to the particular issue. If members of the House of Representatives also felt that my position was not in the best interest of the University system, then I would be forced to re-evaluate my position in light of the strong opposition from two separate bodies.

With respect to a position contrary to the General Administration, I would seek more information from the members of the General Administration. If my position is contrary to theirs based on information which I have, the responsibility of the General Administration is to provide me with all the information I need in order to convince me of the rightness of their position. If I am not convinced of the rightness of the position of the General Administration, then I have no hesitation in opposing their position in front of the Board of Governors. I would hope that my skills as a negotiator would put me in a position of helping the General Administration see that another point of view had validity.

4. I believe it is easy for me to reflect an appreciation and understanding for the unique missions of the individual constituent universities because I am a father with four children. As a member of the UNC System "family", each individual constituent university is separate and distinct in its needs, mission, and aspirations. Yet as a member of a "family" many times the needs, mission, or aspirations of any one individual cannot be at the forefront, but must blend in with the needs, mission, and aspirations of the other members of the "family". There are obviously times when the needs, mission, and aspirations of a particular university must come before the needs, mission and aspirations of others. Sharing of resources and responsiveness to the needs of the people of the State of North Carolina and the General Assembly are a part of that "family" process. Everyone must be taken care of within the "family" in a loving and caring way, but not always in the same way or at the same time. This is the way a father looks at the needs and wishes of the separate members of his "natural" family.

REEF C. IVEY, II  
3216 SUSSEX ROAD  
RALEIGH, NORTH CAROLINA 27607

Reef C. Ivey, II, born in Lumberton, North Carolina 1943, attended North Carolina State University where he received a B.S. in experimental statistics with a minor in agricultural economics in 1965. He attended the School of Law at the University of North Carolina in Chapel Hill where he received a J.D. in 1968. Mr. Ivey is admitted to the practice of law in the states of North Carolina, New York, Illinois, and Oklahoma, as well as the Supreme Court of the United States of America.

Upon graduation from law school, Mr. Ivey moved to the northeastern United States where he held positions at Manufacturers Hanover Trust Co., Dairy Lea Cooperative, Inc., New York County District Attorney's Office, PepsiCo, Inc., Wilson Sporting Goods Company, PepsiCola International, PepsiCo World Trade Company, Wilson Foods, Inc., Pace University School of Law, Rutgers University (Camden) School of Law, and Nutri/System, Inc. These major U.S. entities afforded Mr. Ivey a broad range of financial, business, marketing, legal, international, educational, governmental, legislative, regulatory and franchising experience.

He returned to North Carolina in February 1993 in the Raleigh office of Patton, Boggs & Blow, the premier public policy law firm in Washington, D.C. By merging public policy expertise with traditional legal practice, Mr. Ivey was able to provide clients with a unique problem solving service. Mr. Ivey joined the predecessor of The Sanford Holshouser Law Firm in August, 1994. His practice with The Sanford Holshouser Law Firm has included public policy, domestic and international business transactions, domestic and international franchise operations, agribusiness, and major multi-state litigation management. He currently holds the position of Vice President-General Counsel for Murphy Family Farms, the world's largest swine producer.

Mr. Ivey currently serves on the Board of Visitors of North Carolina State University, on the Board of and as Secretary of the Centennial Authority, and on the board of the Rex Health Care Foundation. He has previously served on the boards of the Radnor A.B.C. House, North Carolina Outward Bound School, as Chairman of Citizens for NCSU during the 1993 statewide bond referendum, and as Chairman of the Board of Visitors of North Carolina State University. He is currently president of the Reef C. Ivey, II Foundation. He attends White Memorial Presbyterian Church; is a member of the Executive Committee of the Economic Development Coalition 2000; North Carolina Agribusiness Council, Inc. and the North Carolina Citizens for Business and Industry.

Mr. Ivey is married and has 4 children, ages 12, 4, 2, and 1.

Telephones: (Home) 919-781-9266      Office: (910) 289-2111; (919) 755-1800

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) W.W. "Dub" Dickson (Sponsor's Name) proposes  
Peter Keber (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

- Minority political party category  
 At-large category

(2) Nominee's Name: Peter Keber  
Nominee's Occupation: Banker/Government Relations  
Address: Work - NationsBank  
101 North Tryon Street  
Charlotte, NC 28255  
Home - 2301 Cloister Drive  
Charlotte, NC 28211  
Home Phone: (704) 364-6898  
Work Phone: (704) 386-5086  
Fax #: (704) 386-6444

- (3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

Chairman, Board of Trustees  
Public Library of Charlotte & Mecklenburg Co.  
(appointed)



- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:

None

- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?

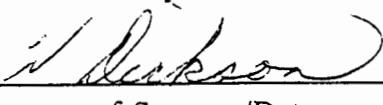
No

- (6) If elected, will the nominee serve?

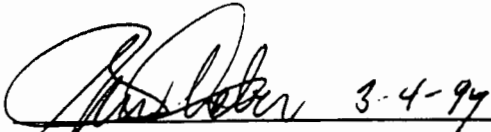
Yes

- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.)

Yes

 3/4/97  
\_\_\_\_\_  
Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

 3-4-97  
\_\_\_\_\_  
Signature of Nominee/Date

Peter Keber  
answers  
Questions to Board of Governors Nominees

1. In your opinion, what is the role of the University of North Carolina Board of Governors?

The Board of Governors exercises the responsibility of allocating available resources in a way that most efficiently fulfills the higher education needs of the state. While some of their responsibility and authority has been properly delegated to the Boards of Trustees of the constituent universities, the Board carries out the important functions of system-wide planning, budgeting, and key personnel decision-making.

In my view, however, the Board of Governors has an obligation to play other roles as well: It should provide for effective two-way communication with the leadership, relevant committees, and members of the General Assembly; provide leadership to and coordinate its actions and initiatives with other education-related bodies and institutions, such as the Department of Community Colleges, the State Board of Education, and the state's private colleges and universities; and generally provide a forum for education-related ideas and initiatives to be proposed, discussed, and implemented.

2. What do you see as your role as an individual member of the Board of Governors?

As an individual, my role would be to channel my personal experience and perspective into a group setting. This is manifested by being an initiator, by proposing logical and reasoned solutions to recognized challenges. But the strength of any individual is only as powerful as the spirit of respect and cooperation in the group and the individual's ability to tap into it. As an individual member of the group then, I would emphasize a spirit of teamwork in which openness, collaboration, and compromise are viewed as universally accepted standards.

3. What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or the General Administration?

The most pressing concern of the University System is always the availability of adequate resources. In a time when the General Assembly's ability to appropriate increased resources to the University is limited by ever growing competing demands on one hand and declining revenue growth on the other, creative solutions must be found to deliver more and better education for each public dollar received. At the same time, the University must actively investigate revenue means from non-public sources.

Gaining consensus for new or apparently unpopular ideas is a skill that I have honed during my years of public service. One must have a thorough understanding of a problem while at the same time comprehending the merits and liabilities of various solutions. Through a process of persuasion, inclusion, and elimination which may ultimately demand compromise, support for a favored solution can be gained. Implementation must then be based on an agreeable time frame. The key is to "go slow to go fast" - make sure everyone who has a stake in the outcome is included from the beginning and that all sides are fairly and thoroughly heard.

4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

The genius of the University System's organization is that while the Board of Governors plans and budgets for the whole, the individual parts can successfully carry out diverse yet equally important and complementary roles. As a member of the Board of Governors, I would reflect my appreciation and understanding for the unique missions of the individual constituent universities by gaining a working knowledge of each institution's character and behavior, by encouraging recruitment of the most capable Chancellors and Boards of Trustees to manage them, and by ensuring that each had access to appropriate resources to be effective in their missions.

## PETER KEBER



Mr. Keber has consistently provided leadership to his community. He is presently Chairman of the Board of Trustees of the Public Library of Charlotte and Mecklenburg County, and Chairman-elect of the North Carolina Library Association's Trustees Division. He acts as a director of Crisis Assistance Ministry and as a member of its executive committee. He serves as vice-chairman of the North Carolina Association of Financial Institutions and as director and member of the executive committee of the North Carolina Center for Public Policy Research and the North Carolina Forum for Research and Economic Education (NCFREE).

Mr. Keber served three terms on the Mecklenburg County Board of Commissioners, and as its vice-chairman. He has been an officer and director of the Centralina Council of Governments, a director of the North Carolina Association of County Commissioners, and the chairman of the North Carolina Joint Regional Forum. He chaired both the North Carolina Municipal Council and *Our Region Tomorrow*, a two year, eighteen-county strategic planning effort.

He is past chairman of the Board of Advisors of Belmont Abbey College and is a past director of the Charlotte/Mecklenburg Arts and Science Council, the Charlotte/Mecklenburg Housing Partnership, the Charlotte Uptown Development Corporation and the Carolinas Partnership, and was a founding director of the George Shinn Center for Homeless Men.

He is a former chairman of the parish council at Saint Gabriel's Catholic Church and was awarded Catholic Social Services' *Colonel Francis J. Beatty Award* in 1996.

Mr. Keber, who joined NCNB in 1979 after thirteen years with Citibank in New York, is a Senior Vice President of NationsBank. He currently serves as the government relations officer for North Carolina, South Carolina, and Tennessee.

A graduate of Georgetown University's College of Arts and Sciences with a degree in government, Mr. Keber served four years on active duty as a Naval Officer during the Vietnam era. He and his wife Lucille are the parents of four sons and two daughters and have lived in Charlotte for eighteen years.

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) James H. Bauw (Sponsor's Name) proposes  
John R. Lauritzen (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

Minority political party category

At-large category

(2) Nominee's Name:

John R. Lauritzen

Nominee's Occupation:

Retired Executive

Address:

604 Staunton Drive  
Greensboro, NC 27410

Home Phone:

910-855-6379

Work Phone:

Fax #:

(same)

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

(None)

MEMORANDUM

Page 3

February 19, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds: *(None)*
- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina? *No.*
- (6) If elected, will the nominee serve? *Yes.*
- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.) *Yes.*

  
Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

  
Signature of Nominee/Date

**JOHN R. LAURITZEN**  
**Candidate, University of North Carolina Board of Governors**

**Responses to Questions to Board of Governors Nominees:**

*1. In your opinion, what is the role of the University of North Carolina Board of Governors?*

The general powers and duties of the University of North Carolina Board of Governors, as articulated in General Statute 116-11, are summarized as follows: Management and governance as a coordinated system of the 16 constituent University of North Carolina institutions (and approval of the establishment of any new publicly supported institution above the community college level), subject to the powers given to the institutions. This includes determination for each institution of its functions, educational activities and academic programs, types of degrees to be awarded, enrollment levels, tuition and fees; preparation of a unified long range plan and budgetary recommendations; collection and dissemination of data concerning higher education; election of the President, senior staff, chancellors and vice chancellors and determination of their compensation; and assessment of the contributions and needs of private universities and colleges of the State.

I see the role of the Board of Governors as to ensure the continuing development of a well-planned and coordinated State system of higher education; to ensure its quality; to extend its benefits to the appropriate constituency; and to ensure the wise and prudent use of the State's resources in this regard.

In the context of the foregoing, my view of the core responsibilities of the Board is:

- appoint, support and assess the performance of the president and senior managers
- clarify the University's mission
- prepare long range plans and budgets
- approve the educational program
- ensure the well-being of faculty, students and staff
- ensure strong financial management
- ensure adequate financial resources
- preserve the integrity of the University System
- interpret the University to the larger community
- interpret the higher education needs of the State to the University

*2. What do you see as your role as an individual member of the Board of Governors?*

I believe my role as a member of the Board of Governors is to contribute to the fulfillment of the purposes of the Board of Governors as it serves the best interests of the whole State. I believe my membership carries with it the responsibility to be well informed, diligent and conscientious in pursuit of the purposes of the Board by functioning as an integral part of the governing body, bringing to bear my particular strengths, abilities and insights. I offer my talents and expertise gained through 35 years as a manager and executive of a major high-technology corporation and as a leader and member of the governing body for a large number and variety of industry and civic organizations.



***3. A. What are your primary concerns regarding improvement of the University System?***

My primary concerns regarding improvement of the University System are:

- The National standing of the University System. A consistent top assessment of our University System by others is critical to the continued successful economic development of our State. A superior academic reputation also permits us to attract top scholars to our faculty and student body and research dollars to our institutions.
- The cost of education to the student and to the taxpayer. It is critical that higher education remain within the means of North Carolina students and that the associated tax burden remain reasonable. I believe this can be accomplished through a combination of planning, prudent spending, and the recruitment of business dollars into our University System.
- Retention of our students and graduates. An important value to the State of having a strong University System is that it serves as a resource to develop a pool of highly competent and productive professionals within the State. It is important that we find ways to keep talented and motivated students within our university system through graduation. Further, we must develop and expand symbiotic relationships between our universities and the business community that will ensure jobs for our graduates and graduates well prepared for the jobs available so their talents and productivity will not be lost to North Carolina.

***3.B. As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration.***

While I do not consider my individual vision for the Board of Governors and the University System to be controversial, my background has provided me with a great deal of leadership experience in difficult and sometimes controversial situations. In those situations, I have found that dealing with problems and issues on a factual basis without ownership of ideas or solutions generally results in the best outcome. I will continue this approach to problem solving. In keeping with my background, I will rely heavily on business management techniques such as solid research to assure I have the facts and I will use them independent of personal or political priorities or preconceptions in promoting my ideas and in assessing those of others. In developing and evaluating proposals, I will focus my assessments on the perceived impact on the success of our students in their chosen fields for every dollar spent. Further, I will advocate and use data from benchmarking the successes of other boards and institutions in comparable situations.

***4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?***

One of my strengths is strategic planning. An important concept of formal planning is to understand that each viable unit of a particular system or organization has a unique role in fulfilling the overall mission of the mother unit. The system is best served when that role is optimized. So, while it is appropriate and desirable that each constituent member of the University of North Carolina System have its own mission and vision and seek excellence within that role, it is also necessary that this be accomplished in ways consistent with and complimentary to the needs and mission of the larger University System.

As an individual member of the Board, I will advocate and help formulate long range planning strategies consistent with the foregoing. Specifically, the ongoing thrust of my work with the Board will be: Are the goals and missions of the member institutions consistent with those of the system and are the member institutions successfully addressing their stated purpose.



## JOHN R. LAURITZEN

### Candidate, University of North Carolina Board of Governors

John R. Lauritzen is a recently retired AT&T senior executive in the Piedmont Triad. As AT&T's Strategic Support Services Vice President and Chairman of the Board of AT&T Services Company, Inc., a locally headquartered subsidiary, he was responsible for facilities and support services for AT&T organizations in the area, which employ over 3,500 people locally.

During his 35 years with AT&T, Mr. Lauritzen held engineering and general management positions in a number of locations. During the past year, he functioned as a "loaned executive" to North Carolina A&T State University where he filled roles in strategic planning and as Acting Vice Chancellor for Development and University Relations. His duties have required him to develop skills as a leader, a manager, a negotiator, a planner, and a budgeter. Moreover, he has had extensive experience in AT&T's Federal Systems Division and as national chairman of the Electronic Industries Association Government Sector, working with government agencies and relating their needs to the changing business climate.

Lauritzen has been based in the Triad area for 13 years. He is active in the leadership of numerous civic groups, including the Greensboro Area Chamber of Commerce (1995 Chairman); the Greensboro Development Corporation; the Guilford County Economic Development Council (Vice Chairman); the North Carolina Citizens for Business and Industry; and the YMCA (Vice Chairman).

Lauritzen's active support of education includes serving as Chairman of the Guilford County Workforce Investment Advisory Board, Co-chairman of the Guilford County Partnership for Children; Chairman of the Industry Advisory Group to North Carolina A&T State University's College of Engineering; Executive Board member of the Piedmont Triad Center for Advanced Manufacturing and the Guilford Institute for Leadership Development; Board of Visitors member of Greensboro College and the Center for Creative Leadership; Advisory Board member of Reading Connections, Cities in Schools, and the Southeastern University and College Coalition for Engineering Education; and a member of the University of North Carolina at Greensboro Planning Council. On a more personal level, Lauritzen has developed a close familiarity with the University of North Carolina system. His two children are graduates of the University of North Carolina at Chapel Hill and North Carolina State University, and his wife graduated from the University of North Carolina at Greensboro, where she is currently pursuing an advanced degree.

Lauritzen recognizes the critical importance of building and maintaining a close working relationship between the business community and higher education. This relationship is particularly important as public funding declines and reliance on the private sector rises. And, since investments in higher education are increasingly technology-based, today's rapidly changing technology makes it imperative that we invest wisely, and develop and utilize technology infusion and replenishment plans.

North Carolina's University System has traditionally been a major drawing card for businesses to our state. Economic development and education are inseparable. Education enhances economic development, and economic development enhances quality of life. The competitive edge that the University System has given us is critical to our state's ability to compete successfully in an increasingly complex world. This environment demands leaders with experience in assessing and managing technology, with skills in long-range strategic planning, and with a prudent perspective on spending. As a senior executive of a large, high-technology company and as an acknowledged leader in civic and professional organizations, Lauritzen has developed and demonstrated these essential qualities.

HOUSE OF REPRESENTATIVES

BOARD OF GOVERNORS NOMINATION FORM

*John T. ...*  
**MIA MORRIS**  
*Gregory Thompson*

(1) *Constance K. Wil* (Sponsor's Name) proposes  
*Henry McKoy* (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

[ ] Minority political party category

At-large category

(2) Nominee's Name: *Henry McKoy*  
Nominee's Occupation:  
Address: *5300 Applegate Court*  
*Raleigh, N.C. 27609*

Home Phone: *919-787-2927*  
Work Phone: *881-9029*  
Fax #: *881-9029*

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

*Africa Development Foundation - Presidential Appointment*

MEMORANDUM

Page 3

February 19, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:

None

- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?

NO

- (6) If elected, will the nominee serve? *yes*

- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Generally, local positions do not need to be resigned).

*James C. Carpenter*  
*Michael Morris*  
*Henry E. McKoy*  
*James C. Carpenter*  
*James C. Carpenter*  
Signature of Sponsor/Date  
*Constance K. We*

The above information is accurate, and I will serve if elected.

*Henry E. McKoy* 5 March 1997  
Signature of Nominee/Date

February 19, 1997

MEMORANDUM

**TO:** House Nominees to the Board of Governors  
**FROM:** Representative Gray, Chair, University Board of Governors Committee  
**RE:** Questions to Board of Governors Nominees

Each House nominee to the Board of Governors is asked to answer the following questions and to submit a brief vitae. Answers should be succinct and limited to no more than one typed page per question. Please note that the responses to the questionnaire and vitae are public documents. **All responses are due by 12:00 noon on March 6, 1997 in the office of Representative Lyons Gray, Room 532, Legislative Office Building, 300 North Salisbury Street, Raleigh, North Carolina 27611.**

1. In your opinion, what is the role of the University of North Carolina Board of Governors?
2. What do you see as your role as an individual member of the Board of Governors?
3. What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?
4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

*HENRY McKOY*  
*5300 Applegate Court*  
*Raleigh, North Carolina 27609*

*March 5, 1997*

ANSWERS TO QUESTIONS  
U.N.C. BOARD OF GOVERNORS

1. The role of the Board of Governors is to formulate policy for the System-wide university system. The Board serves as the primary vehicle for implementing a comprehensive broad based educational program for higher education in North Carolina.
2. My role as an individual member is to serve as the eyes and ears of the various public institutions in the U.N.C System and to be an advocate for a first class university system. I am expected to be fair in my deliberations and sensitive to the unique needs of each institution in the system.
3. My primary concern involving improvements of the University System involves two distinct areas. Firstly, I would like to see every constituent institution raised to its highest level of academic capability. Secondly, I would like to see the older university buildings and structures repaired to the most current standards. I individually can work with legislators in finding ways to get this done and work with corporate leaders toward greater support for our universities.
4. I would work closely with each of the institutions to explore their individual goals. I would be an advocate for the unique role that each university undertakes in carrying out their overall mission.

I respectfully request your support.

Sincerely,

*Henry McKoy*

## VITA

**Henry McKoy**  
**5300 Applegate Court**  
**Raleigh, North Carolina 27609 (881-9029)**

*Candidate: UNC Board of Governors*

*Candidate possesses extensive knowledge of Governmental relations, regulatory affairs, diversity, EEO and community relations. Candidate has extensive Senior Management experience overseeing personnel, budget and community relations efforts under two North Carolina Governors. Candidate is a Presidential Appointee with United States Senate Confirmation as a member of the Board of Directors Of the Africa Development Foundation which oversees economic investments by the US in African Countries. Former State Senator for Wake County 95-96.*

*Business: Professional Experience*

*President, McKoy & Associates Management Consultants, President, 1993 to Present Specializes in Conducting Management Audits, Team building, Organizational Development and Managing Change. Conducts management training sessions for managers on "Managing Conflict, Board Development and Diversity in the Workplace." In this capacity, Henry McKoy serves as a consultant to public and private sector organizations to assist them in helping their work force be better prepared to work and be successful in an ever changing workplace. McKoy & Associates businesses develop strategies for building better relationships with customers, community and government leaders. Develops Management training programs for private and public sector Managers in maximizing the potential of a diverse work force.*

*Served as Lecturer and Adjunct Instructor, Institute of Government, University of North Carolina 1984-94 Conducted seminars for City and County management officials on Human Relations and Diversity Management. Has served as adjunct instructor at NC State University.*

*Deputy Secretary, North Carolina Department of Administration--1981-1993 Served under two Governors as a Senior Deputy Secretary Executive in the Department of Administration. Managed the day to day affairs of the Department of Administration under the Secretary of Administration.*

*Executive Director, North Carolina Human Relations Commission, 1978-779 Advised Governor on matter relating to enforcement of Civil Rights for North Carolina*

*Graduate: North Carolina A&T State University, BS-Political Science,(1968) & MS-History(71)*

*Married: Katie- Daughters, Kara and Marcia*



HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) James W. Crawford (Sponsor's Name) proposes  
Wendell Clay Moseley (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

[ ] Minority political party category

At-large category

(2) Nominee's Name: Wendell Clay Moseley  
Nominee's Occupation: Attorney  
Address: (h) 135 Drungold Road, Littleton NC 27850  
(w) PO Drawer M, 928 Roanoke Avenue  
Roanoke Rapids NC 27870

Home Phone: (919) 586-3843

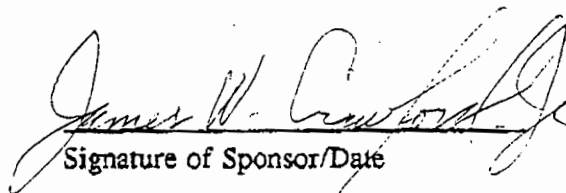
Work Phone: (919) 537-3534

Fax #: (919) 535-3063

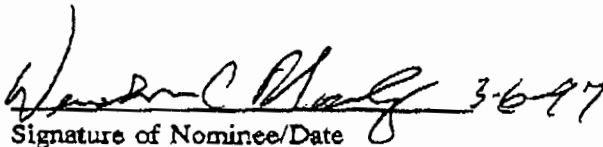
(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds: None

MEMORANDUM  
Page 3  
February 19, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds: None
- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?  
No
- (6) If elected, will the nominee serve? Yes
- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Generally, local positions do not need to be resigned). Not applicable

  
Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

  
Signature of Nominee/Date

# WENDELL CLAY MOSELEY

## HOME:

135 Drumgold Road  
Littleton, NC 27850  
(919) 586-3843

## BUSINESS:

P.O. Drawer M  
928 Roanoke Avenue  
Roanoke Rapids, NC 27870  
(919) 537-3534  
(919) 535-3063 Fax

## EDUCATION:

- 1963 University of North Carolina at Chapel Hill, NC  
School of Law Graduate  
Degree received: LLB (Now Juris Doctorate)
- 1960 University of North Carolina at Chapel Hill, NC  
School of Business Graduate  
Degree received: B.S. Accounting
- 1956 Roanoke Rapids High School at Roanoke Rapids, NC  
Graduate

## WORK EXPERIENCE:

- 1994-present Moseley, Elliott & Sholar, LLP  
Attorneys at Law  
928 Roanoke Avenue  
Roanoke Rapids, NC 27870
- 1976-1994 Moseley and Elliott  
Attorneys at Law  
928 Roanoke Avenue  
Roanoke Rapids, NC 27870
- 1972-1976 W. C. Moseley  
Attorney at Law  
Roanoke Rapids, NC 27870
- 1966-1972 Crew and Moseley  
Attorneys at Law  
Roanoke Rapids, NC 27870
- 1963-1966 Crew and House  
Attorneys at Law  
Roanoke Rapids, NC 27870

COUNSEL FOR:

Roanoke Rapids Sanitary District, Roanoke Rapids, NC  
Halifax Memorial Hospital, Inc., Roanoke Rapids, NC  
Roanoke Rapids Savings Bank, SSB, Roanoke Rapids, NC  
Halifax County Board of Election, Halifax, NC

COMMUNITY, RELGION, AND CIVIC INVOLVEMENT:

Rosemary Methodist Church, Roanoke Rapids, NC  
Trustee and member, past Board Chairman, Sunday School  
Teacher, Finance Committee

Rotary

Past District 771 Governor, founded Rotary Youth Leadership  
Award (RYLA), past Local President, current member

Roanoke Rapids Graded School District, Roanoke Rapids, NC  
Chairman 1992-1994, member 1988-1994

Roanoke Rapids Board of Adjustment, Roanoke Rapids, NC  
Chairman, member

North Carolina National Guard, Roanoke Rapids, NC  
Co. 119th Infantry 1964-1970

Roanoke Rapids Jaycees, Roanoke Rapids, NC  
Life member, past President, member

PROFESSIONAL ASSOCIATIONS:

Halifax County Bar  
North Carolina Bar Association  
North Carolina Trial Lawyers Association  
Sixth Judicial District Bar - President

PERSONAL INFORMATION:

Son of Mr. and Mrs. Henry Clay Moseley (Josephine Allen)  
born February 27, 1938 in Roanoke Rapids, NC

Husband of Nancy Elizabeth Garner, married on October 2, 1965;  
ECU '64 - B. S. Primary Education, NCSU '75 - M. Ed. Adult and

Community College Education; 1964-1970 - Roanoke Rapids Graded School District (2nd and K), HCC - 1971-1996 retirement, Public Service Div. Chair, Dept. Ch. Educ. and Child Care; currently homemaker

Daughter, Ruth Elizabeth Moseley, born October 19, 1968  
Rotary Youth Leadership Award recipient, Robert Byrd Scholarship recipient, UNC-CH School of Business, 1991 - BS Business Administration (Marketing), Emerging Leaders Program participant, Delta Sigma Pi Bus. Fraternity; JCPenney Merchandiser, Discovery Toys Group Manager, June 1, 1991 married James C. Logsdon - U. S. Naval Academy, 1991 - BA General Engineering; U. S. Naval Aviator, Lemoore, CA (and U. S. S. Constellation), F-18 Pilot; February 20, 1994, granddaughter, Claire Elizabeth Logsdon

Daughter, Mary Clay Moseley, born September 24, 1970, N. C.  
Teaching Fellow, Alpha Phi Omega Service Fraternity, UNC-CH School of Education 1992 - B. A. Early Childhood Education, Wake County Schools, teacher 2nd grade, Cary Elementary, grade chair, student teacher supervisor, UNC-CH Alumni Council for School of Education, married Melvin Glenn Vick, Caldwell Scholar, NCSU 1990, BS applied mathematics, employed as analyst at SAS Institute, Cary, NC

#### HOBBIES:

Caring for pets, plants and GOLF!!!!

#### REFERENCES:

W. L. Crew  
100 Becker Drive  
P.O. Box 160  
Roanoke Rapids, NC 27870

Honorable Nicholas Long  
321 Hamilton Street  
Roanoke Rapids, NC 27870

Thurman Askew  
c/o Newsome Oil Company  
1503 West 10th Street  
Roanoke Rapids, NC 27870

Horace Godfrey  
15 Golf Course Road  
Littleton, NC 27850

Wendell C. Moseley  
March 6, 1997

1. In your opinion, what is the role of the University of North Carolina Board of Governors?

I believe the role of the University of North Carolina Board of Governors is to plan and develop a coordinated system of higher education in North Carolina. This would include supervision, control, and governance of all affairs of the constituent institutions. Most importantly, the Board of Governors will develop, prepare, and present to the Governor, the Advisory Budget Commission and the General Assembly a single, unified budget for all public senior higher education.

As the financial resources of our state are limited, we must get the most value (educationally and financially) for our funds while being responsive to the higher education needs, dreams, and visions of all sixteen institutions.

2. What do you see as your role as an individual member of the Board of Governors?

I believe that my role as a member of the Board of Governors would be to carry out the duties enunciated in the North Carolina General Statute {116-11 while serving the best interest of the whole state.

3. What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?

I believe that the Board of Governors should strengthen the institutions and not weaken them with regionalism, envy, and self-interest.

As an attorney, I have been trained to take positions that are not popular with the power structure or the public. My training dictates that one should be courteous, polite, and thoughtful but never ever give up one's principles. We must work within the prescribed system and stay the course as it is enunciated in the adopted long range plan.

Wendell C. Moseley  
Page 2

4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

The Board members should be aware and cognizant of the individual institutions' mission statements. There may be more than one path to our objective; so, we should listen to their approaches, concerns, and desires - keeping in mind our authority (statutes) and adopted long range plan. Each of the sixteen institutions brings to our state its unique character that strengthens the State. As a pluralistic State, these institutions really strengthen and give character to the Old North State.

MEMORANDUM

Page 2

February 19, 1997

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) Representative Billy J. Creech (Sponsor's Name) proposes  
D. Wayne Peterson (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

[ ] Minority political party category

[x] At-large category

(2) Nominee's Name: D. Wayne Peterson

Nominee's Occupation: President, Sprint National Integrated Services

Address: 7301 College Blvd., Suite 200  
Overland Park Kansas, 66210

Home Phone: 816-361-1555

Work Phone: 913-534-6100

Fax #: 913-534-6300

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

None



MEMORANDUM

Page 3

February 19, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:

None

- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?

No

- (6) If elected, will the nominee serve?

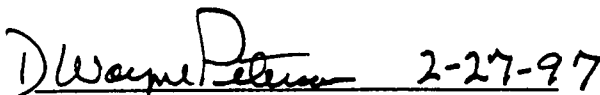
Yes

- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.)

Yes

  
Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

  
Signature of Nominee/Date

## Responses to Questions to Board of Governors Nominees

1. In your opinion, what is the role of the University of North Carolina Board of Governors?

*The role of the University Board of Governors is broad in scope and yet precise when it comes to certain statutory direction.*

*The charge to govern the constituent institutions and to work with the other educational institutions in the state -- maintaining an up-to-date, long-range plan for a coordinated system of higher education -- places great responsibilities on the Board to plan, manage, direct and delegate as appropriate the activities that collectively keep the University System at the academic forefront nationally, and leads to continued improvement in the University System for its numerous constituencies. The Board must also pursue the synergies that can improve the overall state of education in North Carolina.*

2. What do you see as your role as an individual member of the Board of Governors?

*I see my role as an individual Board member as:*

- (1) *Carrying out the statutory directives and expectations, some which are mentioned in my response to question one;*
- (2) *Utilizing my past Board experience at the Community College and University level, as well as a Board of Governors member, along with my broad business experience as an executive in a global telecommunications company, to keep the Board thinking towards the future and our changing society as we prepare students for a world that is changing at an accelerating pace;*
- (3) *Creating challenging debate in committees when appropriate, probing for better answers from administrative staff when required;*
- (4) *Ensuring that programs added or deleted conform with the mission of the affected Universities;*
- (5) *Ensuring that we do not condone dedicating resources to teach obsolete skills, holding professors accountable for classroom performance without infringing on their academic freedom; and*

(6) *Finally, I will continue to ask - Can we do it differently, better, or not at all; and, what will the result be from the System, the students, and the State's standpoint?*

3. What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?

*My response to question three is a continuation, in some respects, of the response to the second question. In my business which grows by knowing other people's business well enough to meet all of their telecommunications needs, we find that when an organization is doing well, a certain degree of complacency slips in and one morning you wake up and find that your competitors have leaped out ahead.*

*I believe one of our major challenges is to keep that from happening to our great University System. We must continually challenge complacency at all levels, avoid decisions that are primarily political to make a certain institution feel good, and always question proposals that address a "today" problem without a "tomorrow" impact accompanying it.*

*I see my role as a voice in committee meetings and Board meetings to make sure this happens. As to advocating a position contrary to that of the majority -- I believe you will find that I have already earned my spurs in that area.*

4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

*In the eyes of the public I am afraid we have lumped the individual constituent institutions into one category. Some Chancellors have done a good job locally explaining their mission, but overall we have a lot of work to do publicly. We might start with making sure all members of the General Assembly understand the unique missions, why they are unique -- and how they may change and why. Members of the General Assembly are welcome at committee meetings where missions are reviewed and debated. I would suggest legislative workshops in Raleigh for briefings on missions, long-range plans, and future budget impacts anticipated from missions that need to be modified.*

*Board members must support the approved mission of each University and avoid supporting proposals that stray from the intent of a particular mission.*

# D. Wayne Peterson

President - National Integrated Services  
Sprint Corporation

## PERSONAL

Born February 5, 1936

Married to Billie Ann Peterson

Children: Cynthia Bradley - Runs a horse stable in Angier, NC  
Julie Peterson - First grade teacher in Raleigh, NC  
Kell Peterson - Marketing/advertising firm in Kansas City  
Randy Peterson - Manages family furniture store in Texas

## EDUCATION

Dallas College of Southern Methodist University - Dallas, Texas

Rockhurst College - Kansas City, Missouri

## EMPLOYMENT

1957 - Southwestern States Telephone Company - Texas

1964 - Southwestern Bell Telephone Company - Texas

1968 - United Telecommunications, Inc. - Kansas City, Missouri

Management positions in Division of Revenues, Cost Analysis, and  
Revenue Planning

Assistant Vice President - Revenues

Vice President - Marketing

1980 - Carolina Telephone - Tarboro, North Carolina

President and Chief Executive Officer

1993 - Sprint Mid-Atlantic Telecom (includes Sprint/Carolina Telephone,

Sprint/United Southeast, Sprint/Centel-North Carolina,

Sprint/Centel-Virginia) - Wake Forest, North Carolina

President and Chief Executive Officer

1993 - Sprint Corporation (formerly United Telecommunications, Inc.) -

Kansas City, Missouri

President and Chief Operating Officer, Local Telecom Division

President-National Integrated Services

## CURRENT ASSOCIATIONS, COMMITTEES, MEMBERSHIPS, AND BOARDS

- Member - Board of Governors of The University of North Carolina System
- Member - Board of Visitors, Fuqua School of Business, Duke University
- Director - Public Service Company of North Carolina, Gastonia, NC
- Director - HealthNet, Kansas City, MO

## FORMER ASSOCIATIONS, COMMITTEES, BOARDS, RECOGNITION

- \*Director & Past President - North Carolina Telephone Association
- \*Director - North Carolina Japan Center
- \*Board - North Carolina Quality Leadership Foundation
- \*Board - North Carolina Citizens for Business and Industry
- \*Trustee - North Carolina Symphony
- \*Director - Centura Banks, Inc.
- \*Trustee - Blue Cross and Blue Shield of North Carolina
- \*Trustee - Peace College
- \*Board - Eastern North Carolina Maternity Home
- Commander's Award for Public Service - Fort Bragg
- Honorary Co-Chair - North Carolina Minority Business Association
- Chairman - East Carolina University Board of Trustees
- Director - East Carolina University Center for Leadership Development
- State Board of Community Colleges - 1981 - 1992
- President - North Carolina State University Foundation
- Commission on the Future of the Community College System
- Director - N.C. Business Committee for Math/Science Education
- Board & Past Chairman - North Carolina Council on Economic Education
- Trustee - Pitt Memorial Hospital
- Eastern North Carolina Industrialist of the Year - 1986
- U.S. Chairman - Southeast U.S./Japan Association Conference, Tokyo
- Governor's Business Council on the Arts and Humanities

\*Resigned due to move to Kansas City

MEMORANDUM

Page 2

February 19, 1997

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

Representatives Theresa H. Esposito, Michael P. Decker, P. Wayne Sexton, Sr.,  
John W. Brown, William S. Hiatt, Rex L. Baker and W. Eugene Wilson

(1) \_\_\_\_\_ (Sponsor's Name) proposes  
Edward L. Powell (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

Minority political party category

At-large category

(2) Nominee's Name: Edward L. Powell

Nominee's Occupation: Attorney

Address: 778 Oaklawn Avenue, Winston-Salem, North Carolina 27104

Home Phone: (910) 722-9909

Work Phone: (910) 765-7886

Fax #: (910) 768-7666

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

None

February 17, 1997

To our fellow members of the State House of Representatives:

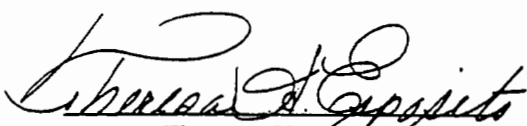
As Representatives from all across Northwest North Carolina, we are pleased to nominate Edward L. Powell of Winston-Salem, North Carolina for your consideration for one of the at large seats on the University of North Carolina Board of Governors.

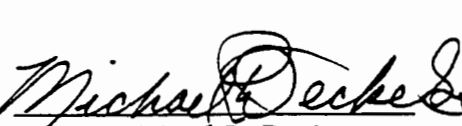
Most of us have known Ed for the vast majority of the twenty-five year period he has served our State, his community and our area. We know well his deep commitment to making our State a better place to live, work and enjoy.

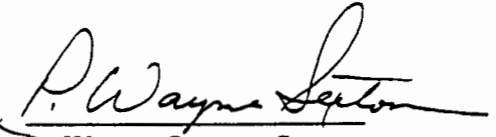
We are confident that Ed Powell will be a strong and effective voice for our University system.

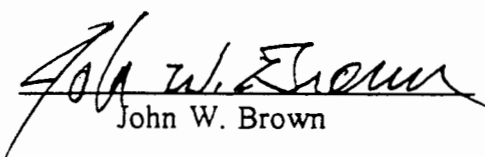
Thank you for your consideration and support of Ed Powell.

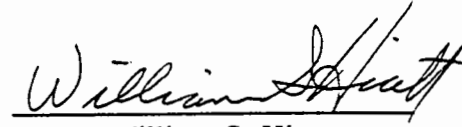
Sincerely,

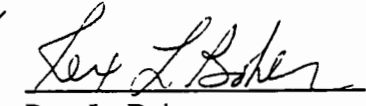
  
Theresa H. Esposito

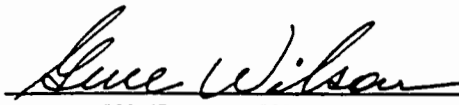
  
Michael P. Decker

  
P. Wayne Sexton, Sr.

  
John W. Brown

  
William S. Hiatt

  
Rex L. Baker

  
W. Eugene Wilson

MEMORANDUM

Page 3

February 19, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:

None

- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?


No

- (6) If elected, will the nominee serve?

Yes

- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.)


Not applicable

 2-27-97

Signature of Sponsor/Date

(Other sponsors' signatures attached)

The above information is accurate, and I will serve if elected.

 2-27-97

Signature of Nominee/Date



**EDWARD L. POWELL**  
778 Oaklawn Avenue  
Winston-Salem, North Carolina 27104

Home: (910) 722-9909

Office: (910) 765-7886

---

**PERSONAL**

*Date of birth September 21, 1941. Married to former Mary Elizabeth Bales of Thomasville, North Carolina. Four children - ages 21, 18, 15 and 5.*

**EDUCATION**

*Juris Doctor, WAKE FOREST UNIVERSITY, Winston-Salem, North Carolina, 1967  
Licensed to practice law by the North Carolina Board of Law Examiners in 1967.  
Bachelor of Arts, UNIVERSITY OF NORTH CAROLINA, Chapel Hill, North Carolina, 1963.*

**EMPLOYMENT**

*Partner, Melvin and Powell, attorneys, Raleigh and Winston-Salem, North Carolina.  
Registered lobbyist for R. J. Reynolds Tobacco Company, 1995-1996 Session of the North Carolina General Assembly*

**BUSINESS EXPERIENCE**

*President and General Counsel, Parks Leasing, Inc., Kernersville, North Carolina, 1983-1988.  
Worked directly with dealer, Hubert B. Parks, in learning the leasing and automobile business.*

**GOVERNMENT EXPERIENCE**

*1975-1977 - Commissioner of Motor Vehicles  
North Carolina Department of Motor Vehicles*

*Appointed by Governor James E. Holshouser, Jr.*

*Chief Executive and Administrative Officer of the Department consisting of Divisions of State Highway Patrol, Enforcement and Theft, Drivers' License, Vehicle Registration, Traffic Records, Common Carrier Audit and Traffic Safety Education with over 3,000 employees.*

*Responsible for development and administration of regulatory policy and oversight of all drivers and motor vehicles, automobile dealers and manufacturers licensing, and*

*enforcement of all motor vehicle laws. Chief spokesman for the Department on matters of policy and public relations.*

*Successfully managed a \$100,000,000.00 annual budget that returned approximately 90 per cent profit to the highway fund for North Carolina.*

*In 1975 recognized as "Tar Heel of the Week" by the Raleigh News and Observer.*

**1975-1976 - Member of the Executive Board of the U. S. Vehicle Equipment Safety Commission**

*Developed safety standards for the automobile industry. Commission chartered by United States Congress to provide policy, guidance and expertise for automotive safety improvements.*

**1975 - Member of the North Carolina Board of Transportation**

*Appointed by Governor James E. Holshouser, Jr.*

*Twelve member board that developed and administered highway planning, construction, funding and maintenance for North Carolina highway system.*

**1972-1974 - State Representative, North Carolina House of Representatives**

*Elected from Forsyth County to North Carolina General Assembly at age 31. One of the principal sponsors of legislation establishing the National Driving Center in North Carolina, a highway safety research center dedicated to helping the driver.*

**COMMUNITY AND CIVIC ACTIVITIES**

**1995-present - Vice Chairman, Fifth Congressional District Republican Executive Committee**

*Elected by the Fifth District Republican Convention in 1995. Responsible for helping to develop policy, strategy and organization for election campaigns.*

**1995-1996 - President, Forsyth County Republican Men's Club**

*Elected by Republican Men's Club in 1995 to head efforts to assist county party organization in policy and election matters.*

**1985-1989 - Chairman, Tanglewood Park Board of Trustees**

*Appointed by Forsyth County Board of Commissioners to chair this 1,100 acre public resort park and golf facility. Park is former horse farm and estate of William Neal Reynolds, brother of R. J. Reynolds and President of R. J. Reynolds Tobacco Company.*

*Initiated discussion and led development of transition of park from branch agency of county to an authority that leased the facility from the County which built strong business support for the park.*

*Worked with R. J. Reynolds Tobacco Company to bring the Vantage Championship golf tournament to Tanglewood's famed PGA Championship course beginning in 1987. Tournament had the largest purse on the Senior Golf Tour.*

*Recruited business leaders of the community, Ed Horrigan, Chairman and Chief Executive Officer of R. J. Reynolds Tobacco Company, Bill Howard, Chairman and Chief Executive Officer of Piedmont Airlines, John McNair, President of Wachovia Bank, and Weldon Schenck, President of Sara Lee Hosiery, to join the Tanglewood Board of Trustees as members of the Board.*

*Instrumental in developing a financial program that put Tanglewood on a profit basis after years of deficits under county control.*

**1977-1981 - Chairman, Forsyth County Republican Party**

*Elected as Chairman of the party at the 1977 convention and re-elected at party's 1979 convention.*

*Assumed party leadership immediately after it had suffered its worst defeat in decades in the 1976 election, and by 1980 election had rebuilt party morale and helped elect the majority of the contested offices.*

*Instrumental in building a foundation on which the party grew in numbers in the 1980's and 1990's.*

*1967-1997 - Member of the North Carolina State Bar, North Carolina Bar Association  
and Forsyth County Bar Association*

**MILITARY SERVICE**

*United States Army, 1967-1969. Duty stations at Fort Bragg, North Carolina; Fort Bliss,  
Texas; and Headquarters, Long Binh Post, South Vietnam.*

*Awarded the Bronze Star Medal.*

**REFERENCES**

*Available upon request.*

MEMORANDUM

Page 2

February 19, 1997

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) John W. Hurley (Sponsor's Name) proposes  
H. D. Reaves, Jr. (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

Minority political party category

At-large category

(2) Nominee's Name: H. D. Reaves, Jr.

Nominee's Occupation: President, Home Federal Savings & Loan

Address: 2611 N. Edgewater Drive, Fayetteville, N.C. 28303

Home Phone: (910) 484-6493

Work Phone: (910) 483-3681

Fax #: (910) 483-5102

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

UNC Board of Governors

MEMORANDUM

Page 3

February 19, 1997

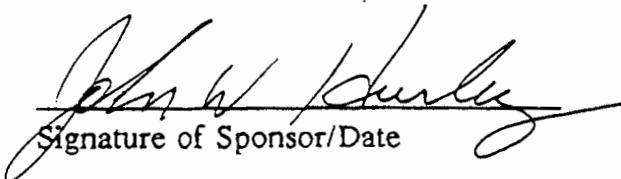
- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:

None

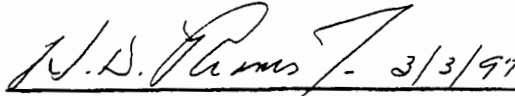
- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina? NO

- (6) If elected, will the nominee serve? YES

- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.) YES

  
Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

  
Signature of Nominee/Date

## QUESTIONS TO BOARD OF GOVERNORS NOMINEES

### 1. IN YOUR OPINION, WHAT IS THE ROLE OF THE UNIVERSITY OF NORTH CAROLINA BOARD OF GOVERNORS?

The Board of Governors (BOG) is the governing authority of the UNC system of higher education in North Carolina. It is "responsible" for the control and supervision of the sixteen constituent institutions. Policies and regulations are created to carry out these responsibilities.

The responsibility involves three key elements: planning, governance, and budgeting. Partly because of my service on the Planning Committee, I believe Planning to be extremely important. Long-range planning determines where you wish to go and how you wish to get there. Planning determines how much you need to spend and how efficiently the money is spent. Planning determines the proper evaluation of those persons put in charge of carrying out the objectives of higher education.

A five-year plan, revised every two years, is constantly in place. This plan determines the mission of each institution, and sets basic policies and priorities for the academic programs of each school.

Governance is also a key element. The BOG is responsible for the "general" supervision of the schools. While we do determine the academic programs and degrees awarded at each institution, as well as the enrollments and tuitions, much authority is delegated to the Boards of Trustees. Wisely so! How the BOG has handled its governance function over the years has played a significant role in the success of higher education in North Carolina. The BOG at times has consolidated authority (or "power") and used it in a productive way. (EXAMPLE: the development of a comprehensive marine science program on both the graduate and undergraduate level involving UNC-CH, NCSU, ECU and UNC-W.) At times the BOG has delegated authority to the institutions because we felt this method was more effective and efficient. (EXAMPLE: the recent Privatization issue.) The fact that the BOG can use both approaches, and does use them wisely, has been instrumental in the national reputation of our system of higher education.

And of course the third key element is budgeting, which is the most discussed because it involves money, most of which comes from taxpayers. The role of the BOG here is to plan, develop and present to the General Assembly a unified budget for the entire UNC system, but presented in three categories: continuing operations, salaries, and capital needs.

2. WHAT DO YOU SEE AS YOUR ROLE AS AN INDIVIDUAL MEMBER OF THE BOARD OF GOVERNORS?

My major roles involve reading, listening, questioning, and negotiating.

The READING role centers on "doing-my homework", and conscientiously reviewing the volumns of reports that arrive weekly. Whether the subject matter is Off-Campus Distance Learning, Minority Presence or Professional Development, the reports are important and need to be read and digested.

Then at committee meetings the LISTENING takes place as staff presents verbally the summaries and recommendations of the reports recently received. IF I have read and listened properly, QUESTIONING may not be needed. But sometimes additional information is required, or disagreements need to be addressed.

If disagreements occur and varying opinions are intensely felt, then NEGOTIATING takes place, as appropriate "middle ground" is sought.

In addition to the basic work of serving on committees, etc. that result in the establishment of policy, another vital role is needed - the ability to sit back and look at the big picture. A VISONARY role. With the coordination and cooperation that now exists between public schools, community colleges and higher education, a "total education policy" for North Carolina is beginning to evolve - from birth to death. Therefore all decisions by the BOG need to be evaluated in light of how other educational institutions are affected.

If I am fortunate enough to receive a second term, another role would be appropriate - that of a PUBLIC ADVOCATE. With appearances before civic clubs or other groups, letters to the editor, or various meetings with public officials, the result would be more effective communication with the public concerning higher education in North Carolina - what we are doing right, where we are headed, and what is needed to be even better.



3. WHAT ARE YOUR PRIMARY CONCERNS REGARDING IMPROVEMENT OF THE UNIVERSITY SYSTEM? AS AN INDIVIDUAL BOARD MEMBER, HOW WOULD YOU GO ABOUT ADVOCATING AND IMPLEMENTING SOLUTIONS TO ADDRESS YOUR CONCERNS, PARTICULARLY WHEN THIS WOULD MEAN ADVOCATING A POSITION CONTRARY TO THAT OF THE MAJORITY OF THE BOARD OR OF THE GENERAL ADMINISTRATION?

Monies spent on education should never be considered an expense, but rather an investment. Policies and procedures that affect education should be created with the thought of enhancing the return on our investment. Two statistics that have always concerned me are our retention and graduation rates, because they adversely affect our return on investment. While we state correctly that our graduation rates are above the national average, the rates themselves are atrocious. A four-year graduation rate of under 30% for the entire system! And some schools reflect a graduation rate of under twenty per cent in four years! The persistence rate, which reflects the percentage of students remaining in school or graduating, is 61%. Almost four of every ten students entering the system fail to either graduate or remain in school after four years.

How to correct it? Several new programs are underway which should result in higher rates in the coming years. A two-year study of academic advising has resulted in a deeper commitment to assisting students. All schools are devoting additional monies, time, energies, personnel and technology in developing a stronger support system for incoming students. This is true of even the research institutions, who for years prided themselves on not needing an extensive system due to the quality of students at their schools.

With the enrollment growth predicted for the next decade, a long look should be taken at our minimum standards for admission, particularly among those schools that admit border-line students. Is it cost effective to admit these students, when you consider the current graduation and retention rates? And if (admission) space will be at a premium around the year 2000, why not allow these border-line students to attend community colleges, enter the two-year program, and IF their grades allow, transfer to a UNC school after their sophomore year?

A possible increase in admission standards may not be welcomed by General Administration. But my responsibility would be to place the issue on the agenda, have it discussed thoroughly, and see what develops.

4. IN YOUR ROLE AS A MEMBER OF THE BOARD OF GOVERNORS, HOW WOULD YOU REFLECT AN APPRECIATION AND UNDERSTANDING FOR THE UNIQUE MISSION OF THE INDIVIDUAL CONSTITUTENT UNIVERSITIES?

In order to truly understand the uniqueness of our university system, you first have to know it. And that takes time. Time to visit each campus, time to talk with the chancellor, students, faculty and administrators, and time to review and study the academic programs available at each campus.

To realize that under one system we have a small liberal arts school in the west that features an excellent undergraduate research program (UNC-A), another school in the Triad that houses a nationally-recognized minority engineering school (NCA&T), and yet another east coast facility that is building a first-class marine science program (UNC-W), is truly breathtaking. Two research institutions, with world-class reputations, as well as a national school for those interested in the arts, all within the same state and system, available for our high school graduates.

As you gather knowledge about each of the sixteen constituent institutions and your appreciation grows (and it continues to grow, with each passing month and year), then your responsibility as a Board of Governors member is to educate the citizens of our state about this valuable asset. Most citizens simply do not understand the variety and quality of our system of higher education. They do not realize the value of a small institution such as UNC-P, that has sent approximately fifty graduates to medical school (to successfully compete against graduates of Duke, Davidson, Wake Forest, etc.), and have most of them return to southeastern North Carolina to set up practice. The quality of our research institutions (UNC-CH and NCSU) are the solid foundation of our university system, but the true value and service to our state is found in the variety and unique mission of each of the sixteen campuses. We are truly blessed.

H. D. REAVES, JR.  
2611 North Edgewater Drive  
Fayetteville, NC 28303  
(910) 484-6493

OCCUPATION Home Federal Savings and Loan Association  
President and Chief Executive Officer

EDUCATIONAL UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL  
EXPERIENCE B. S. in Business Administration, 1959

Cumberland County Middle School Development Task Force

Cumberland County Community Support of Schools Task Force

Fayetteville Technical Community College Foundation  
Chairman, Fund Raising

UNC Morehead Scholarship Grant Selection Committee, Cumberland County

UNC Educational Foundation  
Board of Directors, Local Advisory Board

UNC Alumni Association  
President, Local Chapter

CIVIC FAYETTEVILLE AREA CHAMBER OF COMMERCE  
EXPERIENCE Executive Committee, Board of Directors, President

Cumberland County Library Board  
Chairman

National Airborne and Special Operations Museum FND  
Director

United Fund  
Board of Directors, Chairman - Allocations and Admissions Committee

Kiwanis  
President, Board of Directors

National Center for Public Policy Research  
Member

PERSONAL Wife: Ann Oliver Clayton  
Children: Caroline Clayton  
Douglas

BOARD OF GOVERNORS SERVICE (1993-1997)

Planning, Policies, and Programs Committee, 1993-97  
Vice-Chairman, 1996-97  
Chairman, Academic Affairs Sub-Committee, 1996-97

O. Max Gardner Committee, 1994, 1995  
Chairman, 1995

University Awards Committee, 1994, 1995

Issues Committee, 1996-97

Board Assessment Committee, 1995

Committee on Committees, 1995

Board Retreat Committee, 1994

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) Dennis Reynolds (Sponsor's Name) proposes  
Vernon L. Robinson (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

[ ] Minority political party category

[x] At-large category

(2) Nominee's Name: Vernon L. Robinson  
Nominee's Occupation: Foundation Executive  
Address: 2713 Edinberg Drive Winston-Salem, NC  
27103

Home Phone: 910 765-7655  
Work Phone: 910 768-3567  
Fax #: 919 781-1067

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

NONE

MEMORANDUM

Page 3

February 19, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:

NONE.

- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?

NO.

- (6) If elected, will the nominee serve?

YES

- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Generally, local positions do not need to be resigned).

YES.

 March 6, 1997  
Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

 March 6, 1997  
Signature of Nominee/Date

February 19, 1997

MEMORANDUM

TO: House Nominees to the Board of Governors  
FROM: Representative Gray, Chair, University Board of Governors Committee  
RE: Questions to Board of Governors Nominees

Each House nominee to the Board of Governors is asked to answer the following questions and to submit a brief vitae. Answers should be succinct and limited to no more than one typed page per question. Please note that the responses to the questionnaire and vitae are public documents. **All responses are due by 12:00 noon on March 6, 1997 in the office of Representative Lyons Gray, Room 532, Legislative Office Building, 300 North Salisbury Street, Raleigh, North Carolina 27611.**

1. In your opinion, what is the role of the University of North Carolina Board of Governors?
2. What do you see as your role as an individual member of the Board of Governors?
3. What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?
4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

## Nominee's Biography

Vernon Robinson's family history is a slice of Americana. His mother's mother was a domestic servant in rural Louisiana. Through hard work and scholarships to Gilbert Academy and Dillard University, Vernon's mom, Dr. Stella Robinson, earned the first college degree in her family and eventually earned a master's degree and doctorate, retiring from the faculty of the University of Michigan. Vernon's dad, grew up in Los Angeles, joined the Army Air Corps famed 332nd Fighter Group as a radio technician during World War II and eventually retiring from Federal service with the Federal Aviation Administration.

With the power of knowledge and hard work, Vernon, his sister and brother are now President of a Foundation, an executive with the World Council of Churches, and President of a Music Publishing Company respectively.

Regrettably, educational opportunities for the working poor and increasingly the middle class are being choked off by the current government education monopoly. Status quo education interest groups have created a system that has effectively broken off the bottom rungs of educational opportunity for many of our citizens. These failures at the primary and secondary level have directly and negatively impacted on the University of North Carolina System.

Vernon played basketball and studied military history in high school. He was appointed to the Class of 1977 at the U.S. Air Force Academy. After graduation, he served five years of active duty as a missile combat crew commander and eight years in the reserves as an intelligence officer at Seymour Johnson AFB and Pope AFB in North Carolina. While on active duty, he earned an MBA in quantitative methods from the University of Missouri.

Vernon accepted an appointment as an assistant professor teaching information systems in the Business Department at Winston-Salem State University. He was shocked by the number of students maimed by the public education systems' diploma mill giving 12th grade diplomas for 6th grade work. He saw the agony of students who realized that they were not only not ready for college work but hardly prepared for 7th grade work.

Vernon became a vocal advocate of school choice to restore educational opportunity, parental authority and taxpayer confidence.

After attracting the attention of Education Secretary Bill Bennett, Pete duPont, the Wall Street Journal and National Review during his 1992 bid for State Superintendent, Vernon founded the North Carolina Education Reform Foundation and the North Carolina Education Reform Action Network. The Foundation holds forums to inform the public about school policy options to empower parents and teachers so that an informed debate can take place on how best to expand educational opportunity. The Action Network builds a grassroots lobby to support passage of school choice legislation.

Robinson drafted HB 955, the Charter School Educational Opportunity Act, that was passed by the House during the 1995 session. In addition to numerous opinion editorials in newspapers across North Carolina, Robinson wrote the article supporting full and fair school choice in the September issue of NC Insight.

Vernon Robinson is currently the President of the North Carolina Education Reform Foundation in Raleigh. He is married to Helene Agnew of St. Louis. They have a daughter, Alexandra.



Vernon L. Robinson

Answers to BOG nominee questions:

I believe that publicly supported education must transition with the forces driving the information economy. Once upon a time individuals stored up all the knowledge that they were able to absorb and then lived on that inventory for the rest of their lives in one career. My father and many other fathers not only had one career after military service in World War II, but spend 35 years in one company, or in his case, the federal government. For most citizens, those days are gone.

I have had three careers including nine years in Air Force military service, nine years as a college professor and five years as a public policy foundation executive. Most baby boomers will have multiple careers. My daughter will turn 18 years old on August 3rd 2011. In her world, there will be three forms of employment; a limited number of public sectors "jobs," value-added entrepreneurs and temporary employees or temps.

No one will care where you went to school or what degree you have. The only thing they will care about is what skills do you bring to solve the next value added problem or seize the next value added opportunity for next Wednesday's client. An information age university system will offer learning opportunities at the convenience of the learner not at the convenience of university.

I believe that the value of credentials of all types are plummeting. High school diplomas are totally discounted by the market as worthless. Many college graduates are finding out that their diplomas are not much more valuable.

The role of the Board of Governors of the University of North Carolina is to provide public oversight to ensure the President and staff are facilitating the transition of the sixteen constituent institutions into the information age and to do so while keeping faith with the taxpayers who pay the bills and customers who buy our services.

My primary concern regarding improvements of the University System is to help the System through the aforementioned transition.

Anyone who knows me knows that I will forcefully and relentlessly advocate my position. As I have aged, I have learned to select the "good fights" carefully. When the core interests of the University System, its students, or the taxpayers are threatened, I will use all ethical avenues at my disposal to advocate my position, even if I am a minority of one. Innovation, creativity, and knowledge are power in the information age. I plan to wield all three in the interest of the citizens of North Carolina.

I have taught at and studied at a wide variety of institutions of higher learning. This experience gives me both the understanding of the unique missions of the constituent institutions as well as the ability to recognize a snow job from staff or consultants when I see one.

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) Rep. Theresa Esposito (Sponsor's Name) proposes  
J. Craig Souza (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

[ ] Minority political party category

At-large category

(2) Nominee's Name: J. Craig Souza  
Nominee's Occupation: President  
Address: NC Health Care Facilities Association  
5109 Bur Oak Circle  
Raleigh, NC 27612

Home Phone: 919/876-6947

Work Phone: 919/782-3827

Fax #: 919/787-8418

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

(4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:

(5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?

No.

(6) If elected, will the nominee serve?

Yes.

(7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.)

N/A

  
Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

 3/3/97  
Signature of Nominee/Date

1. In your opinion, what is the role of the University of North Carolina Board of Governors?

The Board of Governors has final University authority in personnel, planning, financial management, policy development, and governance. The Board is responsible to the people of North Carolina to ensure that the University's affairs are conducted responsibly.

Personnel policies and decisions are a fundamental responsibility of the Board. This responsibility includes the selection of the President and the approval of the President's management staff, including the Chancellors of the sixteen constituent institutions. These personnel ultimately shape the conduct and productivity of the University's affairs.

Planning is an ongoing process guiding the evolution of the University's programs and directions. There is perhaps no other area of state government in which planning will be more crucial over the next 10 to 20 years than in higher education. Considerable increases in student enrollment alone will call for new, innovative planning strategies.

Financial management is a duty of the Board of Governors. The Board is responsible to the citizens of North Carolina to ensure the highest possible level of teaching and learning at a reasonable cost. The State Constitution provides that higher education should be provided at the least practicable cost. The Board must, therefore, exercise sound judgment in the regulation and establishment of student fees and the management and control of costs. The presentation of the University's biennial budget requests to the General Assembly are an important Board product and an indication of the Board's priorities.

2. What do you see as your role as an individual member of the Board of Governors?

As an individual member of the Board of Governors I must conduct myself with the interests of the system as a whole and avoid special interests. I recognize that my responsibility is to the people of North Carolina and the University and not individual campuses within the system. I do, however, feel my experience as a member and chair of the East Carolina University Board of Trustees adds a valuable perspective to the Board of Governors.

I bring a special appreciation for the need for cooperation between the General Assembly, the Board of Governors and General Administration of the University. My professional experience with the General Assembly and my understanding of the nuances of effective government could be to the mutual benefit of the General Assembly and the University.

3. What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?

My primary concerns for University improvement are:

- a) maintaining, or returning where required, the University to a national leadership position.
- b) ensuring costs are carefully controlled.
- c) developing new, innovative techniques for meeting projected enrollment increases over the next decade.
- d) maintenance and preservation of present capital resources.
- e) improvement of linkages among all levels of public education.

I would advocate these and other concerns which may arise through straightforward discussion with Board members, the University President and his staff. I believe having a thorough knowledge of your position is a sound basis for arguing your beliefs. I would seek to persuade others to my point of view while understanding that there will be much to be learned from my colleagues. A spirit of compromise and cooperation is key to the art of successful Board membership and leadership yet I will not let the process intimidate me or my thinking.

4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

I would seek to learn as much as possible about each of the constituent institutions. One of the strengths of our system is the diversity represented by each campus. This diversity should be valued and enhanced by the Board. Students in North Carolina are blessed with an opportunity to find an appropriate match for their needs in higher education. Be it size, curriculum, location or type, there is a campus ideal for them.

The differences among our campuses should be valued. Efforts must be made to address the unique needs of the various institutions. Yet we must not lose sight of the overall mission of the University. Efficient management of resources, avoiding unnecessary duplication, and enhancing the strengths of each campus should guide our decision making.

# BIOGRAPHICAL INFORMATION

## J. CRAIG SOUZA

### POSITION:

Mr. Souza is President of the North Carolina Health Care Facilities Association. He has been employed with the Association since 1977.

The North Carolina Health Care Facilities Association is a non-profit trade group representing nursing facilities.

### EDUCATION:

Mr. Souza is a 1971 graduate of East Carolina University, Greenville North Carolina

### SELECTED MEMBERSHIPS/ BOARDS AND HONORS:

Past Chairman, East Carolina University Board of Trustees

Past President, American Society of Health Care Association Executives

Past President, Association Executives of North Carolina

Member, Board of Advisors, University of North Carolina School of Public Health

Member, Board of Directors, Duke University Long Term Care Resources Program

Member, Board of Directors, The North Carolina Institute of Political Leadership

Member, Governors Council on Health Policy Information

Member, Board of Directors, NC Center for Public Policy Research

Recipient of the North Carolina Health Care Facilities Association's Better Life and Distinguished Service Awards

Recipient of the Association Executives of North Carolina' CEO of the Year Award

Member, Omicron Delta Kappa. Leadership fraternity

Former member, North Carolina Social Services Commission

Former member, North Carolina Manpower Council

Former member, North Carolina Board of Examiners for Nursing Home Administrators

MEMORANDUM

Page 2

February 19, 1997

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) Daniel F. McComas (Sponsor's Name) proposes  
Robert F. Warwick (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

[ ] Minority political party category

At-large category

(2) Nominee's Name: Robert F. Warwick  
Nominee's Occupation: CPA - McGladrey & Pullen, LLP  
Address: P. O. Box 1730  
Wilmington, NC 28402

Home Phone: 910 350-0426  
Work Phone: 910 762-9671  
Fax #: 910 762-9206

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

Trustee - UNC-Wilmington until 6/30/97

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:

NONE

- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?

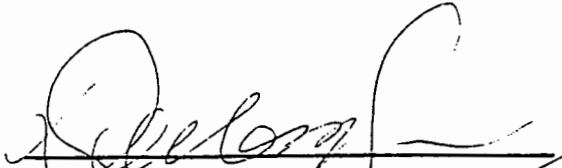
NO

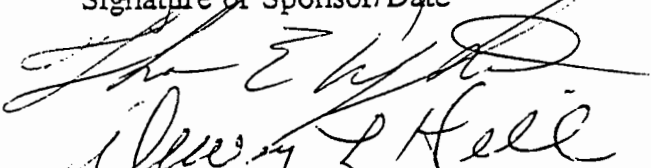
- (6) If elected, will the nominee serve?

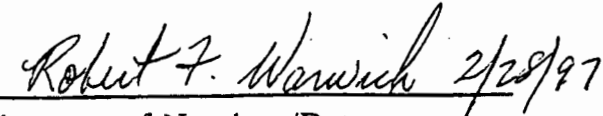
YES

- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.)

YES

  
Signature of Sponsor/Date

  
The above information is accurate, and I will serve if elected.

  
Signature of Nominee/Date



## ROBERT F. WARWICK

### ANSWERS TO QUESTIONS TO BOARD OF GOVERNORS NOMINEES

1. In your opinion what is the role of the University of North Carolina Board of Governors?

The Board of Governors are charged by the General Assembly with "the general determination, control, supervision, management and governance of all affairs of the constituent institutions." The Board of Governors set policies, and supervise the affairs of the constituent universities. The Board of Governors carry out their responsibilities through the general administration staff and through the service of the Board of Trustees and staff at each of the constituent institutions. The Board of Governors' overall role is to carry out the mission of the University of North Carolina and that is to provide a quality university education for the citizens of the State of North Carolina at a reasonable cost.

The Board of Governors have responsibility for working with the staff to establish a budget for the University System and to approve the budgets for each of the member institutions that have been submitted by the individual universities.

The Board of Governors performs its role through several committees as follows:

- Budget and finance
- Personnel and tenure
- Educational planning, policies and programs
- University governance

The Board of Governors approve all acquisitions of property for the universities and oversee the management of the property at the universities. The Board of Governors approve all changes in faculty and management personnel at the constituent universities. The Board of Governors also approve the salaries for the management personnel and general administration at the constituent universities. The Board of Governors approve the budgets for the individual universities and revisions to those budgets. The Board of Governors approve all new capital improvement projects at the constituent universities and they approve all bond issues for the University System. The Board of Governors also approve all degree programs at the various universities. The Board of Governors also elect eight of the Trustees for each of the constituent universities. In addition to the sixteen constituent institutions the Board of Governors has the responsibility for the UNC Center for Public Television, the Agricultural Research and Cooperative Extension Services of North Carolina State University, the University of North Carolina Hospitals at Chapel Hill and the North Carolina School of Science and Mathematics.

The Board of Governors has the responsibility to prepare and present to the Governor, the Advisory Budget Commission and the General Assembly a single, unified recommended budget for all of the public senior higher education for the State of North Carolina. The budget has to be presented in three parts:

- (1) A continuation budget for the constituent institutions;
- (2) A separate request for academic salary increases;
- (3) A budget for expansions and improvements for both current operations and capital improvements presented with a schedule of priorities for the capital improvements.

**2. What do you see as your role as an individual member of the Board of Governors?**

As a member of the Board of Governors, I believe that it is my responsibility to attend the meetings, to review the materials that are sent to the Board members between meetings and to come to the meetings prepared to discuss the items that are on the agenda. I believe that it is my responsibility to have a general knowledge of the University System including general administration and the constituent universities. As a member of the Board of Governors, I believe that it is my responsibility to periodically visit campuses of the University System and to generally be knowledgeable about the System. As a member of the Board of Governors it is my responsibility to work for the people of the State of North Carolina and the Legislature to see that the University System provides the optimum opportunity for students from the State of North Carolina to obtain the best possible university education at a reasonable cost.

**3. What are your primary concerns regarding improvement to the University System?**

I believe that we have one of the finest state university systems in the nation. I believe that our University System has generally been managed very effectively for the citizens of our State. Obviously, any organization the size of our University System, can improve its operations. I believe that the University System needs to be more involved in helping our public education system to improve its quality. I also believe that our University System needs to work closer with our Community College System to provide an opportunity for community college graduates to move into the University System as smoothly as possible. I believe that our University System needs to continuously review the degree programs offered to determine if they are effective and needed by the citizens of our State. I believe that there may be some programs that need to be consolidated in order to avoid duplication by another university in close proximity.

**As an individual Board Member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?**

As a Board Member it would be my responsibility to present my position to the other Board Members in such a way that I could build a consensus in favor of my position within the Board. If I could not build a consensus for my position within the Board, I should review my position to see if possibly I was in error. Generally I have been able to build a consensus for the positions that I have advocated on the Boards that I have served on in the past.

**4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?**

Each of the constituent universities in our System have unique missions and roles. I would certainly want to increase my knowledge and understanding of the individual missions and roles of the constituent universities by visiting the universities, talking with members of the Board of Trustees of the individual universities and talking with the staff of the universities. As I have had the opportunity to serve on the Board of Trustees at UNC-Wilmington, on the Foundation Board at UNC-Wilmington and on a Foundation Board at the East Carolina University Medical School, I have had the opportunity of working with the University of North Carolina at Chapel Hill and the NC State University in fundraising efforts, I have a fairly good understanding of several of the universities within the System. I have personally visited the campuses of most of the sixteen universities within the System. I have worked on task forces within the State of North Carolina with members of the faculty and staff at several of the universities. I believe that I have a fairly good understanding of the roles of most of the universities within the System. I respect what each campus brings to the total System, and I will work for the total System to the best of my ability.

ROBERT F. WARWICK, CPA, PFS

Native of Wilmington, North Carolina

Graduate - University of North Carolina - Chapel Hill

Major in Accounting (1958)

Trustee - University of North Carolina at Wilmington (1989 - Present) Chairman (1991 - 1993)

Co-Chair Capital Campaign

Director of University of North Carolina at Wilmington

Foundation (1980 - 1994) Chairman (1985 - 1986)

Director of East Carolina University Brody Foundation (1979 - Present)

Member of NC State Ports Advisory Board (1977 - 1985)

President - Wilmington Chamber of Commerce (1981)

President - Wilmington Industrial Development Co.

Committee of 100 (1982 - 1983)

Member of NC Ports, Harbors and Waterway Commission (1979 - 1984)

Director of Wilmington YMCA - President (1978 - 1980)

Steering Committee - Wilmington Excellence (1989 - )

Chairman of Education Task Force (1989 - 1993)

Director Seahawk Club, UNC-Wilmington (1992 - )

Director - United Way (1984 - 1986)

Chairman - Hospice Foundation Board (1990 - 1996)

Member of Wilmington Kiwanis Club (Former Director)

Director - Cape Fear Community Foundation (1987 - 1995)

Former lecturer in Accounting Courses at UNC-Wilmington for

American Banking Association and C.P.C.U. Courses

Author of several articles on Taxation and Management for Publication

CPA - Partner - McGladrey & Pullen (1992 - )

- Managing Partner, Lowrimore, Warwick & Co. (1973 - 1991)

- Tax Partner, Lowrimore, Warwick & Co. (1962 - 1973)

American Institute of Certified Public Accountants

- Member of Council (1990 - 1994)

- Specialization Accreditation Board (1986 - 1990)

Associated Regional Accounting Firms

- Chairman, Board of Directors (1988)

North Carolina Association of CPAs

NR International Limited (International Association of CPA firms) - Director (1986 - 1991)

Director - Fellowship of Christian Athletes of NC (1972 - )

Member of Myrtle Grove Presbyterian Church (Elder)

Member of Gideons International

Married to: Catherine Herring (1955), 2 children

Telephone: 910-762-9671 (office)

Address: P. O. Box 1730

Wilmington NC 28402

ROBERT F WARWICK

UNIVERSITY OF NORTH CAROLINA INVOLVEMENT  
1958 - 1996 SUMMARY

1958 B.S. in Accounting  
1962 - 1964 Instructor - Accounting courses - Wilmington College  
1979 - Present Brody Foundation Board - Medical School - East Carolina University  
1980 - 1994 Foundation Board - UNC-Wilmington (Chairman 1985 - 1986)  
1990 - Present Trustee - UNC-Wilmington (Chairman 1991 - 1993)  
1992 - Present Co-Chair - UNC-Wilmington Capital Campaign (Raised \$17.5 mm to date)  
1988 - UNC-Wilmington - Distinguished Alumnus Award  
1992 - Present UNC-Wilmington Business Council - Cameron School of Business  
1992 - Present UNC-Wilmington - Seahawk Club Director  
1970 - Present Member Education Foundation, Inc. - UNC-Chapel Hill  
1970 - Present Life-time member - UNC-Chapel Hill Alumni Association  
1985 - Present Member UNC-Wilmington Alumni Association  
1975 - Present Fund raising for:  
    UNC-Wilmington  
    East Carolina University  
    UNC-Chapel Hill  
    NC State University

(Over the past 20 years totals over \$25 million in  
contributions personally solicited by Warwick)

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) Rep. Daniel T. Blue (Sponsor's Name) proposes  
Harold H. Webb (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

- Minority political party category  
 At-large category

(2) Nominee's Name: Harold H. Webb  
Nominee's Occupation: Retired Administrator  
Address: 1509 Tierney Circle  
Raleigh, NC 27610

Home Phone: (919) 834-8862  
Work Phone:  
Fax #: (919) 834-7142

- (3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

Board of Governors of the University of North Carolina

MEMORANDUM

Page 3

February 19, 1997

(4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:

None

(5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina? No

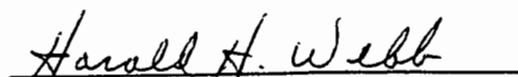
(6) If elected, will the nominee serve? Yes

(7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.) Yes

  
Signature of Sponsor/Date

3/4/97

The above information is accurate, and I will serve if elected.

  
Signature of Nominee/Date

3-4-97

TO: University Board of Governor's Committee

FROM: Harold H. Webb, Candidate for Re-election

SUBJECT: Response to Questions Submitted to Board of Governors Nominees

DATE: March 6, 1997

**QUESTION #1.** In your opinion, what is the role of the University of North Carolina Board of Governors?

The role of The University of North Carolina Board of Governors is primarily that of providing Governance, Budgeting Directions, Program Approval, Personnel Approval, and General Policy Development for the Effective Overall Operation of a Multi-Campus Statewide System of Higher education.

The board selects the President of the University. Upon the Presidents recommendation, the board approves the appointment of each of the sixteen Chancellors and their Chief Administrators. The Board of Governors is the appointing authority for eight members of the twelve member Board of Trustees at each of the sixteen constituent universities (four appointed by the Governor).

The Board of Governors has a special obligation to: Recommend appropriate legislation to the NC General Assembly, provide for appropriate policy leading to assessment of educational programs at all institutions, develop long range plans for the university, and serve as a Court of Appeal for internal university matters.

Listed below are selected Statutory Powers and Duties of the Board of Governors:

- Develop a coordinated system of higher education
- Supervision and governance of constituent institutions
- Determine academic programs of constituent institutions
- Approve establishment of any new public supported institution above the community college level
- Collect and disseminate data on higher education in the state
- Operate an Educational Opportunities Information Center
- Advise General Assembly, Governor, and Board of Trustees
- May delegate authority to constituent institutions

Finally, The Board of Governors as a unit should have a balanced interest in promoting effective instruction, appropriate research and meaningful public serve at each constituent institution.



**QUESTION #2.** What do you see as your role as an individual member of the Board of Governors?

I see my role as an individual member of the Board of Governors to be:

- An advocate for continuing to make Higher Education accessible and affordable to all North Carolina citizens qualified and eligible to profit from the university offerings.
- Concerned with the long run welfare of the total university and not just a part of it.
- To address policy and productivity with good judgment and restraint without interfering with administrative details.
- To contribute adequate time to the responsibilities of the board in regular meetings and meetings of assigned standing committees.
- To communicate with Grassroots Citizen Groups and organizations as a part of information dissemination.

**QUESTION #3.** What are your primary concerns regarding improvement of the University? As an individual Board member, how would you go about advocating a position contrary to that of the majority of the board or of the General Administration?

My primary concerns for improvement of the University System are related to:

- Providing student financial aid to eligible undergraduates statewide, with information of same made available early.
- Developing opportunities for institutions to provide an improved level of Public Service to regions of the State, without damaging the instructional program.

To advocate a position contrary to that of the majority of the board or General Administration, I would first start at the standing committee level with a well documented proposal as to the need, cost and value to the system and the state. I would lobby the committee for a positive recommendation to the full board. Without this consensus opinion, I would lobby the total board and present to the board at a regular monthly meeting a minority report and a request for action and/or further study, with action to take place at a date certain.

**QUESTION #4.** In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

In 1992 the Board of Governors completed a review of the Mission of all constituent institutions. A group of National Higher Education Consultants were used as resource experts to advise with the Board of Governors and the General Administration. I served on the Planning and Program Committee which provided the leadership for these reviews.

Because of this involvement, I would insist that each university develop, at a high productive level, the programs approved by the Board of Governors, for that institution in its Mission Statement.

## Harold H. Webb

9 Tierney Circle  
Chapel Hill, N. C. 27610

### MEMBER OF THE UNC BOARD OF GOVERNORS

Elected 1989, Re-elected 1993, Candidate for Re-election 1997

Executive Director of the Charlotte Hawkins Brown Historical Foundation, Inc., Former Director of State Personnel, Deputy Assistant State Superintendent of Public Instruction, a Public School Principal; served on the Board of Trustees of Shaw University, Board of Trustees of Wake Technical College and Board of Directors of the North Carolina Center on Crime and Punishment; Past Chairman of the Wake County Planning Board, Former President of the National Association of State Personnel Executives; graduate of North Carolina A & T State University (B.S. and M.S) and the Government Executive Institute, UNC-Chapel Hill School of Business.

#### Board of Governors Experience

##### Standing Committee Service

Educational Planning Policies and Programs	1989 - Present
	1994 - Present (Secretary)

##### Ad Hoc Committee Service

Board Retreat Committee	1989
Nursing Committee	1990
O. Max Gardner Committee	1990, 1995
Committee on Committees	1990, 1992 (Chair, 1992)
Special Legislative Committee	1991
University Award Committee	1991
Committee on University Teaching Awards	1993, 1994
Subcommittee on Minority Presence	1995 (Chair)
Issues Committee	1996 (Co-Chair)
Presidential Search-Leadership Statement Committee	1996

#### Attendance and Visitation Record

Visited all 16 Universities.

Attended all meetings of the Educational Planning Policies and Programs Standing Committee to which I am assigned.

Attended all meetings of the Board of Governors during my tenure except one.

Attended all joint meetings of the UNC Board of Governors, the State Board of Community Colleges and the State Board of Education.

Attended five national meetings of the Association of Governing Boards.

Attended all workshops on special studies authorized by the General Assembly.

## FINAL LIST, UNIVERSITY BOARD OF GOVERNORS

March 6, 1997

### At-Large Candidates, University Board of Governors, completed forms

	sponsored by
Bradley T. Adcock 3-6	Hensley, Morgan
Arch T. Allen III 3-4	Daughtry, Neely, Russell, Sherrill Barbee
J. Addison Bell 3-4	Gulley
Mark L. Bibbs 3-6	Goodwin, Clary
H. Frank Grainger 3-6	Miner
Harry Clinton Grimmer 3-4	C. Wilson, Barbee
Howard H. Haworth 3-5	McMahan
John J. (Jack) Hunt 3-6	Miller
Reef C. Ivey, II 3-5	Hill
Peter Keber 3-4	Dickson
John R. Lauritzen 2-25	Bowie
Henry McKoy 3-5	Wilson, Justus, Morris, Thompson
Wendell Clay Moseley 3-6	Crawford
D. Wayne Peterson 3-4	Creech
Edward L. Powell 2-27	Esposito, Decker, Sexton, Brown, Hiatt, Baker, G. Wilson
H. D. Reaves Jr 3-4	Hurley, Warner
Vernon L. Robinson 3-6	Reynolds
J. Craig Souza 3-5	Rogers, Esposito
Robert Franklin Warwick 3-4	McComas, Hill, Wright
Harold H. Webb 3-4	Blue

### Political Minority Candidates, University Board of Governors, completed forms

	sponsored by
John F.A.V. Cecil 3-5	Ives, Cansler, Sherrill, Justus, Nesbitt
William W. Cobey, Jr. 3-4	Culp, Davis, Dockham, Neely
Joan N. Danieleley 3-6	Dockham
Timothy Keith Moore 3-5	Weatherly
R. B. Sloan, Jr. 3-5	Howard

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) Representative William M. Ives (Sponsor's Name) proposes  
John F.A.V. Cecil (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

Minority political party category

At-large category

(2) Nominee's Name: John F.A.V. Cecil  
Nominee's Occupation: Businessman  
Address: Biltmore Farms, Inc.  
P.O. Box 5355  
Asheville, NC 28813

Home Phone: 704/274-5278

Work Phone: 704/274-2000

Fax #: 704/274-2150

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

Secretary, University of North Carolina Board of Governors --

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:

NONE

- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?

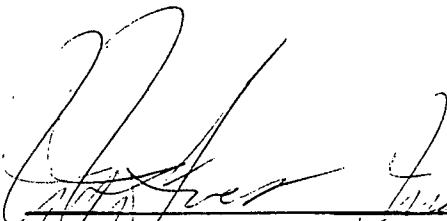
NO

- (6) If elected, will the nominee serve?

YES

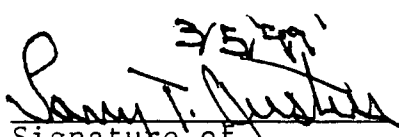
- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.)

YES

  
 \_\_\_\_\_ 3/5/97  
 Signature of Sponsor/Date

  
 \_\_\_\_\_ 3/5/97  
 Signature of Co-Sponsor/Date

  
 \_\_\_\_\_ 3/5/97  
 Signature of Co-Sponsor/Date

  
 \_\_\_\_\_ 3/5/97  
 Signature of Co-Sponsor/Date

  
 \_\_\_\_\_ 3/5/97  
 Signature of Co-Sponsor/Date

The above information is accurate, and I will serve if elected.

  
 \_\_\_\_\_ 3/5/97  
 Signature of Nominee/Date



BILTMORE  
FARMS, INC.  
Established 1897

Office of  
JOHN F.A.V. CECIL  
President

March 4, 1997

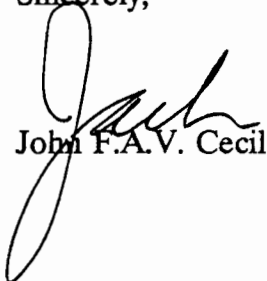
Representative Lyons Gray  
NORTH CAROLINA GENERAL ASSEMBLY  
House of Representatives  
Chair, UNC Board of Governors Committee  
Room 532  
Legislative Office Building  
300 North Salisbury Street  
Raleigh, NC 27611

Dear Representative Gray:

In response to your memorandum to House Nominees to the Board of Governors, I am enclosing my answers to the questions posed by the University Board of Governors Committee as well as a copy of my vitae.

I hope that these responses as well as my dedicated service for the last four years gives you a clear understanding of my sincere interest in continuing as a member of the Board of Governors.

Sincerely,



John F.A.V. Cecil

JC.lg

Enclosures

cc: Rep. L. Cansler  
Rep. W. Ives  
Rep. M. Nesbitt  
Rep. W. Sherrill

The role of the University of North Carolina Board of Governors is to:

1. Be the guardian for the University of North Carolina as established by the N.C. Constitution and govern within the powers duly authorized by the constitution.
2. Establish policies enabling UNC to become the world-class higher education system through the implementation of a well-grounded governance structure, sound planning principles, and a responsible fiscal and budgeting process.
3. Coordinate the individual campuses' missions under the umbrella of the University's Mission Statement while promoting quality instruction, innovative research, and dedicated public service.
4. Provide fiduciary responsibility to the people of North Carolina for the funds allocated by the N.C. General Assembly and/or raised through private efforts.
5. Provide for good governance by neither governing nor administering the day-to-day activities of the individual campuses; by respecting the role of the faculty; by protecting academic freedom; and by selecting, advising, supporting and evaluating the University's President and the General Administration staff.
6. Represent the UNC system to all constituencies both within North Carolina and throughout the nation; be a spokesman for the system.

John F.A.V. Cecil  
Question #2

My role as an individual Board member is to:

1. Abide by the Code of the Board of Governors of the University of North Carolina and fulfill my duties as Secretary of the Board.
2. Assure that the values and integrity of the UNC system are maintained at all times.
3. Be an exemplary governor, a role model for other Board members, by seeking consensus, by being accepting of others' views, by supporting the ultimate resolution/outcome, and by placing the interests of the State and the System above my personal interests.
4. Be informed and knowledgeable about the UNC system and each of the 16 constituent campuses.
5. Be fair and honest in all deliberations; do not "represent" any one faction or campus.
6. Listen, be reflective, and be prepared to ask the difficult questions in order to further the mission of UNC.
7. Attend all Committee and Board meetings and be informed to discuss any subjects that comes before the Board.



A. My primary concerns are as follows:

1. Increasing access to UNC for all qualified students either on or off campus.
2. Ensuring diversity throughout our campuses.
3. Promote the further use of appropriate technology for distance learning applications.
4. Be most efficient with the dollars available via implementing the revised funding model.
5. The need to increase private (individual) and public (U.S. government and foundations) support for scholarships, facilities, and research in order to supplement the funds from the General Assembly.
6. Continue to monitor the productivity of our classrooms, the campuses, and institutes on a regular basis.
7. Continue the Presidential and Board assessment processes.
8. Ensure that the campus Boards of Trustees represent a cross-section of the State.
9. The Board of Governors should take the lead and encourage better coordination among the public school system, the community colleges, and UNC to better utilize their collective resources, improve the quality of education at all levels across the State, and ease the transfer of credits between the community colleges and UNC campus.
10. Improve undergraduate student advising.
11. Improvement of retention and graduation rates.

B. I would advocate these concerns and seek solutions to them by:

- Firstly, understanding the diverse viewpoints by asking probing questions, studying the subject in depth, and seeking advice from those more knowledgeable than myself.
- Secondly, using my acquired information, good judgement and personal relationships, seek consensus and ask individuals to support this solution.
- Thirdly, present the solution to the entire Board and ask for their support.

Given my current role as Secretary and as a past Chairman of the Board of Trustees of UNCA, the system's only Baccalaureate (Liberal Arts) I University, I respect the uniqueness of each individual institution's mission and have demonstrated this by:

- Being fair, unbiased, and impartial toward each institution, realizing that each campus fulfills a specific niche and serves a specific need. Collectively their individuality and uniqueness make the system stronger.
- Advocating for the improvement of quality of undergraduate teaching, research, and public service at the smaller, weaker institutions without jeopardizing the quality of the "flagships."
- Funding each university at a level to promote their uniqueness within the policies established by the General Assembly and the Board of Governors. "Equity funding" implies providing funds to each campus for both the continuing and capital budgets at a sustained level to provide for the long term growth and enhancement, but not taking funding from one campus to supplement another.
- As a member of the Committee on Educational Planning, Policies, and Programs, I have read and consequently approved each individual campus' mission statement and resulting educational offerings.



**BILTMORE  
FARMS, INC.**  
Established 1897

Office of  
**JOHN F.A.V. CECIL**  
President

**John F.A.V. Cecil**

<b>Business Title</b>	President Biltmore Farms, Inc.
<b>Business Address</b>	One Vanderbilt Road P.O. Box 5355 Asheville, NC 28803 (Street) 28813 (Box)
<b>Business Phone</b>	(704) 274-2000, Fax (704) 274-2150
<b>Industry</b>	Real estate development; investments
<b>Description</b>	Biltmore Farms, Inc., is a developer of residential, commercial, and retail properties
<b>Home Address</b>	34 Hilltop Road Biltmore Forest, NC 28803
<b>Home Phone</b>	(704) 274-5278
<b>Education</b>	B.A., University of North Carolina at Chapel Hill; M.I.M., American Graduate School of International Management
<b>Experience</b>	Cecil joined Biltmore Farms, Inc., a family-owned business, in 1984. He held a variety of positions before becoming president in 1992.
<b>Professional/Civic</b>	Director - First Union National Bank of North Carolina, Inc.; Director - Asheville Area Chamber of Commerce; Member - Board of Governors of The University of North Carolina; Director, Memorial Mission Hospital, Inc.; Chairman, HandMade in America
<b>Personal</b>	Married; two children

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) Representative Jerry C. Dockham (Sponsor's Name) proposes  
William W. Cobey, Jr. (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

Minority political party category

At-large category

(2) Nominee's Name: William W. Cobey, Jr.

Nominee's Occupation:

Address: 2410 Falls Drive  
Chapel Hill, N.C. 27514

Home Phone: (919) 383-7032

Work Phone:

Fax #:

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds: None

MEMORANDUM

Page 3

February 19, 1997

(4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds: None

(5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina? No

(6) If elected, will the nominee serve? Yes

(7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.) Yes

 3/4/97  
Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

 3/4/97  
Signature of Nominee/Date

William W. Cobey Jr.

Responses to Questions to Board of Governors Nominees

1. In your opinion, what is the role of the University of North Carolina Board of Governors?

The Board of Governors' role is to provide the people of North Carolina with the highest quality public universities. North Carolina's public universities should be known for being outstanding in the areas of instruction, extra-curricular programs, outreach, and research. In all matters of policy and personnel, the Board should uphold the spirit and intent of the Constitution and statutes of North Carolina. The Board must be certain that all financial resources are wisely spent, and any unnecessary duplication of degree programs are eliminated. The Board should provide diligent oversight for the System, and carefully investigate any alleged improprieties. The Board should seek to establish a close relationship with the Boards of Trustees of the constituent universities.

2. What do you see as your role as an individual member of the Board of Governors?

I would bring to the Board a thorough understanding of intercollegiate athletics, the state budget system, and the U.S. Congress. Therefore, I believe my knowledge and contacts in these areas would enable me to assist with promoting integrity in intercollegiate athletics, conserving financial resources, and properly interacting with the federal government. Also, I would be available to discuss issues before the Board with members of the State House of Representatives.

3. What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?

I am concerned about unnecessary duplication of degree programs, under-utilization of some faculty, under-achievement by many student, and inadequate control of student conduct.

Before advocating a solution, I would be certain I had collected and studied the best information available and consulted with a representative sample of the affected parties. To implement the solution, I would try to convince individual members of the appropriate committee, and key staff that my solution was needed. If unsuccessful, and I still felt my solution was the best course of action, I would bring it individually to the attention of other members of the Board, and ultimately to a public session of the Board. I have experience being in the minority on some issues, and realize that it often takes a great deal of time for others to accept your point of view. At all times, I would seek to be patiently persistent and conduct myself as a gentleman.

4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

I would make it clear in both word and action that I was not representing a particular university or region on the Board. Even before I was seated on the Board, I would make a special effort to visit with officials of the constituent institutions to make sure I had an appreciation and understanding for their mission. Through the years, I have visited all but one of the constituent universities, and I was employed by the University of North Carolina at Chapel Hill for twelve years. My daughter has degrees from Appalachian State and the University of North Carolina at Chapel Hill, and my son attended the University of North Carolina at Greensboro.

WILLIAM W. COBEY JR.  
2410 Falls Drive  
Chapel Hill, N.C. 27514  
(919) 383-7032

**Employment History:**

Richard Petty for Secretary of State, Co-Chairman, 1996  
Town of Morrisville, Town Manager, 1993-96  
NC Department of Environment, Health, and Natural Resources  
Secretary, 1989 to 1993  
NC Department of Natural Resources and Community Development  
Secretary, Jan.-Aug., 1989  
NC Department of Transportation, Deputy Secretary, 1987-89  
United States House of Representative, Member, 1985-87  
Cobey and Associates, Inc., Management Consultant, 1983-84  
Taxpayers Educational Coalition, Chairman, 1980-81  
University of North Carolina at Chapel Hill:  
Director of Athletics, 1976-80; Assistant Athletic Director,  
1971-76; Assistant Athletic Business Manager, 1969-71;  
Academic Counselor, 1968-71

**Educational Background:**

BA, Chemistry - 1962, Emory University, Atlanta, GA  
MBA, Marketing, Wharton School - 1964, University of  
Pennsylvania, Philadelphia, PA.  
M.Ed, Health and Physical Education - 1968, University of  
Pittsburgh, Pittsburgh, PA

**Professional Memberships and Appointments:**

Member, NC Association of City and County Managers, 1994-96  
Member, Wake County Managers Association, 1994-96, Program  
Chairman, 1995 and Chairman 1996  
Member, Board of Directors, NC Alternative Energy Corporation,  
1993-95  
State of North Carolina:  
Co-Chairman, Albemarle/Pamlico Estuarine Study Policy  
Committee, 1989-92  
Member, Board of Directors, NC Zoological Society,  
1989-92  
Member, Governor's Drug Cabinet, 1989-92  
Member, Western NC Environmental Council, 1989-91  
Chairman, Advisory Committee, Governor's Cup Billfishing  
Series, 1991-92  
National Co-Chair, 1989 Blue Ribbon Panel of Judges, Take  
Pride in America National Awards Program  
Member, Governor's Blue Ribbon Commission on Coastal  
Initiatives, 1989-92



Member, NC Geographic Information Coordinating Council,  
1992

Advisory Board, NC State Government Chapter, National  
Management Association, 1990-92

Member, Information Resources Management Commission, 1992  
Board of Telecommunications Commissioners, 1989-90

**U.S. House of Representatives:**

Science and Technology Committee; Small Business Committee;  
Select Committee on Children, Youth and Families

**Atlantic Coast Conference:**

Chairman of Athletic Directors, Booking Office, Fencing,  
and Tennis Committees

Member of special committees on awards, football contracts,  
and television revenue

**University of North Carolina at Chapel Hill:**

Member of Title IX, 504 Advisory, and Calendar Committees  
1974 NCAA Division I Basketball Finals Committee

**Civic Participation:**

Member, Board of Directors, Trinity School, 1996- present

Member, Advisory Board, Global Leaders For The South, 1990-96

Host family for international students at UNC, 1988-94

Member, Board of Directors, Celebration NC, Inc., 1990-93

Member, Board of Directors, NC Association for the Emotionally  
Troubled, Inc., 1987-91

Member, Chapel Hill Advisory Board, Home Savings and Loan  
Association, 1976-80

President (1975, 1976, 1981), Chapel Hill-Carrboro YMCA

Member, East Chapel Hill Rotary Club, 1970-80

Member, Board of Directors, United Fund of Chapel Hill-Carrboro,  
early 1970's, Assistant Campaign Chairman, 1971

Chairman, Chapel Hill District Sustaining Membership, 1979  
Enrollment, Boy Scouts of America

Member, Board of Directors, Chapel Hill Chapter of the American  
Field Service, early 1970's

**Honors:**

Order of Long Leaf Pine, State of North Carolina, 1993

Presidential Citation of the NC Association of Local Health  
Directors, 1992

Golden Bulldog Award of the Watchdog of the Treasury,  
1985 and 1986

Guardian of Small Business Award from the National Federation  
of Independent Business, 1985-86

Order of Gimghoul, UNC at Chapel Hill, 1979

Bell Tower Society, UNC at Chapel Hill, 1979

Recipient of 1977 Distinguished Service Award, Chapel  
Hill-Carrboro Jaycees

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) Rep. Jerry C. Dockham (Sponsor's Name) proposes  
JOAN N. DANIELEY (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

Minority political party category

At-large category

(2) Nominee's Name: JOAN N. DANIELEY

Nominee's Occupation: Vice President, Qual Choice of NC

Address: 112 N. Stratford  
Winston-Salem, NC 27104

Home Phone: 910-945-2284

Work Phone: 910-716-0880

Fax #: 910-716-0920

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

None.

MEMORANDUM

Page 3

February 19, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:

*None.*

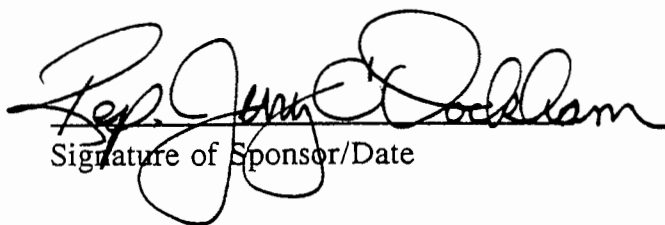
- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?

*No.*

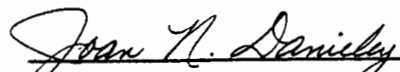
- (6) If elected, will the nominee serve?

*Yes.*

- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.)

 *Rep. James D. Woodham* 3/5/97  
Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

 *Joan N. Danieley* 2-27-97  
Signature of Nominee/Date

February 19, 1997

MEMORANDUM

TO: House Nominees to the Board of Governors

FROM: Representative Gray, Chair, University Board of Governors Committee

RE: Questions to Board of Governors Nominees

Each House nominee to the Board of Governors is asked to answer the following questions and to submit a brief vitae. Answers should be succinct and limited to no more than one typed page per question. Please note that the responses to the questionnaire and vitae are public documents. **All responses are due by 12:00 noon on March 6, 1997 in the office of Representative Lyons Gray, Room 532, Legislative Office Building, 300 North Salisbury Street, Raleigh, North Carolina 27611.**

1. In your opinion, what is the role of the University of North Carolina Board of Governors?
2. What do you see as your role as an individual member of the Board of Governors?
3. What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?
4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

**Question 1: In your opinion, what is the role of the University of North Carolina Board of Governors?**

The University of North Carolina Board of Governors supervises and manages the affairs of the constituent institutions. The Board oversees the academic programs and develops a budget to present to the General Assembly.

As managers of the constituent institutions, the Board must focus on the unique needs of the individual institutions and coordinate these diverse goals with the other constituent institutions. It is incumbent upon the Board to provide leadership and guidance to the constituent institutions as we seek to fulfill the needs and missions of the institutions, as well as the needs of the state.

In its leadership role, the Board must develop and implement a strategic plan that is supported by a vision for the future, with clear goals and objectives for achieving excellence. The Board, with input from the constituent institutions, the General Assembly, and others, must formulate a vision for the University System and develop a plan that makes that vision tangible and puts that vision into action.

The Board must also integrate the missions and activities of the constituent institutions with the goals for higher education across the state. We need to continue our efforts to coordinate with the State Board of Community Colleges and the State Board of Education, so that we may improve public education at every level across the state. The outcome should be a state system of higher education that is innovative and serves as a standard of excellence across the country, while providing the students of North Carolina with the tools they need to make our state the standard of excellence for business, industry and government.

Joan Danieley

**Question 2: What do you see as your role as an individual member of the Board of Governors?**

My role as an individual member of the Board of Governors is to serve the best interests of the state as a whole. Doing what is best for one does not require depriving another. In contradistinction, my work challenges me to continually find ways for generally competing interests to seek win-win terms and goals. These skills that I have developed while working with HMOs, hospitals, and physicians will be applied to my tenure on the Board of Governors.

In this era of scarce resources, it is important that we integrate our efforts for higher education and find ways to complement and support one another. As our constituent institutions work together, we will find ways to utilize our resources effectively and efficiently.

As a member of the Board, I will bring to the table the needs of the business community, the citizens, and education as a whole. To fulfill its mission, the Board must understand the changing demographics and the changing workplace. As a member of the Board, I will stay abreast of those changes.

**Question 3: What are your primary concerns regarding improvement of the University System: As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?**

North Carolina already has a university system that is the best in the nation. Now, we must become even better and continually stay ahead of the curve. Innovation and excellence must be the cornerstone upon which we continue to build a university system that is a beacon for all other states.

The system must achieve greater goals while operating in a world of scarce resources. This goal can be achieved by integrating efforts across the system while maintaining the integrity and individuality of each institution. Through this approach, we can create a vision of higher education across the state.

As we go forward, the university system must serve the best interests of the state and higher education. We need to strike a balance between liberal arts and technology that allows our graduates to be leaders and achievers for North Carolina. To do this, we must be ever mindful of the changing market place, changing industry, changing technology and the changing workforce. A university is a dynamic, fluid, and changing environment and the Board must embrace the concept of change so that we may prepare our students for what the state will be, not just what it is today.

As the Board seeks solutions, I will attempt to quantify the results of solutions I pose. As people see the outcome of an idea, they begin to agree with the value of the idea. This way of approaching new ideas, when based on a foundation of integrity and trust, helps solidify support for your ideas from the group.

**Question 4: In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?**

We have sixteen constituent institutions across the state that serve diverse populations and provide different programs. As I have traveled across the state with my work, I have discovered how wonderfully diverse our state is.

Our constituent institutions serve regions that have industry, agriculture and environments that are very distinct from other regions. Moreover, constituent institutions have each developed academic programs that meet the specific needs of our state.

As we develop strategic plans, we must embrace each institution for the work that it does and the individual needs that it meets. To this end, we as Board members must have a working knowledge of each institution, the community in which it resides, the population it serves, and the academic programs that form its anchor.

Each institution of the system is key to having a system of higher education that serves the needs of the state. We must find ways to help each institution complement the other and participate fully in the system.



## *JOAN DANIELEY*

At the end of the 1995 session of the General Assembly, Joan Danieley joined QualChoice of North Carolina as Vice President of Operations, where she oversees billing and enrolling, customer service, claims processing, and information systems. Prior to being appointed Reading Clerk by the Speaker of the House, she traveled across the state tackling health care and human needs as a program officer for the Kate B. Reynolds Charitable Trust of Winston-Salem.

Born and reared in Alamance County, Joan earned her Juris Doctor and Master of Health Care Administration at Tulane University in New Orleans, where she was Director of the Tulane Legal Assistance program, a member of the Environmental Law Journal, and a member of the curriculum committee. During summer vacation, she also did volunteer work at the Youth Law Center in San Francisco.

Joan has worked in several hospitals and health care organizations in North Carolina, Louisiana and Hawaii. She was with the health care and corporate section of the Torkildson, Katz law firm prior to becoming house counsel and policy advisor with the third party payor industry. Her experience includes operations management, health care transactions and governance, regulatory compliance, managed care design, government relations, general corporate work regarding the organization of business entities and contracts regarding operations. This broad background gives Joan a unique perspective on the health care system as a whole and how the various participants in the system interact.

Active with the Republican Party, Joan was a Fellow of the North Carolina Institute of Political Leadership, has served two terms as special assistant to the Chairman of the Fifth District Republican Party, has served as finance chair for the McDaniel for Senate campaign for two elections, planned and hosted a reception for Secretary of HUD, Jack Kemp, in Honolulu at the request of the Hawaii State Chairman, and has served as county coordinator or steering committee member for numerous Republican candidates. Active in the community, Joan was appointed by the North Carolina General Assembly to the Board of Trustees of the UNC Center for Public Television, is on the Boards of Trustees for both Forsyth Technical Community College and The ARC, and is active in Rotary and the Junior League of Winston-Salem.

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) John H. Weatherly (Sponsor's Name) proposes  
Timothy Keith Moore (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

- Minority political party category  
 At-large category

(2) Nominee's Name: Timothy Keith Moore  
Nominee's Occupation: Attorney  
Address: home: 813 West Mountain St.  
Kings Mountain, NC 28086

office: Hamrick, Mauney, Flowers & Martin  
212 South Dekalb Street  
Shelby, NC 28156

Home Phone: 704-739-6447  
Work Phone: 704-482-4441  
Fax #: 704-482-4872

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds: none

MEMORANDUM

Page 3

February 19, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds: *none*
- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina? *no*
- (6) If elected, will the nominee serve? *yes*
- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.) *yes.*

*John Weatherly 3/1/97*  
\_\_\_\_\_  
Signature of Sponsor/Date

The above information is accurate, and I will serve if elected.

*Timothy Keith Moore / 3/1/97*  
\_\_\_\_\_  
Signature of Nominee/Date

February 19, 1997

MEMORANDUM

TO: House Nominees to the Board of Governors  
FROM: Representative Gray, Chair, University Board of Governors Committee  
RE: Questions to Board of Governors Nominees

Each House nominee to the Board of Governors is asked to answer the following questions and to submit a brief vitae. Answers should be succinct and limited to no more than one typed page per question. Please note that the responses to the questionnaire and vitae are public documents. **All responses are due by 12:00 noon on March 6, 1997 in the office of Representative Lyons Gray, Room 532, Legislative Office Building, 300 North Salisbury Street, Raleigh, North Carolina 27611.**

1. In your opinion, what is the role of the University of North Carolina Board of Governors?
2. What do you see as your role as an individual member of the Board of Governors?
3. What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?
4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

## Responses to Question to Board of Governors Nominees

Timothy Keith Moore

### **1. In your opinion, what is the role of the University of North Carolina Board of Governors?**

The role of the University of North Carolina Board of Governors (BOG), as prescribed by statute, is to provide the policy leadership of the UNC system of universities. This includes, approving a single unified budget for all of the sixteen constituent campuses and approval of the establishment of any new public senior institution, consulting with the State Board of Education, the Department of Community Colleges, and the private institutions of higher education to develop consistent policies with respect to higher education in North Carolina.

The BOG has tremendous authority to develop consistent policies among the sixteen campuses. One area of particular interest to me is how monies are distributed and used on campuses to support vital programs as well as controversial special interest groups. The use of taxpayer funds and student fees deserves intense scrutiny as the Board of Governors must be financially accountable to the citizens of North Carolina. The BOG also must develop policies that make its campuses safe centers for learning by taking steps to reduce levels of campus crimes. Unfortunately this is a problem that commands attention. The Board of Governors must do all that it can to implement measures to increase safety on our campuses.

### **2. What do you see as your role as an individual member of the Board of Governors?**

As a relatively recent graduate from UNC-Chapel Hill (1992), I can relate to the students and their concerns. I have a deepened understanding of the operations of the university bureaucracy and insight into the ways it can be improved through my involvement in student government.

As an attorney, I have the ability to understand the complex legal issues surrounding policy making and enforcing in higher education. As a member of the Board I would zealously defend any positions I advocate without regard to popularity, making sure that my focus is solely on the betterment of the UNC system.

As an individual Board member, I will be an active, educated member clearly focused on improving the direction of the University system with regard to academic achievement, fiscal accountability and oversight, demanding that the university remain an institution of academic freedom.

I believe that the citizens of North Carolina deserve benefits from the University system. Increased educational opportunity, improvements in quality of life from continued university research, and access to varying cultural and political ideologies all combine to make this system one that of which our citizens can be

very proud. It is vital that the BOG continue to support and encourage these endeavors as they are what makes the UNC system a leader among the nations university systems.

**3. What are your primary concerns regarding improvement of the University system? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?**

One of my major concerns is fighting drugs on campus. No one denies that drug abuse has become a blight on society. Drugs have made their way into virtually every facet of our community. This problem has taken its toll on the universities through increased medical costs and heightened rates of criminal activity. In order to combat the crimes and other costs associated with drug abuse on UNC campuses, serious action must be taken.

I propose that the UNC campuses become "drug free zones" where persons convicted of drug related offenses receive greater penalties than those currently applicable. One possibility is a "one strike and you are out" provision in which any student convicted of a drug related offense on campus may not attend a state university for a period of one year following such a conviction. Further, additional action should be taken to punish non-students who would bring drugs onto the campuses such as heightened penalties for convictions of drug related crimes occurring on campus.

There are numerous alternatives that the UNC Board of Governors should consider when deciding how to best attack the problem of drug abuse. Once a decision has been reached, it may be necessary to work with the General Assembly to implement stricter and more aggressive strategies. I plan to be an instrumental part of fighting the war on drugs.

Another major concern is examining the use of student fees. The use of student fees to fund different organizations and causes has been questioned greatly in the past. Students have been required to pay increasing fees to provide for these funds. Large portions of these monies have been used to finance political and special interests groups with which many fee paying students have strong disagreement.

These issues have been and are being addressed by the courts. The legal trend is that forcing students to support causes and organizations through their student fees with which they disagree is violative of the First Amendment's right to free speech, and in any case is undemocratic.

I propose a study of the University student fee process to make the use and distribution of fees more equitable and non-political in nature. The bottom line is that mandatory student fees should not be used for political and ideological causes.

In the event that a position I am advocating is contrary to a majority of the Board, I will work to reach a compromise or look to other avenues to achieve those goals. I have no concern with respect to advocating a position contrary to the

General Administration, because it is the duty of the BOG to develop policy and the duty of the General Administration to carry out those policies, not to debate the policy decisions of the BOG. Accordingly, I will adhere to my ideological and policy positions, advocate them strenuously, and, where appropriate, work for compromise. Be assured that I will never betray my conscience and principles. As a graduate of the UNC system, I have a vested interest in its continued success.

**4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?**

To reflect an appreciation and understanding of the unique missions of the individual constituent universities, I will visit all of the campuses and during such visits keep a journal of any observations or new ideas from which other campuses may benefit. I hope to take an active role in a project or program at all sixteen schools. Furthermore, I will maintain a high level of interaction with administrators, professors, and students at the different universities to discover what are the local concerns and how the Board of Governors can address these issues.

There should be system-wide evaluation of educational services and learning outcomes. The graduation rate at every university needs to be carefully monitored so that steps to increase that number can be taken if necessary. It is the job of the universities to demand excellence at every level of the college experience so that graduation rates will continue to rise.

Additionally, I will work to see that the regional universities receive appropriate funding and study concerning improvements, expansion, and continuation of undergraduate and graduate programs.

**TIMOTHY KEITH MOORE**

813 West Mountain Street  
Kings Mountain, North Carolina 28086  
(704) 739-6447

**EDUCATION**

**Juris Doctor**, 1995  
Oklahoma City University School of Law

**Bachelor of Arts**, Political Science, 1992  
University of North Carolina at Chapel Hill

Campbell University, 1988 - 1990

**PROFESSIONAL**

**Attorney at Law**, Licensed in North Carolina, August, 1995.  
Licensed in the **District of Columbia**, January, 1997.

Admitted to practice before the following Courts:

- All North Carolina State Courts
- The District of Columbia Superior Court and Court of Appeals
- United States District Court for the Western District of North Carolina
- United States District Court for the Middle District of North Carolina
- United States District Court for the Eastern District of North Carolina
- United States Court of Appeals, Fourth Circuit

**WORK  
EXPERIENCE**

**Hamrick, Mauney, Flowers and Martin**  
**Attorney at Law**  
Shelby, North Carolina  
August 1995 - present

**Kings Mountain High School**  
**Substitute Teacher**  
Kings Mountain, North Carolina  
1992 - 1993

**Legislative Aide/Intern for N.C. Senator John D. Carter**  
January 1991 - July 1991

**PROFESSIONAL  
ACTIVITIES &  
MEMBERSHIPS**

**North Carolina State Bar**, (1995 - present).  
**North Carolina Bar Association**, (1995 - present).  
**American Bar Association**, (1995 - present).  
**North Carolina Academy of Trial Lawyers**, (1995 - present).  
**Federalist Society**, (1992 - present).



**POLITICAL  
ACTIVITIES**

**Cleveland County Republican Party Executive Committee,**  
Member, Nominations Committee, (1994 - present).

**Cleveland County Young Republicans,** (1991 - present).  
Chairman, (1991 - 1992, 1994 - present).

**Conservative Legal Scholars Society (OCU),** (1994 - 1995).

**Students For America,** (1988 - 1994).  
Chapter Chairman, Campbell University, (1988 - 1989).  
North Carolina State Federation Chairman, (1989 - 1990).  
National Vice-Chairman, (1991 - 1993).  
Chapter Chairman, Oklahoma City University, (1992 - 1994).

**College Republicans,** (1988 - 1995).  
Campbell University, (1988 - 1990).  
UNC-Chapel Hill, (1990 - 1992).  
Oklahoma City University, Chairman, (1992 - 1993).

**CIVIC  
ACTIVITIES**

**Boy Scouts of America,** (1995 - present)  
Unit Commissioner, Piedmont District, (1995 - present).  
Advisor, Explorer Scouts, (1996 - present).

**Cleveland County Arts Council,** (1997 - present).  
Member, Fundraising Committee.

**COLLEGE  
ACTIVITIES**

**OKLAHOMA CITY UNIVERSITY STUDENT GOVERNMENT**  
Member, Law School Board of Governors, (1992 - 1995).

**UNC-CHAPEL HILL STUDENT GOVERNMENT**

**Speaker,** UNC Student Congress, (April 1991 - April 1992).

**Representative,** University of North Carolina System Association of  
Student Governments, (1991 - 1992).

**Boards and Committees:**

- The Daily Tar Heel Board of Directors, (1991 - 1992).
- Handicapped Students Advocacy Committee, (1991 - 1992).
- Chancellor's Committee on Student Issues, (1991-1992).
- Student Fee Review Board, Chairman, (1991 - 1992).
- Campus Security Committee, Chairman, (1991 - 1992).
- Carolina Union Board of Directors, (1991 - 1992).

**REFERENCES**

Furnished upon request.

MEMORANDUM

Page 2

February 11, 1997

**HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM**

(1) Representative Julia C. Howard (Sponsor's Name) proposes  
R. B. Sloan, Jr. (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

Minority political party category  
 At-large category

(2) Nominee's Name: R. B. Sloan, Jr.  
Nominee's Occupation: CEO, Crescent Electric Membership Corporation  
Address: 3115 Oakmont Road  
Statesville, NC 28677

Home Phone: 704-872-8664  
Work Phone: 704-878-5100  
Fax #: 704-878-0161  
E-mail: rb.sloan@crescent.ncemcs.com

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee's spouse currently holds:

Chairman of the Mitchell Community College Board of Trustees

MEMORANDUM

Page 3

February 11, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:

None

- (5) Is the nominee or the nominee's spouse an employee of a constituent university of the University of North Carolina?

No

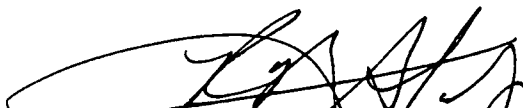
- (6) If elected, will the nominee serve? Yes

- (7) If elected will the nominee resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Generally, local positions do not need to be resigned).

Yes

  
\_\_\_\_\_  
Signature of Sponsor/Date

**The above information is accurate, and I will serve if elected.**

  
\_\_\_\_\_  
Signature of Nominee/Date

March 4, 1997

March 4, 1997

MEMORANDUM

To: House Subcommittee on Community Colleges and Universities  
Representative Lyons Gray, Chairman

From: R. B. Sloan, Jr.

RE: Responses to "Questions to Board of Governor's Nominees"

1. In your opinion, what is the role of the University of North Carolina Board of Governors?

*The role of the University of North Carolina Board of Governors is to discharge their duties consistent with what I believe to be the goal of every citizen in North Carolina. That goal being to establish and maintain the status of the North Carolina University System as the premier university system in the United States. The specific responsibility of the Board of Governors is to develop, implement, monitor, and evaluate the necessary policies to accomplish that goal within the guidelines and budgets as established by the North Carolina General Assembly.*

2. What do you see as your role as an individual member of the Board of Governors?

*My role as an individual member of the Board of Governors is to actively participate in the attainment of the goal aforementioned. I anticipate this requiring the commitment of time and talent. I anticipate this requiring an open mind, understanding, and a willingness to speak up. I anticipate the necessity to be able to help generate and consider the alternatives and make the difficult choices. I anticipate being an integral part of the decision making process.*

*Also, I believe there is an ethical requirement of each member of the Board of Governors to remember that only when acting as a Board are their actions significant. I am not an advocate of "end runs" or "politicking" around any Board. Acting as an individual member out of context of the full Board and in conflict with the good of the Board and the Greater University is entirely inappropriate and unacceptable.*

Responses to "Questions to Board of Governor's Nominees"

by R. B. Sloan, Jr.

Page Two

3. What are your primary concerns regarding improvement of the University System? As an individual Board Member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?

*My primary concern is the challenge of providing a high quality education with the realization of the fact we are facing dwindling financial resources from the taxpayers of North Carolina. The North Carolina General Assembly is faced with the same set of expectations all levels of government are receiving. That being to provide the services the citizens need (or want) with no more or less resources. I expect the Members of the General Assembly to demand from the Board of Governors no less. I believe my challenge will be to work to deliver a product (quality education for students) at the absolute best value.*

*To address how I would advocate or implement solutions when it meant advocating a position contrary to the majority of the Board or the General Administration, I will call upon the resources of a broad array of life experiences. In my professional life I am accustomed to making decisions which have broad impact on my corporation and the residents of my region and are at times controversial. I served as Chairman of the Iredell County Board of Commissioners during which time we built an industrial park, merged two school systems, and performed a countywide revaluation. I was the Co-Chair of a bond referendum. In all these situations, conflict and disagreement were commonplace. However, I am convinced that committed, rational people can disagree and yet work toward a common goal.*

*I believe I bring three strong convictions to the Board of Governors. One, I am committed to building in North Carolina the premier university system in the United States. Two, I believe I bring strong organizational skills to the Board. I pride myself in bringing diverse and divergent opinions to consensus. Third, I am a team player. I have a strong belief that the proper role for a Board member is to openly and candidly discuss all issues, arrive at consensus as to the course of action, monitor implementation, and evaluate results. The caliber of appointees to the Board of Governors should be capable of making decisions in the best interest of the University.*

Responses to "Questions to Board of Governor's Nominees"  
by R. B. Sloan, Jr.  
Page Three

4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

*Perhaps one of the most important principles a member of the Board of Governors should articulate is a commitment to adhere to the specific mission of the individual constituent universities. I am developing a clearer understanding of the specific mission statements of the constituent universities. I will follow a practice as part of my decision making process that reviews each action proposed to the Board to assure it is consistent with the mission of the affected institution.*

*Were North Carolina in a position where financial resources were plentiful, then extension, broadening, or even diverting from individual universities' missions might be tolerated. However, as I understand the prevailing sentiment of the citizens and the expectations this brings to the General Assembly, there is a need to maintain as austere budget as possible. This being the case, there is little opportunity to expand individual universities' missions but rather a need to adhere to that mission and insure complete and full attainment of that purpose.*

Vitae of **Riley B. (R. B.) Sloan, Jr.**

PERSONAL

Address: 3115 Oakmont Road  
Statesville, NC 28677

Home telephone: (704) 872-8664  
Business telephone: (704) 878-5100  
E-mail: rb.sloan@crescent.ncemcs.com

Married to Rita Cline Sloan with two children, Adam (17) and Megan (14)

EDUCATION

North Carolina State University - 1973 - Bachelor of Science in  
Electrical Engineering with specialties in Power and  
Communications  
Queens College - 1982 - Master of Business Administration with  
special interest in Finance and Strategic Planning

WORK EXPERIENCE

Crescent Electric Membership Corporation  
1989 to present - Executive Vice President/CEO & General Manager  
1978 to 1989 - Manager of Engineering and Operations  
1973 to 1978 - Electrical Engineer

PROFESSIONAL

Registered Professional Engineer in North Carolina  
Unlimited Electrical Contractor's License in North Carolina  
Received the Electric Power Research Institute's **First Use Award**  
for advancing technology in the research of battery energy  
storage systems

COMMUNITY and PROFESSIONAL INVOLVEMENT

Past:

- Honorary Chairman of the Iredell County March of Dimes  
"WalkAmerica" Campaign
- Member, past President, and past Chairman of the Board of  
the Iredell-Statesville Sertoma Club
- Chairman of the Greater Statesville Chamber of Commerce
- Received the 1994 Civitan's Distinguished Citizen Award
- Board member of the Carolina's Partnership
- Graduate of the Carolina's Leadership Program
- Chairman of the Iredell County Leukemia Fund Drive
- Served as Chairman of the Iredell County Board of  
Commissioners, 1988-1992
- Served as Chairman of the National Association of Counties  
Rural Development Sub-committee
- Served as Chairman of North Carolina Rural Electrification  
Authority, 1987-1993

COMMUNITY and PROFESSIONAL INVOLVEMENT

Present:

- Member of the First Baptist Church of Statesville
- Member and Past Master of Campbell Masonic Lodge #374
- Member of the Iredell County United Way Board of Directors and Executive Committee
- Member of the Central Carolinas Citizens Forum Board of Directors
- Member of the "Building Capacities for the Future" Regional Collaboration Committee
- Member of the Southeast Regional Vision for Education
- Member of the Iredell Memorial Hospital Board of Trustees
- Member of the Greater Statesville Rotary Club
- Organizer and Member of the Piedmont Bank Board of Directors
- Chairman of the Mitchell Community College Board of Trustees
- Chairman of the Greater Statesville Economic Development Corporation
- Member of the North Carolina Association of Electric Cooperatives Board of Directors
- Member of the North Carolina Electric Membership Corporation Board of Directors
- Chairman of the Tarheel Electric Membership Association
- Member of the National Rural Utilities Cooperative Finance Corporation Board of Directors



## MINUTES

### HOUSE UNIVERSITY BOARD OF GOVERNORS COMMITTEE

February 11, 1997

2:30 p.m.

Chairman Lyons Gray presided at the meeting and the following Members were present: Representatives Aldridge, Alexander, Bonner, Clary, Culp, Dockham, Goodwin, Grady, Howard, and Mercer.

Committee Counsel, Kory Goldsmith, and Sara Kamprath and Jim Watts, Research Division, were present.

Representative Gray called the meeting to order at 2:40 p.m. He explained to the Committee the plan which would have the House elect 6 At-Large and 2 Political Minority persons to the University Board of Governors. Each Member of the House can only nominate two candidates. He asked Committee Counsel, Kory Goldsmith, to explain the Timeline Memorandum and the Nomination Form.

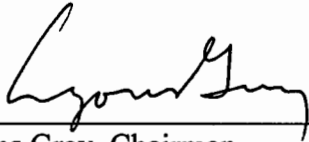
The Chairman explained the draft resolution, which outlines the procedure by which the House elects the 8 members to the UNC Board of Governors. The Committee discussed several aspects of the resolution and the reasons behind prohibiting individuals from being nominated by both houses in the same year. Committee Counsel read part of the statute which explains the Political Minority category. Chairman Gray explained that he took the liberty of placing all the names of the Committee members on the Resolution as sponsors. Anyone wishing that his or her name not appear there, please see him after the meeting and he would delete it. The Committee voted to accept the Resolution in its present form with the agreed changes and send it forward.

Nomination Form discussion: This is very similar to previous years except for the addition of a fax number and a date in the signature space. The questions at the end of the nomination form were discussed as a way to eliminate the painful face-to-face interrogation. There was no disagreement.. Chairman Gray noted that the nomination form becomes a public document after it is filed. He also noted that ballots need to be signed to be legal and that the ballots are not secret.

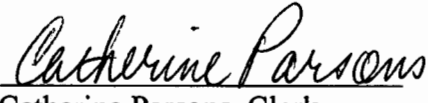
The Committee discussed further the questions at the end of the Nomination Form. There was some feeling that some of the questions were redundant. Kory Goldsmith explained that some of them were used to establish eligibility.

After much discussion, it was decided to come back a week from today ready with a decision on the form of the questions at the end of the Nomination Form.

The meeting was adjourned at 3:15 p.m.



Lyons Gray, Chairman



Catherine Parsons, Clerk

Attachments: Proposed Timeline Memorandum dated February 11, 1997  
Memorandum from Rep. Gray dated February 11, 1997 G.S. 116-6, 116-7  
Draft Resolution D97-RC-001  
Nomination Form dated February 11, 1997  
Visitor Registration



**North Carolina General Assembly  
Legislative Services Agency**

George R. Hall, Legislative Services Officer  
(919) 733-7044

Elaine W. Robinson, Director  
Administrative Division  
Room 5, Legislative Building  
16 W. Jones St.  
Raleigh, NC 27603-5925  
(919) 733-7500

Gerry F. Cohen, Director  
Bill Drafting Division  
Suite 100, LOB  
300 N. Salisbury St.  
Raleigh, NC 27603-5925  
(919) 733-6660

Thomas L. Covington, Director  
Fiscal Research Division  
Suite 619, LOB  
300 N. Salisbury St.  
Raleigh, NC 27603-5925  
(919) 733-4910

Donald W. Fullord, Director  
Information Systems Division  
Suite 400, LOB  
300 N. Salisbury St.  
Raleigh, NC 27603-5925  
(919) 733-6834

Terrence D. Sullivan, Director  
Research Division  
Suite 545, LOB  
300 N. Salisbury St.  
Raleigh, NC 27603-5925  
(919) 733-2578

February 11, 1997

MEMORANDUM

**TO:** Representative Gray,  
Chair, University Board of Governors Committee

**FROM:** Kory J. Goldsmith, Committee Counsel *KJG*

**RE:** Proposed Timeline for Board of Governors Election

1. Earliest possible introduction of Resolution -- Wednesday, February 12.
2. University Board of Governors Committee considers Resolution -- Tuesday, February 18th.
3. House adoption of Resolution -- February 19 or 20.
4. Nominations deadline -- Thursday, March 6th at noon.
5. University Board of Governors Committee considers nominees and determines ballot -- March 11th and 12th.
6. House to hold election -- Tuesday, March 18th.

\*\*\* Election must be held within 30 legislative days from Education committee appointments (assuming no Fridays) -- April 2, 1997. \*\*\*

97KJG002



February 11, 1997

MEMORANDUM

**TO:** Members of the House of Representatives  
**FROM:** Representative Gray, Chair, House UNC Board of Governors Committee  
**RE:** 1997 Board of Governors election  
G.S. 116-6, 116-7

Generally, there are thirty-two members of the Board of Governors of the University of North Carolina serving staggered four-year terms. Each long session, the Senate and the House separately elect eight of the sixteen members to be elected that year. Members may be re-elected to serve a maximum of twelve consecutive years on the Board. No member of the General Assembly, State officer or employee, employee of a constituent university, nor any spouse of these persons may serve on the Board. Persons may hold no more than two appointive offices or one appointive and one elective office.

Each chamber prepares a resolution for a procedure to be followed to develop a slate of nominees. The chambers alternate electing members from among the four categories: at-large, racial minority, political minority party, and female. The process is divided by categories, and at least two nominees are named in each category if enough qualified nominees are submitted for consideration by the committee responsible for organizing the slate. The election is to be held within 30 legislative days of the completion of appointments to the education committees.

In 1997, the House of Representatives is to fill two minority party seats, and six at-large seats. See attached chart

**PROPOSED: 1997 Election Procedures**

1. The House UNC Board of Governors Committee ("Committee") is responsible for receiving nominations from members of the House of Representatives.

2. Nominations close on March 6, 1997. The resolution proposes that only written nominations submitted on a form provided by the Chair of the Committee and received in the office of the Chair of the Committee by 12:00 noon on March 6, 1997 shall constitute a nomination. Forms may be obtained from the Chair of the Committee.

3. Members of the House of Representatives may nominate persons only in the following categories:

- (a) Minority political party (2 seats); and

MEMORANDUM

Page 2

February 11, 1997

(b) At-large (6 seats).

Each Representative may nominate up to two persons.

4. No member of the General Assembly, no officer or employee of the State, no officer or employee of a constituent institution, and no spouse of any of these persons, are eligible to serve on the Board. Generally, an individual may hold two appointive offices or one appointive and one elective office.

5. After nominations close and after the Committee has screened the nominees, the members of the Committee shall vote for their preferences in each of the categories. Based upon the results of that vote, the Committee shall prepare a ballot that includes twice the number of candidates for the total seats open in each category. The Committee shall then vote for their preferences in each category.

6. The Committee shall prepare for the House a ballot that includes twice the number of candidates for the total seats open in each category and that indicates the results of the Committee's preference vote for the information of the members of the House of Representatives.

7. At the election, to be held no later than March 18, 1997, the Committee shall present the ballot to the House of Representatives. The Speaker of the House shall announce the election procedures, which are set out in the House resolution governing the election. No additional nominees may be received from the floor.

8. To be elected, a nominee must receive a majority vote of all members present and voting, as set out in the House resolution.

9. When the Chair of the Committee has determined that the House of Representatives has elected two members from the minority political party category for four-year terms, and six members from the at-large category for four-year terms, the Speaker of the House of Representatives shall declare those eight persons to have been elected.

MEMORANDUM

Page 3

February 11, 1997

**BOG Seats Available for 1997 Election  
House of Representatives**

<u>BOG Member</u>	<u>Seat</u>	<u>Eligible for Reelection 1997</u>
Mark Bibbs	At-Large	Y
John F.A.V. Cecil	Political Minority	Y
Derick S. Close	At-Large	Y
Ellen S. Newbold	At-Large	Y
Wayne Peterson	At-Large	Y
H.D. Reaves, Jr.	At-Large	Y
Harold Webb	At-Large	Y
Vacant	Political Minority	

**Resignation from 1997 class:**

John Garwood                      Political Minority

**§ 116-6. Election and terms of members of Board of Governors.**

(a) As the terms of members of the Board of Governors provided for in G.S. 116-5 expire, their successors shall be elected by the Senate and House of Representatives. Sixteen members shall be elected at the regular legislative session in 1993 and every two years thereafter. The Senate and the House of Representatives shall each elect one-half of the persons necessary to fill the vacancies on the Board of Governors. Of the 16 members elected every two years beginning in 1993, at least two shall be women, at least two other members shall be members of a minority race, and at least two other members shall be members of the political party to which the largest minority of the members of the General Assembly belongs.

(b) In 1993 and every four years thereafter the Senate shall elect at least two women and two members of a minority race, and the House of Representatives shall elect at least two members of the political party to which the largest minority of the members of the General Assembly belongs. In 1995 and every four years thereafter the Senate shall elect at least two members of the political party to which the largest minority of the members of the General Assembly belongs, and the House of Representatives shall elect at least two women and two members of a minority race.

(c) In electing members to the Board of Governors, the Senate and the House of Representatives shall select from a slate of candidates made in each house. The slate shall be prepared as provided by resolution of each house. If a sufficient number of nominees who are legally qualified are submitted in a category for which members of the Board of Governors are to be elected, then the slate of candidates shall list at least twice the number of candidates for the total seats open in a category. All qualified candidates in a category shall compete against all other qualified candidates in a category. In 1993 and biennially thereafter, each house shall hold their elections within 30 legislative days after appointments to their education committees are complete.

(d) All terms shall commence on July 1 of odd-numbered years and all members shall serve for four-year overlapping terms.

(e) No person may be elected to:

- (1) More than three full four-year terms in succession;
- (2) A four-year term if preceded immediately by election to two full eight-year terms in succession; or
- (3) A four-year term if preceded immediately by election to an eight-year term and a four-year term in succession.

Resignation from a term of office does not constitute a break in service for the purpose of this subsection. Service prior to the beginning of those terms in 1989 shall be included in the limitations.

(f) Any person who has served at least one full term as chairman of the Board of Governors shall be a member emeritus of the Board of Governors for one four-year term beginning at the expiration of that member's regular elected term. Any person already serving as an emeritus member may serve an additional four-year term beginning July 1, 1991. Members emeriti have all the rights and privileges of membership except they do not have a vote.

(g) Effective July 1, 1991, and thereafter, any person who has served at least one term as a member of the Board of Governors after having served as Governor of North Carolina shall be a member emeritus of the Board of Governors, with all the rights and privileges of membership as in G.S. 116-6(f).

**§ 116-7. General provisions concerning members of the Board of Governors.**

(a) All members of the Board of Governors shall be selected for their interest in, and their ability to contribute to the fulfillment of, the purposes of the Board of Governors, and all members shall be deemed members-at-large, charged with the responsibility of serving the best interests of the whole State. In electing members, the objective shall be to obtain the services of the best qualified citizens of the State, taking into consideration the need for representation on the Board by the different races, sexes and political parties.

(b) From and after July 1, 1973, no member of the General Assembly or officer or employee of the State or of any constituent institution or spouse of any such member, officer or employee may be a member of the Board of Governors. Any member of the Board of Governors who is elected or appointed to the General Assembly or who becomes an officer or employee of the State or of any constituent institution or whose spouse is elected or appointed to the General Assembly or becomes such officer or employee shall be deemed thereupon to resign from his membership on the Board of Governors.

(c) Whenever any vacancy shall occur in the elected membership of the Board of Governors, it shall be the duty of the Board to inform the Speaker of the House of Representatives and the President of the Senate of the vacancy. The chamber that originally elected the vacating member shall elect a person to fill the vacancy. The vacancy shall remain unfilled until the appropriate chamber of the General Assembly elects a person to fill the vacancy.

The vacancy shall be filled not later than the adjournment *sine die* of the next regular session of the General Assembly. The election shall be for the remainder of the unexpired term. Whenever a member shall fail, for any reason other than ill health or service in the interest of the State or nation, to be present for four successive regular meetings of the Board, his place as a member shall be deemed vacant.



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GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1997

H

D

HOUSE RESOLUTION D97-RC-011  
(THIS IS A DRAFT AND IS NOT READY FOR INTRODUCTION)

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Sponsors: Representatives Gray, Alexander, Aldridge, Barbee, Bonner, Clary, Culp, Dockham, Goodwin, Grady, Howard, Mercer.

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Referred to:

---

1 A HOUSE RESOLUTION TO ESTABLISH THE PROCEDURE FOR NOMINATING AND  
2 ELECTING MEMBERS OF THE BOARD OF GOVERNORS OF THE UNIVERSITY OF  
3 NORTH CAROLINA.

4 Be it resolved by the House of Representatives:

5 Section 1. The following procedures for nominating and  
6 electing eight members of the Board of Governors of The  
7 University of North Carolina (hereinafter the "Board of  
8 Governors") are adopted:

9 I. COMMITTEE RESPONSIBILITIES.

10 1. It is the duty of the UNC Board of Governors  
11 Committee of the House of Representatives (hereinafter the  
12 "Committee") to conduct a process for nominating and electing  
13 persons for each opening in each category of seats on the Board  
14 of Governors to which the House of Representatives is to elect  
15 members.

16 2. The Committee shall receive nominations for election  
17 to the Board of Governors through March 6, 1997. Each  
18 Representative may nominate up to two persons. In order for a  
19 person to have standing as a nominee, that person must be  
20 formally nominated by a member of the House of Representatives.  
21 Only a written nomination on a form provided by the Chair of the  
22 Committee for that purpose and received in the office of the  
23 Chair of the Committee by 12:00 noon on March 6, 1997, shall  
24 constitute a nomination. An individual cannot be a nominee or be

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1 nominated in more than one category. An individual is not  
2 eligible for nomination if he or she was<sup>s</sup> nominated and not  
3 elected to the Board of Governors by ~~the House~~ during the 1995  
4 Session.

5 3. A Representative may nominate persons only for the  
6 categories available for election by the House of  
7 Representatives. In the 1997 Session, the House of  
8 Representatives will elect:

- 9 (a) Six at-large members for four-year terms; and  
10 (b) Two members of the political party to which the  
11 largest minority of members of the General Assembly  
12 belong for four-year terms.

13 4. On or after March 7, 1997, the Committee shall list  
14 all nominees by category. The Committee shall screen the  
15 nominees as to their qualifications, experience, opinions, and  
16 statutory suitability. The Committee may screen nominees by  
17 interviewing, or by soliciting written information, or by both  
18 means. On completion of the screening process, the Committee  
19 shall conduct a vote to ensure that the slate of legally  
20 qualified candidates lists no more than twice the number of  
21 candidates for the total seats open in each category. After the  
22 slate is determined by this vote, the members of the Committee  
23 shall vote for their preferences in each category.

24 5. Each nominee shall confirm his or her willingness to  
25 serve if elected. Any nominee may withdraw without the approval  
26 of the sponsor.

27 II. ELECTIONS IN THE HOUSE OF REPRESENTATIVES.

28 1. A ballot shall be prepared under the supervision of  
29 the Chair of the Committee for the use of the House of  
30 Representatives. The ballot shall include no more than twice the  
31 number of legally qualified candidates for the total seats open  
32 in each category and shall indicate the results of the  
33 Committee's votes for the information of the members of the House  
34 of Representatives.

35 2. The names of the nominees shall be arranged on the  
36 ballot (a) by category and (b) within each category, ranked in  
37 decreasing order by the number of votes received from the members  
38 of the Committee, beginning with the nominee who received the  
39 highest number of votes.

40 3. The House of Representatives shall hold its election  
41 no later than the beginning of the daily session on March 18,  
42 1997. Before the voting begins, the Speaker of the House shall  
43 explain the voting rules, which are:

- 44 (a) No nomination shall be received from the floor.

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- 1 (b) In order to be elected, a nominee must receive the  
2 votes of a majority of all members present and  
3 voting in the nominee's category.
- 4 (c) Each member present and voting shall vote for as  
5 many nominees as there are positions to be filled  
6 in each category.
- 7 (d) When fewer than two nominees in the minority party  
8 category, or fewer than six nominees in the at-  
9 large category, receive the votes of a majority of  
10 all members present and voting for positions in  
11 those categories, a runoff to fill the open  
12 position or positions shall be conducted among the  
13 nominees who were not elected but who received the  
14 highest number of votes cast in each category; and  
15 the number of nominees eligible to be voted on in  
16 the runoff shall be twice the number of positions  
17 to be filled.
- 18 (e) If there is a tie for the last position between two  
19 nominees who are eligible for the next runoff, both  
20 nominees shall be included in the next runoff  
21 balloting, even though there would be more than two  
22 nominees per available position.
- 23 (f) When more than two nominees in the minority party  
24 category, or more than six nominees in the at-large  
25 category, receive the votes of a majority of all  
26 members present and voting for positions in those  
27 categories, then the two nominees in the minority  
28 party category, and the six nominees in the at-  
29 large category receiving the highest number of  
30 votes in the category shall be deemed to have been  
31 elected.
- 32 4. The members of the House of Representatives shall  
33 proceed to mark their ballots for the following:
- 34 (a) Two persons in the minority political party of the  
35 General Assembly category for four-year terms; and  
36 (b) Six persons in the at-large category, for four-year  
37 terms.
- 38 Every ballot shall be signed by the member of the House  
39 of Representatives casting it, and no unsigned ballots shall be  
40 counted.
- 41 5. The Chair of the Committee is responsible for  
42 canvassing the vote and declaring the results and may designate  
43 members of the Committee to assist. All ballots shall be  
44 retained by the Principal Clerk as part of the permanent records

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1 of the House of Representatives and shall be open for immediate  
2 public inspection.

3           6. When the Chair of the Committee has determined that  
4 the House of Representatives has elected two members from the  
5 minority party category, and six members from the at-large  
6 category to the Board of Governors for terms of four years, the  
7 Speaker of the House of Representatives shall declare those eight  
8 persons to have been elected by the House of Representatives to  
9 the indicated positions and for the indicated terms.

10           7. The results of the election in the House of  
11 Representatives shall then be sent by Special Messenger to the  
12 Senate.

13           III. NOTIFICATION OF ELECTION RESULTS.

14           When the election process is complete, the Chair of the  
15 Committee shall notify the Secretary of the Board of Governors of  
16 the names of the persons elected by the House of Representatives  
17 and the category and term for which each person was elected.

18           Sec. 2. This resolution is effective upon adoption.

February 11, 1997

MEMORANDUM

TO: Members of the House of Representatives  
FROM: Representative Gray, Chair, UNC Board of Governors Committee  
RE: Nomination Form 1997 Board of Governors

Only the submission of the attached completed nomination form (including the nominee's responses to the questionnaire and the nominee's vitae) to the office of Representative Lyons Gray, Chair, UNC Board of Governors Committee, Room 532, by 12:00 noon on March 6, 1997 shall constitute official nomination of an individual.

NOTE: No member of the General Assembly, State officer or employee, employee of a constituent university, nor any spouse of these persons may serve on the Board.

MEMORANDUM

Page 2

February 11, 1997

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) \_\_\_\_\_ (Sponsor's Name) proposes  
\_\_\_\_\_ (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

Minority political party category

At-large category

(2) Nominee's Name:

Nominee's Occupation:

Address:

Home Phone:

Work Phone:

Fax #:

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

MEMORANDUM

Page 3

February 11, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:
- (5) Is the nominee or the nominee's spouse an employee of a constituent university of the University of North Carolina?
- (6) If elected, will the nominee serve?
- (7) If elected will the nominee resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Generally, local positions do not need to be resigned).

---

Signature of Sponsor/Date

**The above information is accurate, and I will serve if elected.**

---

Signature of Nominee/Date

February 11, 1997

MEMORANDUM

**TO:** House Nominees to the Board of Governors  
**FROM:** Representative Gray, Chair, University Board of Governors Committee  
**RE:** Questions to Board of Governors Nominees

Each House nominee to the Board of Governors is asked to answer the following questions and to submit a brief vitae. Answers should be succinct and limited to no more than one typed page per question. Please note that the responses to the questionnaire and vitae are public documents. **All responses are due by 12:00 noon on March 6, 1997 in the office of Representative Lyons Gray, Room 532, Legislative Office Building, 300 North Salisbury Street, Raleigh, North Carolina 27611.**

1. In your opinion, what is the role of the University of North Carolina Board of Governors?
2. What do you see as your role as an individual member of the Board of Governors?
3. What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?
4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

\*97RK01



VISITOR REGISTRATION SHEET

UNC Board of Gov

2/11/97

Name of Committee

Date

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK.

NAME

FIRM OR AGENCY AND ADDRESS

NAME	FIRM OR AGENCY AND ADDRESS
<u>Kay Drey</u>	<u>Office of State Planning</u>
<u>Kirstin Riesbeck</u>	<u>mentorship</u>
<u>Jerry Schill</u>	<u>NC Fisheries Assoc.</u>
<u>Christoph Mutoap</u>	<u>UNC</u>
<u>Ross Finckell</u>	<u>UNC</u>
<u>KD</u> <u>Jim Byers</u>	<u>OSBM</u>
<u>Cynthia Barnett</u>	<u>The News &amp; Observer</u>
<u>DB Matk</u>	<u>UNC</u>
<u>U. Ammon</u>	<u>UNC</u>
<u>Lyntezgo</u>	<u>Moore &amp; Van Allen</u>
<u>Sam John Garwood</u>	
<u>Will Deerd</u>	<u>NCAICU</u>
<u>Mark L. Bibb</u>	<u>UNC BOG</u>
<u>Les M Killian</u>	<u>Moore &amp; Van Allen</u>

MINUTES

HOUSE UNIVERSITY BOARD OF GOVERNORS COMMITTEE

February 19, 1997

15 minutes after Session

Lyons Gray, Chairman


Representative Gray presided and the following Members were present: Representatives Aldridge, Alexander, Bonner, Clary, Culp, Dockham, Goodwin, Grady, and Mercer. Staff Counsel, Kory Goldsmith, and Sara Kamprath, Research Department, were present.

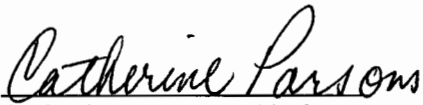
At 3:02 p.m. Chairman Gray reviewed the new Nomination Form with the Committee. Committee Counsel explained that #5 and #7 on page 3 had not been on the form before. They were added to comply with the Statutes. Representative Goodwin questioned the wording and Kory Goldsmith explained.

Representative Grady asked if anyone would check behind the Forms to see if the party affiliation was correctly designated. A discussion resulted.

Representative Mercer moved that the Nomination Form and the questions attached be adopted as amended. The vote was favorable. The Nomination Forms will be available to candidates in Representative Alexander's office, 1209, and Representative Gray's office, 532.

A question was asked about the Resolution. Chairman Gray replied that it was stuck in RULES COMMITTEE.

  
\_\_\_\_\_  
Lyons Gray, Chairman

  
\_\_\_\_\_  
Catherine Parsons, Clerk

Attachments: Nomination Form dated February 19, 1997  
Visitor Registration Sheet

February 19, 1997

MEMORANDUM

TO: Members of the House of Representatives  
FROM: Representative Gray, Chair, UNC Board of Governors Committee  
RE: Nomination Form 1997 Board of Governors

Only the submission of the attached completed nomination form (including the nominee's responses to the questionnaire and the nominee's vitae) to the office of Representative Lyons Gray, Chair, UNC Board of Governors Committee, Room 532, by 12:00 noon on March 6, 1997 shall constitute official nomination of an individual.

NOTE: No member of the General Assembly, State officer or employee, employee of a constituent university, nor any spouse of these persons may serve on the Board.

G.S. 116-6(a) defines the minority political party as "the political party to which the largest minority of the members of the General Assembly belongs." During the 1997-98 Session, the Republican Party is the minority political party for purposes of satisfying G.S. 116-6.

HOUSE OF REPRESENTATIVES  
BOARD OF GOVERNORS NOMINATION FORM

(1) \_\_\_\_\_ (Sponsor's Name) proposes  
\_\_\_\_\_ (Name of nominee) as a nominee for  
election to the Board of Governors of the University of North Carolina in the following  
category for a four-year term to commence July 1, 1997. [Check applicable box]

- Minority political party category  
 At-large category

(2) Nominee's Name:  
Nominee's Occupation:  
Address:

Home Phone:  
Work Phone:  
Fax #:

(3) List (if any) all state or local offices, either elected or appointed, which the  
nominee currently holds:

MEMORANDUM

Page 3

February 19, 1997

- (4) List (if any) all state or local offices, either elected or appointed, which the nominee's spouse currently holds:
- (5) Is the nominee or the nominee's spouse an employee of the State of North Carolina or a constituent university of the University of North Carolina?
- (6) If elected, will the nominee serve?
- (7) If elected will the nominee or the nominee's spouse resign from any elected or appointed positions which conflict with service on the Board of Governors of the University of North Carolina? (Nominees generally need not resign local positions.)

---

Signature of Sponsor/Date

**The above information is accurate, and I will serve if elected.**

---

Signature of Nominee/Date

February 19, 1997

MEMORANDUM

TO: House Nominees to the Board of Governors  
FROM: Representative Gray, Chair, University Board of Governors Committee  
RE: Questions to Board of Governors Nominees

Each House nominee to the Board of Governors is asked to answer the following questions and to submit a brief vitae. Answers should be succinct and limited to no more than one typed page per question. Please note that the responses to the questionnaire and vitae are public documents. **All responses are due by 12:00 noon on March 6, 1997 in the office of Representative Lyons Gray, Room 532, Legislative Office Building, 300 North Salisbury Street, Raleigh, North Carolina 27611.**

1. In your opinion, what is the role of the University of North Carolina Board of Governors?
2. What do you see as your role as an individual member of the Board of Governors?
3. What are your primary concerns regarding improvement of the University System? As an individual Board member, how would you go about advocating and implementing solutions to address your concerns, particularly when this would mean advocating a position contrary to that of the majority of the Board or of the General Administration?
4. In your role as a member of the Board of Governors, how would you reflect an appreciation and understanding for the unique missions of the individual constituent universities?

VISITOR REGISTRATION SHEET

Committee: UNC Board of Governors Date: 2-19-97

VISITORS: Please sign below and return to Committee Clerk.

NAME FIRM OR STATE AGENCY AND ADDRESS

NAME	FIRM OR STATE AGENCY AND ADDRESS
Peter Keber	NationsBank
Tim Moore	Attorney
Kevin Samling	Leg. Intern
DG Martin	UNC

MINUTES

HOUSE UNIVERSITY BOARD OF GOVERNORS COMMITTEE

February 24, 1997

4:00 p.m.

Lyons Gray, Chairman

Representative Gray presided and the following Members were present: Representatives Aldridge, Alexander, Barbee, Bonner, Clary, Culp, Dockham, Grady, Howard, Mercer, and Ex Officio Member Daughtry. Committee Counsel, Kory Goldsmith, and Sara Kamprath, Research Division, were present.

Chairman Gray called the meeting to order at 4:19 p.m. after waiting for Members who had called ahead to say they were delayed.

Representative Dockham moved for discussion of a new Committee resolution. The Committee voted to consider the resolution.

Kory Goldsmith, Committee Counsel, explained the new resolution before the Committee

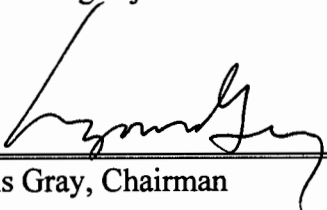
Representative Mercer questioned hearing the old resolution reported out of RULES COMMITTEE on the Floor of the House, Chairman Gray pointed out this was a **Committee** bill, a new resolution entirely.


Representative Mercer questioned whether there was a guarantee that the number of nominations would be larger than the spaces on the ballot. Chairman Gray replied that there was no guarantee as to the number of persons who would apply.

Representative Alexander questioned whether there was ever a time when nominations from the Floor were accepted. Chairman Gray replied that he had checked with Representative Easterling, who has some experience with this process. She remembers nominating someone from the Floor in 1981 and that is the last time this procedure was used.

The Committee Resolution was voted on and will be reported out favorably.

The meeting adjourned at 4:32 p.m.

  
Lyons Gray, Chairman

  
Catherine Parsons, Clerk

Attachments: HOUSE RESOLUTION DRHR4045  
Copy of Committee Report  
Visitor Registration Sheet



MINUTES

HOUSE UNIVERSITY BOARD OF GOVERNORS COMMITTEE

February 24, 1997

4:00 p.m.

Lyons Gray, Chairman

Representative Gray presided and the following Members were present: Representatives Aldridge, Alexander, Barbee, Bonner, Clary, Culp, Dockham, Grady, Howard, Mercer, and Ex Officio Member Daughtry. Committee Counsel, Kory Goldsmith, and Sara Kamprath, Research Division, were present.

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Representative Mercer questioned hearing the old resolution reported out of RULES COMMITTEE on the Floor of the House, Chairman Gray pointed out this was a **Committee** bill, a new resolution entirely.

Representative Mercer questioned whether there was a guarantee that the number of nominations would be larger than the spaces on the ballot. Chairman Gray replied that there was no guarantee as to the number of persons who would apply.

Representative Alexander questioned whether there was ever a time when nominations from the Floor were accepted. Chairman Gray replied that he had checked with Representative Easterling, who has some experience with this process. She remembers nominating someone from the Floor in 1981 and that is the last time this procedure was used.

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Lyons Gray, Chairman

---

Catherine Parsons, Clerk

Attachments: HOUSE RESOLUTION DRHR4045  
Copy of Committee Report  
Visitor Registration Sheet

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1997

H

D

HOUSE RESOLUTION DRHR4045

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Sponsors: Committee on UNC Board of Governors.

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Referred to:

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1 A HOUSE RESOLUTION TO ESTABLISH THE PROCEDURE FOR  
2 NOMINATING AND ELECTING MEMBERS OF THE BOARD OF  
3 GOVERNORS OF THE UNIVERSITY OF NORTH CAROLINA, INCLUDING  
4 A PROHIBITION ON NOMINATIONS FROM THE FLOOR, A  
5 REQUIREMENT THAT A NOMINEE RECEIVE THE VOTES OF A  
6 MAJORITY OF THE MEMBERS PRESENT AND VOTING IN THE  
7 NOMINEE'S CATEGORY IN ORDER TO BE ELECTED, AND TO PROVIDE  
8 THAT AT LEAST TWO PERSONS ON THE AT-LARGE BALLOT SHALL BE  
9 MEMBERS OF THE MAJORITY POLITICAL PARTY.

10 Be it resolved by the House of Representatives:

11 Section 1. The following procedures for nominating and electing eight  
12 members of the Board of Governors of The University of North Carolina (hereinafter  
13 the "Board of Governors") are adopted:

14 I. COMMITTEE RESPONSIBILITIES.

15 1. It is the duty of the UNC Board of Governors Committee of the  
16 House of Representatives (hereinafter the "Committee") to conduct a process for  
17 nominating and electing persons for each opening in each category of seats on the  
18 Board of Governors to which the House of Representatives is to elect members.

19 2. The Committee shall receive nominations for election to the Board of  
20 Governors through March 6, 1997. Each Representative may nominate up to two  
21 persons. In order for a person to have standing as a nominee, that person must be  
22 formally nominated by a member of the House of Representatives. Only a written  
23 nomination on a form provided by the Chair of the Committee for that purpose and  
24 received in the office of the Chair of the Committee by 12:00 noon on March 6, 1997,  
25 shall constitute a nomination. An individual cannot be a nominee or be nominated

1 in more than one category. An individual is not eligible for nomination if he or she  
2 is nominated to the Board of Governors by the Senate during the 1997 Session.

3 3. A Representative may nominate persons only for the categories  
4 available for election by the House of Representatives. In the 1997 Session, the  
5 House of Representatives will elect:

- 6 (a) Six at-large members for four-year terms; and
- 7 (b) Two members of the political party to which the largest minority  
8 of members of the General Assembly belong for four-year terms.

9 4. On or after March 7, 1997, the Committee shall list all nominees by  
10 category. The Committee shall screen the nominees as to their qualifications,  
11 experience, opinions, and statutory suitability. The Committee may screen nominees  
12 by interviewing, or by soliciting written information, or by both means. On  
13 completion of the screening process, the Committee shall conduct a vote to ensure  
14 that the slate of legally qualified candidates lists no more than twice the number of  
15 candidates for the total seats open in each category. No less than two candidates on  
16 the ballot shall be members of the political party to which the majority of members of  
17 the General Assembly belong.

18 5. Each nominee shall confirm his or her willingness to serve if elected.  
19 Any nominee may withdraw without the approval of the sponsor.

## 20 II. ELECTIONS IN THE HOUSE OF REPRESENTATIVES.

21 1. A ballot shall be prepared under the supervision of the Chair of the  
22 Committee for the use of the House of Representatives. The ballot shall include no  
23 more than twice the number of legally qualified candidates for the total seats open in  
24 each category. No less than two candidates on the ballot shall be members of the  
25 political party to which the majority of members of the General Assembly belong.

26 2. The names of the nominees shall be arranged on the ballot (a) by  
27 category and (b) within each category by alphabetical order.

28 3. The House of Representatives shall hold its election no later than the  
29 beginning of the daily session on March 18, 1997. Before the voting begins, the  
30 Speaker of the House shall explain the voting rules, which are:

- 31 (a) No nomination shall be received from the floor.
- 32 (b) In order to be elected, a nominee must receive the votes of a  
33 majority of all members present and voting in the nominee's  
34 category.
- 35 (c) Each member present and voting shall vote for as many nominees  
36 as there are positions to be filled in each category.
- 37 (d) When fewer than two nominees in the minority party category, or  
38 fewer than six nominees in the at-large category, receive the votes  
39 of a majority of all members present and voting for positions in  
40 those categories, a runoff to fill the open position or positions shall  
41 be conducted among the nominees who were not elected but who  
42 received the highest number of votes cast in each category; and the  
43 number of nominees eligible to be voted on in the runoff shall be  
44 twice the number of positions to be filled.

1 (e) If there is a tie for the last position between two nominees who are  
2 eligible for the next runoff, both nominees shall be included in the  
3 next runoff balloting, even though there would be more than two  
4 nominees per available position.

5 (f) When more than two nominees in the minority party category, or  
6 more than six nominees in the at-large category, receive the votes  
7 of a majority of all members present and voting for positions in  
8 those categories, then the two nominees in the minority party  
9 category, and the six nominees in the at-large category receiving  
10 the highest number of votes in the category shall be deemed to  
11 have been elected.

12 4. The members of the House of Representatives shall proceed to mark  
13 their ballots for the following:

14 (a) Two persons in the minority political party of the General  
15 Assembly category for four-year terms; and

16 (b) Six persons in the at-large category, for four-year terms.

17 Every ballot shall be signed by the member of the House of  
18 Representatives casting it, and no unsigned ballots shall be counted.

19 5. The Chair of the Committee is responsible for canvassing the vote and  
20 declaring the results and may designate members of the Committee to assist. All  
21 ballots shall be retained by the Principal Clerk as part of the permanent records of  
22 the House of Representatives and shall be open for immediate public inspection.

23 6. When the Chair of the Committee has determined that the House of  
24 Representatives has elected two members from the minority party category, and six  
25 members from the at-large category to the Board of Governors for terms of four  
26 years, the Speaker of the House of Representatives shall declare those eight persons  
27 to have been elected by the House of Representatives to the indicated positions and  
28 for the indicated terms.

29 7. The results of the election in the House of Representatives shall then  
30 be sent by Special Messenger to the Senate.

### 31 III. NOTIFICATION OF ELECTION RESULTS.

32 When the election process is complete, the Chair of the Committee shall  
33 notify the Secretary of the Board of Governors of the names of the persons elected by  
34 the House of Representatives and the category and term for which each person was  
35 elected.

36 Section 2. This resolution is effective upon adoption.

**1997 HOUSE OF REPRESENTATIVES  
COMMITTEE REPORT - BILL INTRODUCTION**

The following report(s) from standing committee(s) is/are presented:

Representative Lyons Gray, for the Committee on UNIVERSITY BOARD OF GOVERNORS  
\_(Committee Chair's Name) (Committee Name)

submits the following bill with a favorable report for introduction.

**A HOUSE RESOLUTION** TO ESTABLISH THE PROCEDURE FOR NOMINATING AND ELECTING MEMBERS OF THE BOARD OF GOVERNORS OF THE UNIVERSITY OF NORTH CAROLINA, INCLUDING A PROHIBITION ON NOMINATIONS FROM THE FLOOR, A REQUIREMENT THAT A NOMINEE RECEIVE THE VOTES OF A MAJORITY OF THE MEMBERS PRESENT AND VOTING IN THE NOMINEE'S CATEGORY IN ORDER TO BE ELECTED, AND TO PROVIDE THAT AT LEAST TWO PERSONS ON THE AT-LARGE BALLOT SHALL BE MEMBERS OF THE MAJORITY POLITICAL PARTY.

---

(FOR JOURNAL USE ONLY)

\_\_\_\_\_ Pursuant to Rule 31(a), the bill is filed, assigned the number H. B. \_\_\_\_\_ and placed on the Calendar for its \_\_\_\_\_ reading.

VISITOR REGISTRATION SHEET

Committee: University Board of Governors Date: 2-24-97

VISITORS: Please sign below and return to Committee Clerk.

NAME

FIRM OR STATE AGENCY AND ADDRESS

JERRY SCHILL

NC FISHERIES ASSOCIATION New Bern

D. MANTON

UNC

Peter Keber

Nations Bank

Brenda Dougherty

Sprint

Clare Johnson

GW's office

MINUTES

HOUSE UNIVERSITY BOARD OF GOVERNORS COMMITTEE

March 11, 1997

2:30 p.m. or 15 minutes after Session

Lyons Gray, Chairman

Representative Gray presided and the following Members were present: Representatives Aldridge, Alexander, Barbee, Bonner, Clary, Culp, Dockham, Goodwin, Grady, Howard, Mercer, and Ex Officio Member Wood. Committee Counsel, Kory Goldsmith, and Sara Kamprath, Research Division, were present.

Chairman Gray called the meeting to order at 4:40 p.m. After copies were distributed, he explained Committee Counsel's memorandum explaining her investigation regarding the nominees fulfilling the legal requirements necessary. He stated his intention to have the Committee vote today for 12 At-Large candidates and 4 Political Minority Candidates. He requested each Committee member to sign the ballots as the ballots would be invalid without a signature. The Committee then voted on ballots provided by Kory Goldsmith, Committee Counsel.

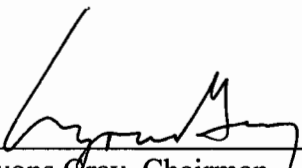
Ms. Goldsmith and Sara Kamprath, Research Division, marked a tally of the votes while Chairman Gray read the names to the Committee. Representative Alexander, Ranking Minority Member, observed during this procedure. The Committee was at ease while the ballots were counted from the tally.

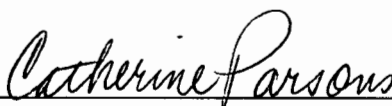
Chairman Gray announced the Committee had successfully achieved their goal on the first ballot. The following candidates will be on the March 18, 1997, ballot as the first order of business at a Session of the full House. Six At-Large and 2 Political Minority members of the Board of Governors will be chosen then by ballot:

Adcock, Grainger, Grimmer, Haworth, Keber, Lauritzen, McKoy, Peterson, Powell, Reaves, Souza, and Warwick in the At-Large category

Cecil, Cobey, Moore, and Sloan in the Political Minority category.

The meeting adjourned at 5:04 p.m.

  
Lyons Gray, Chairman

  
Catherine Parsons, Clerk

Attachments: March 7, 1997, memo from Kory Goldsmith  
Copy of Ballot  
List of Successful Candidates  
Visitor Registration Sheet

## MINUTES

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Catherine Parsons, Clerk

Attachments: March 7, 1997, memo from Kory Goldsmith

Copy of Ballot

List of Successful Candidates

Visitor Registration Sheet





**North Carolina General Assembly  
Legislative Services Office**

George R. Hall, Legislative Services Officer  
(919) 733-7044

Elaine W. Robinson, Director  
Administrative Division  
Room 5, Legislative Building  
16 W. Jones Street  
Raleigh, NC 27603-5925  
(919) 733-7500

Gerry F. Cohen, Director  
Bill Drafting Division  
Suite 401, LOB  
300 N. Salisbury St.  
Raleigh, NC 27603-5925  
(919) 733-6660

Thomas L. Covington, Director  
Fiscal Research Division  
Suite 619, LOB  
300 N. Salisbury St.  
Raleigh, NC 27603-5925  
(919) 733-4910

Donald W. Fulford, Director  
Information Systems Division  
Suite 400, LOB  
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Raleigh, NC 27603-5925  
(919) 733-6834

Terrence D. Sullivan, Director  
Research Division  
Suite 545, LOB  
300 N. Salisbury St.  
Raleigh, NC 27603-5925  
(919) 733-2578

TO: Representative Gray, Chair, UNC Board of Governors Committee  
FROM: Kory Goldsmith, Committee Counsel *KJG*  
DATE: March 7, 1997  
RE: Legal Qualifications of Candidates for Nomination to Board of Governors

The following is a listing of the House nominees for the Board of Governors election. I have reviewed the applications that were submitted and indicated whether each nominee has any statutory disability and whether the nominee's application complies with the procedures set out in HR 323 and the application form developed and approved by the UNC Board of Governors Committee. My opinion as to any nominee's statutory disability is based solely upon the information provided in the application which each nominee has certified is accurate.

**At-Large Candidates (elect 6)**

<b>Bradley T. Adcock</b>	<b>If elected, would have to resign as member of Appalachian State University, Bd. of Trustees</b>
<b>Arch T. Allen</b>	<b>No conflicts</b>
<b>J. Addison Bell</b>	<b>No conflicts</b>
<b>Mark L. Bibbs</b>	<b>No conflicts</b>
<b>H. Frank Grainger</b>	<b>No conflicts</b>
<b>Harry Clinton Grimmer</b>	<b>No conflicts</b>
<b>Howard H. Haworth</b>	<b>No conflicts</b>
<b>John J. (Jack) Hunt</b>	<b>No conflicts</b>
<b>Reef C. Ivey, II</b>	<b>If elected, would have to resign as member of Centennial Authority</b>
<b>Peter Keber</b>	<b>No conflicts</b>
<b>John R. Lauritzen</b>	<b>No conflicts</b>
<b>Henry McKoy</b>	<b>No conflicts</b>
<b>Wendell Clay Moseley</b>	<b>No conflicts</b>
<b>D. Wayne Peterson</b>	<b>No conflicts</b>
<b>Edward L. Powell</b>	<b>No conflicts</b>
<b>H. D. Reaves, Jr.</b>	<b>No conflicts</b>
<b>Vernon L. Robinson</b>	<b>No conflicts</b>
<b>J. Craig Souza</b>	<b>No conflicts</b>
<b>Robert Franklin Warwick</b>	<b>If elected, would have to resign as member of UNC-W Bd. of Trustees</b>
<b>Harold H. Webb</b>	<b>No conflicts</b>

**Political Minority Candidates, (elect 2)**

<b>John F.A.V. Cecil</b>	<b>No conflicts</b>
<b>William W. Cobey, Jr.</b>	<b>No conflicts</b>
<b>Joan N. Danieley</b>	<b>No conflicts</b>
<b>Timothy Keith Moore</b>	<b>No conflicts</b>
<b>R. B. Sloan, Jr.</b>	<b>No conflicts</b>

**UNIVERSITY BOARD OF GOVERNORS COMMITTEE  
CANDIDATE BALLOT**

**At-Large Candidates (vote for 12)**

- [ ] **Bradley T. Adcock**
- [ ] **Arch T. Allen**
- [ ] **J. Addison Bell**
- [ ] **Mark L. Bibbs**
- [ ] **H. Frank Grainger**
- [ ] **Harry Clinton Grimmer**
- [ ] **Howard H. Haworth**
- [ ] **John J. (Jack) Hunt**
- [ ] **Reef C. Ivey, II**
- [ ] **Peter Keber**
- [ ] **John R. Lauritzen**
- [ ] **Henry McKoy**
- [ ] **Wendell Clay Moseley**
- [ ] **D. Wayne Peterson**
- [ ] **Edward L. Powell**
- [ ] **H. D. Reaves, Jr.**
- [ ] **Vernon L. Robinson**
- [ ] **J. Craig Souza**
- [ ] **Robert Franklin Warwick**
- [ ] **Harold H. Webb**

**Political Minority Candidates, (vote for 4)**

- [ ] **John F.A.V. Cecil**
- [ ] **William W. Cobey, Jr.**
- [ ] **Joan N. Danieley**
- [ ] **Timothy Keith Moore**
- [ ] **R. B. Sloan, Jr.**

**UNIVERSITY BOARD OF GOVERNORS COMMITTEE  
CANDIDATE BALLOT**

**At-Large Candidates (vote for 12)**

- Bradley T. **Adcock**
- Arch T. **Allen**
- J. Addison **Bell**
- Mark L. **Bibbs**
- H. Frank **Grainger**
- Harry Clinton **Grimmer**
- Howard H. **Haworth**
- John J. (Jack) **Hunt**
- Reef C. **Ivey, II**
- Peter **Keber**
- John R. **Lauritzen**
- Henry **McKoy**
- Wendell Clay **Moseley**
- D. Wayne **Peterson**
- Edward L. **Powell**
- H. D. **Reaves, Jr.**
- Vernon L. **Robinson**
- J. Craig **Souza**
- Robert Franklin **Warwick**
- Harold H. **Webb**

**Political Minority Candidates, (vote for 4)**

- John F.A.V. **Cecil**
- William W. **Cobey, Jr.**
- ~~Joan N. **Danieley**~~
- Timothy Keith **Moore**
- R. B. **Sloan, Jr.**

*v = successful candidates*

# VISITOR REGISTRATION SHEET

UNC Board of Governors 3-11-97

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK

NAME	FIRM OR AGENCY
1. <u>Mark Skinsford</u>	<u>Fayetteville Okama-Texas</u>
2. <u>Wanda C. Murky</u>	
3. <u>Wm. L. Deesele</u>	<u>McAICU</u>
4. <u>Arch T. Allen</u>	<u>BOG candidate</u>
5. <u>Chris Heydt</u>	<u>Electric cooperatives?</u>
6. <u>Bill COBEY</u>	
7. <u>Annunzio Williams</u>	<u>BancAsia</u>
8. <u>David Ford</u>	<u>Molina &amp; Powell</u>
9. <u>Peter Keber</u>	<u>NationsBank</u>
10. <u>Darryl Givins</u>	<u>Henry Levin &amp; Co Inc.</u>
11. <u>Clifford B. Nuttall</u>	<u>UNC</u>
22. <u>W.F. Mitchell</u>	<u>G.A.</u>
23. <u>R B SLOAN</u>	<u>BOG candidate</u>
24. <u>D.G. MARTIN</u>	<u>UNC</u>
25. <u>BRAD ADCOCK</u>	
26. <u>CRAIG SOUZA</u>	
27. _____	
28. _____	
29. _____	
30. _____	
31. _____	