

1997

**HOUSE
APPROPRIATIONS –
CAPITAL & BUDGET**

MINUTES

APPROPRIATIONS
SUBCOMMITTEE ON CAPITAL AND BUDGET
1997-1998

REPRESENTATIVE CAROLYN RUSSELL
CO CHAIR

REPRESENTATIVE GENE WILSON
CO CHAIR

LYNN MUCHMORE
JANICE WHEELER
STAFF

REBECCA JONES
CLERK

**APPROPRIATION SUBCOMMITTEE
CAPITOL AND BUDGET
1997-1998**

REPRESENTATIVE	OFFICE	SEAT	PHONE
✓ Carolyn B. Russell, Co-chair Susan Brothers	2207	27	715-0875
✓ Gene Wilson, Co-chair Rebecca Jones	1109	52	733-7727
✓ Gene Arnold Jean McNeil	636	54	733-5747
✓ Cherie K. Berry Betty Smith	1006	41	733-5861
✓ Donald A. Bonner Lucy Johnson	~~2221~~ 617	109	733-5781 715-9664
✓ Joanne W. Bowie Sharon Gaudette	1206	26	733-5853
✓ Walter Church, Sr., Ranking Minority Member Joyce Fuller	1325	33	733-5772
✓ Jerry C. Dockham Nell Edwards	1106	18	733-5822
✓ Beverly M. Earle Monica McClain	602	95	715-4466
✓ Ruth M. Easterling Judy Willis	606	79	733-5786
✓ Charlotte A. Gardner Barbara Hocutt	417B	7	715-3017

✓ Bobby Ray Hall Billie Stevens	1211	87	733-5654
✓ Verla C. Insko Angel Baker	507	112	733-5905
— William (Bill) Ives Jayne Walton	633	90	~~733-5787~~ 723-5784
➤ Joe Kiser Marilyn Holder	1313	86	733-5803
✓ H. M. (Micky) Michaux Karlene Scott	1409	57	733-5609
✓ Frank Mitchell Susan Thomason	638	9	733-5959
✓ Richard L. Moore Kathy Cali	530	101	733-5859
✓ Jane H. Mosely Gennie Thurlow	2123	106	733-5758
✓ Charles B. Neely Betty Harrison	420	29	715-3001
✓ Alex Warner Ann Stancil	2119	81	733-5771
✓ Nurham Warwick Carolyn Honeycutt	1217	113	~~733-5757~~ 733-5786

APPROPRIATIONS/Capitol & Budget Pictorial



Rep. Russell
Co-Chairman



Rep. Wilson
Co-Chairman



Rep. Church
RMM



Rep. Arnold



Rep. Berry



Rep. Bonner



Rep. Bowie



Rep. Dockham



Rep. Earle



Rep. Easterling



Rep. Gardner



Rep. Hall



Rep. Insko



Rep. Ives



Rep. Kiser



Rep. Michaux



Rep. Mitchell



Rep. Moore



Rep. Mosely



Rep. Neely



Rep. Warner



Rep. Warwick

[illegible]

ATTENDANCE

SUBCOMMITTEE ON CAPITAL & BUDGET

(Name of Committee)

DATES	6-3	8-12																		
CAROLYN RUSSELL	✓	✓																		
GENE WILSON	✓	✓																		
GENE ARNOLD																				
CHERRIE BERRY	✓																			
DONALD BONNER																				
JOANNE BOWIE	✓																			
WALTER CHURCH, JR.	✓																			
JERRY DOCKHAM																				
BEVERLY EARLE	✓	✓																		
RUTH EASTERLING	✓	✓																		
CHARLOTTE GARDNER																				
BOBBY HALL	✓																			
VERLA INSKO	✓	✓																		
WILLIAM IVES																				
JOE KISER																				
H.M. MICKEY MICHAUX																				
FRANK MITCHELL		✓																		
RICHARD MOORE	✓																			
JANE MOSLEY		✓																		
CHARLES NEELY		✓																		
ALEX WARNER																				
STAFF																				
LYNN MUCHMORE																				
JANICE WHEELER	✓																			
REBECCA JONES	✓																			
BILL DRAING STAFF	✓	✓																		

Nurham Warwick

ROLL CALL VOTE

____ = ____ (TOTAL)
YES NO

HB# ____
SB# ____

HOUSE STANDING COMMITTEE ON APPROPRIATIONS

House Subcommittee on CAPITAL & BUDGET

YES	NO	MEMBER (last name)	YES	NO	MEMBER (last name)
____	____	RUSSELL	____	____	NEELY
____	____	WILSON	____	____	WARNER
____	____	ARNOLD	____	____	WARWICK
____	____	BERRY	____	____	
____	____	BONNER	____	____	
____	____	BOWIE	____	____	
____	____	CHURCH	____	____	
____	____	CRAWFORD	____	____	
____	____	CREECH	____	____	
____	____	DOCKHAM	____	____	
____	____	EARLE	____	____	
____	____	EASTERLING	____	____	
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____	____	GARDNER	____	____	
____	____	HALL	____	____	
____	____	HOLMES	____	____	
____	____	INSKO	____	____	
____	____	IVES	____	____	WOOD
____	____	KISER	____	____	DAUGHTRY
____	____	MICHAUX	____	____	HOWARD
____	____	MITCHELL	Ex officio Members		
____	____	MOORE	____	____	Speaker Pro Temp
____	____	MOSELY	____	____	Majority Leader

VISITOR REGISTRATION SHEET

SUBCOMMITTEE ON CAPITAL AND BUDGET

MARCH 4 , 1997

Name of Committee

Date _____

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK

NAME _____

FIRM OR AGENCY AND ADDRESS[illegible]

VISITOR REGISTRATION SHEET

SUBCOMMITTEE ON CAPITAL AND BUDGET

March 11, 1997

Name of Committee

Date _____

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK

NAME _____

FIRM OR AGENCY AND ADDRESS[illegible]

VISITOR REGISTRATION SHEET

Subcommittee on Capital and Budget 3-11-97

Name of Committee

Date

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK.

NAME

FIRM OR AGENCY AND ADDRESS

A. John Vogt	Institute of Government
John Lail	DHR
DB Martin	UNC
Ed Cameron	UNC
Jeff Davis	UNC
Robert Powell	OSBA
William Powell	NCAICU
Don Ferguson	Don Ferguson
Spencer Ferguson	State Const. Office
Marvin Williams	State Const. Office

VISITOR REGISTRATION SHEET

SUBCOMMITTEE ON CAPITAL AND BUDGET

March 18, 1997
Date

Name of Committee

Date _____

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK

NAME _____

FIRM OR AGENCY AND ADDRESS[illegible]

SUBCOMMITTEE ON CAPITAL AND BUDGET

March 25, 1997
Date

Date _____

NAME

[illegible]

VISITOR REGISTRATION SHEET

SUBCOMMITTEE ON CAPITAL AND BUDGET

April 1

, 1997

Name of Committee

Date

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK

<u>NAME</u>	<u>FIRM OR AGENCY AND ADDRESS</u>
<i>Barry Russell</i>	<i>NCCCS</i>
<i>Jerry Owens</i>	<i>NCCCS</i>
<i>John Hunt</i>	<i>NCCCS</i>
<i>Shirley Adams</i>	<i>OSBM</i>
<i>Maurice King</i>	<i>"</i>
<i>John Morris</i>	<i>EHNR</i>
<i>Scott Rolls</i>	<i>NCCCS</i>
<i>Jim Dixon</i>	<i>NCCCS</i>
<i>Norris Tolson</i>	<i>Sec of Commerce</i>
<i>Angie Harris</i>	<i>Commerce</i>
<i>Art Withams</i>	<i>Governor's Office</i>
<i>Alice Sharpe</i>	<i>State Construction Office</i>
<i>Carleton Myrick</i>	<i>State Construction Office</i>
<i>Spence Huggins</i>	<i>State Const. Office</i>
<i>Rob Nelson</i>	<i>OSBM</i>
<i>Robert Powell</i>	<i>OSBM</i>
<i>Johnny Gray</i>	<i>OSBM</i>
<i>Maurice Weaver</i>	<i>Dept of Agriculture</i>
<i>Ray D. Boylston</i>	<i>Museum of Natural Sciences / DEHR</i>
<i>Hal Miller</i>	<i>NCACCT</i>
<i>Bande Long</i>	<i>Marine Mammals</i>
<i>Natalie Jenkins</i>	<i>Charlotte Chamber</i>
<i>Ann Jones</i>	<i>DEHR</i>
<i>Nancy Bradley</i>	<i>NCCPS</i>
<i>Col. C. Adams</i>	<i>NC Maritime Museum, Friends of</i>
<i>Paul L. Smith</i>	<i>Laurel Cemetery</i>
<i>Chris Carter</i>	<i>Carter & H. Brown</i>

VISITOR REGISTRATION SHEET

SUBCOMMITTEE ON CAPITAL AND BUDGET

Name of Committee

April 1, 1997
Date

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK

NAME

FIRM OR AGENCY AND ADDRESS[illegible]

VISITOR REGISTRATION SHEET

SUBCOMMITTEE ON CAPITAL AND BUDGET

4-8, 1997

Name of Committee

Date _____

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK

NAME**FIRM OR AGENCY AND ADDRESS**[illegible]

VISITOR REGISTRATION SHEET

CAPITAL / BUDGET

Name of Committee

4.8.97

Date

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK.

NAME

FIRM OR AGENCY AND ADDRESS

Barrett Simpson	Capital Strategies
Scott Rells	NCCCS
Bill Meyer	DEHNR, Div. of Waste Mgt
Alan Sharpe	State Construction Office
Donl Ponce	republic
U Norman	CSBM
Lee Adams	"
Margie King	"
Hal Miller	DC ACCT
Dorothy Hunt	NCCCS
Jerry Owens	NCCCS
Angie Harris	Commerce
Norris Tolson	Sec. of Commerce
Paul Lamb	NCEA
Jim Dixon	NCCCS

VISITOR REGISTRATION SHEET

Capital/Budget Committee

Name of Committee

4/15/97

Date

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK.

NAME

FIRM OR AGENCY AND ADDRESS

Tim McInally	DEHNR/DPR
R ROGERS	DEHNR
Curt Williams	Gov's Office
Betsy Bennett	Museum of Natural Sciences
Sam Logan	Moore & Van Allen
Henry M Lancaster	DEHNR
Robert Powell	OSBM
Rob Nelson	OSBA
Cosmo Kramer	NBC
Teena Little	NCACCT
Hal Miller	NCACCT
Lucius PULLEN	ATTORNEY
Tom Kilian	Moore - Van Allen
Becky Lewis	PPAB
Marvin Lerman	OSBM

VISITOR REGISTRATION SHEET

Capital / Budget Committee

Name of Committee

4.15.97

Date

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK.

NAME

FIRM OR AGENCY AND ADDRESS

Dianne Parrish	EHNR - Forestry
Stan Adams	"
John Shepherd	"
Alicia Sharpe	State Construction
Speros Fleggas	" "
John Morris	EHNR
JOHN SUTHERLAND	EHNR
Andy Ellen	NC Farm Bureau
Caron Stewart	daughter - Rep Bobby Hall
AL DELTCH	DOA / YPTO
David Simmons	Zeb Alley, PA
Zeb Alley	Zeb Alley, PA
Clifton B. Nuteaf	UNC GA
Judy Davis	UNC
John Vogt	Institute of Gov't

VISITOR REGISTRATION SHEET

SUBCOMMITTEE ON CAPITAL AND BUDGET

April 17, 1997

Name of Committee

Date

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK

<u>NAME</u>	<u>FIRM OR AGENCY AND ADDRESS</u>
<u>Steve Davies</u>	<u>UNC</u>
<u>Betsy Bennett</u>	<u>Museum of Natural Sciences</u>
<u>John McMillan</u>	<u>More Valuable</u>
<u>David Simmons</u>	<u>Zeb Alley, PA</u>
<u>Pat McKeely</u>	<u>DEHNR / DPR</u>
<u>Ann Case</u>	<u>DEHNR</u>
<u>John Sutherland</u>	<u>DEHNR - Water Resources</u>
<u>John Morris</u>	<u>" " "</u>
<u>Amieeann</u>	<u>Monrovia Var Affl</u>
<u>R. ROGERS</u>	<u>EHNR</u>
<u>Dianne Parrish</u>	<u>EHNR - Forestry</u>
<u>Stan Adams</u>	<u>EHNR - DFR</u>
<u>Jeffrey Crow</u>	<u>DCR</u>
<u>Frank A. Brown, III</u>	<u>DCR</u>
<u>Wonna Rosefield</u>	<u>DCR</u>
<u>Ed Cohen</u>	<u>Montana Museum -</u>
<u>Lucius Pullen</u>	<u>A Homey</u>
<u>Alie Shange</u>	<u>State Construction Office</u>
<u>Michelle Cond</u>	<u>Weymouth</u>
<u>Ray Presnell</u>	<u>N.C. Retired School Personnel</u>
<u>Thomas V. Bennett</u>	<u>NCCF TE</u>
<u>Ray S. Boylston</u>	<u>Museum of Natl. Sciences / DEHNR</u>
<u>Sam / Phillips</u>	<u>DOC</u>
<u>Al Hamilton</u>	<u>DOC</u>
<u>ROBERT POWELL</u>	<u>OSBM</u>
<u>ROB NELSON</u>	<u>OSBM</u>

VISITOR REGISTRATION SHEET

Capital / Budget

Name of Committee

4.22.97

Date

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK.

NAME

FIRM OR AGENCY AND ADDRESS

Alic Sharp

State Construction Office

Christopher Nuttall

600 GA

Jeff Davies

UNC

Steve Witzke

DSD/HH / ENCLSD

J. Craig Greene

DSD/HH / Central Office

H.G. Royal Jr.

DHR

John W. Fails

DHR

H. Douglas Phelps

National Guard

Mike Barkman

CC-15

Pat Hopton

SHP

WO Funderud

SHP

Leo Silley

Commerce

B.H. Goldstein

NCSPA

J. Craig Green

PCMT

Jim Edwards

DHR

VISITOR REGISTRATION SHEET

Local & Regional Gov't II
Name of Committee

4-16-97

Date

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK.

NAME

FIRM OR AGENCY AND ADDRESS

[illegible]

VISITOR REGISTRATION SHEET

SUBCOMMITTEE ON CAPITAL AND BUDGET

April 29, 1997
Date

Name of Committee

Date _____

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK

NAME _____

FIRM OR AGENCY AND ADDRESS

Jim Brown	N.C. Ports
Betty Ray McCain	DCR
Betsy Buford	DCR
John Fair	DHR
H. Ronald Jr.	DHR
Wille Sligvie	State Construction
Wanda Gyles	REGC
Bernard Allen	Secy. of State
Bennie Vance	DCR
Donna Rosefield	DCR
Art High	Env. Office
Frank Bondrup	NCAFA
Spencer Flegge	State Const. Office
Chick Butler	H/S
Dianne Dorick	FWNR - Forestry
John O'Leary	ZDA PA

VISITOR REGISTRATION SHEET

Capital/Budget

12:00 NOON Room 643

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK

NAME

FIRM OR AGENCY

1. <u>Dianne Perrish</u>	<u>ENR - Forest Resources</u>
2. <u>John Shepherd</u>	<u>" " "</u>
3. <u>MARY ANN HINSHAW</u>	<u>Gaithers County</u>
4. <u>Jim Brown</u>	<u>State Ports</u>
5. <u>David Simmore</u>	<u>ZPA, PA</u>
6. <u>Alice Sharpe</u>	<u>State Construction</u>
7. <u>Danele Arnold</u>	<u>SEANC</u>
8. <u>Tony Green</u>	<u>SEANC</u>
9. <u>H.G. Royall</u>	<u>Dept. Human Resources</u>
10. <u>Jeff Davis</u>	<u>UNC</u>
11. <u>D.G. Martin</u>	<u>UNC</u>
22. <u>Bonnie Vance</u>	<u>DCR</u>
23. <u>Wonna Rosefield</u>	<u>DCR</u>
24. <u>Betsy Buford</u>	<u>DCR</u>
25. <u>Curt Williams</u>	<u>Gov's Office</u>
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VISITOR REGISTRATION SHEET

Appropriations Capital & Budget May 14
, 1997
Name of Committee Date

, 1997

Date _____

[illegible][illegible]

VISITOR REGISTRATION SHEET

Capital & Budget May 14, 1997

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK

NAME	FIRM OR AGENCY
1. <u>Amy Henderson</u>	<u>Huntton - Williams</u>
2. <u>John Sutherland</u>	<u>Dept. of Env. Health, + Nat. Res.</u>
3. <u>HARRY SUMNER</u>	<u>Forest Resources</u>
4. <u>Stan Adams</u>	<u>Forest Resources</u>
5. <u>Dianne Parrish</u>	<u>" "</u>
6. <u>Betsy Burrell</u>	<u>Mississippi National Forest</u>
7. <u>LEIGH ANN POPPER</u>	<u>ZDA, PA</u>
8. <u>Betsy Buford</u>	<u>DCR</u>
9. <u>Donna Rosefield</u>	<u>DCR</u>
10. <u>John Case</u>	<u>DEHNR</u>
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VISITOR REGISTRATION SHEET

<u>Capital & Budget</u>	<u>May 15, 1997</u>
Name of Committee	Date

Name of Committee

Date _____

[illegible][illegible]

VISITOR REGISTRATION SHEET

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK

	NAME	FIRM OR AGENCY
1.	Jim Elgato	DHR
2.	William R. Ridd	DOT
3.	Ernest Floyd	EAST CAROLINA UNIV.
4.	Jeff Duvrey	UNC
5.	John Lail	DHR
6.	J. BENSON AYLOR, Sr.	DOR
7.	Sandling Marrett	Revenue
8.	John Weston	Revenue
9.	Bernie Pledge	State Court Office
10.	Alvin Skimp	State Court Office
11.	H. E. Kayall, Jr.	DHR
22.	Rob Nelson	OSBN
23.	Phil Pearson	Revenue
24.	William B. Pittman	OSBN
25.	Monna Rosefield	DCR
26.	Joe Case	DEHNR
27.	Beckie Street	PPAB
28.	Peter Daniel	NCDA
29.	Maurice Cleaver	NCDA
30.	Glen Peterson	DOA
31.	Martha Bliss	DOA

32. ~~Robert F. Wells~~

33. ~~Bob Wilson~~

34. Dan Whitte

35. Lynn Madonna

36. Joe Olin

37. Phil Holm

38. ~~Paul Rensen~~

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VISITOR REGISTRATION SHEET

CAPITAL & BUDGET

May 29, 1997

Name of Committee

Date

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK

<u>NAME</u>	<u>FIRM OR AGENCY AND ADDRESS</u>
ADRIENE HILL	SBE
Ann Berlam	SBE
Philip's Price	DPI
Zeb Alley	ZDA PA
David Simmons	Zeb Alley, PA
Cam Coven	BPMHL
Pat McNelly	EHNR/DDR
Dan Whittle	EHNR
Ann Case	DEHNR
Joe Ching	Sierra Club/CCNC
Clifton B. Nuttall	UDG
Lucius PULLEN	ATTORNEY
Jim Brown	N.C. Ports
Kristin Mitchell	NYTRNG
Rob Nelson	OSBM
Robert Powell	OSBM
DO Markin	UNC
J. Crofts	NCA SA
Betsy Buford	ACR
Gene Carlsby	F E E BC
Q. Adam	Friends of Maritime Museum
Spurs Fleggen	State Court Office
Maurice Weaver	NCPA

Appropriations Capital & Budget June 3, 1997

Date _____

NAME	FIRM OR AGENCY AND ADDRESS
Bonne Vance	Dept. of Cultural Resources
Donna Rosefield	"
Lucius Pullen	ATTORNEY
John Holman	CCNC / Sierra Club / TNC
Thermon	EHNR / DPR
Dan Whitte	EHNR
Elizabeth H. Conner	Friends of State Parks
ROBERT W. CAMPBELL	FSP
Hal Miller	NCACT
H.G. Seyall, Jr.	DH.R.
~~Jim~~	~~WSPB~~
Jim Brain	N.C. State Parks -
Joe Ovington	CCNC / Sierra Club
Jim Stevens	Friends of State Park
Alex Whittaker	" " "
Roger Bon	Bone & Assoc
amy Tindus	Huntton & Williams
Zeh Allyn	ZDA PA
John Fair	DHR
Russ Nelson	OSBN
Robert Powell	OSBN

VISITOR REGISTRATION SHEET

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK

NAME

FIRM OR AGENCY

1. <u>Ang Case</u>	<u>DEHNR</u>
2. <u>Hal Miller</u>	<u>NCACCT</u>
3. <u>Donny Hunter</u>	<u>NCCCS</u>
4. <u>Alice Sharpe</u>	<u>State Construction</u>
5. <u>James J. Flaggs</u>	<u>State Construction</u>
6. <u>F. ROGERS</u>	<u>KHNA</u>
7. <u>LEIGHTON ROPER</u>	<u>ZDA, PA</u>
8. <u>Jim Brown</u>	<u>NC State Ports</u>
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APPROPRIATIONS
Subcommittee on Capital & Budget

Minutes
March 4, 1997

The APPROPRIATIONS Subcommittee on Capital & Budget held its first meeting on Tuesday, March 4, 1997 at 12:00 p.m. in room 643 of the Legislative Office Building. The members present were Representatives Carolyn Russell, Cochair, Gene Wilson, Cochair, Cherie Berry, Donald Bonner, Joanne Bowie, Walter Church, Sr., Beverly Earle, Charlotte Gardner, Bobby Hall, Verla Insko, William Ives, Joe Kiser, H.M. Mickey Michaux, Richard Moore, Jane Mosley, Alex Warner and Nurham Warwick. Staff-Lynn Muchmore, Janice Wheeler and Clerk- Rebecca Jones were also present.

Representative Carolyn Russell, Cochair called the meeting to order at 12:10 p.m. She first recognized her co-chairman, staff and clerk. She then told the committee that they would be meeting at its regular time until April 18th and then would meet more thereafter. Representative Russell explained that this committee would be reviewing the special provisions that are in the budget, the special Appropriations bills and the Capital Budget process. Representative Russell then told the committee that they would be looking at some recommendations from the NCSL and other states who have reformed their capital process.

Representative Russell introduced Jack Vogt, who was from the Institute of Government. He discussed capital budgeting and concepts. Mr. Vogt brought a handout with him and it was made part of the minutes. (Attachment 1) Mr. Vogt had some recommendations on page 2 of Attachment 1. Recommendation on page 3, roman numeral V. referred to pages 7,8,9 and 10. Mr. Vogt then went over the Capital Improvement Program (CIP), on page 4 and 5 of his handout. Pages 11-20 are examples of CIP. The explanation for use of a CIP was on page 5 of Mr. Vogt's handout. Representative Russell asked how many cities or states used a Capital Reserve Fund. Mr. Vogt said that in North Carolina a large number of units are

using this program. He said that they have seen more of this done. Representative Michaux asked if it would be difficult at a state level. Mr. Vogt said that it would be hard, but can be done. Representative Michaux asked where do we draw the line? Mr. Vogt said he includes the universities but not transportation, because transportation has its own funding source. Representative Michaux wanted to know how we would allocate those funds. Mr. Vogt said that this committee should devise a way to review needs for the funds.

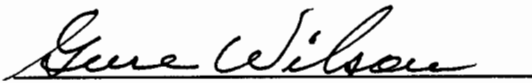
Representative Kiser asked that in the city of Asheville, for example, could the city council co-mingle the funds and use them for one project the next year. Mr. Vogt said yes. Representative Russell asked Mr. Vogt how we find the real need for where the money should go without dividing up what is left over between just anyone in particular. Mr. Vogt said that by having an earmarked funding source, you may not avoid that problem but may diminish it somewhat. Mr. Vogt went over page 6 of his handout to show Chatham County's criteria. Representative Michaux asked Mr. Vogt how to get the politics out of the situation. Mr. Vogt said that he does not think you can get it out of the situation.

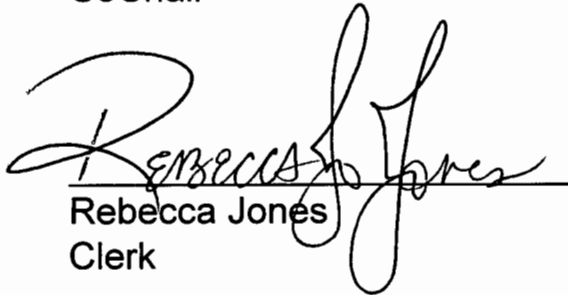
Lynn Muchmore went over some information found in the library regarding the NCSL. This was made part of the minutes. (Attachment 2.) Mr. Muchmore said that recommendations 3, 4 and 9, on page 2 of attachment 2, were important ones to consider. Representative Russell asked the committee about what other information would be helpful as we move into this process. Representative Berry suggested that any type of inventory of the State's capital assets would be helpful. Representative Michaux said that the committee needed to look at projected needs. Representative Ives asked if the committee should limit the capital assets that they look at to real property? Representative Berry did not have a problem with that, but Representative Michaux did because that would leave only grounds and buildings and not the equipment inside.

Representative Russell adjourned the meeting at 12:55.

Respectfully submitted,


Representative Carolyn Russell
Cochair


Representative Gene Wilson
CoChair


Rebecca Jones
Clerk

Attachments

Attachment 1- Capital Planning and Budgeting State General Fund
Infrastructure and Public Improvement Projects
Attachment 2- Capital Budgeting and Finance- The Legislative Role

House Appropriations Committee
Capital and Budget Subcommittee

Agenda

March 4, 1997

1. Subcommittee Responsibilities, Schedule, and Staffing - Rep. Carolyn Russell
 2. Capital Budgeting in Concept - Jack Vogt, Institute of Government
 3. Discussion
- 
- 

CAPITAL PLANNING AND BUDGETING
STATE GENERAL FUND INFRASTRUCTURE
AND PUBLIC IMPROVEMENT PROJECTS

RECOMMENDATIONS

I. Multi-Year Capital Improvement Program (CIP)--5 to 10 Year Forecast and Plan for Major Capital Needs.

II. Focused Capital Planning and Budget Process

◆ Proposal by Governor

1. Office of State Budget & Management

2. Department of State Treasurer

◆ Review and Recommendations by Single Legislative Committee or Subcommittee, e. g., Capital Appropriations Committee.

III. Process That Considers

◆ Array of Financing Options, e. g., From Capital Reserves To Joint Public/Private Projects

◆ Impact of Projects on Future Operating Budgets

IV. Process That Evaluates Projects in Terms of

- ◆ **Criteria Reflecting Various Measures
of Need, e. g., Existence of Hazard(s), as
Well as**
- ◆ **Political Considerations**

V. Process That is Based on Sound Financial Policies, e. g., City of Asheville

CAPITAL IMPROVEMENT PROGRAM
(CIP)

I. WHAT IS A CIP?

**MULTI-YEAR FORECAST OF MAJOR
INFRASTRUCTURE, BUILDING, &
EQUIPMENT NEEDS**

II. FORECAST PERIOD: 5 TO 10 YEARS

**III. INCLUDES ONLY MAJOR PROJECTS,
E. G., PROJECT THAT COSTS \$1
MILLION OR MORE**

IV. FORECAST IDENTIFIES:

- A. PROJECTS OR EQUIPMENT
NEEDS**
- B. COSTS TO MEET NEEDS**
- C. SOURCES OF FINANCING**
- D. IMPACT ON OPERATING
BUDGET**

**V. STATE TIP IS A CIP FOR HIGHWAY
AND ROAD PROJECTS**

**VI. ILLUSTRATION: GREENSBORO &
WINSTON-SALEM**

**VII. BASIS FOR ALLOCATING PROJECTS
BY YEAR: PRIORITY, SCHEDULING,
ETC.**

VIII. WHY DO A CIP?

- A. REPLACE CAPITAL FACILITIES
IN ORDERLY WAY**
- B. AVOID CRASH PROGRAMS**
- C. PROVIDE TIME FOR PROJECT
PLANNING AND ARRANGING
FINANCING**
- D. COORDINATE PROJECTS**
- E. COORDINATE WITH
OPERATING BUDGET**
- G. PROVIDE INFORMATION TO
PRIVATE SECTOR, BOND
RATERS, CITIZENS, ETC.**

SOME CRITERIA FOR RANKING PROJECTS
(Chatham County System)

- I. Eliminates or Reduces Hazard**
- II. Improves Efficiency**
- III. Facilitates Economic Development**
- IV. Protects Environment**
- V. Maintains Standard of Service**
- VI. Improves or Expands Service**
- VII. Provides New Service**
- VIII. Generates Revenues**
- IX. Benefit/Cost Comparison**

CITY OF ASHEVILLE

FISCAL POLICY

A. Revenue Policy

1. As provided by the North Carolina Local Budget and Fiscal Control Act, estimated revenue from the Ad Valorem Tax levy will be budgeted as follows:
 - a. Assessed valuation shall be estimated based on historical trends and growth patterns in a conservative manner.
 - b. The estimated rate of collection of the levy shall not exceed the rate of the preceding fiscal year.
 - c. The tax rate shall be set each year based on the cost of providing general government services. Rates shall be adjusted periodically, but shall not result in revenues exceeding a 5% annual growth in Ad Valorem Tax revenues excluding growth in valuation or an increased collection rate.
2. The City sets fees that will maximize user charges in lieu of Ad Valorem Taxes for services that can be individually identified and where the costs are directly related to the level of service:
 - a. To the extent possible, user charge fees for water, sewer and downtown parking will be sufficient to finance all operating, capital and debt service costs for said services.
 - b. The Civic Center will operate in a manner such that 90% of budgeted operating costs will be financed through user charges. A profit and loss statement will be prepared for each event for evaluation of cost recovery.
 - c. Recreational programs will be funded from user charges for all programs for which it is practical to charge. User charges should represent at least 10% of the total recreational budget.
 - d. Building and code enforcement activities will be funded through user charges in the form of building permits, inspections, and plan review fees. These fees should represent 100% of operating costs.
 - e. To the extent practical, any general city service which is of a higher level to or benefits specific recipients shall be supported by user fees designed to recover costs from such recipients.
 - f. Where user fees are based on cost recovery, said costs shall be reviewed annually and fees adjusted as practicable.
3. The City will project revenues for five years and will update the projections annually.

B. Operating Budget Policy

1. Current operating revenues will be sufficient to support current operating expenditures. Fund balance appropriated shall not exceed an amount that management can reasonably expect to save during the year.
2. Debt or bond financing will not be used to finance current expenditures.
3. The City will begin to develop a program to integrate performance measures and productivity indicators with the annual budget.
4. The City will prepare a five-year operating budget projection which will include projections of annual growth plus allowances for operating costs of new capital facilities.
5. The City will establish a risk management program to provide for protection against loss and a reduction in exposure to liability. The City will investigate the establishment of a self-insurance program to provide for protection against major loss in excess of an appropriate amount with excess coverage being purchased to cover catastrophic loss. The establishment of a comprehensive safety program will also be investigated to minimize the City's exposure to liability and thereby reduce the number of claims filed.
6. The City will maintain a capital reserve fund to replace capital outlay items with a life of more than one year and a value of \$7,500 or more. Revenue equivalent to 7.8 cents on the tax rate and 60% of one-half cent sales tax will be appropriated annually to this fund.
7. The City will maintain a street & sidewalk reserve fund to provide for upgrading the City's street, sidewalk, and storm drainage systems. Revenues equivalent to 4.6 cents on the tax rate and Powell Bill revenues will be appropriated annually to this reserve.
8. It is the City's policy not to fund requests from outside organizations which provide social services or services which are County wide in scope.
9. It is the City's policy that all general fund balance exceeding 15% of expenditures shall be earmarked for capital reserve purposes.
10. It is the City's policy that the operating budget be prepared in accordance with Generally Accepted Accounting Principles.

C. Capital Improvement Policy

1. The City will update and readopt annually a six-year capital improvement program which details each capital project, the estimated cost, description and funding source.
2. The capital improvements plan should be tied to the City's comprehensive growth plan, "Asheville 2010," to ensure that the capital items requested meet the future growth needs for the City.
3. Operating expenditures will be used to maintain the Capital Improvements Program and provide all salaries, operating costs, and small capital outlay required.

D. Accounting Policy

1. The City will establish and maintain the accounting systems according to the North Carolina Local Budget and Fiscal Control Act.
2. An annual audit will be performed by an independent public accounting firm which will issue an official opinion on the annual financial statements, with a management letter detailing areas that need improvement if required.
3. Full disclosure will be provided in the financial statements and bond representations.
4. Financial systems will be maintained to monitor expenditures and revenues on a monthly basis.
5. All revenue collections will be consolidated under the Director of Finance and be audited at least annually.
6. The City's annual financial report will be prepared according to the standards necessary to obtain the Certificate of Achievement for Excellence in Financial Reporting from GFOA and submitted each year for the recognition.
7. The City's Fiscal Procedures Manual will be maintained as a central reference point and handbook for all activities which have a fiscal impact within the City and will serve as the City's authoritative source for fiscal procedures.

E. Debt Policy

1. Capital projects financed through the issuance of bonds shall be financed for a period not to exceed the expected useful life of the project.
2. The general obligation debt of the City of Asheville will not exceed 8% of the assessed valuation of the taxable property of the City.
3. Total debt service on tax-supported debt of the City will not exceed 15% of total general government operating revenue.
4. Interest, operating, and/or maintenance expenses will be capitalized only for facilities of enterprise activities and will be strictly limited to those expenses incurred prior to actual operation of the facilities.
5. The City will maintain its financial condition so as to maintain a minimum AA bond rating.
6. The City's debt policy will be comprehensive and the City will not knowingly enter into any contracts creating significant unfunded liabilities.

F. Reserve Policy

1. The City will maintain an unallocated fund balance to be used for unanticipated emergencies of 15% of the general operating budget (excluding capital outlay). These funds will be used to avoid cash-flow interruptions, generate interest income, eliminate need for short-term borrowing, and assist in maintaining an investment-grade bond rating.
2. The City will establish a contingency reserve to provide for unanticipated expenditures of a nonrecurring nature or to meet unexpected increases in service delivery costs. This reserve will be budgeted at 1.5% of the general fund revenues estimated for that fiscal year.
3. The City will maintain a two-to-one ratio of total current assets over total current liabilities in all enterprise funds.

CAPITAL IMPROVEMENTS PROGRAM

Introduction

The Capital Improvements Program, or CIP, is a financing and construction/acquisition plan for projects that require significant capital outlay. The CIP, which is updated annually and submitted to and adopted by City Council, specifies and describes the City's capital project schedules and priorities for the six years immediately following Council adoption.

For each capital project, the CIP includes a variety of information, including a project description and the service need it addresses, a proposed timetable, proposed funding levels and sources and, if applicable, estimated ongoing operating costs. For projects already underway, the description also notes the portion of the project's budget remaining.

Generally, capital improvements projects consist of purchasing, constructing or renovating structures and/or acquiring land that have a total cost of at least \$100,000 and an estimated useful life of at least ten years. Common CIP projects include new or improved sidewalks, roads, neighborhood renewal projects and new city facilities, such as recreation centers, fire stations and water treatment facilities.

Some major maintenance projects, such as street resurfacing or placing a new roof on a building, are not included in the CIP. Although these projects meet the cost and useful life criteria for a CIP project, they generally do not result in a new structure or a structure materially altered in design or size. Instead, these projects are periodically necessary to keep particular structures or facilities operating properly.

CIP Preparation

Along with their annual operating budget requests, City departments submit capital projects for consideration and inclusion in the CIP. These requests are reviewed by the Capital Improvements Program Committee, including staff from the City Manager's Office, Budget and Evaluation and the Finance and Planning Departments.

A Preliminary CIP is presented to the City Council and the Planning Board as part of the annual budget review. A Final CIP is presented to the City Council in June and is adopted concurrently with the Annual Operating Budget.

Citizens are invited to submit projects for consideration in the Capital Improvements Plan. Citizen requests are directed to the appropriate City department for review. The recommendations and comments returned by the department are sent to the citizen and presented to the City

Council and Planning Board during the annual budget review. Citizens are encouraged to attend the annual budget public hearing to discuss their request directly and in detail with City Council and City staff.

Relationship to the Annual Operating Budget

Some CIP projects are funded through annual operating funds, such as the General Fund and the Water and Sewer Operating Fund. In these cases, the CIP and the Annual Operating Budget are directly linked as CIP projects become authorized through the adoption of the Annual Operating Budget.

Projects funded through debt financing (typically voter authorized bonds) also impact the operating budget through ongoing debt service expense. Successful bond issues in 1985, 1988 and 1990 have dramatically increased the amount of debt used by the City for capital improvements.

Finally, some completed CIP projects will directly impact the operating budget in that they will require ongoing expenses for staff and other operating costs. Fire stations and recreation facilities are good examples.

Expenditure Overview

The adopted 1996-2002 Capital Improvements Program totals \$350,602,115. This is considerably greater than the adopted 1995-2001 CIP total of approximately \$233 million and reflects the impact of several projects in the Public Safety and Environmental Protection areas that have been added to the City's planning horizon.

Highlights

The City of Greensboro's increased emphasis in environmental protection is evident in a variety of Utilities and Solid Waste Management projects included in the CIP. Total estimated expenses for Environmental Protection projects are \$204 million, or 58% of the total CIP.

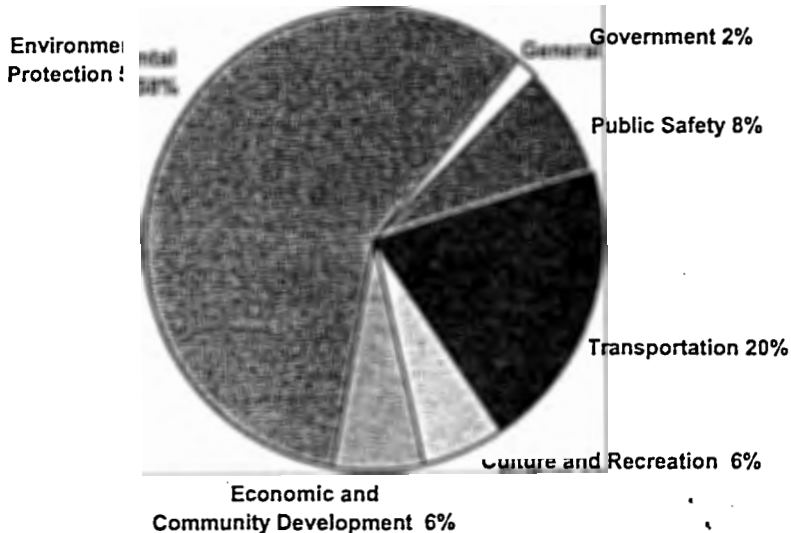
Funding for ongoing improvements at the Osborne Treatment Facility, for extension of water and sewer lines into newly annexed areas and continued contributions for the proposed Randleman Reservoir is included in the CIP. The City of Greensboro will continue to act as project manager for a variety of County funded line extension projects in southeastern Guilford County. Appearing for the first time is the possible funding by the City of Greensboro of the Randleman Reservoir Treatment

CAPITAL IMPROVEMENTS PROGRAM

Facility. Actual responsibility and funding sources for this project are yet to be determined.

construction and renovation, with the City assuming ongoing maintenance responsibility.

Expenditures By Program



Tremendous activity is planned for the White Street Landfill to comply with applicable EPA laws governing the operation and closure of landfills. This includes closure of the current fill site and preparation of a new fill area within the confines of the landfill.

A variety of Public Safety projects are proposed for the next two years, including five new fire stations and the conversion of all public safety radios to 800 MHz. Also, new Police and Fire training facilities are proposed in this CIP.

Transportation efforts will continue to focus on the remaining 1988 bond projects, such as Dolly Madison Road, Merritt Drive, Vandalia Road, Lee's Chapel Road and Lake Brandt Road. Major Transit projects such as a new bus maintenance facility and a multi-modal transportation center are scheduled in the upcoming two years.

The construction of the new Main Library is of primary focus in the Culture and Recreation area. Other significant projects that will be funded during the next two years include a public-private partnership for the construction of a new public ice skating rink and additional enhancements for the War Memorial Coliseum. Also planned for the next two years is the full development of the Bicentennial Gardens Master Plan. Greensboro Beautiful will be responsible for the initial

Neighborhood Renewal projects in the Gorrell Street, College Hill and Southside neighborhoods continue in Economic and Community Development. Funding to pursue some recommendations from the East Market Street Special Study is proposed in the CIP.

Finally, the construction of a new Equipment Services facility at the Medford Operations Center is the lone General Government project proposed in the CIP.

Funding Overview

The Capital Improvements Program relies on a variety of funding sources to accomplish its many efforts. These include general revenues, enterprise fund revenues, debt financing, state shared revenues and grants from the state government, federal government or private sources.

Highlights

At \$85.5 million, Enterprise Funding is the largest source of funds designated in the CIP, accounting for about 24% of the total revenue structure of the 1996-2002 CIP.

Virtually all of the projects found in the Enterprise Funding category represent the Environmental Protection program category. These include a water tank in the Muirs Chapel Road/Tower Road vicinity, expanded water and sewer line construction to serve newly annexed areas and continued payments for the development of Randleman Reservoir.

Projects likely to be recommended for a 1996 bond referendum are found in the Unauthorized Bond funding category. Potential Public Safety projects include five additional fire stations and new Police and Fire training facilities. Also being considered for a 1996 referendum is \$7.5 million in Neighborhood Renewal funds to support additional single and multi-family housing development, complete several ongoing renewal projects such as Ole Asheboro, Eastside Park and Benjamin Benson and to provide funding for some of the recommendations developed in the East Market Street Special Study, which was coordinated by the Housing and Community

CAPITAL IMPROVEMENTS PROGRAM

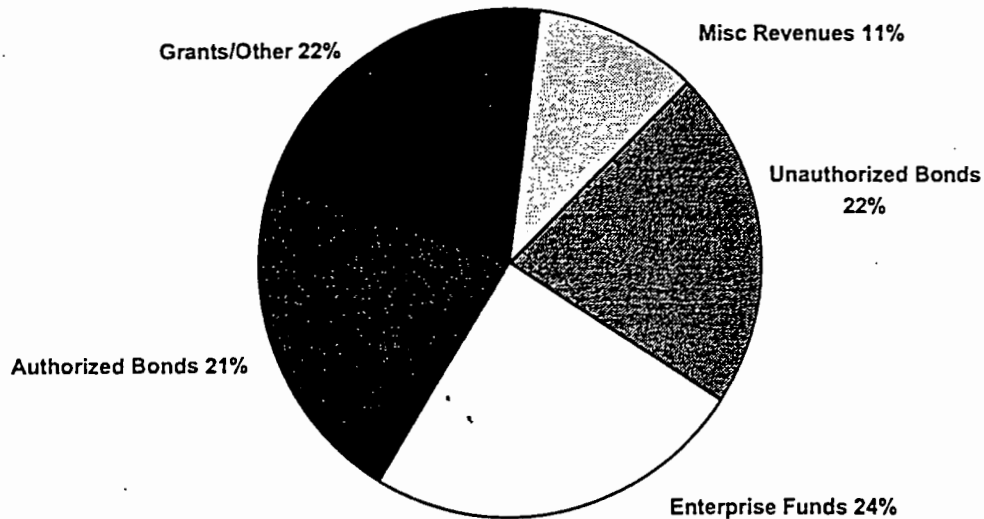
Development Department. The Unauthorized Bond category totals \$75.7 million, or about 22% of the total CIP.

There are several Environmental Protection projects found in the Unauthorized Bond funding category, such as development of a new fill cell at the White Street Landfill and the City of Greensboro participating in the

Facility and the Multi-Modal Transportation Center, the Hotel/Motel Occupancy Tax to fund additional Coliseum enhancements and private funding to support Bicentennial Gardens Master Plan Development and contribute towards the construction of a new ice skating rink. This collection of funding sources contributes 22% of the total CIP.

All remaining funding sources, such as the General Fund,

Funding Sources



construction of the treatment facility for the Randleman Reservoir. Any debt assumed to fund these projects would likely be supported through user fees such as water and sewer charges and landfill tipping fees and consequently would not require a public vote.

Authorized bond funding of approximately \$75.5 million is available from successful bond referenda in 1988 and 1990. The remaining funds from these referenda will complete planned road improvement projects, build a new Main Library and complete several neighborhood renewal projects. Bond financing is also being used to support the expansion of the Osborne Wastewater Treatment Facility. This project is funded through Revenue Bonds, which do not require a bond referendum. Utilities revenue, primarily water and sewer customer charges, will pay the debt on this project. Authorized bond funding comprises 21% of the total CIP revenues.

Two-Thirds Bonds and contributions from the State Gasoline Tax make up the final 11% of CIP financing. Two-thirds bonds will be used to fund general improvements at the Medford Operations Center.

Several alternative funding sources, grouped together as "Grants/Other" and totaling \$76.9 million, will be called upon to support a variety of projects. Examples include federal government contributions for the Bus Maintenance

CAPITAL IMPROVEMENTS PROGRAM

CITY OF GREENSBORO CAPITAL IMPROVEMENTS PROGRAM SUMMARY FY 1996-2002

	FY 96-97	FY 97-98	FY 98-99	FY 99-00	FY 00-01	FY 01-02	TOTAL
EXPENDITURES							
Public Safety	957,200	12,185,350	4,583,890	9,258,635	0	0	26,985,075
Transportation	19,418,820	27,813,500	9,945,000	4,605,000	4,790,000	4,835,000	71,407,320
Economic & Community Dev.	6,523,000	7,280,000	5,650,000	950,000	950,000	1,000,000	22,353,000
Environmental Protection	43,868,830	37,978,045	27,984,000	46,263,000	35,710,000	12,125,000	203,928,875
Culture & Recreation	8,575,345	10,252,500	1,350,000	150,000	50,000	50,000	20,427,845
General Government	0	5,500,000	0	0	0	0	5,500,000
TOTAL	79,343,195	101,009,395	49,512,890	61,226,635	41,500,000	18,010,000	350,602,115

FUNDING SOURCES

General Fund	50,000	172,500	250,000	150,000	50,000	50,000	722,500
Enterprise Funds	9,539,795	21,495,845	21,984,000	16,163,000	6,305,000	10,035,000	85,522,640
Street and Sidewalk Funds	750,000	775,000	825,000	825,000	860,000	875,000	4,910,000
Powell Bill/State Funds	4,320,000	3,745,000	3,780,000	3,780,000	3,930,000	3,960,000	23,515,000
Authorized Bonds	41,225,800	27,695,500	6,540,000	0	0	0	75,461,300
Unauthorized Bonds	0	15,935,350	8,933,890	29,358,635	19,405,000	2,090,000	75,722,875
Two-thirds Bonds	1,103,345	6,100,000	600,000	0	0	0	7,803,345
Grants/Other Revenue	22,354,255	25,090,200	6,600,000	10,950,000	10,950,000	1,000,000	76,944,455
TOTAL	79,343,195	101,009,395	49,512,890	61,226,635	41,500,000	18,010,000	350,602,115

CAPITAL IMPROVEMENTS PROGRAM

FUNDING LEVELS AND SOURCES FOR MAJOR CAPITAL IMPROVEMENTS PROJECTS

Following is a list of the more significant Capital Improvements Projects that will be active during FY 96-97 and/or FY 97-98. Funding and expense details for these and other projects are provided in the FY 96-02 Capital Improvements Program document, which is available in the Office of the City Clerk (Melvin Municipal Office Building - 300 West Washington Street - Greensboro, North Carolina 27402).

PROJECT TITLE/DESCRIPTION	BALANCE OF PROJECT FUNDING	PRIMARY FUNDING SOURCE
<u>Public Safety</u>		
800 Mhz Radio System	7,257,200	Unauthor. Bonds
<u>Transportation</u>		
Greene Street Parking Deck Repair	250,000	Enterprise Funds
Transit Maintenance Facility	2,798,820	Federal Grant
Dirt Street Treatment Program	100,000	Authorized Bonds
Merritt Drive	6,000,000	Authorized Bonds
Lee's Chapel Road	1,241,000	Authorized Bonds
Guilford College Extension	2,000,000	Authorized Bonds
Wendover Avenue	1,700,000	Authorized Bonds
East/West Parkway Connector	3,400,000	Authorized Bonds
Dolley Madison Road	1,965,500	Authorized Bonds
Lake Brandt Road	2,490,000	Authorized Bonds
Bessemer Avenue Bridge	1,000,000	State Grant
Vandalia Road	2,600,000	Authorized Bonds
Sixteenth Street Bridge Repair	1,150,000	Authorized Bonds
Spring Garden Street	1,500,000	State Grant
<u>Economic and Community Development</u>		
Community Dev. Block Grant (Various Locations)	2,082,000	Federal Grant
HOME Program (Affordable Housing)	1,576,000	Federal Grant
Housing Partnership Revolving Fund (Various Projects)	855,000	Local Funds
Neighborhood Renewal-Gorrell, College Hill, Southside	5,630,000	Authorized Bonds
<u>Environmental Protection</u>		
Elevated Tank Expansion Program	2,250,000	Enterprise Funds
Randleman Reservoir (Land Acquisition)	29,997,000	Enterprise Funds
Osborne Wastewater Treatment Plant Expansion	33,673,800	Authorized Bonds
Water System Expansion	9,441,000	Enterprise Funds
Eastern Loop Phases 1 through 5	4,587,000	Enterprise Funds
Sewer System Expansion	28,215,075	Enterprise Funds
Guilford County Water & Sewer	21,115,000	Contract Revenue
Closure of section of Phase II Fill Area (Landfill)	4,125,000	Enterprise Funds
<u>Culture and Recreation</u>		
Leonard Recreation Center	253,345	Authorized Bonds
Bicentennial Gardens Master Plan	700,000	Private Funds
Coliseum Enhancements	4,600,000	COP's
Public Ice Skating Facility	750,000	Authorized Bonds
New Main Library	12,102,000	Authorized Bonds
<u>General Government</u>		
Equipment Maintenance Facility	5,500,000	Unauthor. Bonds

CAPITAL IMPROVEMENTS PROGRAM

MAJOR CAPITAL IMPROVEMENTS PROJECTS - OPERATING BUDGET IMPACT

Several of the Capital Improvements Projects that will be active in FY 96-97 and FY 97-98 will have an increasing effect on the annual operating budgets in those two fiscal years. Those projects are listed and described below including the projected operating budget impacts.

Project #1

Project Title/Description	800 MHz Radio System
Estimated Completion Date	FY 97-98
Projected Impact on FY 96-97 Operating Budget	\$342,680
Projected Impact on FY 97-98 Operating Budget	\$347,640
Projected ongoing operating budget impact	\$350,000

Lease-purchase costs for the radio system are projected on an on-going basis as well as additional maintenance costs associated with the 800 MHz radio system. Operating costs will also increase as additional services areas, such as Public Safety, are added to this communications system.

Project #2

Project Title/Description	Leonard Recreation Center
Estimated Completion Date	FY 96-97
Projected Impact on FY 96-97 Operating Budget	\$13,900
Projected Impact on FY 97-98 Operating Budget	\$90,100
Projected ongoing operating budget impact	\$90,000

Additional costs are projected for staff and operational expenses associated with the opening of a new recreational center. Costs in FY 96-97 are only for a partial years operation.

Project #3

Project Title/Description	Bicentennial Gardens Master Plan
Estimated Completion Date	FY 97-98
Projected Impact on FY 96-97 Operating Budget	\$156,000
Projected Impact on FY 97-98 Operating Budget	\$160,000
Projected ongoing operating budget impact	\$160,000

Additional personnel and operating resources will be needed to maintain the increased planting and facilities planned for the Bicentennial Gardens. These resources may be diverted from Keeley Nursery.

Other potential capital improvements projects under consideration for the near future that would have operating budget impacts include additional fire stations, new Public Safety training facilities and the new Main Library.

CITY OF ASHEVILLE
CAPITAL IMPROVEMENT PLAN
FISCAL 1995/96 TO 2000/01

The City identifies, plans for and funds all capital needs by use of a six year "Capital Improvement Plan". Each year the plan is updated using input from the City's long-range Strategic Plan, "2010 Plan", the City Council, the public and City Manager, departments review and update the existing plan and add a year. This plan then becomes a part of the budget process and the funding for the upcoming year is formally approved.

A number of techniques are used to review capital needs. All vehicle requests are reviewed by a vehicle committee made up of individuals from each major operating department. Cost/benefit information, age utilization, condition and other factors are used in approving each vehicular request. Similar reviews are done for other capital needs. Ultimately all requests are reviewed and approved by the City Manager and City Council.

With the exception of an additional water source and water system improvements, which will have some effect on water rates (4% per year) none of the Capital Improvement Plan items will have a material effect on operating costs.

General Capital Reserve Fund

The General Capital Reserve Fund provides funding for all general government capital needs except street, sidewalk and storm drainage system requirements. Funding is provided by a dedicated contribution from the General Fund of the equivalent of \$.078 of the City's Ad Valorem tax rate of \$.57 per \$100 assessed valuation plus 60% of one of the one half cent sales taxes. This funding totals \$3,759,846 for 1995/96 and for planning purposes is expected to grow at approximately 3% per year.

Major departmental itemized requests are displayed as approved. Inflated costs are used for out year items.

Nondepartmental includes debt service payments for debt issued to fund City Hall renovations, the Public Works facility and the City's 800 mHz radio system. In addition, funding for building maintenance, small capital items, ADA improvements, Civic Center renovation and Parking Fund needs are included. A provision for renovation or replacement of the Municipal Building is included. A capital contingency of \$100,000 is included to provide for unforeseen need.

Generally speaking the funding for all known general government needs is included and funded. None of the requests are expected to have a material effect on the City's operating budget.

Street & Sidewalk Reserve Fund

The Street & Sidewalk Reserve Fund was established in 1988 and is funded by the equivalent of \$.046 of the City \$.57 per \$100 Ad Valorem tax rate. In addition, 20% of the Powell Bill (state gasoline tax) revenue is transferred from the General Fund. In 1995/96 City Council approved an additional transfer of \$121,107 from the General Fund.

After the establishment of this fund, a referendum was held and \$17,000,000 of general obligation bonds were issued for street and sidewalk improvements. The final funds available from that issue will be spent in Fiscal 1995/96 indicated as "Contract #12" in the financial summary. This fund pays the debt service on the \$17,000,000 issue. In addition, funding of approximately \$600,000 to \$700,000 per year is provided for ongoing street resurfacing and sidewalk construction. Additional funding sources are being considered by City Council to increase the City's ability to address infrastructure needs.

Civic Center Capital Fund

The Civic Center Capital Fund is funded by a contribution from the General Fund. Major capital needs are ADA requirement, including an elevator, museum and arena renovations. City Council will consider an added ticket surcharge in Fiscal 1995/96 to fund additional capital needs.

Wastewater Extension Plan

The Metropolitan Sewer District maintains and replaces or upgrades sewer lines within the City. The City must however, fund extensions to unserved areas particularly in annexed areas. A contribution from the General Fund provides the source of funds to provide extensions.

Water Resources Fund

The Water Resources Department includes capital replacement funding in the Water Operating Fund. Such capital needs are funded from utility revenues. A major additional water source and system upgrade is in the final planning stages and a capital reserve is provided from water revenues to fund debt service to finance this plan.

Community Development Fund

The City receives a Community Development Block Grant (CDBG) from HUD of approximately \$1.8 million. The emphasis for use of these funds is for low/moderate income housing.

Bridge and Right-of-Way Fund

The City participates at various levels with the State in funding bridge replacement and right-of-way acquisition from major road improvements.

TOTAL EXPENDITURE SUMMARY

SERVICE AREA	BUDGET YEAR 1996-97	PLANNING YEAR 1997-98	PLANNING YEAR 1998-99	PLANNING YEAR 1999-00	PLANNING YEAR 2000-01	TOTAL
Community and Economic Development Projects						
Economic Development Projects	\$1,692,370	\$4,474,000	\$2,242,550	\$4,492,880	\$2,139,110	\$15,040,910
Housing Development Projects	5,453,000	7,753,000	4,700,000	6,800,000	8,547,000	33,253,000
Environmental Health Projects						
Solid Waste Projects	6,047,550	4,285,810	4,010,000	6,500,000		20,843,360
Water Treatment and Wastewater Treatment Projects	11,070,150	10,935,000	19,800,000			41,805,150
Water Distribution and Wastewater Collection Projects	14,874,620	9,488,300	9,870,780	8,152,780	6,441,260	48,827,740
Transportation Projects						
Road Improvement Projects	1,065,000	7,490,000	200,000	13,413,000	22,237,000	44,405,000
Corridor and Appearance Projects		4,000,000		2,000,000		6,000,000
Other Street and Sidewalk Projects	140,000	1,920,000	145,000	2,560,000	2,930,000	7,695,000
Mass Transit Projects	2,096,060	1,130,810	4,265,000	9,475,500	1,420,000	18,387,370
Recreation and Culture Projects						
Park Facilities		1,987,500		495,000		2,482,500
Special Recreation Facilities	90,000	21,460,000		29,350,000		50,900,000
Recreation Center Projects		2,640,000				2,640,000
Other Recreation Facilities		142,500		1,102,500		1,245,000
Public Safety Projects		8,630,000				8,630,000
General Government Projects	900,000	1,810,000				2,710,000
Total Expenditures	\$43,428,750	\$88,146,920	\$45,233,330	\$84,341,660	\$43,714,370	\$304,865,030

TOTAL RESOURCE SUMMARY

SOURCE OF FUNDS	BUDGET YEAR 1996-97	PLANNING YEAR 1997-98	PLANNING YEAR 1998-99	PLANNING YEAR 1999-00	PLANNING YEAR 2000-01	TOTAL
General Fund	\$1,040,370	\$1,572,000	\$1,590,550	\$1,590,880	\$1,487,110	\$7,280,910
Bonds -						
GO Bonds to be Authorized		48,753,000		50,733,000	28,864,000	128,350,000
GO Bonds/Two Thirds		6,000,000		2,387,500		8,387,500
Revenue Bonds	21,683,960	16,162,490	25,842,690	4,324,690	3,018,620	71,032,450
Special Obligation Bonds	1,926,000	735,000	481,000	780,000		3,922,000
Intergovernmental Revenues -						
CDBG Funds	1,750,000	1,750,000	1,750,000	1,750,000	1,750,000	8,750,000
Federal Transit Administration	1,484,000	624,000	3,412,000	7,284,000	704,000	13,508,000
Forsyth County	7,500	8,000		45,000		60,500
HOME Investment Fund	803,000	750,000	750,000	750,000	750,000	3,803,000
NCDOT	820,500	488,000	536,500	1,025,500	203,000	3,073,500
Other Revenues -						
Assessments	4,260,810	4,260,810	3,828,090	3,828,090	3,422,640	19,600,440
CDBG Program Income	1,600,000	1,600,000	1,600,000	1,600,000	1,600,000	8,000,000
Econ. Dev. Loan Repayments	62,000	62,000	62,000	62,000	62,000	310,000
Gasoline Tax Fund Reserves	570,000	220,000	235,000	35,000	35,000	1,095,000
HFA Fund Balance	900,000	200,000	200,000	200,000	200,000	1,700,000
NC Municipal Leasing	1,231,060	350,810		370,500	540,000	2,492,370
Sales Tax	750,000	750,000	750,000	750,000	750,000	3,750,000
Solid Waste Disposal Fund	4,121,550	3,550,810	3,529,000	5,720,000		16,921,360
Transit Tax Fund	178,000	70,000	426,500	865,500	88,000	1,628,000
UDAG Repayments	240,000	240,000	240,000	240,000	240,000	1,200,000
Total Resources	\$43,428,750	\$88,146,920	\$45,233,330	\$84,341,660	\$43,714,370	\$304,865,030

ECONOMIC DEVELOPMENT PROJECTS

PROPOSED APPROPRIATIONS

- **Commercial Loan/Job Training Program**

CDBG Funds - \$1,750,000

UDAG Loan Repayments - \$1,200,000

Economic Development Loan Repayments - \$310,000

This program is designed to provide loans to assist small businesses in getting started and to enable existing businesses to expand. This program also provides funding for job training for employees of small businesses. The program is administered by the City's Development Office and is primarily funded by the Community Development Block Grant (CDBG) program and loan repayments from Urban Action Development Grants (UDAG) and other economic development loan repayments. The East Winston Area Plan and the East Winston Economic Development Strategy recommend this program. As of July 1, 1996, \$1,290,000 in undesignated funds are estimated to be available in this project. Total prior year and proposed appropriations: \$8,336,520.

- **East Winston Demonstration Projects**

General Fund - \$500,000

This project provides for a continued commitment by the city to fund projects as part of the East Winston economic development strategy of the East Winston Development Task Force. Funds are available for commercial rehabilitation programs, relocation programs to facilitate zoning improvements, and job training programs. Appropriation of these funds for specific projects will require the authorization of the Board of Aldermen. As of July 1, 1996, \$460,000 in undesignated funds are estimated to be available in this project. Total prior year and proposed appropriations: \$1,080,000.

- **Economic Development Project Fund**

General Fund - \$6,780,910

This program provides for specific economic development projects that are designed to attract new businesses or to expand and enhance existing businesses. Specific uses may include road construction, site improvements, land acquisition, and other direct financial assistance. These projects are designed to create jobs and expand the tax base. As of July 1, 1996, \$1,632,260 in undesignated funds are estimated to be available for this project. Appropriation of these funds for specific projects will require the authorization of the Board of Aldermen. Total prior year and proposed appropriations: \$11,867,890.

- **Economic Development Site Development**

Bonds To Be Authorized - \$3,000,000

Acquisition of sites for future economic development projects and site preparation (grading, clearance, utilities) of acquired land to enhance its marketability to new and expanding businesses, thereby expanding the tax base and creating new jobs. Total proposed appropriations: \$3,000,000.

- **Industrial Access Roads**

Bonds To Be Authorized - \$1,500,000

Construction of new roads to provide access to industrial sites for industrial relocation and expansion. Funds are generally not expended until an industry has committed to a new or expanded facility in Winston-Salem. Total proposed appropriations: \$1,500,000.

ECONOMIC DEVELOPMENT PROJECTS

	Prior Years		PROPOSED APPROPRIATIONS: 1996-2001						Total
	Through 1994-95	1995-96	1996-97	1997-98	1998-99	1999-00	2000-01	Total Proposed	
PROJECTS									
Commercial Loan/Job Training Program	\$4,370,520	\$706,000	\$652,000	\$652,000	\$652,000	\$652,000	\$652,000	\$3,260,000	\$8,336,520
East Winston Demonstration Projects	\$475,000	\$105,000	\$100,000	\$100,000	\$100,000	\$100,000	\$100,000	\$500,000	\$1,080,000
Economic Development Project Fund									
Wachovia Project		\$764,120	\$481,500	\$485,970	\$490,550	\$495,230	\$387,110	\$2,340,360	\$3,104,480
Other Designated Projects	\$2,782,890	\$344,060	\$22,520	\$9,500	\$7,250	\$7,250	\$7,250	\$53,770	\$3,180,720
Undesignated Projects	\$1,195,910	\$0	\$436,350	\$976,530	\$992,750	\$988,400	\$992,750	\$4,386,780	\$5,582,690
Economic Development Site Develop.				\$1,500,000		\$1,500,000		\$3,000,000	\$3,000,000
Industrial Access Roads				\$750,000		\$750,000		\$1,500,000	\$1,500,000
Total	\$8,824,320	\$1,919,180	\$1,692,370	\$4,474,000	\$2,242,550	\$4,492,880	\$2,139,110	\$15,040,910	\$25,784,410
REVENUE SOURCES									
CDBG Funds	\$2,015,420	\$404,000	\$350,000	\$350,000	\$350,000	\$350,000	\$350,000	\$1,750,000	\$4,169,420
Econ. Dev. Loan Repayments	\$324,000	\$62,000	\$62,000	\$62,000	\$62,000	\$62,000	\$62,000	\$310,000	\$696,000
General Fund	\$3,075,000	\$905,000	\$600,000	\$1,100,000	\$1,100,000	\$1,100,000	\$1,100,000	\$5,000,000	\$8,980,000
General Fund Advance	\$773,800								\$773,800
General Fund - Wachovia		\$108,180	\$440,370	\$472,000	\$490,550	\$490,880	\$387,110	\$2,280,910	\$2,389,090
GO Bonds/Authorized	\$230,000								\$230,000
Bonds To Be Authorized				\$2,250,000		\$2,250,000		\$4,500,000	\$4,500,000
Occupancy Tax	\$575,000	\$200,000							\$775,000
UDAG Repayments	\$1,831,100	\$240,000	\$240,000	\$240,000	\$240,000	\$240,000	\$240,000	\$1,200,000	\$3,271,100
Total	\$8,824,320	\$1,919,180	\$1,692,370	\$4,474,000	\$2,242,550	\$4,492,880	\$2,139,110	\$15,040,910	\$25,784,410



CITY OF WINSTON-SALEM

CAPITAL IMPROVEMENT PROGRAM INSTRUCTION MANUAL

FISCAL YEARS 1995 TO 2001

INTRODUCTION

The Capital Improvement Program (CIP) is a six-year plan for the financing of major projects which represent significant contributions to the City's overall inventory of physical assets. As a long-range plan, the CIP reflects the City's policy regarding long-range physical and economic development. By providing a planned schedule of public improvements, the CIP outlines present and future public needs and priorities. The CIP, therefore, provides public and private sector decision-makers with valuable information on which to base investment decisions. It also represents:

1. information for individual taxpayers, neighborhood councils, and other civic groups interested in the City's growth and development;
2. a statement of intention for federal and state agencies who provide grants-in-aid to the City; and
3. a source of information for potential developers.

In addition, the CIP is an integral part of the City's budgeting process, with the first year of the plan representing the actual budget for the year's capital projects. Approval of the CIP by the City's Board of Aldermen, therefore, outlines the City's official commitment to the first-year capital projects, with conditional approval for those projects listed in the five future planning years.

Capital project planning is an ongoing process. Each year, the CIP is updated, with the deletion of the "prior year" and the addition of a planning year, in order to maintain the full six-year period of the program. Projects that have been tentatively scheduled in previous CIPs are reassessed, along with new, proposed projects. A test of the effectiveness of the capital planning process is the orderly manner in which projects are planned for, scheduled, and finally budgeted, year-by-year.

By projecting and scheduling capital improvements in advance, the City benefits in a number of ways:

1. The CIP eliminates or reduces the need for "crash programs" to finance the construction of City facilities.
2. The CIP helps to provide for an orderly replacement of capital facilities.
3. Budgeting may take place within a system which assures that capital projects will be built according to a predetermined priority

system while planning in advance for the revenue needed to finance and complete these capital projects.

4. Advance planning is permitted to ensure that projects are well thought out in advance of construction.
5. Major purchases may be scheduled to benefit from favorable market conditions.
6. Coordination with the operating budget is maximized. An important aspect of capital improvement planning is the effect capital expenditures have upon the annual operating cost of the City. When a new facility is established, it must be maintained and staffed, and obligations which begin when it is made operational will become continuous.
7. Interrelationships among projects overlooked by individual departments is revealed. For example, the process can coordinate the timing of projects in the same location so as to avoid paving a street one year and tearing it up the next year to lay a sewer line.
8. An overview of current and future capital requests is provided, which enables an assessment of all capital expenditures and establishes priorities in a comprehensive framework rather than through many piecemeal decisions.

Capital Improvement Program Preparation Schedule

November 28 Send out CIP Instruction Manual and Forms

December 28 All capital improvement projects requests submitted to the Budget and Evaluation Office

1995

January 27 Planning and Budget and Evaluation staffs complete project reviews

DEFINITION OF TERMS

In capital budgeting, several terms are used to identify specific elements in the overall CIP process. The three most common terms are: **Capital Improvement Program**, **Capital Improvement Project**, and **Capital Improvement Budget**. To ensure that everyone understands the meaning of these terms, their definitions are outlined below:

Capital Improvement Program is a long-range plan of proposed capital improvement projects, which includes estimated project costs and funding sources, that a local government expects to carry out over a six-year period. The program is usually updated annually for the purpose of reassessing local capital needs, as well as for the preparation of the annual Capital Improvement Budget.

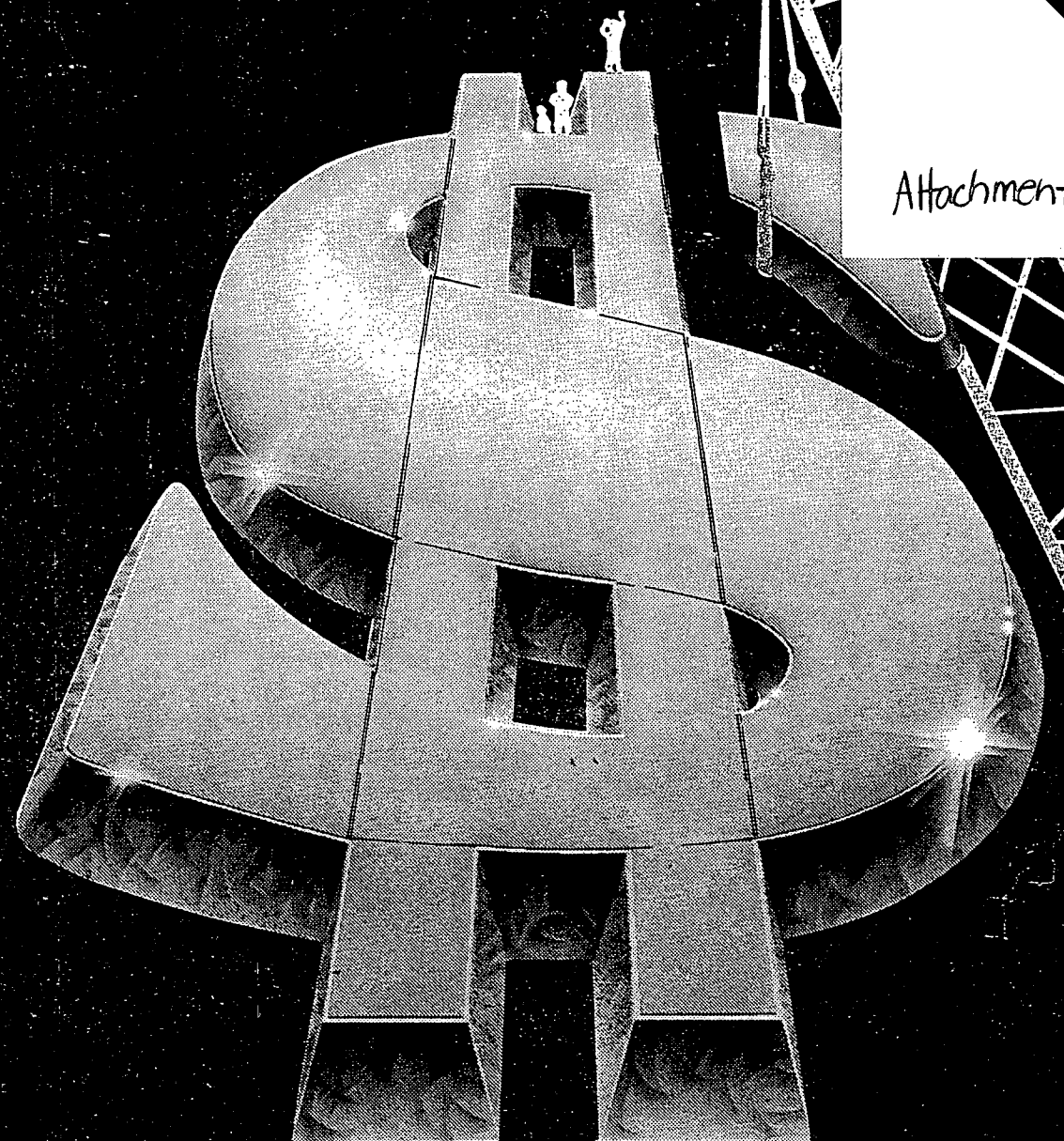
Capital Improvement Project is a major nonrecurring capital expenditure for the:

1. construction, purchase or major renovation of buildings, utility systems, streets or other physical structures;
2. purchase of land; and
3. major landscaping projects.

Generally, a Capital Improvement Project will have an expected useful life greater than 10 years and an estimated total cost of \$25,000 or more. Other capital improvement projects costing less than \$25,000 should be included as capital outlay in your budget requests.

Capital Improvement Budget is a list of capital improvement projects, together with the cost amounts and sources of funds for the coming fiscal year. This is also the first year of the Capital Improvement Program.

Attachment 2



CAPITAL BUDGETING AND FINANCE

THE LEGISLATIVE ROLE



THE NATIONAL CONFERENCE OF STATE LEGISLATURES

Executive Summary

State legislatures face an important challenge over the next 10 to 15 years as the need to replace aging state and local capital stock becomes increasingly critical. In 1985, states spent more than \$30 billion in revenue from all sources on state capital outlays.

States are directly responsible for financing highways, educational institutions, natural resource projects, state hospitals, correctional facilities, parks and recreation projects, general public buildings, utilities and liquor stores—to name the major objects of state capital outlay expenditures. In addition, many states provide direct or indirect financial aid to local governments for infrastructure finance.

Over the past decade, legislatures have strengthened their role greatly in reviewing, setting, and monitoring state agency operating budgets. The challenge for legislatures—indeed for government officials generally, at all levels of government—is to develop the same capacity for managing, setting, implementing, and overseeing capital budgets. As Pat Choate and Susan Walter note in *America in Ruins*, government needs to move “beyond the public works pork barrel.”¹

Capital Budgeting and Finance: The Legislative Role was written for state legislators at the direction of the Capital Budgeting and Finance Subcommittee. This report presents and discusses 16 recommendations for improving the capacity of legislatures to make informed judgments as to the appropriate nature, timing, and financing of infrastructure construction and renewal projects. A list of these recommendations follows this summary. Accompanying the discussion are 27 tables that present 50-state data on state and local expenditures for capital outlay, legislative capital appropriations processes, legislative oversight of capital budgets, state capital planning processes, financing mechanisms, and debt issuance costs.

Highlights of the findings and recommendations of this study include:

- There is a growing need to replace or upgrade existing state and local capital stock and to invest more in new projects to support economic development. The rate of state and local capital investment has not kept pace with growing capital needs.
- Capital expenditures account for an average of 8 to 10 percent of total state expenditures. Individual projects may cost more than the entire annual budgets of a number of smaller state agencies; the operating expenses associated with a single, large capital project may amount to millions of dollars over its useful life. Legislatures must have the structure and capacity for deliberate, informed review of proposed capital projects.
- States often budget too little for maintenance, preferring to spend whatever dollars are available for capital investment on new projects where the public can see something for its money. A commitment to project funding also should mean a commitment to necessary maintenance once the project is completed.
- The development and use of explicit criteria for reviewing capital budget requests should be an essential part of every legislature's capital project review process. Without such criteria, there may be an increased tendency to select projects on the basis of a political beauty contest or “delivering the pork” rather than on need and efficiency. Currently, only four legislatures use explicit review criteria.
- In selecting a method of project finance, an important aim of the state should be to keep total project costs as low as possible. States should consider all reasonable

financing options. At the same time, states should maintain close oversight of the use of each of these tools to make sure they are being used appropriately, that the state is not overextending its credit, and that economies of scale in project financing are realized. Wherever it is feasible and efficient to do so, user fees should be relied on to finance capital projects.

- Debt management problems vary from state to state. Examples include low absolute debt ceilings or highly restrictive voter approval requirements that effectively close off the appropriate use of general obligation debt as a financing option; an unchecked proliferation of authority debt; overextension of the state's credit; and high debt issuance costs. In addition, as a result of the federal Tax Reform Act of 1986, states must allocate federally imposed volume caps on certain tax-exempt bonds. Because debt management practices have important economic and fiscal policy implications, legislatures should take an active role in formulating and monitoring debt policy.
- Many local governments are finding it increasingly difficult to pay for needed capital projects, not only because of tight budgets but also because of state restrictions on local indebtedness, taxes, and expenditures; changes in federal tax laws that affect local financing options and costs; sizable cuts in federal aid; and, in some instances, cuts in state aid. After assessing their own fiscal condition, capacity, and constraints, as well as those of local governments, states may decide to assist local governments in funding needed capital projects by setting up state revolving loan programs; buying down local interest rates; guaranteeing local loans; providing bond insurance; establishing bond banks; increasing state aid; and/or loosening state restrictions on local taxing authority, expenditures, and indebtedness.

List of Recommendations

The recommendations to state legislatures listed here were developed by NCSL's Capital Budgeting and Finance Subcommittee. They are intended to help legislatures strengthen their capital budgeting and finance processes. The recommendations deal with actions legislatures can take to improve the efficiency and effectiveness of state capital outlay decisions.

The subcommittee recognizes that some states have much more sophisticated systems—both legislative and executive—than others for planning, reviewing, budgeting, and monitoring capital projects. Some states already have taken a number of the steps suggested here; many have not. These recommendations are designed to guide legislatures in structuring their capital budgeting and finance procedures.

1. Legislatures should pay more attention to capital budgeting and finance issues at both the state and local levels because federal infrastructure assistance is declining; the need to add to, replace, or renovate aging capital stock is growing; and the capital finance environment has changed significantly.
2. Legislatures should strengthen the legislative machinery for dealing with infrastructure issues. Legislatures should consider setting up independent capital advisory groups and hiring staff to specialize in capital budgeting and finance.
3. To make informed decisions, legislatures should have comprehensive information about the state's existing capital stock and future capital requirements. This information should include a central inventory of state capital, an up-to-date assessment of its condition, and a central long-range capital plan that shows the infrastructure needs of the state over the next five to 10 years and that indicates how and where the current capital budget request fits into the long-term capital plan.
4. To facilitate legislative as well as public review of proposed capital projects, the executive branch should be required to submit to the legislature a single capital budget that includes all capital requests for the forthcoming budget period, by priority, across agencies, presented by funding source.
5. Legislatures should require the executive branch to provide information on the need for, importance and costs of, alternatives to, operating costs associated with, and different ways of financing proposed capital projects.
6. States should clearly define what constitutes a capital project and delineate how and where such projects appear in the budget bill(s).
7. For projects with a price tag of at least approximately \$1 million, states should consider separate appropriations for project planning and preliminary design.
8. Legislatures should commit to appropriating needed monies for maintenance based on a schedule that takes into account the age, condition, expected life, continued need for, and availability of less expensive alternatives to existing capital stock. States should have standards for determining maintenance needs.
9. Legislatures should develop guidelines for reviewing and evaluating capital budget requests and require comprehensive fiscal notes on all new legislation involving capital projects.

10. States should seriously examine the feasibility and desirability of contracting with the private sector for the construction, operation, servicing and/or maintenance of capital projects and the programs they house or operate as part of the capital budget review process.
11. Legislatures should play an active role in reviewing the transfer of capital funds after they have been appropriated and decisions to cancel, delay, or change funding for approved capital projects.
12. Legislatures should monitor capital projects as closely as they do department operating budgets to make sure that appropriated funds are being used efficiently and effectively; to make sure that they are being used as intended; and to guard against waste, fraud, and abuse.
13. States should have the flexibility to select from a wide array of options for financing capital projects. Wherever it is feasible and efficient to do so, user fees should be relied on to finance capital projects. In selecting a financing option, legislatures should look for ways to keep down total project costs and should maintain close oversight of the use of different financing mechanisms. In light of federal tax reform, legislatures also should reassess traditional as well as newer approaches to capital finance to determine which are most cost effective and best fit state needs.
14. Because state debt management practices have important economic, public policy, and fiscal policy implications, legislatures should take an active role in formulating and monitoring debt policy. Legislatures should oversee not only debt financed directly by the state but also debt that is backed by the state's full faith and credit or some other form of commitment, such as a reserve fund, lease arrangement, or dedicated tax. Legislatures should participate in decisions regarding volume cap allocations. Finally, legislatures should support steps to strengthen their state's credit.
15. Recent federal budget cuts and tax reforms have made it more difficult for local governments to finance some capital projects. After assessing their own fiscal conditions, capacities, and constraints, as well as those of local governments, some states may decide to set up financial intermediation programs to assist local governments in getting financing for necessary local capital projects. These programs might include local interest buy-downs, loan guarantees, bond insurance, and creation of a state bond bank. It should be noted, however, that states are not necessarily in any better position to help finance capital projects than are local governments, and that in no case should local projects be supported by the state unless there is a clear state interest.
16. States that want to and have the capacity to provide localities with increased assistance in meeting local capital needs also may want to consider increasing state aid, loosening state restrictions on local expenditures and indebtedness, and providing supervision and technical assistance.

APPROPRIATIONS
Subcommittee on Capital & Budget

Minutes
March 11, 1997

The APPROPRIATIONS Subcommittee on Capital & Budget held a meeting on Tuesday, March 11, 1997 at 12:00 p.m. in room 643 of the Legislative Office Building. The members present were Representatives Carolyn Russell, Cochair, Gene Wilson, Cochair, Cherie Berry, Donald Bonner, Walter Church, Sr., Beverly Earle, Ruth Easterling, Charlotte Gardner, Bobby Hall, Verla Insko, Joe Kiser, Frank Mitchell, Richard Moore, Jane Mosley, Alex Warner and Nurham Warwick. Staff-Lynn Muchmore, Janice Wheeler, Emily Johnson, Phyllis Pickett, and Clerk-Rebecca Jones were also present.

Representative Carolyn Russell, Cochair, called the meeting to order at 12:10 p.m. She introduced Rob Nelson, Budget Administrator for Capital Improvements in the State Budget Office. Mr. Nelson went over the process his office goes through in order to arrive at their budget. It then comes over to the General Assembly in the form of the Governor's Budget. The handout titled 8, The Capital Budget, was handed out to the members. It was made part of the minutes. Attachment 1. He referred to the page number that was hand written in the right hand corners. Mr. Nelson read over the handout with the committee. He first went over the six year Capital Improvement Schedule. An example of this is on page 6 of attachment 1. Mr. Nelson then went over the Summary List of Capital Budget Requests on page 8 of attachment 1.

Mr. Nelson said that worksheet three was the most important when preparing the recommended budget. This worksheet was on page 11 -14 of Attachment 1. Several committee members wanted to know the criteria for receiving funding for projects. Mr. Nelson said that they looked very closely at projects that were previously funded. Representative Insko asked if renovations would need to be a separate request. Mr. Nelson answered yes. Representative Kiser wanted to know how many federal funds they expect to obtain in this capital budget. Mr. Nelson said that he knew of two projects. An armory in Charlotte and some water resource money.

Representative Berry wanted to know about the repair fund. She wanted to know what had happened to the money in the last budget. Mr. Nelson said that the General Assembly, at the end of the year, had appropriated the money that was left over. Representative Bonner wanted to know how to justify asking for additional funds for repair when you had a \$21 million surplus that was unused. Mr. Nelson said that the General Assembly had decided not to appropriate those moneys to the repair reserve.

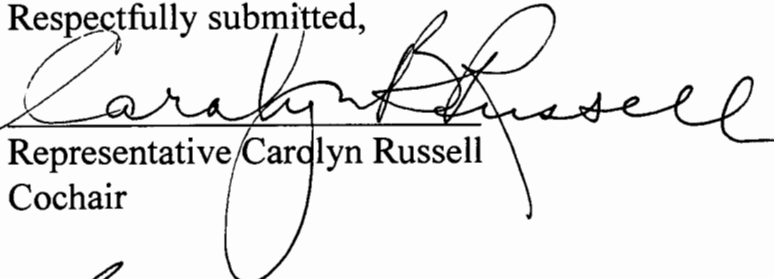
Representative Russell then introduced C.C. Cameron and Jeff Davies from UNC. Jeff Davis made a presentation in which a handout was passed out and made part of the minutes. Attachment 2. He went over The University Of North Carolina Capital Improvements Prioritization Process with the committee. Representative Russell thanked Mr. Davis and UNC for their full presentation then adjourned the meeting at 1:00 P.M.

ATTACHMENTS

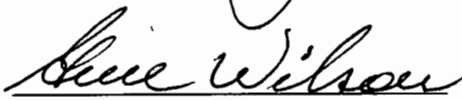
Attachment 1- Capital Budget-Governors

Attachment 2- UNC Prioritization Process

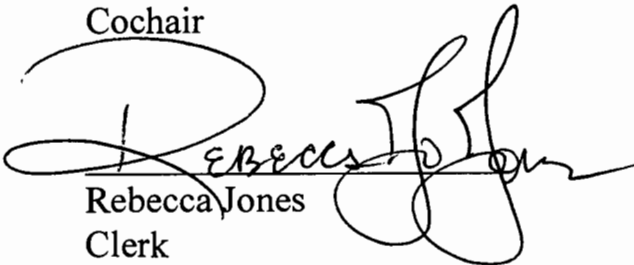
Respectfully submitted,



Representative Carolyn Russell
Cochair



Representative Gene Wilson
Cochair



Rebecca Jones
Clerk

HOUSE APPROPRIATIONS COMMITTEE
CAPITAL AND BUDGET SUBCOMMITTEE

AGENDA

MARCH 11, 1997

CAPITAL FACILITIES PROGRAM OVERVIEW

OFFICE OF STATE BUDGET AND MANAGEMENT

ROB NELSON, BUDGET ADMINISTRATOR

UNIVERSITY OF NORTH CAROLINA

C. C. CAMERON, CHAIRMAN OF UNC BOARD OF
GOVERNORS

JEFF DAVIES, ASSOCIATE VICE PRESIDENT FOR
FINANCE, UNC GENERAL ADMINISTRATION

8. CAPITAL BUDGET

Capital improvement budget requests are for renovations, major repairs and maintenance to existing facilities, walks and road improvements, new construction, land purchases, Americans With Disabilities Act (ADA) Improvements, life safety code compliance, asbestos removal, and heating/air-conditioning requirements (HVAC). Any renovations or repairs in excess of \$100,000 and all new construction will be requested through the capital improvement budget. One-time major equipment purchases will be requested in the operating expansion budget (Worksheet II). The form for requesting capital improvement funds is the *Worksheet III*.

8.1 Capital Improvement Budget

The *Six-Year Capital Improvement Schedule* (Attachment 1), the *Summary List of Capital Improvement Requests* (Attachment 2), and the *Worksheet III* (Attachment 3) must be used for official capital improvement requests for 1997-99. Attached to Worksheet III will be an approved copy of the Office of State Construction form OC-25 (Sample Attachment 4) indicating the project cost as estimated by that office. No request will be considered without an approved OC-25. In addition, an approved site, or lacking an approved site, a detailed plan of action for the acquisition of an approved site must be included. Six (6) copies are to be submitted to the Office of State Budget and Management (OSBM) on light blue 8 1/2 x 11-inch paper by September 30, 1996.

Procedures

8.1a Six-Year Capital Budget Improvement Schedule

The 1995-97 capital improvement requests included a six-year capital assessment of need schedule for state departments through 2000-01. Departments will update the Six-Year Capital Budget Improvement Schedule (Attachment 1) to delete capital projects already authorized in the last biennium and to reflect any revisions to the proposed long-range capital schedule. The revised schedule will be for the six-year period beginning in 1997-99 and ending 2002-03. The new schedule must have the best cost estimates available and reflect anticipated escalation factors relevant to the construction industry.

The schedule will include each capital project which the department anticipates requiring funding, regardless of source of funds. A specific schedule for upgrading and preventive maintenance to its physical plant should be established and the six-year capital schedule must reflect its needs. A suggested maintenance priority schedule should include roof repair, upgrade of utilities, HVAC repairs, energy conservation measures, and miscellaneous general repairs to facilities.

8.1b Summary List of Capital Improvement Requests

List in priority order on the Summary List of Capital Improvement Requests (Attachment 2) the title and the 1997-98 and 1998-99 requested amount for capital improvement requests submitted by the department. For items involving receipts, show total requirements, estimated receipts, and appropriation requested.

8.1c Worksheet III. Capital Improvement Request

At the top of the Worksheet III (Attachment 3), indicate the department, division/program level, title of the project, and the priority with reference to the capital improvement request only.

1. Description

For the 1997-99 requests, describe in detail the nature of the project (renovation, repair, new construction, major maintenance, boiler replacement, land purchase, etc.), the location within the state (including county), and the activities or functions for which the proposed project will be utilized. If a major utility, maintenance, renovation or repair project is involved, give the age of the facility, the date of the last significant improvement, and the date and results of any maintenance inspection or repairs conducted.

In the case of proposed buildings or major renovations, indicate the approximate amount of space and number of rooms needed for each activity listed above (i.e., classrooms--4,000 sq. ft., 10 rooms; offices--6,000 sq. ft., 40 rooms; dining area--600 sq. ft., 1 room; day rooms--5,000 sq. ft., 20 rooms; etc.). Also indicate the approximate areas that will be devoted to each activity or function for the request. For utility projects, indicate the extent of the purchase or development to date and elaborate on future needs for continued project funding. Describe any unusual construction requirements which might affect the cost of the facility (e.g., subterranean rock or extremely complex laboratories). State also how the facility will be air conditioned and the fuel source for heating purposes. In the case of proposed buildings, no request will be considered without either an approved site or a detailed plan of action for the acquisition of an approved site. For a proposed new facility, indicate the proposed location and whether it has been reviewed and approved by the Office of State Property. If this project has been requested previously, indicate when and state the agency priority given at that time. If construction or renovation of a facility involves the reallocation of space, advise as to the future use of the current facility and/or space currently being utilized. Also, indicate if there has been a review made by the Office of State Property, Department of Administration, relative to this space reallocation.

For major renovation projects, indicate if the capital improvement will result in the use of space currently not being used or if it will provide for the reallocation of currently utilized space. The justification must detail the total space reallocation plan affecting all programs involved with the renovation. Also, the cost must include estimates to remove asbestos if the presence of the substance is known to be in the facility and is expected to be disturbed during renovation.

Asbestos removal projects will be addressed in two ways: as emergency projects which create a harmful working environment for employees and as projects which must be funded due to unforeseen circumstances (i.e., renovation projects where no asbestos was anticipated). Priorities for asbestos removal funding will be developed by OSBM, the Office of State Construction, and the Department of Environment, Health, and Natural Resources, Division of Health Services. Agency requests for asbestos removal funding must be submitted consistent with instructions in this document for other capital projects.

In the description, explain any relationship of a project to other planned or existing facilities and to any forthcoming expansion budget requests of the agency or institution. Also, cite any recent administrative or legislative actions related to the project requested. In addition, indicate if the project bears any relationship to or requires coordination with similar facilities currently under consideration by another state agency. If the project is incorporated into the master plan adopted for the department or institution, indicate that in the request.

2. Justification

The project justification for each capital request must be consistent with program objective statements and supporting statistical or narrative statements. It is imperative that a complete justification be provided indicating the need for the project in terms of past and future goals of the program. This *justification* obviously cannot be accomplished in short form; therefore, additional sheets should be attached as needed.

3. Project Selection Criteria

Give the standards used to document the need for the project as well as to determine its size and scope. Include in this description a detailed discussion of how funding for this project may impact on the receipt of federal, local, or private matching funds.

4. Related Program Outcomes

If the project is requested to allow expansion or redirection of the department's services, describe the need for the project in terms of those services. For example:

- How many additional clients need to be served and how many will be served by the facility?
- What new services or additional units of service will be provided?

If the project is requested primarily to maintain current service levels, describe the need in terms of the following:

- What safety hazards to clients and employees currently exist?
- What code violations currently exist?
- What interruptions of service or backlogs of service or activity currently occur?
- If the request is delayed or denied, what will be the effect on the services, activities, or efficiency of the agency?

5. Schedule of Construction/Future Obligation/Fund Source

Give, in chronological order, the proposed schedule of construction for the project. Include the approximate date for engaging the architect, the beginning construction date, the date of construction completion, and the date for occupation or utilization of the facility.

An approved Office of State Construction form OC-25 must be attached to the Worksheet III. This estimate is the method for determining the cost of the proposed project, excluding land purchase, and no request will be considered without the approved OC-25. Departments should coordinate this effort with the Office of State Construction.

6. Estimate the additional operating costs associated with the project, including program and maintenance staff, utilities, and future building maintenance, and the source of funds to operate the facility for the first five years of operation.

8.2 Capital Budget Attachments

Attachment 1 Six-Year Capital Improvement Schedule

Attachment 2 Summary List of Capital Improvement Requests

Attachment 3 Worksheet III Capital Improvement Request

Attachment 4 Sample OC-25

SIX-YEAR CAPITAL IMPROVEMENT SCHEDULE

Department _____

Institution or Program _____

(In Thousands)

Description	Source of Funds	(In Thousands)					
		1997-98	1998-99	1999-00	2000-01	2001-02	2002-03
		\$	\$	\$	\$	\$	\$

NOTE: List each project separately.

Submit 6 copies on 8 1/2 x 11-inch light blue paper.

SIX-YEAR CAPITAL IMPROVEMENT SCHEDULE

Department Human Resources
Institution or Program Division of Mental Health, Developmental Disabilities, and Substance Abuse Services

(In Thousands)

Description	Source of Funds	1997-98	1998-99	1999-00	2000-01	2001-02	2002-03
Roof Repairs:							
Ward B-1	Appropriations	\$ 70	\$ -	\$ -	\$ -	\$ -	\$ -
Ward #3	Appropriations	-	35	-	-	-	-
ICF Renovations to Ward B-2	Appropriations	-	1,000 (Phase 1)	500 (Phase 2)	-	-	-
72 New Dorm	Student Fees	-	-	50 (Design)	1,500		
Road Repairs	Appropriations	20	20	10	-	-	10
Air Condition Patient Areas (Name Separately)	Appropriations	-	50	55	60	60	-
OSHA & Architectural Barrier Removal (Name Separately)	Appropriations	20	20	20	20	10	10
Renovate Electrical Distribution Systems	Appropriations	-	-	25	-	-	-
New Chilled Water System	Federal Grant	-	-	-	40	-	-
New Administration Building	Appropriations	-	-	-	-	100	1,800

NOTE: List each project separately.

Submit 6 copies on 8 1/2 x 11-inch light blue paper.

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SUMMARY LIST OF CAPITAL BUDGET REQUESTS

Department _____

Capital
Priority
Number

Project Description

1997-98

1998-99

Requirements

\$

\$

Receipts (Source)

\$

\$

Appropriation

\$

\$

Requirements

\$

\$

Receipts (Source)

\$

\$

Appropriation

\$

\$

Requirements

\$

\$

Receipts (Source)

\$

\$

Appropriation

\$

\$

Total Requirements

\$

\$

Total Receipts

\$

\$

Total Appropriation

\$

\$

Submit 6 copies on 8 1/2 x 11-inch light blue paper.

SUMMARY LIST OF CAPITAL BUDGET REQUESTS

Department Environment, Health, and Natural Resources

Capital Priority Number	Project Description		1997-98	1998-99
74 1.	Purchase of State Land	Requirements	\$ 1,000,000	\$ 1,000,000
		Receipts (NHTF)	500,000	500,000
		Appropriation	\$ 500,000	\$ 500,000
2.	Reserve for Water Resources Project	Requirements	\$ 2,000,000	\$ 2,000,000
		Receipts (Federal)	1,000,000	1,000,000
		Appropriation	\$ 1,000,000	\$ 1,000,000
3.	Construction of Storage Buildings	Requirements	\$	\$ 300,000
		Receipts		0
		Appropriation	\$	\$ 300,000
		Total Requirements	\$ 3,000,000	\$ 3,300,000
		Total Receipts	1,500,000	1,500,000
		Total Appropriation	\$ 1,500,000	\$ 1,800,000

Submit 6 copies on 8 1/2 x 11-inch light blue paper.

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WORKSHEET III CAPITAL IMPROVEMENT REQUEST

Department _____

Division/Program _____

Project Title _____

On an attached sheet(s), provide the following information:

1. Description, including space, type of structure, age of buildings, number and type of persons served, and any unusual construction or repair problems anticipated.
2. Justification.
- 75 3. Criteria used to select this project as a recommendation.
4. Define all program outcome for this capital investment.

In the space provided below, provide the following information:

5. Schedule of construction, repair, etc. requested.

6. Operating costs:

	First Year of Operation FY_____	Second Year of Operation FY_____	Third Year of Operation FY_____	Fourth Year of Operation FY_____	Fifth Year of Operation FY_____
Staff (Additional Annual Cost) (Include # of <u>Additional</u> Employees)	\$	\$	\$	\$	\$
Maintenance (<u>Additional</u> Annual Costs)					
Other Operating (<u>Additional</u> Annual Costs)					
Special Equipment/Furnishings <u>Not</u> Included in Construction Request					

Total Requirements

Less Receipts

Appropriation

7. If operation is supported from receipts, give current level of receipts, increase in rates, if necessary (from _____ to _____), and any restrictions on the use of these receipts by federal or state law. _____
8. Does the project have an approved OC-25 attached? Yes ____ No ____ . An approved OC-25 must be submitted for every capital improvement request.
9. If new construction, where is the proposed location? _____
Has this proposed site been approved by the Office of State Property? Yes ____ No ____
Is the proposal site currently owned by the State of North Carolina? Yes ____ No ____ (If "No," a complete plan for acquisition must be attached.)
10. If repair or renovation, has this facility been inspected by the Office of State Construction's F-CAP team? Yes ____ No ____ If so, when? _____
11. For additional information contact _____ at telephone number _____.
- Submit 6 copies on 8 1/2 x 11-inch light blue paper.*

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WORKSHEET III CAPITAL IMPROVEMENT REQUEST

Department Agriculture

Division/Program North Carolina Maritime Museum

Project Title Potter Property Purchase

On an attached sheet(s), provide the following information:

1. Description, including space, type of structure, age of buildings, number and type of persons served, and any unusual construction or repair problems anticipated.

The Potter property consists of a wood frame residential structure on a lot facing Orange Street, the back of which adjoins the rear of the Museum's property in Beaufort, North Carolina. The 1,264 square foot house would be converted to office and work space for functions now carried out in the main museum building. The rear of the Potter lot would be used as an outdoor display area.

2. Justification.

The Museum has nearly exhausted its available display and collections space. The most logical course is to convert the use of space within the buildings that already attract the public. This displaces employee offices, but it allows us to move incompatible functions, such as printing, out of the public areas.

3. Criteria used to select this project as a recommendation.

This property would be a good purchase because of its proximity and orientation to the main museum building. The structure can be easily and economically converted to offices and work areas.

4. Define all program outcome for this capital investment.

This purchase would free up 1,100 square feet of space in the main museum for more desirable public-oriented uses. It would provide outdoor display space for 6 - 10 boats. It will also provide temporary space to relocate employees displaced by the demolition and replacement of the Harborside building.

In the space provided below, provide the following information:

5. Schedule of construction, repair, etc. requested.

Date for Engaging Architect	<u>September 1997</u>
Beginning Construction Date	<u>October 1997</u>
Construction Completion Date	<u>November 1997</u>
Occupation Date	<u>December 1997</u>

6. Operating costs:

	First Year of Operation FY <u>1997-98</u>	Second Year of Operation FY <u>1998-99</u>	Third Year of Operation FY <u>1999-2000</u>	Fourth Year of Operation FY <u>2000-01</u>	Fifth Year of Operation FY <u>2001-02</u>
78 Staff (Additional Annual Cost) (Include # of <u>Additional</u> Employees)	\$25,000 (1.00)	\$25,000 (1.00)	\$25,000 (1.00)	\$25,000 (1.00)	\$25,000 (1.00)
Maintenance (<u>Additional</u> Annual Costs)	2,000	2,000	2,000	2,000	2,000
Other Operating (<u>Additional</u> Annual Costs)	3,500	3,500	3,500	3,500	3,500
Special Equipment/Furnishings <u>Not</u> Included in Construction Request					
Total Requirements	\$30,500	\$30,500	\$30,500	\$30,500	\$30,500
Less Receipts	0	0	0	0	0
Appropriation	\$30,500	\$30,500	\$30,500	\$30,500	\$30,500

7. If operation is supported from receipts, give current level of receipts, increase in rates, if necessary (from _____ to _____), and any restrictions on the use of these receipts by federal or state law. _____

N/A

cost estimate

8. Does the project have an approved OC-25 attached? Yes X No _____. An approved OC-25 must be submitted for every capital improvement request.
9. If new construction, where is the proposed location? N/A
Has this proposed site been approved by the Office of State Property? Yes ____ No ____
Is the proposal site currently owned by the State of North Carolina? Yes ____ No ____ (If "No," a complete plan for acquisition must be attached.)
10. If repair or renovation, has this facility been inspected by the Office of State Construction's F-CAP team? Yes ____ No ____ If so, when? _____

N/A
11. For additional information contact Bob Jones at telephone number 733-1234.

STATE OF NORTH CAROLINA
DEPARTMENT OF ADMINISTRATION
STATE CONSTRUCTION OFFICE
PROPOSED CAPITAL IMPROVEMENT PROJECT
FOR THE BIENNIUM 19__ - 19__

Section 8, Attachment 4
Form OC-25
(Rev. 9/93)

1. INSTITUTION OR AGENCY _____ DATE: _____
 2. PROJECT IDENTIFICATION _____
 3. LOCATION _____
 4. PROJECT DESCRIPTION AND JUSTIFICATION (Attach add'l. data as necessary to indicate need, size, and function of improvements)

5. CURRENT ESTIMATED CONSTRUCTION COST*

	QTY	UNIT	COST PER UNIT	TOTAL
A. Land Requirement				
B. Site Preparation				
1. Demolition				
2. General ***				
C. Construction				
1. Utility Services **				
2. General Construction				
3. Plumbing				
4. HVAC				
5. Electrical				
6. Other _____				
7. Other _____				
D. Equipment				
1. Fixed				
2. Moveable				

ESTIMATED CONSTRUCTION COST

CONTINGENCIES _____% (% of Estimated Construction Cost)
 DESIGN FEE _____% (% of Estimated Construction Cost + Contingencies)

ESTIMATED COST (Estimated Construction Cost + Contingency + Design Fee)

Escalation % = _____% per month x No. of months _____ (to mid-point of construction) = _____%

ESCALATION COST INCREASE = Estimated Cost x Escalation %

TOTAL ESTIMATED PROJECT COST

(Estimated Cost + Escalation Cost Increase)

*Attach basis and justification for estimate. Include description, quantities, units, special features, similar cost on recent projects, etc.

**Attach explanation of any special building, mechanical, or electrical service requirements with approximate distance to existing water, sewer, electrical or other utility service.

***Include items such as grading, roads, walks, parking, etc.

APPROVED BY: _____ TITLE: _____ DATE: _____
 (Governing Board or Agency Head)

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The University of North Carolina Capital Improvements Prioritization Process

Process Prior to the 1997-99 Biennium

- Separate Budget Requests for:

Repairs and Renovations
Architectural Barrier Removal Projects
Occupational Safety and Health Projects
Utilities Repairs and Improvements
Roads, Walks, and Drives
UNC Center for Public Television Facilities
Automated Energy Management Systems
Land Acquisition
Area Health Education Center
New Facilities and Major Renovations to Existing Facilities
Agricultural Programs
North Carolina School of Science and Mathematics

- Within each line of the Budget Request, projects were presented by institution in the priority order recommended by each institution.

**The University of North Carolina
Capital Improvements Prioritization Process**

1995 Session

House Bill 230, Sec. 15.3 (a):

The Board of Governors of the University of North Carolina shall develop a capital improvement request process that can be used to make its capital priorities across campuses known to the General Assembly. The process shall include needs criteria based on mission, enrollment, adequacy of facilities, the functional age of facilities, utilization of facilities, and other objective factors.

The University of North Carolina Capital Improvements Prioritization Process

The Board of Governors contracted with Eva Klein and Associates, a higher education management consultant, to recommend a method for prioritizing capital improvements projects. Ms. Klein had previously provided assistance to the General Assembly on the work performed by the Government Performance Audit Committee (GPAC). In her research, Ms. Klein:

- conducted a review of current literature related to the prioritization of projects for presentation to Boards and General Assemblies
- conducted interviews with members of the General Assembly, representatives from State Government agencies, representatives from the constituent institutions, representatives from General Administration, and members of the Board of Governors.
- surveyed other states to determine other prioritization methodologies.

In March, 1996, the Board of Governors adopted the consultant's recommendations.

The University of North Carolina Capital Improvements Prioritization Process

The Board of Governors adopted a new policy framework for all elements of the capital planning and budgeting processes. The new framework articulated, updated, or modified statements of objectives, policy, process, space standards, and information requirements for submissions.

- Descriptions of process have been and are continuing to be reviewed and revised.

Long-Range Capital Planning
Institutional Campus Master Planning
Multi-year Capital Budgeting
Biennial Capital Budget Requests/Submissions
Linking of all Processes to Academic Planning

- Promulgation of new guidelines and standards is an ongoing process.

The University of North Carolina Capital Improvements Prioritization Process

The Board of Governors Budget Request to the General Assembly outlines a six-year capital plan for General Fund supported facilities. The plan, as refined over time, should be subdivided to further define three two-year capital plans.

The Budget Request is presented in a simplified two-line presentation.

Line 1: Repairs and Renovations

essentially represents all of the projects intended to be funded from the Reserve for Repairs and Renovations.

Line 2: Major Capital Improvements

incorporates all other capital improvements projects, including "major renovations" as well as new facilities

Projects for the North Carolina School of Science and Mathematics are presented separately.

The University of North Carolina Capital Improvements Prioritization Process

Repair and Renovation projects are those projects that are less than 2/3 of the anticipated annual allocation from the Reserve for Repairs and Renovations. Any project that is estimated to cost in excess of 2/3 of the anticipated annual allocation are "major renovations" and incorporated into Line 2, the 1997-99 University-wide Facilities Plan.

The University of North Carolina Capital Improvements Prioritization Process

Within the 1997-99 University-wide Facilities Plan, the Board of Governors has established "Program Priority Categories" and prioritized the categories. The categories are as follows:

- (1) Current Capacity
- (2) Future Capacity
- (3) Technology
- (4) Program Quality
- (5) Special Purpose
- (6) Support Facilities
- (7) Land Acquisition

Within each category, projects are listed in institutional order.

The University of North Carolina Capital Improvements Prioritization Process

The Board of Governors created a secondary set of "other criteria" to further indicate characteristics of projects. This matrix of information is designed to provide further information of interest to the Board and to the General Assembly.

- Is the project a major repair or renovation or a new facility?
- Does the project re-use an existing facility?
- Is the project a renovation in lieu of new construction?
- Does the project complete a partially built facility?
- Does the project achieve material operating savings?
- Does the project benefit a significant portion of the population?
- Does the project reward good planning and management?
- Does the project address an urgent need, e.g., code compliance?
- What other funding is available for this project?

The University of North Carolina Capital Improvements Prioritization Process

The "matrix" will be enhanced significantly in the next biennial presentation when the Board incorporates " space standards" which are currently under development. Each proposed project will be measured against the standards adopted by the Board.

The University of North Carolina Capital Improvements Prioritization Process

Institution/Project	Cost Estimate	Supplemental Information								Previous Appropriations, Other Funding
		Major Repair/ Renovation Project	Re-Use of an Existing Facility	Renovation In Lieu of New Construction	Completes a Partially Built Facility	Achieves Material Operating Savings	Benefits a Significant % of the Population	Rewards Good Planning & Management	"Urgent" Need, Code Compliance	
Appalachian State University										
Renovation of Rankin Science Building	\$ 6,666,000	XXX	XXX				XXX	XXX		
Addition and Renovation to Belk Library	10,629,400	XXX					XXX			
East Carolina University, Academic Affairs										
Science Laboratories and Technology Building	47,997,600						XXX	XXX	XXX	\$ 1,000,000
East Carolina University, Health Affairs										
Health Sciences Library Expansion	6,106,600						XXX	XXX		
Elizabeth City State University										
G. R. Little Library Addition and Renovation	10,723,600	XXX					XXX			
Addition to the Academic Computing Center	3,557,600						XXX	XXX		150,000
Fayetteville State University										
Fine Arts and General Classroom Facility	15,524,400						XXX	XXX		750,000
North Carolina Central University										
Lee Biology Building Renovation	1,359,200	XXX		XXX			XXX	XXX	XXX	
Repairs to Five Academic Buildings	10,515,000	XXX		XXX			XXX		XXX	
Robinson Science Building	946,000	XXX		XXX			XXX		XXX	
North Carolina School of the Arts										
Basic Educational Complex	6,133,400						XXX	XXX		
Filmmaking Office/Classroom Post Production Complex	2,000,000				XXX					
North Carolina State University										
Nelson Hall Renovation - Phase II	6,264,900	XXX	XXX	XXX		XXX	XXX	XXX	XXX	1,350,000
Toxicology Building	14,566,700					XXX		XXX	XXX	
College of Veterinary Medicine, Main Building Addition	24,262,000						XXX	XXX		
David Clark Laboratory Renovation and Addition	9,990,800	XXX	XXX	XXX		XXX	XXX	XXX	XXX	
UNC-Asheville										
Asheville Graduate Center, Phase II	792,700				XXX		XXX			
Highsmith Center Renovation and Addition	8,782,000	XXX	XXX				XXX	XXX		
UNC-Chapel Hill, Academic Affairs										
R. B. House Library Renovations	6,629,400	XXX	XXX	XXX			XXX	XXX		
Student Services Building	18,857,500				XXX		XXX	XXX		

The University of North Carolina Capital Improvements Prioritization Process

In preparing the 1997-99 Budget Request, the Board required input to ensure the linkage of capital planning, academic planning, and capital budgeting.

Campus submissions met guidelines promulgated by General Administration, including project estimates prepared by the Office of State Construction.

Within UNC-General Administration, the capital budget has been prepared by the President and the Vice Presidents for Finance, Academic Affairs, Planning, and Student Affairs.

This group was assisted by a campus advisory committee.

The University of North Carolina Capital Improvements Prioritization Process

Given competing demands for limited resources, the Board of Governors determined that it was inappropriate to ask the General Assembly to fund projects that can be funded by each institution on a "self-liquidating" basis.

The Board has provided two additional schedules as "supplementary information" for use by the General Assembly:

- (1) A schedule of institutional priorities
- (2) A schedule of projects with funding for advanced planning or partial construction

The University of North Carolina Repairs and Renovations

- In 1993, the General Assembly established the Reserve for Repairs and Renovations. The amount that is set aside in the Reserve on an annual basis is the greater of (1) one-fourth of any unreserved credit balance, as determined on a cash basis, remaining in the General Fund and (2) three percent of the replacement value of all State buildings supported from the General Fund.

Since the establishment of the Reserve for Repairs and Renovations, the allocations to the University have been as follows:

1993	\$31,350,000	1995	\$57,500,000
1994	\$33,000,000	1996	\$59,800,000

- In 1993, the Board of Governors allocated the Repair and Renovation funds to the constituent institutions based on each institution's relative enrollment to the total University enrollment. The Board decided that an improved method of allocating the funds was needed.
- The Board of Governors contracted with Eva Klein and Associates. Ms. Klein was asked to recommend a method for allocating the Repair and Renovation funds. The Board adopted Ms. Klein's recommendations in November, 1994.

The University of North Carolina Criteria for a Repair and Renovation Allocation Formula

- ***Comprehensiveness*** - the model should include multiple variables to represent the varying characteristics of institutions and the conditions of buildings and infrastructure.
- ***Equity*** - the distribution of repair and renovation funds should be accomplished with a reasonable degree of equity.
- ***Applicability*** - the formula should be applicable regardless of the level of funds allocated for Repairs and Renovations.
- ***Needs Driven*** - the distribution of funds should contribute to correcting facility deficiencies in some proportion to the extent of those deficiencies.
- ***Balance of Objectivity with Subjectivity*** - the formula should minimize subjectivity yet allow some degree of administrative flexibility.
- ***Viability of Data*** - information used should be reliable and available on an annually updated basis.
- ***Relativity*** - since no institution would receive sufficient funds to cover its needs, the formula should provide for each institution's relative share of the system-wide Repair and Renovation needs.

The University of North Carolina Repairs and Renovations

The Board of Governors adopted a multivariate formula for allocating Repair and Renovation funds. The formula reflects:

- **The Nature of the Institution - 40%**

Mission - 20%

- (1) Net Assignable Square Footage of Laboratory Space
- (2) Number of Degree Programs

Size - 20%

- (3) Net Assignable Square Footage of Space
- (4) Gross Square Footage of Space
- (5) Campus Population (students, faculty, staff)

- **The Condition of Facilities at each Institution - 60%**

- (6) Current Replacement Value
- (7) Facilities Condition Assessment Program (FCAP) Deficiencies

The University of North Carolina - Allocation Model, 1996 Reserve for Repairs and Renovations

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
	MISSION			SIZE				CONDITION			WEIGHTED FORMULA Combined		
	NASF Lab Space	# of Degree Programs	% Share @ 20%	NASF	GSF	Campus Population	% Share @ 20%	CFM	FCAP Deficiencies	% Share @ 60%	% Share	Adjusted for Floor of 2.25%	\$ Share
Research Universities I													
NCSU	30.6324856%	15.3910150%	4.6023501%	22.9122561%	22,044,7726%	16,671,1311%	4.1085440%	18,534,5974%	19,512,6989%	11,414,1889%	20,125,0600%	19,367,6628%	11,111,983
UNC-Chapel Hill	21.5690043%	17.5540765%	3.9123081%	22.5380934%	23,898,7307%	19,383,2948%	4.3880079%	35,324,8806%	26,081,2703%	18,421,8453%	26,722,1613%	25,716,4559%	14,754,534
Doctoral Universities I													
UNC-Greensboro	4.6655146%	9.4841930%	1.4149708%	5.6597905%	6,084,1102%	7,524,6560%	1.2845704%	4,822,9765%	5,720,2762%	3,162,9758%	5,862,5170%	5,641,8775%	3,236,965
Master's Comprehensive Universities and Colleges I													
Appalachian	4.0005823%	7.3211314%	1.1321714%	5.9604699%	5,760,3130%	7,762,0945%	1.2998578%	4,815,2665%	6,625,1805%	3,432,1341%	5,863,1633%	5,642,4995%	3,237,322
East Carolina	10.3123754%	9.2346090%	1.9546984%	8.9639822%	8,750,1867%	11,622,4279%	1.9571065%	7,333,9751%	9,618,6318%	5,085,7821%	8,997,5870%	8,658,9571%	4,967,981
Fayetteville	1.4670946%	3.3277870%	0.4794882%	2.4743928%	2,480,9442%	2,367,5890%	0.4881950%	2,177,1299%	1,553,6259%	1,119,1967%	2,086,799%	2,250,0000%	1,290,913
NC A&T	6.2606736%	6.2396007%	1.2500274%	5.6586433%	5,351,5576%	5,092,5362%	1.0735158%	4,441,7562%	7,439,0827%	3,564,2516%	5,887,7949%	5,666,2040%	3,250,922
NC Central	2.1894508%	4.2429285%	0.6432379%	3.5076947%	3,530,6115%	3,439,6698%	0.6985317%	2,882,6371%	7,200,0807%	3,024,8153%	4,966,5849%	4,202,458%	2,410,992
Pembroke	0.9607110%	2.7454243%	0.3706135%	1.9174587%	1,868,0186%	1,782,5399%	0.3712011%	1,602,4474%	1,652,0284%	0,976,3427%	1,718,1574%	2,250,0000%	1,290,913
UNC-Charlotte	5.9550028%	6.8219634%	1.2777566%	4.6319982%	4,659,476%	8,975,4048%	1.2178100%	4,663,0713%	1,225,8729%	1,766,6833%	4,262,2500%	4,101,8375%	2,363,384
UNC-Wilmington	2.0381940%	4.4925125%	0.6530706%	3.1142245%	3,099,2070%	5,275,1735%	0.7659070%	2,654,2370%	2,194,3168%	1,454,5661%	2,873,5438%	2,766,3961%	1,586,616
Western Carolina	2.6976320%	6.4891847%	0.9186817%	5.0776497%	5,028,1961%	4,262,7542%	0.9579067%	4,207,1977%	5,944,5430%	3,045,5222%	4,922,1106%	4,736,638%	2,717,724
Baccalaureate Colleges I													
UNC-Asheville	1.5956907%	2.1630616%	0.3758752%	1.8441912%	1,837,4832%	1,945,0871%	0.3751174%	1,578,7601%	1,250,7123%	0,848,8417%	1,599,344%	2,250,0000%	1,290,913
Baccalaureate Colleges II													
Elizabeth City	1.6905606%	1.8302829%	0.3510943%	1.8101596%	1,719,3746%	1,392,9137%	0.3281632%	1,553,8157%	1,071,2017%	0,787,5052%	1,466,7528%	2,250,0000%	1,290,913
Winston-Salem	1.1030301%	2.1630616%	0.3266092%	2.2699195%	2,262,6132%	1,766,8014%	0.4212889%	1,790,981%	2,320,8130%	1,232,733%	1,981,1714%	2,250,0000%	1,290,913
Art													
NC School of the Arts	2.8713976%	0.4991681%	0.3370565%	1.6390758%	1,624,1330%	0,715,9381%	0.2652765%	1,617,1535%	0,599,7649%	0,662,0755%	1,264,4086%	2,250,0000%	1,290,913
TOTAL	100.0000000%	100.0000000%	20.0000000%	100.0000000%	100.0000000%	100.0000000%	20.0000000%	100.0000000%	100.0000000%	60.0000000%	100.0000000%	100.0000000%	57,373,900
NCSU	1,209,853	185		4,354,008	6,373,295	27,384		543,516,461	60,252,100				
UNC-Chapel Hill	851,884	211		4,282,906	6,909,287	31,839		1,035,881,904	80,534,800				
UNC-Greensboro	184,268	114		1,075,528	1,758,958	12,360		141,431,024	17,663,300				
Appalachian	158,006	88		1,132,666	1,665,346	12,750		141,204,934	20,457,500		89.8827956%		
East Carolina	407,295	111		1,707,223	2,529,739	19,091		215,064,621	29,700,800				
Fayetteville	57,944	40		470,208	717,258	3,889		63,843,089	4,797,040				
NC A&T	247,270	75		1,075,310	1,547,172	8,365		130,251,957	22,970,700				
NC Central	86,474	51		666,566	1,020,724	5,650		84,531,683	22,232,700				
Pembroke	37,944	38		364,374	540,057	2,928		46,990,853	5,101,200				
UNC-Charlotte	235,221	82		880,217	1,347,165	14,743		136,741,898	3,785,300				
UNC-Wilmington	80,500	54		591,795	896,002	8,665		77,833,980	6,775,700				
Western Carolina	106,545	78		964,904	1,453,686	7,002		123,373,665	18,355,800				
UNC-Asheville	63,023	26		350,451	531,229	3,195		46,296,235	3,862,000				
Elizabeth City	66,375	22		343,984	497,083	2,288		45,564,756	3,307,700				
Winston-Salem	43,555	26		431,352	654,137	2,935		52,493,602	7,166,300				
NC School of the Arts	113,408	6		311,473	469,548	1,176		47,422,101	1,821,100				
TOTAL	3,949,575	1,202		19,002,965	28,910,686	164,260		2,932,442,763	308,784,040				

Calculation for Floor:

Previously campuses above floor were allocated 89.8827956%. After a 2.25% floor, the campuses received 86.5%; therefore, the previous factor must be multiplied by 86.5/89.8827956 = 96.236437042%.

- SUMMARY -

1997-99 BUDGET REQUEST - Board of Governors of The University of North Carolina

UNIVERSITY OPERATIONS (Continued)

SCHEDULE OF PRIORITIES

Line	Budget Request for General Fund Appropriations for <u>Current Operations</u>	1997-98	1998-99
1.	Enrollment Changes	\$ (1,895,403)	\$ 3,744,391
2.	Funding Equity	21,000,000	21,000,000
3.	Information Technology - Computing and Telecommunications	19,311,078	19,583,866
4.	Libraries	12,803,652	11,782,276
5.	Rewarding Teaching Excellence	6,322,760	12,781,584
6.	University Outreach to the Public Schools	5,466,101	5,978,301
7.	New Degree Programs	3,000,000	3,000,000
8.	Graduate Education and Research	9,103,699	9,103,699
9.	Area Health Education Centers	4,000,000	4,000,000
10.	Administrative Support for New Processes	906,795	915,466
11.	Remove 2% Reversion Requirement	no appropriation	no appropriation
12.	Distinguished Professors Endowment Trust Fund - State Matching Funds	2,000,000	2,000,000
13.	Interinstitutional Programs	1,981,875	2,100,201
	TOTAL	84,000,557	95,989,784

Parentheses denote a reduction.

Line	Budget Request for General Fund Appropriations for <u>Capital Improvements</u>	1997-99
1.	Repairs and Renovations	\$ 130,000,000
2.	Fire Safety Improvements, Student Residence Halls	69,734,400
3.	1997 University-wide Facilities Plan	642,894,800
	TOTAL	842,629,200

– SUMMARY –

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

UNIVERSITY OPERATIONS (Continued)

Legislative approval is requested to Remove the 2% Required Reversion on Line 11 of the Schedule. Action taken in the 1995 Regular Session reduced the required reversion rate for Special Responsibility Constituent Institutions to a uniform 2% rate. Elimination of the remaining required reversion would increase spending availability by approximately \$25 million annually without increases in appropriated dollars. However, at the end of the fiscal year, reversions to the General Fund would be reduced by the same amount. (Document reference pp. 162 – 165)

Additional State Matching Funds for the Distinguished Professors Endowment Trust Fund are requested on Line 12 (\$2 million each year). Under this program instituted in 1985 by special legislation, every \$2 in private funds raised by the constituent institutions are matched with \$1 of state funds to establish endowments of \$500 thousand and \$1 million in order to provide funds to attract distinguished professors to the faculties. (Document reference pp. 166 – 167)

Funding for special Interinstitutional Programs involving two or more constituent institutions or educational sectors is requested on Line 13 (\$2 million in 1997-98 and \$2.1 million in 1998-99). These inter-institutional programs are diverse and include the Water Resources Research Institute, the Sea Grant Program, the North Carolina Health Careers Access Program, the North Carolina Center for Nursing, the University Council on International Programs, the Summer Institute of the North Carolina School of the Arts on Roanoke Island, and the North Carolina Scholastic Media Association Program. This request also seeks funds for the North Carolina Arboretum, the UNC Press, the State Education Assistance Authority, and for additional support of the Board's doctoral study assignment program. (Document reference pp. 168 – 174)

The second part of the **Schedule of Priorities** presents the capital improvements requests of the Board of Governors for the 16 constituent institutions of the University and the UNC Center for Public Television and Agricultural Programs. The request for the biennium is \$842.6 million.

Line 1, Repairs and Renovations, identifies the high priority needs for general repairs and renovations; utilities repairs and improvements; roads, walks, and drives; occupational safety and health act projects; automated energy management systems; and projects to meet requirements of the Americans with Disabilities Act. The Line presents institutional five-year plans for repairs

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

UNIVERSITY OPERATIONS (Continued)

and renovations that total \$329,427,900 and requests \$130 million for repair and renovation projects for the biennium. (Document reference pp. 176 – 195)

Line 2, Fire Safety Improvements, Student Residence Halls, presents a request, based on a comprehensive survey at each constituent institution, for the installation of centrally monitored smoke detection and alarm systems and sprinkler systems in all student residence facilities that are not so equipped. In 1996, the General Assembly directed the Board of Governors to survey student residence facilities and to include a request for unfunded fire safety needs within the *1997-99 Budget Request*. In accordance with House Bill 53, Sec. 16.4(c), this Line seeks \$69.7 million to address fire safety needs in all student residence facilities. (Document reference pp. 196 – 204)

Line 3, 1997 University-Wide Facilities Plan, is a comprehensive, \$642.9 million plan for new buildings, major renovations and extensive remodeling of existing buildings, technology infrastructure, and land acquisition. For the first time, the projects within the Plan are categorized, and the categories are prioritized to provide explicit information on the priorities of the Board of Governors. The highest priority category of the Board is that which provides for new facilities or major renovations to existing facilities to meet the needs of students currently enrolled in the University. The second highest priority category addresses facilities which would accommodate enrollment growth. The remaining five categories request funds for technology infrastructure, projects for facilities to improve program quality, projects for facilities to serve special purposes, projects for support facilities, and land acquisition. (Document reference pp. 205 – 255)

While the projects represent current needs, it is recognized that it is not likely that all of this Plan can be funded in a single Session of the General Assembly. The Board therefore commends the approach that was followed in response to the presentation of similar University-wide Facilities Plans in previous biennia. In those instances, successive Sessions funded some projects for construction, others for partial or phased construction and others for advanced planning. This permitted a methodical program for completion of all projects, with the responsibility for the timing and sequence appropriately shared by the Board, the Governor and the Advisory Budget Commission, and the General Assembly. A complete list of the projects in the 1997 University-wide Facilities Plan is shown below:

– SUMMARY –

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

UNIVERSITY OPERATIONS (Continued)

Category: Current Capacity

Appalachian State University

Renovation of Rankin Science Building	\$ 6,666,000
Addition and Renovation to Belk Library	10,629,400

East Carolina University

Science Laboratories and Technology Building	47,997,600
Health Sciences Library Expansion	6,106,600

Elizabeth City State University

G. R. Little Library Addition and Renovation	10,723,600
Addition to the Academic Computer Center	3,557,600

Fayetteville State University

Fine Arts and General Classroom Facility	15,524,400

North Carolina Central University

Lee Biology Building Renovation	1,359,200
Repairs to Five Academic Buildings	10,515,000
Robinson Science Building	946,000

North Carolina School of the Arts

Basic Education Complex	6,133,400
Filmmaking Office/Classroom Post Production Complex	2,000,000

North Carolina State University

Nelson Hall Renovation - Phase II	6,914,900
Toxicology Building	14,566,700
College of Veterinary Medicine, Main Building Addition	24,262,000
David-Clark Laboratory Renovation and Addition	9,990,800

- SUMMARY -

1997-99 BUDGET REQUEST - Board of Governors of The University of North Carolina

UNIVERSITY OPERATIONS (Continued)

Category: Current Capacity (Continued)

The University of North Carolina at Asheville

Asheville Graduate Center - Phase II	\$ 792,700
Highsmith Center Renovation and Addition	8,782,000

The University of North Carolina at Chapel Hill, Academic Affairs

R. B. House Library	6,629,400
Student Services Building	18,857,500

The University of North Carolina at Chapel Hill, Health Affairs

Addition to Beard Hall - School of Pharmacy	8,824,600
Medical Biomolecular Research Building	29,493,800

The University of North Carolina at Charlotte

Academic Facilities - Humanities	20,597,300
Academic Facilities - Sciences	32,371,700

The University of North Carolina at Greensboro

North Campus Infrastructure Development	5,681,600

The University of North Carolina at Wilmington

General Classroom Building	8,465,500
School of Education Building	16,656,100
Fine Arts Building	14,041,400

Western Carolina University

Fine and Performing Arts Center	25,422,100
Renovate Camp Lab School - Phase II	2,226,600

Winston-Salem State University

Addition and Renovation to the F. L. Atkins Building	5,198,500
Computer Science Facility	6,316,000

– SUMMARY –

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

UNIVERSITY OPERATIONS (Continued)

Category: Future Capacity

Appalachian State University

Science/Mathematics Complex, Phase II \$27,495,500

East Carolina University

Third Floor Addition to the Home Economics/Nursing Building 6,700,700

Category: Technology

Infrastructure for all Sixteen Institutions 32,340,600

Category: Program Quality

North Carolina A & T State University

General Classroom and Laboratory Building Complex #1 31,526,200

North Carolina Central University

B. N. Duke Auditorium, Renovations and Addition 2,962,500

North Carolina State University

Undergraduate Science Teaching Laboratories - Phase I 21,228,900

Riddick, Mann, Daniels, and Burlington Hall Renovations 8,644,100

The University of North Carolina at Chapel Hill

Hill Hall Music Library 7,170,900

The University of North Carolina at Greensboro

Science Instructional Building 37,099,400

The University of North Carolina at Pembroke

Regional Center for Economic, Professional, and Community
Development 6,157,600

– SUMMARY –

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

UNIVERSITY OPERATIONS (Continued)

Category: Special Purpose

North Carolina State University
Research and Teaching Feed Mill

\$ 2,604,400

The University of North Carolina at Asheville
Conference Center (partial)

3,000,000

The North Carolina Arboretum

10,681,000

The University of North Carolina at Chapel Hill
Addition and Renovation of the Knapp Building - Institute of
Government

16,102,700

The University of North Carolina - Center for Public Television
Replacement and Upgrade of WUND-TV, Channel 2, Columbia
Transmitter, Tower and Ancillary Equipment
Replacement and Upgrade of WUNK-TV, Channel 25, Greenville
Transmitter and Ancillary Equipment
Mobile Satellite Uplink
Replace and Upgrade Studio Production Equipment

7,144,500

2,372,000

743,700

3,747,200

Category: Support Facilities

North Carolina Central University
New Admissions Building

5,943,400

The University of North Carolina at Pembroke
New Residence Hall

5,979,500

Category: Land Acquisition

15,000,000

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

CAPITAL IMPROVEMENTS REQUESTS

Line	Schedule of Priorities Request for General Fund Appropriations for <u>Capital Improvements</u>	1997-99
1.	Repairs and Renovations	\$ 130,000,000
2.	Fire Safety Improvements, Student Residence Halls	69,734,400
3.	1997 University-Wide Facilities Plan	642,894,800
Total		842,629,200

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Schedule of Priorities – Capital Improvements

Line 1: Repairs and Renovations – \$130,000,000

In 1993, the General Assembly established a state-wide Reserve for Repairs and Renovations to address the deferred maintenance needs of General Fund supported facilities. General Statute 143-15.3A(a) states that "the State Controller shall reserve to the Repairs and Renovations Reserve Account three percent (3%) of the replacement value of all State buildings supported from the General Fund, at the end of each fiscal year." For the 1995-97 biennium, 46 percent of the amount reserved by the State Controller was allocated to the Board of Governors of the University of North Carolina – totals of \$57.5 million for 1995-96 and \$59.8 million for 1996-97. The repair and renovation funds were then allocated by the Board of Governors to the sixteen constituent institutions in accordance with the Board's Funding Allocation Model developed specifically for this purpose. Other organizations for which the Board has responsibility, e.g., the University of North Carolina Center for Public Television and the North Carolina School of Science and Mathematics, were allocated funds for high priority projects prior to applying the Board's formula to determine institutional allocations.

The Reserve for Repairs and Renovations has provided the University with the ability to begin to address the significant deferred maintenance needs of the institutions. The Board of Governors wishes to express its gratitude to the General Assembly for recognizing that significant expenditures must be made to repair and replace roofs, address fire safety needs, improve classroom facilities, address

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

deteriorating infrastructure, meet federal and State standards and meet the requirements of the Americans with Disabilities Act. Consistent with the requirement of the statutes, none of the repair and renovation funding has been used to increase the footprint of any facility; rather, each dollar has been spent to protect and improve upon the significant investment that the State has made in the facilities that support the University of North Carolina.

The statutes governing the Reserve for Repairs and Renovations define categories of projects that may be funded from the Reserve. Each project that the University has funded, and each that is now requested, fits within one of the categories listed below.

1. Roof repairs and replacements
2. Structural repairs
3. Repairs and renovations to meet federal and state standards
4. Repairs to electrical, plumbing, and heating, ventilating, and air-conditioning systems
5. Improvements to meet the requirements of the Americans with Disabilities Act, 42 U.S.C. § 12101 et seq., as amended
6. Improvements to meet fire safety needs
7. Improvements to existing facilities for energy efficiency
8. Improvements to remove asbestos, lead paint, and other contaminants, including the removal and replacement of underground storage tanks
9. Improvements and renovations to improve use of existing space
10. Historical restoration
11. Improvements to roads, walks, drives, and utilities infrastructure
12. Drainage and landscape improvements

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

The list that follows, which totals \$329,427,900, represents the portion of system-wide repair and renovation needs that institutions propose to fund from the Reserve for Repairs and Renovations over the next five years. The specific projects will be revised as buildings and infrastructure deteriorate and lower priority projects move to the top of the list. The amount requested, \$130,000,000, represents anticipated funding for the biennium assuming that the current level of funding prescribed by the statutes continues. The requested amount further assumes that the University's allocation from the state-wide Reserve will increase from 46 percent to 50 percent. Any additional funds provided to the University would allow for the acceleration of institutional repair and renovation plans.

As illustrated in the chart on the following page, all of the projects identified in the five-year institutional plans fit within the statutory categories. The category in need of the largest amount of funding is the one that includes utilities infrastructure. For example, 50 percent of the request for the University of North Carolina at Greensboro, an older campus with significant infrastructural problems, fits within this category. The second greatest category of need is the one that addresses problems with electrical, plumbing, heating, ventilating, and air conditioning systems. Twenty percent of all projects fall within this category. Next, the category for improving the usage of existing space represents 15 percent of the request as institutions strive to meet current standards for classroom and

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

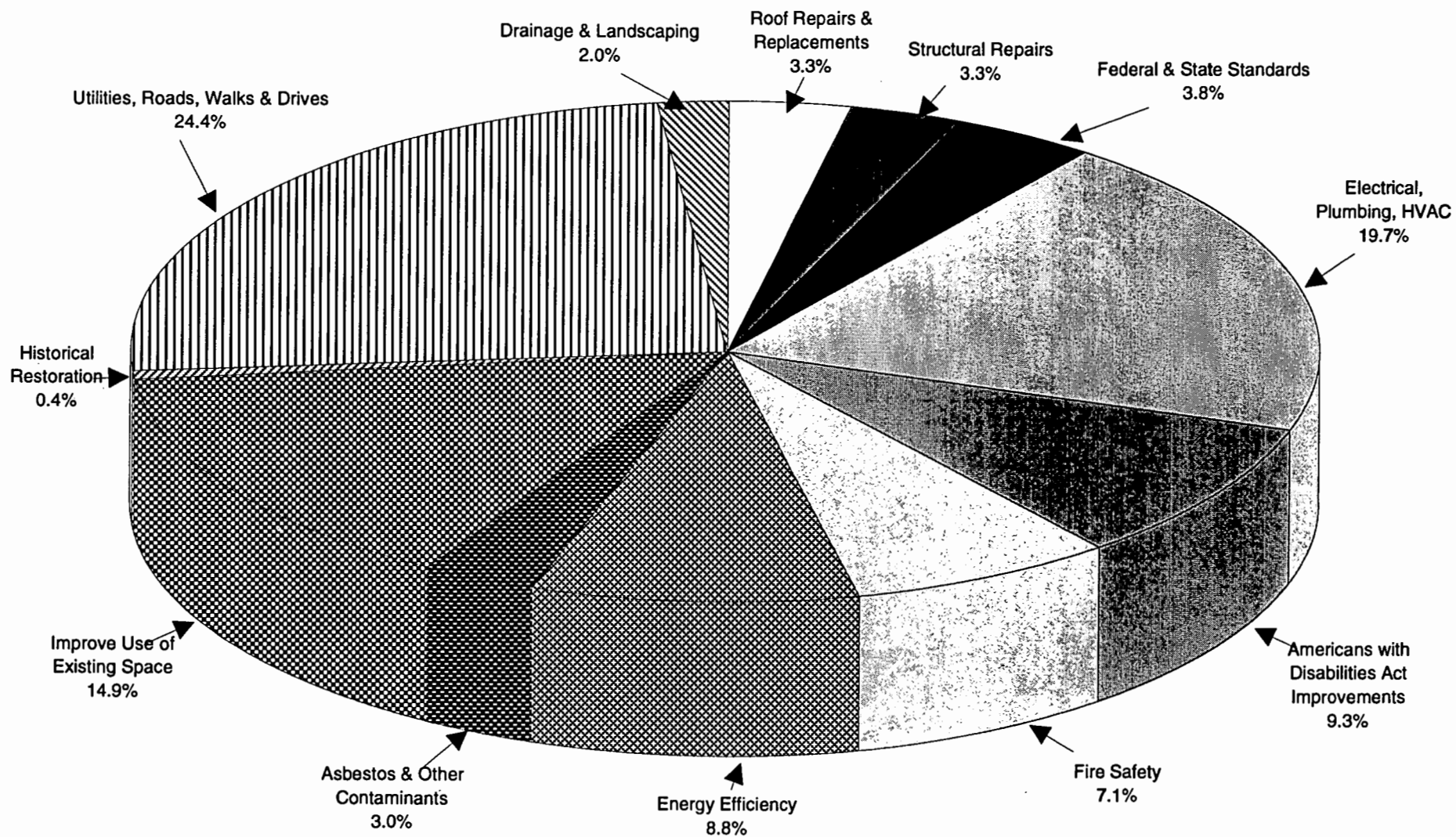
Repairs and Renovations (Continued)

laboratory facilities. The remaining 40 percent of the request covers the remaining nine statutorily defined categories.

It is significant to note that 51 percent of the projects identified, or a total of \$168 million, directly address deficiencies documented by the Facilities Condition Assessment Program conducted by the Office of State Construction.

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

REPAIRS AND RENOVATIONS REQUESTS BY CATEGORY OF PROJECT



1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

The projects to be addressed by each institution over the next five years are listed below. The estimated amount for several of the projects is more than the amount that an institution could reasonably anticipate to be allocated on an annual basis, and institutional plans thus call for these projects to be phased-in over time. The cost of each project has been estimated by the Office of State Construction, Department of Administration.

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

APPALACHIAN STATE UNIVERSITY

Roof Repairs and Replacements - Five Academic Buildings	\$ 677,500
Window/Door Replacements for Seven Buildings, Waterproofing Eight Bldgs.	1,563,500
Improvements to Roads, Walks, Drives, and Utilities	1,422,200
Improvements to Meet Requirements of Americans with Disabilities Act	2,720,800
Improvements to Meet Fire and Life Safety Needs in Academic Buildings	2,489,900
Removal of Asbestos, Lead Based Paint, and Other Contaminants	1,917,600
Renovations to Improve Use of Existing Space	6,298,000
Repairs to Air Conditioning Systems	714,400
Drainage and Landscape Improvements	723,400
Total - Appalachian State University	18,527,300

EAST CAROLINA UNIVERSITY

Renovations to Twelve Academic Buildings	7,327,600
Renovations to Christenbury Gymnasium	5,813,700
Improvements Recommended by Department of Insurance-Academic Bldgs.	4,746,800
Academic Elevators Upgrade	4,207,100
Window Replacements - Seventeen Academic Buildings	3,802,400
Electrical Distribution Upgrade	3,744,900
Upgrade Academic Classrooms and Learning Laboratories	3,636,400
East Campus Irrigation System	2,880,000
Roof Replacements in Academic Facilities	1,831,200
Steam Plant Modifications	1,966,400
Total - East Carolina University	39,956,500

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

ELIZABETH CITY STATE UNIVERSITY

Architectural Barrier Removal Projects - Nine Campus Buildings	\$ 172,100
Elevator Installations - Lester and Williams Halls	356,000
Automated Energy Management System	681,400
G. R. Little Library Sprinkler System	515,600
Improvements to Roads and Walks	477,200
Repairs and Renovations to Eleven Campus Buildings	1,149,100
Structural Repairs to Lane Hall	165,900
Improvements to Four Campus Buildings for Energy Efficiency (Lighting)	253,600
Upgrade Campus Utilities	642,100
Roof Replacement to Dixon Hall	192,800
Roof Replacement to Thomas/Jenkins Facilities Management Building	125,500
Resurfacing Six Tennis Courts	76,300
Total - Elizabeth City State University	4,807,600

FAYETTEVILLE STATE UNIVERSITY

Renovations to the Cook Building	430,000
Renovations to Seabrook Auditorium	488,500
Renovations to the Taylor Science Building	694,600
Renovations to the Taylor Science Annex	478,900
Renovations to the G. L. Butler Building	417,700
Campus Landscaping West Campus	355,200
Renovations to the Lyons Science Building	733,300
Renovations to the Telecommunications Center	156,000
Renovations to Spaulding Infirmary	186,600
Automated Energy Management System	364,900
Floor Tile Replacement, Vance and Bryant Halls	752,400
Carpet Replacement School of Business and Economics	156,300
Mechanical System Upgrade, Harris Hall	189,500

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

FAYETTEVILLE STATE UNIVERSITY (Continued)

Campus Landscaping East Campus	\$ 355,200
Repairs to Connector, Bryant Hall	100,700
Total - Fayetteville State University	5,859,800

NORTH CAROLINA A & T STATE UNIVERSITY

Roof Replacements and Repairs	1,115,300
T. E. Neal Boiler Replacement - Phase II	3,060,800
Barnes Hall Renovations	3,407,500
Benbow Hall Repairs	1,863,400
General Building Repairs - Twelve Academic Buildings	5,089,000
Cherry Hall Renovations	4,141,300
Campus Utilities Improvements	3,387,200
Total - North Carolina A&T State University	22,064,500

NORTH CAROLINA CENTRAL UNIVERSITY

Campus Safety Improvements	2,270,900
Repairs to the Underground Steam Distribution Lines	8,889,500
Removal of Underground Storage Tanks	48,900
Emergency Power Generator System	69,400
Chemistry Building Renovations	550,400
Old Health Building Electrical Upgrade	172,800
Safety Improvements to Physical Plant	762,900
Improvements to Turner Law School Book Storage	163,500
Improvements to Administration Offices - College of Arts and Sciences	459,900
Energy Conservation Improvements	1,220,100
Total - North Carolina Central University	14,608,300

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

NORTH CAROLINA SCHOOL OF THE ARTS

Fire Detection and Sprinkler Systems in Academic Buildings	\$ 942,400
Roof Repairs and Exterior Waterproofing - Four Buildings	361,100
Replace HVAC System in the Chancellor's Residence	34,500
Replace Flooring and Acoustical Retrofit - Gray Building	54,200
Repairs to Campus Roadways and Parking Lots	173,800
Improvements to Costume Shops - Buildings 14 & 15	749,000
Repairs and Renovations to Crawford Hall and Recital Hall	476,100
Renovations to the Stevens Center	1,589,300
Repairs to High Voltage Electrical Service	103,300
HVAC Chiller Replacement in Workplace Building	122,500
Renovations to DeMille Theatre	224,700
Reroofing and Window Replacements in Moore and Sanford Residence Halls	304,900
Masonry Repairs	55,200
Total - North Carolina School of the Arts	5,191,000

NORTH CAROLINA STATE UNIVERSITY

Gardner Hall - Replace Drain System - Phase II	616,800
Fire Suppression Upgrade - Phase II (4 Buildings)	456,900
Campus Automated Energy System Installations and Upgrades - Phase I	653,700
Yarbrough Steam Plant Asbestos Abatement/Reinsulation - Phase I	2,075,200
Campus Masonry, Concrete and Waterproofing Repairs (16 Buildings)	804,100
Renovations to Cox Hall	2,935,300
Lake Wheeler Road Poultry Building Repairs - Phase I	177,000
CVM - Secondary Electrical Service Upgrade	572,500
Fire Detection Upgrade - Phase III (21 Buildings)	1,142,300
Campus - EPA "Greenlights" Lighting Upgrade - Phase I	415,100
Rocky Branch Restoration/Erosion Control - Phase III	417,800
Primary High Voltage Electrical Distribution - Phase IV	386,900

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

NORTH CAROLINA STATE UNIVERSITY (Continued)

Lake Wheeler Road Dairy Building Repairs - Phase I	\$ 87,000
Renovations to Academic Classroom Facilities	929,500
Roof Repair - Phase III (6 Buildings)	748,000
Extension of NE Campus Chilled Water Loop - Phase III	1,783,100
Chilled Water Line Replacement - CVM Finger Barns	484,800
Secondary Electrical Renovations - Phase VII (7 Academic Buildings)	1,208,300
College of Veterinary Medicine - Floor Replacement	249,700
Pamlico Aquaculture Building Repairs - Phase I	163,000
Energy Studies - Demand Side Management and System Efficiency	150,000
Replacement of Steam Distribution Expansion Joints	57,400
Fire Detection Upgrade - Phase IV (29 Buildings)	1,631,800
Architectural Barrier Removal Projects Throughout Campus	3,658,800
Riddick Labs HVAC Renovation	3,454,400
Repair and Replacement of Cates Steam Plant Pumps and Compressors	62,400
Horticultural Crops Research Station Repairs - Phase I	107,000
Gardner Hall HVAC Renovations	3,501,500
Upgrades to Fume Hoods - Riddick, Burlington, Withers, and Research I	5,767,200
Primary High Voltage Electrical Distribution - Phase V	386,900
Fire Code Upgrade - Emergency Egress Lighting - Phase I	337,100
Fire Partition Rating Upgrade (11 Buildings)	135,700
CVM - New Fire Main	313,400
Cunningham Research Station Repairs - Phase I	88,000
Renovations to the Minerals Research Laboratory	2,170,300
Centennial Campus Infrastructure Improvements	2,308,500
Install Fume Hood Air Flow Monitors	2,247,000
Install New Area Lighting - Phase I	261,100
OSHA Handrails/Egress Renovations Throughout Campus	744,100
Fire Suppression Upgrade - Phase III (5 Buildings)	832,300
Reedy Creek Road Building Repairs - Phase I	108,000
Condensate and Steam Line Upgrades - Phase I	329,000

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

NORTH CAROLINA STATE UNIVERSITY (Continued)

Roof Replacement - Phase IV (9 Buildings)	\$ 778,200
Cooling Tower Replacements - Kamphoefner, Biltmore, Harris, and Gardner	299,600
Branch Circuit Renovations - Gardner Hall - Phase I	374,500
Bragaw Substation Upgrade	1,685,200
Cates Plant Oil Contamination Remediation	237,200
Renovations to Poe Hall	852,500
Central Crops Research Station Reports	129,000
Miscellaneous Plumbing Repairs - Phase I	381,700
Substation Landscaping Improvements	181,000
Campus-Wide Recycling Stations	287,200
Window Replacement - Mycotoxin, Patterson, and Kilgore	386,300
Lake Wheeler Road Poultry Building Repairs - Phase II	125,000
Kilgore Hall HVAC Renovations	951,700
Cox Hall Air Quality and Performance Upgrade	1,145,600
Gardner South - Air Quality and Performance Upgrade	2,219,900
Harrelson Hall - Air Quality and Performance Upgrade	1,296,100
Street Repairs - Phase I	624,400
Pamlico Aquaculture Building Repairs - Phase II	200,000
Campus Entrance Landscape Improvements	763,000
Sullivan Site Steam Line Installation	2,995,900
Primary High Voltage Electrical Distribution - Phase VI	395,200
Sullivan Site Utility Development - Phase I	382,000
Yarbrough Steam Plant Smoke Stack Repairs	64,900
Lake Wheeler Road Dairy Building Repairs - Phase II	212,000
Winston, Caldwell, and Tompkins Chilled Water Controls	417,600
Installation of Energy Management Control Center	184,200
Broughton Hall HVAC Renovations	1,862,300
Total - North Carolina State University	64,391,100

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT ASHEVILLE

Repair and Renovation of Rhoades Science Tower Laboratory	\$ 552,300
HVAC Improvements - Rhoades Science Hall	791,700
Repairs to Lipinsky Hall	513,700
Phillips Hall Window Replacements	188,000
Upgrade Secondary Electrical Distribution System - Two Academic Buildings	376,000
Repairs to Roads, Streets, and Sidewalks	1,018,100
Removal of Underground Storage Tanks	191,500
Upgrade Fire Alarm Systems in Academic Facilities	191,500
HVAC System Improvements - Justice Center	753,400
Phillips Hall Renovations	1,471,100
Asbestos Removal - Campus-wide Mechanical Rooms	127,700
Improvements to Electrical System - Justice Center	178,800
Elevator Installation - Phillips Hall	191,500
Total - UNC-Asheville	6,545,300

THE NORTH CAROLINA ARBORETUM

Improvements to the Utility Corridor	288,700
Fire Safety Improvements	546,500
Roof Replacement - Visitor Education Center	333,500
Total - The North Carolina Arboretum	1,168,700

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL – ACADEMIC AFFAIRS

Hot Water Heating System Replacement (Phase 1 and 2)	\$ 6,087,100
Renovate Carroll Hall for Journalism and Mass Communications	4,370,300
Renovations in Peabody Hall	2,702,800
Infrastructure Improvements, Meadowmont	1,192,400
Install Fire Alarm/Detection Systems in Twenty Buildings	1,343,400
Renovate Murphey Hall	1,727,500
Install Chilled Water A/C in Classroom Buildings (Advance Planning)	600,000
Upgrade Energy Management and Control System	3,381,900
Renovations - Institute of Marine Sciences	1,658,000
Architectural Barrier Removal Projects	5,112,400
Replace/Repair Roofs on Ten Buildings	973,200
Waterproof Foundation of Alumni Building	153,200
Waterproof Foundation of Bynum Hall	127,700
Hill Hall - Refurbish Auditorium and Replace Seating	1,052,800
PlayMakers Theatre - Air Condition and Replace Seating	873,400
Extend Chilled Water System to Davie, Alumni and Morehead	638,400
Install 18-Inch Steam Line, Venable Hall to Dey Hall	296,400
Exhaust Fan Replacement - Venable Hall	420,100
Repairs to Bowman Gray Pool	157,000
Water Line Renovation, Wilson Hall	87,600
Storm Drainage System Repair (Advance Planning)	250,000
Upgrade Electric Service, Hill Hall	150,800
Repairs to Sidewalks	358,300
Repairs to Physical Education Facilities	860,800
Replace Broadcast Antenna System, WUNC	687,600
Roof Repairs and Waterproofing, Smith Center	300,900
Total - UNC-Chapel Hill - Academic Affairs	35,564,000

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL – HEALTH AFFAIRS

Replace Boiler #5	\$ 3,475,900
Renovation of Medical Science Research Building	5,390,800
Renovations to Old Dental Building	5,458,700
HVAC Renovations, Rosenau Hall	3,735,600
Renovations, Beard Hall First Floor	96,500
Replace Emergency Generator, Molecular Biology/Glaxo Building	193,300
Reconstruct Playground, Frank Porter Graham Center	100,600
Architectural Barrier Removal Projects	3,778,600
Renovate Miller Hall	768,900
Recaulk Exterior, Brinkhous-Bullitt Building	126,500
South Chiller Plant Expansion - Phase II	4,467,500
Improvements to Steam Service for Central Research & Burnett Womack Bldgs.	1,021,500
Replace Generator, Taylor Hall	456,700
Steam Line Improvements, Carolina Inn to Beard Hall	766,100
Replace Roofs - Three Buildings	505,800
Health Sciences Library Renovation (Advance Planning)	200,000
Repairs to Roads and Sidewalks	340,500
Steam Absorption Chiller, Wilson-Coker-Mitchell Plant (Advance Planning)	250,000
Renovate Design and Education Support Center - School of Nursing	158,100
Renovate Orthodontic and Pediatric Clinics, Brauer Hall	1,762,000
Door Security Systems, School of Medicine	334,000
Renovate Basement of Rosenau Hall	419,300
Replace Gilsulate Steam Distribution System (Advance Planning)	500,000
Renovate Dental Faculty Practice Area, Brauer Hall	515,800
Classroom Improvements, School of Medicine	416,200
Renovation of Observation Laboratory, Frank Porter Graham Center	59,100
HVAC Upgrade, First Floor Brauer Hall	976,800
Renovations in Animal Quarters, Burnett-Womack Building	633,400
Renovate Graduate Student Clinic, Brauer Hall	834,100
Construct South Loop Road - Phase I	4,268,800
Total - UNC-Chapel Hill, Health Affairs	42,011,100

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT CHARLOTTE

Academic Facilities Renovations - Phase 2	\$ 638,900
Roadway Improvements - Phase 1	2,798,600
Chiller Replacement and Conversion	740,200
Campus Sign System	572,300
Library Renovations - Phase 1	1,185,900
Renovations to the Physical Sciences Building Chemistry Laboratories	235,900
Improvements to Exterior Lighting - Phase 1	154,600
Repairs and Improvements to Campus Roadways	407,200
Classroom Improvements - Phase 1	494,600
Renovations for Multimedia Language Laboratory	334,300
Fire Safety Improvements in Academic Facilities	321,000
High Voltage Switch Replacement	558,100
Architectural Barrier Removal Projects - Phase 1	12,700
Installation of Water System Loop - Phase 2	299,600
Window Replacements - Kennedy Building	230,700
Academic Facilities Renovations - Phase 3	997,500
Energy Monitoring System - Phase 2	262,100
Roadway Improvements - Phase 2	1,766,700
Total - UNC-Charlotte	12,010,900

THE UNIVERSITY OF NORTH CAROLINA AT GREENSBORO

Fire and Life Safety Improvements - Academic Buildings	373,000
High Voltage Electrical Switch Replacements	1,105,600
Roof Replacements and Repairs - Academic Buildings	109,700
Asbestos Removal	208,000
Building Waterproofing	658,300
Underground Fuel Storage Removal - Phase I	304,800
Architectural Barrier Removal Projects - Phase I	233,100

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT GREENSBORO (Continued)

Utilities Infrastructure Improvements	\$ 4,486,300
New Electrical Substation	1,874,600
Elevator Upgrade - Phase I	261,700
CFC Refrigerant Management and Mechanical Systems Replacement	1,344,300
Campus-wide Utility Repairs, Replacements and Extensions	1,029,500
Central Automated Utilities Control - Phase I	1,841,200
Renovations for Energy Efficiency	1,147,800
HVAC System Controls Replacement - Phase I	546,200
Architectural Barrier Removal Projects - Phase II	466,200
Renovations to Sanitary Sewer System	251,200
Improvements to Roads and Walks - Phase II	228,900
Carpet Replacement - Various Locations	400,500
Mechanical, Electrical, and Plumbing System Improvements	972,600
Repairs and Improvements to Campus Landscaping	326,200
Renovations to Brown and Brown Annex Buildings	1,366,800
Wall Repairs - Eberhart Building	944,000
Total - UNC-Greensboro	20,480,500

THE UNIVERSITY OF NORTH CAROLINA AT PEMBROKE

Window Replacements in Campus Facilities	769,900
Boiler Replacement - Jacobs Hall	71,300
Improvements for Fire Safety in Academic and Administrative Facilities	320,500
Electrical System Improvements	33,400
New Earth Science Laboratory - Business Administration Building	130,200
Roof Replacement - Upper Levels of Health and Physical Education Building	469,000
Roof Replacement - Central Stores	219,000
Lighting and Sound System Improvements - Performing Arts Center	560,000
Power Winches - Health and P.E. Building	11,900
Automated Energy Management System	343,600
Renovations to Old Main	255,900
Total - UNC-Pembroke	3,184,700

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT WILMINGTON

Roof Repairs - Main Campus	\$ 414,800
Structural Repairs to Campus Facilities	454,400
HVAC Improvements to Campus Facilities	491,000
Repairs and Improvements to Electrical Distribution System	419,400
Renovations to Academic Facilities	153,500
Water Tower Protective Coating	65,900
Sidewalk Improvements and Bike Paths	239,100
Improvements to Storm Drainage System	410,700
Natural Gas Loop Completion and Cathodic Protection	290,400
Facility Improvements for Energy Efficiency	617,700
Improvements to Primary Electrical Distribution System	1,093,400
Renovation of Hoggard Hall	1,727,200
Renovation of Physical Plant Administration Building	114,700
Emergency Electrical Generators	227,800
Architectural Barrier Removal Projects - Phase I	34,700
HVAC Improvements - Alderman, Hanover, and Westside Halls	1,075,200
Campus Road Improvements - Hooker Road	568,000
Total - UNC-Wilmington	8,397,900

WESTERN CAROLINA UNIVERSITY

Roof Replacement and Repairs	442,500
Improvements to Roads, Walks, and Drives	469,800
Minor Building Renovations - Various Locations	227,900
Chiller Replacement/CFC Retrofit - Academic and Support Buildings	1,433,900
Renovations to Clinic Annex	2,709,900
Window Replacement - Belk Building	715,400
Steam Distribution System Renovations	737,500
Installation of Automated Energy Management System	725,200

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

WESTERN CAROLINA UNIVERSITY (Continued)

Correct Fire Safety Deficiencies - Academic and Administrative Facilities	\$ 328,000
Renovations to Bird Building	1,093,100
Steam Plant Improvements	1,033,700
Central Fire Reporting - Phase IV	403,700
OSHA Improvements - Phase II	441,200
Renovations to Breese Gym - Phase II	283,500
Repairs to Exterior Walls	125,400
Upgrade Underground Electrical Distribution System	1,003,700
Architectural Barrier Removal Projects - Phase I	953,600
HVAC Improvements - Old Student Union	178,800
Repairs to Ice Rink - Ramsey Activity Center	523,900
Renovate Lockset Hardware in Eleven Buildings	114,900
Renovate Child Care Area - Camp Building	882,500
Upgrade Overhead Electrical Distribution System	776,700
Renovate Tennis Courts	289,600
Art Department Renovation - Belk Building	284,600
Activate Broadband Cable System	232,800
Fire Safety Renovations - Hunter Library	329,700
Reconstruct Physical Education Track Facility	741,200
Highlands Biological Station - Renovate Clark Foreman Museum Building	187,800
Total - Western Carolina University	17,670,500

WINSTON-SALEM STATE UNIVERSITY

Renovations of Anderson Jr. High School - Phase III	1,490,700
Campus Infrastructure Improvements for Roads and Pedestrian Walkways	3,053,900
Building Repairs to Academic Facilities	321,100
HVAC System Repairs - Hill Hall	1,012,300
Total - Winston-Salem State University	5,878,000

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

THE UNIVERSITY OF NORTH CAROLINA CENTER FOR PUBLIC TELEVISION

Electrical System Improvements - Bryan Center	\$ 333,600
Emergency Generator Systems at Transmitter Sites	624,300
Improvements to the Emergency Broadcast System	152,300
Total - The University of North Carolina Center for Public Television	1,110,200
TOTAL – REPAIRS AND RENOVATIONS	329,427,900

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Schedule of Priorities – Capital Improvements

Line 2: Fire Safety Improvements, Student Residence Halls – \$69,734,400

House Bill 53, Sec. 16.4(a), which was ratified by the General Assembly in 1996, required that the Board of Governors conduct a comprehensive survey of campus residential facilities, including facilities at the North Carolina School of Science and Mathematics, to examine "potential fire hazards at those facilities, and the fire detection and safety equipment currently installed in those facilities." The survey found that \$4,721,040 was needed to complete the installation of central smoke detection and alarm systems in all residence halls. This estimate provides for systems that would be interconnected with a central campus alarm system that is continuously monitored at a single location beyond the confines of each residence building. The Board of Governors' survey reported that the installation of sprinkler systems in all residence halls not so equipped would cost \$76,514,121. The University's residence halls house approximately 49,000 occupants in 25,371 separate residence rooms.

House Bill 53, Sec. 16.4(b) required that improvements to fire safety systems be addressed by using institutional housing trust funds and other institutional resources as a first source of funding. The legislation further allowed the University to use 1996 repair and renovation funds as a secondary source of funding. In 1996 the Board of Governors authorized fire safety projects in residence halls totaling \$9.9 million – \$4.1 million to be financed from Institutional Housing

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Line 2: Fire Safety Improvements, Student Residence Halls (Continued)

Trust Funds and \$5.8 million from the 1996 Reserve for Repairs and Renovations. House Bill 53, Sec. 16.4(c) required that all unfunded needs identified by the survey be addressed by the Board of Governors in the 1997-99 Budget Request. This line, coupled with an additional \$1.6 million from anticipated institutional housing trust fund reserves, meets that legislative directive and identifies unfunded fire safety projects totaling \$69,734,400.

The installation of fire safety and sprinkler systems throughout campus residence halls is anticipated to occur throughout and beyond the 1997-99 biennium. In the majority of residence halls, to avoid disruption of the academic life of residents, fire sprinkler systems would be installed when all occupants have been removed. Removal of residence halls from service during the academic year would result in problems for large numbers of students who would be forced to obtain local private housing. For this reason, much of the program would be accomplished during the summer term, when the demand for housing is lower. A secondary issue for consideration is the market limitation on the contracting capacity of licensed fire sprinkler contractors.

The following program is based on institutional project groupings of residential facilities. All cost estimates have been approved by the Office of State Construction, Department of Administration.

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Line 2: Fire Safety Improvements, Student Residence Halls (Continued)

APPALACHIAN STATE UNIVERSITY

Sprinkler Systems - East Area Residence Halls (Cannon, Hoey, Doughton, Lovill, White, Coffey, Cone, and East Residence Halls)	\$ 1,448,900
<i>This \$2,448,900 project would be funded through a combination of Institutional Housing Trust Funds (\$1,000,000) and 1997-99 appropriated funds (\$1,448,900).</i>	
Sprinkler Systems - West Area Residence Halls (Justice, Gardner, Coltrane, Bowie, Eggers, Winkler, Frank, and Belk Residence Halls)	2,507,900
TOTAL – Appalachian State University	3,956,800

EAST CAROLINA UNIVERSITY

Fire Safety Renovations for Greene and Clement Residence Halls	1,347,900
Fire Safety Renovations for Cotten Residence Hall	401,200
Fire Safety Renovations for White Residence Hall	684,700
Fire Safety Renovations for Tyler Residence Hall	783,200
Fire Safety Renovations for Fleming Residence Hall	285,400
Fire Safety Renovations for Fletcher Residence Hall	2,022,300
Fire Safety Renovations for Garrett Residence Hall	451,200
Fire Safety Renovations for Aycock Residence Hall	737,000
Fire Safety Renovations for Jones Residence Hall	840,600
Fire Safety Renovations for Belk Residence Hall	2,219,900
Fire Safety Renovations for Scott Residence Hall	799,100
Fire Safety Renovations for Slay and Umstead Residence Halls	512,100
TOTAL – East Carolina University	11,084,600

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Line 2: Fire Safety Improvements, Student Residence Halls (Continued)

ELIZABETH CITY STATE UNIVERSITY

Sprinkler Systems for Five Residence Halls (Bias, Butler, Cale, Doles, and Mitchell-Lewis Residence Halls)	599,800
Sprinkler Systems (Symera and Wamack Residence Halls)	285,300
TOTAL – Elizabeth City State University	885,100

FAYETTEVILLE STATE UNIVERSITY

Sprinkler Installations (Bryant and Harris Residence Halls)	\$ 341,500
Sprinkler Installations (New Residence, Smith, Vance, and Williams Residence Halls)	687,000
TOTAL – Fayetteville State University	1,028,500

NORTH CAROLINA AGRICULTURAL AND TECHNICAL STATE UNIVERSITY

Residence Halls Fire Safety Systems - Phase III (Barbee, Cooper, Gamble, Haley, Holt, Morrison, Morrow, Scott, and Vanstory Residence Halls)	2,372,600

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Line 2: Fire Safety Improvements, Student Residence Halls (Continued)

NORTH CAROLINA CENTRAL UNIVERSITY

Installation of Sprinkler Systems in Eagleson/Graduate Apartments	\$ 500,000
<i>This \$578,900 project would be funded using \$78,900 from Institutional Housing Trust Funds and \$500,000 from appropriated funds.</i>	
Installation of Sprinkler Systems in Baynes and McLean Residence Halls	500,000
<i>Project total cost is \$667,000 to be funded using \$167,000 from Institutional Housing Trust Funds and \$500,000 from appropriated funds.</i>	
Installation of Sprinkler Systems in Annie Day/Latham/Rush Residence Halls	701,700
Installation of Sprinkler Systems in Chidley Hall	834,200
TOTAL – North Carolina Central University	2,535,900

NORTH CAROLINA SCHOOL OF THE ARTS

Automatic Sprinkler and Fire Control System for Eight Residence Halls (Moore, Sanford, and Residence Halls A-F)	670,800

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Line 2: Fire Safety Improvements, Student Residence Halls (Continued)

NORTH CAROLINA STATE UNIVERSITY

Fire Suppression Systems (Bowen, Carroll, and Metcalf Residence Halls)	\$ 1,505,700
Fire Suppression Systems (Lee and Sullivan Residence Halls)	2,160,000
Fire Suppression System (North Residence Hall)	599,900
Fire Suppression Systems (Bagwell, Becton, & Berry Residence Halls)	549,000
Fire Suppression Systems (Gold, Welch, and Syme Residence Halls)	438,700
Fire Suppression Systems (E. S. King Village)	1,457,200
Fire Suppression Systems (Alexander, Tucker, Owen, and Turlington Residence Halls)	1,263,800
Fire Suppression System (Watauga Residence Hall)	240,000
Fire Suppression System Installations (12 Fraternity Residence Buildings)	745,100
Fire Suppression System Installations (Bragaw & Wood Residence Halls)	1,675,900
TOTAL – North Carolina State University	10,635,300

THE UNIVERSITY OF NORTH CAROLINA AT ASHEVILLE

Install Sprinkler Systems in 11 Residence Halls (9 Governor's Village units, High Rise, and Mills Residence Halls)	868,500

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Line 2: Fire Safety Improvements, Student Residence Halls (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL

Install Sprinkler Systems in High Rise Residence Halls (Craigie, Ehringhaus, Hinton-James, and Morrison)	\$ 11,684,100
Install Sprinkler Systems (Alderman, Kenan, McIver, Spencer, Carmichael, Cobb, and Whitehead Residence Halls)	1,285,700
Install Sprinkler Systems (Parker, Teague, and Avery Residence Halls)	2,477,900
Install Sprinkler Systems (Ruffin, Grimes, Manly, Mangum, Lewis, Everett, and Stacy Residence Halls)	503,600
Install Sprinkler Systems (Alexander, Connor, Joyner, and Winston Residence Halls)	581,700
Install Sprinkler Systems in Odum Village	1,498,000
TOTAL – UNC-Chapel Hill	18,031,000

THE UNIVERSITY OF NORTH CAROLINA AT CHARLOTTE

Residence Halls Fire Detection Systems - Phase II (Scott, Sanford, Holshouser, Moore, Hickory, Sycamore, Cedar, Hawthorn, Poplar, Oak, Maple, Elm, and Pine)	1,055,400
Residence Halls Sprinkler Systems (Scott, Sanford, Holshouser, Moore, Hunt Village, Martin Village - Phase III, Hickory, Sycamore, Cedar, Hawthorn, Oak, Maple, Elm, and Pine)	5,327,800
TOTAL – UNC-Charlotte	6,383,200

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Line 2: Fire Safety Improvements, Student Residence Halls (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT GREENSBORO

Fire Sprinkler Systems (Grogan and Reynolds Residence Halls)	\$ 983,500
Fire Sprinkler Systems for Quad Residence Halls (Shaw, Hinshaw, Gray, Bailey, Cotton, Jamison, and Coit Residence Halls)	1,134,700
Fire Sprinkler Systems for Nine Residence Halls (Winfield, Weil, Ragsdale, Mendenhall, S. Spencer, N. Spencer, Guilford, Mary Foust, and Spring Garden Apartments)	2,435,400
TOTAL – UNC-Greensboro	4,553,600

THE UNIVERSITY OF NORTH CAROLINA AT PEMBROKE

Sprinkler Systems in Five Dorms (Belk, North, West, Jacobs, and Wellons Residence Halls)	912,600

THE UNIVERSITY OF NORTH CAROLINA AT WILMINGTON

Sprinkler System for Galloway Residence Hall	402,000
Sprinkler Systems (Belk and Graham Residence Halls)	312,300
Sprinkler Systems (Hewlett and Schwartz Residence Halls)	341,200
Alarm Detection System for Galloway Residence Hall	128,700
Sprinkler Systems (Suites O through U)	514,000
Alarm Detection Systems (Bell, Graham, and Hewlett Residence Halls)	245,600
TOTAL – UNC-Wilmington	1,943,800

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Line 2: Fire Safety Improvements, Student Residence Halls (Continued)

WESTERN CAROLINA UNIVERSITY

Fire Safety Improvements - Residential Facilities - Phase II (Albright-Benton, Buchanan, Helder, Leatherwood, and Reynolds Residence Halls)	\$ 2,277,800

WINSTON-SALEM STATE UNIVERSITY

Fire Safety System for Brown Hall	1,594,300

GRAND TOTAL – FIRE SAFETY	69,734,400

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Schedule of Priorities – Capital Improvements

Line 3: 1997 University-Wide Facilities Plan – \$642,894,800

On this Line the Board of Governors presents the comprehensive six-year plan on behalf of the sixteen constituent institutions, the North Carolina Arboretum, and the University of North Carolina Center for Public Television for new buildings, major additions to or extensive remodeling of existing buildings, and infrastructure improvements. The Plan includes the highest priorities for new construction and renovations. The General Assembly has previously appropriated advanced planning or partial financing for a number of the projects. Those projects are included in this request and the amounts appropriated are detailed in the project descriptions.

The Board of Governors in May 1996 adopted a new format for the presentation of the capital improvements request to the General Assembly. Projects are categorized and the categories are presented in priority order to provide explicit information about the Board's priorities. The category of projects to meet "current capacity" needs is the highest priority category and the largest category. The University is currently establishing standards for instructional, laboratory, and faculty and administrative office space. When the standards are finalized, they will provide substantially improved information to assist in prioritizing projects

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

within this category, as well as other categories. The completion of the development of standards will allow for projects within categories to be prioritized.

At the end of each category is a matrix containing supplemental information for each project. These matrices are presented to the General Assembly for the first time in this budget request and provide useful information for identifying projects for funding. As the University's capital improvements processes are further defined and space standards are developed, the information presented in matrix format should become even more useful.

The project priority categories of the Board of Governors are as follows:

Project Category	Request
Current Capacity	\$ 388,250,000
Future Capacity	34,196,200
Technology	32,340,600
Program Quality	114,789,600
Special Purpose	46,395,500
Support Facility	11,922,900
Land Acquisition	15,000,000
Total	642,894,800

While the projects represent current needs, it is recognized that it is not likely that all of this plan can be funded in a single Session of the General Assembly. The Board therefore commends the approach that was followed in response to the presentation of similar University-wide Plans in

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

previous biennia. In those instances, successive Sessions funded some projects for construction, others for partial or phased construction, and others for advanced planning. This permitted a methodical program for completion of all projects, with the responsibility and the timing of sequence appropriately shared by the Board, the Governor and the Advisory Budget Commission, and the General Assembly.

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

**Category: Current Capacity
\$388,250,000**

The first priority category of the Board of Governors provides for new facilities or major renovations to existing facilities to meet the needs of students currently enrolled in the University. Twenty-eight projects totaling \$352,702,300 provide for expanded and improved classrooms, laboratories, and libraries. Three projects totaling \$29,866,100 provide expanded student service facilities and one project for \$5,681,600 provides for infrastructure development.

Descriptions of the projects follow, including cost estimates approved by the Office of State Construction, Department of Administration. Following the project descriptions is a matrix that summarizes the current capacity projects and provides supplementary information.

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

APPALACHIAN STATE UNIVERSITY

Renovation of Rankin Science Building, \$6,666,000

The project would provide modernized laboratory and classroom facilities throughout the 134,984 square-foot Rankin Science Building, constructed in phases from 1962 through 1970. Interior and exterior renovations would include new windows, doors, roofing, flooring, ceilings, stairs, and elevators as well as major changes to the plumbing, electrical, and data wiring systems. Toxic surveys and asbestos abatement are requirements of this project. The Rankin Science Building renovation would allow modernization of areas to accommodate the departments of Biology, Geography and Planning, Geology, and Astronomy. In the last five years, the number of students in these four departments has grown from 500 to over 800 with similar growth projected through the year 2001. The major cost components are:

Design	\$ 327,800
Site Preparation	135,000
Construction	5,468,200
Fixed and Movable Equipment	735,000
Total	6,666,000

Addition and Renovation to Belk Library, \$10,629,400

The project would provide for construction of a 65,000 square-foot addition to Belk Library as well as substantial renovation of the existing 142,672 square-foot facility. Belk Library was constructed in 1980 to provide facilities for 10,000 students; enrollment at Appalachian State University is now approaching 13,000 students. The project would provide expanded study and research areas, improvements to the Reference Department, and major technological updates to meet the specialized library requirements of today's students. The major cost components are:

Design	\$ 531,200
Site Preparation	381,900
Construction	9,251,300
Fixed and Movable Equipment	465,000
Total	10,629,400

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

EAST CAROLINA UNIVERSITY – ACADEMIC AFFAIRS

Science Laboratories and Technology Building, \$47,997,600

The project would provide for construction of a 259,000 square-foot building for use by the Departments of Chemistry and Industrial Technology. The building would contain laboratories, classrooms, an auditorium, faculty offices, and support areas. Currently, the departments utilize a facility constructed during the 1930s with laboratories that have not been updated since the 1950s. The newly constructed building would serve in excess of 2,300 students majoring in science and technology curricula. In 1996 the General Assembly appropriated \$1,000,000 toward this \$48,997,600 project. The major cost components are:

Design	\$ 2,519,500
Site Preparation	360,000
Construction	44,118,100
Fixed and Movable Equipment	2,000,000
Total	48,997,600

EAST CAROLINA UNIVERSITY – HEALTH AFFAIRS

Health Sciences Library Expansion, \$6,106,600

The project would provide for construction of a 37,074 square-foot addition to the Brody Building to expand the existing Health Sciences Library. Fifty-five percent of the addition would consist of stacks for housing books; the remainder would consist of carrels, individual and group study areas, and administrative offices. The library facilities serve 2,600 students in the Schools of Medicine, Nursing, Allied Health, and Social Work. The major cost components are:

Design	\$ 410,900
Site Preparation	15,000
Construction	5,430,700
Fixed and Movable Equipment	250,000
Total	6,106,600

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

ELIZABETH CITY STATE UNIVERSITY

G. R. Little Library Addition and Renovation, \$10,723,600

The project would provide for renovation of the 42,000 square-foot G. R. Little Library and for an addition to double the amount of space currently available. The addition would house 700,000 volumes and contain study rooms and cubicles for students, conference and seminar rooms, and office space. The current facilities seat 500 students; when the renovations and addition are completed, the facilities would seat 2,500 students. The major cost components are:

Design	\$ 637,300
Site Preparation	84,000
Construction	9,038,400
Fixed and Movable Equipment	963,900
Total	10,723,600

Addition to the Academic Computer Center, \$3,557,600

The project would provide a 25,000 square-foot addition to the Academic Computer Center. The addition would contain instructional laboratories, general purpose areas, a technical library, and administrative offices. New videoconferencing and data communications services would be available through this project. Construction of the new facility would permit consolidation of the university computing function which is currently housed in four separate locations on campus. The consolidated facility would serve all students and faculty. In 1995, \$150,000 in advance planning funds were provided for this project. The major cost components are:

Design	\$ 278,100
Construction	3,279,500
Fixed and Movable Equipment	150,000
Total	3,707,600

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

FAYETTEVILLE STATE UNIVERSITY

Fine Arts and General Classroom Facility, \$15,524,400

The project would provide for construction of a 114,000 square-foot building with classrooms, laboratories, studios, galleries, and a theater. The building would accommodate the fine arts program and provide classrooms to meet the instructional requirements of the College of Arts and Sciences. The facilities would serve 1,800 students majoring in disciplines offered by this college as well other students taking required and elective courses. The General Assembly has previously appropriated \$750,000 toward this \$16,274,400 project. The major cost components are:

Design	\$ 1,070,600
Site Preparation	300,000
Construction	14,493,800
Fixed and Movable Equipment	410,000
Total	16,274,400

NORTH CAROLINA CENTRAL UNIVERSITY

Lee Biology Building Renovation, \$1,359,200

The project would provide for renovation of the 31,000 square-foot building which houses the Department of Biology. The renovations would include improvements to laboratories, improvements to the electrical system, roof replacement, basement waterproofing, and modifications to meet the requirements of the Americans with Disabilities Act. The building currently serves 297 undergraduate and 30 graduate students pursuing academic majors in biology as well as 400 additional students taking elective courses in the field of biology. The major cost components are:

Design	\$ 104,500
Construction	1,254,700
Total	1,359,200

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

NORTH CAROLINA CENTRAL UNIVERSITY (Continued)

Repairs to Five Academic Buildings, \$10,515,000

The project would provide for the renovation of several academic facilities containing over 120,000 square feet of space. Included are improvements to Taylor Education Building, Edmonds Classroom Building, Farris-Newton Communications Building, the Fine Arts Building, and five cottages. The project includes roof repairs and replacements, HVAC system repairs and replacements, the installation of energy efficient lighting, and modifications to meet requirements of the Americans with Disabilities Act. The major cost components are:

Design	\$ 868,400
Construction	9,646,600
Total	10,515,000

Robinson Science Building, \$946,000

The proposed project would provide for improvements to the 28,000 square-foot Robinson Science Building including electrical system upgrades, asbestos and lead paint removal, roof replacement, and telecommunications improvements. The building supports 1,800 students taking courses in the Mathematics and Computer Science Department and the Physics Department. The major cost components are:

Design	\$ 56,200
Construction	789,900
Fixed Equipment	99,900
Total	946,000

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

NORTH CAROLINA SCHOOL OF THE ARTS

Basic Education Complex, \$6,133,400

The proposed project would provide for the construction of a 37,100 square-foot complex with general purpose classrooms, a 250-seat recital hall, a hall for drama rehearsal and informal public performances, and faculty offices. Also, the project would provide 7,000 additional square feet of housing for 60 high school students. The major cost components are:

Design	\$ 399,000
Site Preparation	100,000
Construction	5,059,400
Fixed and Movable Equipment	575,000
Total	6,133,400

Filmmaking Office/Classroom Post Production Complex, \$2,000,000

The project would provide for construction of a 14,769 square-foot facility to support the School of Filmmaking. Included would be classrooms, offices, a library, and a computer laboratory. The major cost components are:

Design	100,300
Construction	1,799,700
Fixed and Movable Equipment	100,000
Total	2,000,000

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

NORTH CAROLINA STATE UNIVERSITY

Nelson Hall Renovation - Phase II, \$6,914,900

The project would provide for conversion of existing space (150,000 square feet) in Nelson Hall into functional, efficient space for the new College of Management. The renovation would include HVAC installation and internal reconfigurations as required. The College of Management serves 2,650 students in its academic major fields with 4,465 additional students taking elective courses each semester. Advance planning funds totaling \$1,350,000 have been previously appropriated for this \$8,264,900 project. The major cost components are:

Design	\$ 562,600
Construction	7,702,300
Total	8,264,900

Toxicology Building, \$14,566,700

The project would provide for construction of a 55,000 square-foot facility on the Centennial Campus to consolidate the existing Toxicology Program into one building. The facility would include classrooms, research laboratories, and offices. The use of toxic and carcinogenic chemicals and radioactive substances requires precise design and construction with particular attention devoted to air quality, life safety, and waste management. The major cost components are:

Design	\$ 760,600
Site Preparation	1,004,000
Construction	11,262,100
Fixed and Movable Equipment	1,540,000
Total	14,566,700

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

NORTH CAROLINA STATE UNIVERSITY (Continued)

College of Veterinary Medicine. Main Building Addition. \$24,262,000

The project would provide for a 100,000 square-foot addition to the College of Veterinary Medicine. Included in the project are 40 research laboratories, four multipurpose central procedural laboratories, ten central research facilities, two clinical research laboratories, one electron and light microscopy laboratory, one population medicine/computer laboratory, nine animal rooms, 24 seminar/conference rooms, and a 300-seat lecture hall. The facility would serve all 460 students in the College of Veterinary Medicine. The major cost components are:

Design	\$ 1,657,900
Construction	20,054,100
Fixed and Movable Equipment	2,550,000
Total	24,262,000

David-Clark Laboratory Renovation and Addition. \$9,990,800

The project would provide for the addition of 18,368 square feet and the renovation of 37,124 square feet of space in David-Clark Laboratory. The building has been partially vacant since the Department of Textiles moved to the Centennial Campus in 1991. The project would provide needed laboratory, classroom, and office facilities for zoology programs. The facilities would serve 2,400 students taking courses in the field of zoology. The major cost components are:

Design	\$ 521,700
Construction	8,521,500
Fixed and Movable Equipment	947,600
Total	9,990,800

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT ASHEVILLE

Asheville Graduate Center - Phase II, \$792,700

The project would provide for completion of 7,900 square feet of space on the third floor of Karpen Hall to house the Department of Mass Communications. The major cost components are:

Design	\$ 53,350
Construction	499,350
Fixed and Movable Equipment	240,000
Total	792,700

Highsmith Center Renovation and Addition, \$8,782,000

The project would provide for the renovation of 34,000 square feet and the addition of 41,400 square feet to the Highsmith Center, a facility that is utilized by the entire student body as well as faculty and staff. The Center houses the student union, the campus bookstore, and student program facilities: the career center, student government operations, the school newspaper, student lounges, and the campus post office. The center also provides student dining facilities. The Highsmith Center was constructed in the early 1980s when campus enrollment was approximately 1,400 students; the enrollment for 1996 is anticipated to be in excess of 2,600 students. The major cost components are:

Design	\$ 458,600
Site Preparation	235,000
Construction	7,578,400
Fixed and Movable Equipment	510,000
Total	8,782,000

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL – ACADEMIC AFFAIRS

R. B. House Library, \$6,629,400

The project would provide for complete renovation of the R. B. House Library, constructed in 1968. The library would be reconfigured to serve library needs of over 17,000 undergraduate students as well as the needs of the Office of Information Technology (OIT). The library and the OIT would share a lecture/demonstration room and a computer-equipped classroom. Student study areas would be expanded and group study rooms would be provided. The computing lab of the Office of Information Technology would contain 80 workstations. The project also includes new HVAC and electrical systems, as well as asbestos removal. The major cost components are:

Design	\$ 403,200
Site Preparation	645,000
Construction	4,330,900
Fixed and Movable Equipment	1,250,300
Total	6,629,400

Student Services Building, \$18,857,500

The project would provide a six-story 116,000 square-foot addition on top of the existing Daniels Building (Student Stores). The Daniels Building was constructed with foundations to accommodate later addition if required. The addition would support student needs including Student Affairs, Records and Registration, Student Aid, the Placement Service, General College Advisors, Arts and Sciences Advisors, Housing, Job Counseling, the Reading Program, and the Cashier's Office. Centralizing these administrative functions will free campus space for academic purposes. The major cost components are:

Design	\$ 948,000
Site Preparation	435,000
Construction	16,414,500
Fixed and Movable Equipment	1,060,000
Total	18,857,500

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL – HEALTH AFFAIRS

Addition to Beard Hall - School of Pharmacy, \$8,824,600

The project would provide a 50,000 square-foot addition to Beard Hall containing classrooms, laboratories, student work space, and faculty offices. The School of Pharmacy first occupied Beard Hall in 1960 when the School consisted of 248 students and 13 faculty and staff members. The School currently has 619 students and 85 faculty and staff members. Advance planning funds of \$1,000,000 have previously been appropriated for this \$9,824,600 project. The major cost components are:

Design	\$ 597,600
Site Preparation	630,000
Construction	7,347,000
Fixed and Movable Equipment	1,250,000
Total	9,824,600

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL – HEALTH AFFAIRS (Continued)

Medical Biomolecular Research Building. \$29,493,800

The project would provide for construction of a 125,000 square-foot building to house basic research components and allow for the initiation and development of new programs that incorporate the tools of cellular and molecular biology. The proposed building would contain faculty laboratories and facilities for laboratory support including specialized laboratories for sequencing and synthesizing proteins; tissue culture laboratories; environmental rooms (both warm and cold); photographic dark rooms; equipment rooms for high-speed and refrigerated centrifuges; radioisotope laboratories, including special fume hoods; maintenance shop facilities; and facilities to house and maintain a number of different species of animals. Advance planning funds of \$1,000,000 have previously been appropriated for this \$30,493,800 project. The major cost components are:

Design	\$ 1,730,300
Site Preparation	645,000
Construction	24,143,500
Fixed and Movable Equipment	3,975,000
Total	30,493,800

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT CHARLOTTE

Academic Facilities - Humanities, \$20,597,300

The project would provide for construction of a 120,000 square-foot building to support the Department of Music, the Department of Dance and Theater, and other humanities departments. The facility would house classrooms, teaching studios, meeting rooms, offices, and theater space. UNC-Charlotte has the lowest ratio of academic facilities per student within the University. This facility would serve 2,400 students enrolled in music, dance, and theater courses each semester, as well as 1,800 students enrolled in other humanities courses. Completion of the facility would release space in Rowe building to accommodate an overcrowded and expanding Art Department. Advance planning funds of \$1,000,000 have previously been appropriated for this \$21,597,300 project. The major cost components are:

Design	\$ 1,110,500
Site Preparation	666,000
Construction	18,170,800
Movable Equipment	1,650,000
Total	21,597,300

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT CHARLOTTE (Continued)

Academic Facilities - Sciences, \$32,371,700

The project would provide for construction of a 180,000 square-foot building for departments and programs in the sciences and engineering, including Adult Health Nursing, Family and Community Nursing, and Health Promotion and Kinesiology in the College of Nursing and Health Professions; civil engineering in the College of Engineering; and the interdisciplinary areas of Environmental Science and Health Science. The facility would provide classroom space for over 7,500 students enrolled in these courses each semester. It also would provide auditoriums, instructional lab space, computer labs, study rooms, seminar and conference rooms, and faculty and administrative offices. The major cost components are:

Design	\$ 2,178,300
Site Preparation	44,000
Construction	27,649,400
Movable Equipment	2,500,000
Total	32,371,700

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT GREENSBORO

North Campus Infrastructure Development, \$5,681,600

The project would provide for rebuilding and adding to utility systems located throughout the northeast quadrant of the University campus. The project further provides for new traffic and pedestrian walkway facilities. The new Music Building and the McIver Street Parking Deck are to be located in areas served by the proposed infrastructure development. This project complements those projects listed under Line 1, Repairs and Renovations, to provide for necessary improvements to infrastructure throughout the UNC-Greensboro campus. The major cost components are:

Design	\$ 345,600
Site Preparation	1,479,600
Construction	3,856,400
Total	5,681,600

THE UNIVERSITY OF NORTH CAROLINA AT WILMINGTON

General Classroom Building, \$8,465,500

The project would provide for construction of a 51,600 square-foot general classroom and office building. The project would alleviate the severe shortage of instructional and laboratory space as well as a general shortage of faculty office spaces. The facility would contain two auditoriums (one with 200 seats and one with 250 seats), 21 classrooms (for a total of 1,085 seats), four seminar rooms, two large conference rooms, and 83 offices. The major cost components are:

Design	\$ 536,500
Construction	7,619,400
Fixed and Movable Equipment	309,600
Total	8,465,500

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT WILMINGTON (Continued)

School of Education Building, \$16,656,100

The project would provide for an 80,000 square-foot building to house the Watson School of Education. The facility would contain 23 classrooms, two auditoriums, 35 faculty offices, as well as numerous learning laboratories and other instructional space. In the last five years, the University has added three master's degree programs, a baccalaureate program, and fourteen outreach programs in the School of Education. Student enrollment has grown from 621 students to 1,031 students during this same period. The facility is needed to accommodate the present enrollment and to gather teaching faculty into one building. The major cost components are:

Design	\$ 819,000
Construction	14,837,100
Movable Equipment	1,000,000
Total	16,656,100

Fine Arts Building, \$14,041,400

The project would provide for construction of an 87,000 square-foot building to house the Departments of Visual Arts, Theater and Dance, Music, and Speech Communications. The facility would include 23 classrooms, three auditoriums, eight seminar rooms, 16 individual studios, 13 teaching studios, and 23 faculty offices. The Department of Fine Arts is currently housed in Kenan Hall which was originally designed as a general classroom facility and lacks adequate facilities, e.g., sound isolation and acoustical control, that are needed for specialized instruction. The major cost components are:

Design	\$ 882,500
Construction	12,458,900
Movable Equipment	700,000
Total	14,041,400

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

WESTERN CAROLINA UNIVERSITY

Fine and Performing Arts Center, \$25,422,100

The project would provide for construction of a 139,200 square-foot building to be used by the Visual Art, Music and Communication, and Theater Arts Departments. Included in the project would be three galleries; 39 private studios for faculty, senior/graduate students, and artists in residence; 12 classroom studios; 12 studio support rooms, e.g., dark rooms, ceramic preparation rooms; three critique rooms, two lecture auditoriums, one seminar room, and one production studio, as well as faculty and support facilities. The General Assembly has previously appropriated \$2,000,000 toward this \$27,422,100 project. The major cost components are:

Design	\$ 1,738,000
Site Preparation	900,000
Construction	21,241,500
Fixed and Movable Equipment	3,542,600
Total	27,422,100

Renovate Camp Lab School - Phase II, \$2,226,600

The project would provide for conversion of building interiors configured for a public school (K-12) to space designed for University programs and operations. Phase I of the project, financed from the 1993 University Improvement Bonds, provided for general repairs to correct deferred maintenance and code compliance deficiencies. The Camp Lab facilities consist of a classroom building (55,618 square feet), a shop (4,826 square feet), and a gymnasium (14,821 square feet). The major cost components are:

Design	\$ 132,300
Site Preparation	325,500
Construction	1,768,800
Total	2,226,600

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

WINSTON-SALEM STATE UNIVERSITY

Addition and Renovation to the F. L. Atkins Building, \$5,198,500

The project would provide for renovations to the F. L. Atkins Building and for the construction of a 19,044 square-foot addition to meet the requirements of the Nursing, Medical Technology, and Physical Therapy Departments and to provide space for the new occupational therapy program. The addition would primarily be a laboratory facility with faculty offices and conference rooms. The major cost components are:

Design	\$ 349,800
Site Preparation	126,500
Construction	4,375,000
Fixed and Movable Equipment	347,200
Total	5,198,500

Computer Science Facility, \$6,316,000

The project would provide for construction of a new 35,700 square-foot facility which would consolidate computer science activities currently housed in three separate facilities. Included in the facility would be eight classrooms, two microcomputer laboratories, a research laboratory, facilities to house computer mainframes in support of both academic and administrative computing, and 16 faculty offices. The facility would accommodate 3,400 students taking courses in computer science. The major cost components are:

Design	\$ 463,100
Site Preparation	326,700
Construction	4,806,200
Fixed and Movable Equipment	720,000
Total	6,316,000

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

Institution/Project	Cost Estimate	Supplemental Information								
		Major Repair/ Renovation Project	Re-Use of an Existing Facility	Renovation In Lieu of New Construction	Completes a Partially Built Facility	Achieves Material Operating Savings	Benefits a Significant % of the Population	Rewards Good Planning & Management	"Urgent" Need, Code Compliance	Appropriations, Other Funding
Appalachian State University										
Renovation of Rankin Science Building	\$ 6,666,000	XXX	XXX				XXX	XXX		
Addition and Renovation to Belk Library	10,629,400	XXX					XXX			
East Carolina University, Academic Affairs										
Science Laboratories and Technology Building	47,997,600						XXX	XXX	XXX	\$ 1,000,000
East Carolina University, Health Affairs										
Health Sciences Library Expansion	6,106,600						XXX	XXX		
Elizabeth City State University										
G. R. Little Library Addition and Renovation	10,723,600	XXX					XXX			
Addition to the Academic Computing Center	3,557,600						XXX	XXX		150,000
Fayetteville State University										
Fine Arts and General Classroom Facility	15,524,400						XXX	XXX		750,000
North Carolina Central University										
Lee Biology Building Renovation	1,359,200	XXX		XXX			XXX	XXX	XXX	
Repairs to Five Academic Buildings	10,515,000	XXX		XXX			XXX		XXX	
Robinson Science Building	946,000	XXX		XXX			XXX		XXX	
North Carolina School of the Arts										
Basic Educational Complex	6,133,400						XXX	XXX		
Filmmaking Office/Classroom Post Production Complex	2,000,000				XXX					
North Carolina State University										
Nelson Hall Renovation - Phase II	6,264,900	XXX	XXX	XXX		XXX	XXX	XXX	XXX	1,350,000
Toxicology Building	14,566,700					XXX		XXX	XXX	
College of Veterinary Medicine, Main Building Addition	24,262,000						XXX	XXX		
David Clark Laboratory Renovation and Addition	9,990,800	XXX	XXX	XXX		XXX	XXX	XXX	XXX	
UNC-Asheville										
Asheville Graduate Center, Phase II	792,700				XXX		XXX			
Highsmith Center Renovation and Addition	8,782,000	XXX	XXX				XXX	XXX		
UNC-Chapel Hill, Academic Affairs										
R. B. House Library Renovations	6,629,400	XXX	XXX	XXX			XXX	XXX		
Student Services Building	18,857,500				XXX		XXX	XXX		

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

Institution/Project	Cost Estimate	Supplemental Information								Appropriations, Other Funding
		Major Repair/ Renovation Project	Re-Use of an Existing Facility	Renovation In Lieu of New Construction	Completes a Partially Built Facility	Achieves Material Operating Savings	Benefits a Significant % of the Population	Rewards Good Planning & Management	"Urgent" Need, Code Compliance	
UNC-Chapel Hill, Health Affairs										
Medical Biomolecular Research Building	\$29,493,800							XXX		\$ 1,000,000
Addition to Beard Hall - School of Pharmacy	8,824,600						XXX		XXX	1,000,000
UNC-Charlotte										
Academic Facilities - Humanities	20,597,300						XXX	XXX		1,000,000
Academic Facility - Sciences	32,371,700						XXX	XXX		
UNC-Greensboro										
North Campus Infrastructure Development	5,681,600						XXX	XXX	XXX	
UNC-Wilmington										
General Classroom Building	8,465,500						XXX	XXX		
School of Education Building	16,656,100						XXX	XXX		
Fine Arts Building	14,041,400						XXX	XXX		
Western Carolina University										
Fine and Performing Arts Center	25,422,100						XXX	XXX		2,000,000
Renovate Camp Lab School - Phase II	2,226,600	XXX	XXX		XXX		XXX			
Winston-Salem State University										
Addition and Renovation to the F.L. Atkins Building	5,198,500						XXX		XXX	
Computer Science Facility	6,316,000						XXX	XXX		
Total - Current Capacity	387,600,000									

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Future Capacity
\$34,196,200

The Board of Governors requests two projects to expand instructional facilities to accommodate growth in enrollment. The 1993 University Improvement Bonds provided \$15,000,000 to Appalachian State University for the first phase of expansion to science and mathematics facilities; Phase II of the project is estimated to cost \$27,495,500. At East Carolina University, an addition to the Rivers Building would provide expanded facilities for the Schools of Nursing and Human Environmental Sciences.

Descriptions of the projects follow, including cost estimates approved by the Office of State Construction, Department of Administration. Following the project descriptions is a matrix that summarizes the "future capacity" projects and provides supplementary information.

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Future Capacity (Continued)

APPALACHIAN STATE UNIVERSITY

Science/Mathematics Complex, Phase II, \$27,495,500

The project would provide for construction of a 112,000 square-foot building to upgrade the facilities for the Natural and Mathematical Sciences – the second phase of a major institutional initiative to improve science facilities. The building would contain instructional classrooms, teaching laboratories, research laboratories, and computer laboratories as well as offices for faculty and graduate assistants. Phase I of the Science/Mathematics Complex was financed through the 1993 University Improvement Bonds. The major cost components are:

Design	\$ 1,413,800
Site Preparation	10,000
Construction	23,071,700
Fixed and Movable Equipment	3,000,000
Total	27,495,500

EAST CAROLINA UNIVERSITY, ACADEMIC AFFAIRS

Third Floor Addition to the Home Economics/Nursing Building, \$6,700,700

The project would provide for the construction of a third floor addition to the Rivers Building which houses the Schools of Nursing and Human Environmental Sciences. The Rivers Building was constructed in 1967 with plans to add a third floor when funding became available. The addition would provide lecture rooms, laboratories, educational technology support facilities, and offices for faculty and graduate assistants. The major cost components are:

Design	\$ 424,700
Site Preparation	88,600
Construction	5,822,100
Fixed and Movable Equipment	365,300
Total	6,700,700

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Future Capacity (Continued)

Project	Estimated Cost	Supplemental Information		
		Completes an Underfunded or Partially Built Facility	Benefits a Significant % of the Population	Rewards Good Facilities Planning & Management
Appalachian State University				
Science/Mathematics Complex, Phase II	\$27,495,500	XXX	XXX	
East Carolina University, Academic Affairs				
Third Floor Addition to the Home Economics/ Nursing Building	6,700,700	XXX	XXX	XXX
Total - Future Capacity	34,196,200			

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Technology
\$32,340,600

The Board of Governors' budget request for "Information Technology - Computing and Telecommunications" in the section on "Expansions and Improvements Current Operations" (Line 3) discusses the need for institutions to transform themselves to "realize the potential of information technology for instruction and foster the innovation that is bound to follow." The initial investment in required infrastructure is both substantial and critical to ensure that faculty and students have the technological resources available as they make the transition to the 21st century. The installation of basic network infrastructure throughout all academic, administrative, and student facilities is essential to the teaching, learning, and research processes. Long-range institutional plans provide for the wiring of all residence halls to ensure that students have access to technology at all times. For the biennium, the request of \$32,340,600 would complete the installation of fiber optics backbones at all institutions and complete the wiring of all academic facilities.

Institutional investments to date in technology have occurred as fast as resources have become available for this purpose. State appropriations, institutional trust funds, and borrowed funds have been used to make the necessary investment in technology. As a result, the level of technology available to students and faculty varies among the institutions. This request helps

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Technology (Continued)

ensure that all campuses will have the resources available to make technology available throughout all academic facilities.

Institutional requests for funds for technology infrastructure are identified in the following table:

Institution	Budget Request
Appalachian State University	\$ 770,000
East Carolina University	1,033,000
Elizabeth City State University	1,011,000
Fayetteville State University	507,000
North Carolina A & T State University	1,250,800
North Carolina Central University	5,866,700
North Carolina School of the Arts	1,303,800
North Carolina State University	4,028,400
University of North Carolina at Asheville	1,420,000
University of North Carolina at Chapel Hill	8,682,800
University of North Carolina at Charlotte	1,690,300
University of North Carolina at Greensboro	1,511,200
University of North Carolina at Pembroke	395,600
The University of North Carolina at Wilmington	445,000
Western Carolina University	670,600
Winston-Salem State University	1,754,400

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Program Quality
\$114,789,600

The third project category of the Board of Governors presents seven projects to improve the quality of programs offered at six institutions. The projects provide for improvements in undergraduate instructional opportunities and for enhancements to meet and exceed prescribed academic standards and long established missions or programs offered by institutions. The request addresses specific needs of institutions in the areas of science, music, engineering, and business. Quality facilities enable an institution to attract high caliber students and faculty and to compete successfully for research grants.

Descriptions of the projects follow, including cost estimates approved by the Office of State Construction, Department of Administration. Following the project descriptions is a matrix that summarizes the "program quality" projects and provides supplementary information.

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Program Quality (Continued)

NORTH CAROLINA AGRICULTURAL AND TECHNICAL STATE UNIVERSITY

General Classroom and Laboratory Building Complex #1, \$31,526,200

The project would provide for the construction of a 135,200 square-foot building and the renovation of two buildings, Crosby Hall (57,796 square feet) and Merrick Hall (57,485 square feet), to support classroom and laboratory needs of the College of Arts and Sciences, the School of Business and Economics, and the Academic Advisory Counseling Center. The three-building complex would be connected with overhead pedestrian crosswalks. Included in the proposed new building are 44 classrooms, three computer classrooms, four teleclassrooms, three computer laboratories, 15 small rooms for advising/counseling, two departmental libraries, a mainframe computer facility, and offices for academic administration, faculty, and staff. The renovations in Crosby Hall and Merrick Hall would provide modernized laboratory facilities, upgraded classrooms and teleclassrooms, roof and window replacements, and HVAC system improvements. The project further includes the construction of a 300 car parking structure. Advance planning funds totaling \$2,000,000 have been previously appropriated for this \$33,526,200 project. The major cost components are:

Design	\$ 1,723,900
Construction	26,464,600
Fixed and Movable Equipment	5,337,700
Total	33,526,200

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Program Quality (Continued)

NORTH CAROLINA CENTRAL UNIVERSITY

B. N. Duke Auditorium, Renovations and Addition, \$2,962,500

The project would provide for renovation of the 14,316 square-foot B. N. Duke Auditorium building to provide improvements to the projection, sound, and lighting systems and to meet all requirements of the Americans with Disabilities Act. In addition, the second floor of the existing band room would be expanded by 5,684 square feet. Elevator installation, improvements to the HVAC system, and roof repairs are all components of this project. The major cost components are:

Design	\$ 244,700
Construction	2,667,800
Fixed and Movable Equipment	50,000
Total	2,962,500

NORTH CAROLINA STATE UNIVERSITY

Undergraduate Science Teaching Laboratories - Phase I, \$21,228,900

The project would provide for construction of a 45,000 square-foot Science Teaching Laboratory building. The facility would support undergraduate instruction in chemistry, biochemistry, and physics. Each semester 7,500 students take courses in these subject areas. The project further includes the construction of parking facilities. The General Assembly has previously appropriated \$650,000 toward this \$21,878,900 project. The major cost components are:

Design	\$ 1,142,500
Site Preparation	5,019,000
Construction	14,603,600
Fixed and Movable Equipment	1,113,800
Total	21,878,900

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Program Quality (Continued)

NORTH CAROLINA STATE UNIVERSITY (Continued)

Riddick, Mann, Daniels, and Burlington Hall Renovations, \$8,644,100

The project would provide for the renovation of 60,000 square feet of instructional and office space in four buildings in the College of Engineering. The renovations would include building reconfigurations and general refurbishment, increased electrical system capacity, and improved HVAC systems and ventilation systems. The four buildings support 2,495 undergraduate and 474 graduate students. The major cost components are:

Design	\$ 451,400
Construction	6,122,700
Fixed and Movable Equipment	2,070,000
Total	8,644,100

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL, ACADEMIC AFFAIRS

Hill Hall Music Library, \$7,170,900

The project would provide for a 34,250 square-foot addition to Hill Hall to house the library for the Department of Music. Hill Hall was originally constructed in 1907 and has been the home of the Department of Music since 1929. The current library has less than 7,000 square feet and houses over 90,000 volumes, 6,000 microfilms, and 24,500 sound recordings. Much of the collection is housed in stacks on the basement level which has been expanded by excavating a catacomb around the pier foundation. The resulting space is contorted, damp, and not satisfactory for its use. The current library space would be renovated for seminar and music practice rooms. Advance planning funds of \$850,000 have previously been appropriated for this \$8,020,900 project. The major cost components are:

Design	\$ 552,400
Site Preparation	415,000
Construction	5,853,500
Movable Equipment	1,200,000
Total	8,020,900

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Program Quality (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT GREENSBORO

Science Instructional Building, \$37,099,400

The project would provide for construction of 157,000 square feet of classroom, laboratory, and office space for use by the Departments of Chemistry, Biology, Anthropology, Physics, Psychology, and Food Management. The facility would include 23 classrooms, 2 auditoriums, 46 laboratories, and 32 faculty offices. The Petty Science Building and the Eberhart Building, which currently house the chemistry and biology programs, would later be renovated to meet general classroom and research needs. Completion of the facility would significantly enhance the program quality of the science curriculum of the University. Advance planning funds of \$2,000,000 have previously been appropriated for this \$39,099,400 project. The major cost components are:

Land	\$ 1,500,000
Design	1,965,500
Site Preparation	375,000
Construction	32,481,400
Fixed and Movable Equipment	2,777,500
Total	39,099,400

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Program Quality (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT PEMBROKE

Regional Center for Economic, Professional and Community Development, \$6,157,600

The project would provide for construction of a 71,854 square-foot building for use as a Continuing Education Center for Business and Economics. The center would house research and assistance programs for businesses and potential entrepreneurs to enhance the economic quality of life in the south central region of North Carolina. The facility includes guest sleeping quarters. The School of Business would also be housed within the center. The total cost of the project is \$12,315,200; matching funds would be provided from a federal grant. The major cost components are:

Design	\$ 792,400
Site Preparation	646,700
Construction	10,157,500
Fixed and Movable Equipment	718,600
Total	12,315,200

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Program Quality (Continued)

Institution/Project	Estimated Cost	Supplemental Information						
		Major Repair/ Renovation Project	Re-Use of an Existing Facility	Achieves Material Operating Savings	Benefits a Significant % of the Population	Rewards Good Facilities Planning & Management	"Urgent" Need, Code Compliance	Previous Appro- priations
North Carolina A & T State University								
General Classroom and Laboratory Building Complex #1	\$ 31,526,200				XXX	XXX		\$2,000,000
North Carolina Central University								
B. N. Duke Auditorium	2,962,500	XXX					XXX	
North Carolina State University								
Undergraduate Science Teaching Laboratories - Phase I	21,878,900				XXX	XXX	XXX	650,000
Riddick, Mann, Daniels and Burlington Hall Renovations	8,644,100	XXX	XXX	XXX		XXX	XXX	
UNC-Chapel Hill, Academic Affairs								
Hill Hall Music Library	7,170,900						XXX	850,000
UNC-Greensboro								
Science Instructional Building	37,099,400				XXX	XXX		2,000,000
UNC-Pembroke								
Regional Center for Economic, Professional and Community Development	6,157,600				XXX			
Total - Program Quality	115,439,600							

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Special Purpose
\$46,395,500

The next priority category of the Board of Governors presents projects that serve special purposes and provide service to both student and non-student constituencies. These projects meet state-wide public policy needs and contribute to the economic development and growth of the state. The category requests funds for a project at North Carolina State University for agricultural research, projects for the continued development of the North Carolina Arboretum, a proposed conference center at UNC-Asheville, a project for expanding the services of the Institute of Government, and four projects for the University of North Carolina Center for Public Television.

Descriptions of the projects follow, including cost estimates approved by the Office of State Construction, Department of Administration. Following the project descriptions is a matrix that summarizes the special purpose projects and provides supplementary information.

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Special Purpose (Continued)

NORTH CAROLINA STATE UNIVERSITY

Research and Teaching Feed Mill, \$2,604,400

The proposed project would provide for construction of a 10,000 square-foot building to serve as a research and demonstration facility for feed manufacturing processes. The major cost components are:

Design	\$ 157,200
Construction	1,137,200
Fixed and Movable Equipment	1,310,000
Total	2,604,400

THE UNIVERSITY OF NORTH CAROLINA AT ASHEVILLE

Conference Center, \$3,000,000

The project would provide for construction of the multi-purpose Asheville Conference Center Complex of approximately 76,650 square feet. The project has five components: a 1200 seat regional meeting center; conference center clusters; the Center for Creative Retirement; support offices; and space for maintenance, circulation, mechanical, and toilet facilities. Advance planning funds and partial construction funds totaling \$4,251,400 have been previously appropriated for this \$21,320,600 project. The requested funds would allow the University to continue to plan the facility and extend infrastructure to the proposed site. Full funding is anticipated to be requested in the 1999-2001 biennium. The major cost components are:

Design	\$ 887,500
Site Preparation	390,500
Construction	17,092,600
Fixed and Movable Equipment	2,950,000
Total	21,320,600

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Special Purpose (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT ASHEVILLE (Continued)

THE NORTH CAROLINA ARBORETUM, \$10,681,000

The projects would provide for the continuing, orderly development of the North Carolina Arboretum, a special activity of the University administered through a Board of Directors and The University of North Carolina at Asheville. The projects, in priority order, are:

Demonstration Greenhouse	\$ 3,269,600
Bus Parking	843,100
Main Entrance Road Utility and Landscape Development	1,956,200
Entrance Gates and Gatehouses	689,900
Plant Development and Introduction Center	1,677,800
Maintenance and Operations Facility	1,124,600
Roads and Walks	1,119,800
Total	10,681,000

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL – ACADEMIC AFFAIRS

Addition and Renovation of the Knapp Building - Institute of Government, \$16,102,700

The project would provide for complete renovation of 55,000 square feet and the addition of 64,300 square feet to the Knapp Building to meet the requirements of the Institute of Government. The building was constructed in 1956 when the Institute had 21 faculty members and a support staff of 20; the Institute now has 96 faculty and staff members. More than 6,000 people take courses at the Institute each year. The project also includes the construction of a parking deck. In 1994 the General Assembly appropriated \$700,000 for planning this \$16,802,700 project. The major cost components are:

Design	\$ 1,022,000
Site Preparation	1,588,000
Construction	13,174,700
Fixed and Movable Equipment	1,018,000
Total	16,802,700

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Special Purpose (Continued)

THE UNIVERSITY OF NORTH CAROLINA – CENTER FOR PUBLIC TELEVISION

Replacement and Upgrade of WUND-TV, Channel 2, Columbia Transmitter, Tower and Ancillary Equipment, \$7,144,500

The project would provide for construction of a 1,500 foot tower and replacement of the existing transmitter with a 60kw transmitter to better serve fifteen counties in the northeastern region of North Carolina. The project also provides for replacement of terminal and remote control equipment and microwave interconnection equipment and the purchase of a 500kw emergency generator and load management system. The transmitter building would be renovated and updated. Advance planning funds of \$500,000 have previously been appropriated for this \$7,644,500 project. The major cost components are:

Land	\$ 50,000
Design	514,400
Site Preparation	100,000
Construction	4,931,200
Fixed Equipment	2,048,900
Total	7,644,500

Replacement and Upgrade of WUNK-TV, Channel 25, Greenville Transmitter and Ancillary Equipment, \$2,372,000

The project would provide for replacement of the transmitter and transmission line and modifications to the tower which serves ten counties surrounding Greenville. Included in the project are terminal equipment updates, a 500kw emergency generator, and general refurbishment of the transmitter building. The major cost components are:

Design	\$ 160,000
Construction	736,800
Fixed Equipment	1,475,200
Total	2,372,000

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Special Purpose (Continued)

THE UNIVERSITY OF NORTH CAROLINA – CENTER FOR PUBLIC TELEVISION (Continued)

Mobile Satellite Uplink, \$743,700

The project would provide for the purchase of a mobile satellite uplink and related support equipment. The uplink would send electromagnetic signals capable of carrying broadcast quality video and audio as well as text and data to communications satellites for subsequent broadcast to viewers. The mobile uplink would support and improve the live production of the Center's original programs, especially from remote locations across the State.

Replace and Upgrade Studio Production Equipment, \$3,747,200

The project would provide for replacement of production equipment for studios in the Joseph and Kathleen Bryan Communications Center in the Research Triangle Park.

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Special Purpose (Continued)

Institution/Project	Estimated Cost	Supplemental Information							
		Major Repair/ Renovation Project	Renovation In Lieu of New Construction	Completes an Underfunded or Partially Built Facility	Achieves Material Operating Savings	Benefits a Significant % of the Population	Rewards Good Facilities Planning & Management	"Urgent" Need, Code Compliance	Previous Appropriations, Other funds
North Carolina State University									
Research and Teaching Feed Mill	\$2,604,400						XXX		
UNC-Asheville									
Asheville Conference Center - Phase II	3,000,000			XXX		XXX			\$4,251,400
The North Carolina Arboretum									
Demonstration Greenhouse	3,269,600					XXX			
Bus Parking	843,100					XXX	XXX		
Main Entrance Road Utility & Landscape Development	1,956,200					XXX	XXX		
Entrance Gates and Gatehouses	689,900					XXX		XXX	
Plant Development and Introduction Center	1,677,800					XXX			
Maintenance and Operations Facility	1,124,600						XXX	XXX	
Roads and Walks	1,119,800					XXX	XXX	XXX	
UNC-Chapel Hill, Academic Affairs									
Additions and Renovations to the Knapp Building - Institute of Government	16,102,700	XXX	XXX			XXX	XXX		700,000
UNC Center for Public Television									
Replacement and Upgrade of WUND-TV, Channel 2, Columbia Transmitter, Tower & Ancillary Equipment	7,144,500	XXX			XXX	XXX		XXX	500,000
Replacement and Upgrade of WUNK-TV, Channel 25 Greenville Transmitter and Ancillary Equipment	2,372,000	XXX			XXX	XXX		XXX	
Mobile Satellite Uplink	743,700					XXX			
Replace and Upgrade Studio Production Equipment	3,747,200	XXX			XXX	XXX		XXX	
Total – Special Purpose	46,395,500								

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Support Facilities
\$11,922,900

The sixth priority category of the Board of Governors presents two projects for new "support facilities." The first project would provide a new admissions building for North Carolina Central University. The admissions office currently occupies an old converted house that does not serve the institution well. The second project would provide a new residence hall at UNC-Pembroke. The General Assembly has authorized UNC-Pembroke to issue bonds for a portion of this project with the remainder to be provided through State appropriations.

Descriptions of the projects follow, including cost estimates approved by the Office of State Construction, Department of Administration. Following the project descriptions is a matrix that summarizes the projects for support facilities and provides supplementary information.

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Support Facilities (Continued)

NORTH CAROLINA CENTRAL UNIVERSITY

New Admissions Building, \$5,943,400

The project would provide for construction of a 34,000 square-foot building to support the Undergraduate and Graduate Admissions Departments. The building would contain office space, classrooms, conference rooms, and an auditorium. The major cost components are:

Design	\$ 329,500
Construction	4,763,900
Fixed and Movable Equipment	850,000
Total	5,943,400

THE UNIVERSITY OF NORTH CAROLINA AT PEMBROKE

New Residence Hall, \$5,979,500

The project would provide for construction of a 72,000 square-foot residence hall to house 300 students. The facility would replace Wellons Hall and Jacobs Hall, two outdated and unattractive residence halls which house 235 students and are not code compliant. The University proposes to borrow \$2,800,000 toward financing this project as authorized by the General Assembly in 1996. The major cost components are:

Design	\$ 521,800
Construction	8,149,000
Fixed Equipment	108,700
Total	8,779,500

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Support Facilities (Continued)

Institution/Project	Estimated Cost	Supplemental Information		
		Benefits a Significant % of the Population	"Urgent" Need, Code Compliance	Other Funds
North Carolina Central University				
New Admissions Building	\$ 5,943,400	XXX		
UNC-Pembroke				
New Residence Hall	5,979,500	XXX	XXX	\$2,800,000
Total – Support Facilities	11,922,900			

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Land Acquisition
\$15,000,000

The final priority of the Board of Governors requests \$15,000,000 to be utilized by the University for acquisition of land. The request is presented without identification of specific requirements for individual institutions of the University, as advance disclosure of land acquisition plans tends to push prices higher. Further, a detailed land acquisition plan is often subject to interruptions of timing by factors which are not entirely controllable by the State and the University. Land acquisitions are planned to accommodate long-range growth and development and usually involve properties adjoining or near campus boundaries. Such properties are generally advantageous building or parking sites or serve to provide buffer areas for the campus.

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Supplementary Information

Two tables are provided as supplementary information to the Board of Governors' request for capital improvements projects. The first table lists projects for new facilities or for major repairs to existing facilities in the order of institutional priority. The second table lists projects for which funds have previously been provided for advanced planning or for partial construction.

**Institutional Priorities -
New Facilities or Major Renovations to Existing Facilities**

Appalachian State University

Renovation of Rankin Science Building	\$ 6,666,000
Addition and Renovation to Belk Library	10,629,400
Science/Mathematics Complex, Phase II	27,495,500

East Carolina University

Science Laboratories and Technology Building	47,997,600
Health Sciences Library Expansion	6,106,600
Third Floor Addition to the Home Economics/Nursing Building	6,700,700

Elizabeth City State University

G.R. Little Library Addition and Renovation	10,723,600
Addition to the Academic Computer Center	3,557,600

Fayetteville State University

Fine Arts and General Classroom Facility	15,524,400

North Carolina A&T State University

General Classroom and Laboratory Building Complex #1	31,526,200

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

North Carolina Central University

Lab Biology Building Renovation	\$ 1,359,200
B. N. Duke Auditorium, Renovations and Addition	2,962,500
Repairs to Five Academic Buildings	10,515,000
Robinson Science Building	946,000
New Admissions Building	5,943,400

North Carolina School of the Arts

Basic Education Complex	6,133,400
Filmmaking Office/Classroom Post Production Complex	2,000,000

North Carolina State University

Nelson Hall Renovation - Phase II	6,914,900
Toxicology Building	14,566,700
Research and Teaching Feed Mill	2,604,400
Undergraduate Science Teaching Laboratories - Phase I	21,228,900
Riddick, Mann, Daniels, and Burlington Hall Renovations	8,644,100
College of Veterinary Medicine, Main Building Addition	24,262,000
David-Clark Laboratory Renovation and Addition	9,990,800

The University of North Carolina at Asheville

Asheville Graduate Center - Phase II	792,700
Highsmith Center Renovation and Addition	8,782,000
Conference Center	3,000,000

The North Carolina Arboretum

Demonstration Greenhouse	3,269,600
Bus Parking	843,100
Main Entrance Road Utility and Landscape Development	1,956,200
Entrance Gates and Gatehouses	689,900
Plant Development and Introduction Center	1,677,800
Maintenance and Operations Facility	1,124,600
Roads and Walks	1,119,800

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

The University of North Carolina at Chapel Hill

R. B. House Library	\$ 6,629,400
Addition to Beard Hall - School of Pharmacy	8,824,600
Student Services Building	18,857,500
Medical Biomolecular Research Building	29,493,800
Addition and Renovation of the Knapp Building - Institute of Government	16,102,700
Hill Hall Music Library	7,170,900

The University of North Carolina at Charlotte

Academic Facilities - Humanities	20,597,300
Academic Facilities - Sciences	32,371,700

The University of North Carolina at Greensboro

Science Instructional Building	37,099,400
North Campus Infrastructure Development	5,681,600

The University of North Carolina at Pembroke

New Residence Hall	5,979,500
Regional Center for Economic, Professional and Community Development	6,157,600

The University of North Carolina at Wilmington

General Classroom Building	8,465,500
School of Education Building	16,656,100
Fine Arts Building	14,041,400

Western Carolina University

Fine and Performing Arts Center	25,422,100
Renovate Camp Lab School - Phase II	2,226,600

Winston-Salem State University

Addition and Renovation to the F.L. Atkins Building	5,198,500
Computer Science Facility	6,316,000

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

The University of North Carolina - Center for Public Television

Replacement and Upgrade of WUND-TV, Channel 2, Columbia Transmitter, Tower and Ancillary Equipment	\$ 7,144,500
Replacement and Upgrade of WUNK-TV, Channel 25, Greenville Transmitter and Ancillary Equipment	2,372,000
Mobile Satellite Uplink	743,700
Replace and Upgrade Studio Production Equipment	3,747,200

Projects With Funding for Advanced Planning or Partial Construction

East Carolina University

Science Laboratories and Technology Building	\$1,000,000

Elizabeth City State University

Addition to the Academic Computer Center	150,000

Fayetteville State University

Fine Arts and General Classroom Facility	750,000

North Carolina A&T State University

General Classroom and Laboratory Building Complex #1	2,000,000

North Carolina State University

Nelson Hall Renovation - Phase II	1,350,000
Undergraduate Science Teaching Laboratories – Phase I	650,000

The University of North Carolina at Asheville

Conference Center	4,251,400

The University of North Carolina at Chapel Hill, Academic Affairs

Addition and Renovation of the Knapp Building - Institute of Government	700,000
Hill Hall Music Library	850,000

The University of North Carolina at Chapel Hill, Health Affairs

Addition to Beard Hall - School of Pharmacy	1,000,000
Medical Biomolecular Research Building	1,000,000

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

The University of North Carolina at Charlotte Academic Facilities - Humanities	\$1,000,000
The University of North Carolina at Greensboro Science Instructional Building	2,000,000
The University of North Carolina at Pembroke New Residence Hall	2,800,000
Western Carolina University Fine and Performing Arts Center	2,000,000
The University of North Carolina Center for Public Television Replacement and Upgrade of WUND-TV, Channel 2, Columbia Transmitter, Tower and Ancillary Equipment	500,000

Note: All of the funds provided have been specific appropriations made by the General Assembly except for the following projects: ECSU Addition to the Academic Computer Center funds were allocated by the Board of Governors from a general appropriation for Advance Planning; UNC-P was authorized by the General Assembly to issue revenue bonds; and UNC CPTV funds were allocated from the Reserve for Repairs and Renovations as required by legislation.

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

CAPITAL IMPROVEMENTS REQUESTS

Schedule of Priorities Request for		
Line	General Fund Appropriations for <u>Capital Improvements</u>	1997-99
1.	Repairs and Renovations	\$ 130,000,000
2.	Fire Safety Improvements, Student Residence Halls	69,734,400
3.	1997 University-Wide Facilities Plan	642,894,800
	Total	842,629,200

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Schedule of Priorities – Capital Improvements

Line 1: Repairs and Renovations – \$130,000,000

In 1993, the General Assembly established a state-wide Reserve for Repairs and Renovations to address the deferred maintenance needs of General Fund supported facilities. General Statute 143-15.3A(a) states that "the State Controller shall reserve to the Repairs and Renovations Reserve Account three percent (3%) of the replacement value of all State buildings supported from the General Fund, at the end of each fiscal year." For the 1995-97 biennium, 46 percent of the amount reserved by the State Controller was allocated to the Board of Governors of the University of North Carolina – totals of \$57.5 million for 1995-96 and \$59.8 million for 1996-97. The repair and renovation funds were then allocated by the Board of Governors to the sixteen constituent institutions in accordance with the Board's Funding Allocation Model developed specifically for this purpose. Other organizations for which the Board has responsibility, e.g., the University of North Carolina Center for Public Television and the North Carolina School of Science and Mathematics, were allocated funds for high priority projects prior to applying the Board's formula to determine institutional allocations.

The Reserve for Repairs and Renovations has provided the University with the ability to begin to address the significant deferred maintenance needs of the institutions. The Board of Governors wishes to express its gratitude to the General Assembly for recognizing that significant expenditures must be made to repair and replace roofs, address fire safety needs, improve classroom facilities, address

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

deteriorating infrastructure, meet federal and State standards and meet the requirements of the Americans with Disabilities Act. Consistent with the requirement of the statutes, none of the repair and renovation funding has been used to increase the footprint of any facility; rather, each dollar has been spent to protect and improve upon the significant investment that the State has made in the facilities that support the University of North Carolina.

The statutes governing the Reserve for Repairs and Renovations define categories of projects that may be funded from the Reserve. Each project that the University has funded, and each that is now requested, fits within one of the categories listed below.

1. Roof repairs and replacements
2. Structural repairs
3. Repairs and renovations to meet federal and state standards
4. Repairs to electrical, plumbing, and heating, ventilating, and air-conditioning systems
5. Improvements to meet the requirements of the Americans with Disabilities Act, 42 U.S.C. § 12101 et seq., as amended
6. Improvements to meet fire safety needs
7. Improvements to existing facilities for energy efficiency
8. Improvements to remove asbestos, lead paint, and other contaminants, including the removal and replacement of underground storage tanks
9. Improvements and renovations to improve use of existing space
10. Historical restoration
11. Improvements to roads, walks, drives, and utilities infrastructure
12. Drainage and landscape improvements

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

The list that follows, which totals \$329,427,900, represents the portion of system-wide repair and renovation needs that institutions propose to fund from the Reserve for Repairs and Renovations over the next five years. The specific projects will be revised as buildings and infrastructure deteriorate and lower priority projects move to the top of the list. The amount requested, \$130,000,000, represents anticipated funding for the biennium assuming that the current level of funding prescribed by the statutes continues. The requested amount further assumes that the University's allocation from the state-wide Reserve will increase from 46 percent to 50 percent. Any additional funds provided to the University would allow for the acceleration of institutional repair and renovation plans.

As illustrated in the chart on the following page, all of the projects identified in the five-year institutional plans fit within the statutory categories. The category in need of the largest amount of funding is the one that includes utilities infrastructure. For example, 50 percent of the request for the University of North Carolina at Greensboro, an older campus with significant infrastructural problems, fits within this category. The second greatest category of need is the one that addresses problems with electrical, plumbing, heating, ventilating, and air conditioning systems. Twenty percent of all projects fall within this category. Next, the category for improving the usage of existing space represents 15 percent of the request as institutions strive to meet current standards for classroom and

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

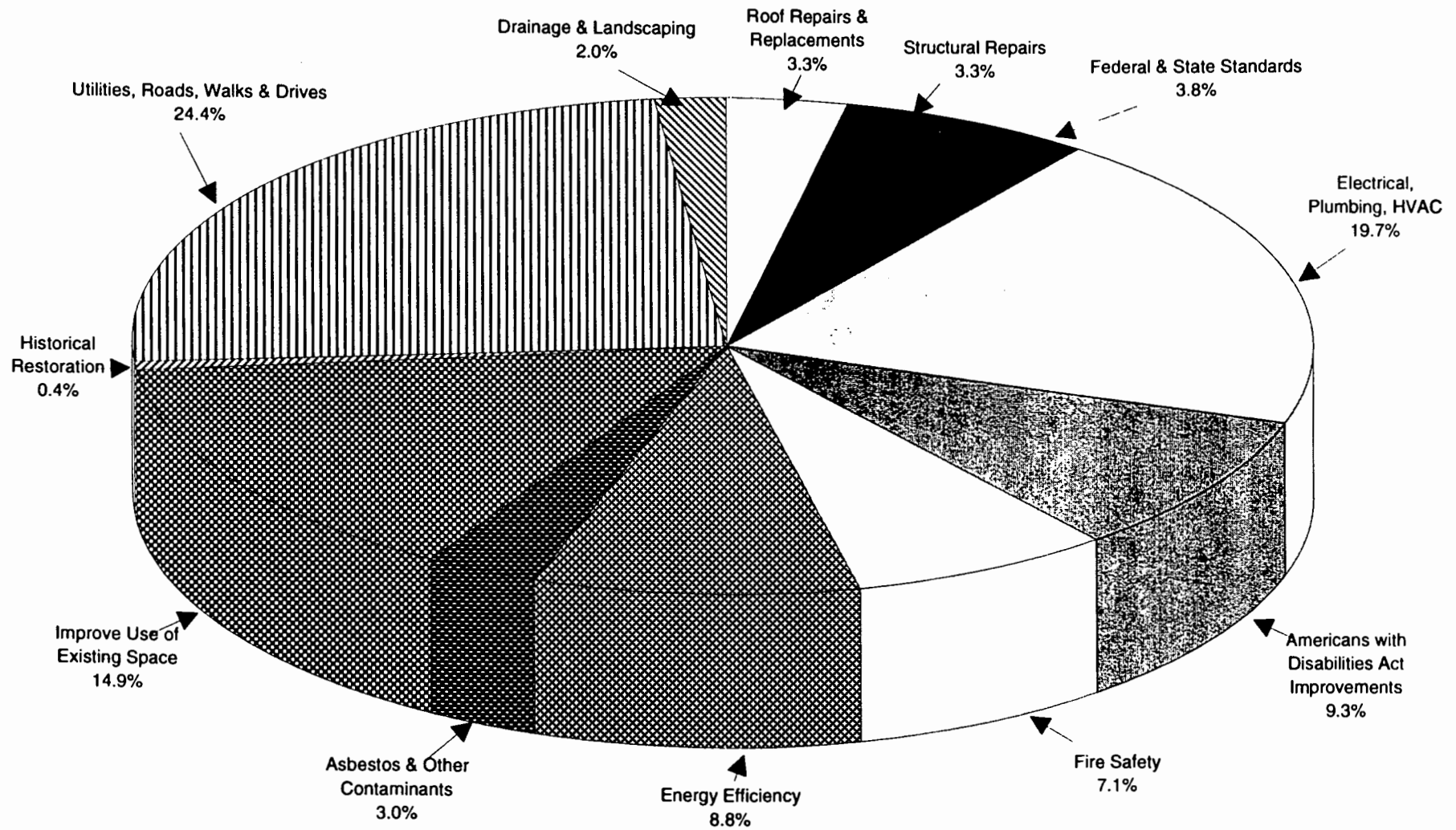
laboratory facilities. The remaining 40 percent of the request covers the remaining nine statutorily defined categories.

It is significant to note that 51 percent of the projects identified, or a total of \$168 million, directly address deficiencies documented by the Facilities Condition Assessment Program conducted by the Office of State Construction.

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

REPAIRS AND RENOVATIONS REQUESTS BY CATEGORY OF PROJECT



PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

The projects to be addressed by each institution over the next five years are listed below. The estimated amount for several of the projects is more than the amount that an institution could reasonably anticipate to be allocated on an annual basis, and institutional plans thus call for these projects to be phased-in over time. The cost of each project has been estimated by the Office of State Construction, Department of Administration.

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

APPALACHIAN STATE UNIVERSITY

Roof Repairs and Replacements - Five Academic Buildings	\$ 677,500
Window/Door Replacements for Seven Buildings, Waterproofing Eight Bldgs.	1,563,500
Improvements to Roads, Walks, Drives, and Utilities	1,422,200
Improvements to Meet Requirements of Americans with Disabilities Act	2,720,800
Improvements to Meet Fire and Life Safety Needs in Academic Buildings	2,489,900
Removal of Asbestos, Lead Based Paint, and Other Contaminants	1,917,600
Renovations to Improve Use of Existing Space	6,298,000
Repairs to Air Conditioning Systems	714,400
Drainage and Landscape Improvements	723,400
Total - Appalachian State University	18,527,300

EAST CAROLINA UNIVERSITY

Renovations to Twelve Academic Buildings	7,327,600
Renovations to Christenbury Gymnasium	5,813,700
Improvements Recommended by Department of Insurance-Academic Bldgs.	4,746,800
Academic Elevators Upgrade	4,207,100
Window Replacements - Seventeen Academic Buildings	3,802,400
Electrical Distribution Upgrade	3,744,900
Upgrade Academic Classrooms and Learning Laboratories	3,636,400
East Campus Irrigation System	2,880,000
Roof Replacements in Academic Facilities	1,831,200
Steam Plant Modifications	1,966,400
Total - East Carolina University	39,956,500

PART I UNIVERSITY OPERATIONS - Expansions and Improvements

Repairs and Renovations (Continued)

NORTH CAROLINA SCHOOL OF THE ARTS

Fire Detection and Sprinkler Systems in Academic Buildings	\$ 942,400
Roof Repairs and Exterior Waterproofing - Four Buildings	361,100
Replace HVAC System in the Chancellor's Residence	34,500
Replace Flooring and Acoustical Retrofit - Gray Building	54,200
Repairs to Campus Roadways and Parking Lots	173,800
Improvements to Costume Shops - Buildings 14 & 15	749,000
Repairs and Renovations to Crawford Hall and Recital Hall	476,100
Renovations to the Stevens Center	1,589,300
Repairs to High Voltage Electrical Service	103,300
HVAC Chiller Replacement in Workplace Building	122,500
Renovations to DeMille Theatre	224,700
Reroofing and Window Replacements in Moore and Sanford Residence Halls	304,900
Masonry Repairs	55,200
Total - North Carolina School of the Arts	5,191,000

NORTH CAROLINA STATE UNIVERSITY

Gardner Hall - Replace Drain System - Phase II	616,800
Fire Suppression Upgrade - Phase II (4 Buildings)	456,900
Campus Automated Energy System Installations and Upgrades - Phase I	653,700
Yarbrough Steam Plant Asbestos Abatement/Reinsulation - Phase I	2,075,200
Campus Masonry, Concrete and Waterproofing Repairs (16 Buildings)	804,100
Renovations to Cox Hall	2,935,300
Lake Wheeler Road Poultry Building Repairs - Phase I	177,000
CVM - Secondary Electrical Service Upgrade	572,500
Fire Detection Upgrade - Phase III (21 Buildings)	1,142,300
Campus - EPA "Greenlights" Lighting Upgrade - Phase I	415,100
Rocky Branch Restoration/Erosion Control - Phase III	417,800
Primary High Voltage Electrical Distribution - Phase IV	386,900

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

NORTH CAROLINA STATE UNIVERSITY (Continued)

Lake Wheeler Road Dairy Building Repairs - Phase I	\$ 87,000
Renovations to Academic Classroom Facilities	929,500
Roof Repair - Phase III (6 Buildings)	748,000
Extension of NE Campus Chilled Water Loop - Phase III	1,783,100
Chilled Water Line Replacement - CVM Finger Barns	484,800
Secondary Electrical Renovations - Phase VII (7 Academic Buildings)	1,208,300
College of Veterinary Medicine - Floor Replacement	249,700
Pamlico Aquaculture Building Repairs - Phase I	163,000
Energy Studies - Demand Side Management and System Efficiency	150,000
Replacement of Steam Distribution Expansion Joints	57,400
Fire Detection Upgrade - Phase IV (29 Buildings)	1,631,800
Architectural Barrier Removal Projects Throughout Campus	3,658,800
Riddick Labs HVAC Renovation	3,454,400
Repair and Replacement of Cates Steam Plant Pumps and Compressors	62,400
Horticultural Crops Research Station Repairs - Phase I	107,000
Gardner Hall HVAC Renovations	3,501,500
Upgrades to Fume Hoods - Riddick, Burlington, Withers, and Research I	5,767,200
Primary High Voltage Electrical Distribution - Phase V	386,900
Fire Code Upgrade - Emergency Egress Lighting - Phase I	337,100
Fire Partition Rating Upgrade (11 Buildings)	135,700
CVM - New Fire Main	313,400
Cunningham Research Station Repairs - Phase I	88,000
Renovations to the Minerals Research Laboratory	2,170,300
Centennial Campus Infrastructure Improvements	2,308,500
Install Fume Hood Air Flow Monitors	2,247,000
Install New Area Lighting - Phase I	261,100
OSHA Handrails/Egress Renovations Throughout Campus	744,100
Fire Suppression Upgrade - Phase III (5 Buildings)	832,300
Reedy Creek Road Building Repairs - Phase I	108,000
Condensate and Steam Line Upgrades - Phase I	329,000

PART I UNIVERSITY OPERATIONS - Expansions and Improvements

Repairs and Renovations (Continued)

ELIZABETH CITY STATE UNIVERSITY

Architectural Barrier Removal Projects - Nine Campus Buildings	\$ 172,100
Elevator Installations - Lester and Williams Halls	356,000
Automated Energy Management System	681,400
G. R. Little Library Sprinkler System	515,600
Improvements to Roads and Walks	477,200
Repairs and Renovations to Eleven Campus Buildings	1,149,100
Structural Repairs to Lane Hall	165,900
Improvements to Four Campus Buildings for Energy Efficiency (Lighting)	253,600
Upgrade Campus Utilities	642,100
Roof Replacement to Dixon Hall	192,800
Roof Replacement to Thomas/Jenkins Facilities Management Building	125,500
Resurfacing Six Tennis Courts	76,300
Total - Elizabeth City State University	4,807,600

FAYETTEVILLE STATE UNIVERSITY

Renovations to the Cook Building	430,000
Renovations to Seabrook Auditorium	488,500
Renovations to the Taylor Science Building	694,600
Renovations to the Taylor Science Annex	478,900
Renovations to the G. L. Butler Building	417,700
Campus Landscaping West Campus	355,200
Renovations to the Lyons Science Building	733,300
Renovations to the Telecommunications Center	156,000
Renovations to Spaulding Infirmary	186,600
Automated Energy Management System	364,900
Floor Tile Replacement, Vance and Bryant Halls	752,400
Carpet Replacement School of Business and Economics	156,300
Mechanical System Upgrade, Harris Hall	189,500

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

FAYETTEVILLE STATE UNIVERSITY (Continued)

Campus Landscaping East Campus	\$ 355,200
Repairs to Connector, Bryant Hall	100,700
Total - Fayetteville State University	5,859,800

NORTH CAROLINA A & T STATE UNIVERSITY

Roof Replacements and Repairs	1,115,300
T. E. Neal Boiler Replacement - Phase II	3,060,800
Barnes Hall Renovations	3,407,500
Benbow Hall Repairs	1,863,400
General Building Repairs - Twelve Academic Buildings	5,089,000
Cherry Hall Renovations	4,141,300
Campus Utilities Improvements	3,387,200
Total - North Carolina A&T State University	22,064,500

NORTH CAROLINA CENTRAL UNIVERSITY

Campus Safety Improvements	2,270,900
Repairs to the Underground Steam Distribution Lines	8,889,500
Removal of Underground Storage Tanks	48,900
Emergency Power Generator System	69,400
Chemistry Building Renovations	550,400
Old Health Building Electrical Upgrade	172,800
Safety Improvements to Physical Plant	762,900
Improvements to Turner Law School Book Storage	163,500
Improvements to Administration Offices - College of Arts and Sciences	459,900
Energy Conservation Improvements	1,220,100
Total - North Carolina Central University	14,608,300

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL – ACADEMIC AFFAIRS

Hot Water Heating System Replacement (Phase 1 and 2)	\$ 6,087,100
Renovate Carroll Hall for Journalism and Mass Communications	4,370,300
Renovations in Peabody Hall	2,702,800
Infrastructure Improvements, Meadowmont	1,192,400
Install Fire Alarm/Detection Systems in Twenty Buildings	1,343,400
Renovate Murphey Hall	1,727,500
Install Chilled Water A/C in Classroom Buildings (Advance Planning)	600,000
Upgrade Energy Management and Control System	3,381,900
Renovations - Institute of Marine Sciences	1,658,000
Architectural Barrier Removal Projects	5,112,400
Replace/Repair Roofs on Ten Buildings	973,200
Waterproof Foundation of Alumni Building	153,200
Waterproof Foundation of Bynum Hall	127,700
Hill Hall - Refurbish Auditorium and Replace Seating	1,052,800
PlayMakers Theatre - Air Condition and Replace Seating	873,400
Extend Chilled Water System to Davie, Alumni and Morehead	638,400
Install 18-Inch Steam Line, Venable Hall to Dey Hall	296,400
Exhaust Fan Replacement - Venable Hall	420,100
Repairs to Bowman Gray Pool	157,000
Water Line Renovation, Wilson Hall	87,600
Storm Drainage System Repair (Advance Planning)	250,000
Upgrade Electric Service, Hill Hall	150,800
Repairs to Sidewalks	358,300
Repairs to Physical Education Facilities	860,800
Replace Broadcast Antenna System, WUNC	687,600
Roof Repairs and Waterproofing, Smith Center	300,900
Total - UNC-Chapel Hill - Academic Affairs	35,564,000

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL – HEALTH AFFAIRS

Replace Boiler #5	\$ 3,475,900
Renovation of Medical Science Research Building	5,390,800
Renovations to Old Dental Building	5,458,700
HVAC Renovations, Rosenau Hall	3,735,600
Renovations, Beard Hall First Floor	96,500
Replace Emergency Generator, Molecular Biology/Glaxo Building	193,300
Reconstruct Playground, Frank Porter Graham Center	100,600
Architectural Barrier Removal Projects	3,778,600
Renovate Miller Hall	768,900
Recaulk Exterior, Brinkhous-Bullitt Building	126,500
South Chiller Plant Expansion - Phase II	4,467,500
Improvements to Steam Service for Central Research & Burnett Womack Bldgs.	1,021,500
Replace Generator, Taylor Hall	456,700
Steam Line Improvements, Carolina Inn to Beard Hall	766,100
Replace Roofs - Three Buildings	505,800
Health Sciences Library Renovation (Advance Planning)	200,000
Repairs to Roads and Sidewalks	340,500
Steam Absorption Chiller, Wilson-Coker-Mitchell Plant (Advance Planning)	250,000
Renovate Design and Education Support Center - School of Nursing	158,100
Renovate Orthodontic and Pediatric Clinics, Brauer Hall	1,762,000
Door Security Systems, School of Medicine	334,000
Renovate Basement of Rosenau Hall	419,300
Replace Gilsulate Steam Distribution System (Advance Planning)	500,000
Renovate Dental Faculty Practice Area, Brauer Hall	515,800
Classroom Improvements, School of Medicine	416,200
Renovation of Observation Laboratory, Frank Porter Graham Center	59,100
HVAC Upgrade, First Floor Brauer Hall	976,800
Renovations in Animal Quarters, Burnett-Womack Building	633,400
Renovate Graduate Student Clinic, Brauer Hall	834,100
Construct South Loop Road - Phase I	4,268,800
Total - UNC-Chapel Hill, Health Affairs	42,011,100

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

NORTH CAROLINA STATE UNIVERSITY (Continued)

Roof Replacement - Phase IV (9 Buildings)	\$ 778,200
Cooling Tower Replacements - Kamphoefner, Biltmore, Harris, and Gardner	299,600
Branch Circuit Renovations - Gardner Hall - Phase I	374,500
Bragaw Substation Upgrade	1,685,200
Cates Plant Oil Contamination Remediation	237,200
Renovations to Poe Hall	852,500
Central Crops Research Station Reports	129,000
Miscellaneous Plumbing Repairs - Phase I	381,700
Substation Landscaping Improvements	181,000
Campus-Wide Recycling Stations	287,200
Window Replacement - Mycotoxin, Patterson, and Kilgore	386,300
Lake Wheeler Road Poultry Building Repairs - Phase II	125,000
Kilgore Hall HVAC Renovations	951,700
Cox Hall Air Quality and Performance Upgrade	1,145,600
Gardner South - Air Quality and Performance Upgrade	2,219,900
Harrelson Hall - Air Quality and Performance Upgrade	1,296,100
Street Repairs - Phase I	624,400
Pamlico Aquaculture Building Repairs - Phase II	200,000
Campus Entrance Landscape Improvements	763,000
Sullivan Site Steam Line Installation	2,995,900
Primary High Voltage Electrical Distribution - Phase VI	395,200
Sullivan Site Utility Development - Phase I	382,000
Yarbrough Steam Plant Smoke Stack Repairs	64,900
Lake Wheeler Road Dairy Building Repairs - Phase II	212,000
Winston, Caldwell, and Tompkins Chilled Water Controls	417,600
Installation of Energy Management Control Center	184,200
Broughton Hall HVAC Renovations	1,862,300
Total - North Carolina State University	64,391,100

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT ASHEVILLE

Repair and Renovation of Rhoades Science Tower Laboratory	\$ 552,300
HVAC Improvements - Rhoades Science Hall	791,700
Repairs to Lipinsky Hall	513,700
Phillips Hall Window Replacements	188,000
Upgrade Secondary Electrical Distribution System - Two Academic Buildings	376,000
Repairs to Roads, Streets, and Sidewalks	1,018,100
Removal of Underground Storage Tanks	191,500
Upgrade Fire Alarm Systems in Academic Facilities	191,500
HVAC System Improvements - Justice Center	753,400
Phillips Hall Renovations	1,471,100
Asbestos Removal - Campus-wide Mechanical Rooms	127,700
Improvements to Electrical System - Justice Center	178,800
Elevator Installation - Phillips Hall	191,500
Total - UNC-Asheville	6,545,300

THE NORTH CAROLINA ARBORETUM

Improvements to the Utility Corridor	288,700
Fire Safety Improvements	546,500
Roof Replacement - Visitor Education Center	333,500
Total - The North Carolina Arboretum	1,168,700

PART I UNIVERSITY OPERATIONS - Expansions and Improvements

Repairs and Renovations (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT WILMINGTON

Roof Repairs - Main Campus	\$ 414,800
Structural Repairs to Campus Facilities	454,400
HVAC Improvements to Campus Facilities	491,000
Repairs and Improvements to Electrical Distribution System	419,400
Renovations to Academic Facilities	153,500
Water Tower Protective Coating	65,900
Sidewalk Improvements and Bike Paths	239,100
Improvements to Storm Drainage System	410,700
Natural Gas Loop Completion and Cathodic Protection	290,400
Facility Improvements for Energy Efficiency	617,700
Improvements to Primary Electrical Distribution System	1,093,400
Renovation of Hoggard Hall	1,727,200
Renovation of Physical Plant Administration Building	114,700
Emergency Electrical Generators	227,800
Architectural Barrier Removal Projects - Phase I	34,700
HVAC Improvements - Alderman, Hanover, and Westside Halls	1,075,200
Campus Road Improvements - Hooker Road	568,000
Total - UNC-Wilmington	8,397,900

WESTERN CAROLINA UNIVERSITY

Roof Replacement and Repairs	442,500
Improvements to Roads, Walks, and Drives	469,800
Minor Building Renovations - Various Locations	227,900
Chiller Replacement/CFC Retrofit - Academic and Support Buildings	1,433,900
Renovations to Clinic Annex	2,709,900
Window Replacement - Belk Building	715,400
Steam Distribution System Renovations	737,500
Installation of Automated Energy Management System	725,200

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

WESTERN CAROLINA UNIVERSITY (Continued)

Correct Fire Safety Deficiencies - Academic and Administrative Facilities	\$ 328,000
Renovations to Bird Building	1,093,100
Steam Plant Improvements	1,033,700
Central Fire Reporting - Phase IV	403,700
OSHA Improvements - Phase II	441,200
Renovations to Breese Gym - Phase II	283,500
Repairs to Exterior Walls	125,400
Upgrade Underground Electrical Distribution System	1,003,700
Architectural Barrier Removal Projects - Phase I	953,600
HVAC Improvements - Old Student Union	178,800
Repairs to Ice Rink - Ramsey Activity Center	523,900
Renovate Lockset Hardware in Eleven Buildings	114,900
Renovate Child Care Area - Camp Building	882,500
Upgrade Overhead Electrical Distribution System	776,700
Renovate Tennis Courts	289,600
Art Department Renovation - Belk Building	284,600
Activate Broadband Cable System	232,800
Fire Safety Renovations - Hunter Library	329,700
Reconstruct Physical Education Track Facility	741,200
Highlands Biological Station - Renovate Clark Foreman Museum Building	187,800
Total - Western Carolina University	17,670,500

WINSTON-SALEM STATE UNIVERSITY

Renovations of Anderson Jr. High School - Phase III	1,490,700
Campus Infrastructure Improvements for Roads and Pedestrian Walkways	3,053,900
Building Repairs to Academic Facilities	321,100
HVAC System Repairs - Hill Hall	1,012,300
Total - Winston-Salem State University	5,878,000

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT CHARLOTTE

Academic Facilities Renovations - Phase 2	\$ 638,900
Roadway Improvements - Phase 1	2,798,600
Chiller Replacement and Conversion	740,200
Campus Sign System	572,300
Library Renovations - Phase 1	1,185,900
Renovations to the Physical Sciences Building Chemistry Laboratories	235,900
Improvements to Exterior Lighting - Phase 1	154,600
Repairs and Improvements to Campus Roadways	407,200
Classroom Improvements - Phase 1	494,600
Renovations for Multimedia Language Laboratory	334,300
Fire Safety Improvements in Academic Facilities	321,000
High Voltage Switch Replacement	558,100
Architectural Barrier Removal Projects - Phase 1	12,700
Installation of Water System Loop - Phase 2	299,600
Window Replacements - Kennedy Building	230,700
Academic Facilities Renovations - Phase 3	997,500
Energy Monitoring System - Phase 2	262,100
Roadway Improvements - Phase 2	1,766,700
Total - UNC-Charlotte	12,010,900

THE UNIVERSITY OF NORTH CAROLINA AT GREENSBORO

Fire and Life Safety Improvements - Academic Buildings	373,000
High Voltage Electrical Switch Replacements	1,105,600
Roof Replacements and Repairs - Academic Buildings	109,700
Asbestos Removal	208,000
Building Waterproofing	658,300
Underground Fuel Storage Removal - Phase I	304,800
Architectural Barrier Removal Projects - Phase I	233,100

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Repairs and Renovations (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT GREENSBORO (Continued)

Utilities Infrastructure Improvements	\$ 4,486,300
New Electrical Substation	1,874,600
Elevator Upgrade - Phase I	261,700
CFC Refrigerant Management and Mechanical Systems Replacement	1,344,300
Campus-wide Utility Repairs, Replacements and Extensions	1,029,500
Central Automated Utilities Control - Phase I	1,841,200
Renovations for Energy Efficiency	1,147,800
HVAC System Controls Replacement - Phase I	546,200
Architectural Barrier Removal Projects - Phase II	466,200
Renovations to Sanitary Sewer System	251,200
Improvements to Roads and Walks - Phase II	228,900
Carpet Replacement - Various Locations	400,500
Mechanical, Electrical, and Plumbing System Improvements	972,600
Repairs and Improvements to Campus Landscaping	326,200
Renovations to Brown and Brown Annex Buildings	1,366,800
Wall Repairs - Eberhart Building	944,000
Total - UNC-Greensboro	20,480,500

THE UNIVERSITY OF NORTH CAROLINA AT PEMBROKE

Window Replacements in Campus Facilities	769,900
Boiler Replacement - Jacobs Hall	71,300
Improvements for Fire Safety in Academic and Administrative Facilities	320,500
Electrical System Improvements	33,400
New Earth Science Laboratory - Business Administration Building	130,200
Roof Replacement - Upper Levels of Health and Physical Education Building	469,000
Roof Replacement - Central Stores	219,000
Lighting and Sound System Improvements - Performing Arts Center	560,000
Power Winches - Health and P.E. Building	11,900
Automated Energy Management System	343,600
Renovations to Old Main	255,900
Total - UNC-Pembroke	3,184,700

PART I UNIVERSITY OPERATIONS - Expansions and Improvements

Repairs and Renovations (Continued)

THE UNIVERSITY OF NORTH CAROLINA CENTER FOR PUBLIC TELEVISION

Electrical System Improvements - Bryan Center	\$ 333,600
Emergency Generator Systems at Transmitter Sites	624,300
Improvements to the Emergency Broadcast System	152,300
Total - The University of North Carolina Center for Public Television	1,110,200
TOTAL - REPAIRS AND RENOVATIONS	329,427,900

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Schedule of Priorities – Capital Improvements

Line 2: Fire Safety Improvements, Student Residence Halls – \$69,734,400

House Bill 53, Sec. 16.4(a), which was ratified by the General Assembly in 1996, required that the Board of Governors conduct a comprehensive survey of campus residential facilities, including facilities at the North Carolina School of Science and Mathematics, to examine "potential fire hazards at those facilities, and the fire detection and safety equipment currently installed in those facilities." The survey found that \$4,721,040 was needed to complete the installation of central smoke detection and alarm systems in all residence halls. This estimate provides for systems that would be interconnected with a central campus alarm system that is continuously monitored at a single location beyond the confines of each residence building. The Board of Governors' survey reported that the installation of sprinkler systems in all residence halls not so equipped would cost \$76,514,121. The University's residence halls house approximately 49,000 occupants in 25,371 separate residence rooms.

House Bill 53, Sec. 16.4(b) required that improvements to fire safety systems be addressed by using institutional housing trust funds and other institutional resources as a first source of funding. The legislation further allowed the University to use 1996 repair and renovation funds as a secondary source of funding. In 1996 the Board of Governors authorized fire safety projects in residence halls totaling \$9.9 million – \$4.1 million to be financed from Institutional Housing

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Line 2: Fire Safety Improvements, Student Residence Halls (Continued)

Trust Funds and \$5.8 million from the 1996 Reserve for Repairs and Renovations. House Bill 53, Sec. 16.4(c) required that all unfunded needs identified by the survey be addressed by the Board of Governors in the 1997-99 Budget Request. This line, coupled with an additional \$1.6 million from anticipated institutional housing trust fund reserves, meets that legislative directive and identifies unfunded fire safety projects totaling \$69,734,400.

The installation of fire safety and sprinkler systems throughout campus residence halls is anticipated to occur throughout and beyond the 1997-99 biennium. In the majority of residence halls, to avoid disruption of the academic life of residents, fire sprinkler systems would be installed when all occupants have been removed. Removal of residence halls from service during the academic year would result in problems for large numbers of students who would be forced to obtain local private housing. For this reason, much of the program would be accomplished during the summer term, when the demand for housing is lower. A secondary issue for consideration is the market limitation on the contracting capacity of licensed fire sprinkler contractors.

The following program is based on institutional project groupings of residential facilities. All cost estimates have been approved by the Office of State Construction, Department of Administration.

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Line 2: Fire Safety Improvements, Student Residence Halls (Continued)

APPALACHIAN STATE UNIVERSITY

Sprinkler Systems - East Area Residence Halls (Cannon, Hoey, Doughton, Lovill, White, Coffey, Cone, and East Residence Halls)	\$ 1,448,900
<i>This \$2,448,900 project would be funded through a combination of Institutional Housing Trust Funds (\$1,000,000) and 1997-99 appropriated funds (\$1,448,900).</i>	
Sprinkler Systems - West Area Residence Halls (Justice, Gardner, Coltrane, Bowie, Eggers, Winkler, Frank, and Belk Residence Halls)	2,507,900
TOTAL – Appalachian State University	3,956,800

EAST CAROLINA UNIVERSITY

Fire Safety Renovations for Greene and Clement Residence Halls	1,347,900
Fire Safety Renovations for Cotten Residence Hall	401,200
Fire Safety Renovations for White Residence Hall	684,700
Fire Safety Renovations for Tyler Residence Hall	783,200
Fire Safety Renovations for Fleming Residence Hall	285,400
Fire Safety Renovations for Fletcher Residence Hall	2,022,300
Fire Safety Renovations for Garrett Residence Hall	451,200
Fire Safety Renovations for Aycock Residence Hall	737,000
Fire Safety Renovations for Jones Residence Hall	840,600
Fire Safety Renovations for Belk Residence Hall	2,219,900
Fire Safety Renovations for Scott Residence Hall	799,100
Fire Safety Renovations for Slay and Umstead Residence Halls	512,100
TOTAL – East Carolina University	11,084,600

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Line 2: Fire Safety Improvements, Student Residence Halls (Continued)

ELIZABETH CITY STATE UNIVERSITY

Sprinkler Systems for Five Residence Halls (Bias, Butler, Cale, Doles, and Mitchell-Lewis Residence Halls)	599,800
Sprinkler Systems (Symera and Wamack Residence Halls)	285,300
TOTAL – Elizabeth City State University	885,100

FAYETTEVILLE STATE UNIVERSITY

Sprinkler Installations (Bryant and Harris Residence Halls)	\$ 341,500
Sprinkler Installations (New Residence, Smith, Vance, and Williams Residence Halls)	687,000
TOTAL – Fayetteville State University	1,028,500

NORTH CAROLINA AGRICULTURAL AND TECHNICAL STATE UNIVERSITY

Residence Halls Fire Safety Systems - Phase III (Barbee, Cooper, Gamble, Haley, Holt, Morrison, Morrow, Scott, and Vanstory Residence Halls)	2,372,600

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Line 2: Fire Safety Improvements, Student Residence Halls (Continued)

NORTH CAROLINA CENTRAL UNIVERSITY

Installation of Sprinkler Systems in Eagleson/Graduate Apartments	\$ 500,000
<i>This \$578,900 project would be funded using \$78,900 from Institutional Housing Trust Funds and \$500,000 from appropriated funds.</i>	
Installation of Sprinkler Systems in Baynes and McLean Residence Halls	500,000
<i>Project total cost is \$667,000 to be funded using \$167,000 from Institutional Housing Trust Funds and \$500,000 from appropriated funds.</i>	
Installation of Sprinkler Systems in Annie Day/Latham/Rush Residence Halls	701,700
Installation of Sprinkler Systems in Chidley Hall	834,200
TOTAL – North Carolina Central University	2,535,900

NORTH CAROLINA SCHOOL OF THE ARTS

Automatic Sprinkler and Fire Control System for Eight Residence Halls (Moore, Sanford, and Residence Halls A-F)	670,800

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Line 2: Fire Safety Improvements, Student Residence Halls (Continued)

NORTH CAROLINA STATE UNIVERSITY

Fire Suppression Systems (Bowen, Carroll, and Metcalf Residence Halls)	\$ 1,505,700
Fire Suppression Systems (Lee and Sullivan Residence Halls)	2,160,000
Fire Suppression System (North Residence Hall)	599,900
Fire Suppression Systems (Bagwell, Becton, & Berry Residence Halls)	549,000
Fire Suppression Systems (Gold, Welch, and Syme Residence Halls)	438,700
Fire Suppression Systems (E. S. King Village)	1,457,200
Fire Suppression Systems (Alexander, Tucker, Owen, and Turlington Residence Halls)	1,263,800
Fire Suppression System (Watauga Residence Hall)	240,000
Fire Suppression System Installations (12 Fraternity Residence Buildings)	745,100
Fire Suppression System Installations (Bragaw & Wood Residence Halls)	1,675,900
TOTAL – North Carolina State University	10,635,300

THE UNIVERSITY OF NORTH CAROLINA AT ASHEVILLE

Install Sprinkler Systems in 11 Residence Halls (9 Governor's Village units, High Rise, and Mills Residence Halls)	868,500

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Line 2: Fire Safety Improvements, Student Residence Halls (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL

Install Sprinkler Systems in High Rise Residence Halls (Craigie, Ehringhaus, Hinton-James, and Morrison)	\$ 11,684,100
Install Sprinkler Systems (Alderman, Kenan, McIver, Spencer, Carmichael, Cobb, and Whitehead Residence Halls)	1,285,700
Install Sprinkler Systems (Parker, Teague, and Avery Residence Halls)	2,477,900
Install Sprinkler Systems (Ruffin, Grimes, Manly, Mangum, Lewis, Everett, and Stacy Residence Halls)	503,600
Install Sprinkler Systems (Alexander, Connor, Joyner, and Winston Residence Halls)	581,700
Install Sprinkler Systems in Odum Village	1,498,000
TOTAL – UNC-Chapel Hill	18,031,000

THE UNIVERSITY OF NORTH CAROLINA AT CHARLOTTE

Residence Halls Fire Detection Systems - Phase II (Scott, Sanford, Holshouser, Moore, Hickory, Sycamore, Cedar, Hawthorn, Poplar, Oak, Maple, Elm, and Pine)	1,055,400
Residence Halls Sprinkler Systems (Scott, Sanford, Holshouser, Moore, Hunt Village, Martin Village - Phase III, Hickory, Sycamore, Cedar, Hawthorn, Oak, Maple, Elm, and Pine)	5,327,800
TOTAL – UNC-Charlotte	6,383,200

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Line 2: Fire Safety Improvements, Student Residence Halls (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT GREENSBORO

Fire Sprinkler Systems (Grogan and Reynolds Residence Halls)	\$ 983,500
Fire Sprinkler Systems for Quad Residence Halls (Shaw, Hinshaw, Gray, Bailey, Cotton, Jamison, and Coit Residence Halls)	1,134,700
Fire Sprinkler Systems for Nine Residence Halls (Winfield, Weil, Ragsdale, Mendenhall, S. Spencer, N. Spencer, Guilford, Mary Foust, and Spring Garden Apartments)	2,435,400
TOTAL – UNC-Greensboro	4,553,600

THE UNIVERSITY OF NORTH CAROLINA AT PEMBROKE

Sprinkler Systems in Five Dorms (Belk, North, West, Jacobs, and Wellons Residence Halls)	912,600

THE UNIVERSITY OF NORTH CAROLINA AT WILMINGTON

Sprinkler System for Galloway Residence Hall	402,000
Sprinkler Systems (Belk and Graham Residence Halls)	312,300
Sprinkler Systems (Hewlett and Schwartz Residence Halls)	341,200
Alarm Detection System for Galloway Residence Hall	128,700
Sprinkler Systems (Suites O through U)	514,000
Alarm Detection Systems (Bell, Graham, and Hewlett Residence Halls)	245,600
TOTAL – UNC-Wilmington	1,943,800

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Line 2: Fire Safety Improvements, Student Residence Halls (Continued)

WESTERN CAROLINA UNIVERSITY

Fire Safety Improvements - Residential Facilities - Phase II (Albright-Benton, Buchanan, Helder, Leatherwood, and Reynolds Residence Halls)	\$ 2,277,800

WINSTON-SALEM STATE UNIVERSITY

Fire Safety System for Brown Hall	1,594,300

GRAND TOTAL – FIRE SAFETY	69,734,400

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Schedule of Priorities – Capital Improvements

Line 3: 1997 University-Wide Facilities Plan – \$642,894,800

On this Line the Board of Governors presents the comprehensive six-year plan on behalf of the sixteen constituent institutions, the North Carolina Arboretum, and the University of North Carolina Center for Public Television for new buildings, major additions to or extensive remodeling of existing buildings, and infrastructure improvements. The Plan includes the highest priorities for new construction and renovations. The General Assembly has previously appropriated advanced planning or partial financing for a number of the projects. Those projects are included in this request and the amounts appropriated are detailed in the project descriptions.

The Board of Governors in May 1996 adopted a new format for the presentation of the capital improvements request to the General Assembly. Projects are categorized and the categories are presented in priority order to provide explicit information about the Board's priorities. The category of projects to meet "current capacity" needs is the highest priority category and the largest category. The University is currently establishing standards for instructional, laboratory, and faculty and administrative office space. When the standards are finalized, they will provide substantially improved information to assist in prioritizing projects

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

within this category, as well as other categories. The completion of the development of standards will allow for projects within categories to be prioritized.

At the end of each category is a matrix containing supplemental information for each project. These matrices are presented to the General Assembly for the first time in this budget request and provide useful information for identifying projects for funding. As the University's capital improvements processes are further defined and space standards are developed, the information presented in matrix format should become even more useful.

The project priority categories of the Board of Governors are as follows:

Project Category	Request
Current Capacity	\$ 388,250,000
Future Capacity	34,196,200
Technology	32,340,600
Program Quality	114,789,600
Special Purpose	46,395,500
Support Facility	11,922,900
Land Acquisition	15,000,000
Total	642,894,800

While the projects represent current needs, it is recognized that it is not likely that all of this plan can be funded in a single Session of the General Assembly. The Board therefore commends the approach that was followed in response to the presentation of similar University-wide Plans in

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

previous biennia. In those instances, successive Sessions funded some projects for construction, others for partial or phased construction, and others for advanced planning. This permitted a methodical program for completion of all projects, with the responsibility and the timing of sequence appropriately shared by the Board, the Governor and the Advisory Budget Commission, and the General Assembly.

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity
\$388,250,000

The first priority category of the Board of Governors provides for new facilities or major renovations to existing facilities to meet the needs of students currently enrolled in the University. Twenty-eight projects totaling \$352,702,300 provide for expanded and improved classrooms, laboratories, and libraries. Three projects totaling \$29,866,100 provide expanded student service facilities and one project for \$5,681,600 provides for infrastructure development.

Descriptions of the projects follow, including cost estimates approved by the Office of State Construction, Department of Administration. Following the project descriptions is a matrix that summarizes the current capacity projects and provides supplementary information.

PART I UNIVERSITY OPERATIONS – Expansions and Improvements1997 University-Wide Facilities Plan (Continued)**Category: Current Capacity (Continued)**

APPALACHIAN STATE UNIVERSITY

Renovation of Rankin Science Building, \$6,666,000

The project would provide modernized laboratory and classroom facilities throughout the 134,984 square-foot Rankin Science Building, constructed in phases from 1962 through 1970. Interior and exterior renovations would include new windows, doors, roofing, flooring, ceilings, stairs, and elevators as well as major changes to the plumbing, electrical, and data wiring systems. Toxic surveys and asbestos abatement are requirements of this project. The Rankin Science Building renovation would allow modernization of areas to accommodate the departments of Biology, Geography and Planning, Geology, and Astronomy. In the last five years, the number of students in these four departments has grown from 500 to over 800 with similar growth projected through the year 2001. The major cost components are:

Design	\$ 327,800
Site Preparation	135,000
Construction	5,468,200
Fixed and Movable Equipment	735,000
Total	6,666,000

Addition and Renovation to Belk Library, \$10,629,400

The project would provide for construction of a 65,000 square-foot addition to Belk Library as well as substantial renovation of the existing 142,672 square-foot facility. Belk Library was constructed in 1980 to provide facilities for 10,000 students; enrollment at Appalachian State University is now approaching 13,000 students. The project would provide expanded study and research areas, improvements to the Reference Department, and major technological updates to meet the specialized library requirements of today's students. The major cost components are:

Design	\$ 531,200
Site Preparation	381,900
Construction	9,251,300
Fixed and Movable Equipment	465,000
Total	10,629,400

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

EAST CAROLINA UNIVERSITY – ACADEMIC AFFAIRS

Science Laboratories and Technology Building, \$47,997,600

The project would provide for construction of a 259,000 square-foot building for use by the Departments of Chemistry and Industrial Technology. The building would contain laboratories, classrooms, an auditorium, faculty offices, and support areas. Currently, the departments utilize a facility constructed during the 1930s with laboratories that have not been updated since the 1950s. The newly constructed building would serve in excess of 2,300 students majoring in science and technology curricula. In 1996 the General Assembly appropriated \$1,000,000 toward this \$48,997,600 project. The major cost components are:

Design	\$ 2,519,500
Site Preparation	360,000
Construction	44,118,100
Fixed and Movable Equipment	2,000,000
Total	48,997,600

EAST CAROLINA UNIVERSITY – HEALTH AFFAIRS

Health Sciences Library Expansion, \$6,106,600

The project would provide for construction of a 37,074 square-foot addition to the Brody Building to expand the existing Health Sciences Library. Fifty-five percent of the addition would consist of stacks for housing books; the remainder would consist of carrels, individual and group study areas, and administrative offices. The library facilities serve 2,600 students in the Schools of Medicine, Nursing, Allied Health, and Social Work. The major cost components are:

Design	\$ 410,900
Site Preparation	15,000
Construction	5,430,700
Fixed and Movable Equipment	250,000
Total	6,106,600

PART I UNIVERSITY OPERATIONS – Expansions and Improvements1997 University-Wide Facilities Plan (Continued)**Category: Current Capacity (Continued)****ELIZABETH CITY STATE UNIVERSITY**G. R. Little Library Addition and Renovation, \$10,723,600

The project would provide for renovation of the 42,000 square-foot G. R. Little Library and for an addition to double the amount of space currently available. The addition would house 700,000 volumes and contain study rooms and cubicles for students, conference and seminar rooms, and office space. The current facilities seat 500 students; when the renovations and addition are completed, the facilities would seat 2,500 students. The major cost components are:

Design	\$ 637,300
Site Preparation	84,000
Construction	9,038,400
Fixed and Movable Equipment	963,900
Total	10,723,600

Addition to the Academic Computer Center, \$3,557,600

The project would provide a 25,000 square-foot addition to the Academic Computer Center. The addition would contain instructional laboratories, general purpose areas, a technical library, and administrative offices. New videoconferencing and data communications services would be available through this project. Construction of the new facility would permit consolidation of the university computing function which is currently housed in four separate locations on campus. The consolidated facility would serve all students and faculty. In 1995, \$150,000 in advance planning funds were provided for this project. The major cost components are:

Design	\$ 278,100
Construction	3,279,500
Fixed and Movable Equipment	150,000
Total	3,707,600

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

FAYETTEVILLE STATE UNIVERSITY

Fine Arts and General Classroom Facility, \$15,524,400

The project would provide for construction of a 114,000 square-foot building with classrooms, laboratories, studios, galleries, and a theater. The building would accommodate the fine arts program and provide classrooms to meet the instructional requirements of the College of Arts and Sciences. The facilities would serve 1,800 students majoring in disciplines offered by this college as well other students taking required and elective courses. The General Assembly has previously appropriated \$750,000 toward this \$16,274,400 project. The major cost components are:

Design	\$ 1,070,600
Site Preparation	300,000
Construction	14,493,800
Fixed and Movable Equipment	410,000
Total	16,274,400

NORTH CAROLINA CENTRAL UNIVERSITY

Lee Biology Building Renovation, \$1,359,200

The project would provide for renovation of the 31,000 square-foot building which houses the Department of Biology. The renovations would include improvements to laboratories, improvements to the electrical system, roof replacement, basement waterproofing, and modifications to meet the requirements of the Americans with Disabilities Act. The building currently serves 297 undergraduate and 30 graduate students pursuing academic majors in biology as well as 400 additional students taking elective courses in the field of biology. The major cost components are:

Design	\$ 104,500
Construction	1,254,700
Total	1,359,200

PART I UNIVERSITY OPERATIONS – Expansions and Improvements1997 University-Wide Facilities Plan (Continued)**Category: Current Capacity (Continued)****NORTH CAROLINA STATE UNIVERSITY****Nelson Hall Renovation - Phase II, \$6,914,900**

The project would provide for conversion of existing space (150,000 square feet) in Nelson Hall into functional, efficient space for the new College of Management. The renovation would include HVAC installation and internal reconfigurations as required. The College of Management serves 2,650 students in its academic major fields with 4,465 additional students taking elective courses each semester. Advance planning funds totaling \$1,350,000 have been previously appropriated for this \$8,264,900 project. The major cost components are:

Design	\$ 562,600
Construction	7,702,300
Total	8,264,900

Toxicology Building, \$14,566,700

The project would provide for construction of a 55,000 square-foot facility on the Centennial Campus to consolidate the existing Toxicology Program into one building. The facility would include classrooms, research laboratories, and offices. The use of toxic and carcinogenic chemicals and radioactive substances requires precise design and construction with particular attention devoted to air quality, life safety, and waste management. The major cost components are:

Design	\$ 760,600
Site Preparation	1,004,000
Construction	11,262,100
Fixed and Movable Equipment	1,540,000
Total	14,566,700

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

NORTH CAROLINA STATE UNIVERSITY (Continued)

College of Veterinary Medicine, Main Building Addition, \$24,262,000

The project would provide for a 100,000 square-foot addition to the College of Veterinary Medicine. Included in the project are 40 research laboratories, four multipurpose central procedural laboratories, ten central research facilities, two clinical research laboratories, one electron and light microscopy laboratory, one population medicine/computer laboratory, nine animal rooms, 24 seminar/conference rooms, and a 300-seat lecture hall. The facility would serve all 460 students in the College of Veterinary Medicine. The major cost components are:

Design	\$ 1,657,900
Construction	20,054,100
Fixed and Movable Equipment	2,550,000
Total	24,262,000

David-Clark Laboratory Renovation and Addition, \$9,990,800

The project would provide for the addition of 18,368 square feet and the renovation of 37,124 square feet of space in David-Clark Laboratory. The building has been partially vacant since the Department of Textiles moved to the Centennial Campus in 1991. The project would provide needed laboratory, classroom, and office facilities for zoology programs. The facilities would serve 2,400 students taking courses in the field of zoology. The major cost components are:

Design	\$ 521,700
Construction	8,521,500
Fixed and Movable Equipment	947,600
Total	9,990,800

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

NORTH CAROLINA CENTRAL UNIVERSITY (Continued)

Repairs to Five Academic Buildings, \$10,515,000

The project would provide for the renovation of several academic facilities containing over 120,000 square feet of space. Included are improvements to Taylor Education Building, Edmonds Classroom Building, Farris-Newton Communications Building, the Fine Arts Building, and five cottages. The project includes roof repairs and replacements, HVAC system repairs and replacements, the installation of energy efficient lighting, and modifications to meet requirements of the Americans with Disabilities Act. The major cost components are:

Design	\$ 868,400
Construction	9,646,600
Total	10,515,000

Robinson Science Building, \$946,000

The proposed project would provide for improvements to the 28,000 square-foot Robinson Science Building including electrical system upgrades, asbestos and lead paint removal, roof replacement, and telecommunications improvements. The building supports 1,800 students taking courses in the Mathematics and Computer Science Department and the Physics Department. The major cost components are:

Design	\$ 56,200
Construction	789,900
Fixed Equipment	99,900
Total	946,000

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

NORTH CAROLINA SCHOOL OF THE ARTS

Basic Education Complex, \$6,133,400

The proposed project would provide for the construction of a 37,100 square-foot complex with general purpose classrooms, a 250-seat recital hall, a hall for drama rehearsal and informal public performances, and faculty offices. Also, the project would provide 7,000 additional square feet of housing for 60 high school students. The major cost components are:

Design	\$ 399,000
Site Preparation	100,000
Construction	5,059,400
Fixed and Movable Equipment	575,000
Total	6,133,400

Filmmaking Office/Classroom Post Production Complex, \$2,000,000

The project would provide for construction of a 14,769 square-foot facility to support the School of Filmmaking. Included would be classrooms, offices, a library, and a computer laboratory. The major cost components are:

Design	100,300
Construction	1,799,700
Fixed and Movable Equipment	100,000
Total	2,000,000

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL – HEALTH AFFAIRS

Addition to Beard Hall - School of Pharmacy, \$8,824,600

The project would provide a 50,000 square-foot addition to Beard Hall containing classrooms, laboratories, student work space, and faculty offices. The School of Pharmacy first occupied Beard Hall in 1960 when the School consisted of 248 students and 13 faculty and staff members. The School currently has 619 students and 85 faculty and staff members. Advance planning funds of \$1,000,000 have previously been appropriated for this \$9,824,600 project. The major cost components are:

Design	\$ 597,600
Site Preparation	630,000
Construction	7,347,000
Fixed and Movable Equipment	1,250,000
Total	9,824,600

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL – HEALTH AFFAIRS (Continued)

Medical Biomolecular Research Building, \$29,493,800

The project would provide for construction of a 125,000 square-foot building to house basic research components and allow for the initiation and development of new programs that incorporate the tools of cellular and molecular biology. The proposed building would contain faculty laboratories and facilities for laboratory support including specialized laboratories for sequencing and synthesizing proteins; tissue culture laboratories; environmental rooms (both warm and cold); photographic dark rooms; equipment rooms for high-speed and refrigerated centrifuges; radioisotope laboratories, including special fume hoods; maintenance shop facilities; and facilities to house and maintain a number of different species of animals. Advance planning funds of \$1,000,000 have previously been appropriated for this \$30,493,800 project. The major cost components are:

Design	\$ 1,730,300
Site Preparation	645,000
Construction	24,143,500
Fixed and Movable Equipment	3,975,000
Total	30,493,800

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT ASHEVILLE

Asheville Graduate Center - Phase II, \$792,700

The project would provide for completion of 7,900 square feet of space on the third floor of Karpen Hall to house the Department of Mass Communications. The major cost components are:

Design	\$ 53,350
Construction	499,350
Fixed and Movable Equipment	240,000
Total	792,700

Highsmith Center Renovation and Addition, \$8,782,000

The project would provide for the renovation of 34,000 square feet and the addition of 41,400 square feet to the Highsmith Center, a facility that is utilized by the entire student body as well as faculty and staff. The Center houses the student union, the campus bookstore, and student program facilities: the career center, student government operations, the school newspaper, student lounges, and the campus post office. The center also provides student dining facilities. The Highsmith Center was constructed in the early 1980s when campus enrollment was approximately 1,400 students; the enrollment for 1996 is anticipated to be in excess of 2,600 students. The major cost components are:

Design	\$ 458,600
Site Preparation	235,000
Construction	7,578,400
Fixed and Movable Equipment	510,000
Total	8,782,000

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL – ACADEMIC AFFAIRS

R. B. House Library, \$6,629,400

The project would provide for complete renovation of the R. B. House Library, constructed in 1968. The library would be reconfigured to serve library needs of over 17,000 undergraduate students as well as the needs of the Office of Information Technology (OIT). The library and the OIT would share a lecture/demonstration room and a computer-equipped classroom. Student study areas would be expanded and group study rooms would be provided. The computing lab of the Office of Information Technology would contain 80 workstations. The project also includes new HVAC and electrical systems, as well as asbestos removal. The major cost components are:

Design	\$ 403,200
Site Preparation	645,000
Construction	4,330,900
Fixed and Movable Equipment	1,250,300
Total	6,629,400

Student Services Building, \$18,857,500

The project would provide a six-story 116,000 square-foot addition on top of the existing Daniels Building (Student Stores). The Daniels Building was constructed with foundations to accommodate later addition if required. The addition would support student needs including Student Affairs, Records and Registration, Student Aid, the Placement Service, General College Advisors, Arts and Sciences Advisors, Housing, Job Counseling, the Reading Program, and the Cashier's Office. Centralizing these administrative functions will free campus space for academic purposes. The major cost components are:

Design	\$ 948,000
Site Preparation	435,000
Construction	16,414,500
Fixed and Movable Equipment	1,060,000
Total	18,857,500

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT CHARLOTTE

Academic Facilities - Humanities, \$20,597,300

The project would provide for construction of a 120,000 square-foot building to support the Department of Music, the Department of Dance and Theater, and other humanities departments. The facility would house classrooms, teaching studios, meeting rooms, offices, and theater space. UNC-Charlotte has the lowest ratio of academic facilities per student within the University. This facility would serve 2,400 students enrolled in music, dance, and theater courses each semester, as well as 1,800 students enrolled in other humanities courses. Completion of the facility would release space in Rowe building to accommodate an overcrowded and expanding Art Department. Advance planning funds of \$1,000,000 have previously been appropriated for this \$21,597,300 project. The major cost components are:

Design	\$ 1,110,500
Site Preparation	666,000
Construction	18,170,800
Movable Equipment	1,650,000
Total	21,597,300

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT WILMINGTON (Continued)

School of Education Building, \$16,656,100

The project would provide for an 80,000 square-foot building to house the Watson School of Education. The facility would contain 23 classrooms, two auditoriums, 35 faculty offices, as well as numerous learning laboratories and other instructional space. In the last five years, the University has added three master's degree programs, a baccalaureate program, and fourteen outreach programs in the School of Education. Student enrollment has grown from 621 students to 1,031 students during this same period. The facility is needed to accommodate the present enrollment and to gather teaching faculty into one building. The major cost components are:

Design	\$ 819,000
Construction	14,837,100
Movable Equipment	1,000,000
Total	16,656,100

Fine Arts Building, \$14,041,400

The project would provide for construction of an 87,000 square-foot building to house the Departments of Visual Arts, Theater and Dance, Music, and Speech Communications. The facility would include 23 classrooms, three auditoriums, eight seminar rooms, 16 individual studios, 13 teaching studios, and 23 faculty offices. The Department of Fine Arts is currently housed in Kenan Hall which was originally designed as a general classroom facility and lacks adequate facilities, e.g., sound isolation and acoustical control, that are needed for specialized instruction. The major cost components are:

Design	\$ 882,500
Construction	12,458,900
Movable Equipment	700,000
Total	14,041,400

PART I UNIVERSITY OPERATIONS – Expansions and Improvements1997 University-Wide Facilities Plan (Continued)**Category: Current Capacity (Continued)****WESTERN CAROLINA UNIVERSITY****Fine and Performing Arts Center, \$25,422,100**

The project would provide for construction of a 139,200 square-foot building to be used by the Visual Art, Music and Communication, and Theater Arts Departments. Included in the project would be three galleries; 39 private studios for faculty, senior/graduate students, and artists in residence; 12 classroom studios; 12 studio support rooms, e.g., dark rooms, ceramic preparation rooms; three critique rooms, two lecture auditoriums, one seminar room, and one production studio, as well as faculty and support facilities. The General Assembly has previously appropriated \$2,000,000 toward this \$27,422,100 project. The major cost components are:

Design	\$ 1,738,000
Site Preparation	900,000
Construction	21,241,500
Fixed and Movable Equipment	3,542,600
Total	27,422,100

Renovate Camp Lab School - Phase II, \$2,226,600

The project would provide for conversion of building interiors configured for a public school (K-12) to space designed for University programs and operations. Phase I of the project, financed from the 1993 University Improvement Bonds, provided for general repairs to correct deferred maintenance and code compliance deficiencies. The Camp Lab facilities consist of a classroom building (55,618 square feet), a shop (4,826 square feet), and a gymnasium (14,821 square feet). The major cost components are:

Design	\$ 132,300
Site Preparation	325,500
Construction	1,768,800
Total	2,226,600

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

WINSTON-SALEM STATE UNIVERSITY

Addition and Renovation to the F. L. Atkins Building, \$5,198,500

The project would provide for renovations to the F. L. Atkins Building and for the construction of a 19,044 square-foot addition to meet the requirements of the Nursing, Medical Technology, and Physical Therapy Departments and to provide space for the new occupational therapy program. The addition would primarily be a laboratory facility with faculty offices and conference rooms. The major cost components are:

Design	\$ 349,800
Site Preparation	126,500
Construction	4,375,000
Fixed and Movable Equipment	347,200
Total	5,198,500

Computer Science Facility, \$6,316,000

The project would provide for construction of a new 35,700 square-foot facility which would consolidate computer science activities currently housed in three separate facilities. Included in the facility would be eight classrooms, two microcomputer laboratories, a research laboratory, facilities to house computer mainframes in support of both academic and administrative computing, and 16 faculty offices. The facility would accommodate 3,400 students taking courses in computer science. The major cost components are:

Design	\$ 463,100
Site Preparation	326,700
Construction	4,806,200
Fixed and Movable Equipment	720,000
Total	6,316,000

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)**Category: Current Capacity (Continued)**

Institution/Project	Cost Estimate	Supplemental Information								
		Major Repair/ Renovation Project	Re-Use of an Existing Facility	Renovation in Lieu of New Construction	Completes a Partially Built Facility	Achieves Material Operating Savings	Benefits a Significant % of the Population	Rewards Good Planning & Management	"Urgent" Need, Code Compliance	Appropriations, Other Funding
Appalachian State University										
Renovation of Rankin Science Building	\$ 6,666,000	XXX	XXX				XXX	XXX		
Addition and Renovation to Belk Library	10,629,400	XXX					XXX			
East Carolina University, Academic Affairs										
Science Laboratories and Technology Building	47,997,600						XXX	XXX	XXX	\$ 1,000,000
East Carolina University, Health Affairs										
Health Sciences Library Expansion	6,106,600						XXX	XXX		
Elizabeth City State University										
G. R. Little Library Addition and Renovation	10,723,600	XXX					XXX			
Addition to the Academic Computing Center	3,557,600						XXX	XXX		150,000
Fayetteville State University										
Fine Arts and General Classroom Facility	15,524,400						XXX	XXX		750,000
North Carolina Central University										
Lee Biology Building Renovation	1,359,200	XXX		XXX			XXX	XXX	XXX	
Repairs to Five Academic Buildings	10,515,000	XXX		XXX			XXX		XXX	
Robinson Science Building	946,000	XXX		XXX			XXX		XXX	
North Carolina School of the Arts										
Basic Educational Complex	6,133,400						XXX	XXX		
Filmmaking Office/Classroom Post Production Complex	2,000,000				XXX					
North Carolina State University										
Nelson Hall Renovation - Phase II	6,264,900	XXX	XXX	XXX		XXX	XXX	XXX	XXX	1,350,000
Toxicology Building	14,566,700					XXX		XXX	XXX	
College of Veterinary Medicine, Main Building Addition	24,262,000						XXX	XXX		
David Clark Laboratory Renovation and Addition	9,990,800	XXX	XXX	XXX		XXX	XXX	XXX	XXX	
UNC-Asheville										
Asheville Graduate Center, Phase II	792,700				XXX		XXX			
Highsmith Center Renovation and Addition	8,782,000	XXX	XXX				XXX	XXX		
UNC-Chapel Hill, Academic Affairs										
R. B. House Library Renovations	6,629,400	XXX	XXX	XXX			XXX	XXX		
Student Services Building	18,857,500				XXX		XXX	XXX		
Addition to Beard Hall - School of Pharmacy	8,824,600						XXX		XXX	1,000,000

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Current Capacity (Continued)

Institution/Project	Cost Estimate	Supplemental Information								Appropriations, Other Funding
		Major Repair/ Renovation Project	Re-Use of an Existing Facility	Renovation in Lieu of New Construction	Completes a Partially Built Facility	Achieves Material Operating Savings	Benefits a Significant % of the Population	Rewards Good Planning & Management	"Urgent" Need, Code Compliance	
UNC-Chapel Hill, Health Affairs Medical Biomolecular Research Building	\$29,493,800							XXX		\$ 1,000,000
UNC-Charlotte Academic Facilities - Humanities	20,597,300						XXX	XXX		1,000,000
Academic Facility - Sciences	32,371,700						XXX	XXX		
UNC-Greensboro North Campus Infrastructure Development	5,681,600						XXX	XXX	XXX	
UNC-Wilmington General Classroom Building	8,465,500						XXX	XXX		
School of Education Building	16,656,100						XXX	XXX		
Fine Arts Building	14,041,400						XXX	XXX		
Western Carolina University Fine and Performing Arts Center	25,422,100						XXX	XXX		2,000,000
Renovate Camp Lab School - Phase II	2,226,600	XXX	XXX		XXX		XXX			
Winston-Salem State University Addition and Renovation to the F.L. Atkins Building	5,198,500						XXX		XXX	
Computer Science Facility	6,316,000						XXX	XXX		
Total - Current Capacity	387,600,000									

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Future Capacity
\$34,196,200

The Board of Governors requests two projects to expand instructional facilities to accommodate growth in enrollment. The 1993 University Improvement Bonds provided \$15,000,000 to Appalachian State University for the first phase of expansion to science and mathematics facilities; Phase II of the project is estimated to cost \$27,495,500. At East Carolina University, an addition to the Rivers Building would provide expanded facilities for the Schools of Nursing and Human Environmental Sciences.

Descriptions of the projects follow, including cost estimates approved by the Office of State Construction, Department of Administration. Following the project descriptions is a matrix that summarizes the "future capacity" projects and provides supplementary information.

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Future Capacity (Continued)

APPALACHIAN STATE UNIVERSITY

Science/Mathematics Complex, Phase II, \$27,495,500

The project would provide for construction of a 112,000 square-foot building to upgrade the facilities for the Natural and Mathematical Sciences – the second phase of a major institutional initiative to improve science facilities. The building would contain instructional classrooms, teaching laboratories, research laboratories, and computer laboratories as well as offices for faculty and graduate assistants. Phase I of the Science/Mathematics Complex was financed through the 1993 University Improvement Bonds. The major cost components are:

Design	\$ 1,413,800
Site Preparation	10,000
Construction	23,071,700
Fixed and Movable Equipment	3,000,000
Total	27,495,500

EAST CAROLINA UNIVERSITY, ACADEMIC AFFAIRS

Third Floor Addition to the Home Economics/Nursing Building, \$6,700,700

The project would provide for the construction of a third floor addition to the Rivers Building which houses the Schools of Nursing and Human Environmental Sciences. The Rivers Building was constructed in 1967 with plans to add a third floor when funding became available. The addition would provide lecture rooms, laboratories, educational technology support facilities, and offices for faculty and graduate assistants. The major cost components are:

Design	\$ 424,700
Site Preparation	88,600
Construction	5,822,100
Fixed and Movable Equipment	365,300
Total	6,700,700

PART I UNIVERSITY OPERATIONS – Expansions and Improvements**1997 University-Wide Facilities Plan (Continued)****Category: Future Capacity (Continued)**

Project	Estimated Cost	Supplemental Information		
		Completes an Underfunded or Partially Built Facility	Benefits a Significant % of the Population	Rewards Good Facilities Planning & Management
Appalachian State University				
Science/Mathematics Complex, Phase II	\$27,495,500	XXX	XXX	
East Carolina University, Academic Affairs				
Third Floor Addition to the Home Economics/ Nursing Building	6,700,700	XXX	XXX	XXX
Total - Future Capacity	34,196,200			

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Technology
\$32,340,600

The Board of Governors' budget request for "Information Technology - Computing and Telecommunications" in the section on "Expansions and Improvements Current Operations" (Line 3) discusses the need for institutions to transform themselves to "realize the potential of information technology for instruction and foster the innovation that is bound to follow." The initial investment in required infrastructure is both substantial and critical to ensure that faculty and students have the technological resources available as they make the transition to the 21st century. The installation of basic network infrastructure throughout all academic, administrative, and student facilities is essential to the teaching, learning, and research processes. Long-range institutional plans provide for the wiring of all residence halls to ensure that students have access to technology at all times. For the biennium, the request of \$32,340,600 would complete the installation of fiber optics backbones at all institutions and complete the wiring of all academic facilities.

Institutional investments to date in technology have occurred as fast as resources have become available for this purpose. State appropriations, institutional trust funds, and borrowed funds have been used to make the necessary investment in technology. As a result, the level of technology available to students and faculty varies among the institutions. This request helps

PART I UNIVERSITY OPERATIONS – Expansions and Improvements**1997 University-Wide Facilities Plan (Continued)****Category: Technology (Continued)**

ensure that all campuses will have the resources available to make technology available throughout all academic facilities.

Institutional requests for funds for technology infrastructure are identified in the following table:

Institution	Budget Request
Appalachian State University	\$ 770,000
East Carolina University	1,033,000
Elizabeth City State University	1,011,000
Fayetteville State University	507,000
North Carolina A & T State University	1,250,800
North Carolina Central University	5,866,700
North Carolina School of the Arts	1,303,800
North Carolina State University	4,028,400
University of North Carolina at Asheville	1,420,000
University of North Carolina at Chapel Hill	8,682,800
University of North Carolina at Charlotte	1,690,300
University of North Carolina at Greensboro	1,511,200
University of North Carolina at Pembroke	395,600
The University of North Carolina at Wilmington	445,000
Western Carolina University	670,600
Winston-Salem State University	1,754,400

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Program Quality
\$114,789,600

The third project category of the Board of Governors presents seven projects to improve the quality of programs offered at six institutions. The projects provide for improvements in undergraduate instructional opportunities and for enhancements to meet and exceed prescribed academic standards and long established missions or programs offered by institutions. The request addresses specific needs of institutions in the areas of science, music, engineering, and business. Quality facilities enable an institution to attract high caliber students and faculty and to compete successfully for research grants.

Descriptions of the projects follow, including cost estimates approved by the Office of State Construction, Department of Administration. Following the project descriptions is a matrix that summarizes the "program quality" projects and provides supplementary information.

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Program Quality (Continued)

NORTH CAROLINA AGRICULTURAL AND TECHNICAL STATE UNIVERSITY

General Classroom and Laboratory Building Complex #1, \$31,526,200

The project would provide for the construction of a 135,200 square-foot building and the renovation of two buildings, Crosby Hall (57,796 square feet) and Merrick Hall (57,485 square feet), to support classroom and laboratory needs of the College of Arts and Sciences, the School of Business and Economics, and the Academic Advisory Counseling Center. The three-building complex would be connected with overhead pedestrian crosswalks. Included in the proposed new building are 44 classrooms, three computer classrooms, four teleclassrooms, three computer laboratories, 15 small rooms for advising/counseling, two departmental libraries, a mainframe computer facility, and offices for academic administration, faculty, and staff. The renovations in Crosby Hall and Merrick Hall would provide modernized laboratory facilities, upgraded classrooms and teleclassrooms, roof and window replacements, and HVAC system improvements. The project further includes the construction of a 300 car parking structure. Advance planning funds totaling \$2,000,000 have been previously appropriated for this \$33,526,200 project. The major cost components are:

Design	\$ 1,723,900
Construction	26,464,600
Fixed and Movable Equipment	5,337,700
Total	33,526,200

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Program Quality (Continued)

NORTH CAROLINA CENTRAL UNIVERSITY

B. N. Duke Auditorium, Renovations and Addition, \$2,962,500

The project would provide for renovation of the 14,316 square-foot B. N. Duke Auditorium building to provide improvements to the projection, sound, and lighting systems and to meet all requirements of the Americans with Disabilities Act. In addition, the second floor of the existing band room would be expanded by 5,684 square feet. Elevator installation, improvements to the HVAC system, and roof repairs are all components of this project. The major cost components are:

Design	\$ 244,700
Construction	2,667,800
Fixed and Movable Equipment	50,000
Total	2,962,500

NORTH CAROLINA STATE UNIVERSITY

Undergraduate Science Teaching Laboratories - Phase I, \$21,228,900

The project would provide for construction of a 45,000 square-foot Science Teaching Laboratory building. The facility would support undergraduate instruction in chemistry, biochemistry, and physics. Each semester 7,500 students take courses in these subject areas. The project further includes the construction of parking facilities. The General Assembly has previously appropriated \$650,000 toward this \$21,878,900 project. The major cost components are:

Design	\$ 1,142,500
Site Preparation	5,019,000
Construction	14,603,600
Fixed and Movable Equipment	1,113,800
Total	21,878,900

PART I UNIVERSITY OPERATIONS – Expansions and Improvements1997 University-Wide Facilities Plan (Continued)**Category: Program Quality (Continued)****NORTH CAROLINA STATE UNIVERSITY (Continued)**Riddick, Mann, Daniels, and Burlington Hall Renovations, \$8,644,100

The project would provide for the renovation of 60,000 square feet of instructional and office space in four buildings in the College of Engineering. The renovations would include building reconfigurations and general refurbishment, increased electrical system capacity, and improved HVAC systems and ventilation systems. The four buildings support 2,495 undergraduate and 474 graduate students. The major cost components are:

Design	\$ 451,400
Construction	6,122,700
Fixed and Movable Equipment	2,070,000
Total	8,644,100

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL, ACADEMIC AFFAIRSHill Hall Music Library, \$7,170,900

The project would provide for a 34,250 square-foot addition to Hill Hall to house the library for the Department of Music. Hill Hall was originally constructed in 1907 and has been the home of the Department of Music since 1929. The current library has less than 7,000 square feet and houses over 90,000 volumes, 6,000 microfilms, and 24,500 sound recordings. Much of the collection is housed in stacks on the basement level which has been expanded by excavating a catacomb around the pier foundation. The resulting space is contorted, damp, and not satisfactory for its use. The current library space would be renovated for seminar and music practice rooms. Advance planning funds of \$850,000 have previously been appropriated for this \$8,020,900 project. The major cost components are:

Design	\$ 552,400
Site Preparation	415,000
Construction	5,853,500
Movable Equipment	1,200,000
Total	8,020,900

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Program Quality (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT GREENSBORO

Science Instructional Building, \$37,099,400

The project would provide for construction of 157,000 square feet of classroom, laboratory, and office space for use by the Departments of Chemistry, Biology, Anthropology, Physics, Psychology, and Food Management. The facility would include 23 classrooms, 2 auditoriums, 46 laboratories, and 32 faculty offices. The Petty Science Building and the Eberhart Building, which currently house the chemistry and biology programs, would later be renovated to meet general classroom and research needs. Completion of the facility would significantly enhance the program quality of the science curriculum of the University. Advance planning funds of \$2,000,000 have previously been appropriated for this \$39,099,400 project. The major cost components are:

Land	\$ 1,500,000
Design	1,965,500
Site Preparation	375,000
Construction	32,481,400
Fixed and Movable Equipment	2,777,500
Total	39,099,400

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Program Quality (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT PEMBROKE

Regional Center for Economic, Professional and Community Development, \$6,157,600

The project would provide for construction of a 71,854 square-foot building for use as a Continuing Education Center for Business and Economics. The center would house research and assistance programs for businesses and potential entrepreneurs to enhance the economic quality of life in the south central region of North Carolina. The facility includes guest sleeping quarters. The School of Business would also be housed within the center. The total cost of the project is \$12,315,200; matching funds would be provided from a federal grant. The major cost components are:

Design	\$ 792,400
Site Preparation	646,700
Construction	10,157,500
Fixed and Movable Equipment	718,600
Total	12,315,200

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Program Quality (Continued)

Institution/Project	Estimated Cost	Supplemental Information						
		Major Repair/ Renovation Project	Re-Use of an Existing Facility	Achieves Material Operating Savings	Benefits a Significant % of the Population	Rewards Good Facilities Planning & Management	"Urgent" Need, Code Compliance	Previous Appro- priations
North Carolina A & T State University								
General Classroom and Laboratory Building Complex #1	\$ 31,526,200				XXX	XXX		\$2,000,000
North Carolina Central University								
B. N. Duke Auditorium	2,962,500	XXX					XXX	
North Carolina State University								
Undergraduate Science Teaching Laboratories - Phase I	21,878,900				XXX	XXX	XXX	650,000
Riddick, Mann, Daniels and Burlington Hall Renovations	8,644,100	XXX	XXX	XXX		XXX	XXX	
UNC-Chapel Hill, Academic Affairs								
Hill Hall Music Library	7,170,900						XXX	850,000
UNC-Greensboro								
Science Instructional Building	37,099,400				XXX	XXX		2,000,000
UNC-Pembroke								
Regional Center for Economic, Professional and Community Development	6,157,600				XXX			
Total – Program Quality	115,439,600							

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

**Category: Special Purpose
\$46,395,500**

The next priority category of the Board of Governors presents projects that serve special purposes and provide service to both student and non-student constituencies. These projects meet state-wide public policy needs and contribute to the economic development and growth of the state. The category requests funds for a project at North Carolina State University for agricultural research, projects for the continued development of the North Carolina Arboretum, a proposed conference center at UNC-Asheville, a project for expanding the services of the Institute of Government, and four projects for the University of North Carolina Center for Public Television.

Descriptions of the projects follow, including cost estimates approved by the Office of State Construction, Department of Administration. Following the project descriptions is a matrix that summarizes the special purpose projects and provides supplementary information.

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Special Purpose (Continued)

NORTH CAROLINA STATE UNIVERSITY

Research and Teaching Feed Mill, \$2,604,400

The proposed project would provide for construction of a 10,000 square-foot building to serve as a research and demonstration facility for feed manufacturing processes. The major cost components are:

Design	\$ 157,200
Construction	1,137,200
Fixed and Movable Equipment	1,310,000
Total	2,604,400

THE UNIVERSITY OF NORTH CAROLINA AT ASHEVILLE

Conference Center, \$3,000,000

The project would provide for construction of the multi-purpose Asheville Conference Center Complex of approximately 76,650 square feet. The project has five components: a 1200 seat regional meeting center; conference center clusters; the Center for Creative Retirement; support offices; and space for maintenance, circulation, mechanical, and toilet facilities. Advance planning funds and partial construction funds totaling \$4,251,400 have been previously appropriated for this \$21,320,600 project. The requested funds would allow the University to continue to plan the facility and extend infrastructure to the proposed site. Full funding is anticipated to be requested in the 1999-2001 biennium. The major cost components are:

Design	\$ 887,500
Site Preparation	390,500
Construction	17,092,600
Fixed and Movable Equipment	2,950,000
Total	21,320,600

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Special Purpose (Continued)

THE UNIVERSITY OF NORTH CAROLINA AT ASHEVILLE (Continued)

THE NORTH CAROLINA ARBORETUM, \$10,681,000

The projects would provide for the continuing, orderly development of the North Carolina Arboretum, a special activity of the University administered through a Board of Directors and The University of North Carolina at Asheville. The projects, in priority order, are:

Demonstration Greenhouse	\$ 3,269,600
Bus Parking	843,100
Main Entrance Road Utility and Landscape Development	1,956,200
Entrance Gates and Gatehouses	689,900
Plant Development and Introduction Center	1,677,800
Maintenance and Operations Facility	1,124,600
Roads and Walks	1,119,800
Total	10,681,000

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL – ACADEMIC AFFAIRS

Addition and Renovation of the Knapp Building - Institute of Government, \$16,102,700

The project would provide for complete renovation of 55,000 square feet and the addition of 64,300 square feet to the Knapp Building to meet the requirements of the Institute of Government. The building was constructed in 1956 when the Institute had 21 faculty members and a support staff of 20; the Institute now has 96 faculty and staff members. More than 6,000 people take courses at the Institute each year. The project also includes the construction of a parking deck. In 1994 the General Assembly appropriated \$700,000 for planning this \$16,802,700 project. The major cost components are:

Design	\$ 1,022,000
Site Preparation	1,588,000
Construction	13,174,700
Fixed and Movable Equipment	1,018,000
Total	16,802,700

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Special Purpose (Continued)

THE UNIVERSITY OF NORTH CAROLINA – CENTER FOR PUBLIC TELEVISION

Replacement and Upgrade of WUND-TV, Channel 2, Columbia Transmitter, Tower and Ancillary Equipment, \$7,144,500

The project would provide for construction of a 1,500 foot tower and replacement of the existing transmitter with a 60kw transmitter to better serve fifteen counties in the northeastern region of North Carolina. The project also provides for replacement of terminal and remote control equipment and microwave interconnection equipment and the purchase of a 500kw emergency generator and load management system. The transmitter building would be renovated and updated. Advance planning funds of \$500,000 have previously been appropriated for this \$7,644,500 project. The major cost components are:

Land	\$ 50,000
Design	514,400
Site Preparation	100,000
Construction	4,931,200
Fixed Equipment	2,048,900
Total	7,644,500

Replacement and Upgrade of WUNK-TV, Channel 25, Greenville Transmitter and Ancillary Equipment, \$2,372,000

The project would provide for replacement of the transmitter and transmission line and modifications to the tower which serves ten counties surrounding Greenville. Included in the project are terminal equipment updates, a 500kw emergency generator, and general refurbishment of the transmitter building. The major cost components are:

Design	\$ 160,000
Construction	736,800
Fixed Equipment	1,475,200
Total	2,372,000

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Special Purpose (Continued)

THE UNIVERSITY OF NORTH CAROLINA – CENTER FOR PUBLIC TELEVISION (Continued)

Mobile Satellite Uplink. \$743,700

The project would provide for the purchase of a mobile satellite uplink and related support equipment. The uplink would send electromagnetic signals capable of carrying broadcast quality video and audio as well as text and data to communications satellites for subsequent broadcast to viewers. The mobile uplink would support and improve the live production of the Center's original programs, especially from remote locations across the State.

Replace and Upgrade Studio Production Equipment. \$3,747,200

The project would provide for replacement of production equipment for studios in the Joseph and Kathleen Bryan Communications Center in the Research Triangle Park.

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Special Purpose (Continued)

Institution/Project	Estimated Cost	Supplemental Information							
		Major Repair/ Renovation Project	Renovation In Lieu of New Construction	Completes an Underfunded or Partially Built Facility	Achieves Material Operating Savings	Benefits a Significant % of the Population	Rewards Good Facilities Planning & Management	"Urgent" Need, Code Compliance	Previous Appropriations, Other funds
North Carolina State University									
Research and Teaching Feed Mill	\$2,604,400						XXX		
UNC-Asheville									
Asheville Conference Center - Phase II	3,000,000			XXX		XXX			\$4,251,400
The North Carolina Arboretum									
Demonstration Greenhouse	3,269,600					XXX			
Bus Parking	843,100					XXX	XXX		
Main Entrance Road Utility & Landscape Development	1,956,200					XXX	XXX		
Entrance Gates and Gatehouses	689,900					XXX		XXX	
Plant Development and Introduction Center	1,677,800					XXX			
Maintenance and Operations Facility	1,124,600						XXX	XXX	
Roads and Walks	1,119,800					XXX	XXX	XXX	
UNC-Chapel Hill, Academic Affairs									
Additions and Renovations to the Knapp Building – Institute of Government	16,102,700	XXX	XXX			XXX	XXX		700,000
UNC Center for Public Television									
Replacement and Upgrade of WUND-TV, Channel 2, Columbia Transmitter, Tower & Ancillary Equipment	7,144,500	XXX			XXX	XXX		XXX	500,000
Replacement and Upgrade of WUNK-TV, Channel 25 Greenville Transmitter and Ancillary Equipment	2,372,000	XXX			XXX	XXX		XXX	
Mobile Satellite Uplink	743,700					XXX			
Replace and Upgrade Studio Production Equipment	3,747,200	XXX			XXX	XXX		XXX	
Total – Special Purpose	46,395,500								

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Support Facilities
\$11,922,900

The sixth priority category of the Board of Governors presents two projects for new "support facilities." The first project would provide a new admissions building for North Carolina Central University. The admissions office currently occupies an old converted house that does not serve the institution well. The second project would provide a new residence hall at UNC-Pembroke. The General Assembly has authorized UNC-Pembroke to issue bonds for a portion of this project with the remainder to be provided through State appropriations.

Descriptions of the projects follow, including cost estimates approved by the Office of State Construction, Department of Administration. Following the project descriptions is a matrix that summarizes the projects for support facilities and provides supplementary information.

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Support Facilities (Continued)

NORTH CAROLINA CENTRAL UNIVERSITY

New Admissions Building, \$5,943,400

The project would provide for construction of a 34,000 square-foot building to support the Undergraduate and Graduate Admissions Departments. The building would contain office space, classrooms, conference rooms, and an auditorium. The major cost components are:

Design	\$ 329,500
Construction	4,763,900
Fixed and Movable Equipment	850,000
Total	5,943,400

THE UNIVERSITY OF NORTH CAROLINA AT PEMBROKE

New Residence Hall, \$5,979,500

The project would provide for construction of a 72,000 square-foot residence hall to house 300 students. The facility would replace Wellons Hall and Jacobs Hall, two outdated and unattractive residence halls which house 235 students and are not code compliant. The University proposes to borrow \$2,800,000 toward financing this project as authorized by the General Assembly in 1996. The major cost components are:

Design	\$ 521,800
Construction	8,149,000
Fixed Equipment	108,700
Total	8,779,500

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Support Facilities (Continued)

Institution/Project	Estimated Cost	Supplemental Information		
		Benefits a Significant % of the Population	"Urgent" Need, Code Compliance	Other Funds
North Carolina Central University				
New Admissions Building	\$ 5,943,400	XXX		
UNC-Pembroke				
New Residence Hall	5,979,500	XXX	XXX	\$2,800,000
Total – Support Facilities	11,922,900			

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

Category: Land Acquisition
\$15,000,000

The final priority of the Board of Governors requests \$15,000,000 to be utilized by the University for acquisition of land. The request is presented without identification of specific requirements for individual institutions of the University, as advance disclosure of land acquisition plans tends to push prices higher. Further, a detailed land acquisition plan is often subject to interruptions of timing by factors which are not entirely controllable by the State and the University. Land acquisitions are planned to accommodate long-range growth and development and usually involve properties adjoining or near campus boundaries. Such properties are generally advantageous building or parking sites or serve to provide buffer areas for the campus.

PART I UNIVERSITY OPERATIONS – Expansions and Improvements**1997 University-Wide Facilities Plan (Continued)****Supplementary Information**

Two tables are provided as supplementary information to the Board of Governors' request for capital improvements projects. The first table lists projects for new facilities or for major repairs to existing facilities in the order of institutional priority. The second table lists projects for which funds have previously been provided for advanced planning or for partial construction.

**Institutional Priorities -
New Facilities or Major Renovations to Existing Facilities**

Appalachian State University

Renovation of Rankin Science Building	\$ 6,666,000
Addition and Renovation to Belk Library	10,629,400
Science/Mathematics Complex, Phase II	27,495,500

East Carolina University

Science Laboratories and Technology Building	47,997,600
Health Sciences Library Expansion	6,106,600
Third Floor Addition to the Home Economics/Nursing Building	6,700,700

Elizabeth City State University

G.R. Little Library Addition and Renovation	10,723,600
Addition to the Academic Computer Center	3,557,600

Fayetteville State University

Fine Arts and General Classroom Facility	15,524,400

North Carolina A&T State University

General Classroom and Laboratory Building Complex #1	31,526,200

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

North Carolina Central University

Lab Biology Building Renovation	\$ 1,359,200
B. N. Duke Auditorium, Renovations and Addition	2,962,500
Repairs to Five Academic Buildings	10,515,000
Robinson Science Building	946,000
New Admissions Building	5,943,400

North Carolina School of the Arts

Basic Education Complex	6,133,400
Filmmaking Office/Classroom Post Production Complex	2,000,000

North Carolina State University

Nelson Hall Renovation - Phase II	6,914,900
Toxicology Building	14,566,700
Research and Teaching Feed Mill	2,604,400
Undergraduate Science Teaching Laboratories - Phase I	21,228,900
Riddick, Mann, Daniels, and Burlington Hall Renovations	8,644,100
College of Veterinary Medicine, Main Building Addition	24,262,000
David-Clark Laboratory Renovation and Addition	9,990,800

The University of North Carolina at Asheville

Asheville Graduate Center - Phase II	792,700
Highsmith Center Renovation and Addition	8,782,000
Conference Center	3,000,000

The North Carolina Arboretum

Demonstration Greenhouse	3,269,600
Bus Parking	843,100
Main Entrance Road Utility and Landscape Development	1,956,200
Entrance Gates and Gatehouses	689,900
Plant Development and Introduction Center	1,677,800
Maintenance and Operations Facility	1,124,600
Roads and Walks	1,119,800

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

The University of North Carolina at Chapel Hill

R. B. House Library	\$ 6,629,400
Addition to Beard Hall - School of Pharmacy	8,824,600
Student Services Building	18,857,500
Medical Biomolecular Research Building	29,493,800
Addition and Renovation of the Knapp Building - Institute of Government	16,102,700
Hill Hall Music Library	7,170,900

The University of North Carolina at Charlotte

Academic Facilities - Humanities	20,597,300
Academic Facilities - Sciences	32,371,700

The University of North Carolina at Greensboro

Science Instructional Building	37,099,400
North Campus Infrastructure Development	5,681,600

The University of North Carolina at Pembroke

New Residence Hall	5,979,500
Regional Center for Economic, Professional and Community Development	6,157,600

The University of North Carolina at Wilmington

General Classroom Building	8,465,500
School of Education Building	16,656,100
Fine Arts Building	14,041,400

Western Carolina University

Fine and Performing Arts Center	25,422,100
Renovate Camp Lab School - Phase II	2,226,600

Winston-Salem State University

Addition and Renovation to the F.L. Atkins Building	5,198,500
Computer Science Facility	6,316,000

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

The University of North Carolina - Center for Public Television

Replacement and Upgrade of WUND-TV, Channel 2, Columbia Transmitter, Tower and Ancillary Equipment	\$ 7,144,500
Replacement and Upgrade of WUNK-TV, Channel 25, Greenville Transmitter and Ancillary Equipment	2,372,000
Mobile Satellite Uplink	743,700
Replace and Upgrade Studio Production Equipment	3,747,200

Projects With Funding for Advanced Planning or Partial Construction

East Carolina University

Science Laboratories and Technology Building	\$1,000,000

Elizabeth City State University

Addition to the Academic Computer Center	150,000

Fayetteville State University

Fine Arts and General Classroom Facility	750,000

North Carolina A&T State University

General Classroom and Laboratory Building Complex # I	2,000,000

North Carolina State University

Nelson Hall Renovation - Phase II	1,350,000
Undergraduate Science Teaching Laboratories – Phase I	650,000

The University of North Carolina at Asheville

Conference Center	4,251,400

The University of North Carolina at Chapel Hill, Academic Affairs

Addition and Renovation of the Knapp Building - Institute of Government	700,000
Hill Hall Music Library	850,000

The University of North Carolina at Chapel Hill, Health Affairs

Addition to Beard Hall - School of Pharmacy	1,000,000
Medical Biomolecular Research Building	1,000,000

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

The University of North Carolina at Charlotte	
Academic Facilities - Humanities	\$1,000,000
The University of North Carolina at Greensboro	
Science Instructional Building	2,000,000
The University of North Carolina at Pembroke	
New Residence Hall	2,800,000
Western Carolina University	
Fine and Performing Arts Center	2,000,000
The University of North Carolina Center for Public Television	
Replacement and Upgrade of WUND-TV, Channel 2, Columbia Transmitter, Tower and Ancillary Equipment	500,000

Note: All of the funds provided have been specific appropriations made by the General Assembly except for the following projects: ECSU Addition to the Academic Computer Center funds were allocated by the Board of Governors from a general appropriation for Advance Planning; UNC-P was authorized by the General Assembly to issue revenue bonds; and UNC CPTV funds were allocated from the Reserve for Repairs and Renovations as required by legislation.

1997-99 BUDGET REQUEST – Board of Governors of The University of North Carolina

PART II AGRICULTURAL PROGRAMS

The Board of Governors of The University of North Carolina recommends General Fund appropriations for the Agricultural Programs as follows:

	1997-98	1998-99
Continuing Operations	\$72,748,185	\$73,274,022
Academic Salary Increases	2,493,636	5,114,922
Expansions and Improvements – Current Operations	6,000,000	6,000,000

The Agricultural Programs of the University are the responsibility of the North Carolina Agricultural Research Service and the North Carolina Cooperative Extension Service, formerly the North Carolina Agricultural Extension Service of North Carolina State University. North Carolina Agricultural and Technical State University, also a land-grant institution, participates in some of these programs, as does the School of Human Environmental Sciences of The University of North Carolina at Greensboro. The basic mission of the Agricultural Research Service is to conduct research in agriculture and life sciences, and that of the Cooperative Extension Service is to communicate the benefits of this research to producers and consumers. The activities of these agricultural agencies reach into all counties of the State.

The Continuing Operations requests provide for continuation of activities at present service levels. The requests for Academic Salary Increases are presented on the same basis as

**TOTAL CAPITAL
IMPROVEMENTS BY SOURCE
OF FUNDS
(In Millions)**

<u>Fiscal Year</u>	<u>General Fund*</u>	<u>Highway Fund</u>	<u>Bonds</u>	<u>Other#</u>	<u>Total</u>
1976-77	\$ 45.1	\$ 0.0	\$ 0.0	9.9	\$ 55.0
1977-78	31.3	1.6	0.0	42.7	75.6
1978-79	126.0	1.5	0.0	2.6	130.1
1979-80	84.4	2.7	0.0	66.7	153.8
1980-81	103.8	3.1	0.0	3.8	110.7
1981-82	30.0	1.2	0.0	0.6	31.8
1982-83	65.8	5.1	0.0	1.5	72.4
1983-84	59.8	4.4	0.0	51.8	116.0
1984-85	212.5	12.7	0.0	8.9	234.1
1985-86	253.5	5.6	0.0	38.9	298.0
1986-87	297.6	9.6	0.0	26.8	334.0
1987-88	173.0	10.3	0.0	82.0	265.3
1988-89	283.7	19.3	0.0	13.9	316.9
1989-90	245.3	11.5	0.0	120.8	377.6
1990-91	106.4	2.8	75.0	106.4	290.6
1991-92	0.0	4.6	157.5	87.1	249.2
1992-93	95.2	9.0	0.0	170.3	274.5
1993-94	192.3	9.3	623.6 +	84.8	910.0
1994-95	249.4	3.0	180.0 +	160.2	592.6
1995-96	238.5	9.4	23.9 +	184.2	456.0
1996-97	326.8 1)	1.9	450.0 +	144.3	923.0

* Includes Federal Revenue Sharing

Includes Federal Funds

+ Authorized level of bonds

1) Includes \$39.5 million budgeted to the Reserve for Capital Improvement and
\$130.0 million budgeted to the Repair for Repairs and Renovations

**GENERAL FUND CAPITAL
IMPROVEMENTS
CONSTRUCTION VS REPAIRS
(In Millions)**

<u>Fiscal Year</u>	<u>Construction</u>	<u>Repairs</u>	<u>Total</u>
1983-84	\$ 44.8	\$ 15.0	\$ 59.8
1984-85	152.5	60.0	212.5
1985-86	219.5	34.0	253.5
1986-87	263.6	34.0	297.6
1987-88	157.8	15.2	173.0
1988-89	281.5	2.2	283.7
1989-90	221.4	23.9	245.3
1990-91	101.2	5.2	106.4
1991-92*	22.7	22.3	45.0
1992-93	78.1	17.1	95.2
1993-94	135.3	57.0	192.3
1994-95	189.4	60.0	249.4
1995-96	113.5	125.0	238.5
1996-97	196.8 1)	130.0 2)	326.8

* In 1991-92 Legislative Bonds were used to fund capital improvements.

1) Includes \$39.5 million budgeted in the Reserve for Capital Improvement 1996-97.

2) Funds budged in the Reserve for Repairs and Renovations.

CAPITAL FACILITIES PROGRAM OVERVIEW

This document discusses the capital budget process and the role the various agencies play in this process.

CAPITAL IMPROVEMENTS DEFINED

Capital improvements are renovations, repairs and major maintenance to existing facilities, major landscape improvements, new construction, land or one-time major equipment purchases and utility modifications which are in excess of \$100,000 and are accounted for in the capital improvement budget code of an agency. The establishment of a capital improvement project requires approval of the General Assembly or the Governor (as Director of the Budget) who may consult with the Advisory Budget Commission (G.S. 143-18.1 © and G. S. 120-76). Projects authorized by the Governor are limited to projects funded by receipts, special funds, self-liquidated indebtedness, other funds, or any combination of funds, but not including funds appropriated from the General Fund. A specific "method-of-financing" (i.e., room rents, fees, revenue bonds, etc.,) must be presented to justify how capital improvements will be paid for if appropriations from the General Assembly are not involved.

**Capital Improvement Authorizations from
General Fund, General Fund Credit Balance, Federal Revenue-Sharing,
Legislative Bonds, and Vote of the People Bond Issues**

Fiscal Year	Direct Appropriation to Capital	General Fund		Appropriation in Operating Budget	Federal Revenue Sharing	Subtotal General Fund and Federal Revenue	Legislative Bonds	Vote of the People Bond Issues		
		Repair/ Renovations (Budget Reform	Credit Balance Earmarked					Issue Amount	Interest on Proceeds	Purpose
1961-62	1,860,200	0	0	0	0	1,860,200	6,216,000			
1963-64	60,574,018	0	0	0	0	60,574,018	21,985,000	100,000,000		Public Schools
1964-65	3,000,000	0	0	0	0	3,000,000	0			
1965-66	41,639,578	0	0	0	0	41,639,578	17,982,000			
1967-68	112,356,788	0	0	0	0	112,356,788				
1969-70	75,588,603	0	0	0	0	75,588,603				
1971-72	64,891,192	0	0	0	0	64,891,192	45,996,500	152,000,000		Zoo; Clean Water
1973-74	86,622,446	0	0	0	105,200,000	191,822,446		300,000,000		Public Schools
1974-75	36,165,337	0	0	38,500,000 A	57,200,000	131,865,337				
1975-76	23,948,648 B	0	0	0	4,713,789	28,662,437				
1976-77	28,969,937 C	0	0	0	16,126,358	45,096,295				
1977-78	4,749,000	0	0	0	26,583,626	31,332,626		230,000,000		Clean Water
1978-79	93,778,818	0	0	0	32,230,000	126,008,818				
1979-80	84,378,719	0	0	0	0	84,378,719				
1980-81	104,161,290 D	0	0	0	0	104,161,290				
1981-82	30,861,142 E	0	0	0	0	30,861,142				
1982-83	65,772,358	0	0	0	0	65,772,358				
1983-84	59,782,244	0	0	0	0	59,782,244				
1984-85	212,535,238	0	0	0	0	212,535,238				
1985-86	253,503,234	0	0	0	0	253,503,234				
1986-87	297,667,245 F	0	0	0	0	297,667,245				
1987-88	173,020,035 G	0	0	0	0	173,020,035				
1988-89	258,659,030	0	0	0	0	258,659,030	20,498,803 H			
1989-90	150,092,738 I	0	0	0	0	150,092,738				
1990-91	106,400,195 J	0	0	0	0	106,400,195	75,000,000			
1991-92	0	0	0	6,600,000 K	0	6,600,000	45,000,000	112,500,000 L		State Prisons
1992-93	95,205,570	0	0	4,615,000 M	0	99,820,570	0			
1993-94	135,371,704	57,000,000	0	0	0	192,371,704	0	803,600,000 N	318,808	Ed/Clean Water/Parks
1994-95	189,391,450	60,000,000	0	0	0	249,391,450	0		183,515	
1995-96	113,522,500	125,000,000	0	0	0	238,522,500	0	23,900,000 O		Community Colleges
1996-97	157,267,000	130,000,000	46,993,567 P	0	0	334,260,567	0	1,800,000,000 Q		Public Schools

Notes:

- A Includes Appropriation for AHEC and ECU Medical School
- B Includes Contingent Appropriation of \$1,789,000
- C Includes Appropriation Adjustment of \$1,000,000
- D Includes increase of \$.3 million above level in original authorization
- E Includes increase of \$.7 million above level in original authorization
- F Includes Emergency Prison Bill enacted by the 1987 Session
- G Reflects transfer of Sematech (Industrial Development) to R/R in accordance with Section 73, Chapter 1515
- H Reflects reduction of \$4,501,097 of authorization not issued by June 30, 1989
- I Reflects reduction of \$95,171,855 made by Governor due to economic downturn
- J Reflects reduction of \$100,897,000 made by Governor due to economic downturn
- K Reflects transfer of Local Government Shared Revenue appropriation to Clean Water Program
- L Appropriated portion of the \$200 million Prison Bonds by the 1992 Session
- M Reflects transfer of \$4.4 million of Local Government Shared Revenue appropriation to Clean Water Fund; and CI of \$215,000 for Historic Sites
- N Includes \$87.5 Million of Prison Bonds and \$716.1 Million of Parks/Education/Clean Water Bond Issue
- O Appropriation of balance of Community Colleges' portion of Parks/Education/Clean Water Bond Issue
- P Appropriations in accordance with Sec. 7.11 of House Bill 53 of \$39,517,567 plus allocations of \$7,476,000 from the \$21.3 million R/R Reserve appropriated by the 1996 Session
- Q Bond Issue for Public School Construction

GENERAL STATUTES RELATED TO THE STATE CONSTRUCTION PROCESS

- G. S. 16A-392—Local Zoning
- G. S. 44A-26—Performance and Payment Bonds
- G. S. 116-44.8 UNC Flexibility Legislation
- G. S. 133-1 through 133-4—Public Works
- G. S. 133-32—Gifts and Favors
- G. S. 143-18.1—Decrease/Increase Scope of Project within Appropriation.
Approval of gifts from projects or grants.
- G. S. 143-31—Expenditure of Funds in accordance with budget
- G. S. 143-31.1—The Executive Budget Act—Study/Review of Plans and Specifications.
- G. S. 143-32—Person Expending an Appropriation Wrongfully
- G. S. 143-64.10 through 143-64.14—Energy Policy
- G. S. 143-64.31 through 143-64.34—Procurement of Architectural, Engineering,
Surveying Services
- G. S. 143-128—Separate Bids
- G. S. 143-129—Public Bids
- G. S. 143-129.1—Bid Withdrawal
- G. S. 143-131—Informal Bids
- G. S. 143-132—Minimum Number of Bids for Public Contracts
- G. S. 143-133—No Evasion Permitted
- G. S. 143-134—Exceptions of Applicability for Transportation and Correction
- G. S. 143-134.1—Interest Payments

**GENERAL STATUTES RELATED TO THE STATE
CONSTRUCTION PROCESS (Continued)**

G. S. 143-135—Force Accounts

G. S. 143-135.1—Local Inspections

G. S. 143-135.3—Claims

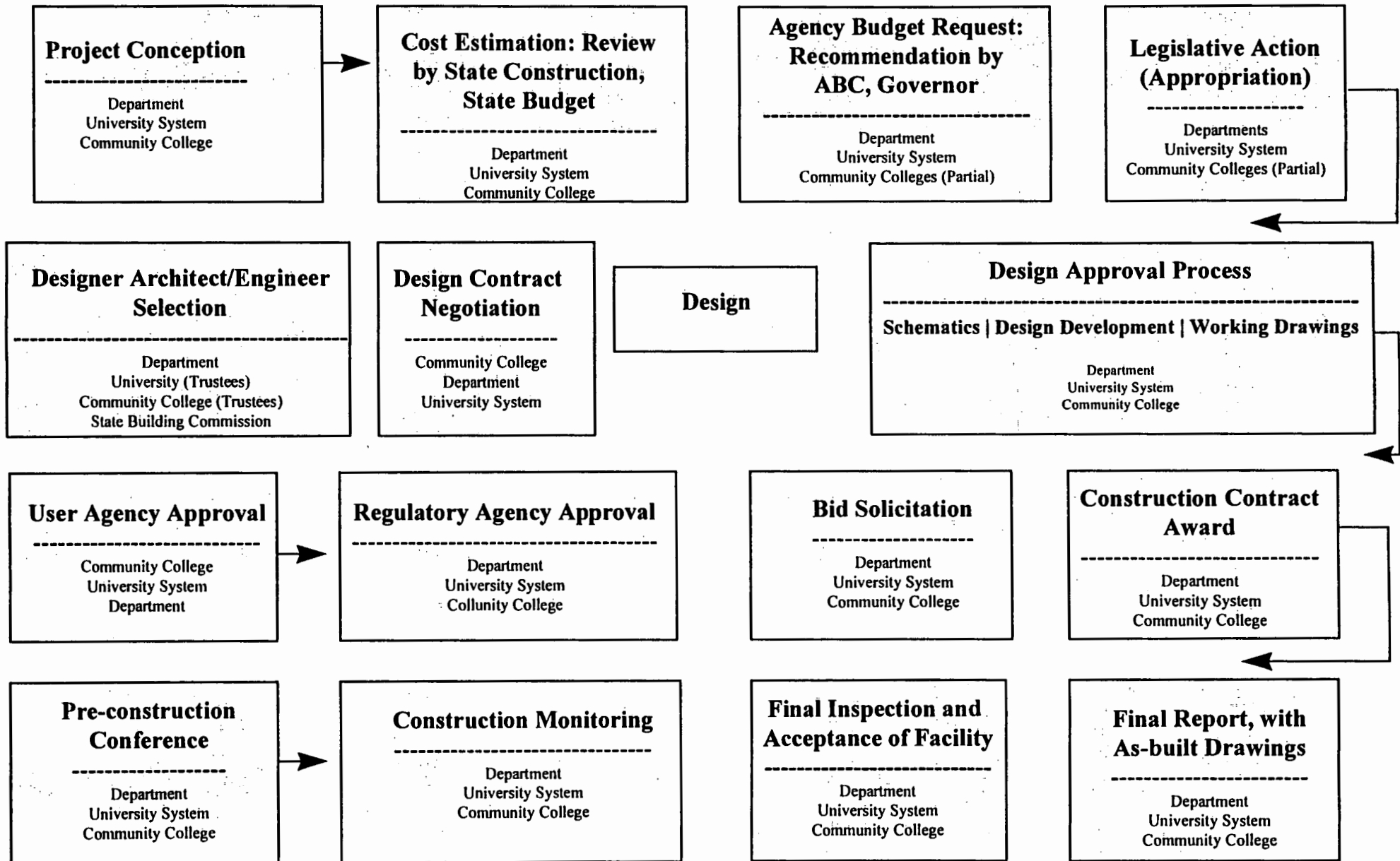
G. S. 143-135.5—Disadvantaged Contractors

G. S. 143-135.25 through 143.135.28—State Building Commission

G. S. 143-341—Department of Administration Act

G. S. 160A-400.1 through 160A-400.14—Historic Districts

Construction Process: State of North Carolina



CAPITAL BUDGET REQUESTS

Six Year Plan

Since 1977, the Office of State Budget, as part of the budget preparation process, has required each agency to submit a six-year capital assessment of needs schedule. Agencies are directed to adjust these plans each biennium to reflect the deletion of projects funded and the addition of projects as anticipated for future needs. Cost estimates for each project are adjusted to reflect any anticipated escalation factors relevant to the construction industry. A specific schedule for preventive maintenance and upgrading of an agency's physical plant (roof repair, utilities upgrading, HVAC repairs, etc.) is required.

The six-year capital budget request serves as a tool for the agency to give some thought to its long-term needs. In recent years, the emphasis has shifted to new construction since the State has now adopted a continuing source of funding for repairs and renovations of existing facilities.

Current Fiscal Period

Budget requests for capital projects in any annual budget cycle must include a description of the project (renovations, repair, new construction, major maintenance, boiler replacement, land purchase, etc.), a detailed justification of the need including the activities or functions for which the proposed project will be utilized, an explanation of the fund source, the impact on future operating costs and any other pertinent data.

If a major utility maintenance, renovation, or repair project is involved, then the age of the facility, the date of the last significant improvement, and the date and results of any maintenance inspection or repairs conducted must be given.

Prior to submittal of a budget request, the agency must obtain an OC-25 form from the Office of State Construction. This form details the estimated cost of the design fee, the estimated cost of the individual construction contracts, the recommended construction contingency (an allowance for unforeseen events), the proposed cost of equipment and the allowance for inflationary increases. The requesting agency completes the form and submits it to the Office of State Construction for any adjustments which may be required to the various elements of the estimated costs. Also included on the form is a detailed list of movable equipment, units and cost, needed upon completion of the project to make the facility functional. This list, as approved, is used by the agency in making its equipment purchases if the project is funded. The Office of State Construction reviews the form and returns it to the agency for inclusion as part of its capital requests to the Office of State Budget and Management.

CAPITAL BUDGET REQUEST (Continued)

The Office of State Budget and Management staff invites the Advisory Budget Commission and legislative staff to tour state facilities located throughout the state in the even-numbered year of the biennium. On these tours, legislative delegates are shown and informed of institutional needs for facility improvements as well as program needs. Following these tours, the Office of State Budget and Management and the Governor, as Budget Director, prepare and submit funding proposals to the General Assembly.

BOARD OF GOVERNORS OF THE UNIVERSITY OF NORTH CAROLINA CAPITAL REQUEST PROCESS

The Board of Governors of the University of North Carolina provides directives to each of the 16 constituent institutions of the system for the development of a comprehensive six-year capital outlay plan. The plan, as developed in close cooperation between the President and each Chancellor, includes the highest priorities for new construction on each of the campuses. The Board of Governor has not established priorities among projects of the campuses for funding of new capital projects in past years although a number of the projects are needed primarily because of enrollment growth which produces new requirements for classrooms, laboratories, library space, offices and other facilities for instruction and research. Consequently, the General Assembly directed that a review of their process be conducted and that the University determine criteria for establishing priorities among all projects of the constituent institutions. With submissions to the 1997 Session of the General Assembly, the University's request reflects a format which has classified projects into categories and prioritized those categories.

As a general rule, requests for student housing/cafeteria facilities are not incorporated in these requests; they are handled through special bills during the legislative sessions. As required for all other agencies' requests, an OC-25 form must be included for each project.

Prior to 1997, the Board of Governors submitted capital request proposals divided into four groupings: (1) University Operations; (2) Agricultural Programs; (3) University of North Carolina Hospitals at Chapel Hill; and (4) N. C. School of Science and Mathematics. The latter three groups were submitted without any type project classification or line number on a schedule of priorities assignment. The "University Operations" category, on the other hand, was divided into a project classification. These classifications were as described below:

- **Repairs and Renovations**—high priority needs for general repairs and renovations; utilities repairs and improvements; roads, walks, and drives; occupational safety and health act projects; and automated energy management systems.
- **Architectural Barrier Removal Projects**—remove architectural barriers to free and unobstructed movement of physically handicapped person (includes accessibility requirements mandated by the Americans with Disabilities Act of 1990).
- **UNC Center for Public Television Facilities**—improvements in services
- **Land Acquisition**—land for expansion or purchase of private holding within campus or adjoining properties.
- **University-Wide Facilities Plan**—new buildings, major additions and/or extensive remodeling.

BOARD OF GOVERNORS OF THE UNIVERSITY OF NORTH CAROLINA CAPITAL REQUEST PROCESS (Continued)

The General Assembly does not fund University capital in a lump sum excepting the Repairs and Renovation Reserve which was initiated through Budget Reform legislation. With this legislation, a portion of the unreserved year end credit balance has been earmarked for repairs and renovations of all state-supported facilities. Of the total earmarked, a portion is earmarked specifically for the University System. (See Budget Reform Section) The Board of Governors determines which projects are to be funded from this reserve. The General Assembly, as a general rule, has elected to directly fund specific facilities included in Line 5—University-Wide Facilities Plan.

All funding for projects are certified to the Board of Governors which then allocates the funding for new facilities to the individual institutions in accordance with the intent of the General Assembly as well as the selected Repairs/Renovations projects by the Board. Once funding has been approved, the budgeting and expenditure process for authorized capital projects applies.

1997 University Capital Requests

Repairs/Renovations

As noted earlier, the 1993 General Assembly established a state-wide Reserve for Repairs and Renovations to address deferred maintenance needs of General Fund supported facilities. For 1995-96 and 1996-97, the University System received 46% of the amount reserved—totals of \$57.5 million for 1995-96 and \$59.8 million for 1996-97. The repairs and renovation funds are allocated by the Board of Governors to the sixteen constituent institutions in accordance with the Board's Funding Allocation Model developed specifically for this purpose. Other organizations for which the Board has responsibility, e.g., the University of North Carolina Center for Public Television and the North Carolina School of Science and Mathematics, are allocated funds for high priority projects prior the applying the Board's formula to determine institutional allocations.

The six-year request, 1997-2003, of the University system for repairs/renovations totals \$329,427,900. Of the total, 51% or \$168 million directly address deficiencies documented by the Facilities Condition Assessment Program conducted by the Office of State Construction. All projects fit within the statutory categories with the largest amount, or 50% of the request, falling within the category of utilities infrastructure. The second largest category of need is the one that addresses problems with electrical, plumbing, heating, ventilating, and air conditioning systems. Approximately 20% of all projects fall within this category.

BOARD OF GOVERNORS OF THE UNIVERSITY OF NORTH CAROLINA CAPITAL REQUEST PROCESS (Continued)

Fire Safety Improvements, Student Residence Halls

Sec. 16.4(a) of House Bill 53 of the 1996 Extra-ordinary Session, directed the Board of Governors to conduct a comprehensive survey of campus residential facilities, including facilities at the North Carolina School of Science and Mathematics, to examine "potential fire hazards at those facilities, and the fire detection and safety equipment currently installed in those facilities." This survey determined that \$4.7 million would be needed to complete the installation of central smoke detection and alarm systems interconnections in all residence buildings to a central campus alarm system that is continuously monitored at a single location. A sum of \$76.5 million would be needed to install sprinkler systems in all residence halls not so equipped.

Sec. 16.4(b) required that improvements to fire safety systems be addressed by using institutional housing trust funds and other institutional resources as the first source of funding. This legislation also allowed the University to use 1996 repair and renovation funds as a secondary source of funding. For 1996-97, the Board of Governors authorized \$9.9 million - \$4.1 million to be financed from Institutional Housing Trust Funds and \$5.8 million from the 1996 Repairs/Renovation Reserve to be used for fire safety projects in residence halls. Of the total needs identified, \$71.3 million remains to be funded in future years and are included in the Board of Governors 1997-99 Budget Request as directed by Sec. 16.4 © of House Bill 53.

1997 University-Wide Facilities Plan

The Board of Governors in May 1996 adopted a new format for the presentation of its capital improvements requests to the General Assembly. For the first time the Board of Governors, with this new format, have categorized projects and prioritized the categories.

The first priority category provides for new facilities or major renovations to existing facilities to meet the needs of students currently enrolled in the University. Projects within this "Current Capacity" category total \$388.3 million. Of these projects, 28 call for expanded and improved classrooms, laboratories, and libraries at a cost of \$352.7 million; three provide for expanded student service facilities at a cost of \$29.9 million; and, one calls for infrastructure development at a cost of \$5.7 million.

The second priority category provides for expanding instructional facilities to accommodate growth in enrollment. Only two projects are included in this category. They are the Phase II portion of the Science and Mathematics Facility at Appalachian State University (Cost \$27.5 million); and an addition to the Rivers Building at East Carolina University to provide expanded facilities for the Schools of Nursing and Human Environmental Sciences (Cost of \$6.7 million).

BOARD OF GOVERNORS OF THE UNIVERSITY OF NORTH CAROLINA CAPITAL REQUEST PROCESS (Continued)

Technology is the third priority category. The installation of basic network infrastructure throughout all academic, administrative, and student facilities is viewed as essential to the teaching, learning, and research processes. Long-range institutional plans provide for the wiring of all residence halls to ensure students have access to technology at all times. For the 1997-99 biennium, the Board of Governors requests \$32.3 million to complete the installation of fiber optics backbones at all institutions and complete the wiring of all academic facilities. Some campuses have been able to use resources other than direct appropriations for this purpose; consequently, the degree of technology available on the individual campuses varies. This sum of funds would help ensure that all campuses will have the resources available to make technology available throughout all academic facilities.

Program Quality category includes those projects that are for improvements in undergraduate instructional opportunities and for enhancement to meet and exceed prescribed academic standards and long established missions or programs offered by institutions. The request in this category address specific needs of institutions in the areas of science, music, engineering, and business.

The Special Purpose category includes those projects that serve special purposes and provide service to both student and non-student constituencies. These projects meet state-wide public policy needs and contribute to the economic development and growth of the state. This category includes the agricultural programs under North Carolina State University, the North Carolina Arboretum, UNC Asheville's conference center, the Institute of Government, and UNC Public Television.

The Support Facilities category of the 1997 request is limited to two projects. The first project is a new admissions building for North Carolina Central University. The second project is a portion of the support for a new residence hall at UNC-Pembroke (the balance is to be supported by a bond issue already authorized).

The final category is **Land Acquisition**. Funds are requested without any identification to specific campus since speculation often increases the price.

LEGISLATIVE CAPITAL BUDGET REVIEW PROCESS

As part of the funding proposals submitted to the General Assembly, the Governor may include none or numerous items for capital outlay. The Governor's recommendations generally concentrate on General Fund and Highway Fund appropriations. Usually, self-liquidating projects come from the introduction of bills for authorization at the request of the agency, institution or the Board of Governors. Legislative bond proposals may be part of the Governor's recommendations or they may result directly from legislative initiatives.

The State normally relies on a "pay-as-you-go" policy for financing capital projects. Windfall revenue gains and surpluses or positive year-end fund balances, normally considered as "non-recurring", are the primary source for general fund capital authorizations; however, legislative bonds may be proposed if conditions are favorable. Legislative bond issues are constitutionally limited to two-thirds of the amount by which the State's outstanding indebtedness has been reduced during the preceding biennium. (Article V, Sec. 3(f) North Carolina Constitution). With the approval of the majority of the qualified voters of the State, this limitation on State debt may be increased. In recent years, the Recreation and Natural Heritage Trust Fund was established for the purpose of acquiring land to be added to the parks system, state trails, aesthetic forests, fish and wildlife management areas, wild and scenic rivers, natural area and/or historic sites. Also, beginning with fiscal year 1996-97, the Real Estate Conveyance Tax was earmarked to fund the Parks and Recreation Trust Fund established by the 1994 Session of the General Assembly thereby providing for the first time in the State's history a continuing source of revenue to develop our state parks.

Prior to 1974 when annual legislative sessions began, full funding of a project's costs were approved in the first fiscal year of the biennium. Since that time, some projects have been receiving funds in phases—first for planning and design, then for site preparation, for construction (sometimes in phases), and finally for equipment, furnishings and other costs associated with occupancy of the building. During the 1970's, an economic downturn spurred by the oil embargo, rampant inflation, delays in construction starts, and increased needs requested by agencies began to create concerns about the legislative capital budget process. Consequently, the General Assembly created studies to review matters related to the construction process and state-owned assets.

Studies

Several studies verified that (1) no criteria existed for determining the selection of new capital projects to be funded, (2) no system was in place to evaluate and estimate the scope or the funds required to maintain facilities and infrastructure at a functional level, and (3) with the appropriation of planning funds, there was frequently no long range plan for funding the construction of the planned projects. Although departments began submitting a six-year capital assessment of needs schedule to the Office of State Budget

LEGISLATIVE CAPITAL BUDGET REVIEW PROCESS (Continued)

and Management in 1977, no efforts were made to establish criteria for prioritizing the most critical needs for the current fiscal period within the availability of funds. To date, the General Assembly has never had a formal review of these six-year schedules. It has, in some cases, established special study committees to assess special needs (i.e., State parks, State ports, N. C. Zoo). These studies normally receive little attention due to "limited resources" for funding the identified needs.

History of Capital Construction Program Studies

1. The Governor's 1973 Efficiency Study
2. 1976 Governor's Advisory Panel on Design and Construction Practices
3. 1980 Study by the Advisory Budget Commission on analysis of Capital Construction Delays in State Government
4. 1981 Legislative Research Commission on Design, Construction and Inspection of Public Facilities
5. 1982 Report of the Office of State Budget and Management on Rules, Regulations and Procedures Affecting Capital Improvement Projects
6. 1983 Legislative Research Commission Study continued on Design, Construction, and Inspection of Public Facilities
7. 1985 State Owned Property Study Commission to study matters related to State Capital Assets
8. 1987 State Owned Property Study Committee to continue study matters related to State Capital Assets
9. 1989 Legislative Research Commission on State Capital Assets and Improvements

Statewide Reserve; "FCAP"

In 1983, for the first time, a sizable statewide reserve for repairs/renovations was established to begin addressing the aging state facilities. Realizing the need for a sound system for maintaining state-owned facilities, the 1987 General Assembly directed the

LEGISLATIVE CAPITAL BUDGET REVIEW PROCESS (Continued)

newly established State Building Commission and the State Construction Office to develop a Facilities Condition Assessment Program (FCAP), a program designed to identify deficiencies in all existing facilities and estimate the cost to fix them. This program serves as a source document for prioritizing the needs and the associated costs for repairs/renovations for all state-owned facilities including utilities infrastructure. Departments use the findings as reported in this document as justification for their requests from the Repair/Renovation Reserve Accounts.

Funding New Capital Projects

Program growth, population/enrollment increases, accreditation requirements, court mandates, and federal rules and regulations including workplace safety determine when and where new state-owned facilities are selected to be constructed subject to the availability of funds. On-site visits to state institutions by the Office of State Budget and Management with the Advisory Budget Commission offer visual justification for needs as identified by the Departments in the capital requests. The Governor's recommendations and legislative authorizations are based generally upon the most immediate pressing requirements with an effort to serve effectively the entire State in various program areas.

Need For Long-Term Planning

Water Resources civil works project planning amplifies the need for continuing long-term financing assessments. These projects develop from studies, then must be authorized by Congress or the Corps of Engineers. If State matching funds are not available when the project is funded at the federal level, the project is subject to reauthorization with the federal funding being allocated to other states' projects. If this happens, the process of development must begin anew.

Local Project Funding

"Local projects" compete for the State's resources as well. Local projects include water or sewer loans and grants, public school construction, community college system's facilities, farmers' markets, public library construction and others. The General Assembly has authorized bond issues and direct appropriations plus increased the local option sales tax limits for local governments to fund water, sewer, and public school construction in years past. In 1987, the corporate income tax rate was increased by 1%, with one-half of the increase being earmarked for public school construction. In 1993, Chapter 542 authorized the issuance of bond proceeds totaling \$740 million, if voted favorably by a majority of the voters in a statewide referendum. Of this sum, \$250 million was earmarked for the Community Colleges system and \$145 million was earmarked for the water and sewer program. In November of 1996, a \$1.8 billion bond

LEGISLATIVE CAPITAL BUDGET REVIEW PROCESS (Continued)

issue for public school construction was approved by the majority of the voters in a statewide referendum.

GPAC Findings

The 1993 State Government Performance Audit perceived North Carolina's pay-as-you-go policy as (1) delaying needed capital improvements; (2) prohibiting a long-term systematic identification of need; and, (3) allowing future taxpayers to enjoy facilities financed by today's taxpayers. The solution offered was the "implementation of a policy for using indebtedness to finance long-term capital projects." The GPAC audit also suggested that both the House and Senate establish a Capital Outlay Appropriation Subcommittee for the purpose of focusing deliberations upon capital issues including evaluation criteria of requests.

In 1989 the House established a Capital Outlay Appropriation Committee which resulted in more insight by members into the capital budgeting process. After five months of committee hearings and discussions with the department/institution personnel, the Committee, however, found it difficult to rank requests among departments resulting in recommendations for support of the first few priorities within each individual agency. Senate hearings, in 1992 for the bond issue proposals, resulted in a similar fashion. Again, there was no effort to prioritize project ranking among the institutions. Capital requests are now reviewed by the subcommittees with final decisions being made on their recommendations by the subcommittee chairs and the Appropriations Committee chairs.

Lease-Purchase; Option to Buy

Lease-purchase agreements and lease with option to buy have been proposed as alternate methods for meeting the State's capital facilities needs. Use of these methods presents some concerns: (1) the State has no oversight during the construction process to ensure that building standards conform with other state buildings; (2) use of federal funds to help pay the lease may affect ownership rights; and, (3) the building will be old and perhaps in need of extensive repair when the State acquires ownership. This practice may become more of a reality with the State's developing interest in privatization of prisoners' housing.

BUDGET REFORM—REPAIRS/RENOVATION RESERVE

Earmarking of Year End Credit Balance

Budget Reform legislation required the Office of State Budget and Management to recommend to the 1993 General Assembly a method to establish a permanent reserve for repairs and renovations of state-owned buildings supported by the General Fund. As recommended, the General Assembly adopted the proposal that the lower of one-fourth of the year end unreserved credit balance or 1.5% of the replacement value of General Fund facilities be reserved to the Repair/Renovation Account by the State Controller. The 1995 Session of the General Assembly changed the earmarking to the greater of one-fourth of the year end balance or 3.0% of the replacement value. The 1996 Session directed that three percent (3%) of the replacement value be earmarked to the Repair/Renovation Account at the year end regardless of the total amount of the unreserved credit balance.

The 1996 Session also appropriated the R/R balance of \$21.3 million, reserved but not appropriated at the end of the 1994-95 fiscal year, to the Office of State Budget and Management authorizing the allocation of these funds for land acquisition, matching federal funds, State grants, and grants-in-aid (Sec. 5.3 (b) of HB 53).

Beginning with the 1992-93 fiscal year, the earmarking for repairs and renovations has been as shown (in millions):

	Credit Balance Prior to Reserves Unappropriated	Total Earmarked	Repairs/Renovations			
			University	Other	Total	
1992-93	\$537.3	\$57.0	\$31.4	\$25.6	\$57.0	\$--
% of Total			55%	45%		
1993-94	\$622.7	\$60.0	\$33.0	\$27.0	\$60.0	\$--
% of Total			55%	45%		
1994-95	\$585.2	\$146.3	\$57.5	\$67.5	\$125.0	\$21.3 (a)
% of Total			46%	54%		
1995-96	\$726.5	\$130.0	\$59.8	\$70.2	\$130.0	\$--
			46%	54%		

(a) Appropriated by 1996 Session as noted above but not for repairs and renovations.

BUDGET REFORM-REPAIRS/RENOVATION RESERVE (Continued)

Accounting Change

With certification of the 1996-97 budget, appropriations from the Repair and Renovations Reserve Account will be made directly from that Account and not be reflected as a direct appropriation as has been the practice in previous years. The Joint Legislative Commission on Governmental Operations will review all recommendations made by the Office of State Budget and the University System prior to any funds being allocated from these reserves.

Criteria for Repair/Renovation Allocations

When funds are appropriated for repairs and renovations, the Office of State Budget and Management ask agencies to submit their requests for use of these funds in priority order using criteria in the following order:

1. Roof repairs and replacements
2. Structural repairs
3. Repairs and renovations to meet federal and state standards (in health facilities so that the state can continue to draw federal funds and in other areas to meet federal and state guidelines for clean water by renovating water treatment facilities and removing leaking underground storage tanks)
4. Repairs to electrical, plumbing and heating/venting/air conditioning systems
5. Improvements to meet the requirements of the Americans with Disabilities Act
6. Improvements to meet fire safety needs (sprinklers, fire alarms, etc.,)
7. Improvement to improve energy efficiency of existing facilities
8. Improvements to remove asbestos and lead paint
9. Improvements and renovations for better use of existing space
10. Historical restoration
11. Improvements to roads, walks, and drives
12. Drainage and landscape improvements

BUDGETING AND EXPENDITURE PROCESS FOR AUTHORIZED CAPITAL PROJECTS

Creating The Project Budget

After a new capital improvement project has been authorized, the Office of State Budget and Management notifies the agency by a BD 306 if authorized by the General Assembly or by letter if authorized by the Governor. Notification includes the name and the fiscal scope of the project, the capital improvement code and the fund under which the project is to be established. The agency, in turn, submits a BD 606 to budget all project funding in a Reserve account. With the receipt of the BD 606 approval by the Office of State Budget and Management, the agency enters the project into the State Accounting System. An agency can distribute funds from the Reserve account into object lines—after design and construction contracts have been awarded—without submitting a BD 606 to the Office of State Budget and Management.

Allotments are required prior to any expenditure on a project. There are only three allotments (design, construction, and close out) for a given project. Capital improvement allotments are for specific projects, thus they do not revert quarterly or at the end of the fiscal year.

Timing of Allotments

The first allotment requested by an agency cannot be made until the designer has been selected and approved by the State Building Commission. The agency then submits an allotment request to include the entire design fee, as approved by the Office of State Construction, for the project plus 50% of the estimated contingency shown on the approved State Construction form (OC-25).

The second allotment is initiated by the agency upon receipt of a letter issued by the State Construction Office. After construction bids are received on a project, the Office of State Construction, having received bids and certified the low bids of qualified contractors, issues a letter to the agency identifying the award of construction contracts for the General Contract, Plumbing Contract, Heating/Ventilation/Air Conditioning (HVAC) Contract and Electrical Contract plus details the approved design fee, the construction contingency and funds available for movable equipment. Before the letter is released, State Construction verifies with the Office of State Budget and Management that funds are available for the project. The allotment generated at this point for State Budget's approval distributes into object lines the funds for all construction contracts and other components as reflected on the award letter. With receipt of a copy of the approved allotment, the agency can begin to expend funds.

When all construction has been completed on a project and final payments have been made to the contractors, the designer must submit the "as-built" drawings, a detail of the

BUDGETING AND EXPENDITURE PROCESS (Continued)

actual work performed, to the Office of State Construction along with his Final Report. The Final Report certifies that all work has been completed and that all contractual obligations have been fulfilled by both parties. Upon receipt of these documents, the Office of State Construction notifies the agency that the project is completed and that final payment to the designer is due. With this payment made, the only possible pending expenditure would be for movable equipment which may have been ordered but not received. Although the Office of State Budget and Management notifies the agency by letter to initiate close-out procedures at this point, it may grant a sixty-day extension to complete the equipment transaction.

A third allotment may be approved at this time to revert prior allotments' unexpended balances to an unallotted status. After the agency submits a BD 606 to transfer the balance from the currently budgeted fund to a new fund entitled "Close-out to State Treasurer," a check is then drawn to be deposited with the State Treasurer if the project was supported by the General Fund. If the project was receipt-supported, a check is drawn to deposit the unexpended receipts back to its original source.

Legislation requires the Director of the Budget to review authorized capital funds not encumbered for contract at the end of a twelve-month period. At the discretion of the Director of the Budget, the project's funding may revert or be extended depending upon the circumstances related to the delay.

OFFICE OF STATE CONSTRUCTION

As noted in the previous section, the Office of State Construction of the Department of Administration performs a major role in review of plans and specifications for capital projects. The Executive Budget Act requires this Office to determine whether building, repairs, alterations, additions or improvements to physical properties for which appropriations are made have been designed giving first consideration to economy in cost, maintenance, materials, type of construction and architectural design. The State Construction Office examines and approves all plans and specifications for the construction or renovations of all state buildings. Also, State Construction prepares preliminary studies and cost estimates for agencies' capital requests; supervises the letting of all contracts for design, construction or renovation; supervises and inspects all work done and materials used during process; and, accepts all completed work on behalf of the state. In recent years, the Office of State Construction has also served as the manager of the State Prison Construction Program.

In addition, this Office serves as staff to the State Building Commission which is charged with contractor selection; provides technical assistance to state agencies and institutions on request as time permits; and administers the state asbestos program.

Involvement During Life of Capital Project

Budget Request

After an agency has determined the project scope (size of facility and basic program requirements) and prepared its cost estimates on an OC 25 form obtained from State Construction, the completed form is submitted to the State Construction Office for cost review of the various project components. This form, as revised, is returned to the agency to be submitted as part of its capital budget request to the Office of State Budget and Management.

Designer Selection

When a budget has been established for a capital project, the agency must proceed with the necessary steps to select a designer. State Construction staff assist agency personnel by advertising the need for design services, arranging for designer interviews and participating in the pre-selection process leading to selection of the project designer which must be chosen in accordance with procedures established by the State Building Commission. The designer selection is narrowed to three choices by the agency from which the State Building Commission makes the final selection. The University system follows the designer selection procedures established by the State Building Commission; however, the final selection is made by the Trustees of each individual institution. For community colleges, the trustees of each individual institution are responsible for the selection of the designers. After the designer has been selected, the State Construction

BUDGETING AND EXPENDITURE PROCESS (Continued)

Office negotiates the design fees and prepares the design contract which establishes the scope of work and an agreed-on schedule. Staff reviews the design plan at three stages--- schematic design, development design, and working drawing design—to (a) ensure compliance with the intent of the appropriation; (b) ensure proper consideration to long-term life of the facility from owning and operating costs, maintenance cost, and energy consumption; and (c) ensure adequacy of plans and specifications for public bidding and compliance with the public bid law.

Certification of Bids: Project Management

With the completion of the design plans, the project is advertised and construction bids are received in accordance with G. S. 143-129. The Office of State Construction reviews the bids and then certifies the low bid of qualified contractors. The Office then notifies the agency head by letter of approved contract awards to the low bidders after the availability of funds for the project has been verified with the Office of State Budget and Management. The designer prepares the contracts as awarded for approval by State Construction. The designer provides general administration of the performance of construction contracts, including inspection and continuous liaison with contractors during the construction phase, to ensure compliance with plans and specifications. During this period, State Construction monitors the project, approves all change orders and resolves disputes and claims among parties involved (G. S. 143-135.3). The designer, with State Construction Office staff, conducts a final inspection of the completed project. With the submission of the designer's "as-built" drawings and Final Report, the agency is then notified that the project is completed.

STATE BUILDING COMMISSION

As a result of over ten years of studies relating to the State's capital construction program, the 1987 Session of the General Assembly created the State Building Commission for the purpose of developing procedures to direct and guide the State's capital facilities and management program. The Commission is housed within the Department of Administration and is staffed by the State Construction Office under the direction of the Commission's chairman. Each member of the nine-member commission, except one, must have professional knowledge related to construction or be associated with the building maintenance area.

The State Building Commission superseded the Capital Building Authority (abolished June 30, 1988), the authority which was previously delegated to award contracts for state projects. Legislative directives for the Commission included a charge to adopt rules for (1) coordination of reviews, approvals, and permits; (2) a preventive maintenance program, post occupancy evaluation, and annual inspections of facilities; (3) the selection of designers and the development of measures to be used in the evaluation of designers and contractors; and, (4) continuous study to recommend ways to improve the effectiveness and efficiency of the State capital facilities development and management plan.

Required by law to select a designer within sixty days of project authorization, the Commission's initial emphasis was to establish designer selection procedures for all agencies/institutions to follow. Once completed, focus centered on designer evaluation procedures which when implemented required that a written evaluation of the designer and his consultants' performance be completed during all phases of the project. These evaluations are now used in the designer selection process as are the evaluation procedures for contractors which became effective with all projects approved for bid since February, 1992.

The 1987 Session of the General Assembly transferred the responsibility for conducting an operations and maintenance study to the Commission; and, it also charged the Commission to develop a software package for a capital facilities maintenance program. This package, as designed, identifies and evaluates specific facility deficiencies, determines corrective measures needed and their associated costs, and most importantly establishes an assessment rating which should be used in determining the priority for funding.

Facilities Condition Assessment and Maintenance Planning Program (FCAP)

This program should become the major force behind the State's Capital Facilities Program. Maintaining facilities in an operable condition, if the facility is needed, should take priority over new construction. The FCAP includes inspection of a facility to generate data for each component in it by (1) inventory data; (2) assessment data that defines the specific condition of the components; and, (3) deficiency data developed

STATE BUILDING COMMISSION (Continued)

from the assessment data. For each deficiency included are its basic cause, the recommended corrective action, and data for determining the cost of correction. Also, addressed are priority of need and time phasing by assignment of correction priority ratings for each identified deficiency. This system was designed to support individual budget requests and agencies/institutions are using it for that purpose.

STATE PROPERTY OFFICE
G.S.143-341(4)

The Division of State Property under the Department of Administration is the administering agency in State Government for the acquisition and disposition of all state-owned land and buildings or interest therein by deed, lease, easement, or allocation. It is responsible for the allocation and reallocation of land, buildings or space in buildings by and between agencies, institutions or departments of the State (see exemption below). It provides relocation assistance to persons displaced by state projects to insure that individuals do not suffer disproportionate injuries due to construction of public projects. It maintains a complete and accurate inventory of all state land and buildings. It is responsible for the discovery and reclamation of the vacant and unappropriated lands of the State.

The State Property Office reports monthly to the Joint Legislative Committee on Governmental Operations on acquisitions and dispositions with an estimated appraised value of at least \$25,000. The basis of the value estimate is fee simple ownership. This committee makes a recommendation on approval or disapproval of the property matters.

The State Property Office also reports monthly to the Governor and Council of State on all property acquisitions and dispositions whether by purchase, lease, easements or condemnation. The Council of State is the final authority on property matters excepting leases of less than 3 years in term or less than \$12,000 in rent.

Exemptions from State Property Office's Jurisdiction

The Department of Transportation's right-of-way acquisitions, the Wanchese Harbor Seafood Property and the State Ports Authority are exempt from the jurisdiction of the State Property Office. Also, the University Endowment Funds are exempt.

NORTH CAROLINA CAPITAL PLANNING COMMISSION
G. S. 143B-373

The North Carolina Capital Planning Commission of the Department of Administration consists of the following ex officio members: (1) The Governor, (2) the Lieutenant Governor, (3) all Council of State members, (4) the House Speaker, (5) four members from the House, (6) four members from the Senate, and (7) a representative from the City of Raleigh.

The Commission, staffed by the State Property Office, is charged with powers and duties as listed:

1. to obtain and maintain up-to-date building requirements for state government agencies in Wake County;
2. to formulate and maintain a long-range capital improvement program required for State central governmental agencies in Wake County;
3. to recommend land acquisition as required;
4. to recommend site locations for state government buildings, monuments, memorials, and improvements in Wake County except the Legislative buildings; and,
5. to recommend new names for any facility in Wake County, excepting facilities which are part of North Carolina State University, Dorothea Dix Hospital, the General Assembly and the Governor Morehead School.

The Commission meets quarterly or at the call of the Governor to review proposals for change in the master plan for the downtown state government complex; and, in most recent times, to review a revised master plan for the Capital area.

STATE PROPERTY FIRE INSURANCE FUND
G. S. 58-31

The State Property Fire Insurance Division of the Department of Insurance is responsible for the operation and maintenance of the State Property Fire Insurance Fund, the self-insurance plan for State property. The Division investigates claims, makes adjustments, and pays losses subject to approval of the Council of State.

The Fund provides basic fire and lightning coverage for State-owned property and offers insurance for wind, hail, riot, explosion, smoke, theft, sprinkler leakage, vandalism, business interruption, etc. The Division procures from private insurance carriers other needed insurance such as auto liability and physical damage, inland marine, ocean marine, aircraft liability and physical damage, boiler and machinery, workers' compensation, accident and health, general liability, crime, professional liability, and bonds.

Another vital function of the Division involves plan approval for all new State building construction and renovation. Plans are reviewed to achieve construction that is desirable for insurance purposes and safe for building occupants. Emphasis is placed on proper exits, building fire resistance, and fire protection systems. The Division also develops specifications for fire detection and alarm systems, sprinkler systems, and other life safety equipment. These are used by designers of State buildings.

The Division reviews and approves all building plans, insures the finished buildings, and inspects them annually (statutory requirements). Between plan approval and completion of construction, there is at present no statutory inspection for Code compliance.

Loss control through annual inspection of all state-owned buildings is accomplished by inspectors who are certified in the interpretation of codes and standards for fire protection and construction. Reports are made to agency management for correction of deficiencies. The staff also provides advice on corrective measures.

The risk management section serves as the source for State agencies in resolving risk issues. It administers the State's automobile insurance program covering approximately 23,000 vehicles. It also helps local governments review their insurance needs and implements risk management programs. Ninety-nine North Carolina communities and counties have received complete insurance surveys and risk management services since 1985.

The section also provides staff, administration, and research services to the Public Officers and Employees Liability Insurance Commission (POELIC). The Commission is charged with making available a plan of professional liability coverage for all law enforcement officers, public officials, and employees of any political subdivisions of the

STATE PROPERTY FIRE INSURANCE FUND (Continued)

State. The plan provides \$900,000 in liability insurance coverage on 98,000 state employees for losses resulting in bodily injury or property damage, and for errors and/or omissions in the performance of their work.

STATE PROPERTY FIRE INSURANCE FUND CHRONOLOGICAL HISTORY OF FUND

- 1945 Fund Created, Ratified March 21, 1945. State is self-insured for fire loss of property (buildings, furniture, equipment). Fund started with unexpended appropriations for fire insurance premiums for FY 1944-45 and appropriations for premiums in authorized budgets for 1945-47.
- 1947 General Assembly appropriated \$100,000 for each year of biennium to the Fund.
- 1949 General Assembly increased appropriation to \$200,000 for each year of the biennium.
- 1951 General Assembly increased appropriation to \$300,000 per annum; and, General Assembly and the Council of State approved the purchase of reinsurance to protect the Fund against loss on any building in excess of \$50,000.
- 1955 Appropriation adjusted to \$250,000 per annum; an amount that remained constant for the next 26 years.
- 1957 General Assembly authorized the fund to provide extended coverage (windstorm hail, explosion, riot, riot attending a strike, civil connection, aircraft, vehicles, and smoke and business interruption).
- 1959 General Assembly authorized Fund to provide sprinkler leakage insurance.
- 1963 General Assembly authorized Fund to cover state-owned fixtures, furniture, and equipment in non-state owned buildings.
- 1975 General Assembly authorized Fund to provide other insurance such as theft, vandalism, flood, and "all risk" insurance upon request.
- 1981 General Assembly eliminated direct appropriation to the Fund.
- 1983 General Assembly mandated that \$1,450,000 per annum be allocated to local fire departments which provide protection to State property.
- 1985 Basis of paying losses was changed from actual cash value to replacement value.
- 1987 With the approval of the Council of State, agencies were made responsible for a \$500 deductible on loss reimbursements excepting those generated by theft which were set at \$1,000.

STATE PROPERTY FIRE INSURANCE FUND
CHRONOLOGICAL HISTORY OF FUND (Continued)

1990 The General Assembly reduced the transfer from the State Property Fund for local fire departments from \$1,450,000 to \$500,000 and directly appropriated the \$950,000. G. S. 58-31.30 which expired June 30, 1994 eliminated the continued use of the State Property Fund balance for this purpose.

**STATE PROPERTY FIRE INSURANCE FUND
INCOME VS. EXPENSE**

Year	Income	Expense	Fund Balance
1965	\$484,000	\$347,000	
1966	466,800	330,900	
1967	580,000	274,800	
1968	576,000	342,400	
1969	647,000	471,000	
1970	749,600	508,800	
1971	773,900	476,600	
1972	827,900	362,800	
1973	844,400	452,000	
1974	1,029,000	407,300	
1975	1,186,000	565,000	
1976	1,094,982	841,941	
1977	1,413,836	676,878	
1978	1,556,533	985,162	
1979	1,776,177	982,824	
1980	1,907,748	1,121,597	9,633,646
1981	2,253,250	1,184,738	10,774,420
1982	2,402,343	1,036,890	11,908,497
1983	2,401,530	1,199,234	13,110,787
1984	2,610,378	2,773,309	12,947,658
1985	2,707,396	3,407,625	11,899,984
1986	2,806,421	3,275,173	11,778,895
1987	3,349,712	3,428,919	11,669,692
1988	3,296,089	3,619,923	11,345,859
1989	3,233,764	4,422,510	10,187,000
1990	4,794,531	4,900,493	10,079,718
1991	5,305,734	3,794,167	11,592,717
1992	4,529,602	3,516,584	12,605,735
1993	4,952,137	3,880,974	13,767,217
1994	7,701,443	8,679,073	12,891,262
1995	5,227,684	3,486,858	14,712,604
1996	7,014,578	3,701,496	18,112,356

Fund balance includes long-term investment pool (cash basis)

NORTH CAROLINA HISTORICAL COMMISSION
G. S. 121-12

The North Carolina Historical Commission was established for the purpose of providing guidance to the Department of Cultural Resources staff and the legislature by preparing and adopting criteria for the evaluation of State historic sites and all other real and personal property which it may consider to be of such historic, architectural, archaeological, or cultural importance significant enough to (1) justify the acquisition and ownership thereof by the State of North Carolina or to (2) provide any financial assistance by the State to any county, city, corporation, organization, or individual for acquisition and/or operations. In evaluating whether a building should be a State historic site, the Commission shall request and review plans for the use and maintenance of the building. The Commission, through the Department of Cultural Resources, is charged to furnish as soon as practicable to the chairman of each legislative committee which is referred any bill seeking an appropriation of State funds to the Department of Cultural Resources for the purpose of acquiring, preserving, restoring, or operating or otherwise assisting any property having historic, archaeological, architectural, or other cultural value or significance five copies of a report on its findings and recommendations related to the property. These findings set forth opinions or recommendations (1) as to the historical authenticity of the property; (2) if property is significant enough to be essential to the development of a balanced state program of historic and archaeological sites and properties; (3) cost and cost apportionment of said cost among state and non-state sources; (4) if practicable plans have been established for funding the non-state share of the cost for acquisition; (5) if practicable plans have been established to support staffing and operations if no state funds are available; and, (6) any other comments and recommendations.

In addition to its role in historic structures, the Commission is also charged to prepare and adopt criteria for the evaluation of all interpretive, security or climate control programs or projects to be installed in nonprofit history museums for which State aid or assistance is requested from the Department of Cultural Resources. All programs or projects are to be investigated and evaluated. A written report of its findings are to be filed with the Department of Cultural Resources. The Commission, through the Department of Cultural Resources, is charged to furnish as soon as practicable to the chairman of each legislative committee to which is referred any bill seeking an appropriation of State funds to the Department of Cultural Resources for the purpose of assisting a history museum, at least five copies of a report on its findings and recommendations relating to such property.

The 1996 Session of the General Assembly charged the Commission to report to the Joint Legislative Commission on Governmental Operations about the fiscal impact upon the state budget caused by the acceptance of historical structures as gifts to the state. This followed the acceptance of two properties—the historic Chowan County Courthouse and the New Bern Academy located adjacent to Tryon Palace-- by the Council of State as gifts at its June, 1996 meeting.

APPROPRIATIONS
Subcommittee on Capital & Budget

Minutes
March 18, 1997

The APPROPRIATIONS Subcommittee on Capital & Budget held a meeting on Tuesday, March 18, 1997 at 12:00 p.m. in room 643 of the Legislative Office Building. The members present were Representatives Carolyn Russell, Cochair, Gene Wilson, Cochair, Cherie Berry, Donald Bonner, Joanne Bowie, Walter Church, Sr., Jerry Dockham, Beverly Earle, Ruth Easterling, Bobby Hall, Verla Insko, Joe Kiser, Richard Moore, Alex Warner and Nurham Warwick. Staff-Lynn Muchmore, Janice Wheeler, Emily Johnson, Phyllis Pickett, and Clerk- Rebecca Jones were also present.

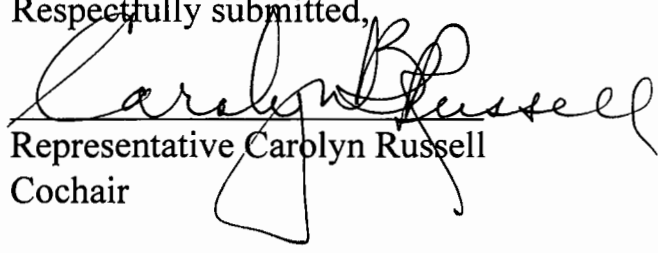
Representative Carolyn Russell, Cochair, called the meeting to order at 12:10 p.m. She introduced Speros J. Fleggas, PE. He distributed a copy of his presentation to the committee. This was made part of the minutes. Attachment 1.

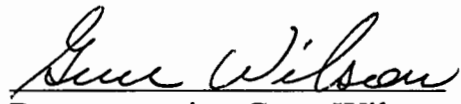
Representative Russell adjourned the meeting at 12:50 P.M.

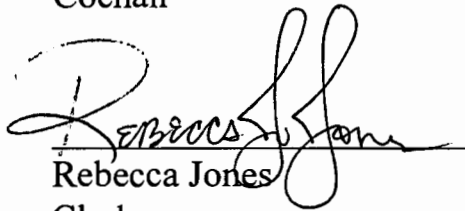
ATTACHMENTS

Attachment 1- Sperros J. Fleggas, PE Handout/Presentation

Respectfully submitted,


Representative Carolyn Russell
Cochair


Representative Gene Wilson
Cochair


Rebecca Jones
Clerk

APPROPRIATIONS/CAPITAL AND BUDGET COMMITTEE
Status Report on the Facility Condition Assessment Program
March 11, 1997
By Speros J. Fleggas, PE

The Facility Condition Assessment Program, also known as FCAP, was established in 1990 as a function of the Consulting Services Section of the State Construction Office. The program initially started with one assessment team consisting of an architect, a mechanical engineer an electrical engineer, and a manager of the program. Funding for a second team was appropriated in the 1993 Session.

To give you some history, the FCAP program began with a feasibility study and a pilot program developed by an engineering consultant under the direction of the State Building Commission. The pilot program was conducted in 1989, and involved actual surveys of approximately 100 buildings in various agencies and institutions located in all regions of the state.

The concept of the assessment program was developed in order to accomplish several goals. These goals include:

1. To develop a method of recording data for a computer-based inventory of the physical features of the State's facilities, which include buildings, grounds, site services, energy distribution, and waterfront and special structures.

2. To establish an effective system for evaluating the current condition of the State's facilities.
3. To report to the agency or institution the major deficiencies observed, recommendations for correcting the deficiencies, and estimated costs for correction. This report would enable the agency or institution to better prioritize their capital needs, and would be used as a tool for requesting funds for repairs, renovations and replacement of building components.
4. A fourth element which was added to the program is an analysis and reporting of energy conservation measures.

There are currently around 12,000 State-owned buildings on inventory with the State Property Office totaling approximately 82.6 million square feet. However, a large percentage of this total is made up of small structures, many of which have less than 1,000 square feet of floor space, such as pump houses, picnic shelters, guard towers, salt bins, transmitter buildings and storage sheds. Only those buildings having a gross area over 3,000 square feet are surveyed by the FCAP team which reduces the number of buildings involved in the FCAP program to approximately 3,500. This represents over 90 percent of the total square footage of state-owned buildings.

In addition to these buildings, other infrastructure facilities owned by the State are part of the FCAP program. These facilities include utility systems, parking lots and roads which have large investments and require capital funds for maintenance and repairs. Frequently major deficiencies are observed in primary electrical distribution systems, underground steam lines or water and sewer lines which the agencies or institutions were unaware of problems or that the continuous operation was taken for granted.

Major deficiencies in building systems are observed by the assessment team at the site, and the details of the deficiency are obtained with the assistance of the physical plant personnel, who give valuable information relative to previous repairs, modifications, or problems experienced with various systems. Another item addressed with the deficiency data is a time priority recommended for implementing the corrective measure. This will be either an immediate priority, a 1-year deficiency, or a 2-year, 3-year or 5-year deficiency, and is determined by the severity of the deficiency, whether it is a life safety item, whether it could impact the structural integrity of a building, or the estimated useful life remaining in a deficient system.

Once a deficiency has been identified the FCAP team writes a report of the deficiency including possible causes of the deficiency. Then recommendations to correct the deficiency

are described in detail, sometimes with several options to consider. Preliminary cost estimates for these corrective measures are then developed, based on the information available to the assessment team during the site visits. Once all of the deficiencies for an agency or institution are identified and completed by the assessment team, the deficiencies are organized, and a detailed report is written which identifies the major deficiencies, the corrective measures recommended, the estimated costs, and a time priority recommended for correction. Also included in the report are minor maintenance deficiencies which can be corrected without capital funds by using the resources of the physical plant staff, or by an informal contract. This report has proved to be of great value in the development of cost estimates for capital improvements requests.

The State Construction Office has developed criteria which it uses to prioritize deficiencies as they relate to each other from one complex or campus to another. Following is a listing in order of priority of the corrective measures recommended for major types of deficiencies observed at various complexes:

1. Renovation of deteriorated and unsafe primary and/or secondary electrical distribution systems owned by the State.

2. Installation and repair of life safety equipment, including fire and smoke alarm systems, emergency egress lights and lighted exit signs.
3. Repair or replacement of deteriorated roof systems.
4. Correction of interior electrical code violations and hazardous conditions.
5. Replacement of deteriorated water pipes, waste pipes and plumbing fixtures to provide more sanitary facilities.
6. Installation, replacement and renovations to deteriorated boilers and inefficient heating and cooling systems, and improvements to fire protection systems.
7. Installation of code required and accessibility modifications.
8. Repair and waterproofing of masonry walls, the replacement of deteriorated doors and windows, and general building renovations.
9. Improvements to parking lots, drives and roadways.
10. Miscellaneous repairs and renovations.

State agencies are finding that the FCAP reports assist them in the identification of their capital needs. These reports have provided the required justification for requesting funds for repairs and renovations. Some agencies and

institutions have received funds based on a previous FCAP study on their facilities. The State Budget Office is giving priority to repair and renovation fund requests for agencies where there has been an FCAP survey made.

The State Construction Office has frequently been involved in the assessment of non-State owned property as requested by the State Property Office prior to the possible purchase or donation to the State. This involvement is important, because it helps the State know what deficiencies exist in a building and the approximate cost to correct them if the State acquires the property.

The types of deficiencies most often found in state agencies and institutions include built-up roof systems needing repairs or replacement; interior walls and ceilings which have deteriorated or damaged; heating and air conditioning systems which are in very poor condition, obsolete, or improperly designed; fire and smoke alarm systems which either do not exist, are obsolete, or are not functioning properly; inadequate emergency egress lights and lighted exit signs; primary electrical distribution systems which have had little or no maintenance, are old and inadequate, or in some cases are very hazardous to perform simple repairs or maintenance; and numerous internal electrical system deficiencies and code violations.

Sometimes agencies request that their facilities be surveyed and assessed to address specific problem areas which would assist them in preparing budget request forms. We have received numerous requests from the community college system for our FCAP team. The community college system has approximately 850 buildings totaling approximately 14 million square feet.

The State Construction Office honors any written request from a state agency or institution that would like to have their facilities assessed. These requests are handled in order received unless an emergency problem exists. We often find that the smaller agencies with limited engineering expertise can benefit more from the services of an FCAP assessment than larger institutions with more staff.

To date, we have completed one entire cycle and are currently working on the second visits. We have identified *really a lot* over \$800 million in outstanding deficiencies. We have identified over \$267 million as immediate deficiencies. Deficiencies already addressed, as seen in our second visits, are removed from the database.

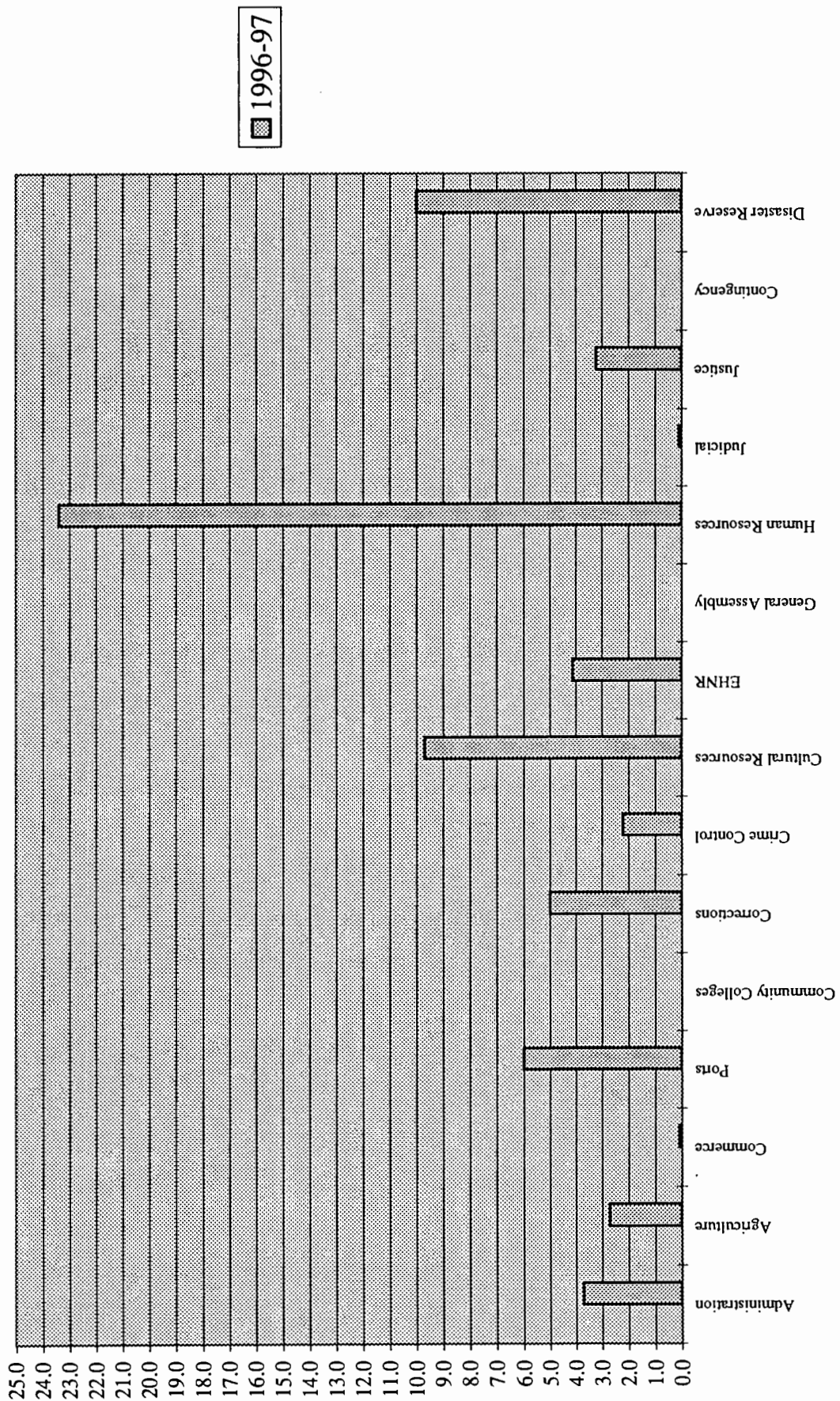
Reserves for Repairs and Renovations

Allocations by Department

(in millions)

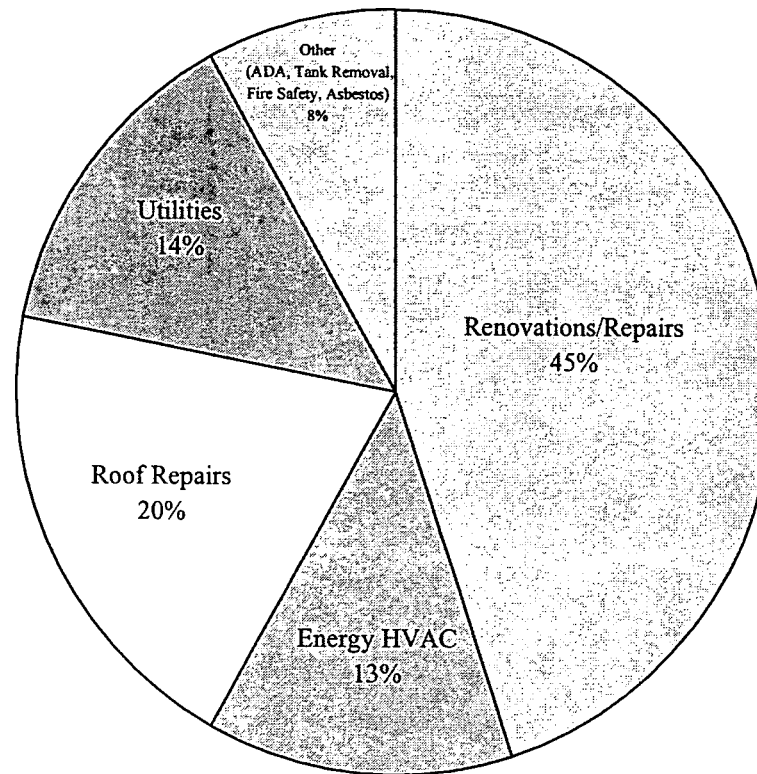
	1993-94		1994-95		1995-96		1996-97	
	Amount	Percent of Total	Amount	Percent of Total	Amount	Percent of Total	Amount	Percent of Total
Administration	\$5.4	21.1	\$3.2	11.9	\$8.1	12.0	\$3.7	5.3
Agriculture	2.1	8.2	1.0	3.7	3.7	5.5	2.7	3.8
Commerce	0.1	0.4	0.2	0.7			0.1	0.2
Ports	0.0		0.3	1.1	0.6	0.9	6.0	8.6
Community Colleges	0.1	0.4						
Correction	5.0	19.5	4.5	16.7	6.7	9.9	5.0	7.0
Crime Control	0.8	3.1			1.6	2.4	2.2	3.1
Cultural Resources	0.2	0.8	1.4	5.2	7.7	11.4	9.7	13.8
EHNR	1.3	5.1	3.1	11.5	5.4	8.0	4.1	5.8
General Assmebly	2.9	11.3			5.0	7.4		
Human Resources	7.0	27.3	12.0	44.4	23.5	34.8	23.4	33.3
Judicial			0.4	1.5			0.1	0.2
Justice	0.5	2.0	0.3	1.1	0.3	0.4	3.2	4.6
Contingency	0.2	0.8	0.6	2.2	4.9	7.3	0.0	
Disaster Reserve							10.0	14.2
Total	25.6	100.0	27.0	100.0	67.5	100.0	70.2	100.0

Reserves for Repairs and Renovations Allocations by Departments in Dollars



Reserve for Repairs and Renovations				
Types of Projects				
1993-97				
(by percent)				
	<u>1993-94</u>	<u>1994-95</u>	<u>1995-96</u>	<u>1996-97</u>
Renovations/Repairs	45%	39%	40%	45%
Energy/HVAC	14	24	14	13
Roof Repairs	13	18	15	20
Utilities	9	10	13	14
Other*	19	9	18	8
*ADA, Tank Removal, Fire Safety, Asbestos, Miscellaneous				

Reserve for Repairs and Renovations
Types of Projects
1996-97



List of All Projects Funded

from

The Reserve for Repairs and Renovations
(Non-University Projects)

1993-94 -- 1996-97

<u>Fiscal Year</u>	<u>Amount</u>	<u>Page Number for List of Projects</u>
1993-94	\$25,650,000	page 1
1994-95	27,000,000	page 3
1995-96	67,500,000	page 5
1996-97	70,200,000	page 9

<u>Department/Division</u>	<u>Project Title</u>	<u>1993-94 Recommended</u>
Administration		
Secretary's Office	ADA Improvements-Statewide	\$2,800,000
Capitol	Capitol Sprinkler System Upgrade	\$52,500
Government Complex	Reserve-Government Complex Emergency Repa	\$100,000
Governor's Mansion	Governor's Mansion Reroofing	\$524,500
Government Complex	Renovations to Old YWCA and Elks Building	\$568,600
Government Complex	Life Safety Reserve	\$100,000
State Construction	Tenant Upfit for State Controller's Office	\$622,600
Government Complex	Hawkins-Hartness House Repairs	\$29,000
Government Complex	Coble Helms House Reroofing	\$107,400
Government Complex	Seaboard Building Reroofing	\$64,200
Government Complex	Ruffin Building Repairs	\$199,000
Government Complex	Plaza Apartment Building Demolition	\$91,200
Art Museum	Museum of Art HVAC Renovations	\$106,600
	TOTAL FOR DEPARTMENT	\$5,365,600
Agriculture		
Plant Industry	Renovations to Bio Control Lab-Supplement	\$156,120
Analytical Administration	Ballentine Building Renovation	\$1,574,400
Analytical Administration	Constable Laboratory-Roof Repairs	\$48,600
Food Distribution	Butner Warehouse Refrigeration Equipment	\$92,200
Analytical Administration	Constable Laboratory-Repairs and Improvem	\$53,300
Cherry Farm	Reroof Beef Cattle Facility	\$56,400
Tidewater Research Station	Reroof Shop Building & Sheep Barn-Tidewat	\$58,400
Upper Mountain Research Statio	Replace Electrical Wiring Stocker Barn-Up	\$23,600
Umstead Farm	Reroof 2 Dairy Lounging Barns-Umstead Far	\$49,000
Caswell Farm	Building Repairs-Caswell Farm	\$11,700
Upper Mountain Research Statio	Reroof Shop Building-Upper Mt. Research S	\$14,800
	TOTAL FOR DEPARTMENT	\$2,138,520
Commerce		
I-85 North Welcome Center	I-85 North Plumbing, AC, and Painting	\$14,10
	TOTAL FOR DEPARTMENT	\$14,100
Community College		
Textile School	Sprinkler System	\$7,800
Textile School	Boiler Combustion Controls	\$6,300
Textile School	Tuck Point Exterior Walls	\$31,800
Textile School	Replace Wood Doors with Metal Doors	\$17,200
	TOTAL FOR DEPARTMENT	\$63,100
Correction		
Prisons	Repairs/Renovations-Black Mountain Women'	\$412,000
Prisons	Repairs and Renovations at NCCIW	\$1,982,100
Prisons	Repairs and Renovations at Morrison Youth	\$1,708,400
Prisons	Repairs and Renovations-Polk Youth Instit	\$360,700
Prisons	Improvements-NCCIW (Chpt.550, Section12)	\$536,800
	TOTAL FOR DEPARTMENT	\$5,000,000
Crime Control & Public Safety		
National Guard	Clyde Armory Roof Project	\$116,500
National Guard	Greenville Armory Roof Project	\$113,700
National Guard	Durham Armory Roof Repair	\$181,900
National Guard	Fremont/Farmville Armory Roof Projects	\$144,100
National Guard	Lenoir Armory Roof Project	\$100,500
National Guard	Burlington Armory Roof Project	\$92,200
National Guard	Kings Mountain Armory Roof Project	\$90,400
	TOTAL FOR DEPARTMENT	\$839,300
Cultural Resources		
Archives and History	Archives/Library Building Renovation-Plan	\$30,000
C.H. Brown State Historic Site	CHB Teacher Cottage Roof	\$15,100
State Historic Sites	Wooden Shingle Replacement-Five Historic	\$17,200
Tryon Palace	Tryon Palace-HVAC Repairs	\$80,000
Historic Sites/Tryon Palace	Underground Storage Tank Removal	\$75,000
Historic Halifax	HVAC Replacement - Two Buildings	\$19,500
	TOTAL FOR DEPARTMENT	\$236,80

1993-94

<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
Aquariums-Roanoke Island	Repairs-Storm Damage	\$225,000
Parks & Recreation	Mt. Mitchell/Hanging Rock Sewer Repairs	\$300,000
Forest Resources	Underground Storage Tank Removal	\$200,000
Zoo	Fire Alarm Systems Repair and Upgrades	\$82,200
Museum of Natural Sciences	Safety Items	\$42,000
Marine Fisheries	Fire and smoke alarm system	\$26,400
Parks & Recreation	Duke Power - Parkwide sewer system repair	\$89,500
Forest Resources	Duplin-Pender Zone Headquarters Repair	\$57,300
Marine Fisheries	Repair Marine Fisheries building HVAC sys	\$25,200
Aquariums	Repairs to Three Aquariums	\$225,000
	TOTAL FOR DEPARTMENT	\$1,272,600
General Assembly	HVAC Upgrade-LB	\$1,488,400
	HVAC Modifications-LOB	\$251,000
	State Chiller Facility Tie In	\$661,100
	ADA Renovations-LB	\$100,400
	ADA Renovations-LOB	\$121,400
	Basement Sprinkler Upgrade-LB	\$129,500
	House & Senate Gallery Railings-LB	\$100,400
	TOTAL FOR DEPARTMENT	\$2,852,200
Human Resources	Repairs/Renovations-Governor Morehead Sch	\$2,700,000
Governor Morehead School	Renovation of Campus Bathrooms-Phase I	\$400,000
Broughton Hospital	Replace Roof on Old School Area	\$54,100
O'Berry Center	Reserve for Underground Storage Tanks	\$100,000
Statewide	Renovate Main Building Dormitory	\$786,600
WNC School for the Deaf	Reroof/repair Lathan Building	\$48,900
ADATC-Butner	Cafeteria Reroofing - Dobbs	\$33,400
Dobbs School	Replace Steam Lines	\$200,000
Caswell Center	Boiler #2 Renovation	\$218,400
Hospital	Complete ICF/MR Renovations	\$430,900
Black Mountain Center	Replace Sanitary Sewer Waste Lines	\$175,700
O'Berry Center	Booster Pump, Sprinkler, Standpipe & Fire	\$83,400
Special Care Center-Wilson	Boiler System Improvements	\$420,900
Western Carolina Center	Absorption Chillers Replacement	\$200,000
Cherry Hospital	Replace Water Distribution System	\$127,200
Special Care Center-Wilson	Reroof Buildings	\$250,000
Caswell Center	Reroof Chapel	\$34,700
Murdoch Center	Reroof Buildings	\$83,800
Cherry Hospital	Reroof Buildings	\$150,000
Western Carolina Center	Continued Re-Roofing of Hospital Building	\$150,000
John Umstead Hospital	Roof Replacements	\$100,000
CNC School for the Deaf	Steel Window Replacement, D Cottage	\$81,700
C.A. Dillon School	Reroof Rehabilitation Services Building	\$98,300
Murdoch Center	Reroof Buildings	\$50,000
Walter B. Jones ADATC	Reroof Medical Records & Counseling Build	\$61,500
ADATC Black Mountain	TOTAL FOR DEPARTMENT	\$7,039,500
Justice	Maintenance Shop Repairs	\$29,400
Justice Academy	Replace HVAC Administration Building & Au	\$417,200
Justice Academy	TOTAL FOR DEPARTMENT	\$446,600
OSBM	Contingency and Emergency	\$381,680
OSBM	TOTAL FOR DEPARTMENT	\$381,680
	TOTAL FOR ALL DEPARTMENTS	\$25,650,000

1994-95

<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
Administration		
Statewide	ADA Renovations	\$500,000
Government Complex	Reserve-Government Complex Emergency Repa	\$125,000
Facility Services	Reroof State Surplus Warehouse	\$277,500
Garner Rd Complex	Reroof Building #1 Garner Road	\$48,500
Garner Rd Complex	Reroof Building #3 Garner Road	\$55,200
Garner Rd Complex	Reroof Building #17 Garner Road	\$48,300
Government Complex	Reroof Building Merriman-Wynne House	\$8,500
Government Complex	Reroof Building Worth House	\$3,900
Government Complex	Reroof Shore Building	\$63,000
Government Complex	Reroof Womack Building	\$52,300
Government Complex	Replace Roof on Archives & History	\$242,100
Facility Services	Reroof and Plan Renovate Facility Managem	\$115,000
Facility Services	Reroof FMD Barn-Greenhouse	\$42,300
Government Complex	Old Health Building Porch Roof and Gutter	\$23,500
Government Complex	Replace Elevator Controls-Archdale	\$1,188,400
Government Complex	Caswell Square Electrical Repairs	\$102,900
Government Complex	Exterior Repairs To Albemarle Building	\$337,000
	TOTAL FOR DEPARTMENT	\$3,233,400
Agriculture		
Western NC Ag Ctr	Watermain for Fire Protection	\$89,900
Western NC Farmers Mkt	Roof Repairs - Wholesale Building	\$66,500
Constable Lab	Renovation of Constable Lab	\$474,900
Plant Industry Div. - Raleigh	Roof Repair-Support Operations Facility	\$15,000
Umstead Farm, Butner	Reroof Cattle and Storage Facilities-Umst	\$64,200
Caswell Farm, Kinston	Reroof Equipment and Storage Facilities-C	\$20,300
Tidewater Res. Stat., Plymouth	Reroof Livestock and Storage Facilities-T	\$112,800
Mountain Res. Stat Waynesville	Renovation and Reroofing of Dairy Barn-Mt	\$40,900
Broughton Farm, Morganton	Reroof Lounging Barns-Broughton	\$57,700
	TOTAL FOR DEPARTMENT	\$942,200
Commerce		
Wanchese Seafood Industrial Pk	Paint 250,000 Gallon Elevated Water Tank	\$102,100
I-95 South Welcome Center	I-95 South Interior Improvements	\$48,400
I-85 South Welcome Center	I-85 South Interior Improvements	\$48,400
	TOTAL FOR DEPARTMENT	\$198,900
Commerce-State Ports		
Morehead Port	Reroof Transit Shed No. 1	\$318,400
	TOTAL FOR DEPARTMENT	\$318,400
Correction		
Piedmont CI	Window Replacement	\$1,500,000
Statewide	Reserve for Fire Safety Improvements	\$350,000
Statewide	CFC Conversion	\$200,000
Caledonia CI	Improve Wastewater System	\$1,922,200
Statewide	Reserve for Roof Repairs and Replacements	\$400,000
Statewide	Removal of Underground Storage Tanks	\$100,000
	TOTAL FOR DEPARTMENT	\$4,472,200
Cultural Resources		
Government Complex	Archives/Library Building Renovation-Phas	\$400,400
Fort Fisher State Hitoric Site	Fort Fisher Visitor Center Roof Repair	\$42,600
Government Complex	Lane Street Warehouse Renovation	\$58,300
State Historic Sites	Historic Sites Roof Repairs-Seven Sites	\$73,300
Tryon Palace	Tryon Palace Complex-Nine Roof Repairs	\$79,900
Stagville Center	Stagville Center Period Fence	\$3,500
C.H. Brown State Historic Site	CHB Galen Stone Hall Reroof & Exterior Re	\$284,400
State Historic Sites	State Historic Sites-Structural Repairs	\$115,000
Somerset Place State Historic	SRepairs to Overseer's House/ADA Improveme	\$193,000
Horne Creek Historic Site	Horne Creek State Historic Site Repairs a	\$100,000
	TOTAL FOR DEPARTMENT	\$1,350,400
EHNR		
Roanoke Island Aquarium	Shoreline stabilization and bulkhead repl	\$95,300
Forest Resources-Statewide	Aboveground Fuel Dispensing Facilities	\$200,000
Zoo	Forest Aviary-HVAC/Elec Renovations	\$633,262

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<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
Three Aquariums	Emergency Generators	\$270,800
State Forests - Several Sites	ADA Compliance - Educational State Forest	\$75,000
Marine Fisheries	Replace the Metal Siding and Roofing on t	\$21,900
Forest Resources-11 Regions	Renovation/repair of 11 county/regional o	\$168,000
Marine Fisheries	Replace DMF Bulkhead on Bogue Sound	\$71,662
Zoo	Moats/Berms - Bank Stabilization	\$73,400
Singletary Lake State Park	Singletary Lake - Cabin, Washhouse, Mess	\$378,644
Marine Fisheries	Ocean Patrol Vessel/Royal Shoal Repair/Re	\$35,000
Fort Fisher Aquarium	Boiler Replacement	\$31,700
Three Aquariums	ADA Access ramps	\$60,800
Zoo	Generator System for Protection of Zoo Co	\$991,000
	TOTAL FOR DEPARTMENT	\$3,106,468
Human Resources		
John Umstead Hospital	Wastewater Plant Upgrade	\$2,634,904
John Umstead Hospital	Wastewater Plant Expansion	\$1,810,856
Cherry Hospital/NCSD Morganton	Electrical Distribution System Replacemen	\$1,497,700
John Umstead Hospital	Renovate Water Treatment Plant	\$790,300
Broughton Hospital	Bathroom Renovations-Phase II	\$400,000
Caswell Center	Reroof 35 Buildings	\$200,000
Western Carolina Center	Continued Reroofing	\$150,000
Central School for the Deaf	Continued Roof Replacement	\$100,000
Western School for the Deaf	Replace Air Conditioning	\$106,800
John Umstead Hospital	Continued Reroofing-Phase IV	\$100,000
Walter B. Jones ADATC	Continued reroofing-Phase II	\$100,000
Cherry Hospital	Reroof Staff Cafeteria U-4 Building-Mecha	\$86,800
Black Mountain Center	Electrical Upgrade of Building Number 17	\$175,900
Cherry Hospital	Reroof McFarland Building	\$279,200
Broughton Hospital	Retrofit Electrical Switch Gear	\$121,900
Governor Morehead School	Replace Boilers	\$173,300
Dobbs School	Reroof Chapel, Kelsy and Larkin Dorm	\$161,200
Dorothea Dix Hospital	McBryde South Weather Proofing	\$226,100
Broughton Hospital	Roof Replacements	\$143,850
Dorothea Dix Hospital	Reroof McBryde West-C	\$146,000
Stonewall Jackson School	Relocate VR Program	\$300,000
NC Special Care Center	Replace Pneumatic Controls on the HVAC Sy	\$98,000
Black Mountain Center	Alzheimers Secure Porch	\$174,100
Dorothea Dix Hospital	Council Building Window Replacement	\$300,000
O'Berry Center	Reroof ELC-2 Building	\$334,600
ADATC-Black Mountain	Reroof Client Dorms 1 and 2	\$87,600
Governor Morehead School	Replace Wood and Metal Windows in 13 Buil	\$640,100
Caswell Center	Replace Steam and Condensate Lines	\$300,000
Black Mountain Center	Reroof Kitchen, Dining, and Multi-Purpose	\$74,300
Dorothea Dix Hospital	Air Conditioning Scott Building, Renovate	\$300,000
	TOTAL FOR DEPARTMENT	\$12,013,510
Judicial		
NC Supreme Court	Handicap Ramp and Safety Handrail	\$44,000
NC Court of Appeals	Smoke and Fire Detection	\$42,000
NC Court of Appeals	Fire Suppression System	\$265,000
	TOTAL FOR DEPARTMENT	\$351,000
Justice		
SBI	Replace Halon Fire Suppression System	\$185,800
Justice Academy	A&B Dorm Air Conditioning Chillers	\$145,700
	TOTAL FOR DEPARTMENT	\$331,500
OSBM		
OSBM	Contingencies and Emergencies	\$682,022
	TOTAL FOR DEPARTMENT	\$682,022
	TOTAL FOR ALL DEPARTMENTS	\$27,000,000

1495-96
RecommendedDepartment/DivisionProject Title**Administration**

Govt Complex	Life Safety Corrections-Govt Complex	\$500,000
Govt Complex	Reroof PIN Building (Phillips Building)	\$146,500
Govt Complex	Renovations - Two Office Buildings	\$1,088,600
Govt Complex	Chilled Water System Improvements	\$1,603,900
Govt Complex	Heck Andrews House Renovation	\$300,000
Govt Complex	Chiller Replacement for Downtown Complex	\$2,000,000
Govt Complex	Remove Asbestos/Ren. Adm. Bldg. (Ph I)	\$1,736,200
Govt Complex	Steam Distribution Repairs-Museum of Hist	\$119,400
Govt Complex	Downtown Complex Waterproofing Repairs	\$118,300
Govt Complex	Womack Building-Roof Deck Repairs and Rer	\$141,900
Govt Complex	Pioneer Awning Building Reroof	\$118,300
Govt Complex	Public Telecommunications Studio Renovati	\$60,690
Govt Complex	Emergency Repairs Reserve	\$200,000
	TOTAL FOR DEPARTMENT	\$8,133,790

Agriculture

N. C. Maritime Museum	Harborside Building Replacement	\$520,000
Cherry Farm	Swine Finishing Lot #1 & #2, Ctr/Environm	\$126,500
Blue Ridge Road Complex	Reroof and Repair Constable Laboratory	\$427,400
Raleigh	Reroof and Renovate Motor Fuels Laborator	\$52,000
Raleigh	Reroof Biological Control Lab	\$49,500
Western Carolina Ag Ctr	Roof Replacement-Stall Barns A,B,&C	\$310,600
Warehouse - Creedmore	Remove and Replace Fuel Tanks	\$56,900
Cherry Farm	Feed Mill Renovation/Ctr. for Env. Farmin	\$172,600
State Fair	Renovation of Education & Commercial Buil	\$1,224,000
Western NC Farmers Mkt	HVAC Farmers Market Restaurant Repairs-WN	\$23,700
Blue Ridge Road Complex	Annex Renovation for Constable Laboratory	\$85,200
Umstead Farm - Butner	Dry Cow Barn Complex 139015/Umstead Farm	\$60,500
Raleigh Farmers Mkt	Sprinkler System - Raleigh Farmers Market	\$138,000
N.C. Maritime Museum	Fire Protection Sprinkler System	\$73,300
Raleigh	Reroof and Paint Storage Barn	\$56,000
Cherry Farm - Goldsboro	Repairs Metal Lounging Barn-Dairy/Cherry	\$98,300
Piedmont Res Stat - Salisbury	Poultry Building Repair/Piedmont Research	\$54,400
Piedmont Res Stat - Salisbury	Milking Parlor Repair/Piedmont Research S	\$67,400
Tidewater Res Stat - Plymouth	Repair Calving Barn/Tidewater Research St	\$49,300
Cherry Farm - Goldsboro	Equipment Shelter, Ctr.for Envir. Farming	\$95,400
Tidewater Res Stat - Plymouth	Cow Barn/Tidewater Research Station	\$6,392
	TOTAL FOR DEPARTMENT	\$3,747,392

CC&PS

National Guard-Wadesboro	Wadesboro Armory Emergency Foundation Set	\$83,000
National Guard-Eliz. City	Elizabeth City Armory Roof Replacement	\$38,051
National Guard - Raleigh	C.T. Bowers Military Center Roof Replacem	\$551,092
National Guard - Whiteville	Whiteville Armory Roof Replacement	\$113,911
National Guard - Elkin	Elkin Armory Roof Replacement	\$85,300
National Guard--Multiple Sites	New Roof System for Ten OMS Shops	\$62,200
National Guard-Beulaville	Beulaville Armory Roof Replacement	\$46,100
National Guard-Jacksonville	Jacksonville Armory Roof Replacement	\$111,500
National Guard-New Bern	New Bern Armory Roof Replacement	\$142,800
National Guard-Louisburg	Louisburg Armory Roof Replacement	\$136,900
National Guard-Elizabethtown	Elizabethtown Armory Roof Replacement	\$100,600
National Guard-Zebulon	Zebulon Armory Roof Replacement	\$92,900
	TOTAL FOR DEPARTMENT	\$1,564,354

Correction

Morrison Youth Inst-Hoffman, NC	Morrison Youth - Renovate Coltrane Bldg	\$1,135,600
Fountain Corr Ctr - Rocky Mt	Fountain Corr Ctr for Women-Ren. Clark Bl	\$316,800
Piedmont Corr Inst-Salisbury	Piedmont Corr. Inst.-Electronic Control S	\$530,000
Piedmont Corr Inst-Salisbury	Piedmont Corr. Inst.-Exterior Masonry Rep	\$1,551,900
Prisons - Statewide	Roof Repair/Replacements Statewide	\$1,000,000
Prisons - Statewide	CFC Chiller System Improvements	\$969,450
Prisons - Several Sites	Water/Wastewater Improvements	\$900,000
Prisons - Several Sites	Regulatory Compliance Underground Tanks	\$250,000
	TOTAL FOR DEPARTMENT	\$6,653,750

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1995-96

<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
Cultural Resources		
State Capitol	State Capitol Repairs	\$250,000
State Capitol	State Capitol Renovations	\$215,100
Stagville Center	Stagville Great Barn Repairs	\$159,800
Govt Complex	Archives/Library Building Renovation	\$2,757,300
Spencer Shops St Historic Site	Roundhouse -- Transportation Museum	\$1,627,430
Museum of the Cape Fear	Renovation of Poe House	\$613,000
Museum of Art	Museum of Art Auditorium Emergency Exit	\$11,600
Historic Sites - Four Sites	Roof Replacement	\$60,400
Tryon Palace	Tryon Palace Complex Structural Repairs	\$118,400
Mountain Gateway Mus.-Old Fort	Mountain Gateway Museum Renovations	\$17,400
Tryon Palace	Tryon Place Handicap Access	\$177,300
Historic Sites - 11 Sites	Structural repairs at state historic site	\$651,400
Historic Sites - 9 sites	Electrical, Plumbing, and HVAC Repairs	\$126,600
Museum of Albemarle-Eliz. City	Museum of the Albemarle Roof Repair	\$21,200
Historic Sites - 6 Sites	Renovations to State Historic Sites	\$848,615
Tyron Palace	Tryon Palace Complex Fire, Security Repai	\$22,700
Museum of Albemarle-Eliz. City	Museum of the Albemarle Underground Stora	\$9,400
	TOTAL FOR DEPARTMENT	\$7,687,645
EHNH		
Marine Fisheries-Morehead City	Repair Building HVAC System/Phase II	\$503,500
N.C. Aquariums-Roanoke Island	Bulkhead Replacement, State/Federal Match	\$191,000
Forest Resources - 6 Sites	AST/UST Site Remediation - 6 Locations	\$114,000
Forest Resources - 18 Sites	Aboveground Fuel Dispensing Facilities -	\$389,400
Marine Fisheries-Morehead City	Remove and Replace Four Underground Stora	\$120,200
N.C. Aquariums-Roanoke Island	Underground Tank - Roanoke Island	\$19,900
N.C. Aquariums - Ft. Fisher	Underground Tank - Ft. Fisher	\$19,900
Blue Ridge Road Complex	Renovation and Repair of Coastal Plain Of	\$178,100
Forest Resources - 16 Sites	Roof Replacement - 16 Locations	\$83,600
Marine Fisheries-Morehead City	Hangar Roof Replacement	\$38,900
NC Aquariums-Pine Knoll Shores	Roof Replacement - PKS	\$232,400
N.C. Aquariums - Ft. Fisher	Roof Replacement - FF	\$192,200
Marine Fisheries-Morehead City	Smoke & Fire Alarm System in Warehouse an	\$13,300
NC Aquariums- Ft. Fisher	Fire Safety -FF	\$47,400
NC Aquariums-Pine Knoll Shores	Fire Safety - PKS	\$35,500
Forest Resources - 14 Sites	Electrical Rewiring - 14 Locations	\$190,400
Forest Resources - Kinston	Region 1 Headquarters - Emergency Lightin	\$30,500
Marine Fisheries-South River	Renovate Maintenance Building at South Ri	\$151,500
State Forests - 6 Sites	ADA Compliance - Educational State Forest	\$25,000
Forest Resources-Several Sites	ADA Compliance - District Headquarters	\$65,000
Marine Fisheries-Morehead City	Handicap Access & Handicap Parking for th	\$10,000
Marine Fisheries-Morehead City	Remove/Repl Exterior Warehouse Coat, Reno	\$112,400
Marine Fisheries-Morehead City	Improve Existing equipment Compound Exten	\$11,100
Forest Resources-Several Sites	HVAC Replacement - 14 Locations	\$342,800
Forest Resources-Chowan Co.	Chowan County Headquarters - Repair	\$15,000
Forest Resources-Hyde Co.	Hyde County Headquarters - Repair	\$3,600
Forest Resources-Tyrrell Co.	Tyrrell County Headquarters - Repair	\$700
Forest Resources-Lenoir Co.	Lenoir County Headquarters - Repair	\$4,700
Forest Resources-Avery Co.	Avery County Headquarters - Repair	\$8,700
Forest Resources-Martin Co.	Martin County Headquarters - repair	\$4,900
Forest Resources-Clayton	Clemmons ESF Office - Repair	\$2,000
Forest Resources-Granville Co.	Granville County Headquarters - Well Hous	\$3,600
Forest Resources-Hertford Co.	Hertford County Headquarters - Repair	\$6,000
Forest Resources-Murphy, NC	Cherokee-Clay Headquarters - Repair	\$17,600
Forest Resources-Gates Co.	Gates County Headquarters - Repair	\$2,400
Forest Resources-Alamance Co.	Alamance County Headquarters - Well Filtr	\$3,200
Marine Fisheries-Morehead City	Research Vessel Power Plant Renovation	\$120,200
Forest Resources-Eliz. City	District 7 Headquarters Office - Repair	\$10,800
Forest Resources-Eliz. City	District 7 Headquarters Office - Repair	\$3,600
Forest Resources-Fairfield, NC	District 13 Headquarters Maintenance Shop	\$3,900
Forest Resources-Fairfield, NC	District 13 Headquarters Office Repair	\$5,700
Forest Resources-Lenoir, NC	District 2 Headquarters Maintenance Shop	\$30,100

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<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
Forest Resources-Asheville, NC	District 1 Headquarters Maintenance Shop	\$3,400
Forest Resources-Mt. Holly	District 12 Headquarters Maintenance Shop	\$6,300
Forest Resources-Rocky Mt.	District 5 Headquarters Equipment Shelter	\$9,300
Forest Resources-Whiteville	District 8 Headquarters Equipment Shelter	\$24,100
Forest Resources-Whiteville	District 8 Headquarters Maintenance Shop	\$55,200
Forest Resources-Lenoir, NC	District 2 Headquarters Office - Repair	\$7,700
Forest Resources-Asheville	District 1 Headquarters Equipment Shelter	\$12,000
Forest Resources-Lexington, NC	District 10 Headquarters - Septic System	\$2,500
NC Aquariums - Ft. Fisher	Floor Replacement - FF	\$1,188,400
Marine Fisheries-Morehead City	Replacement of Water Lines	\$24,100
Marine Fisheries-Morehead City	Water Treatment System for Main Building	\$8,200
Forest Resources-Kinston	Region I Headquarters - Barracks 2,3,4 Re	\$605,800
Forest Resources-Richlands	Catherine Lake Residence - Repair	\$2,500
Forest Resources-Eagle Springs	Eagle Springs Residence - Repair	\$2,400
Forest Resources-Maysville	Deppe Residence - Repair	\$7,200
Forest Resources-Lake Toxaway	Toxaway Tower House - Repair	\$1,900
NC Aquariums-Pine Knoll Shores	Saltwater Intake Line Replacement	\$27,500
	TOTAL FOR DEPARTMENT	\$5,353,200
Emergencies/Contingency		
Emergencies and Contingency	Reserve for Contingencies and Emergencies	\$5,000,000
	TOTAL FOR DEPARTMENT	\$5,000,000
General Assembly		
Legislative Office Building	Renovation of Legislative Office Building	\$5,000,000
	TOTAL FOR DEPARTMENT	\$5,000,000
Human Resources		
Cherry Hospital	Life Safety Code Corrections	\$5,020,000
Dorothea Dix Hospital	Life Safety Code Corrections	\$987,000
Broughton Hospital	Life Safety Code Corrections	\$2,029,800
NC School for the Deaf	Renovate Main Building	\$4,520,400
Statewide	DHR Chiller Plant, Refrigerant Replacemen	\$500,000
Central School for the Deaf	Continued Reroofing	\$200,000
John Umstead Hospital	Continued Reroofing	\$200,000
Broughton Hospital	Renovation of Campus Bathrooms-Phase III	\$400,000
Western Carolina Center	Continued Reroofing-Phase III	\$200,000
Walter B. Jones ADATC	Continued Reroofing-Phase III	\$118,200
Caswell Center	Continued Reroofing	\$200,000
Eastern School for the Deaf	Replace HVAC McAdams Hall	\$844,600
Samarkand Manor	New Wastewater Plant	\$194,800
Western Carolina Center	Sewer Line Replacement	\$45,000
Dorothea Dix Hospital	Reroof Spruill Building	\$179,000
Dorothea Dix Hospital & JEC	Primary Electrical Distribution Evaluatio	\$150,000
NC School for the Deaf	Renovate Hoffmeyer Hall for BEH Program	\$742,700
Caswell Center	Secondary Electrical Improvements-Phase I	\$654,250
O'Berry Center	Roof Replacement-ELC-2 & ELC 3	\$415,300
Youth Services	Renovate Richmond Correctional Center	\$749,900
C.A. Dillon School	Upgrade Primary Electrical	\$35,109
Governor Morehead School	Electrical Upgrade-Lineberry Building	\$92,800
Caswell Center	Replace Steam Pipes	\$526,100
Caswell Center	Renovate Dewey Building	\$49,900
Central School for the Deaf	Replace Carpet	\$272,300
John Umstead Hospital	Replace Steam Lines	\$211,100
Governor Morehead School	Additional Campus Lighting	\$92,800
Dorothea Dix Hospital	West-A Roof	\$148,100
Dorothea Dix Hospital	Replace Cooling Towers - Two Bldgs	\$110,700
Governor Morehead School	Reroof Administration Building	\$116,000
Broughton Hospital	Roof Replacement of 12 Buildings	\$434,000
Goldsboro V.R. Facility	Upgrade Utilities & Renovate B. Building	\$138,283
Caswell Center	Fire Protection Installation-Phase I	\$636,233
Broughton Hospital	Replace Avery Building Guttering/Downspou	\$415,300
Cherry Hospital	Air Condition-Therapeutic Center Gym	\$178,200
NC Special Care Center	Window Replacement	\$345,700
Murdoch Center	Replace flat Roof Over Entrances	\$378,062

9/25/95

1995 Reserve for Repairs and Renovations

1995-96

<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
O'Berry Center	Replace 4 Absorption Chillers at Theraput	\$296,200
NC Special Care Center	Repair Electrical Distribution System	\$74,500
Governor Morehead School	Renovate #2 Boiler, Asbestos Removal 1 &	\$50,000
Caswell Center	Boiler-Heat Control	\$234,400
Western Carolina Center	Install Biolers & Replace HTHW Lines	\$150,000
Central School for the Deaf	New Gym Floor	\$29,800
Governor Morehead School	Reroof Cole Building	\$119,658
Murdoch Center	Reroof Administration Building	\$43,482
	TOTAL FOR DEPARTMENT	\$23,529,677
Justice		
Govt Complex	Raney Building Water Leakage Repair	\$48,000
SBI-Garner Rd Complex	Underground Storage Tank Removal	\$75,000
SBI-Garner Rd Complex	Steam Coils in Air Handling Unit	\$9,600
NC Justice Academy-Salemburg	Roof Replacement-Gym	\$130,092
	TOTAL FOR DEPARTMENT	\$262,692
State Ports Authority		
State Ports	Reroof Cargo Building Cl, Bay 1	\$567,500
	TOTAL FOR DEPARTMENT	\$567,500
	TOTAL FOR ALL DEPARTMENTS	\$67,500,000

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<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
Administration		
Government Complex	Life Safety Code Corrections/Repairs/Ren	\$850,000
Government Complex	Window Blocking - Agric. Bldg	\$56,000
Government Complex	Roof Repairs - 9 Buildings	\$726,600
Government Complex	Exterior Repairs - Records Bldg	\$143,000
Government Complex	Structural Repairs To 4 Buildings	\$300,000
Government Complex	Electrical Upgrades	\$100,000
Polk Building - Charlotte	Exterior Repairs - Polk Bldg	\$136,100
Government Complex	Renovations - Four Buildings	\$850,000
Government Complex	Blount Street Buildings -- Repairs	\$300,000
Government Complex	Shore Building Repairs	\$94,700
Government Complex	Sidewalk Repairs	\$15,000
Government Complex	Lighting Efficiency Improvements	\$175,000
	TOTAL FOR DEPARTMENT	\$3,746,400
Agriculture		
Rose Hill Lab	Roof Repair and Other Repairs	\$133,000
Cherry Farm	Swine Breeding/Farrowing Facility Repairs	\$148,500
Upper Coastal Plain Res Stat	Renovation Swine Waste Lagoons	\$102,800
N. C. Maritime Museum	Heat Pump Replacement	\$69,600
Caswell Farm	Renovation of Interior of Dairy Parlor	\$112,800
Piedmont Res Stat	Renovation of Poultry House	\$83,000
Umstead Farm	Repairs to Farmer Unit Brooder	\$167,400
Tidewater Res Stat	Building (G-7) Repairs	\$72,500
Piedmont Triad Farmers Mkt	Heating System Replacement Retail Bldg	\$38,400
Raleigh Farmers Mkt	Bird Netting for Open Buildings	\$63,900
Williamston Grading Office	Furnace Replacement/Roof Insulation	\$31,100
Rollins Lab	ADA Improvements and Other Repairs	\$263,200
Tidewater Res Stat	Shop Building Repairs	\$14,600
Horticultural Crops Res Stat	Replace HVAC in Station Office & Dwelling	\$12,200
Horticultural Crops Res Stat	Sweet Potato Facility Repairs	\$3,800
Charlotte Farmers Mkt	Life Safety Code Improvements-Building D	\$6,000
State Fair	Life Safety Code Improvements - Youth Ctr	\$212,200
Standards Lab	Chiller Replacement & ADA Improvements	\$57,000
Food Distribution Warehouse	Fire Safety Repairs/Other Repairs	\$17,500
Northwestern Lab	General and Structural Repairs	\$81,900
Caswell Farm	Repairs to Mule Barn	\$51,600
Western NC Agric Ctr	Paving and Storm Drainage	\$98,100
Griffin Lab	Fire Safety Improvements/Other Structural	\$85,600
Blue Ridge Rd Greenhouse	Greenhouse Repair	\$22,600
Mountain Res Stat	Repair to Burley Tobacco Facility	\$3,700
Tidewater Res Stat	Repair Soils Shelter Building	\$27,900
Caswell Farm	Re-roof Hay Driers	\$14,400
Arden Lab	ADA and Other Repairs	\$55,900
Western NC Agric Center	Ventilation Fans for Show Arena	\$36,200
Dix Farm	Farm Office Repair	\$9,700
Caswell Farm	Re-roof Three Equipment Sheds	\$23,200
Caswell Farm	Re-roof Poultry Storage House	\$4,100
Coastal Plain Res Stat	Re-roof Swine Building	\$9,700
Tidewater Res Stat	Re-roof and Repair Swine Building	\$32,700
Upper Coastal Plain Res Stat	Re-roof Grain Handling Facility	\$5,500
Piedmont Triad Farmers Mkt	Replace Gutters	\$2,500
Tidewater Res Stat	Repair Equipment Shelter	\$44,100
Upper Mountain Res Stat	Repair to Trench Silos	\$13,200
State Fair	Dorton Arena Fire Detection/Protection	\$500,000
Tidewater Res Stat	Livestock Building Repairs	\$6,800
	TOTAL FOR DEPARTMENT	\$2,738,900
Commerce		
State Ports - Morehead City	Rehabilitate and Repair Terminals	\$3,000,000
State Ports - Wilmington	Rehabilitate and Repair Terminals	\$3,000,000
I-77 N Welcome Ctr	Replace Water Pipes & Kitchen Ceiling	\$3,200
I-26 Welcome Ctr	New Hot Water Heater/Water Fountain	\$3,700
Manchese Seafood Industrial Pk	Roof & Siding Repairs	\$8,000

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1996-97

<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
Wanchese Seafood Industrial Pk	Replace Well House Door	\$2,500
Wanchese Seafood Industrial Pk	Erosion Prevention (Lot 24)	\$3,500
Wanchese Seafood Industrial Pk	Park Signage	\$3,000
Wanchese Seafood Industrial Pk	Administrative Office Repairs	\$2,000
Wanchese Seafood Industrial Pk	Dock Repairs	\$2,500
Wanchese Seafood Industrial Pk	Water Tower Electrical Service	\$1,800
Wanchese Seafood Industrial Pk	Repair Lift Station Leak	\$2,500
	TOTAL FOR DEPARTMENT	\$6,032,700
Correction		
Fountain Corr Ctr - Fountain	Upgrade Electrical Service	\$54,900
Central Prison	Chiller System Improvements	\$1,033,600
Substance Abuse Ctr/Goldsboro	Building Repairs - Planning	\$100,000
Prisons - Statewide	Roof Repairs/Replacements	\$1,000,000
Prisons - Statewide	Electrical System Repairs	\$513,500
Central Prison	Utility System Repairs	\$845,000
Prisons - Statewide	Fire Safety Improvements - 21 Sites	\$500,000
Prisons - Statewide	Water/Wastewater System Repairs	\$977,400
	TOTAL FOR DEPARTMENT	\$5,024,400
Crime Control		
Carolina Beach Armory	Carolina Beach Armory Roof Replacement	\$132,200
Roseboro Armory	Roseboro Armory Roof Replacement	\$149,400
Windsor Armory	Windsor Armory Roof Replacement	\$94,600
Butner Armory	Butner Armory Roof Replacement	\$204,900
Youngsville Armory	Youngsville Armory Roof Replacement	\$150,600
Mocksville Armory	Mocksville Armory Roof Replacement	\$137,200
Newton Armory	Newton Armory Roof Replacement	\$161,700
Morganton Armory	Morganton Armory Roof Replacement	\$227,800
Washington Armory	Washington Armory Roof Replacement	\$243,100
Lumberton Armory	Lumberton Armory Roof Replacement	\$183,200
East Flat Rock Armory	East Flat Rock Armory Roof Replacement	\$160,500
Dunn Armory	Dunn Armory Roof Replacement	\$177,000
Armories - Statewide	Remove Underground Storage Tanks	\$175,000
	TOTAL FOR DEPARTMENT	\$2,197,200
Cultural Resources		
State Capitol	State Capitol Renovations	\$750,000
Museum of History	Cape Fear Branch - Poe House Roof Replace	\$112,000
Arts Council	Jenkins House - Renovations	\$73,900
Stagville Site	Stagville Site Renovations	\$45,000
Museums	Renovate Old Capentry Shop for Exploris	\$3,900,000
Museum of History	Museum of Albemarle HVAC & Security Impro	\$6,200
Government Complex	Bailey-Gallant House General Improvements	\$23,900
Tryon Palace	Tryon Palace Disosway House Repairs	\$326,300
Chowan Courthouse	Chowan County Courthouse Restoration	\$950,000
Thomas Wolfe	Structural and Other Repairs	\$400,000
Various Sites	Repairs and Renovations	\$450,000
Charlotte Hawkins Brown	Galen Stone Hall - Repairs/Window Replace	\$175,000
Duke Homestead	Repairs to Main House	\$30,000
Historic Spencer	Master Mechanics Office - Renovation	\$125,000
Tryon Palace	Tryon Palace Dehumidification Systems Rep	\$154,000
Historic Sites	Historic Sites Roof Repairs-5 Sites	\$108,000
Government Complex	Lewis-Smith House Improvements	\$54,000
Tryon Palace	Fire Suppression for Two Buildings	\$122,900
Bentonville Battleground	Harper House HVAC Improvements	\$25,000
Historic Edenton	Visitors Ctr - HVAC	\$7,200
Reed Gold Mine	Waste Treatment System Improvements	\$100,000
Duke Homestead	Water and Sewer Improvements	\$15,000
Vance Birthplace	New Water Line and Hydrant	\$25,000
Caswell - Neuse	Renovations/ADA and Code	\$323,000
Fort Fisher	Renovations/ADA and Code	\$450,000
Town Creek	Renovations/ADA and Code	\$275,000
Brunswick Town	Renovations/ADA and Code	\$300,000
Somerset Place	Kitchen/Laundry Restoration	\$150,000

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1996-97

<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
Historic Edenton	Painting Several Buildings	\$35,000
Tryon Palace	Main Building Cooling Tower Replacement	\$13,000
Tryon Palace	Main Building & Kitchen Renovations	\$186,500
	TOTAL FOR DEPARTMENT	\$9,710,900
EHNR		
NC Zoo	NC Zoo Africa Upgrade - Adv. Planning	\$872,500
Forest Resources	Roof Replacements and Repairs	\$131,800
NC Zoo	Safety Renovations in Elephant Habitat	\$396,700
Marine Fisheries	Remove and Replace Existing Fire Hydrant	\$10,000
General Services	Cooper Building Upgrades/Fire Safety	\$17,300
DEM Lab	Security Alarm	\$5,000
Marine Fisheries	Security System - Main Building	\$5,800
N. C. Aquariums - Pine Knoll	Security Fence and Gate	\$12,900
Marine Fisheries	Bogue Sound Bulkhead Replacement	\$26,100
Marine Fisheries	South River Bulkhead Replacement	\$170,000
N.C. Aquariums - Fort Fisher	Window Replacement	\$18,800
N. C. Aquariums - Roanoke Is	Window Repair/Replacement	\$24,000
General Services	Repair to Basement/Waterproofing	\$12,000
N. C. Aquariums - Fort Fisher	Restroom Renovations	\$10,900
N. C. Aquariums - Roanoke Is	Air Conditioner Repairs	\$21,700
NC Zoo	Renovate Chimp/Lion Holding Areas-Phase I	\$400,000
NC Zoo	Aviary General Renovations-Phase II	\$112,500
N. C. Aquariums - Pine Knoll	Shipwreck Tank Area Renovation	\$48,000
NC Zoo	Water Circulation/Filtration/Drainage Ren	\$106,900
General Services	Renovation of Departmental Postal Center	\$41,700
General Services	Archdale Building-Reconfigure Office Spac	\$24,000
Soil & Water Conservation	Office Space Renovation	\$24,300
General Services	Archdale Building Upgrades/ADA	\$41,000
Office of Public Hlth. Nursing	Renovate Public Health Nursing Training C	\$271,400
Marine Fisheries	Above Ground Storage Tank	\$56,000
N.C. Aquariums - Pine Knoll	Fuel Storage Tank Replacement	\$19,900
Marine Fisheries	Morehead City Dock Facility	\$800,000
Marine Fisheries	Structural Repairs to Landing Craft	\$207,200
Marine Fisheries	Lead Contaminate Removal - Landing Craft	\$118,400
N.C. Aquarium - Roanoke Is	Door Replacement & Energy Enclosures	\$30,600
Marine Fisheries	Replace Ceiling Tiles - Main building	\$70,300
	TOTAL FOR DEPARTMENT	\$4,107,700
Emergencies/Contingencies		
Emergencies and Contingencies	Reserve for Contingenices and Emergencies	\$10,000,000
	TOTAL FOR DEPARTMENT	\$10,000,000
Human Resources		
Broughton Hospital	Life Safety Code Corrections Phase II	\$1,700,000
John Umstead Hospital	Renovate Deerfield Cottage	\$820,400
Governor Morehead School	Renovate Gym & Swimming Pool	\$1,936,900
Dorothea Dix Hospital	Renovation of Spruill Annex & Lineberger	\$2,420,800
Caswell Center	HVAC Replacements (Phase I)	\$2,226,000
Dorothea Dix Hospital	Chilled Water Plant-Dobbin, Taylor, HVAC	\$2,120,000
Cherry Hospital	Chiller Replacement U-3 Building	\$889,200
ADATC-Butner	Cooling & Refrigerant Replacement	\$68,800
NC Sch. for the Deaf/Morganton	Replace Chillers in (6) Buildings	\$462,000
Broughton Hospital	Continued Renovation of Campus Bathrooms	\$400,000
Western Carolina Center	Continued Reroofing	\$200,000
Dorothea Dix Hospital	Replace Primary Electrical Distribution S	\$2,345,900
Samarkand Manor School	Replace Wastewater Treatment Plant (Phase	\$165,000
Caswell Center	Fire Protection Renovation (Phase II)	\$635,300
Western Carolina Center	Replace Boiler (Phase II)	\$350,000
Governor Morehead School	Renovation of Vocational Building Basemen	\$218,500
NC Special Care Center/ENCSD	Replace Primary Electrical Distribution S	\$296,200
Dorothea Dix Hospital	South Wing Waterproofing (Phase II)	\$570,200
John Umstead Hospital	Continued Reroofing	\$200,000
Black Mountain Center	Alzheimers Unit - Respite Care Ctr	\$290,000
NC Sch. for the Deaf/Morganton	Renovation of Fire Alarm System	\$336,700

<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
NC Special Care Center	Renovations - Alzheimers Unit	\$185,200
Dobbs School	Life Safety/Fire Alarms - Campuswide	\$101,900
John Umstead Hospital	Life Safety Code Corrections	\$477,000
Walter B. Jones - ADATC	Reroof Buildings - Phase IV	\$135,400
Caswell Center	Reroof Buildings (Phase IV)	\$260,800
Juvenile Evaluation Center	Replace Primary Electrical Distribution S	\$366,900
Samarkand Manor School	Renovation of Hope Cottage	\$231,500
Murdoch Center	Repair Edgewood Cottage	\$295,500
Samarkand Manor School	Primary Electrical Distribution Study	\$50,000
O'Berry Center	Reroof (9) Cottages	\$497,000
Western Carolina Center	Correct Life Safety Code	\$716,700
Dorothea Dix Hospital	Clark Building L.S.C. Corrections	\$423,800
Caswell Center	Secondary Electrical Improvements (Phase	\$654,200
Juvenile Evaluation Center	Outside Lighting for Safety & HVAC Repair	\$140,500
O'Berry Center	Reroof Multi-Purpose Building	\$198,500
	TOTAL FOR DEPARTMENT	\$23,387,800
Judicial		
AOC	Roof Repair on Justice Building	\$27,000
	TOTAL FOR DEPARTMENT	\$27,000
Justice		
N. C. Justice Academy	Renovation of Cafeteria Building	\$120,000
Government Complex	SBI Complex - Replace Roofs	\$107,000
N. C. Justice Academy	Western North Carolina Justice Academy	\$3,000,000
	TOTAL FOR DEPARTMENT	\$3,227,000
	TOTAL FOR ALL DEPARTMENTS	\$70,200,000

1996-97

Recommended

APPROPRIATIONS
Subcommittee on Capital & Budget

Minutes
March 25, 1997

The APPROPRIATIONS Subcommittee on Capital & Budget met on Tuesday, March 25, 1997 at 12:00 p.m. in room 643 of the Legislative Office Building. The members present were Representatives Carolyn Russell, Cochair, Gene Wilson, Cochair, Donald Bonner, Joanne Bowie, Walter Church, Sr., Jerry Dockham, Beverly Earle, Ruth Easterling, Bobby Hall, Verla Insko, William Ives, Joe Kiser, Richard Moore, Frank Mitchell, Jane Mosley, Charles Neely, and Nurham Warwick. Staff-Lynn Muchmore, Janice Wheeler, Emily Johnson, Phyllis Pickett, and Clerk- Rebecca Jones were also present.

Representative Carolyn Russell, Cochair, called the meeting to order at 12:10 p.m.

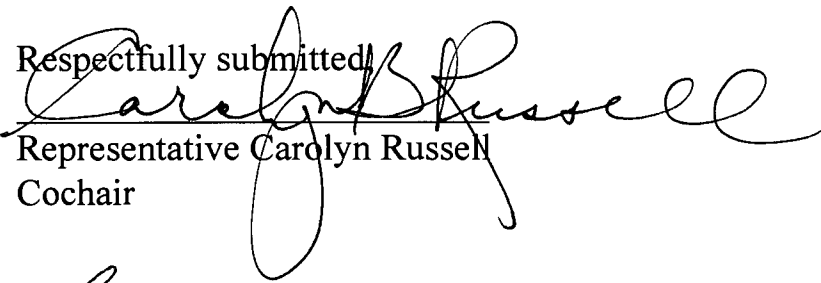
Representative Russell told the committee that the members that were present and that have attended the meetings regularly, would have their appropriation bills at the top of the list.

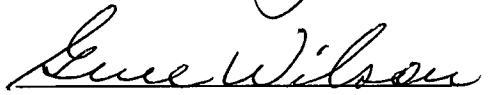
Rob Nelson went of the Governor's Recommended Capital Budget. Attachment 1. Mr. Nelson read over the plan thoroughly with the committee. Mr. Nelson answered several questions about certain department needs.

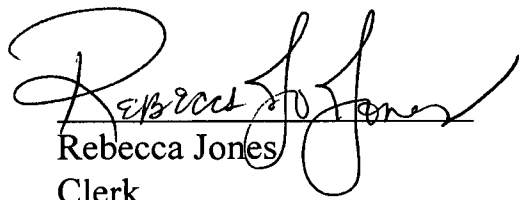
Representative Russell told the committee they would be taking action on Senate Bill 286 at the next meeting.

Representative Russell adjourned the meeting at 12:50 P.M.

Respectfully submitted,


Representative Carolyn Russell
Cochair


Representative Gene Wilson
Cochair


Rebecca Jones
Clerk

Attachments

Attachment 1- Governor's Capital Budget Proposals

**GOVERNOR'S
CAPITAL BUDGET
PROPOSALS**

This document contains the overview of the Governor's Recommendations for Capital Improvements. Also, included are documents that were prepared by Fiscal Staff which provide historical background information about the facility's development and, in many cases, plans for future development.

VIII. Capital Improvement

Overview of Recommendations for Capital Improvements

Capital improvement appropriations are for the construction of new facilities, repairs to existing facilities, land purchases, major equipment purchases, and grants to local governments and nonprofit organizations for improvements to infrastructure and facilities. Capital improvement recommendations for funding by the 1997 Session of the General Assembly for the 1997-99 biennium address new construction requirements of state government while also focusing on the need to upgrade, repair, and improve existing facilities as well as to encourage economic development.

Recommendations for capital improvements for 1997-99 are presented by departments in the following pages.

General Fund

Department of Administration

	<u>1997-98</u>	<u>1998-99</u>
1. State Government Visitors' Center		
Funding is recommended to continued planning through working drawings for a State Government Visitors' Center to be located in Raleigh. The center will serve as a focal point for groups, including school children, visiting the state's capitol. The project includes the construction of a 33,000 square foot two-story building to be located on the block across from the Archives and History Building, a plaza, and parking.	\$ 1,000,000	\$ -
Total for Department of Administration	<u>\$ 1,000,000</u>	<u>\$ -</u>

N.C. State Budget, 1997-99: Summary of Recommendations
Capital Improvement - Continued

Department of Agriculture

	<u>1997-98</u>	<u>1998-99</u>
1. Multi Purpose Events Building - State Fair Funding is recommended to provide advance planning for a multi purpose events building at the State Fairgrounds in Raleigh. The building will be a 80,000 square-foot, pre-engineered metal building with pre-cast concrete panels in the main exhibit area, conference, and meeting rooms. The entire building will be heated and air-conditioned, allowing for year-round use. The estimated construction cost will be \$12,200,000.	\$ 600,000	\$ -
2. Eastern North Carolina Agricultural Center - Continued Development Funding is recommended for the continued development of the Eastern North Carolina Agricultural Center in Martin County. Included in this phase of the facility is an outdoor arena, practice rings, operations building, outdoor show office, administrative and security office, restaurant, campground, cross-country course, and parking. Funds totaling \$13.3 million have previously been appropriated for development of this facility.	3,500,000	-
3. Cattle and Livestock Exposition Center It is recommended that an appropriation be made to establish a reserve for the development and construction of a livestock facility in North Carolina which will include: areas for livestock shows, sales, educational programs, exhibits, a small laboratory for blood work, semen evaluation, embryo transfer work, and stalls for housing livestock. Similar facilities currently exist in Georgia, Virginia, Tennessee, and Mississippi. This appropriation will be an investment in the economic development potential of the livestock industry in North Carolina, a vibrant and emerging factor in the state's agricultural sector.	6,000,000	-

N.C. State Budget, 1997-99: Summary of Recommendations

Capital Improvement

Agriculture - Continued

4. **Maritime Museum - Land Acquisition**

An appropriation of \$1.5 million is recommended for the purchase of a 3.6 acre natural site located on the Intracoastal Waterway in Beaufort (Carteret County). The museum will later develop the property as a natural and historic resource center to preserve and interpret the unique maritime heritage and coastal marine ecology of North Carolina. The total cost of the tract is \$3.2 million. Previously, \$1.5 million was allocated from the General Fund and \$200,000 from the Natural Heritage Trust Fund for this purpose.

<u>1997-98</u>	<u>1998-99</u>
\$ 1,500,000	\$ -

Total for Department of Agriculture

<u>\$ 11,600,000</u>	<u>\$ -</u>

Department of Commerce - State Ports Authority

1. **State Port - Wilmington**

Funds are recommended for the continued development of the State Port at Wilmington. Expenditures will be in accordance with priorities as established by the State Ports Authority.

\$ 2,500,000	\$ -

2. **State Port - Morehead City**

Funds are recommended for the continued development of the State Port at Morehead City. Expenditures will be in accordance with priorities as established by the State Ports Authority.

<u>2,500,000</u>	<u>-</u>

Total for Department of Commerce - State Ports

<u>\$ 5,000,000</u>	<u>\$ -</u>

Department of Correction

1. **Planning Funds for Single Cell Facility - Alexander County**

It is recommended that funds be appropriated to continue advance planning for a 520 bed, close custody single cell prison in Alexander County. The 1996 General Assembly authorized the initial phase of design for this facility.

\$ 300,000	\$ -

Total for Department of Correction

<u>\$ 300,000</u>	<u>\$ -</u>

N.C. State Budget, 1997-99: Summary of Recommendations
Capital Improvement - Continued

Department of Crime Control and Public Safety

	<u>1997-98</u>	<u>1998-99</u>
1. Charlotte National Guard Armory Funds are recommended to provide the state's share for a new 66,000 square foot armory in Charlotte. The 1996 General Assembly authorized the planning phase of this project and appropriated \$87,600 for this purpose. The total cost is \$7,999,000. The federal share is \$5,874,100 and the local government share is \$777,000.	\$ 1,260,300	\$ -
2. State Highway Patrol - Underground Fuel Tank Removal and Remediation Funds from the Highway Fund, which supports the State Highway Patrol, are requested for the removal and replacement of all underground fuel storage tanks located at various State Highway Patrol installations across the State.	<u>650,000</u>	<u>-</u>
Total Requirements for Department of Crime Control and Public Safety	\$ 1,910,300	\$ -
Less Highway Fund	<u>650,000</u>	<u>-</u>
Total General Fund	<u>\$ 1,260,300</u>	<u>\$ -</u>

Department of Cultural Resources

1. Museum of History - Restaurant Funding is requested to complete the new Museum of History building. Currently, the restaurant space is bare floor, walls and ceilings. This project will provide the necessary plumbing, electrical, mechanical, ductwork, HVAC, wall/floor finishes, furnishings and restroom fittings to complete the space. The space will be used as a restaurant and to support fund-raising events at the museum.	\$ 1,800,000	\$ -

N.C. State Budget, 1997-99: Summary of Recommendations
Capital Improvement
Cultural Resources - Continued

	<u>1997-98</u>	<u>1998-99</u>
2. Museum of the Cape Fear - Branch of the State Museum of History Funds are recommended for the expansion and further development of the Museum of the Cape Fear, part of the extension service of the North Carolina Museum of History. Funds will be used to expand the facility. Due to its popularity, the museum's public and support spaces are inadequate.	\$ 1,100,000	\$ -
3. Museum of the Albemarle - Branch of the State Museum of History Currently, the Museum is a 10,000 square foot building formerly used as a highway patrol station in Elizabeth City. Land was purchased in 1994 for a new museum. Funds are requested to provide planning funds and site development funding for a new museum building of about 50,000 square feet. The estimated construction costs will be \$8,000,000.	<u>1,000,000</u>	<u>-</u>
Total for Department of Cultural Resources	<u><u>\$ 3,900,000</u></u>	<u><u>\$ -</u></u>

Department of Environment, Health, and Natural Resources

1. Water Resources Development and Watershed Projects It is recommended that an appropriation be made for the state share of civil works projects for navigation, flood control, drainage, stream restoration, aquatic weed control, water-based recreation, beach protection and small watershed grants. The costs of these projects are shared by statutory formula with the federal government and/or local governments. This appropriation will allow the state and local governments to secure federal funds necessary for projects vital to economic development, protection of property and resources, and public access to public waters. Allocation of these funds will be made by the department in compliance with the state's Water Resources Plan.	\$ 4,000,000	\$ -

N.C. State Budget, 1997-99: Summary of Recommendations
Capital Improvement
Environment, Health, and Natural Resources - Continued

	<u>1997-98</u>	<u>1998-99</u>
2. Blue Ridge Parkway - Scenic Vistas Funds are recommended to buy undeveloped land along the Blue Ridge Parkway to help preserve scenic vistas in North Carolina. Funds will be administered by the department in collaboration with Department of Administration's Office of State Property.	\$ 2,000,000	\$ -
3. Amphibious Water Scooping Tanker Aircraft Funds are requested for the purchase of a reconditioned amphibious water scooping tanker aircraft for the Division of Forestry for fighting forest fires. The fire threat to the state has been increased significantly during the past year due to two recent hurricanes and several major ice storms.	<u>4,000,000</u>	<u>-</u>
Total for Department of Environment, Health, and Natural Resources	<u>\$ 10,000,000</u>	<u>\$ -</u>

Department of Human Resources

1. Eastern North Carolina School for the Deaf - Independent Living Complex - Planning Funds are recommended for advanced planning and site development of a 11,000 square foot dormitory facility on two vacant areas on the Eastern North Carolina School for the Deaf campus to house 24 high school juniors and seniors. The program began in 1993, as a pilot program, in space leased from the North Carolina Special Care Center. That space will be unavailable in the future, and this appropriation will provide the program with a permanent facility. Construction will cost an additional \$800,000.	\$ 500,000	\$ -
Total for Department of Human Resources	<u>\$ 500,000</u>	<u>\$ -</u>

N.C. State Budget, 1997-99: Summary of Recommendations
Capital Improvement - Continued

University of North Carolina - Board of Governors

	<u>1997-98</u>	<u>1998-99</u>
1. Reserve for Capital Improvements, Land Acquisition, and Information Technology Equipment Funds totaling \$20 million are recommended as an appropriation to the Board of Governors of the University of North Carolina for expenditures in accordance with the Board's Schedule of Priorities for capital projects. Funds will be allocated by the board pursuant to the requirements of G.S. 116-11(9)b.	\$ 20,000,000	\$ -
Total for University of North Carolina - Board of Governors	<u>\$ 20,000,000</u>	<u>\$ -</u>
Other Reserves		
Reserve for Museum Exhibits Requests submitted to the Office of State Budget and Management for appropriations for the purchase and construction of exhibits for museums and state historic sites totals over \$30 million. The Department of Cultural Resources has requested \$17 million, the Department of Environment, Health, and Natural Resources has requested \$7.1 million for the new Museum of Natural Science, currently under construction, and others have requested in excess of \$5 million. Funds will be allocated by the Office of State Budget and Management.	\$ 9,000,000	\$ -
Total for Other Reserves	<u>\$ 9,000,000</u>	<u>\$ -</u>
Grand Total - General Fund	<u>\$ 62,560,300</u>	<u>\$ -</u>

CAPITAL AREA MASTER PLAN FOR STATE GOVERNMENT (Continued)

Visitors' Center

- Construction of a 32,600 sq. ft. two-story building to be located on the block bounded by E. Edenton Street and N. Wilmington Street, East Jones Street and North Blount Street. The center will replace the existing center which is a converted two-story residence on Blount Street. The existing center is undersized, making it difficult to accommodate large groups. Furthermore, nearby parking is limited making unsafe walking conditions for the many school children who visit the nearby museums.

The Visitor Center Parking Garage will be a two-level underground facility with 550 spaces. The street level plaza is to be located adjacent to the new Visitors' Center.

	1997-98
Construction	\$11,525,000
Parking Garage	13,345,000
Total	24,870,000

Initial planning for this facility, \$430,000, was funded by the 1994 Session of the General Assembly. The Governor has recommended to the 1997 Session of the General Assembly that \$1.0 million be appropriated to continue planning through the working drawings.

NC State Fair/State Fairgrounds Wake County

History of Fair

The Agricultural Society of North Carolina adopted a resolution in 1852 which led to the birth of the State Fair in 1853. From its inception until 1927, the State Fair was produced by the Society. For the following ten years, the Society, the City of Raleigh, and the State jointly shared in its production. The State took over the State Fair in 1928, assuming full support in 1937.

The first fairgrounds site was a 16-acre tract off New Bern Avenue, where the Division of Motor Vehicles building is now located. The original buildings were burned by Sherman's army because they were used as Confederate hospitals. In 1873, the State Fair moved to Cook's Hill which was about one-and-a-quarter miles outside the city limits across from N. C. State University near what is now the Raleigh Little Theater in the Fairmont subdivision. This 55 acre site was used until 1926 (No fair was held in 1926 and 1927). The present 344 acre site (last land purchase made in 1978) was first used in 1929 with the move being made in 1928.

In 1918 Raleigh officials offered the Army use of the fairgrounds as a temporary quarters for a tank training post. The federal government purchased the present State Fair site to become its permanent site for the tank camp or Camp Polk. Soon, however, these plans were changed and only a civilian camp, which became the Polk Youth Center, stands as a reminder of Camp Polk. When the federal government sold this land, the State purchased it. In 1928 the state built the Spanish-looking buildings that still stand as the gateway to the State Fair.

Since 1853 the State Fair has missed operating only 15 times; these were during war years and periods of financial uncertainty.

Development of the Fairgrounds

The most notable structure on the fairgrounds is Dorton Arena which has been described as a soaring structure which "speaks of an America emerging from the Depression and World War II full of energy and optimism and ready to go somewhere in a hurry." This facility was designed by Matthew Nowicki who was head of the architecture program at N. C. State College. Raleigh architect, William Henley Dietrick, joined the project to serve as architect of record since Nowicki did not have a North Carolina architect's license. Nowicki's design was only one-third completed prior to his untimely death in a plane crash in August, 1950. (1) The facility was completed in 1952; final cost was approximately \$1.5 million.

The State Fair operates as an enterprise fund. Through the years, as available, State Fair receipts have been used to support capital outlay projects. Appropriations from the General Fund have been used in addition to supplement major construction/development of new facilities. During the 1970s, two events/exhibit buildings were constructed as was the Village of Yesteryear building with support from the General Fund. Also, \$1.5 million was appropriated in 1978-79

NC STATE FAIRGROUNDS (Continued)

for land acquisition. In the early 1980s, the Horse/Livestock Facility Development was funded. In 1986-87, an office/eating facility was added to this compound (named the James B. Hunt, Jr. Horse Complex). Extensive repairs/renovations General Fund support was provided during the 1980s to upgrade electrical infrastructure as well as repair and re-roof various facilities on the site. Also, \$1,013,100 was used for paving parking facilities and gutter and storm drains in 1988-89.

During the period, 1989-1996, receipts generated by the State Fair supported the following projects: (1) Race Track Renovations, \$810,967; (2) Paving (front parking lot), \$236,387; (3) Demolition of unsafe structures, \$242,043; (4) Locker Room Renovation, \$239,214; (5) Electronic Display Sign, \$193,200; and, (6) Water and sewer improvements, \$235,200. Construction of a maintenance facility (cost of \$600,000) was completed in 1995 for the purpose of housing all maintenance staff in the same location. Most recent projects include the roof replacement of Dorton Arena at a cost of \$650,000 and \$100,000 for renovations of rest room facilities.

The State Fair Complex is busy during the entire year. Flea markets operate 47 week-ends a year and throughout the year, there are various events to draw a crowd, i.e., trade shows such as gun shows, boat shows, antique shows, home and garden shows, Christmas shows, women shows, farm equipment shows, baseball, card and hobby shows as well as cat and dog shows. The Scott Building, is the only heated and air conditioned facility (19,000 square feet) available for these events. The Graham Building (95,000 square feet) is limited in use to rental during the months of April to mid-June and September through mid-November because it is not heated nor air conditioned.

1997 Capital Needs

Present needs for the State Fairgrounds center upon a new heated and air conditioned multi-purpose exhibit building (approximately 110,000 square feet) to house the livestock exhibits (poultry, swine, sheep, cattle, rabbits, goats) during the Annual State Fair. This addition will provide more youth the opportunity to participate in State Fair Livestock Shows; and, during the remainder of the year it would allow more and larger trade shows in the area. The Governor's 1997-99 Budget Recommendations include a \$600,000 General Fund appropriation to support the advance planning of a 80,000 square-foot, pre-engineered metal building with pre-cast concrete panels in the main exhibit area, conference, and meeting rooms. The estimated construction cost is \$12.2 million. This item was priority # 2 of 20 items in the Department's capital request for the 1997-99 biennium.

Sources: (1) Reprint of News and Observer article "Fairs are a Main Attraction..." of 10-8-68 on 12-29-92 and "Dorton is Only Monument..." of 10-15-89 on 12-29-92
(2) Handout to the Advisory Budget Commission during the 1994 tour of the facilities.

State Fairgrounds
History of General Fund/Federal Revenue Sharing Appropriations
(1971-Present)

1971-72	Events/Exhibit Building	\$365,000
1973-74	Sewer System Improvements	300,000
	Dorton Arena Repairs	110,000
1974-75	Village of Yesteryear Building	310,000
	Livestock Events Building	1,500,000
1977-78	Dorton Arena Repairs	200,000
1978-79	Land Acquisition	1,500,000
	Dorton Arena Repairs	650,000
1980-81	Dorton Arena Roof Repairs	250,000
1981-82	Horse/Livestock Facility Development	2,500,000
1983-84	Jim Graham Building Roof Replacement	300,000
	Electrical Distribution System	35,000
1984-85	Holshouser Building Roof Repairs	112,000
	Underground Primary Electrical Distribution System	567,000
1985-86	Commercial Building Deck/Roof	238,500
	Grandstand Reroofing/Renovations	333,000
1986-87	Office Space/Eating Facility, Raleigh Horse Facility	560,000
	LR Harrell Center Roof/Gutter Replacements	22,800
	Commercial Building Deck/Roof	109,700
	Underground Primary Electrical Distribution System	559,000
1988-89	Paving, Curbs, Gutters and Storm Drains	1,013,100
1995-96	Education and Commercial Building Renovations	1,224,000
1996-97	Life Safety Code Improvements-Youth Center	212,200
	Dorton Arena Fire Detection and Protection	500,000

Other Agricultural Centers

Success of the Western NC Agricultural Center created a desire by other regions of the State to expand the concept into more areas. Consequently, the General Assembly funded centers now referred to as the Southeastern NC Agricultural Center and the Eastern NC Agricultural Center.

Southeastern Center

Construction will begin early in 1997 on Phase I of a new Agricultural Center to serve this area. This facility will be located in a prime site of Robeson County. The area purchased (77 acres) has been annexed into the City of Lumberton. This facility will serve the region with a Horse Complex, a Multi-purpose Meeting Center and a Farmers Market. Phase I of the project will include site preparation, a farmers market, and a meeting building; it is anticipated that this Phase will be completed in Spring of 1998. As funding becomes available, facilities (including the horse complex) will be added.

Funding for this facility is as shown below:

1993	Initial appropriation for planning facility	\$2,500,000
1994	Supplement to implement plans	\$3,600,000

Eastern North Carolina Agricultural Center

The Eastern North Carolina Agricultural Center complex presently under construction is anticipated to be completed by Spring of 1998. The complex is located in Martin County, near Williamston, North Carolina, on a site near an intersection of the new Highway 64 By-Pass that is currently under construction. Plans for development include a 3,000 seat capacity indoor arena, horse stables to house 300 horses, and a Multi-purpose building of 10,000 sq. ft. plus administrative offices or approximately 2,000 additional sq. ft. on a 168 acre site. The site will allow for future growth.

Funding for this facility is as show:

1992	Planning Funds	\$300,000
1993	Continued Planning/Development	3,400,000
1994	Continued Planning/Development	3,600,000
1995	Continued Planning/Development	2,500,000
1996	Continued Planning/Development	4,000,000
	Total	\$13,800,000

Other Agricultural Centers (Continued)

1997 Recommendations

The Governor's 1997-99 budget recommendations include funds in the amount of \$3.5 million for the continued development of the Eastern NC Agricultural Center in Martin County. In this phase of the facility is an outdoor arena, practice rings, operations building, outdoor show office, administrative and security office, restaurant, campground, cross-country course, and parking.

NORTH CAROLINA MARITIME MUSEUM BEAUFORT, NORTH CAROLINA

The North Carolina Maritime Museum was formally established by the North Carolina General Assembly in 1951 as the Hampton Marine Museum, an extension of the State Museum of Natural History under the Department of Agriculture. The name, Hampton, was that of a former State legislator who was involved with the museum in its early years. The Museum, which was housed in an old building in Morehead City, began with a shell collection and some fish mounts and displays collected by the U. S. Fish and Wildlife Service and the N. C. Department of Conservation and Development. Some of these specimens dated to the 1930s. The name "Hampton Marine Museum" was changed by the 1984 General Assembly to identify its State support and museum subject.

In 1985, the Museum was housed in its first permanent home, a \$1.5 million building constructed in the historic port town of Beaufort. The architectural firm of Robert Winston Carr, Inc., Associated Architects of Durham, N. C., designed the all-wood building to reflect a blend of 19th century Beaufort architecture and that of the early United States Lifesaving Service buildings (a forerunner of the U. S. Coast Guard). The interior design imparts the feeling of being in the hold of a large wooden ship with laminated, exposed heavy beam construction of North Carolina's yellow pine. This facility provided 18,000 square feet of space.

Mrs. Evelyn Smith gave the land for this new building and also purchased the old Watercraft Center which she and her husband donated to the Museum. Plans for renovating the Watercraft Center at a cost of \$83,000 (the Department's original request in 1985) proved to be an unwise investment. The State Construction Office recommended a new structure be built. After five years of designing, the final plans were completed and a new structure was built on the waterfront to house the Boat Shop, named the Harvey W. Smith Watercraft Center, where wooden boats are built and restored, documenting the State's maritime history.

A museum annex, the Harborside Building was purchased in 1990. It is located just across Beaufort Front Street from the main building and is adjacent to the Museum's Watercraft Center. It was intended to be used as classrooms, a wet lab for marine sciences, and as exhibit/publications graphic workshops as well as provide a residential area for lodging of boat building students.

In December, 1991 the State Department of Insurance noted its findings of several deficiencies affecting the safety and insurability of the building. The Department of Agriculture requested in its 1993-95 budget request, a sum of \$166,900 to bring the facility into compliance. This request was not funded by the 1993 Session nor by the 1994 Session of the General Assembly. The 1995 Session adopted a provision (Section 27.14 of Chapter 507) allowing the allocation of funds from the Reserve for Repairs and Renovations for 1995-96 to repair this structure. The sum of \$520,000 was allocated for its replacement.

NORTH CAROLINA MARITIME MUSEUM (Continued)

Site for Future Development

During the 1994 and the 1996 tours by the Advisory Budget Commission, the Museum emphasized the need for land acquisition on the sound. The existing facilities on Front Street, surrounded by private and commercial property, were at this time constrained by space limitation. The purchase of the Chadwick Property offers opportunities for long-term growth of the Museum's programs. Located on the intracoastal waterway, this 36 acres can be purchased for \$3.2 million. (The Friends of the Museum provided \$100,000 earnest money during 1995 and the Department of Agriculture received an allocation of \$200,000 from the Natural Heritage Trust Fund to purchase 10 acres of this property during 1996-97). The seller offered the property with a payment schedule covering 1995-1999. The property offers 1,852 of deep water frontage. It is envisioned that this site, known as Town Creek, will support the museum's expansion needs for the next 25 years. Long-term development costs are estimated at \$2.7 million. This development would include tall ship berthing/display; junior sailing program; NC Sharpie sailboat classroom; expanded wooden boat show; traditional work boats—restoration and display; conservation laboratory, NC coastal traditional trades-naval stores exhibit; historical botanical garden—nature trails, museum ferry to Front Street in Beaufort; and, parking. Site development is envisioned to occur over the span of 1996-1999 at the cost of \$1.2 million and over 2000-2003 at the cost of \$1.5 million.

1997 Recommendation to the General Assembly

The Governor's recommended in his budget proposals to the 1997 Session of the General Assembly that \$1.5 million be funded for the purchase of a 36 acre natural site located at the Intracoastal Waterway in Beaufort (Carteret County). These funds will supplement the \$1.7 million that has already been approved for this purpose. This item was priority #5 of 20 items in the Department's request for the 1997-99 biennium.

NORTH CAROLINA MARITIME MUSEUM BEAUFORT, NORTH CAROLINA

1979	Funds appropriated for planning of Museum	\$80,000
1982	Museum construction funds appropriated	1,000,000
1983	Museum construction funds supplemented	400,000
1985	Watercraft Center Renovations funded	75,000
	Funds allocated for roof repairs	8,000
1987	Funds for construction of a new building to house the Watercraft Center appropriated	259,000
1988	Watercraft Center appropriation supplemented	290,700
1989	Watercraft Center construction funds reverted to nontax revenues because of economic downturn	-499,700
	Funds appropriated for purchase of Harborside Building (purchased in September of 1990)	355,000
1990	Watercraft Center construction support reappropriated	499,700
1995	Funds allocated from the Reserve for Repairs and Renovations to replace the Harborside Building which had been declared as unsafe	520,000
	Funds allocated from the Reserve for Repairs and Renovations for a fire protection sprinkler system for the Museum facility	73,300
1996	Funds allocated from the <i>Natural Heritage Trust Fund</i> for the Town Creek Reserve (10 acres of land)	200,000
	Funds allocated from Repairs/Renovation Reserve for Heat Pump Replacement	69,600
	Funds allocated from the 1994-95 \$21.3 million Repair/Renovation Reserve appropriated for land acquisition and/or grants-in-aid	1,500,000

STATE PORTS AUTHORITY

In 1924 North Carolina voters chose not to approve a \$8.5 million bond issue for the development of the State Ports. In 1945 advocates for state support for the ports, particularly Mr. Cyrus D. Hogue, Sr., of Wilmington, N. C., drafted legislation for the creation of a State Ports Authority and convinced the General Assembly to enact it (Chapter 1097).

With its creation, the State Ports Authority was vested with charges to develop and improve harbors at Wilmington, Morehead City, and Southport; and, to foster and stimulate the shipment of freight and commerce through the ports. In order to accomplish these charges, the State Ports Authority was given corporate powers and authority to acquire and dispose of property, to construct facilities, to establish offices wherever necessary, to apply for and accept loans/grants from State and Federal governments, to issue bonds by pledging net revenue to secure payment, and , to operate terminal railroads.

Mr. Rinaldo B. Page of Wilmington, a leader in advocating state support for deep water facilities, served as the Authority's first chairman. With visions for the port's future, he obtained a long-term lease from the United State Maritime Commission for a section of Wilmington's World War II shipbuilding yard. He also negotiated with the Morehead City Ports Commission (established in 1933, Chapter 75) for its facilities constructed in 1937. Mr. Page also obtained a \$90,000 WPA grant to finance studies and surveys to determine the best type of facilities to build.

The General Assembly approved, in 1949, \$7.5 million for terminal construction. Of these funds, \$5.0 million was expended at Wilmington and \$2.5 million was expended at Morehead City. Construction for both facilities was completed in 1952. Original facilities for the Ports were as follows:

	Morehead City	Wilmington
Wharf-Number of Feet	2,500	1,510
Transit Sheds	2	2
Transit Shed Capacity	92,000 sq. ft.	158,000 sq. ft.
Storage Warehouses	2	1
Storage Warehouse Capacity	176,000 sq. ft.	86,100 sq. ft.
Paved Open Storage Area	60,000 sq. ft.	3 acres

In 1951, the Morehead City Ports Commission property and functions were transferred to the Ports Authority (Chapter 776). All vestiges of the original facility have since been demolished.

STATE PORTS AUTHORITY (Continued)

Throughout the 1960's and 1970's, the General Assembly regularly appropriated funds for port development and expansion. Due to an economic downturn in the early 1980's, State appropriations for capital outlay were limited; hence, the Port Authority received no funds. In the mid-1980's, a comprehensive plan entitled "The North Carolina State Ports Authority Master Development Plan for the Fiscal Years 1986-90" was produced.

This report identified total cost of \$44.6 million needed to rehabilitate and develop the State Port's facilities. The General Assembly responded by appropriating for 1987-89 a total of \$35.9 million. (Some of these funds were reverted due to economic downturn in 1989-90 and 1990-91) .

Morehead City Port

This port is located on a peninsula at the eastern tip of the city at a point where the Newport River joins Bogue Sound, four miles from open sea. Its harbor features include a 450 foot wide by 45 feet deep channel across the ocean bar to the port and its four mile channel is maintained at 40 feet depth. The facility occupies 116 acres of land on a point of land formerly known as Horsehead Island. At the June 1996 Council of State meeting, the acquisition of 12.03 acres improved with a 130,988 sq. ft. office/warehouse/manufacturing facility located within the terminal (owned by Owens-Corning Fiberglas) for the consideration of \$1,943,000 was approved. The Port also owns property on Radio Island.

The Morehead City Port has, throughout its history, experienced modest activity. A very limited natural tributary area and the lack of general cargoes available within this area have limited movement to cargoes of a specialized nature or those that have enjoyed a distinct inland rate advantage as a result of point of origin or destination.

A Bulk-Handling facility, constructed with a \$11.4 million loan from the United States Department of Commerce, Economic Development Administration, was completed in 1968. It was anticipated that this facility would handle one-million tons of dry-bulk phosphate products annually. Failures to meet these expectations delayed the first payment of principal on the loan until 1983, ten years later than originally scheduled.

Texasgulf, Inc., paid for the construction of facilities on this premise to handle both liquid and dry phosphate products. Under long term agreements with Texasgulf, Inc., the Authority operates and maintains the entire facility.

Although State funds have not been appropriated directly to the Ports Authority for capital improvements since the late 1980's, the Morehead City Port has received allocations from the Repairs/Renovation Reserve for reroofing of the Transit Shed Number 1.

STATE PORTS AUTHORITY (Continued)

Wilmington Port

The Wilmington Port is on the east bank of the Cape Fear River, twenty-six miles from open sea. Its harbor features include a 400 feet wide by 38 feet deep channel to port. The Wilmington terminal owns approximately 400 acres in total area. At the June 1996 Council of State meeting, the Council approved a two year \$50,000 option to purchase for \$780,000 a parcel of 44.22 acres for future development. This acreage owned by the City of Wilmington had been appraised at \$1, 450,000; however, due to an estimated cost of \$670,000 for remediation of chemical contamination, the asking price was lowered. Following investigations and studies estimated to take two years, the \$50,000 will be applied to the purchase price.

Wilmington Port development of facilities has paralleled the growth in nature and volume of cargo movement. Originally designed to accommodate the handling of break-bulk, liquid bulk, and dry bulk products, the Port has since 1972 directed improvements toward the development of container handling facilities. In 1977, the first container handling crane was erected. The second was added in 1980 and a third in 1984. Landside facilities development for handling container services demands have included the addition of a 70+ acres of paved storage area construction since 1977. No expansion of wharfage at dockside has occurred since the early 1970's when the Authority completed its North Dock Extension opening 2 berths giving a total of 10. These berths are now inadequate to serve the increasing size of ships calling at dockside; and, architectural structure of existing storage facilities do not satisfactorily accommodate the large cargo handling equipment being used.

The harbor depth of 38 feet has been a major concern to the Wilmington Port. In 1973, when the Wilmington deepening project was completed, the proper depth was not dredged due to a series of errors. The U. S. Army Corps of Engineers has handled this project as a design deficiency.

In 1994, the General Assembly appropriated \$10.9 million to fund the State's share of the Wilmington Harbor Ocean Bar Channel dredging project for the 1994-95 fiscal year. Deepening the channel from 38 feet to 42 feet will provide the Wilmington port with the minimum vessel draft clearance needed to accommodate more fully loaded vessels and remain competitive. The total State share of the cost, was estimated to be approximately \$14.2 million while the federal share was estimated to be \$41.3 million.

SOUTHPORT MARINA

Although not a core business, the North Carolina State Ports Authority has owned, since 1963, the Southport Marina, located on 47 acres of ports-owned property in Southport,

STATE PORTS AUTHORITY (Continued)

North Carolina. Since 1976, the marina has been leased to and operated by Southport Marina, Inc., under the terms and conditions of a long-term lease agreement expiring March 31, 2006.

Revenues from the lease include fixed annual rents that escalate in 5-year increments and an annual percentage of the tenant's annual gross revenues exceeding a prescribed dollar amount. The tenant is responsible for all maintenance and repairs and can fund any direct capital improvements subject to prior approval by the Ports Authority.

Of the State Port's Authority total capital investments since 1945 in its system-wide property, plant and equipment, only \$898,000 has been invested in the marina at Southport.

Long Term Planning (Years 1997-2006)

The 1993 General Assembly directed the Economic Development Board to perform a study of the State Ports Authority as a State agency. The Board concluded that capital funding decisions should be based on the preparation of a comprehensive long-range 10-year Master Capital Development Plan (MDP). The MDP was envisioned as a plan to modernize, expand and improve the competitiveness of the entire NC Ports system of deepwater and inland terminals, facilities and property, wherever located, including highway, rail and water access to such terminals, facilities and property. The plan was completed and presented to the Ports Authority at its May 21, 1996 meeting.

The Executive Summary of the MDP prepared by Mofatt & Nichol Engineers in association with Martin Associates, Wilbur Smith Associates and Culwell Engineering, Inc. states "Many of the cargo-storage buildings are over 35 years in age and deficient in accommodating the demands of modern cargo-handling methods. Further improvements in cargo-handling methods, as well as changes in the packaging of the cargo itself, will cause continued obsolescence of these buildings over time. Particular areas of concern are the dry-bulk storage facilities at both ports, which are in very poor physical condition and should be abolished."

The summary states that the wharves at Morehead City are generally in good structural condition; however, the design wharf-face water depths at berths 4-7 are insufficient to accommodate modern cargo ships. At Wilmington, the general-cargo wharves are suffering from handling load capacities greater than originally designed resulting in damages which had forced Berth 6 to be derated and the imposition of load capacity restriction on Berth 8 restricting the types of container-handling equipment that can be used on it. Needless to say, both ports need to have the channels to the ports maintained to adequately serve the size of the vessels currently using the facilities.

STATE PORTS AUTHORITY (Continued)

The gantry cranes at each Port—two at Morehead and three at Wilmington—are all in poor condition and need to be replaced.

The Capital funding requirements as recommended for the next 10 years (1997-2006) by the MCDP include a public investment of \$231.6 million, port investment of \$16.3 million, revenue-bond assistance of \$33.4 million to promote private investment, a state match of \$83.8 million through the Department of Environment, Health, and Natural Resources to be used with \$281.9 million federal funds and \$4.0 million in local funds for waterway improvements. Also, recommended is the expenditure of \$298.2 million to be added by the North Carolina Department of Transportation TIP for the purpose of improving accessibility to the ports as well as reducing trucking costs through the elimination corridor bottlenecks at both ports with the construction of bypasses and limited access highways between the ports and the exporters and importers.

A summary of capital funding requirements as proposed by the MCDP is as follows:

Item	Morehead City	Wilmington
Market-based Projects	\$50.1 million	\$157.4 million
Infrastructure Projects	41.3 million	116.2 million
Total	\$91.4 million	\$273.6 million

Sources: The North Carolina State Ports Authority Master Development Plan for Fiscal Years 1986-90 and Draft Request Proposal for Comprehensive 10-year Master Capital Development Plan (FY 1997-2006)

1997-99 Funding Recommendations

The Governor's budget recommendations to the 1997 Session of the General Assembly include \$2.5 million for the Wilmington Port and \$2.5 million for the Morehead Port. Expenditures of these funds, if approved by the General Assembly, are to be spent in accordance with priorities as established by the State Ports Authority.

**REQUEST FOR STATE FUNDING FOR
1997 - 99 BIENNIUM CAPITAL PROGRAM
NORTH CAROLINA STATE PORTS AUTHORITY**

PORT OF MOREHEAD CITY				PORT OF WILMINGTON			
<u>ITEM</u>	<u>DESCRIPTION</u>	<u>FY98 1997-98</u>	<u>FY99 1998-99</u>	<u>ITEM</u>	<u>DESCRIPTION</u>	<u>FY98 1997-98</u>	<u>FY99 1998-99</u>
1	Dry-Bulk Facility Phase I	\$ 6,182,000		1	Replace Pier	\$ 1,383,400	
2	Radio Island Rail-Yard Expansion	\$ 1,604,600		2	Dry-Bulk Facilities	\$ 6,141,800	
3	Rehabilitate Terminal Assets: (\$ 6,413,900)			3	Berth 7 Ramp Upgrade Construction	\$ 2,519,700	
•	General Rehabilitation of Terminal Trackage, I	\$ 1,749,600		4	General Cargo Facility, Phase I	\$ 5,022,100	
•	Warehouse 6 Roof Repair	\$ 653,600		5	Acquire Additional Wilmington City Property	\$ 780,000	
•	Pavement Repairs, Phase I & II	\$ 2,322,800		6	General Rehabilitation of Terminal Trackage		\$ 2,296,900
•	Rehabilitate Newly Acquired Buildings	\$ 669,400		7	Purchase New Gantry		\$ 4,079,300
•	Refurbish Cranes 1 and 2	\$ 1,018,500		8	Stevedore Garages		\$ 2,294,800
4	Radio Island LASH Ship Mooring Facility		\$ 6,023,100	9	Woodpulp Warehouse		\$ 8,743,900
Total - Morehead City		\$ 14,200,500	\$ 6,023,100	Total - Wilmington		\$ 15,847,000	\$ 17,414,900

	<u>FY98</u>	<u>FY99</u>
	<u>1997-98</u>	<u>1998-99</u>
Total - Both Terminals	\$ 30,047,500	\$ 23,438,000

**NORTH CAROLINA STATE PORTS AUTHORITY
GENERAL FUND APPROPRIATIONS/BOND ISSUES**

- 1945 State Ports Authority Created (Chapter 1097)
- 1949 \$7,500,000 Legislative Bonds Approved (Chapter 820)
- 1957 \$3,390,000 Appropriation Approved (Chapter 1341)
- 1959 \$917,400 Appropriation Approved (Chapter 1039); and \$500,000 Vote-of-the People Bond Referendum Approved (Chapter 1038, Section 9)
- 1961 \$13,500,000 Proposal in Vote-of-the-People Bond Referendum **Defeated** Chapter 1037, Section 7)
- 1963 \$4,600,000 Appropriation Approved (Chapter 684)
- 1965 \$1,752,500 Appropriation Approved (Chapter 916); and, \$2,724,500 Legislative Bonds Approved (Chapter 915)
- 1967 \$4,490,000 Appropriation Approved (Chapter 1108)
- 1969 \$4,650,000 Appropriation Approved (Chapter 755)
- 1971 \$3,350,000 Appropriation Approved (Chapter 693)
- 1973 \$8,295,000 Federal Revenue Sharing Appropriation Approved (Chapter 523)
- 1974 \$3,800,000 Federal Revenue Sharing Appropriation Approved (Chapter 1202)
- 1975 \$85,000 Appropriation Approved (Chapter 874)
- 1976 \$1,975,000 Federal Revenue Sharing Appropriation Approved (Chapter 983)
- 1977 \$2,265,000 Appropriation Approved (Chapter 681)
- 1978 \$115,000 Appropriation Approved (Chapter 1285); and, \$700,000 Federal Revenue Sharing Appropriation Approved (Chapter 1136)
- 1979 \$2,900,000 Appropriation Approved (Chapter 731)
- 1980 \$4,800,000 Appropriation Approved (Chapter 1137)
- 1987 \$19,900,000 Appropriation Approved

NORTH CAROLINA STATE PORTS AUTHORITY
GENERAL FUND APPROPRIATIONS/BOND ISSUES (Continued)

1988 \$16,000,000 Appropriation Approved

1989 Governor was forced to reduce 1988 authorizations by \$2,844,043 (reverted to General Fund non-tax revenues) because of budget crisis

1990 \$6,000,000 Appropriation Approved but Governor rescinded authorization for \$3,155,957 due to budget crisis; leaving a balance of \$2,844,043

During the year, the Governor again was forced to revert uncommitted appropriations due to budget crisis continuing: of the 1987 appropriations, \$344,000 reverted; and of the 1988 appropriations, \$902,522 reverted.

\$100,000 Appropriation for Long Range Planning Project was also rescinded.

1993 \$250,000 Appropriation for Planning of the Replacement of Morehead Trestle

1994 \$318,400 Repair/Renovation Reserve Allocation for Re-roof of Transit Shed No. 1 at Morehead Port

1995 \$567,500 Repair/Renovation Reserve Allocation for Re-roof of Cargo Building C1, Bay 1 at Wilmington Port

1996 \$6,000,000 Repair/Renovation Reserve Allocation with \$3.0 million being allocated to each port for facilities upgrading.

State Prisons

Chapter 172 of the 1933 Session Laws created a Highway and Public Works Commission "to build and maintain a State system of dependable highways and to maintain and improve the public roads in the several counties at the State's expense; and to that end, make the most economical use of prison labor of the State in the construction, improvement and maintenance of said highways and roads." With this legislation enacted, the State Prison Department turned all control and management of properties and records to the newly created Commission. Defendants sentenced to imprisonment with hard labor were assigned to the newly created Commission which delivered the prisoner to a camp. Other inmates were retained at the central prison in Raleigh where, if physically able, they were to be employed in agricultural or industrial activities.

The Commission was authorized to establish additional camps for use of the Division of Prisons. At the discretion of the Commission, these camps could be of a permanent type of construction or of temporary or movable type. No other department was given any control over their construction. The Commission was given authority to use \$400,000 of bond proceeds (Chapter 152, 1927 Session) to purchase land, construct or remodel building and to establish industries suitable for prison employment.

Eighty-six separate prison camps were located throughout the State by 1955. Seventy-five percent of the inmates were housed at these facilities for the purpose of performing highway maintenance work. The remaining prisoners were confined at the Central Prison, the Women's Prison, and the Raleigh Youth Center, all at Raleigh; the Umstead Youth Center at Butner; Cary Farm in Wake County; and, Caledonia Farm in Halifax County.

By 1961, Highway Fund revenue collections were not equaling the projected estimates so the General Assembly transferred support of the State Prison Department, the Probation Commission and the Board of Parole from the Highway Fund to the General Fund. Five correctional schools which operated under the auspices of the State Board of Correction and Training were already funded from General Fund collections. These five schools- (1) Stonewall Jackson Training School, (2) Morrison Training School, (3) State Home and Industrial School for Girls, (4) Eastern Training School, and (5) State Training School for Girls—housed juvenile clients.

Until the State Government Reorganization of 1973, the correctional schools were managed by the Board of Juvenile Correction. Reorganization placed these institutions plus the Prison, Probation and Parole systems under the Department of Social Rehabilitation and Control. The correctional schools were transferred to the Department of Human Resources in 1975-76 as the Division of Youth Services.

During the 1970's, the Executive Branch recognized that a population explosion was occurring within the correctional system and that aging facilities were in need of major improvements. Governor Holshouser recommended funding for major construction projects for the system to the

State Prisons (Continued)

General Assembly but most of these projects remained unfunded. The General Assembly was forced to appropriate funds to support capital improvements, however, when confronted with several major lawsuits during the 1980s. These lawsuits alleged that the State was operating prisons which had unconstitutional conditions of confinement.

From 1974 to 1984, \$101.7 million was appropriated for new capital construction projects for the prison system. A total of 3,604 beds were constructed and an additional 1,280 beds were obtained through the conversion of facilities transferred from the Department of Human Resources.

The 1985 Session of the General Assembly appropriated \$12.5 million to improve conditions at facilities located in the South Piedmont Area. A consent agreement was reached in September, 1985. As part of the agreement, these funds were expended primarily to 1) eliminate triple-bunking in sleeping areas by constructing five 100-bed dormitories; 2) improve ventilation, lighting, heating, and smoke detection in dormitories; 3) construct recreational facilities; 4) improve medical care; 5) provide adequate clothing and bedding; 6) establish full-time education, vocational, and work programs for 80 percent of the inmates; and 7) provide additional staff for supervising the inmates.

The legislative leadership established the Special Committee of Prisons in December, 1985, and this Committee functioned until the 1990 Short Session. Its charge was to (1) examine the various prison units located throughout the State and report on what should be done to upgrade the physical facilities to meet federal guidelines; and, (2) review the overall corrections system to identify problems resulting from overcrowding, pending litigation, and any other issues pertaining to the operations of the prisons in North Carolina. The Committee was instructed to work with State agencies involved in programs affecting the prison population and to make recommendations to the General Assembly for improving the conditions found.

In March, 1986, the Department of Correction presented to the Special Committee its ten-year plan which included \$203 million for construction and operation of additional beds and for the implementation of more community alternatives. The Special Committee believed that before expending such large amounts of taxpayers' money on capital construction to continue to incarcerate those convicted of non-violent crimes, there was a need to plan very thoroughly for improvements in the existing correctional system and for developing additional alternatives to incarceration. This philosophy was reflected in the prudent balance recommended to the 1986, 1987, 1988, 1989, and 1990 Sessions of the General Assembly for capital items to renovate existing units and construct new beds where necessary and for program items to expand existing community alternative punishment options. These recommendations reflected a plan of action to meet requirements of the various lawsuits filed against the Department of Correction including measures to offer punishment options appropriate to the type of offense committed.

State Prisons (Continued)

Although the General Assembly funded major new facility expansion within the prison system, these new facilities could not adequately handle the influx of prisoners being admitted. A cap on the inmate population was implemented thereby forcing early parole in many cases. Also, the State entered into contracts with other states for the housing of inmates. As new construction was completed, the ceiling for the inmate population was increased. Finally, effective January 1, 1996, the prison cap was repealed.

In 1989, as part of the efforts to reduce the crowding in the prison facilities, Intensive Motivational Program of Alternative Correctional Treatment (IMPACT) was established. The program was intended to be an early release mechanism to encourage inmates to leave prison and reduce crowding. Later, the program became a probation program designed to divert offenders out of the prison system into intermediate sanctions to reduce crowding and cost. In 1993, the General Assembly passed the Structured Sentencing Act, which established priorities in prison sentencing for violent and habitual offenders while requiring lower cost community and intermediate alternatives for less serious offenders. IMPACT is one of these alternatives with the boot camp experience being considered a shock incarceration, or "quick dip," program which is followed by a period of probation. Offered only to men, the program began in January 1991 with admissions at IMPACT East; Phase I of IMPACT West opened on October 21, 1994 followed by Phase II being completed in February, 1995. The 1995 Session of the General Assembly funded a facility for women.

As a result of the study conducted by the Government Performance Audit Committee, it was recommended that thirty small high-cost units should be consolidated into larger replacement units. To date, funds have been appropriated for two new facilities which are being constructed in order to consolidate eight of these thirty units. In addition, two more (Richmond and Moore) of these thirty units have been closed or converted for use other than to house inmates and two other units (Rockingham and Washington) will be closed during FY 1996-97.

The General Assembly has authorized the Department of Correction to invite bids for the operation of two private medium custody facilities in the State. These facilities will total approximately 500 beds each and are expected to house inmates at a cost comparable to or less than that of the Department of Correction.

Since 1985, when the bed capacity totaled 12,082 at 50 square feet per inmate, the prison system has received funds to add 21,918 beds. A total of 3,791 beds have been or will be taken out of operation between 1985 and the end of presently funded construction in October, 1998. Thus, the net increase of beds will be 18,127 and the total capacity at 50 square feet will be 30,209. A ruling by the federal courts expands this number and allows the Department of Correction to house up to 35,334 inmates in the total space to be available by October 1998.

DEPARTMENT OF CORRECTIONS

Year	New Facilities Or Conversion	Repairs/ Renovations	Purpose
1870			State Penitentiary was opened in a log building in Raleigh
1933			Division of Prisons became part of the Highway and Public Works Commission
1961			Division of Prisons, Parole and Probation switched from Highway Fund support to General Fund.
1967	4,200,000		Construction of a 500-man medium custody facility in Western N. C.
1969		500,000	Reserve for Existing facilities
1971	1,495,000 1,380,000 191,000	500,000	72 Bed Special Treatment Facility at Central Prison 96 Man Single occupancy cells at Caledonia Multi-purpose Facility at Western Correctional Center Statewide Improvements reserve
1973	244,000 700,000 1,860,000 2,395,000	250,000	Inmate Education facilities (20 trailers) Vocational Training facilities (20 Pre-engineered Metal buildings) Quarterine Facilities at Caledonia Central Prison Addition & Renovations Statewide Improvements reserve
1974	15,000,000 805,000 600,000 58,500 18,000 70,000 280,000	447,000 13,000 36,000	Confinement Facilities Replacements (2) Vocational Training facilities (20 locations) Sewerage and Water improvements Construction of 3 multi-purpose buildings Fencing of compound Hospital Renovations at Women's CC Storage Building at Bladen Shop Building at Western Correctional Center Emergency generators Mobile classrooms (20 locations)

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DEPARTMENT OF CORRECTIONS

Year	New Facilities Or Conversion	Repairs/ Renovations	Purpose
	80,000		Fencing at Western Correctional Center
1975	6,000,000		Supplement to Confinement Facilities Replacement Reserve
1976	40,000		Multipurpose building/chapel at Bladen Correctional Unit
1977	850,000 2,900,000	1,000,000	Phase 1 of Renovations/Replacement of Central Prison Prison Construction Reserve Repairs/Renovations at Existing Facilities
1978	16,150,000 26,250,000 300,000	3,000,000	Central Prison Replacement Prison Construction Reserve Repairs/Renovations at Existing Facilities Mobile Classrooms
1979	3,500,000	1,000,000	Repairs/Renovations/Reconstruction at Existing Facilities Prison Construction Reserve
1980	20,300,000	1,000,000	Repairs/Renovations/Reconstruction at Existing Facilities Prison Construction Reserve
1981	750,000 220,000		196 Bed Single Cell Facility at Central Prison (planning) Vocational Skills Buildings
1982	8,600,000	100,000	196 Bed Single Cell Facility at Central Prison (construction) Sewer Renovations
1983		412,700	Repairs/Renovations (allocation from Statewide Reserve)
1984	50,000	824,450	Chapel at Harnett Youth Center Repairs/Renovations (allocation from Statewide Reserve)

A-22

DEPARTMENT OF CORRECTIONS

Year	New Facilities Or Conversion	Repairs/ Renovations	Purpose
1985	9,350,000		New Construction (to eliminate triple bunking)
	329,300		Vocational Facility Completion Eastern/Southern units
	325,000		Vocational Facility at Harnett
	1,700,000		Infirmiry Construction at Women's Correctional Center
		2,597,973	Repairs/Renovations/Municipal Water Tie-ins (allocation from Statewide Reserve)
1986		2,393,305	Repairs/Renovations (allocation from Statewide Reserve)
	5,664,000 *		300 Bed Medium Custody Facility, Buncombe County
	2,426,800 *		2 Dorms at Wake County Correctional Center for Women
	3,656,800 *		Work Release Facilities-Guilford/Carteret/Buncombe Counties
	1,230,000 *		Reserve Cumberland County Work Release Facility
	12,977,600 *		Reallocated by Emergency Prison Legislation enacted by 1987 Session
		1,368,348	Renovations for 52 Field Units
		75,500	Renovations/Fencing at Cameron Morrison
		50,000	Chapel, Johnston County Unit
		30,000	Chapel Completion, Harnett Correctional Center

*These items plus \$1,266,000 appropriated in Section 2, of Chapter 1015 (operating budget) were transferred to the Office of State Budget under the Emergency Prison Facilities Development Program enacted by the 1987 Session. Transfer total was \$14,243,600.

	Emergency Prison Legislation	
1986	29,369,290	Emergency Prison Facilities Development Program enacted empowering the Office of State Budget and Management authority, with the assistance of outside professional consultant, to administer expenditures of funds. The items marked * above and the operating budget transfer for a total of \$14,243,600 were transferred plus an additional \$15,125,690 was appropriated for prison construction and improvements. Funds were to be used to (1) construct five 100-bed dormitories, a 300-bed replacement for Craggy Prison, a 100-bed advancement center, and thirty-two 50-bed minimum custody housing units; (2) improve physical support systems at field units; (3) make renovations in existing field unit dormitories; and, (4) contract for outside professional assistance.

DEPARTMENT OF CORRECTIONS

Year	New Facilities Or Conversion	Repairs/ Renovations	Purpose
1987	5,000,000 1,230,000 450,000	 85,000 111,000	Prison Recreation Facilities and Repairs and Renovations Cumberland County Work Release Facility Drainage System, Creswell Unit Chapel Construction, Western Correctional Center Wastewater Treatment Repairs/Design
1988	65,000 93,000 60,000 17,447,391	1,300,000	Wastewater Treatment Facility Upgrading, Caledonia Chapel Completion, Western Correctional Center Chapel Completion, Harnett Youth Center Chapel Construction, Rockingham Prison Unit Appropriation to Office of State Budget for construction of 600 new beds
1989	 114,500 7,269,240 6,480,216 1,386,596 20,069,073 8,146,341 332,200 660,168 193,800 1,718,196	341,700 710,700 1,832,600 55,200	Reserve for electrical, heating ventilation repairs at units Renovate present dorms, add day rooms at Harnett Medium Custody unit Wastewater and water improvements Morrison Youth-fencing for Edwards Dorm Planning for additional dorms, etc at three sites Enterprises, Sign Plant Construction and Expansion Dayrooms Additions Classroom and Vocational Buildings-Renovations and Site Preparation Convert Nash Facility from Minimum to Medium Custody Dorm and Support Facilities Construction at Columbus, Franklin and Sampson Units Female Misdemeanant Processing at Fountain Kitchen Facility Construction/Renovations at Women's Correctional Center DWI Facility, Cherry Hospital (partial funding) Mental Health Facilities, Women's Correctional Center
1990	11,084,791 * 8,295,249 *		Dorm/Support Facilities Construction at 5 Sites Dayrooms Additions/Classroom and Vocational Buildings Renovations/site preparation

9-24

DEPARTMENT OF CORRECTIONS

Year	New Facilities Or Conversion	Repairs/ Renovations	Purpose
* Adjusted to reflect reductions made to authorizations due to revenue shortfall			
	75,000,000		Construction of new beds at Caswell, Columbus, Pender, Western, Burke Youth, Randolph, Montgomery, NC Correctional Institution for Women (A & B Dormitory Replacement), and Anson or Lenoir (House Bill 2245, Chapter 933)
1991	103,380,310		Construction of new beds and enterprises facilities at various sites (Bond Referendum for State Prison and Youth Services Facilities, House Bill 2287, Chapter 935.
1992			Changed beds approved by 1991 Session to 3,104 reflecting a change in custodial level of facilities to be construction. Delayed appropriating the balance of \$87.5 million bond proceeds until 1993 Session.
		497,300	Wastewater System repairs (Odom)
		47,000	Gates County Wastewater
	623,100		New Hanover Correctional Center
		100,000	Greene County Wastewater
1993	87,500,000		Appropriated balance of bond proceeds for construction of new beds includes new facilities and facility expansion
	2,000,000		Prison Facility Consolidation Planning & Design (GPAC recommendation)
	381,500		Dayrooms at Odom Correctional Center
		1,000,000	Water/wastewater improvements
		1,708,400	Repairs/Renovations at Morrison
		1,982,100	Repairs/Renovations at NC Correctional Institution for Women
	412,000		Repairs/Renovations at Black Mt. Women's Unit (actually an addition)
		536,800	Improvements per Sec, 12, Chapter 550 at NC Correctional Institution for Women
		360,700	Polk Youth Center Repairs/Renovations
		381,680	Contingency Reserve for R/R allocations
1994 Crime Session for 1993	21,483,914		Partial cost of constructing new beds
	1,425,000		Drug Alcohol Recovery Treatment (DART)

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DEPARTMENT OF CORRECTIONS

Year	New Facilities Or Conversion	Repairs/ Renovations	Purpose
	1,100,000		Construction of new 90-bed boot camp facility for youthful offenders
1994 Crime Session for 1994	21,006,000		Construction of Eastern Processing Center
	5,358,900		Construct Marion Close Custody unit addition
	10,260,500		Consolidation of five prison units
1994	250,000		Reserve for Prison Chapels
		350,000	Reserve for Fire Safety Improvements (statewide)
		200,000	CFC Conversion (statewide)
		1,500,000	Window Replacements at Piedmont
		1,922,200	Wastewater System Improvements at Caledonia
		500,000	Roof Repairs/Replacements and underground storage tank removal
1995	7,500,000		Prison Bed-Design/preliminary site work for facilities
	637,643		Construction of 60-bed Female boot camp
	25,576,357		New Prison Construction
	813,700		Electronic Intrusion System at NC Correctional Institution for Women
		1,135,600	Renovation of Coltrane Building at Morrison Youth Institution
		316,800	Renovation of Clark Building at Fountain Correctional Center for Women
		530,000	Installation of Electronic Control System at Piedmont Correctional Center at Salisbury
		1,551,900	Exterior Masonry Repairs at Piedmont Correctional Center at Salisbury
		1,000,000	Reserve for Roof Repairs/Replacements (statewide)
		969,450	CFC Chiller System Improvements (statewide)
		1,150,000	Water/Wastewater Improvements & Underground Storage Tank Removal
1996	40,868,700		Prison Beds-Construction
	9,000,000		Prison Construction-Southern Piedmont Area Unit
	5,000,000		Prison Construction-Modular Housing Units
	1,600,000		Prison Construction-Prison Unit Improvements

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DEPARTMENT OF CORRECTIONS

Year	New Facilities Or Conversion	Repairs/ Renovations	Purpose
	2,350,000		Prison Construction-Plan and Design Facilities
		54,900	Fountain CC Upgrade Electrical Service
		1,033,600	Central Prison Chiller System Improvements
		100,000	Substance Abuse Center Building Repairs-Planning
		1,000,000	Reserve for Roof Repairs/Replacements (statewide)
		513,500	Reserve for Electrical System Repairs (statewide)
		845,000	Central Prison Utility System Repairs
		500,000	Fire Safety Improvements at 21 sites
		977,400	Water/Wastewater System Repairs (statewide)

19-27

NORTH CAROLINA NATIONAL GUARD: ARMORY PROJECT CYCLE

Under the provisions of Chapter 188, Title 10 U. S. Code, Facilities for Reserve Components, the U. S. Government through the Department of Defense provides 75 percent of the costs, less site development costs, for development costs and for design and construction of armories for the National Guard. Once a valid need (undersized, cannot be added to) to construct an armory is determined, the Office of the Adjutant General identifies this need to the Chief, National Guard Bureau. This initiates a project cycle that runs—on average—48 months until construction actually starts.

In order to qualify for this Federal funding support, the State agrees to provide at its expense, a site suitable for construction, the cost to develop the site, and 25% of the cost to design and construct the armory.

By policy established in the 1950's by the N. C. Armory Commission, The Department of Crime Control and Public Safety requires the local municipal (city or county) government where the armory will be located to provide the site plus 12.5 percent of the total project's design and construction cost. This equates to one-half of the State of North Carolina's portion for the design and construction of the project. In return for its participation, real estate and funding support, the municipal government is permitted to put a reversionary clause in the property deed when the site is transferred to the State of North Carolina. This reversionary clause provides that once the site is no longer needed for military purposes, it reverts back to the granting municipal government.

Programming for Federal funding support is done directly to the Chief, National Guard Bureau, by the Office of the Adjutant General. The State's legislative appropriation, which is 12.5 percent of the project's design and construction cost, is requested as a Capital Improvement Project through the Department of Crime Control and Public Safety in the appropriated budget fiscal year. The local municipal government's share of the project's cost is arranged for by the Office of the Adjutant General through direct negotiation with the local governing body.

Typical Armory Project Scenario

Item	Activity
1a. (Money)	Initial Step-Commitment by local government (county/city/etc.) to participate with the State and Federal governments in the project. Local government provides 12.5 percent of the total construction and design costs associated with the project and land.

NORTH CAROLINA NATIONAL GUARD: ARMORY PROJECT CYCLE (Continued)

Example	Apportionment		
	Federal	State	Local Share
Total Construction Cost	75%	12.5%	12.5%
\$1,300,000	\$975,000	\$162,500	\$162,500
Site Preparation Cost No Federal Support		\$15,000	\$15,000
A/E Design Costs (6% of Construction Cost)			
\$78,000	58,500	\$9,750	\$9,750
Totals	\$1,033,500	\$187,250	\$187,250

- 1b. (Land) Local government provides site for construction. Land must meet federal requirements. Must have water, sewer, and power to the site. Must be economically developable. Size (acreage) will be dependent on size of facility to be built. Typically, 10 acres. Once approved by the NCARNG, the land will be deeded to the State of North Carolina for the purpose of constructing an armory. Acceptable to include a reversion clause that once the facility and land are no longer needed for military purposes, the land will revert to the grantor (county, city, etc.).
2. All required design money will be required in order to execute the A/E design contract. Exact date for the transfer of funds to the State will be coordinated by the NCARNG (Engineer Office).
3. All required site preparation and construction money will be required in order to execute the construction contract. Exact date for the transfer of the funds to the State of North Carolina will be coordinated by the NCARNG (Engineer Office).

ARMORY LOCATIONS

1938	Henderson	1959	Windsor	1973	Butner
1941	Warrenton	1960	Roxboro	1974	Youngsville
1953	Lenoir	1960	Siler City	1974	Louisburg
1953	Red Springs	1960	Elizabeth City	1974	Mocksville
1954	Oxford	1961	Mount Olive	1974	Boone
1954	Burlington	1961	Farmville	1976	Dunn
1954	Zebulon	1961	Smithfield	1976	Washington
1954	Lexington	1961	Woodland	1976	Whiteville
1954	Williamston	1961	Lincolnton	1977	New Bern
1954	Thomasville	1961	Laurinburg	1977	Monroe
1954*	Kinston	1961	Rockingham	1977	Sanford
1954	Ahoskie	1961	Shallotte	1977	High Point
1955	North Wilkesboro	1961	Belmont	1977	Clyde
1954	Wilmington	1961	Greensboro	1978	Lumberton
1955	Fayetteville	1961	Benson	1978	Scotland Neck
1955	Bladenboro	1961	Wallace	1979	Salisbury
1955	Hickory	1962	Kings Mountain	1980	Gastonia
1955	Tarboro	1962	Asheville	1980	Reidsville
1956	Charlotte	1962	Hendersonville (East Flat Rock)	1981	Edenton
1956	Warsaw			1981	Morganton
1957	Fair Bluff	1963	Elizabethtown	1982	Albemarle
1957	Rocky Mount	1963	Hamlet	1989	Marion
1958	Asheboro	1963	Winston-Salem	1982	Raeford
1958	Southern Pines	1963	Forest City	1984	Roanoke Rapids
				1985	Parkton
1958	Newton	1963	Morehead City	1986	Franklin
1958	Saint Pauls	1964	Raleigh	1987	Concord
1958	Mount Airy	1965	Elkin	1987*	Kinston (Lease Building)
1958	Snow Hill	1965	Sylva		
				1987	Jefferson
1959	Durham	1970	Nashville	1989	Morrisville
1959	Statesville	1970	Fremont	1988	Murphy
1959	Mooresville	1971	Greenville	1990	Wadesboro
		1971	Roseboro	1993	Goldsboro
		1972	Beuleville	1993	Clinton
		1972	Jacksonville	1990	Taylorsville

Total National Guard Armories—100

* Replacement facility scheduled for occupancy in Spring of 1997.

Armories (1991-97)

28-Feb-97

Institution	Fiscal Yr	Project Description	Revised Auth
Armories			
	1991-92	Clinton New Armory (TOTAL COST \$2,608,500)	362,150
	1991-92	Goldsboro New Armory (TOTAL COST \$2,800,800)	371,750
	1991-92	Monroe Armory Roof Replacement	132,000
	1991-92	Underground Storage Tanks Replacement (EPA Requirement)	92,000
	1992-93	Fayetteville NG Armory Construction (Federal/Local funds of \$1,980,000)	315,000
	1992-93	Underground Storage Tank Replacements (3 sites)	300,000
	1993-94	Aerial Reserve Equipment for Emergency Support Missions	275,000
	1993-94	Burlington Armory Roof	92,200
	1993-94	Clyde Roof Project	116,500
	1993-94	Durham Armory Roof Repair	181,900
	1993-94	Fremont/Farmville Armory Roof	144,100
	1993-94	Greenville Armory Roof	113,700
	1993-94	Kings Mountain Armory Roof	90,400
	1993-94	Kinston Replacement of armory facility (Total cost \$3,897,700)	524,700
	1993-94	Lenoir Armory Roof	100,500
	1993-94	Underground Storage Tanks Removal	71,400
	1994-95	Beulaville Armory Renovations (1/2 of request)	50,000
	1994-95	Renovation/Repairs-Warsaw Armory (1/2 of request)	12,500
	1995-96	Beulaville NG armory roof replacement	46,100
	1995-96	C. T. Bowers Military Center roof replacements, Raliegh, NC	551,092
	1995-96	Elizabeth City NG Armory roof replacement	38,051
	1995-96	Elizabethtown Armory Roof replacement	100,600
	1995-96	Elkin NG Armory Roof replacement	85,300
	1995-96	Jacksonville NG armory roof replacement	111,500
	1995-96	Kinston NG Armory additional state match for bid overrun	200,000
	1995-96	Louisburg NG Armory roof replacment	136,900
	1995-96	New Bern NG armory roof replacement	142,800
	1995-96	New Roof Systems for ten OMS shops at NG facilities	62,200
	1995-96	Wadesboro NG Armory emergency foundation set	83,000
	1995-96	Whiteville NG Armory roof replacement	113,911
	1995-96	Zebulon NG armory roof replacement	92,900

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Institution	Fiscal Yr	Project Description	Revised Auth
	1996-97	Butner Armory Roof Replacement	204,900
	1996-97	Carolina Beach Armory Roof Replacement	132,200
	1996-97	Dunn Armory Roof Replacement	177,000
	1996-97	East Flat Rock Armory Roof Replacement	160,500
	1996-97	Lumberton Armory Roof Replacement	183,200
	1996-97	Mocksville Armory Roof Replacement	137,200
	1996-97	Morganton Armory Roof Replacement	227,800
	1996-97	National Guard Armory-Mecklenburg Advanced Planning	87,567
	1996-97	Newton Armory Roof Replacement	161,700
	1996-97	Roseboro Armory Roof Replacement	149,400
	1996-97	Statewide: Remove Underground Storage Tanks	175,000
	1996-97	Washington Armory Roof Replacement	243,100
	1996-97	Windsor Armory Roof Replacement	94,600
	1996-97	Youngsville Armory Roof Replacement	150,600
			7,394,921

Grand Total:

7,394,921

NORTH CAROLINA MUSEUM OF HISTORY RALEIGH, NORTH CAROLINA

The North Carolina Museum of History was located in the Archives and History Building prior to funding of a new facility. The appropriation history for the new facility is as follows:

State Appropriations

1984	Planning for a new facility to house museum	\$391,792
1985	SB 182, Chapter 757 Construction of facility funded. Site selection was site of the visitor center parking lot adjacent to to the Bicentennial Plaza. Section 14 states that building may house the Capital Area Visitors' Center and a cafeteria.	9,100,000
1987	Chapter 795, Section 4 Supplement for facility funded Construction of underground parking and thermal storage facility funded	8,000,000 3,600,000
1988	HB 2427 Underground Parking North end of Bicentennial Mall (General Obligation Bonds for Parking Deck, capacity increased from 500 to 675)	3,500,000
	Equip the Sports Hall of Fame Exhibit	250,000
1990	Reversion of part of Sports Hall of Fame Exhibit during 1990-91 due to economic downturn)	-165,000
1991	SB 843 and HB 1143 (Identical Bills)—Bills were introduced for the purpose of providing \$4,215,800 for capital improvements at the New Museum of History Building including the purchase and installation of security communication systems; equipping the education complex, auditorium, public and staff areas, the design studio, and the exhibition construction shop; designing, constructing, and installing 30,000 square feet of exhibitions, including carpet and display lights; and purchasing and installing collection storage systems. Neither bill was funded.	

NORTH CAROLINA MUSEUM OF HISTORY (Continued)

Section 192, HB 83, Chapter 689 amended G.S. 121-4(16) to allow an agreement with a private nonprofit corporation for the management of facilities to provide food and beverages at the N. C. Museum of History.

1992

Senate Bill 1205, Chapter 1044

a. Supplemental funding for exhibits, furnishings and equipment 2,963,700

b. N. C. Sports Hall of Fame Exhibition

As part of its request for additional funding, the Department indicated that the Women's History exhibition and the N. C. Sports Hall of Fame exhibition were to be matched by private funds. The Women's History exhibition required \$400,000 private funds while the N. C. Sports Hall of Fame's requirement was to be \$500,000. During the Session, however, a N. C. Sports Hall of Fame representative approached the legislative leadership and requested a direct appropriation of \$475,000 in addition to the \$500,000 of state funds already included in the request. Although the Women's exhibit had already received commitments for its private donations, the Sports Hall of Fame had been unsuccessful in attracting donors; also, \$165,000 of the 1988 appropriation had been reverted during 1990-91 leaving only \$85,000 available. 475,000

1993

Funds appropriated for core exhibition design construction installation of the first phase of a permanent exhibit on the history of the state from 1200 BC through the 1980s 5,424,100

1994

Section 12 of Chapter 769 reallocated funds appropriated for the core exhibition design to local projects -700,000

NORTH CAROLINA MUSEUM OF HISTORY (Continued)

PRIVATE DONATIONS FOR CONSTRUCTION

Private donations for components of construction and exhibition have been recorded as shown below:

Construction:

General Construction	\$3,200,000
Exterior Stone	576,755
Interior Stone, Restaurant, Pylons, Metal Coping Planters	61,292
Total	\$3,478,047

Exhibits	\$1,500,000

The Department of Cultural Resources included in its 1995-97 capital budget requests support of \$700,000 to replace the funds reallocated by the 1994 Session. The \$700,000 was requested for equipment to provide the intended high-density storage necessary to move all artifacts, now housed outside the Museum, into the new Museum building. In addition, \$1,392,000 was requested to make the necessary modifications, i.e., plumbing, electrical, etc., for a Museum of History restaurant. The Governor's recommended budget to the 1997 Session of the General Assembly includes \$1.8 million for the purpose of completing space in the new Museum for use as a restaurant. Presently, the restaurant space is bare floor, walls and ceilings. This funding would allow the necessary plumbing, electrical, mechanical ductwork, HVAC, wall/floor finishes, furnishings and restroom fittings to complete the space which be used to support fund-raising events at the museum.

REPAIRS TO FACILITY SINCE CONSTRUCTED

1995-96	Steam Distribution Repairs	\$119,400
	Allocation from Repair/Renovation Reserve	

MUSEUM OF THE CAPE FEAR CUMBERLAND COUNTY

Purpose

The Museum of the Cape Fear is a regional branch/service center of the North Carolina Museum of History. The purpose of the Museum of the Cape Fear is to interpret the culture and the social, economic and political history of primarily the twenty-county region for which it is responsible and, secondarily, the State. Secondarily, the museum serves not-for-profit historical agencies in its region by providing technical and consultative assistance.

The Beginning

In 1983, Fayetteville was chosen as the site for the museum as the city is the region's economic, cultural and transportation center. It also is the most heavily populated community in the region. Selection of a suitable facility was the next step in the process: a 1945 nurses' dormitory. The building had experienced some changes prior to the State's leasing it from Cumberland County in 1984. Its greatest single change; however, came as a result of museum renovations (site consists of six acres).

The first state appropriation to finance renovations came in FY 1984-85. In addition, three local agencies (County of Cumberland, Cumberland Community Foundation and Historic Fayetteville Foundation) each contributed \$10,000 to defray exhibit construction costs. All the bids received exceeded the funds available for renovations. This required the project to be completed in phases; interior renovations was designated Phase I and exterior renovations Phase II.

Phase I began in 1986. Museum staff occupied the building in November 1986, and immediately began construction of the first-floor exhibitions. During FY 1986-87, an additional \$350,000 was appropriated for Phase II. The contract was awarded in the Spring of 1987. Over \$800,000 were invested in the building's interior and exterior. The present facility has approximately 15,000 sq. ft. Plans call for the addition of 4,200 square feet to include two classrooms, additional gallery space and an enlarged exhibits shop.

Concurrent with the above activity, the concept of a collection of historical resources surfaced. The impetus for this "historical complex" were an offer to purchase an 1897 historic house (purchased by the State in late 1987) and the renewed construction of a four-lane, limited access highway through a historic site. The three resources—the museum proper, as described above, the 1897 E. A. Poe House and the Arsenal Park—formed the core of the Museum of the Cape Fear Historical Complex. The latter two resources and their historical significance are as follows:

MUSEUM OF THE CAPE FEAR (Continued)

Arsenal Park—Its History

The first half of the 1800s was a period of expansion in the national system of defense. As a part of this defense strategy, Congress appropriated monies in 1836 for purchasing land and building an arsenal of deposit and general construction in Fayetteville, North Carolina.

The Ordnance Department (the branch of the army which oversaw the construction and storage of arms and ammunition) selected Fayetteville as the site for the United States Arsenal in North Carolina due to the town's strategic location: halfway between the arsenals in Washington, D. C. and Augusta, Georgia; between the coast and the mountains; and on a major north-south route. Fayetteville was also selected in anticipation of a new form of transportation, railroads, providing a reliable and inexpensive means of shipping.

When the construction of a railroad through Fayetteville did not occur, the War Department decided to salvage the \$200,000 spent on the arsenal, while avoiding the appropriation of further monies, by downgrading the facility to one of deposit only. In the mid-1840s, renewed hopes of a railroad connecting Fayetteville to other urban centers revived interest in completing the arsenal as originally planned. The 1850s saw the structural completion of the facility and the installation of rifle manufacturing machinery.

In April 1861, over 1,000 North Carolina militiamen approached the arsenal and demanded its surrender. The defenders, a garrison of Federal troops, complied without incident. Under State control, the renamed Fayetteville Arsenal and Armory soon began manufacturing ammunition, repairing rifles, and modernizing muskets for the Confederacy.

When Confederate forces captured the Harper's Ferry Arsenal and Armory, equipment and gun parts were sent to Fayetteville. To house the new machinery, the Confederate government built out from the arsenal's western wall adding a new timber store, laboratory, rifle factory, and additions to the existing west wall workshops.

The Fayetteville Arsenal and Armory produced two weapons for the Confederacy: the Fayetteville pistol-carbine and the Fayetteville rifle. Plagued by a lack of skilled workmen and constant sabotages, the facility produced only 10,000 weapons between 1862 and 1865.

The arsenal's greatest contribution to the war effort was in providing ammunition. At least fifty to sixty women were employed to fill cartridges and perform other tasks. Arsenal records for a seven month period in 1864 show that 900,000 rounds of small arms ammunition were manufactured as well as signal rockets and artillery shells. On March 11, 1865, the United States, under General William Sherman, marched on Fayetteville with the purpose of seizing and destroying the Fayetteville Arsenal and Armory. The facility was blasted and battered to the ground three days later.

MUSEUM OF THE CAPE FEAR (Continued)

Arsenal Park—Development

Plans call for a 175 seat amphitheater on the 4.5 acres site. Dramatic interpretation of the facility will focus on the foundations that once supported the arsenal's west walls, two of its towers, and a line of shops as well as the Arsenal House. The Arsenal House will serve as the Arsenal Park's interpretive center. A 1862 officer's quarters will house the site's museum. The Culbreth House (c.a. 1840) will serve as the visitor-orientation center. With a forward-thinking partnership among the City of Fayetteville, the state of North Carolina, and the private sector, this development has been on-going. To date over \$1.0 million has been invested in this project.

E.A. POE HOUSE-ITS HISTORY

The 1897 E. A. Poe House is located on Bradford Avenue adjacent to the Museum of the Cape Fear. On the National Register of Historic Places, the Poe House was purchased by the State in 1986 for the purpose of using it as a historic house museum. Following complete restoration, estimated in 1993 to cost \$519,000, the house will be the focus for the interpreting social and cultural history of the late Victorian period.

The House was built for the E. A. Poe family. Mr. Poe was a native of Fayetteville and married Josephine Montague of Wake County in 1880. He became a successful businessman, operating a brickyard and acquiring numerous properties in the county. In the mid-1880s, Mr. Poe began a sideline business to his brick company. He brought in potters to throw jugs and pots, stamping them "E. A. Poe" and "Poe & Co." The museum has a collection of this utilitarian ware in its pottery exhibit.

The house was designed by a Pennsylvania architect and constructed by local builder Ruffin Vaughn. The two-story, frame house is characterized by a wrap-around porch on the first floor and a one-bay porch projecting over the main entrance on the second story. It has Eastlake detailing the porch spindles and scroll work.

The inside of the house has changed little in its more than 90-year history. Wainscoting and decorative application to the ceilings are found in the house as well as the original gas fixtures (although these were converted to electricity). Descendants of E. A. Poe have donated a substantial collection of original family furnishings to the museum. Cosmetic exterior work totaling \$38,000 were done. The Museum received \$613,000 from the 1995-96 R/R Account to restore the House. Additional funds were allocated for FY 96-97. When completed, the House and its grounds will interpret social and cultural history of the late nineteenth and early twentieth centuries.

Museum's Success

Since opening in June, 1988, the Museum has contributed a heightened historical consciousness and desire for greater cultural fulfillment throughout southeastern North Carolina. Mission-

MUSEUM OF THE CAPE FEAR (Continued)

driven exhibitions and programs presented through a variety of media have elevated history's worth throughout the region. Employing lectures, films, guided tour, living-history programs, demonstrations, historical dramatizations and provocative special and permanent exhibitions ranging from Native Americans to the Viet Nam era and many points in between, the Museum has succeeded in weaving itself in the region's fabric.

Future Plans

Renewed construction in 1988 of the Central Business District Loop (CBD) highway precipitated discussions on the need for a comprehensive plan for creation of the Museum Complex. By May 1992, a committee of regional leaders under the auspices of professional management counsel had developed a long-range plan identifying its vision statement, goals and objectives, and staffing needs as well as financial statements. In April 1993, a "New Beginnings" capital campaign kicked off with a goal of \$2.4 million needed to cover identified projects for enhancing the Museum's programs. (By the Fall of 1994, non-state funds of \$725,000 had been received)

The plan as adopted was altered by the acquisition of the Archangel Michael Antiochene Maronite Catholic Church, a facility located across from the museum building on Arsenal Avenue. The property consist of a church building, rectory and fellowship hall. The church may be used a multi-seat auditorium; the rectory may be used as administrative offices/library/visiting scholar and intern residence, and conference room; and, the fellowship hall may be used for collections and/or general storage and a darkroom. Five-hundred thousand (\$500,000) of the funds appropriated to the Department of Cultural Resources for land acquisition by the 1995 Session were allocated for the purchase of these facilities.

The Governor's budget recommendations to the 1997 Session of the General Assembly includes \$1.1 million of the expansion and further development of the Museum. The Department's request was \$1,995,500 for a Museum Proper addition of 9,200 sq. ft. and development of the Arsenal Park.

Museum of the Cape Fear Capital Outlay Funding History

1984-85	Funds appropriated for the purpose of renovating Highsmith-Rainey Nursing School building for use as museum (15,000 sq ft)	
	Section 9 of HB 1376	\$130,000
	Section 69 of SB 187	220,000
1986-87	Funds appropriated for museum renovations	392,435
1987-88	Funds appropriated for museum renovations	125,000
1991-92	Funds allocated from Repairs/Renovation Reserve for structural repairs	50,000
1993-94	Receipts from Cumberland County Foundation	50,000
1994-95	Funds appropriated for Museum Renovations	250,000
	Reallocation of 1993-94 appropriation originally made for Museum of History Core Design Project (Section 12 of SB 1505) to the Museum	300,000
1995-96	Allocation from Department's Reserve for the purpose of purchasing property	500,000
	Allocation from Repair/Renovation Reserve for Renovation of Poe House	613,000
1996-97	Allocation from Repair/Renovation Reserve to Replace Roof on Poe House	112,000

Source: Institutional History prepared 11/7/94 and Capital Campaign Synopsis of 9/94

MUSEUM OF THE ALBEMARLE PASQUOTANK COUNTY

The Museum of the Albemarle was established in 1967 when the state turned over to a nonprofit organization, The Museum of the Albemarle, Incorporated, an old highway patrol station located on U. S. 17 South, just east of Elizabeth City. The North Carolina Museum of History assisted with the initial programming and with plans for renovating the patrol station into a museum. From 1967 until 1979, the museum steadily grew in programming and community service. An additional wing, designed and constructed by professional and nonprofessional volunteers, was added in 1978.

In 1979, the Museum of the Albemarle was turned over to the State of North Carolina to become the first of three regional service centers of the North Carolina Museum of History. Continuing expansion of collections, programs and exhibitions has created need for additional space. The existing facility of approximately 10,000 square feet offers only 4,600 square feet of exhibition space, 823 square feet of storage space within the building, and no classrooms, meeting rooms, auditorium or catering area to serve community, educational and social activities.

In 1989, recognizing the need for a new facility, the Elizabeth City city council voted to turn over to the State a 2 acre site on Water Street across the water front in the downtown area (the property was purchased in 1994). Also, the General Assembly appropriated funds for planning/design of a new facility. As proposed, the new facility will total approximately 50,000 square feet. The new facility, estimated in 1990, to cost \$6.0 million will have approximately 11,000 square feet of exhibition space, 7,000 square feet of collections storage space, a 200-seat auditorium, three classrooms, a meeting/conference room, an orientation room, a catering kitchen, technical support facilities---a library, design studio, work spaces and offices. The Governor's recommendations to the 1997 Session of the General Assembly include \$1.0 million for planning and site development of a new 50,000 square foot facility. The estimated construction costs have been increased to \$8.0 million.

New Facility Funding History

- 1989-90 \$150,000 appropriated for planning; \$59,484 was reverted during 1990-91
- 1990-91 \$75,000 appropriated but appropriation was rescinded through executive action due to revenue shortfall
- 1991-92 Department requested \$85,900 to complete planning
- 1992-93 \$150,000 appropriated (restored reverted funds)

MUSEUM OF THE ALBEMARLE (Continued)

1993-94 \$1,000,000 appropriated to complete design; however, special language allowed use of funds for land purchase in downtown Elizabeth City (\$795,160 expended for this purpose) leaving a balance of \$204,840.

Repairs and Renovations to Existing Facility

1995-96 Allocations from Repair/Renovation Reserve:
\$21,200 for Roof Repairs
9,400 for Underground Storage Tank

1996-97 Allocations from Repair/Renovation Reserve:
\$ 6,400 HVAC and Security Improvements

WATER RESOURCES DEVELOPMENT

The U. S. Corps of Engineers began in 1824 when Congress appropriated funds, for the first time, for improving water navigation. Offices in Washington and Baltimore served the entire nation until 1884. Decentralization led to a District Office in Wilmington, North Carolina.

North Carolina has benefited from numerous water resources development projects for several decades. These projects have ranged from beach erosion control along the coast to large water supply/flood control projects such as Falls Lake. In addition, many small watershed projects have benefits local communities.

In 1979, the General Assembly enacted legislation providing a statutory policy for State financial assistance for water resources development projects. House Bill 582 (G.S. 143-215.70 et seq.) addressed Corps of Engineers and Tennessee Valley Authority projects and projects carried out by a state-local partnership without federal assistance. House Bill 1224 (G.S. 139-53 et seq.) addressed small watershed projects (Public Law 566). State financial assistance for grants for the non-federal costs are shown below:

Project Type	G.S.143-215.70 PL 99-662	G.S. 139-53 PL 83-566
General navigation	80%	
Recreation navigation	25%	
Drainage	66 2/3%	
Stream Restoration	66 2/3%	
Beach Protection		75%
Recreation	50%	50%
Aquatic Weed Control	50%	-
Land Rights		50%
Engineering Fees		50%
Water Supply		50%
Drainage or irrigation		66 2/3%
Fish and Wildlife Conservation		75%

The Water Resources Act of 1986 (PL 99-662) provides the framework for the Corps to develop a cost-sharing partnership with local sponsors—generally, state or local governments or other public entities such as flood control districts or State Ports authorities. PL 99-662 requires a feasibility study be shared equally between a sponsor and the Corps but construction cost shared with the Corps depends on the project's purpose and may range from 10 to 50 percent.

WATER RESOURCES DEVELOPMENT (Continued)

Project Types

The Chief of Engineers has been delegated Congressional authority to approve certain projects up to specified dollar amounts (subject to availability of funds). Continuing authorities by type of project and the statutory federal cost limitation per project are as shown:

- **Navigation--\$4,000,000* (Section 107, 1960 River and Harbor Act as Amended)**
Includes dredging of channels, harbors, and turning basins for commercial and recreation vessels
- **Flood Control--\$5,000,000 (Section 205, 1948 Flood Control Act, as Amended)**
Includes construction of structural works such as levees, floodwalls, reservoirs for storage of floodwaters and channel improvements or non-structural measures such as the removal of flood prone facilities from the flood plain or the installation of a flood warning system.
- **Flood Erosion--\$2,000,000 (Section 103, 1962 River and Harbor Act, as Amended)**
Includes construction of revetments, groins, jetties and placement of sand on public beaches
- **Snagging and Clearing for Flood Control--\$500,000 (Section 208, 1954 Flood Control Act, as Amended)**
Includes one-time removal of snags, trees, and channel excavation and improvements, etc., in rivers and waterways.
- **Emergency Streambank, and Shoreline Erosion Protection--\$500,000 (Section 14, 1946 Flood Control Act, as Amended)**
Includes construction, repair, restoration and modifications of streambanks to protect highways, bridge approaches, churches, hospitals, schools, water and sewer treatment works and other non-profit public services.
- **Prevention or Mitigation of Shore Damage Attributable to Federal Navigation Works--\$2,000,000 (Section 111, 1968 River and Harbor Act as Amended)**
Covers investigations, study and construction of projects to prevent or compensate for any damages done along the coastal and Great Lakes shorelines caused by Federal Navigation project.

*In addition to per project limit, the Federal expenditure for construction and operation maintenance are limited to the greater of \$4.5 million or 2.25 times Federal cost of the project.

Other Assistance

The Corps of Engineers may also provide (1) technical and engineering assistance of streambank erosion (Section 55, Water Resources Act of 1974); (2) flood plain management services

WATER RESOURCES DEVELOPMENT (Continued)

(Section 206, Flood Control Act of 1960); and, (3) planning assistance (limited to \$300,000) to states* (Section 22 of the Water Resources Development Act of 1974).

*For planning assistance, the project type allows the Corps to assist with the preparation of comprehensive plans for the development, utilization, conservation of water and related land resources. A match of 50% required has been required since 1991.

Project Initiation

Projects are initiated through a written request of a local political subdivision, i.e., state, county, city or other. This request initiates a federally funded Reconnaissance (Recon) Report which must answer: (1) if there is a need for the Federal Government to do the project; (2), if continuing authorizing program allows the Federal Government to participate; (3) if the local sponsors can satisfy legal and financial requirements; and, (4) if cost-benefit analysis is favorable.

The four phases of development for a project are as follows:

- Reconnaissance—At the request of a local government determine if the project is plausible, including whether project benefits will exceed the costs.
- Feasibility—The Corps addresses the technical, economic and environmental aspects of the water resource need and assesses the proposed project's environmental impacts.
- Preconstruction engineering and design.
- Construction.

Sponsors, in addition to cost-sharing, may be required to guarantee the following services:

- to acquire real estate for construction needs and/or relocate structures and occupants out of the project area.
- to operate and maintain project after construction.
- to enforce flood plain zoning regulations.
- to hold and save the federal government free from damages due to construction.
- to assure that public access to docking area, boat supplies, and public beaches and facilities are maintained.

Small Grant Watershed Program

General Statutes 139 Article IV empowers the Soil and Water Conservation Commission to authorize water resource grants to local sponsors of USDA Natural Resources Conservation Services (formerly SCS). P. L. 566 projects authorized by the Watershed Protection and Flood

WATER RESOURCES DEVELOPMENT (Continued)

Prevention Act of 1954. Purposes eligible for grant consideration are flood prevention, water supply, agricultural water management, water based recreation and conservation of wildlife habitat. Project sponsors are units of government, primarily counties and drainage districts.

Federal cost share for P. L. 566 projects is 100% for flood control construction and 50% for water quality construction and 50% for recreation facilities including landrights. Local sponsors have responsibility for the remaining construction costs and the entire landrights acquisition except for recreation sites. Through the Small Watershed Grant Program, the State can share the local financial burden at a 50% rate for most project except for a 66.667% rate for agricultural water management and a 75% rate for wildlife habitat measures.

Water Resources Development Plan

In 1990, the Department of Environment, Health, and Natural Resources proposed that a six-year plan for State funding of Corps of Engineers, Soil Conservation Service, and State-local projects, including requirements for feasibility studies, construction and operation and maintenance be adopted. This six-year plan would show all water resources projects, including their planning stage for which financial aid applications have been received by the Department; and, it would reflect the Department's recommended actions, funding, deferral, or elimination. This plan would be referred to the General Assembly after the Governor's review. This proposal was adopted by the 1991 Session of the General Assembly; however, this adoption offered no assurance that funds would be appropriated. The first Water Resources Development Plan was published by the Department in 1993; they have since been updated at proper intervals.

State Cost-Sharing

The following table shows the projects—both Corps of Engineers and local—that have received state cost-sharing funds since 1981. The projects are listed in alphabetical order. Listed below is a summary by fiscal year of all project funding.

Year	State Cost	Local Cost	Federal Cost
1980-81	\$1,778,975	\$372,410	\$4,550,777
1981-82	4,034,633	898,417	7,181,483
1982-83	350,867	47,197	2,575,389
1983-84	242,530	81,658	713,225
1984-85	3,208,483	773,995	7,133,646
1985-86	742,515	359,525	1,154,287
1986-87	504,656	221,240	2,109,792
1987-88	1,690,939	588,334	5,754,000
1988-89	2,373,688	977,832	7,466,851

WATER RESOURCES DEVELOPMENT (Continued)

Year	State Cost	Local Cost	Federal Cost
1989-90	143,400	35,850	-
1990-91	1,461,600	320,216	6,325,534
1991-92	1,398,600	347,500	5,342,400
1992-93	1,838,400	190,100	3,848,500
1993-94	7,502,100	521,600	10,398,200
1994-95	22,334,000	902,000	28,674,000
1995-96	1,865,000	575,500	2,185,000
1996-97	8,705,000	2,494,500	20,418,000

Note: 1994-95 state amount includes \$10.5 million for Wilmington Harbor; and, \$9.4 million for Falls Lake Recreation interest payment.

Works Projects

1981-82 --1996-97

Year	Project	Location (County)	Sponsor	State Cost	Local Cost	Federal Cost
1986-87	Ahoskie Creek Snagging	Hertford	County	23,192	11,596	-
1994-95	Aquatic Plant Control	Statewide	State/Local	150,000	150,000	45,000
1988-89	Aquatic Plant Control	Statewide	State/Local	40,000	20,000	60,000
1990-91	Aquatic Plant Control	Statewide	State/Local	35,000	1,500	50,000
1991-92	Aquatic Plant Control	Statewide	State/Local	35,000	15,000	50,000
1992-93	Aquatic Plant Control	Statewide	State/Local	34,400	14,800	44,500
1993-94	Aquatic Plant Control	Statewide	State/Local	150,000	135,000	100,000
1995-96	Aquatic Plant Control (includes Lake Gaston)	Statewide	State/Local	150,000	125,000	45,000
1996-97	Aquatic Plant Control (includes Lake Gaston)	Statewide	State/Local	200,000	175,000	45,000
1984-85	Ararat River	Surry	County	667,000	333,000	4,000,000
1990-91	Asheville Canoe & Kayak	Buncombe	Town of Asheville	30,000	30,000	0
1986-87	Asheville Riverfront Planning	Buncombe	City of Asheville	20,000	20,000	0
1988-89	Atlantic Beach Navigation	Carteret	Town of Atlantic Beach	70,000	30,000	0
1981-82	Beaufort Harbor	Carteret	State	58,755	0	269,790
1986-87	Beaufort Harbor	Carteret	County	92,914	23,229	419,082
1987-88	Beaufort Harbor	Carteret	County	31,560	10,440	0
1996-97	Beaufort Harbor	Carteret	County	80,000	20,000	125,000
1988-89	Belhaven Waterfront	Hyde	County	60,000	30,000	0
1983-84	Bogue Inlet	Onslow	County	22,000	5,500	0
1988-89	Brunswick County Drainage District	Brunswick	County	60,000	30,000	0
1988-89	Brunswick County Shallotte River	Brunswick	County	36,000	18,000	0
1988-89	Buffalo Creek Restoration	Guilford	County	30,000	15,000	0
1980-81	Bulkhead Channel*	Carteret	County	3,600	720	0
1996-97	Cape Fear-Northeast Cape Fear Deepening	New Hanover	State	530,000	0	1,000,000
1988-89	Cape Fear River, N. E.	New Hanover	State	201,000	50,250	0
1995-96	Cape Fear River, N. E. Dredging	New Hanover	County	130,000	32,500	0
1990-91	Cape Fear River, N. E. Navigation	New Hanover	County	278,000	69,500	0
1991-92	Cape Fear River, N. E. Navigation	New Hanover	County	278,000	69,500	0
1988-89	Cape Lookout Ferry Channel	Carteret	National Park Service/State	123,295	0	25,000
1980-81	Carolina Beach	New Hanover	County	204,299	68,100	916,617
1984-85	Carolina Beach	New Hanover	County	702,732	234,244	795,048
1981-82	Carolina Beach	New Hanover	County	3,307,921	825,000	5,297,376
1982-83	Carolina Beach Inlet	New Hanover	County	46,240	11,560	75,606
1987-88	Carolina Beach Renourishment	New Hanover	Carolina Beach	825,000	275,000	800,000
1994-95	Carolina Beach Renourishment	New Hanover	Carolina Beach	871,000	290,000	2,158,000
1996-97	Carolina Beach South (Kure Beach) Beach Protection	New Hanover	City/County	3,580,000	1,200,000	9,500,000
1981-82	Cedar Island Fish Marketing Facility	Carteret	County	8,865	1,773	0
1980-81	Cedar Island Fish Marketing Facility*	Carteret	County	8,865	1,773	0
1989-90	Collington Bay Navigation	Dare	County	143,400	35,850	0
1982-83	Collington Bay*	Dare	County	7,280	7,280	0
1984-85	Collington Bay*	Dare	County	161,606	40,402	0
1991-92	Corps Feasibility Study	Wilson	City of Wilson	29,700	29,700	59,400
1990-91	Corps of Engineers Feasibility Studies	Statewide	State/Local	30,200	30,200	60,400

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**Civil Works Projects
FY 1981-82 --1996-97**

Year	Project	Location (County)	Sponsor	State Cost	Local Cost	Federal Cost
1996-97	Corps of Engineers Feasibility Studies	Statewide	Local Governments	100,000	100,000	200,000
1996-97	Currituck Sound Flow Study	Currituck	State	100,000	0	0
1986-87	Dan River Public Access	Rockingham	Town of Madison	11,694	11,694	0
1987-88	Dan River Public Access	Stokes	County	49,361	49,361	0
1987-88	Dan River Public Access	Rockingham	City of Eden	22,084	22,084	0
1987-88	Dan River Public Access	Rockingham	County	14,403	14,403	0
1987-88	Dan River Public Access	Rockingham	Town of Madison	38,167	19,083	0
1987-88	Dan River Public Access	Stokes	County	11,000	11,000	0
1995-96	Dare County Beaches	Dare	County	100,000	145,000	290,000
1994-95	Dare County Beaches Feasibility Study	Dare	County	200,000	200,000	400,000
1996-97	Dare County Beaches Feasibility Study	Dare	County	200,000	200,000	400,000
1988-89	Dillard's Creek Restoration	Chowan	County	14,650	7,325	0
1990-91	East Arcadia Drainage	Bladen	County	40,000	20,000	0
1983-84	Eastham Creek*	Pamlico	County	40,170	17,090	0
1987-88	Edenton Waterfront Park	Chowan	Town of Edenton	16,000	16,000	0
1996-97	Emergency Flood Control Projects (Section 14)	Statewide	Local Governments	75,000	37,500	450,000
1994-95	Falls Lake Recreation (Interest Repayment)	Wake/Durham/Granville	State	9,400,000	0	0
1996-97	Far Creek Maintenance	Hyde	County	280,000	70,000	1,000,000
1987-88	Flood Control Study	Brunswick	Town of Long Beach	7,746	7,746	0
1981-82	Gideon Creek*	Pamlico	County	25,800	5,160	0
1990-91	Great Coharie Creek Cleaning/Snagging	Sampson	County	26,000	13,000	0
1981-82	Gull Rock*	Hyde	County	59,890	11,978	0
1986-87	Hanging Rock-Dan River Access	Stokes	State	24,500	0	0
1981-82	Hardy Creek*	Carteret	County	86,254	17,251	0
1985-86	Harlowe Canal*	Carteret	County	12,000	5,000	0
1988-89	Havelock Canal Flood Control	Carteret	County	5,040	18,180	0
1987-88	Hawkins Creek Navigation	Onslow	Town of Swansboro	19,848	3,069	0
1990-91	Hawkins Creek Restoration	Onslow	Town of Swansboro	8,667	3,333	0
1988-89	Hickory Boat Access	Catawba	City of Hickory	50,000	150,000	0
1983-84	Holly Shelter*	Pender	County	10,000	5,403	0
1987-88	Jack Smith Creek Flood Control	Craven	City of New Bern	50,000	25,000	0
1988-89	Johnston County Drainage District	Johnston	County	24,049	12,025	0
1992-93	Jordan Lake Water Supply Repayment	Chatham/Wake	State	110,000	50,300	0
1993-94	Jordan Lake Water Supply Repayment	Chatham/Wake	State	123,900	61,500	0
1994-95	Jordan Lake Water Supply Repayment	Chatham/Wake	State	127,000	65,000	0
1995-96	Jordan Lake Water Supply Repayment	Chatham/Wake	State	125,000	63,000	0
1996-97	Jordan Lake Water Supply Repayment	Chatham/Wake	State	130,000	65,000	0
1982-83	Joyce Creek	Camden	County	78,383	27,917	206,312
1983-84	Joyce Creek	Camden	County	5,160	16,225	16,225
1992-93	Lake Gaston hydrilla Control	Warren/Halifax	Lake Gaston Weed Contract	100,000	125,000	100,000
1990-91	Lake Waccamaw Canal	Columbus	Town of Lake Waccamaw	20,000	10,000	0
1980-81	Little River Inlet*	Brunswick	County	81,200	16,240	0
1987-88	Little River Restoration	Pasquotank/Perquimans	Pasquotank County	12,500	10,500	0

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Works Projects

81-82 --1996-97

Year	Project	Location (County)	Sponsor	State Cost	Local Cost	Federal Cost
1993-94	Local Projects	Statewide	Local Governments	359,000	215,400	0
1981-82	Lockwoods Folly	Brunswick	County	74,120	18,530	270,450
1987-88	Lower Creek Flood Control	Caldwell	City of Lenoir	71,000	71,000	142,000
1981-82	Lumber River*	Robeson	County	11,663	8,734	0
1984-85	Lumber River*	City of Lumberton	City of Lumberton	3,140	1,570	0
1990-91	Mackey's Creek Roper	Washington	County	4,667	2,333	0
1983-84	Manteo to Wanchese Channel	Dare	State	10,000	0	7,785
1984-85	Manteo to Wanchese Channel	Dare	State	911,826	0	0
1985-86	Manteo to Wanchese Channel*	Dare	State	169,953	0	0
1987-88	Mayo River Public Access	Rockingham	Town of Mayodan	7,535	8,575	0
1987-88	Mayo River Public Access	Rockingham	Town of Stoneville	7,143	7,143	0
1980-81	Morehead City Harbor	Carteret	State	312,330	0	866,580
1982-83	Morehead City Harbor	Carteret	State	40,080	0	625,940
1984-85	Morehead City Harbor	Carteret	State	55,000	0	361,169
1986-87	Morehead City Harbor	Carteret	State	47,455	0	508,728
1987-88	Morehead City Harbor	Carteret	State	130,000	0	130,000
1988-89	Morehead City Harbor	Carteret	State/Local	28,000	0	1,775,000
1992-93	Morehead City Harbor Deepening	Carteret	State	395,000	0	1,185,000
1993-94	Morehead City Harbor Deepening	Carteret	State	3,278,000	0	6,088,000
1996-97	Morehead City Harbor Maintenance Dredging	Carteret	State	50,000	0	2,748,000
1983-84	Morgan Creek*	Carteret	County	27,200	5,440	168,771
1990-91	Morganton River Park	Burke	City of Morganton	35,800	35,800	0
1988-89	Neuse River Bank Protection	Pamlico	Town of Oriental	48,500	24,250	0
1996-97	New Hanover County Spoil Disposal	New Hanover	County	125,000	31,000	0
1986-87	New River Public Access	Ashe	County	9,045	9,045	0
1996-97	North & Manteo Channel Maintenance Dredging	Dare	State	400,000	0	0
1993-94	North Channel Maintenance Dredging	Dare	State	1,275,000	0	0
1981-82	Oregon Inlet to Manteo Channel	Dare	County	33,440	8,360	345,200
1982-83	Oregon Inlet to Manteo Channel	Dare	County	1,760	440	42,592
1984-85	Oregon Inlet to Manteo Channel	Dare	County	43,200	36,800	384,949
1986-87	Oregon Inlet to Wanchese Channel	Dare	County	124,800	31,200	1,181,982
1986-87	P. W. Moore School Drainage	Pasquotank	County	49,560	24,780	0
1986-87	Perquimans River Piling Removal	Perquimans	Town of Hertford	23,600	11,800	0
1995-96	Planning Assistance to Communities	Statewide	Local Governments	50,000	50,000	100,000
1996-97	Planning Assistance to Communities	Statewide	Local Governments	75,000	75,000	150,000
1990-91	Planning Assistance to State C.O.E.	Statewide	State	9,000	0	9,000
1991-92	Planning Assistance to States	Raleigh	State	9,000	0	9,000
1988-89	Plymouth Conoby Creek	Washington	County	8,670	8,670	0
1986-87	Plymouth Waterfront Park	Washington	Town of Plymouth	26,500	26,500	0
1988-89	Potecasi Creek Navigation	Hertford	County	1,950	998	0
1986-87	Rich Fork Creek Study	Davidson	County	35,296	35,296	0
1987-88	Rocky Branch Restoration	Wake	State	15,500	0	0
1996-97	Rollinson Channel Maintenance	Dare	County	400,000	100,000	1,000,000

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**Civil Works Projects
FY 1981-82 --1996-97**

Year	Project	Location (County)	Sponsor	State Cost	Local Cost	Federal Cost
1990-91	Salters Creek	Carteret	County	115,200	28,800	0
1988-89	Salters Creek Navigation	Carteret	County	7,200	1,800	0
1990-91	Scuppernong River Piling Removal	Tyrrell	County	2,500	1,250	0
1987-88	Scuppernong River Restoration	Tyrrell	County	3,800	1,900	0
1990-91	Siler City, Lincoln Heights	Chatham	Town of Siler City	45,000	22,500	0
1980-81	Silver Lake Harbor	Hyde	State	75,000	0	358,096
1981-82	Sneads Ferry*	Onslow	County	8,155	1,631	0
1986-87	South Yadkin River Access	Davie	County	16,100	16,100	0
1988-89	Southern Shores Navigation	Carteret	County	29,000	11,000	0
1990-91	Stanback Park, Mount Gilead	Montgomery	Town of Mount Gilead	17,000	8,500	0
1991-92	State-Local Water Development Projects	Statewide	Local Governments	338,900	203,300	0
1994-95	State-Local Water Development Projects	Statewide	Local Governments	210,000	150,000	0
1995-96	State-Local Water Development Projects	Statewide	Local Governments	276,000	160,000	0
1996-97	State-Local Water Development Projects	Statewide	Local Governments	380,000	190,000	0
1988-89	Stokes County Boat Access	Stokes	County	3,350	3,350	0
1987-88	Stream Restoration	Hertford	Town of Murfreesboro	7,998	4,000	0
1991-92	Stumpy Point Bay	Dare	County	120,000	30,000	0
1990-91	Stumpy Point Bay Maintenance Dredging	Dare	County	120,000	30,000	0
1983-84	Swanquarter Bay	Hyde	County	128,000	32,000	520,444
1988-89	Tar River Boat Access	Pitt	City of Greenville	19,250	10,750	0
1994-95	Town of Oriental Bulkhead	Pamlico	Town of Oriental	91,000	416,000	410,000
1994-95	Waccamaw River Management Study	Brunswick/Columbus	State	75,000	1,000	0
1996-97	Walters Slough	Dare	County	500,000	125,000	0
1994-95	Wanchese Channel Dredging	Dare	State	282,000	0	900,000
1996-97	Wanchese Channel Dredging	Dare	State	100,000	0	900,000
1988-89	Wanchese Maintenance (Island H)	Dare	County	499,000	0	400,000
1988-89	Wanchese Maintenance (Pea Island)	Dare	County	54,234	54,234	100,000
1980-81	Washington County Stream Restoration*	Washington	County	43,155	21,577	0
1985-86	West Lexington Streambank	Davidson	County	2,450	1,225	0
1988-89	West Neck Creek Study	Currituck	State	49,000	0	0
1987-88	Wet Ash Swamp Snagging	Brunswick	County	32,560	16,280	0
1996-97	Whittaker Creek	Pamlico	County	425,000	106,000	0
1987-88	Wiccacon River Public Access	Hertford	Town of Harrelsville	12,500	12,500	0
1980-81	Wilmington Harbor	New Hanover	State	258,526	0	1,575,154
1982-83	Wilmington Harbor	New Hanover	State	177,124	0	1,624,939
1984-85	Wilmington Harbor	New Hanover	State	510,000	0	1,592,480
1985-86	Wilmington Harbor	New Hanover	Federal	258,112	0	1,154,287
1987-88	Wilmington Harbor	New Hanover	State	301,984	0	4,682,000
1988-89	Wilmington Harbor	New Hanover	State	310,000	0	3,888,351
1981-82	Wilmington Harbor	New Hanover	State	309,600	0	698,053
1990-91	Wilmington Harbor	New Hanover	State	374,566	0	5,936,134
1996-97	Wilmington Harbor Anchorage Basin Widener	New Hanover	State	400,000	0	1,200,000
1994-95	Wilmington Harbor Channel Widening	New Hanover/Brunswick	State	72,000	0	0

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Works Projects
1981-82 --1996-97

Year	Project	Location (County)	Sponsor	State Cost	Local Cost	Federal Cost
1990-91	Wilmington Harbor Comprehensive Study	New Hanover	State	270,000	0	270,000
1991-92	Wilmington Harbor Comprehensive Study	New Hanover	State	270,000	0	270,000
1992-93	Wilmington Harbor Comprehensive Study	New Hanover	State	750,000	0	750,000
1993-94	Wilmington Harbor Comprehensive Study	New Hanover	State	608,000	0	608,000
1994-95	Wilmington Harbor Deepening Study	New Hanover	State	300,000	0	380,000
1995-96	Wilmington Harbor Deepening Study	New Hanover/Brunswick	State	374,000	0	400,000
1991-92	Wilmington Harbor Maintenance Dredging	New Hanover	State	318,000	0	4,954,000
1992-93	Wilmington Harbor Maintenance Dredging	New Hanover	State	449,000	0	1,764,000
1993-94	Wilmington Harbor Maintenance Dredging	New Hanover	State	1,016,000	0	1,887,000
1994-95	Wilmington Harbor Maintenance Dredging	New Hanover	State	56,000	0	1,881,000
1995-96	Wilmington Harbor Maintenance Dredging	New Hanover	State	660,000	0	1,350,000
1996-97	Wilmington Harbor Maintenance Dredging	New Hanover/Brunswick	State	575,000	0	1,700,000
1981-82	Wilmington Harbor NE Cape Fear River	New Hanover	State	50,169	0	300,614
1994-95	Wilmington Harbor Ocean Bar	New Hanover/Brunswick	State	10,500,000	0	22,500,000
1988-89	Wilmington Passing Lane	New Hanover	State	169,800	0	169,800
1988-89	Wilmington Turns and Bends	New Hanover	State	151,700	0	151,700
1980-81	Wrightsville Beach	New Hanover	County	792,000	264,000	834,330
1993-94	Wrightsville Beach Renourishment	New Hanover	Wrightsville Beach	329,100	109,700	815,200
1988-89	Wrightsville Beach Renourishment	New Hanover	County	280,000	482,000	897,000
1985-86	Wrightsville Beach*	New Hanover	County	300,000	353,300	0
1984-85	Yadkin Access Areas*	Multiple	Mutiple	153,979	153,979	0
1987-88	Yadkin River Public Access	Yadkin	County	3,250	3,250	0

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FOREST RESOURCES

Overview

North Carolina's forest land is one of the greatest influences on the state in terms of economic value and quality of life. Forestry is the second largest industry in the state, with an annual economic impact of \$14 billion and represents 150,000 jobs for North Carolinians. The Division of Forest Resources is mandated and directed by Chapters 77, 113, and 143 of the General Statutes and by Title 15, Chapter 9, of the North Carolina Administrative Code to protect, manage and conserve the forest resources of the state. The processes used to accomplish this involve management of existing resources, development and establishment of better quality forests and protection of these resources to meet present and future needs.

The primary emphasis in carrying out the programs involved in these objectives is directed at the state's more than 330,000 private forest landowners who own the majority of the 18.7 million acres of commercial forest land in North Carolina.

Specific activities the Division is involved with are forest management assistance to private landowners, reforestation services, forest fire prevention and suppression, and insect and disease control programs. Other activities are operation of tree seedlings nurseries, long range forestry planning and technical development, water quality controls, urban forestry assistance, training and support to volunteer fire departments and forestry education.

Division programs are delivered by the County Forest Ranger and staff in each of the 100 counties in North Carolina. Professional assistance is provided in technical areas by foresters and specialists on the 13 district staffs who are responsible for managing all programs administered by the counties within that district.

To best serve the state effectively, the Division's operations are divided into regional and district offices. These units are as follows:

Regions	Regional Offices	County of Regional Office
Region 1	Kinston	Lenoir
Region 2	Lake Jordan	Chatham
Region 3	Asheville	Buncombe

Districts	District Offices	Counties included in District
District 1, Region 3	Asheville	Buncombe, Henderson, Madison, Yancey, Mitchell, McDowell, Rutherford, Polk

FOREST RESOURCES (Continued)

District 2, Region 3	Lenoir	Alleghany, Ashe, Watauga, Wilkes, Avery, Caldwell, Alexander, Burke
District 3, Region 2	Rockingham	Anson, Chatham, Lee, Moore, Montgomery, Stanly, Richmond, Scotland
District 4, Region 1	New Bern	Onslow, Jones, Carteret, Pamlico, Craven, Pitt, Beaufort, Lenoir
District 5, Region 2	Rocky Mount	Warren, Northampton, Halifax, Franklin, Nash, Edgecombe, Wilson, Wayne, Greene
District 6, Region 2	Fayetteville	Robeson, Hoke, Cumberland, Sampson, Johnston, Harnett
District 7, Region 1	Elizabeth City	Gates, Hertford, Bertie, Martin, Currituck, Camden, Pasquotank, Perquimans, Chowan
District 8, Region 1	Whiteville	Brunswick, Columbus, Bladen, New Hanover, Pender, Duplin
District 9, Region 3	Sylva	Cherokee, Clay, Graham, Swain, Macon, Jackson, Haywood, Transylvania
District 10, Region 2	Lexington	Surry, Stokes, Rockingham, Forsyth, Yadkin, Davie, Rowan, Davidson, Randolph, Guilford
District 11, Region 2	Hillsborough	Caswell, Person, Granville, Vance, Alamance, Orange, Durham, Wake
District 12, Region 3	Mt. Holly	Cleveland, Gaston, Lincoln, Catawba, Iredell, Cabarrus, Union, Mecklenburg
District 13, Region 1	Fairfield	Washington, Tyrrell, Dare, Hyde

Forestry Headquarters Facilities

Adequate administrative offices and support facilities are required at the county and district level for the Division to provide mandated programs. Many existing headquarters buildings were constructed in the 1930's and 1960's. Some units are housed in leased spaces. In 1995, the Division had 62 locations where there are no county facility or the building is considered as inadequate to meet the needs of the public.

FOREST RESOURCES (Continued)

The 1993 Session of the General Assembly appropriated \$1,488,100 for county headquarters facilities in Scotland, Mitchell, Henderson, Davidson, Wayne, Johnston, and Graham counties. The 1995 Session of the General Assembly appropriated \$750,000 for each of the fiscal years to be used for the replacement of facilities at county and district headquarters sites. Allocations from these reserve accounts and project status as of 10/18/96 are as follows:

1993-94 Forestry Headquarters Reserve-\$1,448,100

Note: \$110,100 of the 1995-96 Reserve was used to complete District 6 Headquarters, Mitchell, Wayne, and Johnston Headquarters projects originally funded from the 1993-94 Reserve.

<u>Project Title/ Location</u>	<u>Allocation</u>	<u>Amount under Contract</u>	<u>Status</u>
Fayetteville District	\$367,100	\$328,682	Construction Complete
Scotland County	\$144,802	\$157,490	Construction Complete
Mitchell County	\$161,567	\$157,490	Construction Complete
Henderson County	\$154,600	\$155,629	Construction Complete
Davidson County	\$211,407	\$198,653	Construction to be Complete in 30 days
Wayne County	\$223,609	\$161,258	Construction Complete
Graham County	\$88,400	Force Account	Construction Complete
Johnston County	\$206,715	\$182,771	Construction Complete
Total 1993-94 Reserve	\$1,558,200	\$1,419,472	
		(Includes Graham Force Account Project)	

1995-96 Forestry Headquarters Reserve--\$750,000

<u>Project Title/Location:</u>	<u>Allocation</u>	<u>Amount under Contract</u>	<u>Status</u>
Completion of Fayetteville District, Mitchell, Wayne and Johnston Counties Office Building	\$110,100	\$110,100	See 1993-94 Reserve

FOREST RESOURCES (Continued)

Project Title/Location:	Allocation	Amount under Contract	Status
Equipment Storage Buildings:			
Johnston County	\$78,861	\$5,033	Bid Negotiation
Davidson County	\$78,861	\$5,033	Design Complete
Cumberland County	\$16,650	\$1,708	Design Complete
Mitchell County	\$16,650	\$4,107	Design Complete
Henderson County	\$16,650	\$4,108	Design Complete
Wayne County	\$16,650	\$1,707	Design Complete
Total 1995-96 Reserves	\$334,422	\$131,796	

1996-97 Forestry Headquarters Reserve

Project Title/Location	Allocation	Amount under Contract	Status
Rutherford County	\$276,441	\$25,861	Design Development Review
Transylvania County	\$257,520	\$25,861	Design Development Review
Pender County	\$319,731	\$25,861	Design Development Review
Brunswick County	\$289,761	\$25,861	Design Development Review
Sampson County (Design only)	\$22,125	\$22,167	Design Development Review
Total	\$1,165,578	\$125,611	

The Forestry Division could well be facing a crisis. Hurricane Fran, in September of 1996, downed many, many acres of trees. Over fifty counties of the state were declared as disaster areas. With so many trees down and with increased housing in remote areas of the state, the Division's needs for more fire-fighting equipment and adequate storage facilities for this equipment need to be addressed.

The Governor's recommendations to the General Assembly for 1997-99 include support of \$4.0 million for the purchase of a reconditioned amphibious water scooping tanker aircraft for the purpose of fighting forest fires.

Young Offenders Forest Conservation Program

This program is a cooperative effort with the Division of Prisons to train and utilize non-violent young inmates. The program offers rehabilitation and education opportunities to inmates while at the same time provides important services in managing the natural resources of the state. The

FOREST RESOURCES (Continued)

program started in 1985, primarily as a result of the serious mountain fires North Carolina experienced that year. The idea was not new, but those fires spurred the Division to action.

The Young Offenders Forest Conservation Program (formerly known as BRIDGE) was first established in Burke County with 18 minimum security inmates ranging in ages 18 to 21 who began an intensive training program in mountain fire control in January, 1986. The Burke County program was designed to be temporary, until a permanent home could be found for the program. In 1987, the Avery County Board of Commissioners invited the first permanent camp to be built in their county. Construction on the camp began with money appropriated by the General Assembly. The inmates, called crewmen, were used to construct the camp, with guidance from the Division and contractors.

The camp was opened in November, 1990. A second dorm has been added at the facility, bringing its maximum capacity to 48.

Even though the camp was built in Avery County, the Burke County program is still up and running. County commissioners there found it to be so useful, they requested it continue to operate. This also better serves the Division's needs because fires more frequently occur in that area. The Burke Youth Center houses 50 crewmen.

The program's primary goal is to provide a well-trained and equipped fire fighting force ready at a moment's notice. A secondary goal is to give the young men who participate a sense of work ethic and as many skills as possible to help them feel good about themselves and be able to find jobs when they are released.

Through 1995, over 1,200 crewmen have gone through the program. They are paid the standard rate of \$1.00 per pay. This results in a \$35 to \$1 benefit for the Division over hiring fire crewmen from the general population. In its first four years of operation, \$500,000 worth of work was performed at a cost of approximately \$16,000.

With the opening of the Avery Camp (also known as the Blue Ridge Youth Center), the Division of Forestry has been challenged to operate it and maintain the Burke County program with no increase in operational funds or manpower. The Division of Prisons currently provides officers for work project and fire control supervision.

Educational Forest

The state operates 6 education state forests which were established to teach the public about the forest environment and its proper management. Programs are presented to school children and workshops are conducted for teachers. Annual attendance exceeds 140,000. The size of the forests range from 150 to 1,000 acres. The six state forests are as follows:

FOREST RESOURCES (Continued)

- **Clemmons located in Johnston County near Clayton**

Clemmons State Forest: This forest was named for Moody Clemmons who directed a Civilian Conservation Corps camp on the site in the 1920s and 1930s. Clemmons was the first supervisor of the forest after it was established in 1974. In 1996, he sold the state 175 acres for a forest addition. The purchase was paid for with an \$875,000 grant from the Recreation and Natural Heritage Trust. (Source N/O article 1996)

- **Holmes located in Henderson County near Hendersonville**

During 1996, the Du Pont Company agreed to sell approximately 7,639 acres of land holdings in Henderson and Transylvania Counties to the Conservation Fund. The Division of Forest Resources hopes to acquire all of this land as funds become available. In December, the Council of State approved the consideration of \$995,000, funds provided by the Natural Heritage Trust Fund, for the purchase of 535 acres plus improvements (a one-story frame house, a one-story frame classroom building, and a firing range). This tract will become part of the Holmes Educational State Forest. (Council of State Agenda, December, 1996)

- **Tuttle located in Caldwell County near Lenoir**

- **Rendezvous Mountain located in Wilkes County near Wilkesboro**

- **Turnbull Creek located in Bladen County near Elizabethtown**

- **Jordan Lake located in Chatham County on Jordan Lake**

**NORTH CAROLINA MUSEUM OF NATURAL SCIENCES
(NEW FACILITY)
RALEIGH, NORTH CAROLINA**

The 1879 legislature created the natural sciences museum as the state's official research institution and repository for its natural history. The present museum facility was opened in 1925.

The 1986 General Assembly approved the initial planning for a new State Museum of Natural Sciences. The new facility is across the Bicentennial Plaza from the new North Carolina Museum of History with its main entry to be from the Museum Mall.

As designed the new museum will feature a 200-foot mammal hall with a 32 foot high ceiling to accommodate four whale skeletons, a dramatic "North Carolina Mountains to the Sea" hall, a three-story glass-walled tropical conservatory and North Carolina Unique Fossil Hall. Permanent exhibits will be displayed on four floors; other features will include an auditorium, a Discovery Room, teaching labs, a terrace for dining, a catering kitchen, meeting rooms, staff offices, and an expanded museum store.

The new museum replaces the existing facility located in the Agriculture Building Annex. Most of the present building is 40-year old converted office space that provides 52,000 square feet, of which only 19,000 square feet is usable for exhibits. Cramped facilities allow only one classroom and the display of less than 10% of over 400,000 specimens in their collection. Lack of temperature and humidity controls have placed the total collection in jeopardy. Dr. Vincent Wilcox of the Smithsonian Institution in his 1991 evaluation stated, "its facilities are sadly deficient in most all aspects. Some of them are condemned and unhealthy structures for collections or people."

During the construction phase of the facility, the Department will be seeking to acquire an additional \$16 million of which one-half or \$8 million in private donations for exhibit design and development. By mid 1996, private donations totaled \$4.8 million. The Department of Environment, Health and Natural Resources received \$400,000 in planning money for exhibits during the 1995 Session. For 1996-97, the Department sought \$7.6 million to build the exhibits (a project estimated to take two years). Five hundred thousand (\$500,000) was appropriated for this purpose. The Governor's capital recommendations for 1997-99 includes \$9.0 million in Other Reserves to be allocated by the Office of State Budget and Management among the departments which requested support for exhibit construction, including units at the State Historic Sites.

NORTH CAROLINA MUSEUM OF NATURAL SCIENCES (Continued)

FUNDING HISTORY

1986	Funds appropriated for design development phase; completed in 1989	\$500,000
1989	Funds appropriated to complete construction documents	410,000
1990	Supplement provided for completion of construction documents (funds to be available in 1/91)	90,000
1991	1989 and 1990 funds reverted to nontax revenues due to economic downturn	-500,000
1992	Funds re-appropriated for the purpose of completing the construction documents (cost increase related to design changes reflecting new Federal requirements)	750,000
1994	Funds appropriated for the construction of the new museum (\$29.5 million) and a 18,241 sq. ft. single story Wet Lab Collection Facility (\$1.4 million) on 23 acres off Blue Ridge Road (funds appropriated to the Department of Administration) Ground Breaking held Dec., 1994	30,934,500
1995	Construction grant awarded in November, 1995 Planning money for exhibits	400,000
1996	Additional funds appropriated to continue planning and design of exhibits	500,000
	Total	\$33,084,500

It is anticipated that failure to fully fund the planning and design of exhibits at this time will delay the opening of the Museum by one year.

APPROPRIATIONS
Subcommittee on Capital & Budget

Minutes
April 1, 1997

The APPROPRIATIONS Subcommittee on Capital & Budget held a meeting on Tuesday, April 1, 1997 at 12:00 p.m. in room 643 of the Legislative Office Building. The members present were Representatives Carolyn Russell, Cochair, Gene Wilson, Cochair, Gene Arnold, Cherie Berry, Donald Bonner, Joanne Bowie, Walter Church, Sr., Jerry Dockham, Beverly Earle, Charlotte Gardner, Bobby Hall, Verla Insko, William Ives, Joe Kiser, Frank Mitchell, Richard Moore, Jane Mosley, Alex Warner and Nurham Warwick. Staff-Lynn Muchmore, Janice Wheeler, Emily Johnson, Phyllis Pickett, and Clerk- Rebecca Jones were also present.

Representative Carolyn Russell, Cochair, called the meeting to order at 12:10 p.m. Representative Russell introduced Charlotte Todd. Ms. Todd went over the background on Senate Bill 286. She distributed a handout to the committee. This was made part of the minutes. Attachment 1.

Dr. Berry Russell told the committee the plan for the community college system. Representative Holmes wanted to know if the community college system had a list of those industries they have funded in this program. Dr. Russell said that he would be happy to provide that list. Representative Bonner wanted to know whether or not these funds have been expended for assisting those work first welfare recipients in terms of job training skills. Ms. Todd said only to the extent that the people who were employed in those new industries were meeting the qualifications. There is know ear marking for that purpose.

The Secretary of the Department of Commerce, Norris Tolson, briefly answered questions from the committee about the community college system.

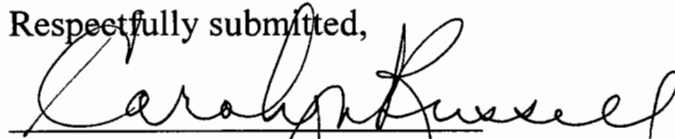
Representative George Holmes made a motion to give Senate Bill 286 a Favorable Report. The motion passed and was sent to the full committee on APPROPRIATIONS.

Representative Russell adjourned the meeting at 12:50 P.M.

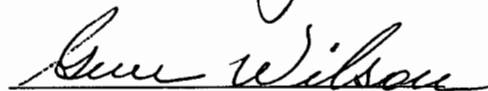
ATTACHMENTS

Attachment 1- Charlotte Todd Fiscal Research.

Respectfully submitted,



Representative Carolyn Russell
Cochair



Representative Gene Wilson
Cochair



Rebecca Jones
Clerk

House Appropriations Committee
Capital and Budget Subcommittee

Agenda

April 1, 1997

1. Consideration of Senate Bill 286

Continued Discussion of Governor's Capital Recommendations:

2. Department of Administration

- Visitor's Center

3. Department of Environment, Health, and Natural Resources

- Water Resources Development and Watershed Projects
- Blue Ridge Parkway - Scenic Vistas
- Amphibious Water Scooping Tanker Aircraft
- Museum of Natural Science Exhibits



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MEMORANDUM

FROM: Charlotte Todd
Fiscal Research Division

DATE: March 20, 1997

TO: New and Expanding Industry

BACKGROUND

During the 1996 Session the State Board of Community Colleges requested an additional \$4.5 million in its expansion budget for the New and Expanding Industry program. The program's current budget is \$6,153,541 plus approximately \$2.7 million in carryforward money. Because of the section in the Executive Budget Act (G.S. 143-16.3) that prohibits agencies from transferring funds to expand programs that were turned down by the General Assembly for an expansion budget request, the Department of Community Colleges cannot spend more than the General Assembly appropriated for the New and Expanding Industry program.

On December 13, 1996 the President Pro Tempore of the Senate and the Speaker of the House wrote a "Memorandum of Understanding" to Marvin Dorman, the State Budget Officer, clarifying their interpretation of legislative intent with regard to this section of the law. They indicated it is their interpretation that the Governor could allow the Department of Community Colleges to transfer funds within authorized appropriations to cover the shortfall in the New and Expanding Industry program (see Attachment 1). In addition, they asked that the Department and the State Budget Office work to secure additional funding from the General Assembly.



CURRENT PROBLEM

After the Memorandum of Understanding was received by the State Budget Office, the Department of Community Colleges notified them that the projected commitments made for those funds would far exceed the amount of any potential reversions (see Attachment 2). The additional amount needed after using the appropriation, carryforward money, and anticipated reversions is approximately \$4.7 million (see Attachment 3).

The Department of Community Colleges indicates that if it doesn't receive additional funds during the current fiscal year, it will not be able to fund the existing commitments made to new and expanding industries for FY 1996-97.

When the Department of Community Colleges made its report on this program to the Joint Legislative Education Oversight Committee in December, 1996, several of the Committee members questioned the process by which those funds are allocated. Concerns were raised about the lack of criteria used to establish funding priorities. In addition, the fact that approximately 75% of the funds are given to the industries to train their own employees raised questions from some Committee members. Another issue involves the payment of lease costs to companies to lease their own equipment or buildings.

Since these concerns surfaced, Dr. Barry Russell, the new Senior Vice-President at the Department of Community Colleges has created a task force devoted to addressing all of these issues. The group is in the process of creating a draft plan that balances the need for flexibility with the need for public accountability.

PROPOSED SOLUTION

The Governor has submitted a request to the President Pro Tempore of the Senate and the Speaker of the House for an emergency appropriation of \$4.7 million for the New and Expanding Industry program during the current fiscal year out of unreserved general fund monies (see Attachment 4). In response to the Governor's request, SB 286, "New & Expanding Industry Funds", has been introduced which would appropriate an additional \$4.7 million during FY 1996-97 for this program to cover the existing commitments to industries (see Attachment 5). In addition, Section 3 of this bill requires the Department of Community Colleges to develop an accountability plan for the expenditure of these funds.

Page Three
March 20, 1997

The Governor also has requested an additional \$8 million in non-recurring funds for the 1997-98 fiscal year in his recommended expansion budget request for this program. That request is currently under consideration by the Appropriations Committee (see Attachment 6).

I hope this has provided you with the background you requested on this issue. Please don't hesitate to call if you need anything further.

CT:ce

Attachments

Attachment 1



NORTH CAROLINA GENERAL ASSEMBLY
LEGISLATIVE BUILDING
RALEIGH 27601

December 13, 1996

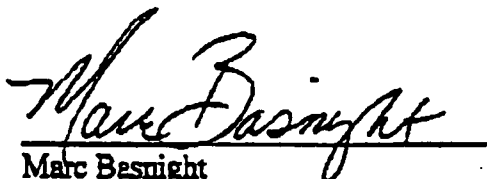
MEMORANDUM OF UNDERSTANDING

TO: Marvin K. Dorman, Jr.
State Budget Officer

General Statute 143-16.3 states that no funds from any source, except gifts, grants or the Contingency and Emergency Fund, may be expended for any purpose for which funding has been petitioned and deliberated before a committee of the General Assembly but not appropriated. The purpose of this memorandum is to clarify legislative interpretation of this legislation and to advise of legislative intent to amend this legislation in 1997 Session of the General Assembly.

It is the interpretation of our offices that this legislation does not prohibit the Governor from transferring funds within authorized appropriations to a Department for purposes which have been deliberated by the General Assembly but insufficient funds have been appropriated for that program and when unforeseen events have occurred which require Executive action. This intent also extends to limitations which may be imposed by G.S. 143-23. We will support legislation in the 1997 Session which will amend G.S. 143-16.3 and 143-23 to clarify our intentions in the statutes.

Consistent with our intent stated above, we ask that you work with the appropriate legislative staff to secure funding for the New & Expanding Training Program in the Department of Community Colleges to meet critical worker training needs that have arisen as a result of the location and expansion of several businesses and industries in our state. We ask that you keep our office advised of the actions you take in securing this funding.


Marc Basnight
President Pro Tempore


Harold J. Brubaker
Speaker of the House



Attachment 2

NORTH CAROLINA COMMUNITY COLLEGE SYSTEM

January 15, 1997

LLOYD V. HACKLEY
PRESIDENT

ALUMANCE COMMUNITY COLLEGE
 ANSON COMMUNITY COLLEGE
 ASHEVILLE-BUNCOMBE
 TECHNICAL COMMUNITY COLLEGE
 BEAUFORT COUNTY
 COMMUNITY COLLEGE
 BLADEN COMMUNITY COLLEGE
 BLUE RIDGE COMMUNITY COLLEGE
 BUNNICK COMMUNITY COLLEGE
 CALDWELL COMMUNITY COLLEGE
 & TECHNICAL INSTITUTE
 CAPE FEAR COMMUNITY COLLEGE
 CARTERS COMMUNITY COLLEGE
 CATAWBA VALLEY
 COMMUNITY COLLEGE
 CENTRAL CAROLINA
 COMMUNITY COLLEGE
 CENTRAL FIDMONT
 COMMUNITY COLLEGE
 CLEVELAND COMMUNITY COLLEGE
 COASTAL CAROLINA
 COMMUNITY COLLEGE
 COLLEGE OF THE ALBEMARLE
 CRAWFORD COMMUNITY COLLEGE
 DAVIDSON COUNTY
 COMMUNITY COLLEGE
 DURHAM TECHNICAL
 COMMUNITY COLLEGE
 EDGEcombe COMMUNITY COLLEGE
 FAYETTEVILLE TECHNICAL
 COMMUNITY COLLEGE
 FORTYTH TECHNICAL
 COMMUNITY COLLEGE
 GASTON COLLEGE
 GUILFORD TECHNICAL
 COMMUNITY COLLEGE
 HALIFAX COMMUNITY COLLEGE
 HAYWOOD COMMUNITY COLLEGE
 ISOTHERMAL COMMUNITY COLLEGE
 JAMES SPRUNT COMMUNITY COLLEGE
 JOHNSON COMMUNITY COLLEGE
 KENNESAW COMMUNITY COLLEGE
 MAXWELL COMMUNITY COLLEGE
 MCDOWELL TECHNICAL
 COMMUNITY COLLEGE
 MITCHELL COMMUNITY COLLEGE
 MONTGOMERY COMMUNITY COLLEGE
 NASH COMMUNITY COLLEGE
 PAMlico COMMUNITY COLLEGE
 PIEDMONT COMMUNITY COLLEGE
 PITT COMMUNITY COLLEGE
 RANDOLPH COMMUNITY COLLEGE
 RICHMOND COMMUNITY COLLEGE
 ROANOKE-CHOWAN
 COMMUNITY COLLEGE
 RUTHERFORD COMMUNITY COLLEGE
 SAMPSON COMMUNITY COLLEGE
 SANDHILLS COMMUNITY COLLEGE
 SOUTHEASTERN COMMUNITY COLLEGE
 SOUTHWESTERN COMMUNITY COLLEGE
 STANTON COMMUNITY COLLEGE
 STARR COMMUNITY COLLEGE
 TAYLOR COMMUNITY COLLEGE
 VANCE COMMUNITY COLLEGE
 VANCE-GRANVILLE
 COMMUNITY COLLEGE
 WAKE TECHNICAL
 COMMUNITY COLLEGE
 WAYNE COMMUNITY COLLEGE
 WESTERN FIDMONT
 COMMUNITY COLLEGE
 WILKES COMMUNITY COLLEGE
 WILKINSON TECHNICAL
 COMMUNITY COLLEGE
 WILSON COMMUNITY COLLEGE
 WILSON TECHNICAL
 COMMUNITY COLLEGE
 WILSON TECHNICAL
 COMMUNITY COLLEGE

The Honorable Dennis A. Wicker
 Chairman, State Board of Community Colleges
 Office of the Lieutenant Governor
 116 West Jones Street
 Raleigh, NC 27603-8006

Dear Chairman Wicker:

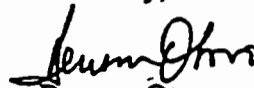
In response to your request of January 10, 1997, the following information is provided regarding the fiscal status of the New and Expanding Industry Training Program and our projections for cash balances as of June 30, 1997.

Dr. James Dixon, Vice President for Academic and Student Services, estimates program expenditures between November 1996 and June 30, 1997, to be \$8,640,823 for the ongoing projects and another \$1,050,000 for potential business expansion or location projects. This is a total of \$9,690,823. We currently have \$3,031,186 available in the program which leaves an estimated unfunded balance of \$6,659,637.

Using the spending pattern of our colleges through December 1996, it is projected that there will be between \$2,000,000 and \$2,500,000 of unspent allocations from the System at the end of the fiscal year. This means that the potential shortfall is between \$4,659,637 and \$4,159,637.

Please let me know if you need any additional information.

Sincerely,


 Benson Otovo

Vice President for Business and Finance

c: President Lloyd V. Hackley

5

NORTH CAROLINA COMMUNITY COLLEGE SYSTEM

Economic Development Services

New/Expanding Industry Projects

Estimated Budget Requirements

November, 1996 thru June, 1997

SUMMARY

	Budget	5% Administrative Allowance	Total
Western Region	\$ 898,867	\$ 44,943	\$ 943,810
Piedmont Region	3,447,651	172,383	3,620,034
Northeastern Region	3,122,600	156,130	3,278,730
Southeastern Region	760,237	38,012	798,249
SUB-TOTAL	\$8,229,355	\$411,468	\$8,640,823
"Potential Development"	1,000,000	50,000	1,050,000
TOTAL	\$9,229,355	\$461,468	\$9,690,823

Revised December 19, 1996

**SUMMARY OF NEW AND EXPANDING INDUSTRY FUNDS
FY 1996-97**

Appropriation:	\$6,153,541
Carryforward:	\$2,702,127
Anticipated Reversions:	\$2,000,000

TOTAL REVENUES AVAILABLE:	\$10,855,668

Funds Expended:	-5,824,482
Additional Commitments and Projected Commitments	-9,690,823

ANTICIPATED SHORTFALL:	-\$4,659,637



STATE OF NORTH CAROLINA
OFFICE OF THE GOVERNOR
RALEIGH 27603-8001

JAMES B. HUNT JR.
GOVERNOR

February 27, 1997

Senator Marc Basnight
President Pro Tempore of the Senate
Legislative Building
Raleigh, North Carolina

Dear Senator Basnight:

As you are aware, the Department of Community College has a shortfall in its funds required to provide training for employees in new and expanded industries here in North Carolina. In order to fulfill commitments made for the current fiscal year, 1996-97, the Department needs an additional \$4.7 million. I am requesting, as authorized by the Executive Budget Act (G.S. 143-15), an emergency appropriation for the current fiscal year of \$4.7 million to come from unreserved general fund monies remaining in the 1996-97 credit balance.

My warmest personal regards.

Sincerely,

A handwritten signature in black ink, appearing to read "J.B. Hunt Jr.", written over a large, stylized oval.

James B. Hunt Jr.



STATE OF NORTH CAROLINA
OFFICE OF THE GOVERNOR
RALEIGH 27603-8001

JAMES B. HUNT JR.
GOVERNOR

February 27, 1997

Representative Harold J. Brubaker
Speaker of the House
Legislative Building
Raleigh, North Carolina

Dear Speaker Brubaker:

As you are aware, the Department of Community College has a shortfall in its funds required to provide training for employees in new and expanded industries here in North Carolina. In order to fulfill commitments made for the current fiscal year, 1996-97, the Department needs an additional \$4.7 million. I am requesting, as authorized by the Executive Budget Act (G.S. 143-15), an emergency appropriation for the current fiscal year of \$4.7 million to come from unreserved general fund monies remaining in the 1996-97 credit balance.

My warmest personal regards.

Sincerely,

A handwritten signature in black ink, appearing to read "J.B. Hunt Jr.", written over a large, stylized circular flourish.

James B. Hunt Jr.

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1997

S

1

SENATE BILL 286

Short Title: New & Expanding Industry Funds.

(Public)

Sponsors: Senators Perdue, Plyler, and Odom.

Referred to: Appropriations.

March 3, 1997

1 A BILL TO BE ENTITLED
2 AN ACT TO MAKE AN EMERGENCY APPROPRIATION, ON THE REQUEST
3 OF THE GOVERNOR, TO THE NEW AND EXPANDING INDUSTRY
4 TRAINING PROGRAM.

5 Whereas, the New and Expanding Industry Training Program in the
6 Department of Community Colleges assists companies creating new jobs in North
7 Carolina by providing training for new employees; and

8 Whereas, the Program provides customized training to new or prospective
9 employees in specific job skills needed by new or expanding industries; and

10 Whereas, the Program supports local, regional, and State economic
11 development goals by offering entry-level training at no cost to companies that are
12 creating new jobs in the State; and

13 Whereas, the General Assembly provided \$10,800,000 to the Program for
14 the 1996-97 fiscal year from a combination of appropriations, carryforward funds, and
15 transfers; and

16 Whereas, funds available in the Department of Community Colleges
17 budget for transfer to the Program will not meet current demand for Program
18 services; and

19 Whereas, due to the extraordinary growth of new and expanding industry
20 in the State, the Program will need an additional \$4,700,000 for the 1996-97 fiscal
21 year to meet current demand for Program services; and

22 Whereas, the Executive Budget Act authorizes the General Assembly to
23 make an emergency appropriation to the Program for the 1996-97 fiscal year upon
24 the request of the Governor; and

1 Whereas, the Governor has made such a request in a letter to the
2 President Pro Tempore of the Senate and the Speaker of the House of
3 Representatives; Now, therefore,

4 The General Assembly of North Carolina enacts:

5 Section 1. There is appropriated from the 1996-97 unreserved credit
6 balance in the General Fund to the Department of Community Colleges the sum of
7 four million seven hundred thousand dollars (\$4,700,000) for the 1996-97 fiscal year
8 to cover the shortfall in the New and Expanding Industry Training Program.

9 Section 2. The provisions of G.S. 143-16.3 shall not apply to the New
10 and Expanding Industry Training Program for the 1996-97 fiscal year.

11 Section 3. The State Board of Community Colleges shall, after
12 consultation with the Department of Commerce, develop a comprehensive plan for
13 allocating New and Expanding Industry Training Program funds. The plan shall
14 include program criteria and accountability measures.

15 Effective July 1, 1997, the plan shall apply to all funds allocated for the
16 New and Expanding Industry Training Program.

17 Section 4. This act is effective when it becomes law.

N.C. State Budget, 1997-99: Summary of Recommendations
General Fund - Expansion - Continued

Department of Community Colleges

	<u>1997-98</u>	<u>1998-99</u>
1. New and Expanding Industry Nonrecurring funds are recommended for the New and Expanding Industry training program, which assists companies creating new jobs in North Carolina by providing training for new employees. Customized training is offered to new or prospective employees in specific job skills needed by new or expanding industries. Local, regional and state goals for economic development are supported by offering entry-level training at no cost to companies creating new jobs in the state. The program requires additional funds to respond to more requests than can currently be funded with the existing New and Expanding Industry Training budget.	\$ 8,000,000	\$ -
2. Equipment and Books Nonrecurring funds are recommended for 1997-98 to purchase information technology, educational, and training equipment (\$8,000,000) and library books (\$1,950,000). The funds are allocated by formula to each of the 58 community colleges.	9,950,000	-
3. Specialized Technology Centers It is recommended that the State Board of Community Colleges receive additional funding for the specialized technology centers. These centers provide focused support and technical assistance to industries of vital economic importance to North Carolina's economy. This recommendation includes \$425,000 for the North Carolina Center for Applied Textile Technology, \$200,000 for the Hosiery Technology Centers, and funds to develop two additional Specialized Technology Centers to support key North Carolina industries.	-	687,500
4. Reward Excellence in Community College Teaching Nonrecurring funds are recommended for the State Board of Community Colleges for distribution to the colleges of a 0.5% average salary increase for teaching faculty members for state fiscal year 1997-1998. This salary increase is to be given to teaching faculty who have demonstrated excellence in teaching, in accordance with State Board policies.	1,300,000	-
5. Salary Increase A 3% salary increase effective July 1, 1997 is recommended for the teaching faculty and all other campus personnel supported from state funds.	13,711,301	13,711,301
Total Recommended Expansion for the Department of Community Colleges	\$ 32,961,301	\$ 14,398,801

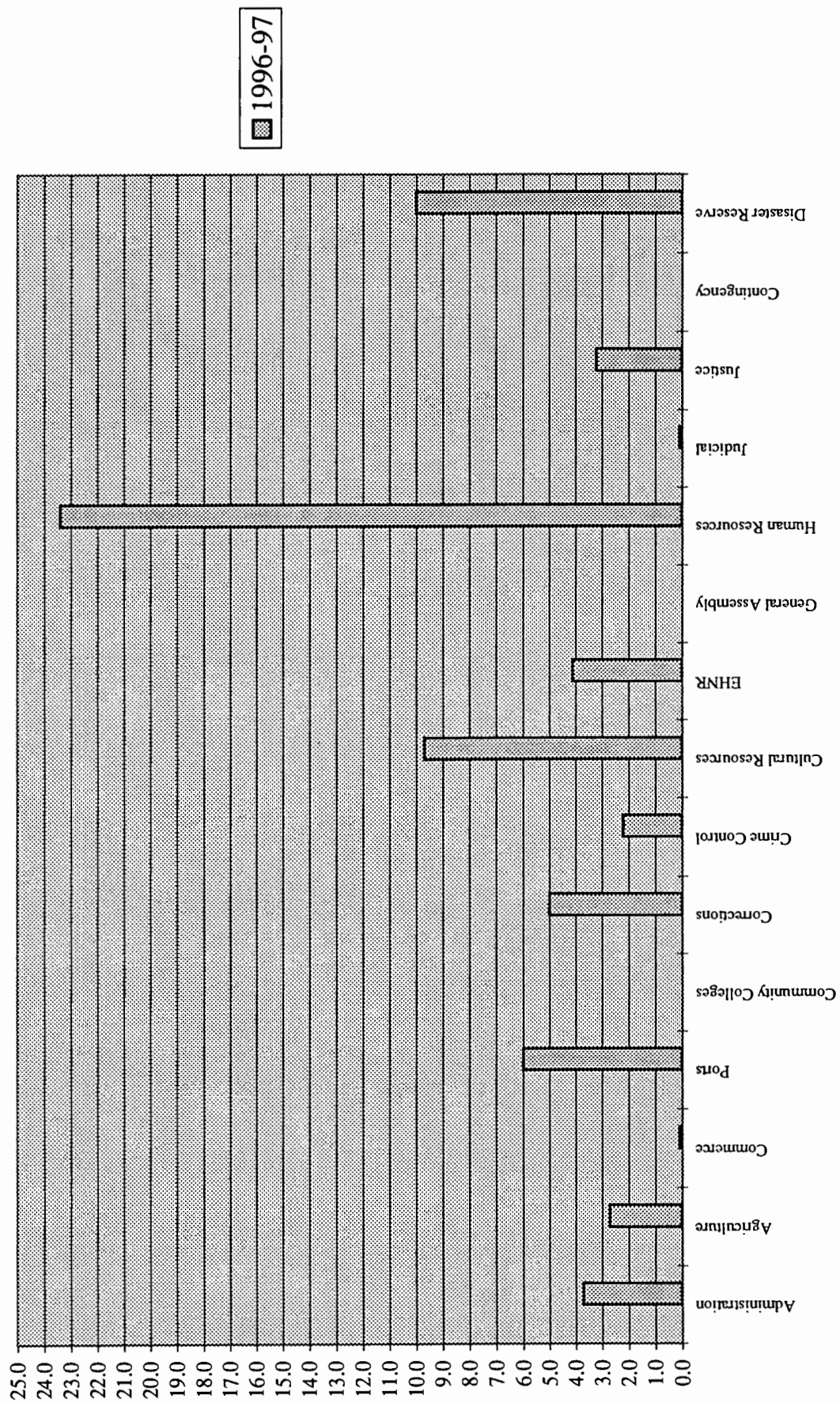
Reserves for Repairs and Renovations

Allocations by Department

(in millions)

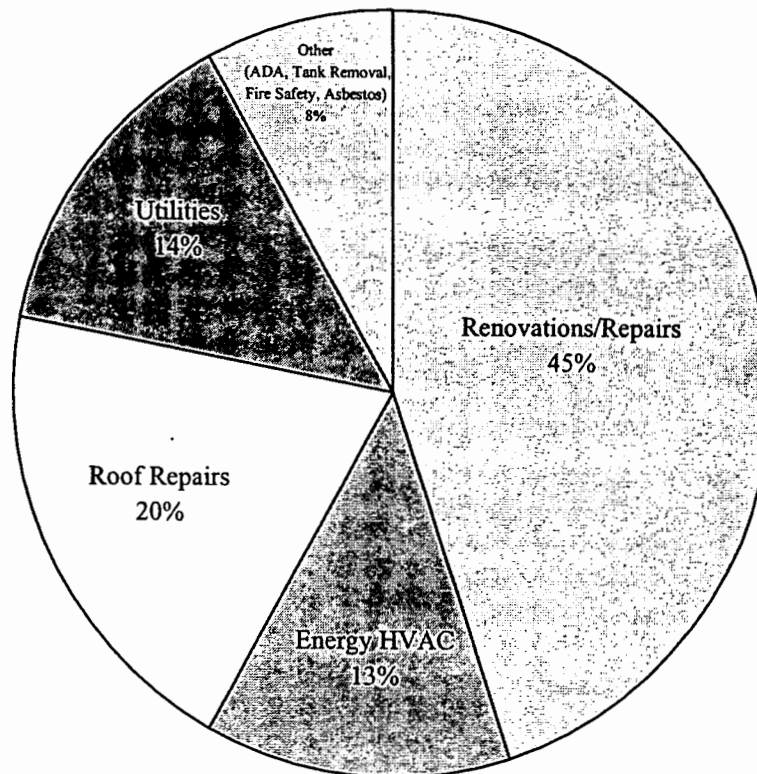
	1993-94		1994-95		1995-96		1996-97	
	Amount	Percent of Total	Amount	Percent of Total	Amount	Percent of Total	Amount	Percent of Total
Administration	\$5.4	21.1	\$3.2	11.9	\$8.1	12.0	\$3.7	5.3
Agriculture	2.1	8.2	1.0	3.7	3.7	5.5	2.7	3.8
Commerce	0.1	0.4	0.2	0.7			0.1	0.2
Ports	0.0		0.3	1.1	0.6	0.9	6.0	8.6
Community Colleges	0.1	0.4						
Correction	5.0	19.5	4.5	16.7	6.7	9.9	5.0	7.0
Crime Control	0.8	3.1			1.6	2.4	2.2	3.1
Cultural Resources	0.2	0.8	1.4	5.2	7.7	11.4	9.7	13.8
EHNR	1.3	5.1	3.1	11.5	5.4	8.0	4.1	5.8
General Assmebly	2.9	11.3			5.0	7.4		
Human Resources	7.0	27.3	12.0	44.4	23.5	34.8	23.4	33.3
Judicial			0.4	1.5			0.1	0.2
Justice	0.5	2.0	0.3	1.1	0.3	0.4	3.2	4.6
Contingency	0.2	0.8	0.6	2.2	4.9	7.3	0.0	
Disaster Reserve							10.0	14.2
Total	25.6	100.0	27.0	100.0	67.5	100.0	70.2	100.0

Reserves for Repairs and Renovations Allocations by Departments in Dollars



Reserve for Repairs and Renovations				
Types of Projects				
1993-97				
(by percent)				
	<u>1993-94</u>	<u>1994-95</u>	<u>1995-96</u>	<u>1996-97</u>
Renovations/Repairs	45%	39%	40%	45%
Energy/HVAC	14	24	14	13
Roof Repairs	13	18	15	20
Utilities	9	10	13	14
Other*	19	9	18	8
*ADA, Tank Removal, Fire Safety, Asbestos, Miscellaneous				

Reserve for Repairs and Renovations
Types of Projects
1996-97



List of All Projects Funded
from
The Reserve for Repairs and Renovations
(Non-University Projects)

1993-94 -- 1996-97

<u>Fiscal Year</u>	<u>Amount</u>	<u>Page Number for List of Projects</u>
1993-94	\$25,650,000	page 1
1994-95	27,000,000	page 3
1995-96	67,500,000	page 5
1996-97	70,200,000	page 9

Department/Division	Project Title	1993-94 Recommended
Administration		
Secretary's Office	ADA Improvements-Statewide	\$2,800,000
Capitol	Capitol Sprinkler System Upgrade	\$52,500
Government Complex	Reserve-Government Complex Emergency Repa	\$100,000
Governor's Mansion	Governor's Mansion Reroofing	\$524,500
Government Complex	Renovations to Old YWCA and Elks Building	\$568,600
Government Complex	Life Safety Reserve	\$100,000
State Construction	Tenant Upfit for State Controller's Office	\$622,600
Government Complex	Hawkins-Hartness House Repairs	\$29,000
Government Complex	Coble Helms House Reroofing	\$107,400
Government Complex	Seaboard Building Reroofing	\$64,200
Government Complex	Ruffin Building Repairs	\$199,000
Government Complex	Plaza Apartment Building Demolition	\$91,200
Art Museum	Museum of Art HVAC Renovations	\$106,600
	TOTAL FOR DEPARTMENT	\$5,365,600
Agriculture		
Plant Industry	Renovations to Bio Control Lab-Supplement	\$156,120
Analytical Administration	Ballentine Building Renovation	\$1,574,400
Analytical Administration	Constable Laboratory-Roof Repairs	\$48,600
Food Distribution	Butner Warehouse Refrigeration Equipment	\$92,200
Analytical Administration	Constable Laboratory-Repairs and Improvem	\$53,300
Cherry Farm	Reroof Beef Cattle Facility	\$56,400
Tidewater Research Station	Reroof Shop Building & Sheep Barn-Tidewat	\$58,400
Upper Mountain Research Statio	Replace Electrical Wiring Stocker Barn-Up	\$23,600
Umstead Farm	Reroof 2 Dairy Lounging Barns-Umstead Far	\$49,000
Caswell Farm	Building Repairs-Caswell Farm	\$11,700
Upper Mountain Research Statio	Reroof Shop Building-Upper Mt. Research S	\$14,800
	TOTAL FOR DEPARTMENT	\$2,138,520
Commerce		
I-85 North Welcome Center	I-85 North Plumbing, AC, and Painting	\$14,100
	TOTAL FOR DEPARTMENT	\$14,100
Community College		
Textile School	Sprinkler System	\$7,800
Textile School	Boiler Combustion Controls	\$6,300
Textile School	Tuck Point Exterior Walls	\$31,800
Textile School	Replace Wood Doors with Metal Doors	\$17,200
	TOTAL FOR DEPARTMENT	\$63,100
Correction		
Prisons	Repairs/Renovations-Black Mountain Women'	\$412,000
Prisons	Repairs and Renovations at NCCIW	\$1,982,100
Prisons	Repairs and Renovations at Morrison Youth	\$1,708,400
Prisons	Repairs and Renovations-Polk Youth Instit	\$360,700
Prisons	Improvements-NCCIW (Chpt.550, Section12)	\$536,800
	TOTAL FOR DEPARTMENT	\$5,000,000
Crime Control & Public Safety		
National Guard	Clyde Armory Roof Project	\$116,500
National Guard	Greenville Armory Roof Project	\$113,700
National Guard	Durham Armory Roof Repair	\$181,900
National Guard	Fremont/Farmville Armory Roof Projects	\$144,100
National Guard	Lenoir Armory Roof Project	\$100,500
National Guard	Burlington Armory Roof Project	\$92,200
National Guard	Kings Mountain Armory Roof Project	\$90,400
	TOTAL FOR DEPARTMENT	\$839,300
Cultural Resources		
Archives and History	Archives/Library Building Renovation-Plan	\$30,000
C.H. Brown State Historic Site	CHB Teacher Cottage Roof	\$15,100
State Historic Sites	Wooden Shingle Replacement-Five Historic	\$17,200
Tryon Palace	Tryon Palace-HVAC Repairs	\$80,000
Historic Sites/Tryon Palace	Underground Storage Tank Removal	\$75,000
Historic Halifax	HVAC Replacement - Two Buildings	\$19,500
	TOTAL FOR DEPARTMENT	\$236,800

1993-94

<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
Aquariums-Roanoke Island	Repairs-Storm Damage	\$225,000
Parks & Recreation	Mt. Mitchell/Hanging Rock Sewer Repairs	\$300,000
Forest Resources	Underground Storage Tank Removal	\$200,000
Zoo	Fire Alarm Systems Repair and Upgrades	\$82,200
Museum of Natural Sciences	Safety Items	\$42,000
Marine Fisheries	Fire and smoke alarm system	\$26,400
Parks & Recreation	Duke Power - Parkwide sewer system repair	\$89,500
Forest Resources	Duplin-Pender Zone Headquarters Repair	\$57,300
Marine Fisheries	Repair Marine Fisheries building HVAC sys	\$25,200
Aquariums	Repairs to Three Aquariums	\$225,000
	TOTAL FOR DEPARTMENT	\$1,272,600
General Assembly	HVAC Upgrade-LB	\$1,488,400
	HVAC Modifications-LOB	\$251,000
	State Chiller Facility Tie In	\$661,100
	ADA Renovations-LB	\$100,400
	ADA Renovations-LOB	\$121,400
	Basement Sprinkler Upgrade-LB	\$129,500
	House & Senate Gallery Railings-LB	\$100,400
	TOTAL FOR DEPARTMENT	\$2,852,200
Human Resources	Repairs/Renovations-Governor Morehead Sch	\$2,700,000
Governor Morehead School	Renovation of Campus Bathrooms-Phase I	\$400,000
Broughton Hospital	Replace Roof on Old School Area	\$54,100
O'Berry Center	Reserve for Underground Storage Tanks	\$100,000
Statewide	Renovate Main Building Dormitory	\$786,600
WNC School for the Deaf	Reroof/repair Lathan Building	\$48,900
ADATC-Butner	Cafeteria Reroofing - Dobbs	\$33,400
Dobbs School	Replace Steam Lines	\$200,000
Caswell Center	Boiler #2 Renovation	\$218,400
Black Mountain Center	Complete ICF/MR Renovations	\$430,900
O'Berry Center	Replace Sanitary Sewer Waste Lines	\$175,700
Special Care Center-Wilson	Booster Pump, Sprinkler, Standpipe & Fire	\$83,400
Western Carolina Center	Boiler System Improvements	\$420,900
Cherry Hospital	Absorption Chillers Replacement	\$200,000
Special Care Center-Wilson	Replace Water Distribution System	\$127,200
Caswell Center	Reroof Buildings	\$250,000
Murdoch Center	Reroof Chapel	\$34,700
Cherry Hospital	Reroof Buildings	\$83,800
Western Carolina Center	Reroof Buildings	\$150,000
John Umstead Hospital	Continued Re-Roofing of Hospital Building	\$150,000
CNC School for the Deaf	Roof Replacements	\$100,000
C.A. Dillon School	Steel Window Replacement, D Cottage	\$81,700
Murdoch Center	Reroof Rehabilitation Services Building	\$98,300
Walter B. Jones ADATC	Reroof Buildings	\$50,000
ADATC Black Mountain	Reroof Medical Records & Counseling Build	\$61,500
	TOTAL FOR DEPARTMENT	\$7,039,500
Justice	Maintenance Shop Repairs	\$29,400
Justice Academy	Replace HVAC Administration Building & Au	\$417,200
Justice Academy	TOTAL FOR DEPARTMENT	\$446,600
OSBM	Contingency and Emergency	\$381,680
OSBM	TOTAL FOR DEPARTMENT	\$381,680
	TOTAL FOR ALL DEPARTMENTS	\$25,650,000

1994-95

<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
Administration		
Statewide	ADA Renovations	\$500,000
Government Complex	Reserve-Government Complex Emergency Repa	\$125,000
Facility Services	Reroof State Surplus Warehouse	\$277,500
Garner Rd Complex	Reroof Building #1 Garner Road	\$48,500
Garner Rd Complex	Reroof Building #3 Garner Road	\$55,200
Garner Rd Complex	Reroof Building #17 Garner Road	\$48,300
Government Complex	Reroof Building Merriman-Wynne House	\$8,500
Government Complex	Reroof Building Worth House	\$3,900
Government Complex	Reroof Shore Building	\$63,000
Government Complex	Reroof Womack Building	\$52,300
Government Complex	Replace Roof on Archives & History	\$242,100
Facility Services	Reroof and Plan Renovate Facility Managem	\$115,000
Facility Services	Reroof FMD Barn-Greenhouse	\$42,300
Government Complex	Old Health Building Porch Roof and Gutter	\$23,500
Government Complex	Replace Elevator Controls-Archdale	\$1,188,400
Government Complex	Caswell Square Electrical Repairs	\$102,900
Government Complex	Exterior Repairs To Albemarle Building	\$337,000
	TOTAL FOR DEPARTMENT	\$3,233,400
Agriculture		
Western NC Ag Ctr	Watermain for Fire Protection	\$89,900
Western NC Farmers Mkt	Roof Repairs - Wholesale Building	\$66,500
Constable Lab	Renovation of Constable Lab	\$474,900
Plant Industry Div. - Raleigh	Roof Repair-Support Operations Facility	\$15,000
Umstead Farm, Butner	Reroof Cattle and Storage Facilities-Umst	\$64,200
Caswell Farm, Kinston	Reroof Equipment and Storage Facilities-C	\$20,300
Tidewater Res. Stat., Plymouth	Reroof Livestock and Storage Facilities-T	\$112,800
Mountain Res. Stat Waynesville	Renovation and Reroofing of Dairy Barn-Mt	\$40,900
Broughton Farm, Morganton	Reroof Lounging Barns-Broughton	\$57,700
	TOTAL FOR DEPARTMENT	\$942,200
Commerce		
Wanchese Seafood Industrial Pk	Paint 250,000 Gallon Elevated Water Tank	\$102,100
I-95 South Welcome Center	I-95 South Interior Improvements	\$48,400
I-85 South Welcome Center	I-85 South Interior Improvements	\$48,400
	TOTAL FOR DEPARTMENT	\$198,900
Commerce-State Ports		
Morehead Port	Reroof Transit Shed No. 1	\$318,400
	TOTAL FOR DEPARTMENT	\$318,400
Correction		
Piedmont CI	Window Replacement	\$1,500,000
Statewide	Reserve for Fire Safety Improvements	\$350,000
Statewide	CFC Conversion	\$200,000
Caledonia CI	Improve Wastewater System	\$1,922,200
Statewide	Reserve for Roof Repairs and Replacements	\$400,000
Statewide	Removal of Underground Storage Tanks	\$100,000
	TOTAL FOR DEPARTMENT	\$4,472,200
Cultural Resources		
Government Complex	Archives/Library Building Renovation-Phas	\$400,400
Fort Fisher State Hitoric Site	Fort Fisher Visitor Center Roof Repair	\$42,600
Government Complex	Lane Street Warehouse Renovation	\$58,300
State Historic Sites	Historic Sites Roof Repairs-Seven Sites	\$73,300
Tryon Palace	Tryon Palace Complex-Nine Roof Repairs	\$79,900
Stagville Center	Stagville Center Period Fence	\$3,500
C.H. Brown State Historic Site	CHB Galen Stone Hall Reroof & Exterior Re	\$284,400
State Historic Sites	State Historic Sites-Structural Repairs	\$115,000
Somerset Place State Historic	SRepairs to Overseer's House/ADA Improveme	\$193,000
Horne Creek Historic Site	Horne Creek State Historic Site Repairs a	\$100,000
	TOTAL FOR DEPARTMENT	\$1,350,400
EHNH		
Roanoke Island Aquarium	Shoreline stabilization and bulkhead repl	\$95,300
Forest Resources-Statewide	Aboveground Fuel Dispensing Facilities	\$200,000
Zoo	Forest Aviary-HVAC/Elec Renovations	\$633,262

10/10/94

1994 Reserve for Repairs and Renovations

1994-95

<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
Three Aquariums	Emergency Generators	\$270,800
State Forests - Several Sites	ADA Compliance - Educational State Forest	\$75,000
Marine Fisheries	Replace the Metal Siding and Roofing on t	\$21,900
Forest Resources-11 Regions	Renovation/repair of 11 county/regional o	\$168,000
Marine Fisheries	Replace DMF Bulkhead on Bogue Sound	\$71,662
Zoo	Moats/Berms - Bank Stabilization	\$73,400
Singletary Lake State Park	Singletary Lake - Cabin, Washhouse, Mess	\$378,644
Marine Fisheries	Ocean Patrol Vessel/Royal Shoal Repair/Re	\$35,000
Fort Fisher Aquarium	Boiler Replacement	\$31,700
Three Aquariums	ADA Access ramps	\$60,800
Zoo	Generator System for Protection of Zoo Co	\$991,000
	TOTAL FOR DEPARTMENT	\$3,106,468
Human Resources		
John Umstead Hospital	Wastewater Plant Upgrade	\$2,634,904
John Umstead Hospital	Wastewater Plant Expansion	\$1,810,856
Cherry Hospital/NCSD Morganton	Electrical Distribution System Replacemen	\$1,497,700
John Umstead Hospital	Renovate Water Treatment Plant	\$790,300
Broughton Hospital	Bathroom Renovations-Phase II	\$400,000
Caswell Center	Reroof 35 Buildings	\$200,000
Western Carolina Center	Continued Reroofing	\$150,000
Central School for the Deaf	Continued Roof Replacement	\$100,000
Western School for the Deaf	Replace Air Conditioning	\$106,800
John Umstead Hospital	Continued Reroofing-Phase IV	\$100,000
Walter B. Jones ADATC	Continued reroofing-Phase II	\$100,000
Cherry Hospital	Reroof Staff Cafeteria U-4 Building-Mecha	\$86,800
Black Mountain Center	Electrical Upgrade of Building Number 17	\$175,900
Cherry Hospital	Reroof McFarland Building	\$279,200
Broughton Hospital	Retrofit Electrical Switch Gear	\$121,900
Governor Morehead School	Replace Boilers	\$173,300
Dobbs School	Reroof Chapel, Kelsy and Larkin Dorm	\$161,200
Dorothea Dix Hospital	McBryde South Weather Proofing	\$226,100
Broughton Hospital	Roof Replacements	\$143,850
Dorothea Dix Hospital	Reroof McBryde West-C	\$146,000
Stonewall Jackson School	Relocate VR Program	\$300,000
NC Special Care Center	Replace Pneumatic Controls on the HVAC Sy	\$98,000
Black Mountain Center	Alzheimers Secure Porch	\$174,100
Dorothea Dix Hospital	Council Building Window Replacement	\$300,000
O'Berry Center	Reroof ELC-2 Building	\$334,600
ADATC-Black Mountain	Reroof Client Dorms 1 and 2	\$87,600
Governor Morehead School	Replace Wood and Metal Windows in 13 Buil	\$640,100
Caswell Center	Replace Steam and Condensate Lines	\$300,000
Black Mountain Center	Reroof Kitchen, Dining, and Multi-Purpose	\$74,300
Dorothea Dix Hospital	Air Conditioning Scott Building, Renovate	\$300,000
	TOTAL FOR DEPARTMENT	\$12,013,510
Judicial		
NC Supreme Court	Handicap Ramp and Safety Handrail	\$44,000
NC Court of Appeals	Smoke and Fire Detection	\$42,000
NC Court of Appeals	Fire Suppression System	\$265,000
	TOTAL FOR DEPARTMENT	\$351,000
Justice		
SBI	Replace Halon Fire Suppression System	\$185,800
Justice Academy	A&B Dorm Air Conditioning Chillers	\$145,700
	TOTAL FOR DEPARTMENT	\$331,500
OSBM		
OSBM	Contingencies and Emergencies	\$682,022
	TOTAL FOR DEPARTMENT	\$682,022
	TOTAL FOR ALL DEPARTMENTS	\$27,000,000

1495-96
RecommendedDepartment/DivisionProject Title**Administration**

Govt Complex	Life Safety Corrections-Govt Complex	\$500,000
Govt Complex	Reroof PIN Building (Phillips Building)	\$146,500
Govt Complex	Renovations - Two Office Buildings	\$1,088,600
Govt Complex	Chilled Water System Improvements	\$1,603,900
Govt Complex	Heck Andrews House Renovation	\$300,000
Govt Complex	Chiller Replacement for Downtown Complex	\$2,000,000
Govt Complex	Remove Asbestos/Ren. Adm. Bldg. (Ph I)	\$1,736,200
Govt Complex	Steam Distribution Repairs-Museum of Hist	\$119,400
Govt Complex	Downtown Complex Waterproofing Repairs	\$118,300
Govt Complex	Womack Building-Roof Deck Repairs and Rer	\$141,900
Govt Complex	Pioneer Awning Building Reroof	\$118,300
Govt Complex	Public Telecommunications Studio Renovati	\$60,690
Govt Complex	Emergency Repairs Reserve	\$200,000
	TOTAL FOR DEPARTMENT	\$8,133,790

Agriculture

N. C. Maritime Museum	Harborside Building Replacement	\$520,000
Cherry Farm	Swine Finishing Lot #1 & #2, Ctr/Environm	\$126,500
Blue Ridge Road Complex	Reroof and Repair Constable Laboratory	\$427,400
Raleigh	Reroof and Renovate Motor Fuels Laborator	\$52,000
Raleigh	Reroof Biological Control Lab	\$49,500
Western Carolina Ag Ctr	Roof Replacement-Stall Barns A,B,&C	\$310,600
Warehouse - Creedmore	Remove and Replace Fuel Tanks	\$56,900
Cherry Farm	Feed Mill Renovation/Ctr. for Env. Farmin	\$172,600
State Fair	Renovation of Education & Commercial Buil	\$1,224,000
Western NC Farmers Mkt	HVAC Farmers Market Restaurant Repairs-WN	\$23,700
Blue Ridge Road Complex	Annex Renovation for Constable Laboratory	\$85,200
Umstead Farm - Butner	Dry Cow Barn Complex 139015/Umstead Farm	\$60,500
Raleigh Farmers Mkt	Sprinkler System - Raleigh Farmers Market	\$138,000
N.C. Maritime Museum	Fire Protection Sprinkler System	\$73,300
Raleigh	Reroof and Paint Storage Barn	\$56,000
Cherry Farm - Goldsboro	Repairs Metal Lounging Barn-Dairy/Cherry	\$98,300
Piedmont Res Stat - Salisbury	Poultry Building Repair/Piedmont Research	\$54,400
Piedmont Res Stat - Salisbury	Milking Parlor Repair/Piedmont Research S	\$67,400
Tidewater Res Stat - Plymouth	Repair Calving Barn/Tidewater Research St	\$49,300
Cherry Farm - Goldsboro	Equipment Shelter, Ctr.for Envir. Farming	\$95,400
Tidewater Res Stat - Plymouth	Cow Barn/Tidewater Research Station	\$6,392
	TOTAL FOR DEPARTMENT	\$3,747,392

CC&PS

National Guard-Wadesboro	Wadesboro Armory Emergency Foundation Set	\$83,000
National Guard-Eliz. City	Elizabeth City Armory Roof Replacement	\$38,051
National Guard - Raleigh	C.T. Bowers Military Center Roof Replacem	\$551,092
National Guard - Whiteville	Whiteville Armory Roof Replacement	\$113,911
National Guard - Elkin	Elkin Armory Roof Replacement	\$85,300
National Guard--Multiple Sites	New Roof System for Ten OMS Shops	\$62,200
National Guard-Beulaville	Beulaville Armory Roof Replacement	\$46,100
National Guard-Jacksonville	Jacksonville Armory Roof Replacement	\$111,500
National Guard-New Bern	New Bern Armory Roof Replacement	\$142,800
National Guard-Louisburg	Louisburg Armory Roof Replacement	\$136,900
National Guard-Elizabethtown	Elizabethtown Armory Roof Replacement	\$100,600
National Guard-Zebulon	Zebulon Armory Roof Replacement	\$92,900
	TOTAL FOR DEPARTMENT	\$1,564,354

Correction

Morrison Youth Inst-Hoffman, NC	Morrison Youth - Renovate Coltrane Bldg	\$1,135,600
Fountain Corr Ctr - Rocky Mt	Fountain Corr Ctr for Women-Ren. Clark Bl	\$316,800
Piedmont Corr Inst-Salisbury	Piedmont Corr. Inst.-Electronic Control S	\$530,000
Piedmont Corr Inst-Salisbury	Piedmont Corr. Inst.-Exterior Masonry Rep	\$1,551,900
Prisons - Statewide	Roof Repair/Replacements Statewide	\$1,000,000
Prisons - Statewide	CFC Chiller System Improvements	\$969,450
Prisons - Several Sites	Water/Wastewater Improvements	\$900,000
Prisons - Several Sites	Regulatory Compliance Underground Tanks	\$250,000
	TOTAL FOR DEPARTMENT	\$6,653,750

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<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
Cultural Resources		
State Capitol	State Capitol Repairs	\$250,000
State Capitol	State Capitol Renovations	\$215,100
Stagville Center	Stagville Great Barn Repairs	\$159,800
Govt Complex	Archives/Library Building Renovation	\$2,757,300
Spencer Shops St Historic Site	Roundhouse -- Transportation Museum	\$1,627,430
Museum of the Cape Fear	Renovation of Poe House	\$613,000
Museum of Art	Museum of Art Auditorium Emergency Exit	\$11,600
Historic Sites - Four Sites	Roof Replacement	\$60,400
Tryon Palace	Tryon Palace Complex Structural Repairs	\$118,400
Mountain Gateway Mus.-Old Fort	Mountain Gateway Museum Renovations	\$17,400
Tryon Palace	Tryon Place Handicap Access	\$177,300
Historic Sites - 11 Sites	Structural repairs at state historic site	\$651,400
Historic Sites - 9 sites	Electrical, Plumbing, and HVAC Repairs	\$126,600
Museum of Albemarle-Eliz. City	Museum of the Albemarle Roof Repair	\$21,200
Historic Sites - 6 Sites	Renovations to State Historic Sites	\$848,615
Tyron Palace	Tryon Palace Complex Fire, Security Repai	\$22,700
Museum of Albemarle-Eliz. City	Museum of the Albemarle Underground Stora	\$9,400
	TOTAL FOR DEPARTMENT	\$7,687,645
EHNH		
Marine Fisheries-Morehead City	Repair Building HVAC System/Phase II	\$503,500
N.C. Aquariums-Roanoke Island	Bulkhead Replacement, State/Federal Match	\$191,000
Forest Resources - 6 Sites	AST/UST Site Remediation - 6 Locations	\$114,000
Forest Resources - 18 Sites	Aboveground Fuel Dispensing Facilities -	\$389,400
Marine Fisheries-Morehead City	Remove and Replace Four Underground Stora	\$120,200
N.C. Aquariums-Roanoke Island	Underground Tank - Roanoke Island	\$19,900
N.C. Aquariums - Ft. Fisher	Underground Tank - Ft. Fisher	\$19,900
Blue Ridge Road Complex	Renovation and Repair of Coastal Plain Of	\$178,100
Forest Resources - 16 Sites	Roof Replacement - 16 Locations	\$83,600
Marine Fisheries-Morehead City	Hangar Roof Replacement	\$38,900
NC Aquariums-Pine Knoll Shores	Roof Replacement - PKS	\$232,400
N.C. Aquariums - Ft. Fisher	Roof Replacement - FF	\$192,200
Marine Fisheries-Morehead City	Smoke & Fire Alarm System in Warehouse an	\$13,300
NC Aquariums- Ft. Fisher	Fire Safety -FF	\$47,400
NC Aquariums-Pine Knoll Shores	Fire Safety - PKS	\$35,500
Forest Resources - 14 Sites	Electrical Rewiring - 14 Locations	\$190,400
Forest Resources - Kinston	Region 1 Headquarters - Emergency Lightin	\$30,500
Marine Fisheries-South River	Renovate Maintenance Building at South Ri	\$151,500
State Forests - 6 Sites	ADA Compliance - Educational State Forest	\$25,000
Forest Resources-Several Sites	ADA Compliance - District Headquarters	\$65,000
Marine Fisheries-Morehead City	Handicap Access & Handicap Parking for th	\$10,000
Marine Fisheries-Morehead City	Remove/Repl Exterior Warehouse Coat, Reno	\$112,400
Marine Fisheries-Morehead City	Improve Existing equipment Compound Exten	\$11,100
Forest Resources-Several Sites	HVAC Replacement - 14 Locations	\$342,800
Forest Resources-Chowan Co.	Chowan County Headquarters - Repair	\$15,000
Forest Resources-Hyde Co.	Hyde County Headquarters - Repair	\$3,600
Forest Resources-Tyrrell Co.	Tyrrell County Headquarters - Repair	\$700
Forest Resources-Lenoir Co.	Lenoir County Headquarters - Repair	\$4,700
Forest Resources-Avery Co.	Avery County Headquarters - Repair	\$8,700
Forest Resources-Martin Co.	Martin County Headquarters - repair	\$4,900
Forest Resources-Clayton	Clemmons ESF Office - Repair	\$2,000
Forest Resources-Granville Co.	Granville County Headquarters - Well Hous	\$3,600
Forest Resources-Hertford Co.	Hertford County Headquarters - Repair	\$6,000
Forest Resources-Murphy, NC	Cherokee-Clay Headquarters - Repair	\$17,600
Forest Resources-Gates Co.	Gates County Headquarters - Repair	\$2,400
Forest Resources-Alamance Co.	Alamance County Headquarters - Well Filtr	\$3,200
Marine Fisheries-Morehead City	Research Vessel Power Plant Renovation	\$120,200
Forest Resources-Eliz. City	District 7 Headquarters Office - Repair	\$10,800
Forest Resources-Eliz. City	District 7 Headquarters Office - Repair	\$3,600
Forest Resources-Fairfield, NC	District 13 Headquarters Maintenance Shop	\$3,900
Forest Resources-Fairfield, NC	District 13 Headquarters Office Repair	\$5,700
Forest Resources-Lenoir, NC	District 2 Headquarters Maintenance Shop	\$30,100

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<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
Forest Resources-Asheville, NC	District 1 Headquarters Maintenance Shop	\$3,400
Forest Resources-Mt. Holly	District 12 Headquarters Maintenance Shop	\$6,300
Forest Resources-Rocky Mt.	District 5 Headquarters Equipment Shelter	\$9,300
Forest Resources-Whiteville	District 8 Headquarters Equipment Shelter	\$24,100
Forest Resources-Whiteville	District 8 Headquarters Maintenance Shop	\$55,200
Forest Resources-Lenoir, NC	District 2 Headquarters Office - Repair	\$7,700
Forest Resources-Asheville	District 1 Headquarters Equipment Shelter	\$12,000
Forest Resources-Lexington, NC	District 10 Headquarters - Septic System	\$2,500
NC Aquariums - Ft. Fisher	Floor Replacement - FF	\$1,188,400
Marine Fisheries-Morehead City	Replacement of Water Lines	\$24,100
Marine Fisheries-Morehead City	Water Treatment System for Main Building	\$8,200
Forest Resources-Kinston	Region I Headquarters - Barracks 2,3,4 Re	\$605,800
Forest Resources-Richlands	Catherine Lake Residence - Repair	\$2,500
Forest Resources-Eagle Springs	Eagle Springs Residence - Repair	\$2,400
Forest Resources-Maysville	Deppe Residence - Repair	\$7,200
Forest Resources-Lake Toxaway	Toxaway Tower House - Repair	\$1,900
NC Aquariums-Pine Knoll Shores	Saltwater Intake Line Replacement	\$27,500
	TOTAL FOR DEPARTMENT	\$5,353,200
Emergencies/Contingency		
Emergencies and Contingency	Reserve for Contingencies and Emergencies	\$5,000,000
	TOTAL FOR DEPARTMENT	\$5,000,000
General Assembly		
Legislative Office Building	Renovation of Legislative Office Building	\$5,000,000
	TOTAL FOR DEPARTMENT	\$5,000,000
Human Resources		
Cherry Hospital	Life Safety Code Corrections	\$5,020,000
Dorothea Dix Hospital	Life Safety Code Corrections	\$987,000
Broughton Hospital	Life Safety Code Corrections	\$2,029,800
NC School for the Deaf	Renovate Main Building	\$4,520,400
Statewide	DHR Chiller Plant, Refrigerant Replacemen	\$500,000
Central School for the Deaf	Continued Reroofing	\$200,000
John Umstead Hospital	Continued Reroofing	\$200,000
Broughton Hospital	Renovation of Campus Bathrooms-Phase III	\$400,000
Western Carolina Center	Continued Reroofing-Phase III	\$200,000
Walter B. Jones ADATC	Continued Reroofing-Phase III	\$118,200
Caswell Center	Continued Reroofing	\$200,000
Eastern School for the Deaf	Replace HVAC McAdams Hall	\$844,600
Samarkand Manor	New Wastewater Plant	\$194,800
Western Carolina Center	Sewer Line Replacement	\$45,000
Dorothea Dix Hospital	Reroof Spruill Building	\$179,000
Dorothea Dix Hospital & JEC	Primary Electrical Distribution Evaluatio	\$150,000
NC School for the Deaf	Renovate Hoffmeyer Hall for BEH Program	\$742,700
Caswell Center	Secondary Electrical Improvements-Phase I	\$654,250
O'Berry Center	Roof Replacement-ELC-2 & ELC 3	\$415,300
Youth Services	Renovate Richmond Correctional Center	\$749,900
C.A. Dillon School	Upgrade Primary Electrical	\$35,109
Governor Morehead School	Electrical Upgrade-Lineberry Building	\$92,800
Caswell Center	Replace Steam Pipes	\$526,100
Caswell Center	Renovate Dewey Building	\$49,900
Central School for the Deaf	Replace Carpet	\$272,300
John Umstead Hospital	Replace Steam Lines	\$211,100
Governor Morehead School	Additional Campus Lighting	\$92,800
Dorothea Dix Hospital	West-A Roof	\$148,100
Dorothea Dix Hospital	Replace Cooling Towers - Two Bldgs	\$110,700
Governor Morehead School	Reroof Administration Building	\$116,000
Broughton Hospital	Roof Replacement of 12 Buildings	\$434,000
Goldsboro V.R. Facility	Upgrade Utilities & Renovate B. Building	\$138,283
Caswell Center	Fire Protection Installation-Phase I	\$636,233
Broughton Hospital	Replace Avery Building Guttering/Downspou	\$415,300
Cherry Hospital	Air Condition-Therapeutic Center Gym	\$178,200
NC Special Care Center	Window Replacement	\$345,700
Murdoch Center	Replace flat Roof Over Entrances	\$378,062

9/25/95

1995 Reserve for Repairs and Renovations

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<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
O'Berry Center	Replace 4 Absorption Chillers at Theraput	\$296,200
NC Special Care Center	Repair Electrical Distribution System	\$74,500
Governor Morehead School	Renovate #2 Boiler, Asbestos Removal 1 &	\$50,000
Caswell Center	Boiler-Heat Control	\$234,400
Western Carolina Center	Install Biolers & Replace HTHW Lines	\$150,000
Central School for the Deaf	New Gym Floor	\$29,800
Governor Morehead School	Reroof Cole Building	\$119,658
Murdoch Center	Reroof Administration Building	\$43,482
	TOTAL FOR DEPARTMENT	\$23,529,677
Justice		
Govt Complex	Raney Building Water Leakage Repair	\$48,000
SBI-Garner Rd Complex	Underground Storage Tank Removal	\$75,000
SBI-Garner Rd Complex	Steam Coils in Air Handling Unit	\$9,600
NC Justice Academy-Salemburg	Roof Replacement-Gym	\$130,092
	TOTAL FOR DEPARTMENT	\$262,692
State Ports Authority		
State Ports	Reroof Cargo Building C1, Bay 1	\$567,500
	TOTAL FOR DEPARTMENT	\$567,500
	TOTAL FOR ALL DEPARTMENTS	\$67,500,000

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<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
Administration		
Government Complex	Life Safety Code Corrections/Repairs/Ren	\$850,000
Government Complex	Window Blocking - Agric. Bldg	\$56,000
Government Complex	Roof Repairs - 9 Buildings	\$726,600
Government Complex	Exterior Repairs - Records Bldg	\$143,000
Government Complex	Structural Repairs To 4 Buildings	\$300,000
Government Complex	Electrical Upgrades	\$100,000
Polk Building - Charlotte	Exterior Repairs - Polk Bldg	\$136,100
Government Complex	Renovations - Four Buildings	\$850,000
Government Complex	Blount Street Buildings -- Repairs	\$300,000
Government Complex	Shore Building Repairs	\$94,700
Government Complex	Sidewalk Repairs	\$15,000
Government Complex	Lighting Efficiency Improvements	\$175,000
	TOTAL FOR DEPARTMENT	\$3,746,400
Agriculture		
Rose Hill Lab	Roof Repair and Other Repairs	\$133,000
Cherry Farm	Swine Breeding/Farrowing Facility Repairs	\$148,500
Upper Coastal Plain Res Stat	Renovation Swine Waste Lagoons	\$102,800
N. C. Maritime Museum	Heat Pump Replacement	\$69,600
Caswell Farm	Renovation of Interior of Dairy Parlor	\$112,800
Piedmont Res Stat	Renovation of Poultry House	\$83,000
Umstead Farm	Repairs to Farmer Unit Brooder	\$167,400
Tidewater Res Stat	Building (G-7) Repairs	\$72,500
Piedmont Triad Farmers Mkt	Heating System Replacement Retail Bldg	\$38,400
Raleigh Farmers Mkt	Bird Netting for Open Buildings	\$63,900
Williamston Grading Office	Furnace Replacement/Roof Insulation	\$31,100
Rollins Lab	ADA Improvements and Other Repairs	\$263,200
Tidewater Res Stat	Shop Building Repairs	\$14,600
Horticultural Crops Res Stat	Replace HVAC in Station Office & Dwelling	\$12,200
Horticultural Crops Res Stat	Sweet Potato Facility Repairs	\$3,800
Charlotte Farmers Mkt	Life Safety Code Improvements-Building D	\$6,000
State Fair	Life Safety Code Improvements - Youth Ctr	\$212,200
Standards Lab	Chiller Replacement & ADA Improvements	\$57,000
Food Distribution Warehouse	Fire Safety Repairs/Other Repairs	\$17,500
Northwestern Lab	General and Structural Repairs	\$81,900
Caswell Farm	Repairs to Mule Barn	\$51,600
Western NC Agric Ctr	Paving and Storm Drainage	\$98,100
Griffin Lab	Fire Safety Improvements/Other Structural	\$85,600
Blue Ridge Rd Greenhouse	Greenhouse Repair	\$22,600
Mountain Res Stat	Repair to Burley Tobacco Facility	\$3,700
Tidewater Res Stat	Repair Soils Shelter Building	\$27,900
Caswell Farm	Re-roof Hay Driers	\$14,400
Arden Lab	ADA and Other Repairs	\$55,900
Western NC Agric Center	Ventilation Fans for Show Arena	\$36,200
Dix Farm	Farm Office Repair	\$9,700
Caswell Farm	Re-roof Three Equipment Sheds	\$23,200
Caswell Farm	Re-roof Poultry Storage House	\$4,100
Coastal Plain Res Stat	Re-roof Swine Building	\$9,700
Tidewater Res Stat	Re-roof and Repair Swine Building	\$32,700
Upper Coastal Plain Res Stat	Re-roof Grain Handling Facility	\$5,500
Piedmont Triad Farmers Mkt	Replace Gutters	\$2,500
Tidewater Res Stat	Repair Equipment Shelter	\$44,100
Upper Mountain Res Stat	Repair to Trench Silos	\$13,200
State Fair	Dorton Arena Fire Detection/Protection	\$500,000
Tidewater Res Stat	Livestock Building Repairs	\$6,800
	TOTAL FOR DEPARTMENT	\$2,738,900
Commerce		
State Ports - Morehead City	Rehabilitate and Repair Terminals	\$3,000,000
State Ports - Wilmington	Rehabilitate and Repair Terminals	\$3,000,000
I-77 N Welcome Ctr	Replace Water Pipes & Kitchen Ceiling	\$3,200
I-26 Welcome Ctr	New Hot Water Heater/Water Fountain	\$3,700
Wanchese Seafood Industrial Pk	Roof & Siding Repairs	\$8,000

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<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
Wanchese Seafood Industrial Pk	Replace Well House Door	\$2,500
Wanchese Seafood Industrial Pk	Erosion Prevention (Lot 24)	\$3,500
Wanchese Seafood Industrial Pk	Park Signage	\$3,000
Wanchese Seafood Industrial Pk	Administrative Office Repairs	\$2,000
Wanchese Seafood Industrial Pk	Dock Repairs	\$2,500
Wanchese Seafood Industrial Pk	Water Tower Electrical Service	\$1,800
Wanchese Seafood Industrial Pk	Repair Lift Station Leak	\$2,500
	TOTAL FOR DEPARTMENT	\$6,032,700
Correction		
Fountain Corr Ctr - Fountain	Upgrade Electrical Service	\$54,900
Central Prison	Chiller System Improvements	\$1,033,600
Substance Abuse Ctr/Goldsboro	Building Repairs - Planning	\$100,000
Prisons - Statewide	Roof Repairs/Replacements	\$1,000,000
Prisons - Statewide	Electrical System Repairs	\$513,500
Central Prison	Utility System Repairs	\$845,000
Prisons - Statewide	Fire Safety Improvements - 21 Sites	\$500,000
Prisons - Statewide	Water/Wastewater System Repairs	\$977,400
	TOTAL FOR DEPARTMENT	\$5,024,400
Crime Control		
Carolina Beach Armory	Carolina Beach Armory Roof Replacement	\$132,200
Roseboro Armory	Roseboro Armory Roof Replacement	\$149,400
Windsor Armory	Windsor Armory Roof Replacement	\$94,600
Butner Armory	Butner Armory Roof Replacement	\$204,900
Youngsville Armory	Youngsville Armory Roof Replacement	\$150,600
Mocksville Armory	Mocksville Armory Roof Replacement	\$137,200
Newton Armory	Newton Armory Roof Replacement	\$161,700
Morganton Armory	Morganton Armory Roof Replacement	\$227,800
Washington Armory	Washington Armory Roof Replacement	\$243,100
Lumberton Armory	Lumberton Armory Roof Replacement	\$183,200
East Flat Rock Armory	East Flat Rock Armory Roof Replacement	\$160,500
Dunn Armory	Dunn Armory Roof Replacement	\$177,000
Armories - Statewide	Remove Underground Storage Tanks	\$175,000
	TOTAL FOR DEPARTMENT	\$2,197,200
Cultural Resources		
State Capitol	State Capitol Renovations	\$750,000
Museum of History	Cape Fear Branch - Poe House Roof Replace	\$112,000
Arts Council	Jenkins House - Renovations	\$73,900
Stagville Site	Stagville Site Renovations	\$45,000
Museums	Renovate Old Capentry Shop for Exploris	\$3,900,000
Museum of History	Museum of Albemarle HVAC & Security Impro	\$6,200
Government Complex	Bailey-Gallant House General Improvements	\$23,900
Tryon Palace	Tryon Palace Disosway House Repairs	\$326,300
Chowan Courthouse	Chowan County Courthouse Restoration	\$950,000
Thomas Wolfe	Structural and Other Repairs	\$400,000
Various Sites	Repairs and Renovations	\$450,000
Charlotte Hawkins Brown	Galen Stone Hall - Repairs/Window Replace	\$175,000
Duke Homestead	Repairs to Main House	\$30,000
Historic Spencer	Master Mechanics Office - Renovation	\$125,000
Tryon Palace	Tryon Palace Dehumidification Systems Rep	\$154,000
Historic Sites	Historic Sites Roof Repairs-5 Sites	\$108,000
Government Complex	Lewis-Smith House Improvements	\$54,000
Tryon Palace	Fire Suppression for Two Buildings	\$122,900
Bentonville Battleground	Harper House HVAC Improvements	\$25,000
Historic Edenton	Visitors Ctr - HVAC	\$7,200
Reed Gold Mine	Waste Treatment System Improvements	\$100,000
Duke Homestead	Water and Sewer Improvements	\$15,000
Vance Birthplace	New Water Line and Hydrant	\$25,000
Caswell - Neuse	Renovations/ADA and Code	\$323,000
Fort Fisher	Renovations/ADA and Code	\$450,000
Town Creek	Renovations/ADA and Code	\$275,000
Brunswick Town	Renovations/ADA and Code	\$300,000
Somerset Place	Kitchen/Laundry Restoration	\$150,000

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<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
Historic Edenton	Painting Several Buildings	\$35,000
Tryon Palace	Main Building Cooling Tower Replacement	\$13,000
Tryon Palace	Main Building & Kitchen Renovations	\$186,500
	TOTAL FOR DEPARTMENT	\$9,710,900
EHNR		
NC Zoo	NC Zoo Africa Upgrade - Adv. Planning	\$872,500
Forest Resources	Roof Replacements and Repairs	\$131,800
NC Zoo	Safety Renovations in Elephant Habitat	\$396,700
Marine Fisheries	Remove and Replace Existing Fire Hydrant	\$10,000
General Services	Cooper Building Upgrades/Fire Safety	\$17,300
DEM Lab	Security Alarm	\$5,000
Marine Fisheries	Security System - Main Building	\$5,800
N. C. Aquariums - Pine Knoll	Security Fence and Gate	\$12,900
Marine Fisheries	Bogue Sound Bulkhead Replacement	\$26,100
Marine Fisheries	South River Bulkhead Replacement	\$170,000
N.C. Aquariums - Fort Fisher	Window Replacement	\$18,800
N. C. Aquariums - Roanoke Is	Window Repair/Replacement	\$24,000
General Services	Repair to Basement/Waterproofing	\$12,000
N. C. Aquariums - Fort Fisher	Restroom Renovations	\$10,900
N. C. Aquariums - Roanoke Is	Air Conditioner Repairs	\$21,700
NC Zoo	Renovate Chimp/Lion Holding Areas-Phase I	\$400,000
NC Zoo	Aviary General Renovations-Phase II	\$112,500
N. C. Aquariums - Pine Knoll	Shipwreck Tank Area Renovation	\$48,000
NC Zoo	Water Circulation/Filtration/Drainage Ren	\$106,900
General Services	Renovation of Departmental Postal Center	\$41,700
General Services	Archdale Building-Reconfigure Office Spac	\$24,000
Soil & Water Conservation	Office Space Renovation	\$24,300
General Services	Archdale Building Upgrades/ADA	\$41,000
Office of Public Hlth. Nursing	Renovate Public Health Nursing Training C	\$271,400
Marine Fisheries	Above Ground Storage Tank	\$56,000
N.C. Aquariums - Pine Knoll	Fuel Storage Tank Replacement	\$19,900
Marine Fisheries	Morehead City Dock Facility	\$800,000
Marine Fisheries	Structural Repairs to Landing Craft	\$207,200
Marine Fisheries	Lead Contaminate Removal - Landing Craft	\$118,400
N.C. Aquarium - Roanoke Is	Door Replacement & Energy Enclosures	\$30,600
Marine Fisheries	Replace Ceiling Tiles - Main building	\$70,300
	TOTAL FOR DEPARTMENT	\$4,107,700
Emergencies/Contingencies		
Emergencies and Contingencies	Reserve for Contingenices and Emergencies	\$10,000,000
	TOTAL FOR DEPARTMENT	\$10,000,000
Human Resources		
Broughton Hospital	Life Safety Code Corrections Phase II	\$1,700,000
John Umstead Hospital	Renovate Deerfield Cottage	\$820,400
Governor Morehead School	Renovate Gym & Swimming Pool	\$1,936,900
Dorothea Dix Hospital	Renovation of Spruill Annex & Lineberger	\$2,420,800
Caswell Center	HVAC Replacements (Phase I)	\$2,226,000
Dorothea Dix Hospital	Chilled Water Plant-Dobbin, Taylor, HVAC	\$2,120,000
Cherry Hospital	Chiller Replacement U-3 Building	\$889,200
ADATC-Butner	Cooling & Refrigerant Replacement	\$68,800
NC Sch. for the Deaf/Morganton	Replace Chillers in (6) Buildings	\$462,000
Broughton Hospital	Continued Renovation of Campus Bathrooms	\$400,000
Western Carolina Center	Continued Reroofing	\$200,000
Dorothea Dix Hospital	Replace Primary Electrical Distribution S	\$2,345,900
Samarkand Manor School	Replace Wastewater Treatment Plant (Phase	\$165,000
Caswell Center	Fire Protection Renovation (Phase II)	\$636,300
Western Carolina Center	Replace Boiler (Phase II)	\$350,000
Governor Morehead School	Renovation of Vocational Building Basemen	\$218,500
NC Special Care Center/ENCSD	Replace Primary Electrical Distribution S	\$296,200
Dorothea Dix Hospital	South Wing Waterproofing (Phase II)	\$570,200
John Umstead Hospital	Continued Reroofing	\$200,000
Black Mountain Center	Alzheimers Unit - Respite Care Ctr	\$290,000
NC Sch. for the Deaf/Morganton	Renovation of Fire Alarm System	\$336,700

<u>Department/Division</u>	<u>Project Title</u>	<u>Recommended</u>
NC Special Care Center	Renovations - Alzheimers Unit	\$185,200
Dobbs School	Life Safety/Fire Alarms - Campuswide	\$101,900
John Umstead Hospital	Life Safety Code Corrections	\$477,000
Walter B. Jones - ADATC	Reroof Buildings - Phase IV	\$135,400
Caswell Center	Reroof Buildings (Phase IV)	\$260,800
Juvenile Evaluation Center	Replace Primary Electrical Distribution S	\$366,900
Samarkand Manor School	Renovation of Hope Cottage	\$231,500
Murdoch Center	Repair Edgewood Cottage	\$295,500
Samarkand Manor School	Primary Electrical Distribution Study	\$50,000
O'Berry Center	Reroof (9) Cottages	\$497,000
Western Carolina Center	Correct Life Safety Code	\$716,700
Dorothea Dix Hospital	Clark Building L.S.C. Corrections	\$423,800
Caswell Center	Secondary Electrical Improvements (Phase	\$654,200
Juvenile Evaluation Center	Outside Lighting for Safety & HVAC Repair	\$140,500
O'Berry Center	Reroof Multi-Purpose Building	\$198,500
	TOTAL FOR DEPARTMENT	\$23,387,800
Judicial		
AOC	Roof Repair on Justice Building	\$27,000
	TOTAL FOR DEPARTMENT	\$27,000
Justice		
N. C. Justice Academy	Renovation of Cafeteria Building	\$120,000
Goverment Complex	SBI Complex - Replace Roofs	\$107,000
N. C. Justice Academy	Western North Carolina Justice Academy	\$3,000,000
	TOTAL FOR DEPARTMENT	\$3,227,000
	TOTAL FOR ALL DEPARTMENTS	\$70,200,000

1996-97

Recommended

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1997

S

1

SENATE BILL 286

Short Title: New & Expanding Industry Funds.

(Public)

Sponsors: Senators Perdue, Plyler, and Odom.

Referred to: Appropriations.

March 3, 1997

1 A BILL TO BE ENTITLED
2 AN ACT TO MAKE AN EMERGENCY APPROPRIATION, ON THE REQUEST
3 OF THE GOVERNOR, TO THE NEW AND EXPANDING INDUSTRY
4 TRAINING PROGRAM.

5 Whereas, the New and Expanding Industry Training Program in the
6 Department of Community Colleges assists companies creating new jobs in North
7 Carolina by providing training for new employees; and

8 Whereas, the Program provides customized training to new or prospective
9 employees in specific job skills needed by new or expanding industries; and

10 Whereas, the Program supports local, regional, and State economic
11 development goals by offering entry-level training at no cost to companies that are
12 creating new jobs in the State; and

13 Whereas, the General Assembly provided \$10,800,000 to the Program for
14 the 1996-97 fiscal year from a combination of appropriations, carryforward funds, and
15 transfers; and

16 Whereas, funds available in the Department of Community Colleges
17 budget for transfer to the Program will not meet current demand for Program
18 services; and

19 Whereas, due to the extraordinary growth of new and expanding industry
20 in the State, the Program will need an additional \$4,700,000 for the 1996-97 fiscal
21 year to meet current demand for Program services; and

22 Whereas, the Executive Budget Act authorizes the General Assembly to
23 make an emergency appropriation to the Program for the 1996-97 fiscal year upon
24 the request of the Governor; and

1 Whereas, the Governor has made such a request in a letter to the
2 President Pro Tempore of the Senate and the Speaker of the House of
3 Representatives; Now, therefore,

4 The General Assembly of North Carolina enacts:

5 Section 1. There is appropriated from the 1996-97 unreserved credit
6 balance in the General Fund to the Department of Community Colleges the sum of
7 four million seven hundred thousand dollars (\$4,700,000) for the 1996-97 fiscal year
8 to cover the shortfall in the New and Expanding Industry Training Program.

9 Section 2. The provisions of G.S. 143-16.3 shall not apply to the New
10 and Expanding Industry Training Program for the 1996-97 fiscal year.

11 Section 3. The State Board of Community Colleges shall, after
12 consultation with the Department of Commerce, develop a comprehensive plan for
13 allocating New and Expanding Industry Training Program funds. The plan shall
14 include program criteria and accountability measures.

15 Effective July 1, 1997, the plan shall apply to all funds allocated for the
16 New and Expanding Industry Training Program.

17 Section 4. This act is effective when it becomes law.

**1997 PERMANENT SUBCOMMITTEE REPORT
HOUSE OF REPRESENTATIVES**

FOR RECOMMENDING BILLS TO STANDING COMMITTEE OR TO THE FLOOR OF THE HOUSE
The following report(s) from permanent sub committee(s) is/are presented:

By Representative(s) G. Wilson and Russell for the Permanent Subcommittee on Capital and Budget of the Standing Committee on APPROPRIATIONS.

- ☐ Committee Substitute for
 S.B. 286 ☐ A BILL TO BE ENTITLED AN ACT TO MAKE AN
 EMERGENCY APPROPRIATION, ON THE REQUEST OF THE GOVERNOR, TO THE NEW
 AND EXPANDING INDUSTRY TRAINING PROGRAM.

REPORTED TO THE STANDING COMMITTEE ON **APPROPRIATIONS**

RECOMMENDED ACTION:

- ☒ With a favorable recommendation.
- ☐ With a favorable recommendation and recommend that the bill be re-referred to the Committee on
- ☐ With a favorable recommendation, as amended.
- ☐ With a favorable recommendation, as amended, and recommend that the bill be re-referred to the Committee on
- ☐ With an unfavorable recommendation.
- ☐ With a unfavorable recommendation as to proposed committee substitute bill which changes the title, unfavorable as to original bill.
- ☐ With a favorable recommendation as to proposed House committee substitute bill, which changes the title, unfavorable as to Senate committee substitute bill.
- ☐ Without prejudice.
- ☐ Other recommended action: _____

WITH APPROVAL OF STANDING COMMITTEE CHAIR FOR REPORT TO BE MADE DIRECTLY
TO THE FLOOR OF THE HOUSE:

Rep. _____ for the Standing Committee on _____

s/ _____

- ☐ With a favorable report.
- ☐ With a favorable report, as amended.
- ☐ With a favorable report as to committee substitute bill which changes the title, unfavorable as to original bill.
- ☐ And having received a unanimous vote in committee, be placed on the Consent Calendar.-

APPROPRIATIONS
Subcommittee on Capital & Budget

Minutes
April 8, 1997

The APPROPRIATIONS Subcommittee on Capital & Budget held a meeting on Tuesday, April 8, 1997 at 12:00 p.m. in room 643 of the Legislative Office Building. The members present were Representatives Carolyn Russell, Cochair, Gene Wilson, Cochair, Cherie Berry, Donald Bonner, Joanne Bowie, Walter Church, Sr., Jerry Dockham, Beverly Earle, Ruth Easterling, Charlotte Gardner, Bobby Hall, Verla Insko, William Ives, Joe Kiser, Mickey Michaux, Frank Mitchell, Richard Moore, Alex Warner and Nurham Warwick. Staff-Lynn Muchmore, Janice Wheeler, Emily Johnson, Phyllis Pickett, and Clerk- Rebecca Jones were also present.

Representative Carolyn Russell, Cochair, called the meeting to order at 12:10 p.m. Senate Bill 286 was sent back to this committee to discuss.

She first introduced Dr. Russell. He passed a handout to the committee and this was made part of the minutes. Attachment 1. Dr. Russell answered the members questions from the previous meeting. Representative Berry wanted to know as to why, if a company employee is the instructor, why they would need to be compensated additional to what their normal wage would be paid by the company. Dr. Russell said his understanding was that training would always occur outside of work or production time.

Representative Ives made a motion for Senate Bill 286 to go to the floor with a favorable report. Motion was carried.

Representative Russell told the committee they needed to devise a process for the way the capital budget process worked. She had a sample bill drafted. Attachment 2. Co-chair Russell briefly discussed the draft with the committee.

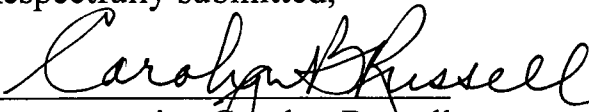
Representative Russell adjourned the meeting at 1:00 P.M.

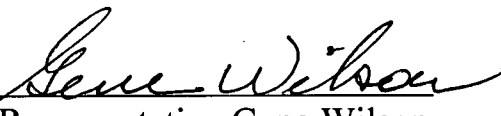
ATTACHMENTS

Attachment 1- North Carolina Community College

Attachment 2- Draft bill 97-LH-117d(2.17)

Respectfully submitted,


Representative Carolyn Russell
Cochair


Representative Gene Wilson
Cochair


Rebecca Jones
Clerk

North Carolina Community College New and Expanding Industry Training Program

In 1958, North Carolina was the first state in the nation to pioneer company-specific customized training for new and expanding businesses. Today, this program continues to be one of North Carolina's most effective economic development resources, providing a source for North Carolinians to obtain necessary skills to work in emerging industries, as well as an incentive for companies to grow and locate within our state. During the past year, community college business and industry support, through the New and Expanding Industry Training (NEIT) program, has been highlighted in *The Wall Street Journal* and by the Associated Press, and benchmarked by several other states.

Eligibility for the NEIT program has traditionally been open to any company that agrees to create 12 or more new production jobs in any community in the state. Through this program, training specialists from the state's 58 community college campuses, together with coordinators from the North Carolina Community College System, work with new and expanding businesses to develop company-specific customized training plans and programs supported by the state NEIT funds. Specific expenditures are based on the needs and requirements of the company, and are typically in proportion to the company's capital investment and number of jobs created. Funds can support direct company training costs including instructors' salaries, travel costs, and related expenditures such as training facilities, equipment, materials and supplies. During the most recent program year, the NEIT program funded 183 company projects and trained 27,505 people, at an average cost of just over \$40,000 per project or \$340.80 per trainee.

Throughout the 1990s, the NEIT program consistently served a larger and larger proportion of existing North Carolina businesses and industries. Expansions by in-state companies now account for approximately 50% of the total NEIT yearly projects, compared to approximately 30% prior to 1990.

Since 1991, appropriations for the NEIT program have remained constant at approximately \$6.1 million dollars, outstripped by the investments of other states in similar training programs for new and expanding industry. The current demands for the North Carolina program have also greatly exceeded the available resources, as North Carolina attracted a record \$7.4 billion in new and expanding industry in 1996 (1/3 increase over 1995), much of it using the lure of the state's heralded customized training program. As a result, NEIT funds were exhausted halfway into the current program year, thus halting new commitments to industries in November pending additional allocations.

Opinions on the New and Expanding Industry Training Program

"This is an excellent state in which to do business, but there is one phenomenal problem that lingers. The workforce availability in North Carolina is extremely tight, and it makes companies very nervous about looking at the state . . . You can put all the incentives and tax credits you want on the table. If these community college programs are not intact for the next century, you're not going to be in business."

- Mike Mullis, Site Selection Consultant
Quoted in *Business North Carolina*,
February, 1997

"We are convinced there is no better way of leveraging tax dollars than by applying them for training resources the way the Community Colleges does."

- Paul Jadot, Baumgartner Inc.

"It is becoming increasingly clear that above tax incentives and all the other gimmicks, that a good vocational training program and a willing workforce are the best attractions that a state can offer and North Carolina has both."

- Don Kintz, Lenox China

"I commented to a colleague, 'This is like something they show you on 60 Minutes or you see in Reader's Digest.' I suggested to a friend with the New Jersey Department of Labor that if they were able to build the Public/Private sector partnerships as they do in North Carolina, they might be able to slow the migration out of state."

- Greg Danzer, Banner Pharmacaps

NEIT Current Procedures

NEIT projects are typically initiated in one of two ways. For companies interested in locating a in a particular area of the state, community college representatives will often be contacted by a local, regional, or state economic developers to meet with company representatives to describe the program and its offerings. In the case of expanding existing companies, the college representatives will often be contacted directly by the companies themselves.

During the initial meeting, the community college representatives, often consisting of a local college representative and a regional training manager from the North Carolina Community College System, will make a determination of the eligibility of the company with respect to the eligibility requirements for creating of 12 or more new production jobs. They will then work with the company to develop an initial plan for training the new workers.

This plan is in turn summarized and described in a project profile which is jointly signed and submitted by representatives of the company, the local community college, and the regional training manager. If approved, the project profiles are signed by the North Carolina Community College System's Director for Economic Development and the Vice President for the Programs Division. These approvals represent a "commitment" on the part of the North Carolina Community College System to support the company's start-up and expansion training.

Companies may be eligible for training support in a number of different areas including:

◆ **Salaries, wages, and related expenses of instructors.**

Instructors may be college employees or contractors, or company employees. College instructors are reimbursed according to existing policies and regulations of the college, and company instructors are compensated at their normal wage, not to exceed 2 ½ times the average manufacturing wage in the state. Instructors may be paid for actual training hours at no lower than a 1:6 trainer to trainee ratio, in addition to program development hours not to exceed 15 percent of the scheduled class hours or 80 hours total. Colleges earn a 5 percent administration allowance for each project.

◆ **Instructor travel expenses.**

Instructors may be reimbursed for local travel at the prevailing state mileage rates. North Carolina residents serving as instructors may be supported for out-of-state travel to gain new skills after which they have instructed six or more new employees. Out-of-state instructors may also be supported for the travel expenses including both coach class air fare and per diem at the prevailing state rates.

◆ **Training materials, supplies, and equipment.**

Companies may be provided necessary classroom supplies, materials, and equipment as it exists within the Community College System. They may also be supported for the costs of developing customized training materials such as manuals, and the Community College System develops customized training videos and computer-based training programs for projects involving large numbers of trainees. Companies may also be reimbursed fifty percent of the costs of non-salvageable materials, not to exceed \$100 per trainee.

◆ **Training facilities.**

On-campus classrooms and facilities may be provided to support NEIT training projects. In addition, costs associated with leasing and operating temporary training facilities may also be provided.

Once approved as an NEIT project, local colleges, through the regional training managers,

submit allotment requests to the Community College System for funding of specific eligible expenditures described above. If approved, funds are released by the System to the local college to support the expenditures.

In addition to submitting funding requests, each local college is responsible for collecting registration forms for every trainee that participates in NEIT sponsored training.

NEIT Guideline and Procedure Revisions

In January of this year, a Task Force consisting of system staff, college presidents, and state board representatives was established to review all current NEIT program guidelines and procedures and make recommendations as to steps which will improve the accountability, fiscal management, and efficiency of the program. The Task Force has met twice, and formally obtained feedback from local college representatives involved with the program, as well as Department of Commerce representatives and economic developers. The Task Force is finalizing the recommendations, and will submit final Guidelines for future action and approval by the State Community College Board at their next meeting in April.

During the course of the conversations, the Task Force has made initial recommendations for new procedure changes as well changes to the Eligibility and Expenditure Guidelines. Final input is still being gathered and draft proposals and it is expected that the final guideline recommendations will be completed by April 10. Changes discussed and currently anticipated as part of this process include:

◆ Employment Baseline for Eligibility

Eligibility would be based on exceeding previous years maximum employment levels. This would prevent "cyclical" projects where companies may be downsizing during one period, and then provided training support during economic upturns when hiring increases. Much discussion has taken place about lowering the current 12 new production employees threshold for eligibility, which limits the program's applicability to small companies. However, given that current demands far exceed resources based on the current pool of eligible companies, the Task Force recommends maintaining the current 12 employee threshold.

◆ Pro-forma Training Plans

New procedures will call for "Pro-Forma Training Plans" to be developed at the start of each project which detail the training objectives, course outlines, training support, and human resources requirements for the entire project. The plans will provide for a formal description of the expectations and goals of each project before they commence.

◆ **Project Timelines**

Each project will have a specified timeline not to exceed 36 months in duration. This will help to assure that State support is provided only during start-up or expansion changes, and not providing ongoing support during periods of cyclical employment and attrition.

◆ **Encouraged Use of Community College Instructors**

The Guidelines will encourage that community college instructors be used whenever possible, and the procedures will spell out that a first step in Project Planning be a Community College Resource Review to maximize use of college instructors.

◆ **Prior Specialized Resource Approval**

Formal resource requests and justifications will be required before approval of special training expenditures and provisions including non-salvageable materials, equipment, and temporary training facilities.

◆ **Joint Review Panel**

Projects anticipated to exceed \$75,000 in total state expenditures will require secondary formal approval by a Joint Review Panel consisting of both a designate by the President of the North Carolina Community College System and the Secretary of Commerce.

◆ **Program Manual and Training Program**

To ensure that the guidelines and procedures are consistently applied across the state, a program manual and training program will be developed for all local college representatives involved with the NEIT program.

◆ **Project Tracking**

A project tracking procedure is being developed and implemented which will provide more formal procedures for monitoring and anticipating project demand, and incorporating all data related to expenditures, numbers of trainees, and evaluation. This new procedure will enable for more precise budget forecasting and immediate reporting capabilities.

Title: Capital Planning and Budgeting Act
Version: D (97-LH-117D(2.17))

This bill would require that recommended expenditures for capital improvements, including repairs and renovations, be based on a long-term planning process. The process would include expenditures from all funding sources, although highway and other transportation infrastructure expenditures would be excluded. The process would be administered by the Office of State Budget and Management (OSBM), part of the Office of the Governor.

The bill would do the following:

1. Require that a continuously updated inventory of state land and capital facilities be maintained and periodically published. The capacity to provide such an inventory exists in the Department of Administration, and the authority to gather needed information is granted in G.S. 143-341.
2. Codify an existing requirement that agencies prepare and submit to the Office of State Budget and Management (OSBM) a six-year projection of capital improvement needs. The needs evaluation would be based upon objective criteria issued by OSBM.
3. Require that the Governor prepare a consolidated six-year capital improvement plan based upon the needs projections submitted by state agencies. The plan would set forth a year-by-year schedule of projects that, in the judgment of the Governor, should be funded during the planning period. The plan would be based on an annual spending target equal to 3% of replacement value (Repair and Renovation) and 2% of projected General Fund tax revenue (New Construction).
4. Require that additional cost information be submitted with the capital component of the Governor's biennial budget recommendations, and the variance between projects recommended in the biennial budget and projects shown in the six-year plan be explained.
5. Require that Repair and Renovation spending be tied to facility assessments conducted by qualified engineering staff in the Office of State Construction.

1
DRAFT
FOR REVIEW ONLY

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1997

H

D

97-LH-117D(2.17)
(THIS IS A DRAFT AND IS NOT READY FOR INTRODUCTION)

Short Title: Capital Planning & Budgeting Act. (Public)

Sponsors: Representative Russell.

Referred to:

1 A BILL TO BE ENTITLED
2 AN ACT TO ESTABLISH A COMPREHENSIVE PROCESS FOR CAPITAL
3 IMPROVEMENT PLANNING AND BUDGETING.
4 The General Assembly of North Carolina enacts:
5 Section 1. Chapter 143 of the General Statutes is
6 amended by adding a new article to read:
7 "Article 1A.
8 "Capital Improvement Planning and Budgeting Act.
9 "§ 143-34.8. Definitions.
10 The following definitions apply in this Article:
11 (1) Capital improvement.--The term includes land
12 acquisition, new construction, or rehabilitation of
13 existing facilities, and repairs and renovations.
14 (2) State agency.--The term includes the Board of
15 Governors of The University of North Carolina.
16 "§ 143-34.8A. Legislative intent; purpose.
17 (a) The General Assembly recognizes the need to establish a
18 comprehensive process for capital improvement planning that is
19 fully integrated with State financial planning and debt
20 management.
21 (b) The capital improvement planning and budgeting process
22 shall include the following elements:
23 (1) An inventory of facilities owned by State agencies.

1 (2) Explicit criteria used to evaluate capital
2 improvement needs.

3 (3) A six-year capital improvement needs inventory.

4 (4) A six-year capital improvement plan.

5 (5) A two-year capital improvement budget.

6 (c) The Office of State Budget and Management has
7 responsibility for management of the capital improvement planning
8 and budgeting process. The Director of the Budget may assign to
9 any State agency or institution, such duties and responsibilities
10 as may in the Director's judgment be necessary to the successful
11 administration of the capital improvement planning and budgeting
12 process.

13 "§ 143-34.8B. Capital improvement facilities inventory.

14 The Department of Administration shall develop and maintain an
15 automated inventory of all facilities owned by State agencies
16 pursuant to G.S. 143-341(4). The inventory shall include the
17 location, occupying agency, ownership, size, description,
18 condition assessment, maintenance record, parking and employee
19 facilities and other information to determine maintenance needs
20 and prepare life-cycle cost evaluations of each facility listed
21 in the inventory. The Department of Administration shall update
22 and publish the inventory at least once every three years. The
23 Department shall also record in the inventory acquisitions of new
24 facilities and significant changes in existing facilities as they
25 occur.

26 "§ 143-34.8C. Capital improvement needs criteria.

27 The Office of State Budget and Management shall develop a
28 weighted list of factors that may be used to evaluate the need
29 for capital improvement projects. The list shall include all of
30 the following:

31 (1) Preservation of existing facilities.

32 (2) Health and safety considerations.

33 (3) Operational efficiencies.

34 (4) Increased demand for governmental services.

35 "§ 143-34.8D. Agency capital improvement needs estimates.

36 (a) On or before September 1 of each even-numbered year, each
37 State agency shall submit to the Office of State Budget and
38 Management and to the Division of Fiscal Research a six-year
39 capital improvement needs estimate. This estimate shall describe
40 the agency's anticipated capital needs for each year of the six-
41 year planning period. Capital improvement needs estimates shall
42 be shown in two parts.

43 (b) The first part of the capital improvement needs estimates
44 shall include only requirements for repairs and renovations

1 necessary to maintain the existing use of existing facilities.
2 Each proposed repair and renovation expenditure shall be
3 justified by reference to the Facilities Condition Assessment
4 Program operated by the Office of State Construction.

5 (c) The second part of the capital improvement needs estimates
6 shall include only proposals for land acquisition and projects
7 involving either construction of new facilities or rehabilitation
8 of existing facilities to accommodate uses for which the existing
9 facilities were not originally designed. Each project included
10 in this part shall be justified by reference to the needs
11 evaluation criteria established by the Office of State Budget and
12 Management pursuant to G.S. 143-34.8C.

13 "§ 143-34.8E. Six-year capital improvement plan.

14 (a) The State capital improvement plan shall address the long-
15 term capital improvement needs of all State government agencies
16 and shall incorporate all capital projects, however financed,
17 proposed to meet those needs, except that transportation
18 infrastructure projects shall be excluded. On or before December
19 31 of each even-numbered year, the Director of the Budget shall
20 prepare and transmit to the General Assembly a six-year capital
21 improvement plan. When preparing the plan, the Director of the
22 Budget shall consider the capital improvement needs estimates
23 submitted by State agencies as required in G.S. 143-34.8D. The
24 plan shall be prepared in two parts.

25 (b) The first part of the capital improvement plan shall set
26 forth repair and renovations requirements that, in the judgment
27 of the Director of the Budget, must be met to protect and
28 preserve existing capital improvement facilities. General Fund
29 expenditure levels anticipated in this part of the plan shall be
30 consistent with the formula establishing the repair and
31 renovation reserve in G.S. 143-15.3A.

32 (c) The second part of the capital improvement plan shall set
33 forth an integrated schedule for land acquisition, new
34 construction, or rehabilitation of existing facilities that, in
35 the judgment of the Director of the Budget, should be initiated
36 within each year of the six-year planning period. The plan shall
37 contain an estimated schedule for each project, along with
38 estimates of planning, design, and construction cost. General
39 Fund expenditure levels anticipated in this part of the plan
40 shall approximate two percent (2%) of General Fund tax revenues
41 for each year of the planning period.

42 "§ 143-34.8F. Biennial capital improvement budget.

43 (a) The Director of the Budget shall submit to the General
44 Assembly a biennial capital improvement budget as a separate

1 component of the budget report required by G.S. 143-11. Except
2 as provided by G.S. 116-11, the capital improvement budget shall
3 set forth a complete description of those land acquisitions, new
4 construction projects, and reconstruction projects recommended
5 for funding during each year of the succeeding biennium. The
6 capital improvement budget shall also contain the following
7 information:

8 (1) For each project recommended, a justification for
9 funding based upon the capital needs criteria set
10 forth in G.S. 143-34.8C.

11 (2) For each project recommended, a detailed account of
12 planning, design, and construction costs.

13 (3) For each new construction project, complete life-
14 cycle cost information.

15 (4) For each new construction project, the estimated
16 impact on agency operating budgets.

17 (b) To the extent that projects recommended for funding in the
18 biennial capital improvements budget differ from those identified
19 and scheduled in the first two years of the six-year capital
20 improvement plan established in G.S. 143-34.8E, the reasons for
21 that variance shall be explained."

22 Section 7. This act is effective when it becomes law.

**1997 PERMANENT SUBCOMMITTEE REPORT
HOUSE OF REPRESENTATIVES**

FOR RECOMMENDING BILLS TO STANDING COMMITTEE OR TO THE FLOOR OF THE HOUSE
The following report(s) from permanent sub committee(s) is/are presented:

By Representative(s) Russeell and Gene Wilson for the Permanent Subcommittee on Capital & Budget of the Standing Committee on APPROPRIATIONS.

☐ Committee Substitute for

S.B. 286

☐ A BILL TO BE ENTITLED AN ACT TO MAKE AN
EMERGENCY APPROPRIATION, ON THE REQUEST OF THE GOVERNOR, TO THE NEW
AND EXPANDING INDUSTRY TRAINING PROGRAM.

REPORTED TO THE STANDING COMMITTEE ON **APPROPRIATIONS**

RECOMMENDED ACTION:

- ☐ With a favorable recommendation.
- ☐ With a favorable recommendation and recommend that the bill be re-referred to the Committee on
- ☐ With a favorable recommendation, as amended.
- ☐ With a favorable recommendation, as amended, and recommend that the bill be re-referred to the Committee on
- ☐ With an unfavorable recommendation.
- ☐ With a unfavorable recommendation as to proposed committee substitute bill which changes the title, unfavorable as to original bill.
- ☐ With a favorable recommendation as to proposed House committee substitute bill, which changes the title, unfavorable as to Senate committee substitute bill.
- ☐ Without prejudice.
- ☐ Other recommended action: -----

WITH APPROVAL OF STANDING COMMITTEE CHAIR FOR REPORT TO BE MADE DIRECTLY
TO THE FLOOR OF THE HOUSE:

Rep. HOLMES for the Standing Committee on APPROPRIATIONS.

s. George M. Holmes

- ☒ With a favorable report.
- ☐ With a favorable report, as amended.
- ☐ With a favorable report as to committee substitute bill which changes the title, unfavorable as to original bill.
- ☐ And having received a unanimous vote in committee, be placed on the Consent Calendar.-

4-8-97

APPROPRIATIONS
Subcommittee on Capital & Budget

Minutes
April 15, 1997

The APPROPRIATIONS Subcommittee on Capital & Budget held a meeting on Tuesday, April 15, 1997 at 12:00 p.m. in room 643 of the Legislative Office Building. The members present were Representatives Carolyn Russell, Cochair, Gene Wilson, Cochair, Joanne Bowie, Walter Church, Sr., Jerry Dockham, Ruth Easterling, Charlotte Gardner, Bobby Hall, Verla Insko, Joe Kiser, Mickey Michaux, Alex Warner and Nurham Warwick. Staff-Lynn Muchmore, Janice Wheeler, Emily Johnson, Phyllis Pickett, and Clerk- Rebecca Jones were also present.

Representative Russell called the meeting to order at 12:05 P.M. She introduced Speros J. Fleggas, PE.. He passed out a handout on the Visitor's Center. This was made part of the minutes. Attachment 1. Mr. Fleggas read over the handout thoroughly.

Representative Russell introduced Phil McNellie, with the Blue Ridge Parkway Scenic Vistas. He addressed the committee members. He went over page 110 in the Governor's Recommended Budget. Representative Mitchell wanted to know where these sites would be located and how much the land will cost. Mr. McNellie said he would get that information to the committee.

Stan Adams, with the Amphibious Water Scooping Tanker Aircraft, addressed the committee. Page 110 of the Governor's Recommended Budget. He told the committee that the plane could be leased out to other states when not in use for North Carolina's fire season. Representative Wilson wanted Mr. Adams to explain to the committee why three plans are needed rather than one plane. Mr. Adams told the committee that there can be an outbreak of fires from one end of the state to the other. Representative Warwick was interested in the operating cost of the planes.

Mr. Adams said that 6.8 million would be the cost of the first year maintenance, which included the price of the plane. Representative Russell asked him to bring an itemized cost list to the next meeting.

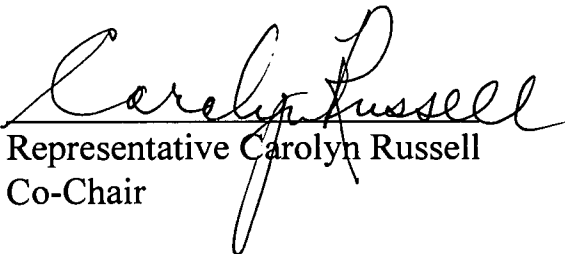
Representative Russell adjourned the meeting at 1:00P.M.

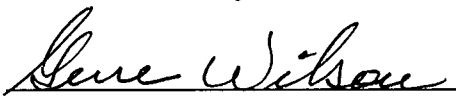
ATTACHMENTS

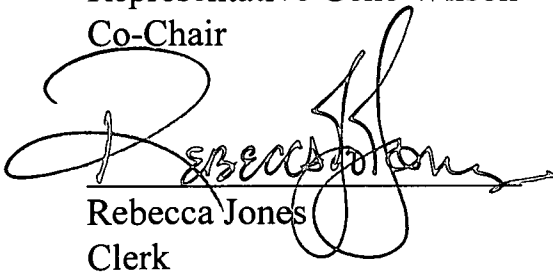
Attachment 1- Visitor's Center

Governor's Recommended Capital Budget was used as a reference also

Respectfully Submitted,


Representative Carolyn Russell
Co-Chair


Representative Gene Wilson
Co-Chair


Rebecca Jones
Clerk

House Appropriations Committee
Capital and Budget Subcommittee

Agenda

April 15, 1997

Continued Discussion of Governor's Capital Recommendations:

1. Department of Administration

✓ Visitor's Center

2. Department of Environment, Health, and Natural Resources

- ✓ - Blue Ridge Parkway - Scenic Vistas
- ✓ - Amphibious Water Scooping Tanker Aircraft
- Water Resources Development and Watershed Projects
- Museum of Natural Science Exhibits

STATE GOVERNMENT VISITOR CENTER



North Carolina Department of Cultural Resources
Office of the Secretary

James B. Hunt Jr., Governor

Betty Ray McCain, Secretary

MEMORANDUM

To: The Honorable Carolyn Russell

From: Renné Vance *Renne Vance*
Business Officer

Subject: State Government Visitor Center

Date: April 16, 1997

Thank you for your interest in the proposed State Government Visitor Center, projected to open in FY 2000-01. We are providing the following information as requested:

1. State Government Visitor Center's projected annual operating cost: \$403,682

12 positions (figures are based on 9/1/96 annual salary schedule):

1. Assistant Administrator (gr. 70) \$29,449
2. Operations Manager (gr.68) \$27,017
3. Interpretations Curator (gr.68) \$27,017
4. Capitol Historian/Curator (gr.68) \$27,017
5. Receptionist (gr. 60) \$19,515
6. Operations Coordinator (gr.61) \$20,336
7. Assistant Scheduler (gr.61) \$20,336
8. Events Assistant (gr. 61) \$20,336
9. Tour Guide (gr.60) \$19,515
10. Tour Guide (gr.60) \$19,515
11. Education Specialist (gr.63) \$22,105
12. Administrative Assistant (gr.61) \$20,336

total salaries:	\$272,494
fringes:	<u>71,188</u>
total position cost:	\$343,682
+ estimated operating cost:	<u>60,000</u>
(telephone, supplies, etc.)	
total annual budget :	\$403,682

The Honorable Carolyn Russell
April 16, 1997
Page 2

The \$403,682 projected operating budget is needed to operate a building **5 times larger** than the existing visitor center, which is completely inadequate to meet the needs of over **125,000 current annual visitors**. The existing visitor center has one women's restroom with facilities to accommodate 9 women and the men's room can accommodate 5 men. During peak tour season, over **2,000 people** enter the visitor center daily. On some days in May, as many as 6 tour buses carrying 40 school children arrive at one time. You can imagine the problems caused by the lack of restroom facilities.

In addition to these facilities, the new 33,000 square-foot visitor center would provide space for classrooms, hands-on activities, exhibits, public programs, more parking, a bus loop, and picnic shelters.

Only 4 full-time employees staff the existing visitor center. The proposed additional 12 positions will be needed to handle the projected increase in visitors at the new visitor center. These positions schedule school groups, other tour groups, and the general public for the entire State Government complex. They provide an orientation to all visitors seeking information about the Raleigh area and the state in general. Tourism is such an important business in the state and the visitor's positive experience at the new visitor center can only help our economic development.

2. Projected future annual visitation at the State Government Visitor Center:

	<u>FY 2000-2001</u>	<u>FY 2001-2002</u>	<u>FY 2002-2003</u>
Children	210,000	260,000	280,000
Adults	150,000	200,000	220,000

Data for FY 1995-96 indicates that well over 300,000 people already visit the downtown Raleigh/State Government area each year. This will certainly increase with the completion of the Museum of Natural Sciences and Exploris.

Thank you again for your inquiry and please let me know if you need further information. I can be reached at 733-7847.

cc: Secretary Betty Ray McCain
Deputy Secretary Betsy Buford
Dr. Jeffrey J. Crow
Speros Fleggas
Michele T. Nelson

APPROPRIATIONS
Subcommittee on Capital & Budget

Minutes
April 17, 1997

The APPROPRIATIONS Subcommittee on Capital & Budget held a meeting on Thursday, April 17, 1997 after session in room 643 of the Legislative Office Building. The members present were Representatives Carolyn Russell, Cochair, Cherie Berry, Joanne Bowie, Walter Church, Sr., Jerry Dockham, Beverly Earle, Ruth Easterling, Bobby Hall, Verla Insko, Frank Mitchell, Jane Mosely and Nurham Warwick. Staff-Lynn Muchmore, Janice Wheeler, Emily Johnson, Phyllis Pickett, and Clerk- Rebecca Jones were also present.

Representative Russell called the meeting to order at 3:15 P.M.. She first introduced a representative from the Department of Environment, Health, and Natural Resources. A handout titled Water Resource Development Plan was made part of the minutes. Attachment 1. This handout was discussed in full. Another handout titled North Carolina State Museum Of Natural Sciences was made part of the minutes. Attachment 2. This handout was discussed with the committee thoroughly.

Representative Russell introduced the Department of Correction. They went over pages A20-A27 on the Governors Recommended Budget.

Next on the Agenda was the Department of Cultural Resources. They had several handouts which were made part of the minutes. Attachment 3 was titled Museum of History- Restaurant. Attachment 4 was Museum of the Cape Fear. Attachment 5 was Museum of Albemarle and Attachment 6 was titled Exhibits. Each of these handouts were read through to the committee.

Representative Russell introduced the Department of Agriculture to the committee. They went over page 106 on the Governor's Recommended Budget. They also had a handout titled Maritime Museum -Land

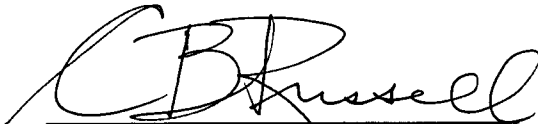
Acquisition. This was made part of the minutes. Attachment 7. All of these handouts were discussed in full with the committee.

Representative Russell adjourned the meeting at 5:15 P.M.

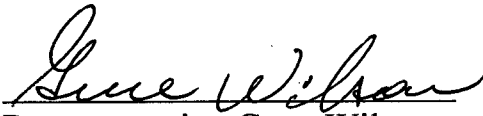
ATTACHMENTS

Attachment 1- Water Resources Development Plan
Attachment 2- N.C. Museum of Natural Science
Attachment 3- N.C. Museum of History Restaurant
Attachment 4- Museum of The Cape Fear
Attachment 5- Museum of The Albemarle
Attachment 6- Exhibits
Attachment 7- Maritime Museum

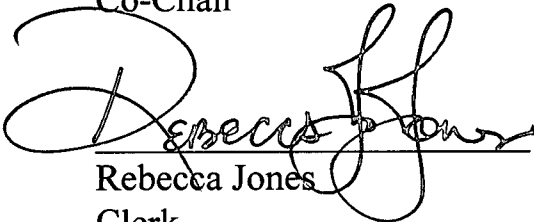
Respectfully Submitted,



Representative Carolyn Russell
Co-Chair



Representative Gene Wilson
Co-Chair



Rebecca Jones
Clerk

House Appropriations Committee
Capital and Budget Subcommittee

Agenda

April 17, 1997

Continued Discussion of Governor's Capital Recommendations:

1. Department of Environment, Health, and Natural Resources

- ✓ - Water Resources Development and Watershed Projects
- ✓ - Museum of Natural Science Exhibits

4. Department of Agriculture

- Multi-purpose Events Building - State Fair
- Eastern North Carolina Agricultural Center - Continued Development
- Cattle and Livestock Exposition Center
- Maritime Museum - Land Acquisition

2. Department of Correction

- Planning Funds for Single Cell Facility - Alexander County

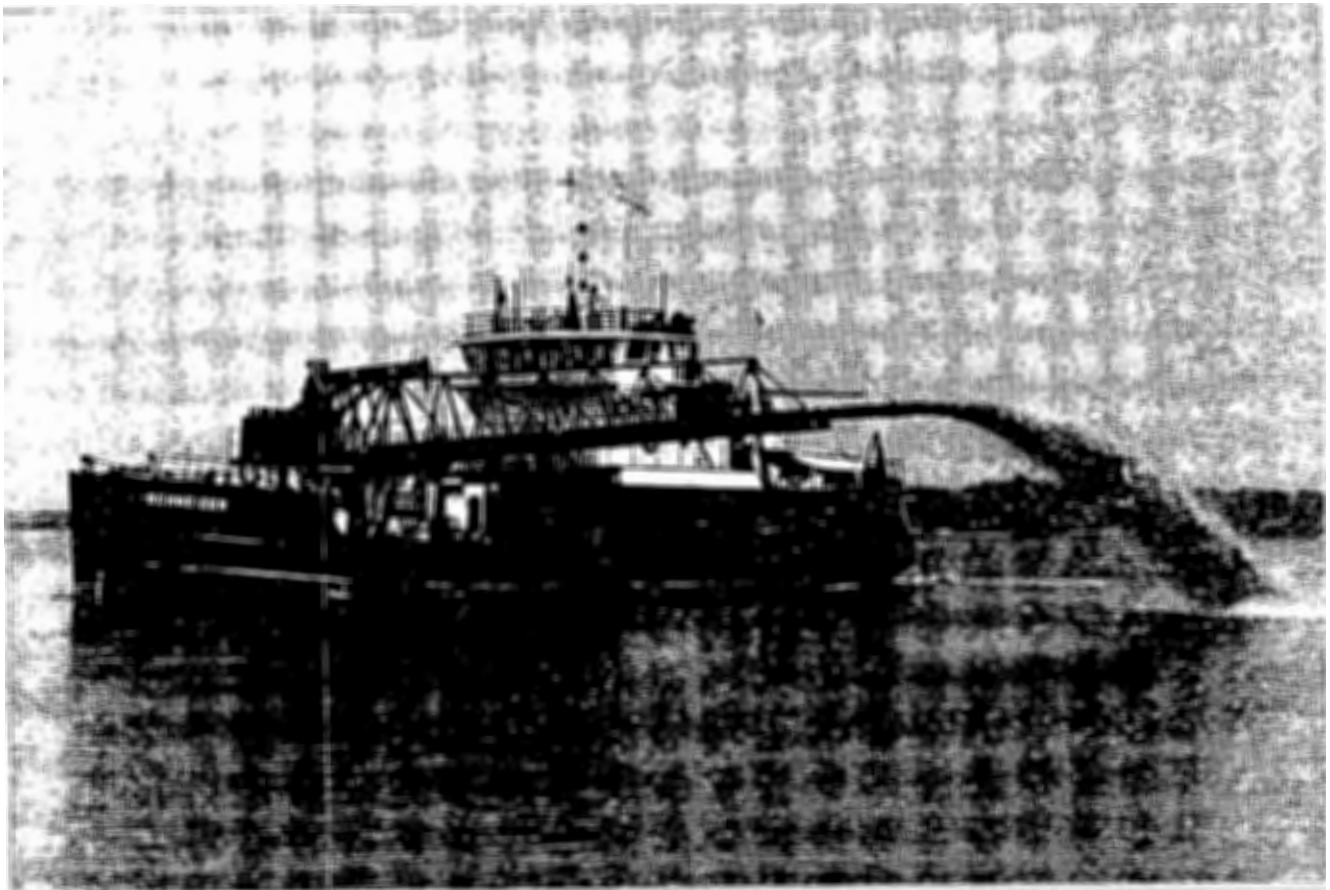
3. Department of Cultural Resources

- Museum of History - Restaurant
- Museum of the Cape Fear
- Museum of the Albemarle
- Exhibits

4.

Water Resources Development Plan

Fiscal Years 1998 - 2003



North Carolina
Department of Environment, Health, and Natural Resources

Division of Water Resources

March 1, 1997

WATER RESOURCES DEVELOPMENT PLAN
FISCAL YEARS 1998-2003
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Cover Photo:

U.S. Sidecasting Dredge SCHWEIZER working at
Oregon Inlet, Dare County, NC

WATER RESOURCES DEVELOPMENT PLAN

Executive Summary

The Department of Environment, Health, and Natural Resources (DEHNR) has prepared a statewide plan for water resources development projects. The plan lists projects for a six-year period, from July 1, 1997 through June 30, 2003. The plan will give the Governor, the State Budget Director, members of the Advisory Budget Commission, and the North Carolina General Assembly a long-range forecast of state funding requirements for water resources development projects. The preparation of this annual plan is required by G.S. 143-215.73A.

The Plan is divided into three sections:

- 1) Project Listing;
- 2) Project Priorities and Funding Recommendations; and
- 3) Project Information.

The first section lists all known water resource development projects by major category. The second section shows the priority assigned to each project in both years of the 1997-99 Biennium (1 being the highest), and whether or not DEHNR recommends it for funding, for deferral, or for elimination. The final section contains specific information on each project as required.

The attached tables show the projects that DEHNR recommends for funding in the next biennial budget, FY 1997-98 and FY 1998-99. The Governor makes the final decision on which of these water resources development projects will be included in the proposed biennial budget that is sent to the 1997 Session of the North Carolina General Assembly.

Recommended Water Resources Development Projects

FY 1997 - 98

A. Corps of Engineers Projects

(Amounts in thousands)

<u>Priority</u>	<u>Name of Project</u>	<u>State</u>	<u>Federal</u>	<u>Local</u>
1	B. Everett Jordan Water Supply Repayment	\$ 90		\$104
2	Wilmington Harbor Maintenance Dredging	455	\$ 5,834	
3	Wilmington Harbor Channel Widening	1,570	17,512	
4	Manteo Shallowbag Bay Maintenance Dredging	150	5,074	
5	Aquatic Plant Control Statewide and Lake Gaston	150		
6	Wilmington Harbor Long Term Disposal	1,000	3,000	
7	Carolina Beach Nourishment	1,148	2,840	383
8	Wrightsville Beach Renourishment	500	1,070	167
9	North and Manteo Channel Maintenance Dredging	1,100		
10	Wanchese Marsh Creation and Protection	203	466	
11	Long Beach Sea Turtle Habitat Restoration	994	3,725	331
12	Clinton Wastewater Treatment Plant Flood Protection	84	262	42
13	Dare County Beaches Feasibility Study	225	450	225
14	Harker's Island Navigation Study	40	80	40
15	AIWW Easement Acquisition	100	5,438	
16	Planning Assistance to Communities	150	300	150
17	Corps of Engineers Feasibility Studies	230	560	230
18	Emergency Flood Control Projects (Section 14)	<u>134</u>	<u>600</u>	<u>66</u>
	Sub-totals	8,323	47,211	1,738

Recommended Water Resources Development Projects

FY 1997 - 98

B. State - Local Projects*

(Amounts in thousands)

<u>Priority</u>	<u>Name of Project</u>	<u>State</u>	<u>Local</u>
1	McLendons Creek Stream Restoration (Moore Co.)	30.0	15.0
2	Allens Creek Water Management (Haywood Co.)	25.0	25.0
3	Big Elkin Creek Stream Restoration (Surry Co.)	8.0	5.0
4	Beech Mountain Stream Restoration Projects (Watauga Co.)	30.0	15.0
5	Tuckaseigee River Access (Swain Co.)	60.0	60.0
6	Brevard High School Wetland Boardwalk (Transylvania Co.)	8.0	8.0
7	Transters Creek - Flat Swamp Drainage (Martin Co.)	36.3	18.7
8	River Bend Canals Maintenance Dredging (Craven County)	292.0	73.0
9	Haw River Access (Alamance Co.)	17.4	17.4
10	Graham - Mebane Lake Pier and Picnic Facility (Alamance Co.)	20.0	20.0
11	French Broad River Park (Buncombe Co.)	30.0	30.9
12	Mill Creek Wetland and Trail Construction (Forsyth Co.)	13.3	13.3
13	Kitty Hawk Beach Access (Dare Co.)	80.5	80.5
14	Wetland Water Management (Dare Co.)	10.0	17.7
15	Lovill's Creek Greenway (Surry Co.)	89.0	89.0
16	Elm Street Drainage (Moore Co.)	20.0	10.0
17	Scott Branch Drainage (Stokes Co.)	30.0	15.0
18	Contentnea Creek Drainage, Phase IV (Wilson Co.)	<u>29.1</u>	<u>14.6</u>
Sub-totals		\$828.1	\$528.1

* Includes projects for which applications were received prior to February 1, 1997

C. Natural Resources Conservation Service Projects

(Amounts in thousands)

<u>Priority</u>	<u>Name of Project</u>	<u>State</u>	<u>Federal</u>	<u>Local</u>
1	Deep Creek (Yadkin County)	\$500	\$2,500	\$1,500
2	Limestone Creek (Duplin County)	<u>30</u>	<u>60</u>	<u>30</u>
Sub-totals		\$530	\$2,560	\$1,530

D. Locally Sponsored Federal Reservoir Projects

None at this time

Recommended Water Resources Development Projects

FY 1997 - 98

E. Summary of Project Costs

<u>Types of Projects</u>	(Amounts in thousands)		
	<u>State</u>	<u>Federal</u>	<u>Local</u>
Corps of Engineers	\$8,232	\$47,211	\$1,738
State - Local	828		455
Natural Resources Conservation Service	530	2,560	1,530
Locally Sponsored Federal Reservoir	<u>0</u>	<u>0</u>	<u>0</u>
Totals	\$9,590	\$49,771	\$3,723

Recommended Water Resources Development Projects

FY 1998 - 99

A. Corps of Engineers Projects

(Amounts in thousands)

<u>Priority</u>	<u>Name of Project</u>	<u>State</u>	<u>Federal</u>	<u>Local</u>
1	B. Everett Jordan Water Supply Repayment	\$ 90		\$ 104
2	Wilmington Harbor Maintenance Dredging	755	6,250	
3	Morehead City Harbor	50	4,400	
4	Cape Fear - Northeast Cape Fear Deepening	1,030	3,170	
5	Wilmington Harbor Channel Widening	4,553	288	
6	Aquatic Plant Control Statewide & Lake Gaston	150		150
7	Wilmington Harbor Long Term Disposal	1,000	3,000	
8	Beaufort Harbor	80	250	20
9	Oregon Inlet Jetties	8,382	17,000	
10	Wilmington Harbor Northeast Cape Fear Navigation Channel Improvements	3,830	9,600	
11	Manteo Shallowbag Bay Maintenance Dredging	50	4,600	
12	Rollinson Channel Maintenance Dredging	400	1,200	
13	Cape Fear above Wilmington Maintenance Dredging	100	350	
14	Moravian Creek Flood Control	25	167	13
15	Dare County Beaches Feasibility Study	63	79	63
16	Harker's Island Navigation Channel	60	45	60
17	AIWW Easement Acquisition	100	4,500	
18	Planning Assistance to Communities	150	300	150
19	Corps of Engineers Feasibility Study	230	560	230
20	Emergency Flood Control Projects (Section 14)	<u>134</u>	<u>600</u>	<u>67</u>
	Sub-totals	\$21,232	\$56,359	\$857

B. State - Local Projects

None identified at this time

C. Natural Resources Conservation Service Projects

(Amounts in thousands)

<u>Priority</u>	<u>Name of Project</u>	<u>State</u>	<u>Federal</u>	<u>Local</u>
1	Deep Creek (Yadkin County)	\$ 500	\$2,500	\$1,500

Recommended Water Resources Development Projects

FY 1998 - 99

D. Locally Sponsored Federal Reservoir Projects

<u>Priority</u>	<u>Name of Project</u>	(Amounts in thousands)		
		<u>State</u>	<u>Federal</u>	<u>Local</u>
1	Randleman Lake	\$2,000		\$2,500

E. Summary of Project Costs

<u>Types of Projects</u>	(Amounts in thousands)		
	<u>State</u>	<u>Federal</u>	<u>Local</u>
Corps of Engineers	\$21,232	\$56,359	\$ 857
State - Local			
Natural Resources Conservation Service	500	2,500	1,500
Locally Sponsored Reservoir Projects	<u>2,000</u>	<u> </u>	<u>2,500</u>
Totals	\$23,732	\$58,859	\$4,857

WATER RESOURCES DEVELOPMENT PLAN

I. Introduction

A. Authority and Purpose of Plan

General Statute 143-215.73A requires the Department of Environment, Health, and Natural Resources to prepare a statewide plan for water resources development projects. The plan lists projects proposed for a six-year period and is due by July 1 each year.

The purpose of the plan is to give the Governor and the State Budget Director a long-range forecast of state funding needs for water resources development. The Governor can then use the plan to select and recommend projects for funding in the biennial budget. The plan also gives the members of the Advisory Budget Commission and the General Assembly a long-range forecast of state funding requirements for water resources development projects. The plan will provide them with information to use in their consideration of the Governor's funding recommendations.

B. Organization and Project Categories

The plan has been written in three parts: 1) Project Listings; 2) Project Priorities and Funding Recommendations; and 3) Project Information. The first part contains a listing of all projects grouped under the five categories specified in part (b) of G.S. 143-215.73A. The second part shows the priority assigned to each project and whether or not it is recommended for funding, for deferral, or for elimination. The final section contains specific information on each project as required under G.S. 143-215.73A(d).

C. Distribution of the Plan

The Department of Environment, Health, and Natural Resources submits the plan to the Director of the Budget each year by July 1. The Budget Director provides copies of the plan to members of the Advisory Budget Commission (ABC) when the ABC meets to deliberate on the biennial budget or on the revised budget for the second year of the biennium. The Director of the Budget also provides copies of the plan to the General Assembly along with the Governor's recommended biennial budget or the Governor's recommended revised budget for the second year of the biennium.

D. Budget Recommendations

This report includes the recommendations of the Department of Environment, Health, and Natural Resources as to which projects should be funded in the next biennial budget or in the revised budget for the second year of the biennium.

The Governor chooses which of these projects, if any, will be included in the Governor's recommended biennial budget (or in the revised budget for the second year of the biennium). The budget document transmitted to the General Assembly by the Governor identifies the water resources development projects (or types of projects) recommended for funding.

II. Project Listing

A. Categories of Water Resources Projects

G.S. 143-215.73A(b) requires the Water Resources Development Program to list projects for a period of six years into the future under five separate categories. These categories are listed and described below.

1. As of May 1, those Water Resources Development Projects Approved by Congress - This category includes all projects specifically authorized by the U.S. Congress or authorized by a general authority that gives the Corps of Engineers the power to build or study such projects. These are shown in Table 1 in Part B below.

2. As of May 1, those Water Resources Development Projects for Which Congress has Appropriated Funds - This category includes those projects (1) approved by Congress, (2) those projects for which Congress has appropriated funds, and (3) those that are scheduled to be underway in the current fiscal year. These projects are listed in Table 2 in Part B below.

3. As of May 1, those Water Resources Development Projects for Which Grant Applications have been Submitted Under Part 8 of Article 21 of Chapter 143 of the General Statutes - This list includes all unfunded grant applications on hand with the Division of Water Resources for state cost-sharing of locally-sponsored water resources development projects. Local government grant applications involving Corps of Engineers projects are shown in Tables 1 and 2. They are not included in this list, which is in Table 3 in Part B below. G.S. 143-215.70-.73 authorizes the Secretary, Department of Environment, Health, and Natural Resources to approve grants for the following purposes: general navigation, recreational navigation, water management, stream restoration, beach protection, water-based recreation, and aquatic weed control.

4. As of May 1, those Water Resources Development Projects for Which Grant Applications have been Submitted Under Article 4 of Chapter 139 of the General Statutes - This list includes all unfunded grant applications on hand with the Division of Soil and Water Conservation for P.L. 566 watershed projects. These projects usually involve funding from the U.S. Natural Resources Conservation Service, the State, and a local sponsor, usually a county Soil and Water Conservation District. The Soil and Water Conservation Commission sets priorities for funding each year. These projects are listed in Table 4 in Part B below.

5. As of May 1, those Water Resources Development Projects Planned as Federal Reservoir Projects but for Which no Federal Funds are Scheduled and for Which Local Governments are Seeking State Financial Assistance - This list includes federal reservoir projects that are no longer being actively planned by the Corps of Engineers and where two or more local governments are seeking to construct these projects. Only one project is listed in Table 5, shown in Part B below.

B. Project Lists

Tables 1-5 show the projects proposed over the July 1, 1997 to June 30, 2003 time frame (state fiscal years 98, 99, 2000, 2001, 2002, and 2003). They also show the fiscal year in which project funding is likely to be required. The projects are listed in alphabetical order.

Table 1: Water Resources Development Projects Approved by Congress

Name of Project	Type	1997 - 98	
		State	Federal
ADKIN BRANCH FLOOD CONTROL, KINSTON	CONST.		5
AIWW-EASEMENT ACQUISITION	O&M	100	5,438
AIWW-TIDAL CREEK RESTORATION	PLANNING & CONST.		140
AQUATIC PLANT CONTROL (State & L. Gaston)	CONST.	150	
B. EVERETT JORDAN LAKE WATER STORAGE	CONST. & O&M	90	
BEAUFORT HARBOR MAINTENANCE DREDGING	O&M (Const. Dikes)		
CAPE FEAR-N.E. CAPE FEAR RIVER DEEPENING	CONST.		330
CAPE FEAR RIVER ABOVE WILMINGTON NAVIGATION	O&M		
CAROLINA BEACH RENOURISHMENT	CONST. (Renourish)	1,148	2,840
CAROLINA BEACH-SOUTH RENOURISHMENT	CONST.		
CLINTON WTP FLOOD PROTECTION	CONST.	84	262
DARE COUNTY BEACHES FEASIBILITY STUDY	FEASIBILITY	225	450
EMERGENCY FLOOD CONTROL PROJECTS (Sec. 14)	CONST.	134	600
HARKER'S ISLAND NAVIGATION CHANNEL	PLANNING & CONST.	40	60
HOMINY SWAMP FLOOD CONTROL, WILSON	CONST.		180
JOHN H. KERR DAM AND RESERVOIR	PLANNING		
LONG BEACH SEA TURTLE HABITAT RESTORATION	CONST.	994	3,725
MANTEO SHALLOWBAG BAY	O&M	150	5,074
MORAVIAN CREEK	CONST.		15
MOREHEAD CITY HARBOR DREDGING	O&M		2,672
NORTH CHANNEL MAINTENANCE DREDGING	O&M	1,100	
OCEAN ISLE BEACH RENOURISHMENT	CONST.		1,000
OREGON INLET JETTIES	CONST.		155
PLANNING ASSISTANCE TO NORTH CAROLINA	PLANNING	150	300
PROSPECTIVE FEASIBILITY STUDIES	PLANNING	230	560
ROLLINSON CHANNEL	O&M		
WANCHESE MARSH CREATION AND PROTECTION	CONST.	203	466
WILMING. HARBOR 38-FT. PROJECT	O&M	455	5,834
WILMING. HARBOR CHANNEL WIDENING	CONST.	1,570	17,512
WILMING. HARBOR LONG TERM DISPOSAL	O&M	1,000	3,256
WILMING. HARBOR N.E. CAPE FEAR DEEPENING	CONST.		100
WRIGHTSVILLE BEACH	CONST. (Renourish.)	500	1,070
TOTAL		\$8,323	\$52,044

STATE FISCAL YEAR

(Amounts in thousands)

1998 - 99		1999 - 2000		2000 - 2001		2001 - 2002		2002 - 2003	
State	Federal	State	Federal	State	Federal	State	Federal	State	Federal
	5	85	600		492				
100	4,500	100	4,500	100	4,500	100	4,500	100	4,500
	94		50	128	270		25		
150		50		50		50		50	
90		80		80		80		80	
80	250			80	250			80	250
1,030	3,170	1,100	3,200	370	1,237	17,700	53,200	17,700	53,100
100	350							100	360
		1,388	3,450	1,245	3,080			1,470	3,640
63	79								
134	600	134	600	134	600	134	600	134	600
60	45	122	966						
	5		105	130	1,260				
	100	500	500	600	600	400	400		
50	4,600	400	4,600	50	4,600	350	4,600	50	4,600
25	167								
50	4,400		3,000	50	4,400		3,000	50	4,400
	1,000		450		210		100	1,870	5,500
8,382	17,000	5,450	17,000	5,450	17,000	5,450	17,000	2,390	7,464
150	300	150	300	150	300	150	300	150	300
230	560	200	800	200	800	200	800	200	800
400	1,200			80	500			80	50
	10		10						
755	6,250	755	6,250	755	6,250	755	6,250	755	6,250
4,553	288	2,500							
1,000	3,000	1,000	3,000	1,000	3,000	1,000	3,000	1,000	3,000
3,830	9,600	3,752	11,377						
						510	1,270		
\$21,232	\$57,573	\$17,766	\$60,758	\$10,652	\$49,349	\$26,879	\$95,045	\$26,259	\$94,814

Table 2 Water Resources Development Projects for Which Congress has Appropriated Funds,
State Fiscal Year 1996-97

(Amounts in Thousands)

<u>Name of Project</u>	<u>Federal Appropriation</u>	<u>State Match</u>
Wilmington Harbor Maintenance Dredging	\$ 9,407,000	\$ 575,000
Morehead City Harbor Dredging	2,748,000	-----
Manteo Shallowbag Bay including Wanchese Harbor (Dare Co.)	3,179,000	79,000
Aquatic Plant Control (includes Lake Gaston)	12,000 *	200,000
Wilmington Harbor Long Term Disposal	2,750,000	1,230,000
Northeast Cape Fear River Channel Deepening	100,000	125,000
Beaufort Harbor Dredging (Carteret Co.)	253,000	-----
Rollinson, Silver Lake Harbor & Rodanthe Maintenance Dredging (Dare Co.)	1,634,000	78,000
North Carolina Aquarium at Manteo Storm Protection (Dare Co.)	424,000	75,000
Drum Inlet Maintenance Dredging (Carteret Co.)	2,000,000	-----
Far Creek Channel Maintenance Dredging (Hyde Co.)	1,225,000	280,000
Stumpy Point Bay Channel Maintenance Dredging (Dare Co.)	1,130,000	240,000
Avon Harbor Maintenance Dredging (Dare Co.)	1,035,000	160,000
Atlantic Intercoastal Waterway Maintenance Dredging	8,720,000	100,000
Planning Assistance to North Carolina Communitie	50,000	25,000
Carolina Beach South (Kure Beach) Beach Protection	6,182,000	3,515,000
Dare County Beaches Feasibility Study	<u>400,000</u>	<u>200,000</u>
Totals	38,579,000	6,882,000

* Carry over funds from Federal Fiscal Year 1995-96.

Table 3 Projects for which grant applications have been submitted under Part 8 of Article 21 of Chapter 143 of the General Statutes *

<u>Name of Project, Type, and Location</u>	<u>Sponsor</u>	<u>State **</u>	<u>Local</u>
Allens Creek Water Management, Haywood County	Town of Waynesville	\$75,000	\$75,000
Beach Mountain Stream Restoration Projects, Watauga County	Town of Beech Mountain	30,000	45,000
Big Elkin Creek Stream Restoration, Surry County	Town of Elkin	8,000	12,000
Brevard High School Wetland Boardwalk (Recreation), Transylvania County	Transylvania County	8,000	8,000
Contentnea Creek Drainage Phase IV, Wilson County	City of Wilson	30,000	15,000
Elm Street Drainage, Moore County	Town of Robbins	20,000	10,000
French Broad River Park (Recreation), Buncombe County	City of Asheville	30,000	31,000
Haw River Boat Access (Recreation), Alamance County	City of Graham	17,500	17,500
Kill Devil Hills Water Management, Dare County	Town of Kill Devil Hills	10,000	5,000
Kitty Hawk Beach Access (Recreation), Dare County	Dare County	80,500	80,500
Lovill's Creek Water Trail (Recreation), Surry County	Town of Mt. Airy	89,000	89,000
McLendons Creek Stream Restoration, Moore County	Moore County S. & W.	30,000	15,000
Mebane Lake Pier and Picnic Facility (Recreation), Alamance County	City of Graham	20,000	20,000
Mill Creek Wetland & Trail Construction (Recreation), Forsyth County	City of Winston-Salem	53,000	53,000
River Bend Canals Maintenance Dredging	Town of River Bend	292,000	73,000
Scott Branch Drainage, Stokes County	Stokes County	30,000	15,000
Tranters Creek - Flat Swamp Drainage, Martin County	Martin County	37,000	18,000
Tuckasegee River Access Site (Recreation), Swain County	Swain County	<u>60,000</u>	<u>60,000</u>
Sub-Total		\$920,000	\$642,000

* Includes projects for which applications were received prior to February 15, 1997.

** There is no Federal cost-share associated with these projects.

Table 4 Water Resources Development Projects for Which Grant Applications have been Submitted Under Article 4 of Chapter 139 of the General Statutes

<u>Name of Project</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>	<u>2002-03</u>
Deep Creek	\$500	\$500	\$500			
Limestone Creek	30					
Second Broad			200	\$200		
Swan Quarter	—	—	—	<u>100</u>	<u>\$100</u>	<u>\$100</u>
Sub-Total	\$530	\$500	\$700	\$300	\$100	\$100

Table 5 Water Resources Development Projects Planned as Federal Reservoir Projects but for Which no Federal Funds are Scheduled and for Which Local Governments are Seeking State Financial Assistance

<u>Name of Project</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-002</u>	<u>2002-003</u>
Randleman Lake		\$2,000				

III. Priorities

A. Setting Priorities

G.S. 143-215.73A requires the Department of Environment, Health, and Natural Resources to assign a priority to each project within each of the five categories listed above, or to recommend no funding. Because of the uncertainty associated with projects planned three or more years ahead, priorities are given only to those projects scheduled to begin in the next biennium, i.e. FY 1997-98 and FY 1998-99. Priorities are not shown for projects in Category 2 (as of May 1, those water resources projects for which Congress has appropriated funds) because a decision on their funding has already been made.

The Department set the priorities for these projects using the criteria specified in G.S. 143-215.73A:

- a) local interest in the project,
- b) cost of the project to the State,
- c) benefit of the project to the State, and
- d) environmental impact of the project.

Each project was evaluated for each of the criteria, these criteria scores were added, and then projects were ranked based on the total scores. For each project recommended for state funding, The Department provides a reason for that recommendation. In certain cases, the Department may recommend a funding amount that is different from what is shown in the project listing. The reason for that difference also is explained.

B. Project Priorities

Priorities for projects planned for FY 1997-98 and FY 1998-99 are shown below in Tables 6, 7, 8, and 9.

Table 6 Priority Recommendations for Water Resources Development Projects Approved by Congress

A. Projects Recommended for Funding in FY 1997-98

(Amounts in thousands)			
<u>Priority</u>	<u>Name of Project</u>	<u>State Funds Recommended</u>	<u>Reason</u>
1	B. Everett Jordan Water Supply Repayment	\$90	Long-range Water Supply in the Central Piedmont
2	Wilmington Harbor Maintenance Dredging	455	Significant Navigation Benefits
3	Wilmington Harbor Channel Widening	1,570	Significant Navigation Benefits
4	Manteo Shallowbag Bay Maintenance Dredging	150	Commercial and Recreational Fishing Benefits
5	Aquatic Plant Control Statewide and Lake Gaston	150	Significant Recreation, Navigation, and Drainage Benefits
6	Wilmington Harbor Long Term Disposal	1,000	Significant Navigation Benefits
7	Carolina Beach Renourishment	1,148	Significant Recreation and Economic Benefits
8	Wrightsville Beach Renourishment	500	Significant Recreation and Economic Benefits
9	North and Manteo Channel Maintenance Dredging	1,100	Recreation and Navigation Benefits
10	Wanchese Marsh Creation and Protection	203	Environmental and Navigation Benefits
11	Long Beach Sea Turtle Habitat Restoration	994	Environmental and Economic Benefits
12	Clinton Wastewater Flood Protection	84	Environmental and Economic Benefits
13	Dare County Beaches Feasibility Study	225	Future Recreation and Economic Benefits
14	Harker's Island Navigation Study	40	Future Economic Benefits
15	AIWW Easement Acquisition	100	Economic Benefits
16	Planning Assistance to Communities	150	Future Economic and Environmental Benefits
17	Corps of Engineers Feasibility Studies	230	Future Economic Benefits
18	Emergency Flood Control Projects (Section 14)	<u>134</u>	Infrastructure Protection
Sub-Total		\$8,323	

Table 6 Continued

B. Projects Recommended for Deferral in FY 1997-98 (None at this time)

C. Projects Recommended for Elimination in FY 1997-98 (None at this time)

D. Projects Recommended for Funding in FY 1998-99

(Amounts in thousands)			
<u>Priority</u>	<u>Name of Project</u>	<u>State Funds Recommended</u>	<u>Reason</u>
1	B. Everett Jordan Water Supply Repayment	\$90	Long-range Water Supply in the Central Piedmont
2	Wilmington Harbor Maintenance Dredging	755	Significant Navigation Benefits
3	Moorehead City Harbor Dredging	50	Significant Navigation Benefits
4	Cape Fear-N.E. Cape Fear River Deepening	1,030	Significant Navigation Benefits
5	Wilmington Harbor Channel Widening	4,553	Significant Navigation Benefits
6	Aquatic Plant Control Statewide and Lake Gaston	150	Significant Recreation, Navigation and Drainage Benefits
7	Wilmington Harbor Long Term Disposal	1,000	Significant Navigation Benefits
8	Beaufort Harbor	80	Significant Navigation Benefits
9	Oregon Inlet Jetties	8,382	Significant Navigation and Economic Benefits
10	Wilmington Harbor N.E. Cape Fear Deepening	3,830	Significant Navigation Benefits
11	Manteo Shallowbag Bay Dredging	50	Significant Navigation Benefits
12	Rollison Channel Maintenance Dredging	400	Navigation Benefits
13	Cape Fear River above Wilmington	100	Navigation Benefits
14	Moravian Creek Flood Control	25	Navigation Benefits
15	Dare County Beaches Feasibility Study	63	Future Economic Benefits
16	Harker's Island Navigation	60	Navigation Benefits
17	AIWW Easement Acquisition	100	Navigation Benefits
18	Planning Assistance to Communities	150	Future Economic and Environmental Benefits
19	Corps of Engineers Feasibility Studies	230	Future Economic Benefits
20	Emergency Flood Control Projects (Section 14)	<u>134</u>	Infrastructure Protection

Sub-Total \$21,232

Table 6 Continued

E. Projects Recommended for Deferral in FY 1998-99	(None at this time)
F. Projects Recommended for Elimination in FY 1998-99	(None at this time)

Table 7 Priority Recommendations for Water Resources Development Projects for Which Local Governments Have Submitted Grant Applications Under G.S. 143-215.71

A. Projects Recommended for Funding in FY 1997-98

<u>Priority</u>	<u>Name of Project and Location</u>	<u>State Funds Recommended</u>	<u>Reasons</u>
1	McLendons Creek Stream Restoration (Moore County)	\$30,000	Environmental Benefits
2	Allens Creek Water Management (Haywood County)	25,000	Environmental Benefits
3	Big Elkin Creek Stream Restoration (Surry County)	8,000	Environmental Benefits
4	Beech Mountain Stream Restoration Projects (Watauga County)	30,000	Environmental Benefits
5	Tuckasegee River Access (Swain County)	60,000	Recreational Benefits
6	Brevard High School Wetland Boardwalk (Transylvania County)	8,000	Educational Benefits
7	Haw River Access (Alamance County)	17,400	Recreational Benefits
8	River Bend Canals Maintenance Dredging	292,000	Navigation Benefits
9	Graham-Mebane Lake Pier and Picnic Facility (Alamance County)	20,000	Recreational Benefits
10	French Broad River Park (Buncombe County)	30,000	Recreational Benefits
11	Mill Creek Wetland and Trail Construction (Forsyth County)	13,300	Recreational Benefits
12	Kitty Hawk Beach Access (Dare County)	80,500	Recreational Benefits
13	Wetland Water Management (Dare County)	10,000	Environmental Benefits
14	Lovill's Creek Greenway (Surry County)	89,000	Recreational Benefits
15	Elm Street Drainage (Moore County)	20,000	Drainage Benefits
16	Tranters Creek - Flat Swamp Drainage (Martin County)	36,300	Drainage Benefits
17	Scott Branch Drainage (Stokes County)	30,000	Drainage Benefits
18	Contentnea Creek Drainage, Phase IV (Wilson County)	<u>29,100</u>	Navigation Benefits
Sub-Total		\$828,600	

Table 7 Continued

B. Projects Recommended for Deferral in FY 1997-98	(None at this time)
C. Projects Recommended for Elimination in FY 1997-98	(None at this time)
D. Projects Recommended for Funding in FY 1998-99	(None at this time)
E. Projects Recommended for Deferral in FY 1998-99	(None at this time)
F. Projects Recommended for Elimination in FY 1998-99	(None at this time)

Table 8 Priority Recommendations for Water Resources Development Projects for Which Grant Applications Have Been Submitted Under G.S. 139-54

A. Projects Recommended for Funding in FY 1997-98

<u>Priority</u>	<u>Name of Project and Location</u>	<u>State Funds Recommended</u>	<u>Reasons</u>
1	Deep Creek (Yadkin County)	\$500,000	Significant Flood Control and Water Supply Benefits
2	Limestone Creek (Duplin County)	<u>30,000</u>	Recreational Benefits
Sub-Total		\$530,000	

B. Projects Recommended for Deferral in FY 1997-98 (None at this time)

C. Projects Recommended for Elimination in FY 1997-98 (None at this time)

D. Projects Recommended for Funding in FY 1998-99

<u>Priority</u>	<u>Name of Project and Location</u>	<u>State Funds Recommended</u>	<u>Reasons</u>
1	Deep Creek (Yadkin County)	\$700,000	Significant Flood Control and Water Supply Benefits

E. Projects Recommended for Deferral in FY 1998-99 (None at this time)

F. Projects Recommended for Elimination in FY 1998-99 (None at this time)

IV. Project Information

This section of the Water Resources Development Plan contains the information requested in G.S. 143-215.73A(d) for each project listed in Section II, Project Listing. This information includes the following:

- (1) Brief description;
- (2) a. Expenditures as of July 1, 1996, not including operation and maintenance costs;
b. Expenditures anticipated from July 1, 1996 through June 30, 1997;
- (3) Estimated costs from July 1, 1997, through June 30, 2003;
- (4) Source of State funds;
- (5) Status of project; and
- (6) Estimated completion date of construction phase.

Information for each project is presented on one page in alphabetical order by category. Categories 1 and 2 begin on page 21; Category 3 on page 59; Category 4 on page 79; and Category 5 on page 85. The initial page in each category contains a listing of the projects in that category and their page numbers. Additional project information can be obtained by contacting the Division of Water Resources, N.C. Department of Environment, Health, and Natural Resources, P.O. Box 27687, Raleigh, N.C. 27611, (919) 733-4064.

A. Project Information , Categories 1 and 2. Water Resources Development Projects
Approved by Congress and Water Resources Development Projects for Which
Congress has Appropriated Funds, State Fiscal Year 1995-96.

<u>Name of Project</u>	<u>Page No.</u>
Adkin Branch Flood Control	23
AIWW-Easement Acquisition	24
AIWW-Tidal Creek Restoration	25
Aquatic Plant Control - Lake Gaston	26
Aquatic Plant Control - Statewide	27
B. Everett Jordan Lake Water Supply	28
B. Everett Jordan Lake Water Supply O&M	29
Beaufort Harbor Maintenance Dredging	30
Cape Fear-N.E. Cape Fear River Deepening	31
Cape Fear River Above Wilmington Navigation	32
Carolina Beach Renourishment	33
Carolina Beach - South Renourishment	34
Clinton WWTP Flood Protection	35
Dare County Beaches Feasibility Study	36
Emergency Flood Control Projects (Section 14)	37
Harker's Island Navigation Improvement	38
Hominy Swamp Flood Control	39
John H. Kerr Dam and Reservoir Modeling Study	40
Long Beach Sea Turtle Habitat Restoration	41
Manteo Shallowbag Bay Maintenance Dredging	42
Moravian Creek Flood Control	43
Morehead City Harbor Dredging	44
North Channel Maintenance Dredging	45
Ocean Isle Beach Renourishment	46
Oregon Inlet Jetty System	47
Planning Assistance to North Carolina	48
Prospective Feasibility Studies (Section 107)	49
Prospective Feasibility Studies (Section 205)	50
Rollinson Channel Maintenance Dredging	51
Wanchese Marsh Creation and Protection	52
Wilmington Harbor 38-Ft. Project	53
Wilmington Harbor Channel Widening	54
Wilmington Harbor Long Term Disposal	55
Wilmington Harbor N.E. Cape Fear Deepening	56
Wrightsville Beach Renourishment	57

Project Name: ADKIN BRANCH FLOOD CONTROL, LENOIR COUNTY

(1) Description:

This Corps of Engineers project is located along Adkin Branch in the City of Kinston. The project would include 1.6 miles of channel improvements following the existing stream channel. A drop structure and a sediment trap would also be installed, and rip-rap would be placed at various points along the project where erosion potential is high. Landscape plantings would be an integral part to help restore lost wildlife habitat, improve aesthetic qualities, and provide erosion control. The project would reduce flood damage to industries, residences, and schools in the area.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>115,000</u>	<u> </u>	<u> </u>	\$ <u>115,000</u>
b. \$	<u>55,000</u>	<u> </u>	<u> </u>	\$ <u>55,000</u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u> </u>	<u> </u>	<u> </u>
Construction	\$ <u>1,102,000</u>	<u>85,000</u>	<u>42,500</u>
Operation and Maintenance (O&M)	\$ <u> </u>	<u> </u>	<u> </u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>5,000</u>	<u>5,000</u>	<u>600,000</u>	<u>492,000</u>	<u> </u>	<u> </u>
State \$	<u> </u>	<u> </u>	<u>85,000</u>	<u> </u>	<u> </u>	<u> </u>
Local \$	<u> </u>	<u> </u>	<u>42,500</u>	<u> </u>	<u> </u>	<u> </u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

(5) Status of Project: Feasibility study underway.

(6) Estimated Completion Date of Construction Phase: November 2000

Project Name: AIWW - EASEMENT ACQUISITION, COASTAL AREA

(1) Description:

In North Carolina, the Atlantic Intracoastal Waterway runs from the Virginia State line to the South Carolina State line. Each year the Corps of Engineers does maintenance dredging in portions of this 100-foot wide by 12-foot deep channel. The dredged material is placed in disposal areas located along the channel. As the non-federal sponsor of the AIWW, the State of North Carolina is responsible for all lands, easements, and rights-of-way for the disposal areas. An estimated \$100,000 per year over the next six years will be necessary to secure easements for those disposal areas now lacking such agreements.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$				\$
b. \$	<u>8,720,000</u>	<u>100,000</u>		<u>\$ 8,720,000</u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$		
Construction (Estimated)	\$		
Operation and Maintenance (O&M)	<u>\$27,938,000</u>	<u>600,000</u>	

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal	<u>\$ 5,438,000</u>	<u>4,500,000</u>	<u>4,500,000</u>	<u>4,500,000</u>	<u>4,500,000</u>	<u>4,500,000</u>
State	<u>\$ 100,000</u>	<u>100,000</u>	<u>100,000</u>	<u>100,000</u>	<u>100,000</u>	<u>100,000</u>
Local	\$					

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project:

(6) Estimated Completion Date of Construction Phase: N/A

Project Name: AIWW - TIDAL CREEK RESTORATION, NEW HANOVER COUNTY

(1) Description:

Along the Atlantic Intracoastal Waterway in New Hanover County, there are several streams that flow into the waterway which are extensively shoaled. This shoaling has reduced tidal flushing and resulted in the closing of these streams to shellfishing. The Wilmington District will study this problem under Section 1135 at 100 percent Federal expense. The non-Federal sponsor, New Hanover County, will be responsible for 25 percent of the total project costs if the project is constructed. New Hanover County will request a two-thirds grant from the State to assist in payment non-federal costs.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>115,000</u>	<u> </u>	<u> </u>	\$ <u>115,000</u>
b. \$	<u>55,000</u>	<u> </u>	<u> </u>	\$ <u>55,000</u>

- (3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u>184,000</u>	<u> </u>	<u> </u>
Construction (Estimated)	\$ <u>395,000</u>	<u>129,000</u>	<u>64,000</u>
Operation and Maintenance (O&M)	\$ <u> </u>	<u> </u>	<u> </u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>140,000</u>	<u>94,000</u>	<u>50,000</u>	<u>270,000</u>	<u>25,000</u>	<u> </u>
State \$	<u> </u>	<u> </u>	<u> </u>	<u>129,000</u>	<u> </u>	<u> </u>
Local \$	<u> </u>	<u> </u>	<u> </u>	<u>64,000</u>	<u> </u>	<u> </u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

- (5) Status of Project: Feasibility study will begin in March of 1998.

- (6) Estimated Completion Date of Construction Phase: March 2002

Project Name: AQUATIC WEED CONTROL - LAKE GASTON

(1) Description:

In 1985, 15 acres of Lake Gaston were found to be infested with the aquatic weed, *Hydrilla*. By the fall of 1996, the infestation had grown to over 3,250 acres. The total area of Lake Gaston that can potentially be impacted is about 5,000 acres, or all of the lake which is less than 10 feet deep. *Hydrilla* interferes with fishing, boating, swimming, and water skiing. The Division of Water Resources has assisted the Lake Gaston Weed Control Council in the following chemical and biological treatments:

<u>Year</u>	<u>Total <i>Hydrilla</i> Acreage</u>	<u>Chemical Treatment (Acres)</u>	<u>Grass Carp Stocked</u>
1993	1,425	422	---
1994	2,535	811	---
1995	3,102	647	20,000
1996	3,250	685	680

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	<u>Federal</u>	<u>State</u>	<u>Local</u>	<u>Total</u>
a. \$	<u>190,000</u>	<u>283,000</u>	<u>345,000</u>	<u>\$ 818,000</u>
b. \$	<u>600</u>	<u>150,000</u>	<u>184,000</u>	<u>\$ 334,600</u>

- (3) Estimated costs from 7/1/97 through 6/30/2003:

	<u>Federal</u>	<u>State</u>	<u>Local</u>
Feasibility Study	\$ <u> </u>	<u> </u>	<u> </u>
Construction	\$ <u> </u>	<u>200,000</u>	<u>465,000</u>
Operation and Maintenance (O&M)	\$ <u> </u>	<u> </u>	<u> </u>

	<u>FY 98</u>	<u>FY 99</u>	<u>FY 00</u>	<u>FY 01</u>	<u>FY 02</u>	<u>FY 03</u>
Federal \$	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
State \$	<u>100,000</u>	<u>100,000</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
Local \$	<u>135,000</u>	<u>135,000</u>	<u>135,000</u>	<u>20,000</u>	<u>20,000</u>	<u>20,000</u>

- (4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

- (5) Status of Project: Treatment of *Hydrilla* with both chemicals and grass carp will continue in 1997.

- (6) Estimated Completion Date of Construction Phase: 2003

Project Name: AQUATIC WEED CONTROL PROGRAM - STATEWIDE

(1) Description:

The N.C. Division of Water Resources provides technical and financial assistance to local governments in the evaluation and treatment of aquatic weed infestations. Some weed problems are eligible for federal assistance and these can receive cost-sharing through the U.S. Army Corps of Engineers. However, no Federal funds were appropriated for a State weed control program by Congress for FY 1996-97. Unless Congress does provide additional funds, Federal cost-sharing of aquatic weed treatments will not be available in the future. State financial assistance for local weed control projects is still available. The state program usually involves 15-20 weed control projects each year.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>500,000</u>	<u>500,000</u>	<u>260,000</u>	<u>\$ 1,260,000</u>
b. \$	<u>12,000 *</u>	<u>50,000</u>	<u>35,000</u>	<u>\$ 97,000</u>

- (3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	<u>300,000</u>	<u>240,000</u>
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	<u>50,000</u>	<u>50,000</u>	<u>50,000</u>	<u>50,000</u>	<u>50,000</u>	<u>50,000</u>
Local \$	<u>40,000</u>	<u>40,000</u>	<u>40,000</u>	<u>40,000</u>	<u>40,000</u>	<u>40,000</u>

- (4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

- (5) Status of Project: On-going.

- (6) Estimated Completion Date of Construction Phase: N/A

* Carry over funds from FY 1995-96.

Project Name: B. EVERETT JORDAN LAKE WATER SUPPLY, TRIANGLE AREA

(1) Description:

The State of North Carolina asked the Corps of Engineers to include water supply storage in Jordan Lake. The contract between the Corps and the State requires the State to begin paying for that storage within ten years of project completion, or when usage of the storage begins, whichever comes first. The State allocated 42 percent of the water supply storage in 1988 and began collecting fees for that water in FY 93. Only 33 of the 42 percent allocated was paid for by local governments. The nine percent of the allocations not paid for were rescinded in 1994. The current allocation holders are as follows: Cary-Apex - 16%; Orange Water and Sewer Authority - 10%; Chatham County - 6%; Orange County - 1%. The money paid by these allocation holders to the Corps reduces the State interest payment from \$148,000 to about \$95,000. If additional storage is allocated, then the cost to the State will decrease further.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$147,400,000	<u>402,400</u>	<u>172,900</u>	<u>\$147,975,100</u>	
b. \$ <u>369,000</u>	<u>94,800</u>	<u>55,000</u>	<u>\$ 518,800</u>	

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u> </u>	<u> </u>	<u> </u>
Construction - Interest	\$ <u> </u>	<u>500,000</u>	<u>300,000</u>
- Principal	\$ <u> </u>	<u> </u>	<u>57,000</u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
State \$	<u>90,000</u>	<u>90,000</u>	<u>80,000</u>	<u>80,000</u>	<u>80,000</u>	<u>80,000</u>
Local \$	<u>57,000</u>	<u>50,000</u>	<u>59,600</u>	<u>60,000</u>	<u>61,000</u>	<u>62,000</u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

- (5) Status of Project: The Towns of Cary and Apex began using the Jordan Lake water supply storage in 1993. Chatham County began withdrawing water through the Cary-Apex intake in 1996. The Environmental Management Commission received additional requests for Jordan Lake water supply storage in 1996 and will allocate more storage in 1997 and 1998.

(6) Estimated Completion Date of Construction Phase: N/A

Project Name: B. EVERETT JORDAN LAKE WATER SUPPLY O&M

(1) Description:

The State Environmental Management Commission allocates water supply storage from B. Everett Jordan Lake to local water systems. These local systems pay the percentage of annual operation and maintenance (O&M) costs equal to the portion of water storage allocated to them. Because all the water supply storage has not been allocated, the State is required to pay the residual O&M costs to the Corps.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	_____	_____
Operation and Maintenance (O&M)	\$ _____	<u>139,000</u>	<u>149,000</u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	<u>29,000</u>	<u>22,000</u>	<u>22,000</u>	<u>22,000</u>	<u>22,000</u>	<u>22,000</u>
Local \$	<u>19,000</u>	<u>26,000</u>	<u>26,000</u>	<u>26,000</u>	<u>26,000</u>	<u>26,000</u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project:

(6) Estimated Completion Date of Construction Phase: N/A

Project Name: BEAUFORT HARBOR MAINTENANCE DREDGING, CARTERET COUNTY

(1) Description:

This Corps of Engineers navigation project is located at the Town of Beaufort. The project consists of five segments: (1) the 15-foot deep Bulkhead Channel from the Town to Beaufort Inlet; (2) the 15-foot deep Gallants Channel from the Town north to the Newport River; (3) the 15-foot deep Taylors Creek Channel from the Town east to the North River and Back Sound; (4) the 12-foot deep Town Creek Channel and Basin north of Beaufort; and (5) the 14-foot Morgan Creek Channel and Basin. Carteret County, the non-federal sponsor, has requested an 80 percent grant to help it pay for the dikes that need to be built in order to perform maintenance dredging.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>125,000</u>	<u>80,000</u>	<u>20,000</u>	\$ <u>225,000</u>
b. \$	<u> </u>	<u> </u>	<u> </u>	\$ <u> </u>

- (3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u> </u>	<u> </u>	<u> </u>
Construction	\$ <u> </u>	<u> </u>	<u> </u>
Operation and Maintenance (O&M)	\$ <u>750,000</u>	<u>240,000</u>	<u>60,000</u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u> </u>	<u>250,000</u>	<u> </u>	<u>250,000</u>	<u> </u>	<u>250,000</u>
State \$	<u> </u>	<u>80,000</u>	<u> </u>	<u>80,000</u>	<u> </u>	<u>80,000</u>
Local \$	<u> </u>	<u>20,000</u>	<u> </u>	<u>20,000</u>	<u> </u>	<u>20,000</u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

(5) Status of Project: Next maintenance dredging will be done in FY 99.

(6) Estimated Completion Date of Construction Phase: N/A

Project Name: CAPE FEAR-N.E. CAPE FEAR RIVER DEEPENING, NEW HANOVER COUNTY

(1) Description:

A cooperative study between the U.S. Army Corps of Engineers and the State of North Carolina has determined that it is economically and environmentally feasible to deepen Wilmington Harbor and the navigation channel from the Atlantic Ocean to Wilmington Harbor by 4 feet (from 38 to 42 feet). This deepening will allow Wilmington Harbor to accommodate fully loaded container ships. This will keep shipping costs competitive with those at Norfolk, Charleston, and Savannah. The project will involve the removal of over 12,500,000 cubic yards of material, including 135,000 cubic yards of blasted rock. The U.S. Congress authorized this project in the fall of 1996. Construction could begin in the year 1999 and be finished in 2004.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>2,190,000</u>	<u>1,851,000</u>	<u> </u>	<u>\$ 4,041,000</u>
b. \$	<u>999,000</u>	<u> </u>	<u> </u>	<u>\$ 999,000</u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u> </u>	<u> </u>	<u> </u>
Construction	\$ <u>113,167,000</u>	<u>37,900,000</u>	<u> </u>
Operation and Maintenance (O&M)	\$ <u> </u>	<u> </u>	<u> </u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>330,000</u>	<u>2,100,000</u>	<u>3,200,000</u>	<u>1,237,000</u>	<u>53,200,000</u>	<u>53,100,000</u>
State \$	<u> </u>	<u>1,030,000</u>	<u>1,100,000</u>	<u>370,000</u>	<u>17,700,000</u>	<u>17,700,000</u>
Local \$	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

- (5) Status of Project: Feasibility study was completed in June of 1996. Project was authorized in the fall of 1996. Construction will begin as soon as Congress approves funding.

(6) Estimated Completion Date of Construction Phase: December 2004

Project Name: CAPE FEAR RIVER ABOVE WILMINGTON, NEW HANOVER COUNTY

(1) Description:

This Corps of Engineers project provides a navigation channel from Wilmington to Fayetteville, a distance of 111 miles. The channel has three segments: a 25-foot deep channel 2.9 Miles from Wilmington to Navassa; a 12-foot deep channel 27.1 miles from Navassa to Acme; and an 8-foot deep channel 81 miles from Acme to Fayetteville. In the 12-foot segment, there are five channel cutoffs which, when dredged, require the use of temporary dikes. As the non-federal sponsor of the project, the State is responsible for paying for the dikes. This maintenance work is done approximately every four to six years.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>180,000</u>	<u>100,000</u>	<u> </u>	\$ <u>280,000</u>
b. \$	<u> </u>	<u> </u>	<u> </u>	\$ <u> </u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u> </u>	<u> </u>	<u> </u>
Construction	\$ <u> </u>	<u> </u>	<u> </u>
Operation and Maintenance (O&M)	\$ <u>710,000</u>	<u>200,000</u>	<u> </u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u> </u>	<u>350,000</u>	<u> </u>	<u> </u>	<u> </u>	<u>360,000</u>
State \$	<u> </u>	<u>100,000</u>	<u> </u>	<u> </u>	<u> </u>	<u>100,000</u>
Local \$	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

(5) Status of Project: Next maintenance will be done in FY 1999.

(6) Estimated Completion Date of Construction Phase: N/A

Project Name: CAROLINA BEACH RENOURISHMENT, NEW HANOVER COUNTY

(1) Description:

This Corps of Engineers project is located in the Town of Carolina Beach, about 15 miles southeast of Wilmington. The project provides for restoring the dune with a base generally bordering at or near the building line. The dune dimensions are a crown width of 25 feet at an elevation of 15 feet above mean low water together with an integral beach berm with a crown width of 50 feet at elevation 12. Beach fill extends about 14,000 feet from the northern to the southern limits of Carolina Beach. Along the northern 2,050 feet, the project includes a rock revetment at elevation 12 fronted by 130-foot-wide berm at elevation 8. Federal participation in the cost of beach renourishment will run for a period not to exceed 50 years from year of initial placement (1965-2015).

The Town of Carolina Beach is the non-Federal sponsor of this beach renourishment project. It has requested 75 percent cost-sharing of the non-Federal cost of the project from the State, as allowed under G.S. 143-215.70-73. The Town and New Hanover County will pay 25 percent.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>12,297,000</u>	<u>8,416,000</u>	<u>2,139,000</u>	\$ <u>20,852,000</u>
b. \$	<u> </u>	<u> </u>	<u> </u>	\$ <u> </u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u> </u>	<u> </u>	<u> </u>
Construction	\$ <u>5,920,000</u>	<u>2,393,000</u>	<u>798,000</u>
Operation and Maintenance (O&M)	\$ <u> </u>	<u> </u>	<u> </u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal	\$ <u>2,840,000</u>	<u> </u>	<u> </u>	<u>3,080,000</u>	<u> </u>	<u> </u>
State	\$ <u>1,148,000</u>	<u> </u>	<u> </u>	<u>1,245,000</u>	<u> </u>	<u> </u>
Local	\$ <u>383,000</u>	<u> </u>	<u> </u>	<u>415,000</u>	<u> </u>	<u> </u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

(5) Status of Project: Project is holding up well. Needs to be renourished every three years.

(6) Estimated Completion Date of Construction Phase: N/A

Project Name: CAROLINA BEACH SOUTH, NEW HANOVER COUNTY

(1) Description:

This Corps of Engineers hurricane protection project will be constructed south of Carolina Beach in front of developments known as Wilmington Beach and Kure Beach. It provides for construction of a dune with a base generally bordering at or near the building line with a crown width of 25 feet at an elevation of 13.5 feet above mean low water and a beach berm 50 feet wide at elevation 9.0 feet above mean low water, extending 18,000 feet from the southern limits of Carolina Beach. Federal participation in the cost of beach nourishment will not exceed 50 years from year of initial placement.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>2,940,000</u>	<u>968,000</u>	<u> </u>	\$ <u>3,908,000</u>
b. \$	<u>6,182,000</u>	<u>3,515,000</u>	<u>204,000</u>	\$ <u>9,901,000</u>

- (3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u> </u>	<u> </u>	<u> </u>
Construction	\$ <u>7,090,000</u>	<u>2,858,000</u>	<u>952,000</u>
Operation and Maintenance (O&M)	\$ <u> </u>	<u> </u>	<u> </u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u> </u>	<u> </u>	<u>3,450,000</u>	<u> </u>	<u> </u>	<u>3,640,000</u>
State \$	<u> </u>	<u> </u>	<u>1,388,000</u>	<u> </u>	<u> </u>	<u>1,470,000</u>
Local \$	<u> </u>	<u> </u>	<u>462,000</u>	<u> </u>	<u> </u>	<u>490,000</u>

- (4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

- (5) Status of Project: The construction of the dune and beach berm will begin in March of 1997 using sand from an offshore borrow area.

- (6) Estimated Completion Date of Construction Phase: June 1997

Project Name: CLINTON WWTP FLOOD PROTECTION, SAMPSON COUNTY

(1) Description:

This Corps of Engineers project involves only the construction of a dike around Clinton's sewage treatment plant located on Williams Old Mill Branch, a tributary to Great Coharie Creek. The purpose of the dike is to prevent flood waters during heavy rain storms from damaging the wastewater treatment plant. The City of Clinton has requested State cost-sharing to help pay the non-federal cost of this project. The State's cost-share is equal to 66-2/3 percent of the non-Federal cash cost, or \$84,000.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>176,000</u>	<u> </u>	<u> </u>	\$ <u>176,000</u>
b. \$	<u> </u>	<u> </u>	<u> </u>	\$ <u> </u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u> </u>	<u> </u>	<u> </u>
Construction	\$ <u>262,000</u>	<u>84,000</u>	<u>42,000</u>
Operation and Maintenance (O&M)	\$ <u> </u>	<u> </u>	<u> </u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>262,000</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
State \$	<u>84,000</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
Local \$	<u>42,000</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

- (5) Status of Project: The Corps of Engineers will seek bids to contract the dike in January of 1998.

(6) Estimated Completion Date of Construction Phase: January 1999

Project Name: DARE COUNTY BEACHES FEASIBILITY STUDY

(1) Description:

The Corps of Engineers feasibility study would determine whether it would be cost effective for the Corps to construct a dune and berm system along all or portions of Dare County's 20 miles of beaches. The Corps completed a reconnaissance study of this project in the spring of 1994 and has entered into an agreement with the local sponsor, Dare County, to complete the feasibility study. Dare County requested that the State contribute 50 percent of its costs, i.e. 25 percent of the total costs, of the feasibility study. The study includes an underwater geologic survey and sampling program of the area just offshore of the Dare County Beaches in order to locate sand borrow areas.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>1,168,000</u>	<u>342,000</u>	<u>342,000</u>	\$ <u>1,853,000</u>
b. \$	<u>400,000</u>	<u>175,000</u>	<u>176,000</u>	\$ <u>751,000</u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u>529,000</u>	<u>288,000</u>	<u>288,000</u>
Construction	\$ _____	_____	_____
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>450,000</u>	<u>79,000</u>	_____	_____	_____	_____
State \$	<u>225,000</u>	<u>63,000</u>	_____	_____	_____	_____
Local \$	<u>225,000</u>	<u>63,000</u>	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

- (5) Status of Project: The reconnaissance study was completed in May of 1994. The feasibility study is scheduled for completion in February of 1999.

(6) Estimated Completion Date of Construction Phase: Not Scheduled

Project Name: EMERGENCY FLOOD CONTROL PROJECTS (SECTION 14)

(1) Description:

Under Section 14, the Wilmington District, Corps of Engineers, can design and construct emergency flood control measures to protect public utilities and facilities. The Corps tries to complete these projects within a 12-month time period. The cost-sharing for the construction phase of these projects is 75 percent Federal and 25 percent non-Federal. The initial study and the development of plans and specifications are a 100 percent Federal cost unless it exceeds \$40,000. The funds shown are for projects that may occur during each fiscal year.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ <u>3,000,000</u>	<u>804,000</u>	<u>396,000</u>
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 978	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>600,000</u>	<u>600,000</u>	<u>600,000</u>	<u>600,000</u>	<u>600,000</u>	<u>600,000</u>
State \$	<u>134,000</u>	<u>134,000</u>	<u>134,000</u>	<u>134,000</u>	<u>134,000</u>	<u>134,000</u>
Local \$	<u>66,000</u>	<u>66,000</u>	<u>66,000</u>	<u>66,000</u>	<u>66,000</u>	<u>66,000</u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: See above.

(6) Estimated Completion Date of Construction Phase: See above.

Project Name: HARKERS ISLAND NAVIGATION IMPROVEMENT FEASIBILITY STUDY,
CARTERET COUNTY

(1) Description:

The Corps of Engineers is studying the feasibility of improving navigation in the Eastmouth and Westmouth Bays on the north side of Harkers Island. One alternative would be a 60-foot wide, 6-foot deep channel from Westmouth Bay through Eastmouth Bay to the existing channel in Core Sound near the east end of the island. Another would be a north-south channel in Westmouth Bay. Significant environmental issues will need to be addressed in this study.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>88,000</u>	<u> </u>	<u> </u>	\$ <u>88,000</u>
b. \$	<u>100,000</u>	<u>10,000</u>	<u>10,000</u>	\$ <u>120,000</u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u>15,000</u>	<u>40,000</u>	<u>40,000</u>
Construction (Estimated)	\$ <u>1,056,000</u>	<u>212,000</u>	<u>52,000</u>
Operation and Maintenance (O&M)	\$ <u> </u>	<u> </u>	<u> </u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>60,000</u>	<u>45,000</u>	<u>966,000</u>	<u> </u>	<u> </u>	<u> </u>
State \$	<u>40,000</u>	<u>90,000</u>	<u>122,000</u>	<u> </u>	<u> </u>	<u> </u>
Local \$	<u>40,000</u>	<u> </u>	<u>52,000</u>	<u> </u>	<u> </u>	<u> </u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

(5) Status of Project: Feasibility study is underway and is scheduled for completion in June of 1998.

(6) Estimated Completion Date of Construction Phase: May 2000

Project Name: HOMINY SWAMP FLOOD CONTROL, WILSON COUNTY

(1) Description:

This Corps of Engineers project is located along a 3.7 mile segment of Hominy Swamp in the City of Wilson. The Corps is conducting a feasibility study of this project. The project would likely involve channel enlargement and clearing and snagging of this stream. The estimated annual flood damages from this stream are in excess of \$700,000 per year.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>55,000</u>	<u>28,000</u>	<u>27,000</u>	\$ <u>110,000</u>
b. \$	<u>20,000</u>	<u>5,000</u>	<u>5,000</u>	\$ <u>30,000</u>

- (3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u>195,000</u>		
Construction	\$ <u>1,365,000</u>	<u>130,000</u>	<u>64,000</u>
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>180,000</u>	<u>5,000</u>	<u>105,000</u>	<u>1,260,000</u>	_____	_____
State \$	_____	_____	_____	<u>130,000</u>	_____	_____
Local \$	_____	_____	_____	<u>64,000</u>	_____	_____

- (4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

- (5) Status of Project: Feasibility study underway - to be completed by June, 1997. Construction is planned to begin in September of 2000.

- (6) Estimated Completion Date of Construction Phase: September 2001

Project Name: JOHN H. KERR DAM AND RESERVOIR MODELING STUDY

(1) Description:

The operations of John H. Kerr are closely tied to the operations of the two lakes immediately downstream, Roanoke Rapids Lake and Lake Gaston. Both of the lakes are owned and operated by Virginia Electric and Power Company (VEPCO). The Federal Energy Regulatory Commission license (FERC Project No. 2009) for the two lakes expires on January 31, 2001. As part of the relicensing process the impacts of the operations of the three reservoirs is going to be studied. This joint Corps of Engineers, VEPCO, and State study will develop a hydrodynamic flow model of the lower Roanoke River to develop an improved strategy of flow releases to improve water quality, fish habitats, and address other environmental concerns.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ 1,600,000	1,500,000	_____
Construction	\$ _____	_____	_____
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	100,000	500,000	600,000	400,000	_____
State \$	_____	_____	500,000	600,000	400,000	_____
Local \$	_____	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project:

(6) Estimated Completion Date of Construction Phase: N/A

Project Name: LONG BEACH SEA TURTLE HABITAT RESTORATION, BRUNSWICK COUNTY

(1) Description:

Erosion of the beaches along the Town of Long Beach has caused a decline in the success of sea turtle nesting in that area. This project involves the transfer of just over 1,000,000 cubic yards of sand from the Yellowbank disposal area along the Atlantic Intracoastal Waterway to about two miles of ocean beach within the Town of Long Beach. This sand will provide the habitat necessary for sea turtle nesting. The Town of Long Beach has requested a 75 percent cost-sharing grant from the State of North Carolina to help pay the non-federal cost of this project.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	<u>250,000</u>	_____	_____	\$ <u>250,000</u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ <u>3,725,000</u>	<u>994,000</u>	<u>331,000</u>
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>3,725,000</u>	_____	_____	_____	_____	_____
State \$	<u>994,000</u>	_____	_____	_____	_____	_____
Local \$	<u>331,000</u>	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

- (5) Status of Project: The preliminary restoration plan was completed in January of 1997 and is now under review.

(6) Estimated Completion Date of Construction Phase: April, 1998

Project Name: MANTEO SHALLOWBAG BAY MAINTENANCE DREDGING, DARE COUNTY

(1) Description:

As part of the maintenance dredging of the navigation channel going from Oregon Inlet to Wanchese Harbor, the Corps of Engineers must provide environmentally sound spoil disposal sites. As the non-federal sponsor of this channel, the State is required to pay for preparation of these spoil disposal sites, which, involves the raising of dikes around a spoil disposal island (Island H). The Corps pays for 100 percent of the dredging costs. The State of North Carolina is the non-federal sponsor of this project.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$				\$
b. \$	<u>3,179,000</u>	<u>79,000</u>		<u>\$ 3,258,000</u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$		
Construction	\$		
Operation and Maintenance (O&M)	<u>\$28,074,000</u>		

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal	<u>\$5,074,000</u>	<u>4,600,000</u>	<u>4,600,000</u>	<u>4,600,000</u>	<u>4,600,000</u>	<u>4,600,000</u>
State	<u>\$ 150,000</u>	<u>50,000</u>	<u>400,000</u>	<u>50,000</u>	<u>350,000</u>	<u>50,000</u>
Local	\$					

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project:

(6) Estimated Completion Date of Construction Phase: O&M

Project Name: MORAVIAN CREEK FLOOD CONTROL, TOWN OF WILKESBORO,
WILKES COUNTY

(1) Description:

This Corps of Engineers flood control project is located along one-half mile of Moravian Creek in the Town of Wilkesboro. The project involves the construction of a low dike and wall plus clearing and snagging this segment of Moravian Creek.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>128,000</u>	<u> </u>	<u> </u>	\$ <u>128,000</u>
b. \$	<u>55,000</u>	<u> </u>	<u> </u>	\$ <u>55,000</u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u> </u>	<u> </u>	<u> </u>
Construction	\$ <u>182,000</u>	<u>25,000</u>	<u>12,000</u>
Operation and Maintenance (O&M)	\$ <u> </u>	<u> </u>	<u> </u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>15,000</u>	<u>167,000</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
State \$	<u> </u>	<u>25,000</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
Local \$	<u> </u>	<u>12,000</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

- (5) Status of Project: Feasibility study was completed in October of 1995. Plans and specifications will be done by December of 1998.

(6) Estimated Completion Date of Construction Phase: August 1999

Project Name: MOREHEAD CITY HARBOR DREDGING, CARTERET COUNTY

(1) Description:

The deepening of Morehead City Harbor to 45 feet was completed in 1994. The State, as the non-federal sponsor, is required to pay for the repair of overflow weirs and piping at the Brandt Island material placement site. This work is required every other year to keep placement site operational.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$				\$
b. \$	<u>6,751,000</u>	<u>50,000</u>		<u>\$ 6,801,000</u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$		
Construction	\$		
Operation and Maintenance (O&M)	<u>\$ 15,872,000</u>	<u>150,000</u>	

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>2,672,000</u>	<u>4,400,000</u>	<u>3,000,000</u>	<u>4,400,000</u>	<u>3,000,000</u>	<u>4,400,000</u>
State \$		<u>50,000</u>		<u>50,000</u>		<u>50,000</u>
Local \$						

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: On going.

(6) Estimated Completion Date of Construction Phase: N/A

Project Name: NORTH CHANNEL MAINTENANCE DREDGING, DARE COUNTY

(1) Description:

This channel is a federally-authorized Corps of Engineers navigation channel; however the Corps of Engineers has not maintained it since construction in 1964. The navigation channel from Manteo to Wanchese through Shallowbag Bay is designed to be ten feet deep and requires maintenance dredging every eight to ten years. In 1984, the State accepted responsibility of maintaining this channel because the Elizabeth II, a state historic ship replica, is berthed in Manteo and requires water depths greater than eight feet. The last maintenance dredging of this entire channel occurred in FY 1984-85. A January 1992 survey of this channel found depths as shallow as six feet deep. Approximately 50,000 cubic yards of material were removed from the worst shoals in February and March 1994. An additional 200,000 cubic yards of material will need to be removed in FY 1998.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	<u>30,000</u>	_____	\$ <u>30,000</u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	_____	_____
Operation and Maintenance (O&M)	\$ _____	<u>1,100,000</u>	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	<u>1,100,000</u>	_____	_____	_____	_____	_____
Local \$	_____	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

- (5) Status of Project: Environmental study of disposal areas is underway and should be completed by July of 1997. Dike construction should begin in October of 1997.

(6) Estimated Completion Date of Construction Phase: March 1998

Project Name: OCEAN ISLE BEACH RENOURISHMENT, BRUNSWICK COUNTY

(1) Description:

This Corps of Engineers project is located in the Town of Ocean Isle Beach and provides for hurricane-wave protection and beach erosion control along the oceanfront. A levee consisting of a continuous vegetated dune and high beach berm stabilized by periodic nourishment would be constructed. The dune crown width is 25 feet at elevation 20 feet above mean sea level fronted by a storm berm having a width of 50 feet at elevation 15 feet above mean sea level for a distance of 28,000 feet. There would be federal participation in beach nourishment costs for a period of 50 years from the date of construction.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>2,140,000</u>	<u> </u>	<u> </u>	\$ <u>2,140,000</u>
b. \$	<u>500,000</u>	<u> </u>	<u> </u>	\$ <u>500,000</u>

- (3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u> </u>	<u> </u>	<u> </u>
Construction	\$ <u>8,260,000</u>	<u>1,870,000</u>	<u>620,000</u>
Operation and Maintenance (O&M)	\$ <u> </u>	<u> </u>	<u> </u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>1,000,000</u>	<u>1,000,000</u>	<u>450,000</u>	<u>210,000</u>	<u>100,000</u>	<u>5,500,000</u>
State \$	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u>1,870,000</u>
Local \$	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u>620,000</u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

- (5) Status of Project: A general reevaluation is scheduled to be completed in July, 1997. The design document will be completed in September of 2000.

- (6) Estimated Completion Date of Construction Phase: July 2004

Project Name: OREGON INLET JETTY SYSTEM, DARE COUNTY

(1) Description:

This Corps of Engineers project was authorized in 1970; however, because of concern over possible effects of the project on the Pea Island National Wildlife Refuge, its construction has been delayed. The jetty system would include two rubble-mound jetties extending out about one mile from the shoreline. It also would include an annual transfer of sand to the downdrift beach. A 20-foot deep by 400-foot wide channel would be maintained through the ocean bar.

The North Carolina Department of Transportation completed a 3,000-foot terminal groin on the Pea Island side of the inlet in 1991 in order to stabilize the inlet and keep it from undermining the highway bridge across Oregon Inlet. This groin would serve as the base for the south jetty if it is constructed in the future.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>9,031,000</u>	<u> </u>	<u> </u>	<u>\$ 9,031,000</u>
b. \$	<u> </u>	<u> </u>	<u> </u>	<u>\$ </u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u> </u>	<u> </u>	<u> </u>
Construction	\$ <u>75,619,000</u>	<u>27,122,000</u>	<u> </u>
Operation and Maintenance (O&M)	\$ <u> </u>	<u> </u>	<u> </u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>155,000</u>	<u>17,000,000</u>	<u>17,000,000</u>	<u>17,000,000</u>	<u>17,000,000</u>	<u>7,464,000</u>
State \$	<u> </u>	<u>8,382,000</u>	<u>5,450,000</u>	<u>5,450,000</u>	<u>5,450,000</u>	<u>2,390,000</u>
Local \$	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

- (5) Status of Project: The Wilmington District, Corps of Engineers, has begun work on the general design memorandum and a supplemental environmental impact statement. These are scheduled to be completed in October, 1998.

(6) Estimated Completion Date of Construction Phase: February, 2003

Project Name: PLANNING ASSISTANCE TO NORTH CAROLINA COMMUNITIES

(1) Description:

Section 22 of the Water Resources Development Act of 1974 allows the Corps to assist states and local communities with preparation of comprehensive plans for the development, use, and conservation of water and related land resources. The non-federal sponsor (state or local government) must provide 50 percent of the cost. One area in which the Corps plans to assist communities is the preparation of GIS maps of floodplains. Another area is the preparation of floodplain management plans. The State will provide 50 percent of the non-federal share, or 25 percent of the total.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$				\$
b. \$	<u>50,000</u>	<u>25,000</u>	<u>25,000</u>	<u>\$ 100,000</u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	<u>\$ 1,800,000</u>	<u>900,000</u>	<u>900,000</u>
Construction	<u>\$</u>	<u></u>	<u></u>
Operation and Maintenance (O&M)	<u>\$</u>	<u></u>	<u></u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>300,000</u>	<u>300,000</u>	<u>300,000</u>	<u>300,000</u>	<u>300,000</u>	<u>300,000</u>
State \$	<u>150,000</u>	<u>150,000</u>	<u>150,000</u>	<u>150,000</u>	<u>150,000</u>	<u>150,000</u>
Local \$	<u>150,000</u>	<u>150,000</u>	<u>150,000</u>	<u>150,000</u>	<u>150,000</u>	<u>150,000</u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: On-going.

(6) Estimated Completion Date of Construction Phase: N/A

Project Name: PROSPECTIVE FEASIBILITY STUDIES, SECTION 107 NAVIGATION

(1) Description:

These Corps of Engineers studies are necessary prior to project construction. Non-federal sponsors, usually local governments, are required to pay 50 percent of the cost of these studies. These local government sponsors can request 50 percent state cost-sharing of the non-federal share, or 25 percent of total costs. This reserve of state funds will be used to match Corps and local funds.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u> </u>	<u> </u>	<u> </u>	\$ <u> </u>
b. \$	<u>100,000</u>	<u> </u>	<u> </u>	\$ <u>100,000</u>

- (3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u>1,000,000</u>	<u>300,000</u>	<u>300,000</u>
Construction	\$ <u> </u>	<u> </u>	<u> </u>
Operation and Maintenance (O&M)	\$ <u> </u>	<u> </u>	<u> </u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal	\$ <u>100,000</u>	<u>200,000</u>	<u>200,000</u>	<u>200,000</u>	<u>200,000</u>	<u>200,000</u>
State	\$ <u>50,000</u>	<u>50,000</u>	<u>50,000</u>	<u>50,000</u>	<u>50,000</u>	<u>50,000</u>
Local	\$ <u>50,000</u>	<u>50,000</u>	<u>50,000</u>	<u>50,000</u>	<u>50,000</u>	<u>50,000</u>

- (4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

- (5) Status of Project:

- (6) Estimated Completion Date of Construction Phase: N/A

Project Name: PROSPECTIVE FEASIBILITY STUDIES, SECTION 205 FLOOD CONTROL

(1) Description:

These Corps of Engineers studies are necessary prior to project construction. Non-federal sponsors, usually local governments, are required to pay 50 percent of the cost of these studies. These local government sponsors can request 50 percent state cost-sharing of the non-federal share, or 25 percent of total costs. This reserve of state funds will be used to match Corps and local funds.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	<u>300,000</u>	_____	_____	\$ <u>300,000</u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u>3,120,000</u>	<u>960,000</u>	<u>960,000</u>
Construction	\$ _____	_____	_____
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal	\$ <u>360,000</u>	<u>360,000</u>	<u>600,000</u>	<u>600,000</u>	<u>600,000</u>	<u>600,000</u>
State	\$ <u>180,000</u>	<u>180,000</u>	<u>150,000</u>	<u>150,000</u>	<u>150,000</u>	<u>150,000</u>
Local	\$ <u>180,000</u>	<u>180,000</u>	<u>150,000</u>	<u>150,000</u>	<u>150,000</u>	<u>150,000</u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project:

(6) Estimated Completion Date of Construction Phase: N/A

Project Name: ROLLINSON CHANNEL MAINTENANCE DREDGING, DARE COUNTY

(1) Description:

This Corps of Engineers navigation channel is located near the Town of Hatteras. The channel, used by commercial and recreational fishing boats and the DOT ferry to Ocracoke, has two segments: one runs from the basin at Hatteras northwest to the Pamlico Sound; the other from a point 0.2 miles outside the basin southeast to Hatteras Inlet. The first segment is 12 feet deep; the second 10 feet. Both are 100 feet wide. Dare County, the non-federal sponsor, is requesting state cost-sharing of 80 percent to help pay for the sand bag dikes along the segment which runs to Pamlico Sound.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	<u>479,000</u>	_____	_____	\$ <u>479,000</u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	_____	_____
Operation and Maintenance (O&M)	\$ <u>2,200,000</u>	<u>560,000</u>	<u>140,000</u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	<u>1,200,000</u>	_____	<u>500,000</u>	_____	<u>500,000</u>
State \$	_____	<u>400,000</u>	_____	<u>80,000</u>	_____	<u>80,000</u>
Local \$	_____	<u>100,000</u>	_____	<u>20,000</u>	_____	<u>20,000</u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project:

(6) Estimated Completion Date of Construction Phase: N/A

Project Name: WANCHESE MARSH CREATION AND PROTECTION, DARE COUNTY

(1) Description:

The purpose of this project is to restore a marsh area on the north side of the channel entrance to Wanchese Harbor that used to protect the harbor from waves during northeast winds. In order to prevent future erosion of this marsh, a sand-bagged dike with an armor stone cap will be constructed along two sides of the marsh. The six-acre marsh habitat would be created by pumping 50,000 cubic yards of material from the Wanchese Channel into the site and springing it with marsh plants. Monitoring of the site would continue for at least two years beyond the date of project completion.

(2) a. Expenditures as of 7/1/96 (not including O&M costs):

b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	<u>122,000</u>	_____	_____	\$ <u>122,000</u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ <u>466,000</u>	<u>203,000</u>	_____
Operation and Maintenance (O&M)	\$ <u>20,000</u>	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>466,000</u>	<u>10,000</u>	<u>10,000</u>	_____	_____	_____
State \$	<u>203,000</u>	_____	_____	_____	_____	_____
Local \$	_____	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: The Wilmington District has proposed an initial appraisal report under Section 204. The district will seek approval and funding to perform a feasibility study by July 1997.

(6) Estimated Completion Date of Construction Phase: March, 1998

Project Name: WILMINGTON HARBOR MAINTENANCE DREDGING, 38 FT PROJECT,
NEW HANOVER COUNTY

(1) Description:

Maintenance dredging is required almost every year to keep open the channels from the Atlantic Ocean up the Cape Fear and Northeast Cape Fear Rivers to Wilmington, Fayetteville and other terminal points. The federal government pays for the cost of dredging the sediments, while the State of North Carolina, the non-federal sponsor, pays for the cost of dredged material disposal areas. Without this maintenance work, the exporting and importing of goods and commodities through the State Ports Authority terminal and numerous private terminals could not occur.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$				\$
b. \$	<u>9,407,000</u>	<u>505,000</u>		<u>\$ 9,912,000</u>

- (3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$		
Construction	\$		
Operation and Maintenance (O&M)	<u>\$ 37,084,000</u>	<u>4,230,000</u>	

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>5,834,000</u>	<u>6,250,000</u>	<u>6,250,000</u>	<u>6,250,000</u>	<u>6,250,000</u>	<u>6,250,000</u>
State \$	<u>455,000</u>	<u>755,000</u>	<u>755,000</u>	<u>755,000</u>	<u>755,000</u>	<u>755,000</u>
Local \$						

- (4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

- (5) Status of Project: On-going.

- (6) Estimated Completion Date of Construction Phase: N/A

Project Name: WILMINGTON HARBOR CHANNEL WIDENING, NEW HANOVER COUNTY

(1) Description:

This Corps of Engineers project includes two separate parts - the passing lane and the turns and bends. Both were studied under section 107 of the Rivers and Harbors Act of 1960, as amended. The project would involve a 200 foot widening of the navigational channel for a distance of 6 miles and the addition of wideners at the major turns and bends. These two improvements will allow the larger ships that serve Wilmington to navigate the Cape Fear River Navigation Channel in less time and in greater safety. For example, instead of waiting at the mouth of the Cape Fear River until another large ship has exited the Cape Fear River Channel, a large vessel can proceed to the passing lane and safely pass the other vessel.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>873,000</u>	<u> </u>	<u> </u>	\$ <u>873,000</u>
b. \$	<u>195,000</u>	<u> </u>	<u> </u>	\$ <u>195,000</u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u> </u>	<u> </u>	<u> </u>
Construction	\$ <u>17,800,000</u>	<u>8,653,000</u>	<u> </u>
Operation and Maintenance (O&M)	\$ <u> </u>	<u> </u>	<u> </u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal	\$ <u>17,512,000</u>	<u>288,000</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
State	\$ <u>1,570,000</u>	<u>4,583,000</u>	<u>2,500,000</u>	<u> </u>	<u> </u>	<u> </u>
Local	\$ <u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

- (5) Status of Project: Design is complete. Plans and specifications will be done in FY 1997 and construction will begin in FY 1998.

(6) Estimated Completion Date of Construction Phase: September 1999

Project Name: WILMINGTON HARBOR LONG TERM DISPOSAL, NEW HANOVER COUNTY

(1) Description:

Eagle Island, located across the Cape Fear River from the North Carolina State Port at Wilmington, serves as the main disposal area for material dredged from the upriver area of the Cape Fear River Navigation Channel. Most of the dikes around this 880-acre disposal site are now greater than 20 feet in height; further raises to increase the capacity of the site require that the foundation of the dikes be strengthened with a geotextile grid. Recent changes in federal cost-sharing now allow the Corps of Engineers to provide up to 75 percent of the cost of constructing new dikes at disposal areas. A total of \$1,000,000 is required in FY 1997-98 to match the \$3,000,000 from the Corps in order to build new dikes around the south cell at Eagle Island. Additional funding is required in the future to construct new dikes around the north cell at Eagle Island, expand the size of the north cell, and construct new disposal areas in the midriver section of the navigation channel.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$				\$
b. \$	<u>500,000</u>	<u>480,000</u>		<u>\$ 980,000</u>

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$		
Construction	<u>\$ 24,256,000</u>	<u>6,000,000</u>	
Operation and Maintenance (O&M)	\$		

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal	<u>\$3,256,000</u>	<u>3,000,000</u>	<u>3,000,000</u>	<u>3,000,000</u>	<u>3,000,000</u>	<u>3,000,000</u>
State	<u>\$1,000,000</u>	<u>1,000,000</u>	<u>1,000,000</u>	<u>1,000,000</u>	<u>1,000,000</u>	<u>1,000,000</u>
Local	\$					

(4) Source of State Funds:

[X] G.S. 143-215.71 [] G.S. 139.54 [] Other _____

(5) Status of Project:

(6) Estimated Completion Date of Construction Phase: N/A

Project Name: WILMINGTON HARBOR - N.E. CAPE FEAR DEEPENING, NEW
HANOVER COUNTY

(1) Description:

The authorized improvements include two elements - a navigation element and an environmental element. The environmental element consists of acquisition of 2,800 acres of environmental lands. This element has been deferred indefinitely based on current administration policy. The navigation element includes widening the 38 foot by 400 foot Fourth East Jetty Channel (about 1.5 miles long) to 500 feet on the west side; deepening the 32 foot by 400 foot channel between the Cape Fear Memorial Bridge and the NC 133 highway bridge to 38 feet; widening the turning basin just above the confluence of the Cape Fear River by 50 feet at a depth of 38 feet; and deepening the channel from the NC 133 highway bridge to 750 feet above the Hilton railroad bridge to 38 feet.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>1,878,000</u>			\$ <u>1,878,000</u>
b. \$	<u>100,000</u>	<u>125,000</u>	<u>64,000</u>	\$ <u>289,000</u>

- (3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____		
Construction	\$ <u>21,077,000</u>	<u>7,582,000</u>	
Operation and Maintenance (O&M)	\$ _____		

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>100,000</u>	<u>9,600,000</u>	<u>11,377,000</u>			
State \$		<u>3,830,000</u>	<u>3,752,000</u>			
Local \$						

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

- (5) Status of Project: General design of the project is complete. Because the environmental element is no longer a part of the plan, mitigation for the loss of wetlands is necessary. Federal construction funding is expected in October 1998 with dike work beginning in June of 1999 and dredging in October 1999.

- (6) Estimated Completion Date of Construction Phase: August 2000

Project Name: WRIGHTSVILLE BEACH RENOURISHMENT, NEW HANOVER COUNTY

(1) Description:

This Corps of Engineers project is located at Wrightsville Beach, a barrier island about 5 miles east of Wilmington. The project provides both beach erosion and hurricane protection. It consists of a frontal dune at elevation 15 feet above mean low water and a beach berm at elevation 12 feet. The project was built to protect against hurricanes equal to that of October 15, 1954 (Hurricane Hazel). The Water Resources Development Act of 1986 provides for federal participation in the renourishment of the project over its 50 year lifetime. Renourishment occurs every four years.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>3,529,000</u>	<u>1,784,000</u>	<u>594,000</u>	\$ <u>5,907,000</u>
b. \$	<u> </u>	<u> </u>	<u> </u>	\$ <u> </u>

- (3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u> </u>	<u> </u>	<u> </u>
Construction	\$ <u> </u>	<u> </u>	<u> </u>
Operation and Maintenance (O&M)	\$ <u>2,340,000</u>	<u>1,010,000</u>	<u>337,000</u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal	\$ <u>1,070,000</u>	<u> </u>	<u> </u>	<u> </u>	<u>1,270,000</u>	<u> </u>
State	\$ <u>500,000</u>	<u> </u>	<u> </u>	<u> </u>	<u>510,000</u>	<u> </u>
Local	\$ <u>167,000</u>	<u> </u>	<u> </u>	<u> </u>	<u>170,000</u>	<u> </u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

- (5) Status of Project: The next renourishment is scheduled to occur during the spring of 1998.

- (6) Estimated Completion Date of Construction Phase: N/A

B. Project Information, Category 3, Water Resources Development Projects for Which Grant Applications Have Been Submitted Under Part 8 of Article 21 of Chapter 143 of the General Statutes

<u>Name of Project</u>	<u>Page No.</u>
Allens Creek Water Management, Haywood County	61
Beech Mountain Stream Restoration Projects, Watauga County	62
Big Elkin Creek Stream Restoration, Surry County	63
Brevard High School Wetland Boardwalk, Transylvania County	64
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Elm Street Drainage, Moore County	66
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Wetland Water Management, Dare County	78

Project Name: ALLENS CREEK WATER MANAGEMENT, TOWN OF WAYNESVILLE,
HAYWOOD COUNTY

(1) Description:

This project involves the purchase of over 600 acres of private land in the Town of Waynesville's WS-I Allens Creek water supply watershed. The Town wants to purchase this property to help prevent development of this section of the watershed. Limiting development in the watershed will protect the Town's water supply and reduce the potential of future flooding in the Town of Waynesville. The Town has received a Section 319 grant from the U.S. Environmental Protection Agency to help in the purchase of this property.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$				\$
b. \$	250,000	50,000	212,000	512,000

- (3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$		
Construction	\$	50,000	
Operation and Maintenance (O&M)	\$		

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$						
State \$	50,000					
Local \$						

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

- (5) Status of Project: On hold, awaiting approval of State funds.

- (6) Estimated Completion Date of Construction Phase: 1998

Project Name: BEECH MOUNTAIN STREAM RESTORATION PROJECTS, WATAUGA COUNTY

(1) Description:

The Town of Beech Mountain desires to sponsor stream restoration projects on Pond and West Pond Creeks. The project on Pond Creek involves the expansion of a wetland bog to be used as a combination stormwater runoff-sediment pond and the installation of grade control structures to reduce streambank erosion. The project on West Pond Creek also involves the installation of grade control structures to reduce streambank erosion. Streambanks at both sites will be re-planted with riparian vegetation to stabilize the banks.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	30,000	15,000
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	30,000	_____	_____	_____	_____	_____
Local \$	15,000	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: On hold, awaiting approval of State funds.

(6) Estimated Completion Date of Construction Phase: 1998

Project Name: BIG ELKIN CREEK STREAMBANK STABILIZATION PROJECT, SURRY COUNTY

(1) Description:

The Town of Elkin desires to sponsor a streambank stabilization project along a segment of Big Elk Creek located adjacent to a community park. The stream is experiencing more frequent floods and increasingly overflows its banks. The increased flooding, along with the lack of an established woody riparian zone, has accelerated streambank erosion. The Town, through the volunteer services of the Natural Resource Conservation Service and the N.C. Wildlife Resources Commission, propose to incorporate a variety of bioengineering techniques to restore approximately 1,000 feet of streambank. Techniques will include brush matting, line staking, and branch packing, which will simultaneously stabilize and revegetate the banks of the stream.

(2) a. Expenditures as of 7/1/96 (not including O&M costs):

b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	8,000	5,000
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	8,000	_____	_____	_____	_____	_____
Local \$	5,000	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: On hold, awaiting approval of State funds.

(6) Estimated Completion Date of Construction Phase: 1998

Project Name: BREVARD HIGH SCHOOL WETLAND BOARDWALK, TRANSYLVANIA COUNTY

(1) Description:

Brevard High School is participating in an EPA 319 (h) grant to improve water quality through streambank stabilization and pasture management. The pasture management portion of the project includes excluding livestock from pasture that was previously a wetland. The previously converted wetland is now being allowed to revert back to a wetland condition and is being used to treat runoff from a small heavy use area and feedlot in addition to cattle and horse pasture. Plant succession and water quality parameters are being monitored as the wetland re-establishes itself. The boardwalk will facilitate the environmental education component of the greater project by providing access through the wetland to students as well as local citizens who might be interested in the project. Included with the boardwalk will be signs and brochures describing the project.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	8,000	8,000
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	8,000	_____	_____	_____	_____	_____
Local \$	8,000	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: On hold, awaiting approval of State funds.

(6) Estimated Completion Date of Construction Phase: 1998

Project Name: CONTENTNEA CREEK DRAINAGE PHASE IV, CITY OF WILSON,
WILSON COUNTY

(1) Description:

This project will consist of clearing and snagging a 13.4 mile stretch of Contentnea Creek between Buckhorn Dam and Wiggins Mill Dam. The project will improve the flow of water between Buckhorn and Wiggins Mill Dams, decrease lowland flooding and increase the use of the creek for fishing and boating. A 20-foot wide navigable path will be cleared, with all logs and debris that are removed from the creek placed above the floodplain or secured parallel to the streambank.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

- (3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	29,133	14,567
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	29,133	_____	_____	_____	_____	_____
Local \$	14,567	_____	_____	_____	_____	_____

- (4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

- (5) Status of Project: On hold, awaiting approval of State funds.

- (6) Estimated Completion Date of Construction Phase: 1998

Project Name: ELM STREET DRAINAGE PROJECT, MOORE COUNTY

(1) Description:

The Town of Robbins desires to sponsor an urban drainage project to increase the conveyance capacity of an un-named tributary adjacent to Elm Street. The drainage area is approximately 15 acres and includes roads, homes, and a public school. The Town proposes to regrade the channel, establish a uniform grade, remove woody debris, install 430 feet of 24-inch reinforced concrete pipe, and landscape at several areas to improve surface runoff.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	19,983	9,992
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	19,983	_____	_____	_____	_____	_____
Local \$	9,992	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: On hold, awaiting approval of State funds.

(6) Estimated Completion Date of Construction Phase: 1998

Project Name: FRENCH BROAD RIVER PARK, CITY OF ASHEVILLE, BUNCOMBE COUNTY

(1) Description:

This project involves the expansion of the walking-jogging trail in Asheville's newly completed French Broad River Park. The loop trail would be approximately 2,500 feet long and 10 feet wide and would be constructed of asphalt. The City would be responsible for the site preparation work and oversee the contract for the construction of the trail.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	30,000	30,900
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	30,000	_____	_____	_____	_____	_____
Local \$	30,900	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: On hold, awaiting approval of State funds.

(6) Estimated Completion Date of Construction Phase: 1998

Project Name: GRAHAM-MEBANE LAKE PROJECT, ALAMANCE COUNTY

(1) Description:

Graham-Mebane Lake is the primary water supply for the Cities of Graham and Mebane and the Towns of Green Level and Haw River. It also serves as a popular recreational area for the region. The lake currently has a marina and boat ramp with restrooms. This project would add a 100-foot fishing pier and a 24-foot by 32-foot picnic shelter with tables and grills.

(2) a. Expenditures as of 7/1/96 (not including O&M costs):

b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	20,000	20,000
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	20,000	_____	_____	_____	_____	_____
Local \$	20,000	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: On hold, awaiting approval of State funds.

(6) Estimated Completion Date of Construction Phase: 1998

Project Name: HAW RIVER ACCESS, ALAMANCE COUNTY

(1) Description:

The City of Graham desires to construct a handicapped accessible canoe access site along the Haw River. The property where the site is to be located is owned by the City. Site development would consist of a concrete ramp with tie off points and transfer rails for handicapped users and a 240-foot by 40-foot parking area.

(2) a. Expenditures as of 7/1/96 (not including O&M costs):

b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	<u>17,365</u>	<u>17,365</u>
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	<u>17,365</u>	_____	_____	_____	_____	_____
Local \$	<u>17,365</u>	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: On hold, awaiting approval of State funds.

(6) Estimated Completion Date of Construction Phase: 1998

Project Name: KITTY HAWK BEACH ACCESS, DARE COUNTY

(1) Description:

The Town of Kitty Hawk desires to purchase land to provide parking for a public beach access site. The site would be the first regional ocean beach access for locals and tourist visiting the Outer Banks by way of the Wright Memorial Bridge (N.C. 158 By-Pass).

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

- (3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	80,500	80,500
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	80,500	_____	_____	_____	_____	_____
Local \$	80,500	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

- (5) Status of Project: On hold, awaiting approval of State funds.

- (6) Estimated Completion Date of Construction Phase: 1998

Project Name: LOVILL'S CREEK GREENWAY PROJECT, SURRY COUNTY

(1) Description:

The City of Mount Airy received a water resources feasibility study grant in 1996 to complete the Lovill's Creek Greenway Master Plan. The plan estimated that the total cost for developing the greenway along a 3.6 mile segment of Lovill's Creek would be approximately \$1,177,500. The City has requested an additional grant in the amount of \$89,000 to continue greenway development.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$		11,000		\$ 11,000
b. \$				\$

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$		
Construction	\$	89,000	89,000
Operation and Maintenance (O&M)	\$		

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$						
State \$	89,000					
Local \$	89,000*					

*The City is pursuing additional sources of revenue for this project. To receive a water resources grant for this project the City would be required to match the State's contribution.

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: On hold, awaiting approval of State funds.

(6) Estimated Completion Date of Construction Phase: 1998

Project Name: MCLENDONS CREEK STREAMBANK RESTORATION PROJECT, MOORE COUNTY

(1) Description:

The Moore County Soil and Water Conservation District desires to sponsor the streambank restoration portion of a project that will compliment an existing livestock exclusion project for which agricultural cost-share monies are not eligible. The streambank restoration will incorporate innovative bioengineering methods and will be implemented by the North Carolina Cooperative Extension Service and the USDA-Natural Resource Conservation Service.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	30,000	15,000
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	30,000	_____	_____	_____	_____	_____
Local \$	15,000	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: On hold, awaiting approval of State funds.

(6) Estimated Completion Date of Construction Phase: 1998

Project Name: MILL CREEK WETLAND AND TRAIL CONSTRUCTION, FORSYTH COUNTY

(1) Description:

The City of Winston-Salem desires to construct a 4,000-foot path/boardwalk trail through a forested wetland site associated with Bethabara Historic Park. The trail, which will be handicapped accessible, will join an existing section of the City's greenway trail system and provide both recreational and environmental education benefits.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	53,000	53,000
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	53,000	_____	_____	_____	_____	_____
Local \$	53,000	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: On hold, awaiting approval of State funds.

(6) Estimated Completion Date of Construction Phase: 1998

Project Name: RIVER BEND CANALS MAINTENANCE DREDGING, CRAVEN COUNTY

(1) Description:

The Town of River Bend relies on approximately two miles of canals within its town limits for public navigation and drainage. While spot dredging has removed shoals over the past 25 years, the entire system now requires deepening. The total cost of performing maintenance dredging and handling the disposal of the dredged material is estimated at \$365,000.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	292,000	73,000
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	292,000	_____	_____	_____	_____	_____
Local \$	73,000	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: On hold, awaiting approval of State funds.

(6) Estimated Completion Date of Construction Phase: March 1998

Project Name: SCOTT BRANCH DRAINAGE PROJECT, STOKES COUNTY

(1) Description:

Stokes County desires to sponsor an urban drainage project to increase the conveyance capacity of a segment of Scott Branch located in the Town of Danbury. The drainage improvements will purportedly allow the reclamation of 15 acres of farm land, eliminate localized flooding in floodplain areas, and reduce unsanitary conditions and potential mosquito breeding grounds. The County proposes to remove trees adjacent to the channel, remove woody debris in the channel, excavate and regrade the channel, replace existing culverts with dual 48-inch reinforced concrete pipe, and repair a retaining wall at one residents driveway.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	<u>30,000</u>	<u>15,000</u>
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	<u>30,000</u>	_____	_____	_____	_____	_____
Local \$	<u>15,000</u>	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: On hold, awaiting approval of State funds.

(6) Estimated Completion Date of Construction Phase: 1998

Project Name: TRANTERS CREEK-FLAT SWAM DRAINAGE PROJECT, MARTIN COUNTY

(1) Description:

Martin County desires to remove blockages from the channels of Tranters Creek and the Flat Swamp Canal in order to restore flow. Blockages from wind-blown trees are purported to have artificially raised the water table of adjacent lands. The higher water table is thought to be responsible for loss of trees, flooding in agricultural fields, backyards, and along highways, providing breeding sites for mosquitoes, and for backing up septic tank drainfields.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	36,300	18,700
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	36,300	_____	_____	_____	_____	_____
Local \$	18,700	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: On hold, awaiting approval of State funds.

(6) Estimated Completion Date of Construction Phase: 1998

Project Name: TUCKASEIGEE RIVER STREAMBANK RESTORATION PROJECT, SWAIN COUNTY :

(1) Description:

Swain County desires to remove junked automobiles from four reaches along the Tuckaseigee River. The automobiles were put there years ago, apparently to stabilize the streambanks. Over the years, fill has been placed over the automobiles and at two sites, structures were built. These sites are "eye-sores" to many of the County's residents and to tourist visiting Bryson City and Cherokee. Streambank restoration will have to occur concomitantly with removing the automobiles. The County has selected one site to begin the process which will require purchasing the property and demolishing several abandoned structures. The County is requesting a grant to help purchase the property.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	60,000	60,000
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	60,000	_____	_____	_____	_____	_____
Local \$	60,000	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: On hold, awaiting approval of State funds.

(6) Estimated Completion Date of Construction Phase: 1998

Project Name: WETLAND WATER MANAGEMENT, TOWN OF KILL DEVIL HILLS, DARE COUNTY

(1) Description:

This project involves the construction of artificial wetlands for controlling urban storm water runoff. These wetlands will help improve the quality of the water entering Albemarle Sound and will also store flood waters. The Town has applied to the U.S. Environmental Protection Agency for a \$96,500 grant to help fund this project.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

(3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ <u>96,500</u>	<u>10,000</u>	<u>17,740</u>
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>96,500</u>	_____	_____	_____	_____	_____
State \$	<u>10,000</u>	_____	_____	_____	_____	_____
Local \$	<u>17,740</u>	_____	_____	_____	_____	_____

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other _____

(5) Status of Project: On hold, pending approval of State and Federal funds.

(6) Estimated Completion Date of Construction Phase: 1998

C. Project Information, Category 4, Water Resources Development Projects for Which Grant Applications Have Been Submitted Under Article 4 of Chapter 139 of the General Statutes

<u>Name of Project</u>	<u>Page No.</u>
Deep Creek (Yadkin County)	81
Limestone Creek (Duplin County)	82
Second Broad Creek (Rutherford County)	83
Swan Quarter (Hyde County)	84

Project Name: DEEP CREEK, YADKIN COUNTY

(1) Description:

Structure site 5D of the Deep Creek Watershed Project is planned to provide flood protection and serve as a surface water supply for Yadkin County. The cost estimate is \$8,000,000 with a 40% cost share rate by the USDA Soil Conservation Service.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$		<u>700,000</u>		\$ <u>700,000</u>
b. \$				\$

(3) Estimated costs through 7/1/03:

	Federal	State	Local
Feasibility Study	\$		
Construction	\$ <u>3,200,000</u>	<u>1,500,000</u>	<u>2,800,000</u>
Operation and Maintenance (O&M)	\$		

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal	\$ <u>2,500,000</u>	<u>700,000</u>				
State	\$ <u>500,000</u>	<u>500,000</u>				
Local	\$ <u>1,500,000</u>	<u>300,000</u>				

(4) Source of State Funds:

☐ G.S. 143-215.71 ☒ G.S. 139.54 ☐ Other _____

- (5) Status of Project: Development of an environmental assessment and P.L.566 work plan supplement are under way by the Soil Conservation Service. Construction is anticipated to begin in FY 1998.

(6) Estimated Completion Date of Construction Phase: June 1999

* Grants to date.

Project Name: LIMESTONE CREEK, DUPLIN COUNTY

(1) Description:

This recreation development in Duplin County includes a 70-acre lake and 70 acres of adjacent land. The lake construction is complete. Installation of the recreation facilities will finish the project. Fishing piers, picnic facilities, camping areas, trails, parking and restrooms are planned. The design of these facilities is nearly complete and construction is anticipated to begin this summer.

Duplin County is the local project sponsor with responsibility to cover 50% of the facilities costs, which is eligible for cost share from the small watershed grant program. The USDA Natural Resources Conservation Service pays the remaining costs. The State needs to provide an additional \$20,000 to match the county's share to complete the project.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>558,745</u>	<u>270,710</u>	<u>288,035</u>	\$ <u>1,117,490</u>
b. \$	<u> </u>	<u> </u>	<u> </u>	\$ <u> </u>

- (3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ <u> </u>	<u> </u>	<u> </u>
Construction	\$ <u>40,000</u>	<u>30,000</u>	<u>30,000</u>
Operation and Maintenance (O&M)	\$ <u> </u>	<u> </u>	<u> </u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u>40,000</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
State \$	<u>30,000</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
Local \$	<u>30,000</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>

(4) Source of State Funds:

☐ G.S. 143-215.71 ☒ G.S. 139.54 ☐ Other

(5) Status of Project: Under construction.

(6) Estimated Completion Date of Construction Phase: December 1997

Project Name: SECOND BROAD RIVER WATERSHED, RUTHERFORD COUNTY

(1) Description:

Two reservoirs remain to be constructed to complete this P.L. 566 project. Both structures are multiple purpose. One includes a recreation development and the local sponsors are considering water supply for the second site. Flood prevention is the primary purpose of the project. The Federal Natural Resources Conservation Service will provide a major portion of the costs for these structures. Under the watershed grant program, the State can share up to 50% of the non-federal costs with Rutherford County.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

- (3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	400,000	400,000
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	200,000	200,000	_____	_____
State \$	_____	_____	200,000	200,000	_____	_____
Local \$	_____	_____	200,000	200,000	_____	_____

- (4) Source of State Funds:

☐ G.S. 143-215.71 ☒ G.S. 139.54 ☐ Other _____

- (5) Status of Project: Under construction.

- (6) Estimated Completion Date of Construction Phase: 2001

Project Name: SWAN QUARTER, HYDE COUNTY

(1) Description:

This project provides flood control and protection from salt water intrusion to cropland in Hyde County. Several miles of earthen dike remain to be constructed to complete the project which will include the Town of Swan Quarter. Through P.L. 566 the Federal Natural Resources Conservation Service will provide a major percentage of the funding for building the remaining dike.

Work is currently underway to finalize the project work plan and address environmental concerns of state and federal agencies.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
 b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	_____	_____	_____	\$ _____
b. \$	_____	_____	_____	\$ _____

- (3) Estimated costs from 7/1/97 through 6/30/2003:

	Federal	State	Local
Feasibility Study	\$ _____	_____	_____
Construction	\$ _____	300,000	300,000
Operation and Maintenance (O&M)	\$ _____	_____	_____

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	_____	_____	_____	_____	_____	_____
State \$	_____	_____	_____	100,000	100,000	100,000
Local \$	_____	_____	_____	100,000	100,000	100,000

- (4) Source of State Funds:

☐ G.S. 143-215.71 ☒ G.S. 139.54 ☐ Other _____

- (5) Status of Project: Under construction.

- (6) Estimated Completion Date of Construction Phase: 2003

D. Project Information, Category 5, Water Resources Development Projects
Planned as Federal Reservoir Projects but for Which no Federal Funds are
Scheduled and for Which Local Governments are Seeking Financial Assistance

Name of Project

Page
No.

Randleman Lake 87

Project Name: RANDLEMAN LAKE

(1) Description:

The Piedmont Triad Regional Water Authority (PTRWA) plans to construct a water supply reservoir on the Deep River in Randolph and Guilford Counties. The 3,045-acre reservoir will be constructed at the same dam site as the Randleman Lake that was planned as a multipurpose reservoir by the Corps of Engineers over the period 1968 - 1987. The PTRWA's Randleman Lake will include a 3,000-acre, 200-foot buffer around the lake, primarily to protect water quality. The cost of constructing this project will be paid for by the members of the PTRWA.

In 1988, a legislative study commission recommended that the State provide \$7,500,000 for construction of Randleman Lake. The project would provide water supply benefits, enhance economic growth, and increase recreation in the region. The State gave the PTRWA \$1,000,000 in 1989.

In 1991, the N.C. Environmental Management Commission (EMC) approved a petition by the PTRWA to divert water from one river to another and to use the power of eminent domain to acquire land and water rights in conjunction with Randleman Lake. The General Assembly approved an additional \$500,000 the following year.

- (2) a. Expenditures as of 7/1/96 (not including O&M costs):
b. Expenditures anticipated from 7/1/96 through 6/30/97:

	Federal	State	Local	Total
a. \$	<u>6,000,000</u>	<u>1,500,000</u>	<u>11,300,000</u>	<u>\$18,800,000</u>
b. \$	<u> </u>	<u> </u>	<u> </u>	<u>\$ </u>

(3) Estimated costs through 7/1/03:

	Federal	State	Local
Feasibility Study	\$ <u> </u>	<u> </u>	<u> </u>
Construction	\$ <u> </u>	<u>2,000,000</u>	<u>40,800,000</u>
Operation and Maintenance (O&M)	\$ <u> </u>	<u> </u>	<u> </u>

	FY 98	FY 99	FY 00	FY 01	FY 02	FY 03
Federal \$	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
State \$	<u> </u>	<u>2,000,000</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
Local \$	<u>12,400,000</u>	<u>16,400,000</u>	<u>12,000,000</u>	<u> </u>	<u> </u>	<u> </u>

(4) Source of State Funds:

☒ G.S. 143-215.71 ☐ G.S. 139.54 ☐ Other

- (5) Status of Project: The U.S. Army Corps of Engineers will issue a public notice and draft environmental impact statement on the 404 permit application for Randleman Lake in April of 1997.

(6) Estimated Completion Date of Construction Phase: Year 2001

APPENDIX

Part 8A. Water Resources Development Projects.

143-215.73A. Water Resources Development Plan

(a) Plan prepared. - Before 1 July in each calendar year, the Department of Environment, health, and Natural Resources shall prepare a statewide plan for water resources development projects for a period of six years into the future. The plan shall be known as the Water Resources Development Plan. If the plan differs from the Water Resources Development Plan adopted for the preceding calendar year, the Department shall indicate the changes and the reasons for such changes. The Department shall submit the plan to the Director of the Budget for Review.

(b) Projects listed. - The plan shall list the following water resources development projects based on their status as of 1 May of the year in which the plan is prepared:

- (1) Projects approved by the Congress of the United States.
- (2) Projects for which the Congress of the United States has appropriated funds.
- (3) Projects for which grant applications have been submitted under Part 8 of Article 21 of Chapter 143 of the General Statutes.
- (4) Projects for which grant applications have been submitted under Article 4 of Chapter 139 of the General Statutes.
- (5) Projects planned as federal reservoir projects but for which no federal funds are scheduled and for which local governments are seeking State financial assistance.

(c) Project priorities and funding recommendations. - The Department shall assign a priority to each project within each of the five categories listed under subsection (b) of this section either by giving the project a number, with "1" assigned to the highest priority, or by recommending no funding. The Department shall state its reasons for recommending the funding, deferral, or elimination of a project. The Department shall determine the priority of a project based on the following criteria: local interest in the project, the cost of the project to the State, the benefit of the project to the State, and the environmental impact of the project.

(d) Project information. - For each project listed under subsection (b) of this section, the Water Resources Development Plan shall:

- (1) Provide a brief description.
- (2) If federal, list the estimated cost of each of the following phases that have not been completed as of July, (i)

Appendix (continued)

feasibility study, (ii) construction, (iii) operation and maintenance, and the amount of State funds required to match the federal funds needed.

- (3) If State or local, list the estimated cost to complete the project and the amount of State funds required under G.S. 143-215.71 or G.S. 139-54.
- (4) Indicate the total cost to date and the State share of that cost.
- (5) Indicate the status.
- (6) Indicate the estimated completion date.

(e) Distribution of the plan. - The Director of the Budget shall provide copies of the plan to the members of the Advisory Budget Commission when the Advisory Budget Commission meets to deliberate on the biennial budget or on the revised budget for the second year of the biennium. The Director of the Budget shall also provide copies of the plan to the General Assembly along with the recommended biennial budget and the recommended revised budget for the second year of the biennium.

(f) Budget recommendations. - The Director of the Budget shall determine which projects, if any, will be included in the recommended biennial budget and in the recommended revised budget for the second year of the biennium. The budget document transmitted to the General Assembly shall identify the projects or types of projects recommended for funding. (1991, c. 181, s. 1.)

Editor's Note. - Session Laws 1991, c. 181, s. 2, made this Part effective upon

ratification. The act was ratified June 3, 1991.

DIVISION OF WATER RESOURCES
WATER RESOURCES DEVELOPMENT PROJECT PRIORITIES
FY 1997-98

<u>Priority</u>	<u>Name of Project</u>	(Amounts in Thousands)	
		<u>Required</u>	<u>Recommended</u>
1	✓ B. Everett Jordan Water Supply Repayment	90	90
2	✓ Wilmington Harbor Maintenance Dredging	455	455
3	✓ Wilmington Harbor Channel Widening	1,570	1,570
4	✓ Manteo Shallowbag Bay Maintenance Dredging	150	150
5	Aquatic Plant Control Statewide and Lake Gaston	150	150
6	def Wilmington Harbor Long Term Disposal	1,000	285
7	✓ Carolina Beach Renourishment	1,148	765
8	✓ Wrightsville Beach Renourishment	500	335
9	✓ Wanchese Marsh Creation and Protection	200	200
10	North and Manteo Channel Maintenance Dredging	1,100	0
11	✓ State - Local Water Projects	828	0
12	✓ Long Beach Sea Turtle Habitat Restoration	994	0
13	✓ Clinton Wastewater Treatment Plant Flood Protection	84	0
14	✓ Dare County Beaches Feasibility Study	225	0
15	✓ Harker's Island Navigation Study	40	0
16	AIWW Easement Acquisition	100	0
17	Planning Assistance to Communities	150	0
18	Def of Corps of Engineers Feasibility Studies	230	0
19	not funded Emergency Flood Control Projects (Section 14)	134	0
Totals		9,148	4,000

Def of
not funded
one area.

**DIVISION OF WATER RESOURCES
WATER RESOURCES DEVELOPMENT PROJECT PRIORITIES**

**State - Local Projects*
FY 1997-98**

(Amounts in
thousands)

<u>Priority</u>	<u>Name of Project</u>	<u>State</u>	<u>Local</u>
1	McLendons Creek Stream Restoration (Moore Co.)	30.0	15.0
2	Allens Creek Water Management (Haywood Co.)	25.0	25.0
3	Big Elkin Creek Stream Restoration (Surry Co.)	8.0	5.0
4	Beech Mountain Stream Restoration Projects (Watauga Co.)	30.0	15.0
5	Tuckaseegee River Access (Swain Co.)	60.0	60.0
6	Brevard High School Wetland Boardwalk (Transylvania Co.)	8.0	8.0
7	Transters Creek - Flat Swamp Drainage (Martin Co.)	36.3	18.7
8	River Bend Canals Maintenance Dredging (Craven Co.)	292.0	73.0
9	Haw River Access (Alamance Co.)	17.4	17.4
10	Graham - Mebane Lake Pier and Picnic Facility (Alamance Co.)	20.0	20.0
11	French Broad River Park (Buncombe Co.)	30.0	30.9
12	Mill Creek Wetland and Trail Construction (Forsyth Co.)	13.3	13.3
13	Kitty Hawk Beach Access (Dare Co.)	80.5	80.5
14	Wetland Water Management (Dare Co.)	10.0	17.7
15	Lovill's Creek Greenway (Surry Co.)	89.0	89.0
16	Elm Street Drainage (Moore Co.)	20.0	10.0
17	Scott Branch Drainage (Stokes Co.)	30.0	15.0
18	Contentnea Creek Drainage, Phase IV (Wilson Co.)	<u>29.1</u>	<u>14.6</u>
Sub-totals		\$828.1	\$528.1

**Includes projects for which applications were received prior to February 1, 1997.*

NORTH CAROLINA
STATE MUSEUM OF
Natural Sciences



CAPITAL CAMPAIGN
NEW EXHIBITS FOR THE
Second Century



CAPITAL CAMPAIGN
NEW EXHIBITS FOR THE
Second Century

NORTH CAROLINA STATE
MUSEUM OF NATURAL SCIENCES

Betsy Bennett
Museum Director

919-733-7450
919-733-1573 fax

Bicentennial Plaza
PO Box 29555 Raleigh, North Carolina 27626-0555

NORTH CAROLINA STATE MUSEUM OF NATURAL SCIENCES

NEW EXHIBITS SNAPSHOTS

FIRST FLOOR

NORTH CAROLINA'S NATURAL TREASURES

The Museum's key message: North Carolina's natural world is fascinating and beautiful in its varied forms—and you are a part of it

- Elegant showcases display animals, plants and minerals chosen as representatives of the state's diverse and beautiful natural resources
- Audio track features sounds of the natural world, and voices of North Carolina artists, writers and others inspired by nature



MARINE HALL

Ecology and diversity of five marine and coastal environments of North Carolina

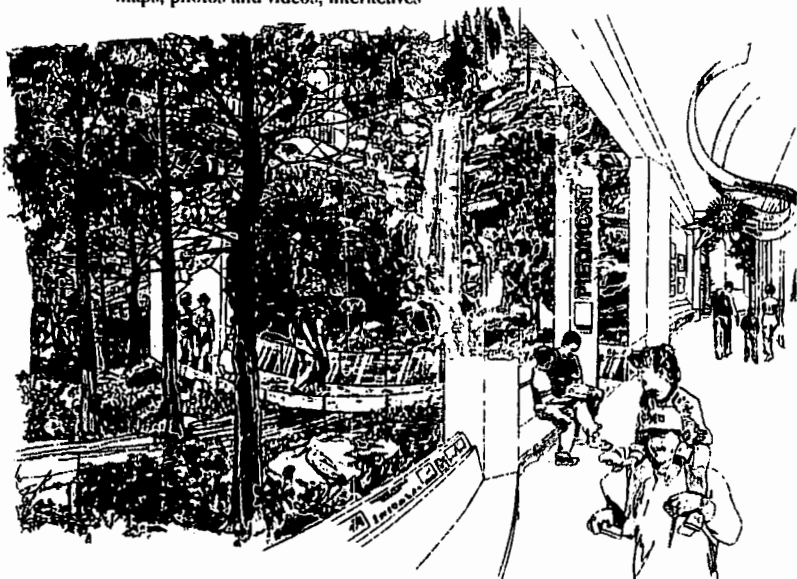
- Satellite image of NC coastline introduces estuarine system, barrier islands, and ocean exhibits and dioramas
- Signature animals including the loggerhead turtle, barnacle, and osprey show interrelationships of ecosystems
- Saltwater marsh, surf zone, and hardbottom tanks feature live animals
- Mezzanine overlooks whale skeletons

SECOND FLOOR

MOUNTAINS TO THE SEA

Diversity of N.C. habitats from boreal forests to the subtropics on the coast

- High-altitude spruce-fir forest, mountain cove forest, North Carolina underground, the piedmont, savanna/pocosin/sandhills, bottomland hardwood swamp forest, the snakes of North Carolina, geologic forces
- Techniques: walk-through immersion diorama with mounted and live animals and plants of mountains, piedmont, coast, linked by watercourse; landform models, maps, photos and videos; interactives



"A SENSE OF WONDER" AUDIO-VISUAL EXPERIENCE

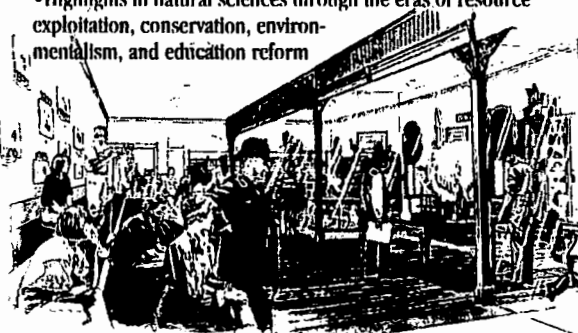
The wonders of North Carolina's natural world

- Projections of images and an audio track tell the story of North Carolina's biological diversity and seasonal dynamics
- Techniques: theater in the round set into a cove in the Mountains to the Sea gallery, with slide projections, theatrical lighting, sound track

EXPLORERS HALL

The 120-year history of the Museum as a window on scientific and cultural developments

- Insider's view of how collections are acquired, prepared and maintained
- Stories of people who have made significant contributions in science research and education through the Museum
- H.H. Brimley's 100-year-old workshop
- Highlights in natural sciences through the eras of resource exploitation, conservation, environmentalism, and education reform



NORTH CAROLINA STATE MUSEUM OF NATURAL SCIENCES



NEW EXHIBITS SNAPSHOTS THIRD FLOOR



THE TROPICAL CONNECTION

The value of biodiversity and North Carolina's ecological ties to the tropics

- Defining the tropics, species diversity, value of resources, migration, origins of species, environmental deterioration
- Techniques: three-story tropical conservatory with live plants and animals, camouflage trail; audio-visual presentation; mounted specimens, closed-circuit television of chimney swifts on Museum roof; maps, photos and videos; interactives

WINDOW ON THE WORLD DEMONSTRATION THEATER

Visitors gather for demonstrations with live animals, simple experimentation, exposure to the tools of research

- Amphitheater area where Triangle area scientists and Museum researchers and educators meet with visitors
- Techniques: audio-visual equipment; laboratory bench

FOSSIL HALL and FOSSIL LAB

Life in North Carolina through the geological eras, revealed by fossil remains

- Fossil discoveries, dinosaur research, paleontologists at work, speciation, ancient environments
- Techniques: sculpture, specimens, casts; habitat dioramas from successive eras; cross-section of North Carolina over time; maps, photos and videos; interactives; staffed fossil lab



FOURTH FLOOR

ARTHROPOD ZOO

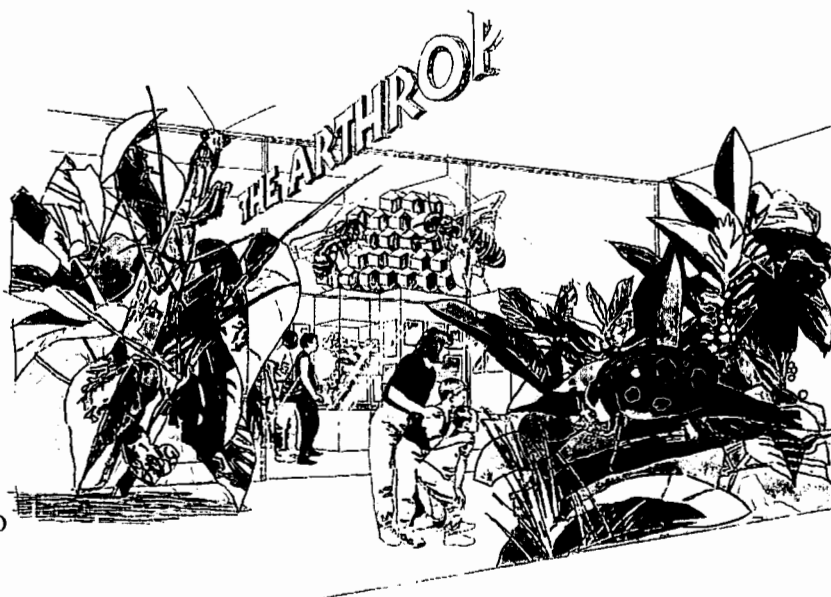
Arthropods as interesting and essential inhabitants of our world

- What is an arthropod; arthropods large (lobsters) to small (water fleas); behavior; ecological impact
- Techniques: living ant colony; live freshwater arthropods; oversize models, arthropod body plan; close-up viewer; photos and videos; interactives

THE NATURALIST CENTER

A natural objects "library" for study of animals, plants, fossils, minerals, shells, articulated skeletons

- Staffed room serves the casual visitor, groups, and the serious naturalist who desires in-depth exploration
- Techniques: catalogued collections and reference material, presentation area, 1-800 Natural History ID Hotline



NORTH CAROLINA STATE MUSEUM OF NATURAL SCIENCES

CAMPAIGN FOR NEW EXHIBITS

FOUNDATIONS/CORPORATIONS:

Wake County/City of Raleigh	\$2,000,000
Branch Banking and Trust	500,000
Glaxo Wellcome Foundation	500,000
National Science Foundation	500,000
PCS Phosphate	250,000
The News and Observer	200,000
Murphy Farms	200,000
A.J. Fletcher Foundation	150,000
Sprint Mid-Atlantic Telecom	100,000
Carolina Power and Light	100,000
First Union Foundation	100,000
NationsBank Corporation	100,000
The Cannon Foundation	100,000
J.W. and A.H. Hanes Foundation	100,000
The Wachovia Foundation, Inc.	75,000
Sara Lee Corporation	75,000
N.C. Federation of Women's Clubs, Inc.	50,000
Duke Power Company Foundation	50,000
Cemala Foundation	50,000
Janirve Foundation	50,000
BellSouth Telecommunications, Inc.	30,000
Moore & Van Allen	25,000
Nortel	25,000
John Deere	25,000
IBM	22,000
Burlington Industries Foundation	15,000

<i>Subtotal</i>	5,392,000

Family Campaign	100,195

Steering Committee	187,000

Other	70,760

<i>Gift total as of April 7, 1997</i>	5,749,955

NORTH CAROLINA STATE MUSEUM OF NATURAL SCIENCES

RECOGNITION OPPORTUNITIES

The North Carolina State Museum of Natural Sciences offers contributors of \$25,000 or more a choice of naming opportunities within the new Museum. The list below categorizes the several options by the amount contributed. It is important to clarify that only one donor can name an entire Exhibition Hall; however, there are several exhibits within a Hall that are available for contributors to name. All signage will be designed and placed by Museum exhibit staff.

\$1,000,000 or more	MARINE HALL & GRAND FOYER - <i>Reserved for Wake Co. & City of Raleigh</i> MOUNTAINS TO THE SEA FOSSIL HALL THE CONSERVATORY *REEDY CREEK MUSEUM LABORATORY
\$500,000	AUDITORIUM SPECIAL EXHIBITS GALLERY (for traveling exhibits) - <i>Reserved for BB&T</i> NORTH CAROLINA TREASURES HALL - <i>Reserved</i> A SENSE OF WONDER GALLERY TROPICAL CONNECTIONS - <i>Reserved</i>
\$250,000	NATURALIST CENTER EXPLORERS HALL ARTHROPOD ZOO ROOFTOP GARDEN & CAFE
\$200,000	MARINE HALL OVERLOOK DISCOVERY ROOM - <i>Reserved for Glaxo Wellcome Foundation</i> WINDOW ON THE WORLD DEMONSTRATION THEATRE - <i>Reserved</i> "LIVE FROM THE MUSEUM" (On-line learning lab) - <i>Reserved for Glaxo Wellcome Foundation</i> NORTH CAROLINA UNDERGROUND <i>Special Exhibits Sponsorship:</i> PREMIERE TRAVELING EXHIBITS (two)
**\$150,000	<i>Exhibits in Marine Hall:</i> THE BARRIER ISLANDS NORTH CAROLINA OCEAN HABITATS NORTH CAROLINA ESTUARINE SYSTEM <i>Exhibits in Mountains to the Sea:</i> NORTH CAROLINA MOUNTAIN COVE NORTH CAROLINA MOUNTAIN FOREST NORTH CAROLINA PIEDMONT STREAM NORTH CAROLINA WETLANDS/BOTTOMLAND HARDWOODS - <i>Reserved for NSF</i> NORTH CAROLINA COASTAL PLAINS

* Facility outside of Museum. Contributor will be recognized in main Museum.

** All exhibit naming opportunities at the \$150,000 level also include the sponsorship of a Museum educational outreach program.

NORTH CAROLINA STATE MUSEUM OF NATURAL SCIENCES

RECOGNITION OPPORTUNITIES

(continued)

\$150,000 (continued)

Exhibits in Fossil Hall:

MESOZOIC HABITAT DIORAMA

CENOZOIC HABITAT DIORAMA

INTRODUCTION AND PALEOZOIC HABITAT DIORAMA

\$100,000

Exhibits in the Fossil Hall:

NORTH CAROLINA GROUND SLOTH - *Reserved*

DINOSAUR SKELETON

Exhibit in Mountains to the Sea:

NORTH CAROLINA SNAKES

Exhibits in Conservatory/Tropical Connections Gallery:

ROLE OF PEOPLE IN TROPICAL HABITATS EXHIBIT

BIODIVERSITY

MIGRATION EXHIBIT - *Reserved for the Hanes Foundation*

BALCONY BOARD ROOM - *Reserved for CP&L, in honor of employees*

GROUP LEARNING LABS (2) - *Reserved for Glaxo Wellcome Foundation (1)*

FOSSIL LAB - *Reserved for PCS*

RESEARCH LIBRARY - *Reserved for Cannon Foundation*

MUSEUM SHOP

FEATURE FILM IN AUDITORIUM (2)

NORTH CAROLINA TREASURES BOOK

\$75,000

Exhibits in Explorers Hall:

HISTORIC MUSEUM COLLECTIONS

MUSEUM RESEARCH

\$50,000

Exhibits in Marine Hall:

OUTER BANKS RESEARCH STATION

PEOPLE AND OCEAN EXHIBIT

WAVE TANK

SALT MARSH - *Reserved for the Cemala Foundation*

Exhibits in Mountains to the Sea:

MOUNTAIN BOTANICAL RESEARCH STATION

GEOLOGY RESEARCH STATION

PIEDMONT STREAM RESEARCH STATION - *Reserved for Duke Power Co. Foundation*

WEATHER RESEARCH STATION

NOCTURNAL LIFE RESEARCH STATION - *Reserved for the N.C. Fed. of Women's Clubs*

NORTH CAROLINA STATE MUSEUM OF NATURAL SCIENCES

RECOGNITION OPPORTUNITIES

(continued)

\$50,000 (continued)

Exhibit in Fossil Hall:

FOSSIL DATING RESEARCH STATION - *Reserved for PCS*

WHALE SKELETON DIG EXHIBIT - *Reserved for PCS*

Exhibits in Conservatory/Tropical Connections Gallery:

LEAF CUTTER ANT COLONY EXHIBIT

GLOBE

CHIMNEY SWIFT TOWER AND MIGRATION RESEARCH STATION

- *Reserved for the Hanes Foundation*

CANOPY OVERLOOK

RADIO TELEMETRY RESEARCH STATION

WETLANDS RESEARCH STATION - *Reserved for NSF*

THE MUSEUM GUIDE

BRIMLEY HALL DEMONSTRATION LAB

\$25,000

ROOFTOP TELESCOPE - *Reserved for Moore & Van Allen*

MUSEUM RESEARCH STATION

"IN YOUR BACKYARD" KIOSKS (four kiosks, one on each floor)

- *1st floor kiosk reserved for John Deere*

SOLAR OBSERVATION SYSTEM - *Reserved for Haden and Elizabeth Lane*

DISCOVERY ROOM MURALS

GUANACASTE TREE IN CONSERVATORY

NORTH CAROLINA STATE MUSEUM OF NATURAL SCIENCES

THE NEW NORTH CAROLINA STATE MUSEUM OF NATURAL SCIENCES

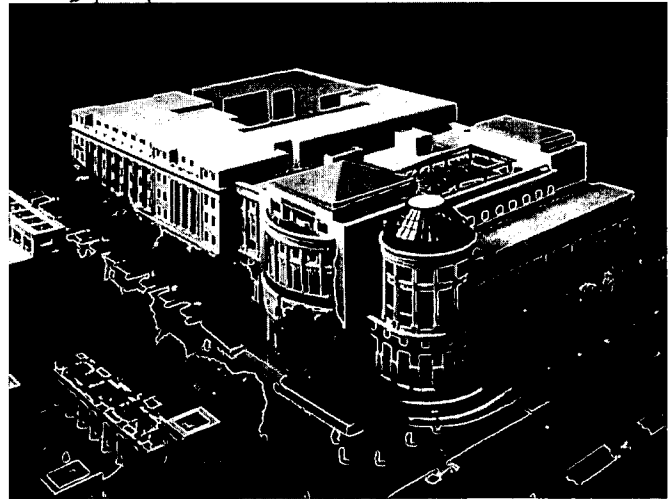


Exhibit and Building Construction Timeline

- June 1999: The new North Carolina State Museum of Natural Sciences opens on time and on budget with 10 major new exhibits
- May 1999: Construction completed on 10 major new exhibits
- November 1998: Construction completed on Museum building
- April 1998: Exhibit and diorama construction begins inside Museum building
- February 1998: Topping out of new Museum building
- Spring 1997: N.C. General Assembly considers \$7.1 million request for exhibits and building upfit included in Governor's budget
- Construction begins on major exhibition components

Considering how often things change in this world, the Museum of Natural Sciences is proud that our Opening date is still June 1999, unchanged in four years. In order for the Museum to open on time, we negotiated for exhibit installation to begin prior to building completion and one year before opening. **The Museum is committed to stay on schedule and to open without costly delays.**



CAPITAL CAMPAIGN
NEW EXHIBITS FOR THE
Second Century

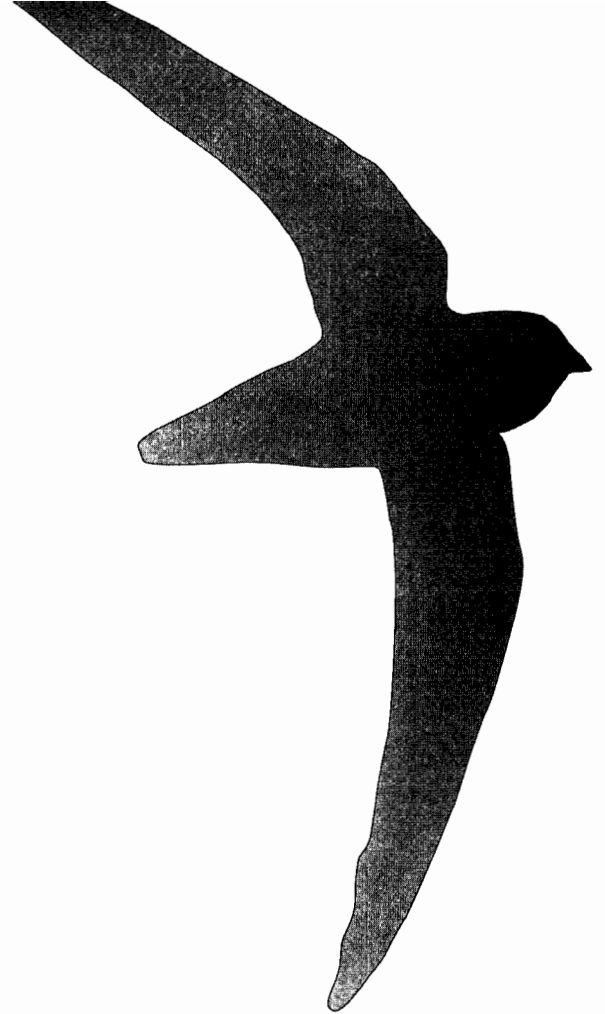
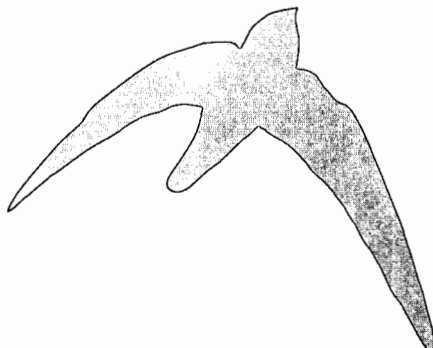
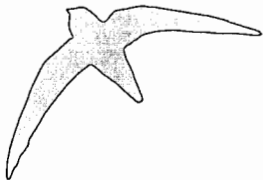
FACT SHEET

THE NEW NORTH CAROLINA STATE MUSEUM OF NATURAL SCIENCES

- Exciting interactive exhibits and dioramas will showcase North Carolina's rich natural history, including fossils, marine life, diverse habitats, and current research and technology in the natural sciences.
- On par with the state Museum of Art and Museum of History, the 200,000-square-foot facility will be four times larger than the current museum building.
- Research labs and upgraded facilities for the zoological collections of the State of North Carolina will facilitate primary research in the natural sciences.
- The new Museum will be centrally located on the Bicentennial Plaza in downtown Raleigh, serving 500,000 visitors and program participants annually.
- Opening in summer 1999, the new Museum will attract visitors to the Special Olympics World Summer Games held in Raleigh.

FUNDING

- The State of North Carolina has appropriated \$30.9 million for construction of the new Museum.
- The Friends of the Museum is raising \$16 million for exhibits and building upfit through a public/private partnership. As of April 1997, \$5.7 million has been given or pledged by individuals, corporations and foundations. The N.C. General Assembly has appropriated \$900,000 for exhibit design.



Chimney Swift
Chaetura pelagica
Family Apodidae
4¾ – 5½ inches

A little "cigar with wings," the Chimney Swift is a familiar sight in North Carolina from April to October. Swifts are airborne a good part of their lives—they eat, drink, and mate on the wing. Great flocks of swifts fly as far south as South America for the winter, and may return to North Carolina to nest—in chimneys—in the spring. You can see them wheeling high above as they search for insects, or spiraling downward to roost together after nesting season, in flocks of up to 1000 in a single large chimney.

Visitors to the new Museum may view live video of Chimney Swifts nesting or roosting in a mock chimney, planned for the roof top of the new Museum building.

NORTH CAROLINA STATE MUSEUM OF NATURAL SCIENCES

CAMPAIGN FOR NEW EXHIBITS STEERING COMMITTEE

Glenn Orr
General Chairman
Chairman Emeritus
Southern Nat'l Corp.
Winston-Salem, NC

L. M. "Bud" Baker
President, CEO & COO
Wachovia Corp.
Winston-Salem, NC

Dan Blue
NC State Representative
Raleigh, NC

Ed Crutchfield
Chairman & CEO
First Union Corp.
Charlotte, NC

Frank A. Daniels, Jr.
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The News & Observer
Raleigh, NC

Leo Daughtry
NC State Representative
Smithfield, NC

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Bowman Gray
School of Medicine
Winston-Salem, NC

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Secretary
Dept. of Env., Health &
Natural Resources
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Duke Power Co.
Charlotte, NC

Robert A. Ingram
President & CEO
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RTP, NC

Peter Loftin
President
Business Telcom Inc.
Raleigh, NC

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Centura Bank, Inc.
Rocky Mount, NC

Bill McDonald
President
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Telecom
Wake Forest, NC

John B. McMillan
Manning, Fulton &
Skinner
(Legislative Chair,
Friends of the Museum)
Raleigh, NC

Wendell Murphy
Chairman and CEO
Murphy Farms
Rose Hill, NC

William Cavanaugh
Co-Chairman
Chairman & CEO
CP&L
Raleigh, NC

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NC State Senator
Fayetteville, NC

John Sall
Senior Vice President
SAS Institute, Inc.
Cary, NC

Ann H. Smith
Raleigh, NC

Richard Stevens
Wake Co. Manager
Raleigh, NC

George M. Teague
Moore & Van Allen
(President, Friends of
the Museum)
Raleigh, NC

James W. Thompson
Vice Chairman
NationsBank Corp.
Charlotte, NC

Joan Weld
Office of the Governor
Raleigh, NC

Nancy Hanes White
Raleigh, NC

NORTH CAROLINA STATE MUSEUM OF NATURAL SCIENCES

Friends of the North Carolina State Museum of Natural Sciences

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Betsy Bennett
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George M. Teague
Peter VanGraafeiland
Gene Vaughan
David Weaver
Nancy White
Robert W. Winston, III

North Carolina State Museum of Natural Sciences

ADVISORY COMMISSION

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Southeastern Nephrology Association
Wilmington, NC

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Director
NC State Museum of Natural Sciences

Kelvin E. Farmer
Abbot Laboratories
Fayetteville, NC

Greg Fishel
WRAL Channel 5
Raleigh, NC

Dirk Frankenberg, Ph.D.
UNC Marine Science Program
Chapel Hill, NC

Charles Fullwood
Wildlife Resources Commission
Raleigh, NC

Charles Gardner
State Geologist
Raleigh, NC

William H. Glaze, Ph.D.
Director, Carolina Environmental
Program, UNC-CH
Chapel Hill, NC

Commissioner James Graham
NC Department of Agriculture

Harold Heatwole, Ph.D.
Department of Zoology, NCSU
Raleigh, NC

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Community volunteer
Chapel Hill, NC

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Brooks, Pierce, McLendon, Humphrey,
and Leonard, L.L.P.
Greensboro, NC

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Henry Lancaster
Assistant Secretary
Natural Resources, DEHNR

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The Arthur Morgan School
Ocracoke, NC

John Teeter
Pepsi Cola Bottling Co.
Asheville, NC

Steve Wainwright, Ph.D.
Professor Emeritus
Dept. of Zoology, Duke University
Durham, NC

NORTH CAROLINA STATE MUSEUM OF NATURAL SCIENCES

OUTREACH AND COLLABORATIONS

EDUCATION

In addition to the educational programs offered to school groups and the general public on-site at the Museum in Raleigh, the Museum delivers programs at other sites across the state. Its award-winning UTOTES (Using the Outdoors to Teach Experimental Science) program works with selected schools in urban and rural areas to help teachers improve the quality of science education. Through a wide variety of outreach programs geared to diverse groups, the Museum reaches 40,000 people across the state each year.

RESEARCH

Research programs are integral to the North Carolina State Museum of Natural Sciences, the only science museum in the state to have a full-time research staff. This staff, which currently numbers 15 and will grow to 24 before the new museum opens, includes senior curators in paleontology, mammals, birds, fish, reptiles and amphibians, geology, and aquatic and terrestrial invertebrates. In addition to maintaining the state's zoological collections, staff collaborate on research projects with area universities, state and federal agencies, and international organizations, including the National Geographic Society, Partners in Flight, National Biological Service, U.S. Fish and Wildlife Service, and Bat Conservation International. Their research is a vital element in developing educational programming and exhibitry at the Museum. The Museum's Advisory Commission and Research Advisory Board include scientists from UNC-Chapel Hill, NCSU and UNC-Wilmington.

INTER-AGENCY

The North Carolina State Museum of Natural Sciences coordinates the Grassroots Science Museums Collaborative, an association of the twelve major science museums in North Carolina. Members of the Collaborative develop exhibits and hands-on science programs that travel across the state, with a focus on underserved areas. Members of the Collaborative work individually with their local school systems and collectively with the state school system to provide unique science experiences for teachers and students.

The North Carolina Zoo, the North Carolina Aquariums, the State Parks, and the Museum are all divisions of the Department of Environment, Health and Natural Resources, and these organizations are working together along with the North Carolina Department of Commerce to promote eco-tourism in North Carolina, both nationally and internationally. Marketing tools are currently being developed to jointly promote both the wildlife areas and the science museums and institutions in the state to encourage visitors to explore the rich variety of natural resources in North Carolina.

The Museum is part of the Tri-Museum Programs along with the North Carolina Museum of History and the North Carolina Museum of Art. The highly successful Museum Magic event in 1995 attracted more than 12,000 people to downtown Raleigh, boosting membership and expanding awareness of the museums.

DEPARTMENT OF CULTURAL RESOURCES

NORTH CAROLINA MUSEUM OF HISTORY RESTAURANT

NORTH CAROLINA MUSEUM OF HISTORY RESTAURANT
Department of Cultural Resources

Estimate from Sigmon Construction Company \$708,900

Estimate from Foodcraft Equipment \$311,415

Additional costs:

Catering kitchen \$ 35,000

Interior equipment \$ 56,000

Architect fees \$ 60,000

Engineer \$ 25,000

Interior designer \$ 20,000

Exterior doors and reventing \$ 75,000

Contingency (10%) \$129,000

Above does **NOT** include estimates for some additional heavy equipment (not included in kitchen equipment estimate from Sigmon Construction).

Materials prepared for the Department of Cultural Resources by Charles Winston, restaurant owner and Museum of History Associate volunteer.

Projected total for project -- \$1,781,200 per Office of State Construction (ID #2006)



SIGMON CONSTRUCTION

RESIDENTIAL & COMMERCIAL CONSTRUCTION

P.O. Box 6353
Raleigh, North Carolina 27628
Office • 919-831-0539

APRIL 14, 1997

PRELIMINARY ESTIMATE OF INTERIOR FIT-UP FOR N.C. MUSEUM OF HISTORY RESTAURANT, RALEIGH.

THIS COST ESTIMATE IS BASED ON A PRELIMINARY FLOOR PLAN.
IT DOES NOT INCLUDE COSTS OF KITCHEN EQUIPMENT AND INSTALATION,
SEATING, OR SMALL SERVICE.

GENERAL CONDITIONS.....	17,000
(PERMITS, ETC.)	
FLOORING / SPECIAL SURFACES / FINISHES.....	167,400
(CARPET IN DINING AREAS, CERAMIC TILE IN KITCHEN & RESTROOMS)	
CEILINGS.....	35,000
(SUSPENDED CEILING, ACOUSTICAL TILE)	
PARTITIONS.....	47,000
(GYPSUM WALL BOARD OVER STEEL FRAME)	
STORE FRONT.....	22,000
(FRAMELESS GLASS)	
ELECTRICAL.....	105,000
PLUMBING.....	92,500
HEATING, VENTILATING, & AIR CONDITIONING.....	114,000
FIRE PROTECTION.....	28,000
(SPRINKLER RELOCATION, ADDITIONS, AND EXTENSIONS)	
MISCELANEOUS.....	81,000
(ENTRANCE DOORS, BUILDING HARDWARE, DECORATION, ACCESSORIES.)	
TOTAL	\$708,900

NC MUSEUM OF HISTORY FOODSERVICE BUDGET

DINING AREA:

<u>QTY</u>	<u>ITEM</u>	<u>COST PER</u>	<u>EXTENSION</u>	
154	Dining Chairs	\$135.00	\$20,790.00	
1	Wall Bench	\$1,200.00	\$1,200.00	
32	4 Top Table	\$150.00	\$4,800.00	
9	2 Top Table	\$125.00	\$1,125.00	
2	8 Top Table	\$450.00	\$900.00	
	SUB-TOTAL			\$28,815.00

SERVERY:

<u>QTY</u>	<u>ITEM</u>	<u>COST PER</u>	<u>EXTENSION</u>	
1	Salad / Beverage Bar	\$20,500.00	\$20,500.00	
1	Buffet Counter	\$46,000.00	\$46,000.00	
1	Cashier Counter	\$1,900.00	\$1,900.00	
1	Wait Station (Private Dining)	\$3,500.00	\$3,500.00	
	SUB-TOTAL			\$71,900.00

SCULLERY:

<u>QTY</u>	<u>ITEM</u>	<u>COST PER</u>	<u>EXTENSION</u>	
1	Dishwasher & Tables	\$19,000.00	\$19,000.00	
1	Pot, Pan, and Mop Sinks	\$4,500.00	\$4,500.00	
	SUB-TOTAL			\$23,500.00

COOKING:

<u>LOT</u>	<u>ITEM</u>	<u>COST PER</u>	<u>EXTENSION</u>	
1	Exhibition / Grill Area Eqmt.	\$12,000.00	\$12,000.00	
1	Bakery Eqmt.	\$14,000.00	\$14,000.00	
1	Main Cooking Eqmt.	\$41,000.00	\$41,000.00	
	SUB-TOTAL			\$67,000.00

NC MUSEUM OF HISTORY FOODSERVICE BUDGET

VENTILATION:

<u>LOT</u>	<u>ITEM</u>	<u>COST PER</u>	<u>EXTENSION</u>	
1	Exhaust Systems	\$19,000.00	\$19,000.00	
1	Fire Supression Systems	\$6,000.00	\$6,000.00	
	<i>SUB-TOTAL</i>			\$25,000.00

REFRIGERATION:

<u>LOT</u>	<u>ITEM</u>	<u>COST PER</u>	<u>EXTENSION</u>	
1	Walk-In Cooler/Freezer	\$14,000.00	\$14,000.00	
1	Storage Shelving	\$4,500.00	\$4,500.00	
1	Reach-In Coolers & Freezers	\$15,500.00	\$15,500.00	
	<i>SUB-TOTAL</i>			\$34,000.00

FABRICATION:

<u>LOT</u>	<u>ITEM</u>	<u>COST PER</u>	<u>EXTENSION</u>	
1	SS Shelves, Worktables, and Prep Sinks	\$19,000.00	\$19,000.00	
1	SS Hand Sinks	\$1,200.00	\$1,200.00	
	<i>SUB-TOTAL</i>			\$20,200.00

MISCELLANEOUS:

<u>LOT</u>	<u>ITEM</u>	<u>COST PER</u>	<u>EXTENSION</u>	
1	Dry Storage Shelving	\$4,000.00	\$4,000.00	
1	China, Silver, Glass	\$19,000.00	\$19,000.00	
1	Kitchen & D.R. Smallwares	\$18,000.00	\$18,000.00	
	<i>SUB-TOTAL</i>			\$41,000.00

TOTAL

\$311,415.00

WORKSHEET III CAPITAL IMPROVEMENT REQUEST

Department Cultural Resources
Division/Program Museum of History Division
Project Title Museum of History Restaurant

On an attached sheet(s), provide the following information:

1. Description, including space, type of structure, age of buildings, number and type of persons served, and any unusual construction or repair problems anticipated.

The 6514 SF Restaurant space in the new Museum of History is bare floor, walls, and ceiling with no finishes of any kind. The project will provide necessary plumbing, electrical, mechanical, ductwork, HVAC, wall/floor finishes, furnishings and restroom fittings
2. Justification.

The restaurant is needed to fulfill the original plan for this feature in the new Museum of History building and will provide dining facilities to support many fund raising events at the museum.
3. Criteria used to select this project as a recommendation.

The restaurant is the last major element to complete in the building; unfinished, it remains an eyesore to the Fletcher Gardens area outside the museum.
4. Define all program outcome for this capital investment.

Increased convenience and accessibility to the museum and nearby state government offices and the Legislature. Improved museum fund raising capabilities.

In the space provided below, provide the following information:

5. Schedule of construction, repair, etc. requested.
 - September, 1997 - Engage architect
 - April, 1998 - Construction drawings complete
 - June, 1998 - Begin construction
 - March, 1999 - Complete construction
 - April, 1999 - Occupation Date

6. Operating costs:

	First Year of Operation FY <u>96-97</u>	Second Year of Operation FY <u>97-98</u>	Third Year of Operation FY <u>98-99</u>	Fourth Year of Operation FY <u>99-00</u>	Fifth Year of Operation FY <u>00-01</u>
Staff (Additional Annual Cost) (Include # of <u>Additional Employees</u>)	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Maintenance (<u>Additional</u> Annual Costs)	0	0	0	0	0
Other Operating (<u>Additional</u> Annual Costs)	0	0	0	0	0
Special Equipment/Furnishings <u>Not</u> Included in Construction Request	0	0	0	0	0
Total Requirements	1,781,200	0	0	0	0
Less Receipts	0	0	0	0	0
Appropriation	1,781,200	0	0	0	0

7. If operation is supported from receipts, give current level of receipts, increase in rates, if necessary (from _____ to _____), and any restrictions on the use of these receipts by federal or state law. _____
8. Does the project have an approved OC-25 attached? Yes X No _____. An approved OC-25 must be submitted for every capital improvement request.
9. If new construction, where is the proposed location? _____
Has this proposed site been approved by the Office of State Property? Yes _____ No _____
Is the proposal site currently owned by the State of North Carolina? Yes _____ No _____ (If "No," a complete plan for acquisition must be attached.)
10. If repair or renovation, has this facility been inspected by the Office of State Construction's F-CAP team? Yes _____ No X If so, when? _____
11. For addition information contact James C. McNutt at telephone number 715-0200.

P100

OC-25 MAINTENANCE
OC-25: 97482006028

DEPT: 48 ID#: 2006 BIENNIUM: 1995 - 1997

PROJECT TYPE: 43 CLASS: D DATE RECEIVED: 110695
PROJECT SUBTYPE: PRTY: DATE RETURNED: 111595

PROJECT LOCATION: MUSEUM OF HISTORY, RALEIGH

PROJECT IDENTIFICATION
MUSEUM OF HISTORY RESTAURANT

PROJECT DESCRIPTION
THE 6514 SF RESTAURANT SPACE IS BARE FLOOR, WALLS & CEILING WITH NO FINISHES OF ANY KIND. THIS WILL OUTFIT THE RESTAURANT WITH THE NEEDED ITEMS SO THAT IT MAY SUPPORT FUND RAISING FUNCTIONS.

PROJECT ID:

P101 OC-25 MAINTENANCE
OC-25 97482006028 DATE REVIEWED: 111595 BIENNIUM 1995 - 1997

CURRENT ESTIMATED CONSTRUCTION COST	UNITS	UNIT COST	TOTAL
A.LAND REQUIREMENT	.		
B.SITE PREPARATION: DEMOLITION	.		
GENERAL	.		
C.CONSTRUCTION: UTILITY SERVICES	.		
GENERAL CONTRUCTION	.		000621200
PLUMBING	.		
HVAC	.		000414500
ELECTRICAL	.		
OTHER	.		
OTHER	.		
D.EQUIPMENT: FIXED	.		000238500
MOVEABLE	.		000095400
TOTAL CURRENT ESTIMATED COST			001369600
CONTIG % 05 . 00 = 00068480	DESIGN FEE % 10 . 00 = 000143808		
TOTAL EST PROJECT COST (INCLUDING CONTIG AND DESIGN FEE) =			001581900
EST COST INC = 00 . 42 % X 30 MONTHS X EST PROJ COST =			000199300
EST COST ON BID DATE = EST PROJECT COST + EST COST INC =			0001781200
STATUS: A	APPROVED BY: SWS	DATE APPROVED: 111595	

DEPARTMENT OF CULTURAL RESOURCES

MUSEUM OF THE CAPE FEAR

(Branch of the North Carolina Museum of History)

WORKSHEET III CAPITAL IMPROVEMENT REQUEST

Department Cultural Resources
Division/Program Museum of History
Project Title Museum of the Cape Fear Historical Complex - Museum Proper Addition

On an attached sheet(s), provide the following information:

1. Description, including space, type of structure, age of buildings, number and type of persons served, and any unusual construction or repair problems anticipated. *The Museum Proper is a three-story, 15,000 square-foot, brick building constructed in 1945. Since 1988, it has functioned as a regional history museum. Due to its popularity, the museum's public and support spaces are inadequate. Additional exhibit, office, meeting and classroom space will correct this situation (See Attachment 1)*
2. Justification. *It has been become increasingly difficult to operate a museum safely and effectively due to an insufficient amount of space. Furthermore, staff is less efficient with less space and space less efficiently used when it must accommodate multiple needs. The addition is the most economical means of alleviation. (See Attachment 1)*
3. Criteria used to select this project as a recommendation. *The museum proper is one of three historical resources in the developing Museum of the Cape Fear Historical Complex. As such, it serves significant interpretive, public and aesthetic roles.*
4. Define all program outcome for this capital investment. *The addition provides 9200 square-feet of new public-oriented spaces. Such spaces are needed for a historical complex that will add more staff and attract an estimated 75,000 visitors annually.*

In the space provided below, provide the following information:

5. Schedule of construction, repair, etc. requested.

Architects Contracted	January 1995
Construction Drawings Completed	September 1996
Beginning Construction Date	September 1997
Construction Completion Date	August 1998
Occupation Date	October 1998

6. Operating costs:

	First Year of Operation FY <u>1997-98</u>	Second Year of Operation FY <u>198-199</u>	Third Year of Operation FY <u>199-2000</u>	Fourth Year of Operation FY <u>2000-01</u>	Fifth Year of Operation FY <u>2001-02</u>
Staff (Additional Annual Cost) (Include # of <u>Additional</u> Employees)	\$113,868 (5)	\$ 69,394 (3)	\$ 0	\$ 26,000 (1)	\$ 0
Maintenance (<u>Additional</u> Annual Costs)	\$ 5,000	\$ 5,000	\$ 5,000	\$ 5,000	\$ 5,000
Other Operating (<u>Additional</u> Annual Costs)	4,400	4,000	2,500	3,200	2,500
Special Equipment/Furnishings <u>Not</u> Included in Construction Request	11,300	8,600	2,000	2,000	2,000
Total Requirements	134,568	86,994	9,500	36,200	9,500
Less Receipts	0	0	0	0	0
Appropriation	\$134,568	\$ 86,994	\$ 9,500	\$ 36,200	\$ 9,500

7. If operation is supported from receipts, give current level of receipts, increase in rates, if necessary (from _____ to _____), and any restrictions on the use of these receipts by federal or state law. _____

8. Does the project have an approved OC-25 attached? Yes x No _____. An approved OC-25 must be submitted for every capital improvement request.

9. If new construction, where is the proposed location? N/A
Has this proposed site been approved by the Office of State Property? Yes ____ No ____
Is the proposal site currently owned by the State of North Carolina? Yes ____ No _____ (If "No," a complete plan for acquisition must be attached.)

10. If repair or renovation, has this facility been inspected by the Office of State Construction's F-CAP team? Yes ____ No x If so, when? _____

11. For additional information contact Scott W. Loehr at telephone number (910) 486-1330.

Submit 6 copies on 8 1/2 x 11-inch light blue paper.

MUSEUM OF THE CAPE FEAR HISTORICAL COMPLEX
 MUSEUM PROPER
 Worksheet 3, Page 1

1. (Description, cont.)

Approximate Square Footage / Number of Rooms

	<u>Additional Sq. Footage</u>	<u>#of Rooms</u>
Exhibit Gallery	2800	1
Classrooms	880	2
Exhibit Fabrication Shop	1170	1
Offices	1534	7
Meeting Space	480	1
Maintenance Storage	200	1
Mechanical Room	150	1

2. (Justification, cont.)

Renewed construction of the Central Business District (CBD) Loop Highway precipitated discussions on the need for a comprehensive plan for creation of the Museum of the Cape Fear Historical Complex. This far-reaching approach included consideration of a funding package and the means by which capital would be procured for the project.

Museum leadership and staff realized that several steps were required prior to embarking on a fund-raising campaign. The first step was the creation of a long-range plan, complete with a vision statement, goals and objectives, staffing needs and financial statements. This process was completed in May 1992 by a committee of regional leaders under the auspices of professional management counsel.

A very successful capital campaign has enabled private and public funds to underwrite improvements to the Historical Complex -- a six-acre, educational, cultural and historical resource. The significant public components of the Historical Complex consist of the Museum Proper, the 1897 E. A. Poe House and Arsenal Park.

The Museum Proper is a 15,000 square-foot, three-story brick facility housing collections and exhibitions that interpret the history and culture of southern North Carolina. A mid-1980s study which concluded that the museum building would be adequate for ten years failed to anticipate the enthusiastic reception for the institution and its programs and services. It became clear rather quickly that additional space would be needed.

This additional space will enable schoolchildren to enjoy a more effective learning experience in a classroom environment; will permit industry-accepted exhibit fabrication practices and procedures in a larger fabrication shop; will furnish additional gallery space to attract "blockbuster" exhibitions; and will create more office/administrative space for staff and operating functions. In short, the larger facility will let the museum fulfill its mission in a physical setting conducive to high productivity.

P100

OC-25 MAINTENANCE
OC-25: 97482006026

DEPT: 48 ID#: 2006 BIENNIUM: 1995 - 1997

PROJECT TYPE: 43 CLASS: E DATE RECEIVED: 110795
PROJECT SUBTYPE: PRTY: DATE RETURNED: 111595

PROJECT LOCATION: FAYETTEVILLE

PROJECT IDENTIFICATION
MUSEUM OF THE CAPE FEAR HISTORICAL COMPLEX DEVELOPMENT

PROJECT DESCRIPTION
THE MUSEUM OF THE CAPE FEAR HISTORICAL COMPLEX IS A FIVE-ACRE EDUCATIONAL,
CULTURAL AND HISTORICAL RESOURCE CONSISTING OF THE MUSEUM PROPERTY, THE
1897
EA POE HOUSE AND ARSENAL PARK. INCLUDES RENOVATIONS, ADDITIONS AND NEW
WORK.

PROJECT ID:

P101

OC-25 MAINTENANCE

OC-25 97482006026 DATE REVIEWED: 111595 BIENNIUM 1995 - 1997

CURRENT ESTIMATED CONSTRUCTION COST	UNITS	UNIT COST	TOTAL
A.LAND REQUIREMENT	.	.	.
B.SITE PREPARATION: DEMOLITION	.	.	000048400
GENERAL	.	.	.
C.CONSTRUCTION: UTILITY SERVICES	.	.	.
GENERAL CONTRUCTION	.	.	001422800
PLUMBING	0012867	001 . 48	000019000
HVAC	0012867	003 . 17	000040800
ELECTRICAL	0012867	005 . 25	000067600
OTHER	.	.	.
OTHER	.	.	.
D.EQUIPMENT: FIXED	.	.	.
MOVEABLE	.	.	.
TOTAL CURRENT ESTIMATED COST			001598600
CONTIG % 05 . 00 = 00079930	DESIGN FEE % 08 . 00 = 000134282		
TOTAL EST PROJECT COST (INCLUDING CONTIG AND DESIGN FEE) =			001812800
EST COST INC = 00 . 42 % X 24 MONTHS X EST PROJ COST =			000182700
EST COST ON BID DATE = EST PROJECT COST + EST COST INC =			0001995500
STATUS: A	APPROVED BY: SWS	DATE APPROVED: 111595	

DEPARTMENT OF CULTURAL RESOURCES

MUSEUM OF THE ALBEMARLE

(Branch of the North Carolina Museum of History)

Department of Cultural Resources
 North Carolina Museum of History Division
 Project: Museum of the Albemarle, Elizabeth City

1. Description:

The museum collects and interprets the ten-county Albemarle regional history, with emphasis on early state history, agricultural and waterways interpretation. The property in downtown Elizabeth City was purchased by the state in 1994. The new riverfront location is more central to downtown visitors. The museum will serve as education center, tourism destination, and regional activity center.

2. Justification:

The museum has exhausted its available display and collections storage capacity. Need space to exhibit the State Boat- a 1904 shad boat; Civil War artifacts, agricultural tools, tractors and earliest soybean production machinery; a small 1750s house, Algonquian and early settlement artifacts. The largest U.S. Coast Guard Base (Elizabeth City) also should have it's history preserved and exhibited.

An old patrol station, the present building's lifespan is no more than five years - electrical, security and lighting need replacing, and it is mice infested. It is insufficient for programs as it gets uncomfortably full; Guild membership must be limited; for events we must rent tents. At the spring W.W.II gala we had 350 guests, building capacity is 112. Additional staff are needed to preserve and interpret collection to the region's increasing numbers of youth and tourists.

3. Criteria for recommendation:

The new location is ideally sited for cultural and historical education. The museum project has been planned for six years. (Original site proved unfeasible for engineering reasons.) Proximity to river and growing tourism (11% growth '95/96) in region, museum will have economic development impact in downtown Elizabeth City. The museum's "front lawn" would be the city-owned and -maintained riverfront park which is used for celebrations.

4. Program outcome:

The museum would go from 14,000 to 50,000 square feet; exhibits from 5000 to 10,000. We are now without an auditorium and classrooms; having them would allow us to have an orientation program, more numerous learning activities, modern technologies, conferences, and events. Economic developers have identified heritage tourism as a regional growing industry. The ten county northeast region is rich with history and needs collections storage and care areas- no other museum serves this scope. Additional space and staff would interpret this regional heritage to students, families and tourists.

5. Schedule for construction requested:

Architects completing Schematic Design	September 1996
Construction documents	May 1997
Approval to bid	September 1997
Contract	January 1998
Begin Demolition	March 1998
Construction completion	March 1999
Occupation	June 1999
Equipping and Exhibitions complete: Opening	September 2000

Museum of the Albemarle

6.

Operating Costs	FY 1997/98	FY 1998/99	FY 1999/2000	FY 2000/2001	FY 2001/2002	FY 2002/2003
	Exhibit research, planning, prepare collection	Exhibit design and specification	Move, equip, begin fabrication	Opening events, education	First year open and operating	
Staff, additional annual cost	\$ 92,409	\$ 59,027	\$ 235,995	\$ 126,923	\$ 68,031	
Maintenance						
electric			\$ 25,000	\$ 30,600	\$ 31,000	
water			\$ 800	\$ 1,100	\$ 2,000	
phone/fax			\$ 10,000	\$ 16,000	\$ 18,000	
Special furnishings and equipment	\$ 46,950	\$ 83,640	\$ 541,176	\$ 73,128	\$ 31,000	
Other						
Signage			\$ 45,000			
Exhibit Design		\$ 125,000				
Orientation Program				\$ 50,000		
Total Requirements	\$ 139,359	\$ 267,667	\$ 857,971	\$ 297,751	\$ 150,031	
Appropriations						

7. Level of receipts--none

8. OC 25 attatched

9. The proposed location is Water Street, Elizabeth City, NC, 27909

The property was purchased by the State of North Carolina in 1994.

10. This is a new facility request, not a renovation project.

11. For additional information contact Rhonda R. Tyson, Museum of the Albemarle, 919-335-1453

P100

OC-25 MAINTENANCE
OC-25: 97482006024

DEPT: 48 ID#: 2006 BIENNIUM: 1995 - 1997

PROJECT TYPE: 43 CLASS: E DATE RECEIVED: 022896
PROJECT SUBTYPE: PRTY: DATE RETURNED: 022896

PROJECT LOCATION: ELIZABETH CITY

PROJECT IDENTIFICATION

NEW MUSEUM OF THE ALBEMARLE

PROJECT DESCRIPTION

THIS REQUEST IS FOR SITE DEVELOPMENT AND CONSTRUCTION OF A NEW MUSEUM OF THE ALBEMARLE. THE NEW MUSEUM WILL CONTAIN APPROXIMATELY 50,000 SQUARE FEET.

PROJECT ID:

01 OC-25 97482006024 DATE REVIEWED: 022996 BIENNIUM 1995 - 1997

CURRENT ESTIMATED CONSTRUCTION COST	UNITS	UNIT COST	TOTAL
A.LAND REQUIREMENT		.	
B.SITE PREPARATION: DEMOLITION		.	
GENERAL		.	000920300
C.CONSTRUCTION: UTILITY SERVICES		.	
GENERAL CONTRUCTION	0050000	090 . 45	004522500
PLUMBING	0050000	004 . 00	000200000
HVAC	0050000	016 . 00	000800000
ELECTRICAL	0050000	012 . 60	000630000
OTHER SK	0050000	004 . 00	000200000
OTHER SP		.	000050000
D.EQUIPMENT: FIXED		.	
MOVEABLE		.	
TOTAL CURRENT ESTIMATED COST			007322800
CONTIG % 03 . 00 = 00219684	DESIGN FEE % 06 . 00 = 000452549		
TOTAL EST PROJECT COST (INCLUDING CONTIG AND DESIGN FEE) =			007995000
EST COST INC = 00 . 42 % X 24 MONTHS X EST PROJ COST =			000805900
EST COST ON BID DATE = EST PROJECT COST + EST COST INC =			0008800900
STATUS: A	APPROVED BY: SWS	DATE APPROVED: 022996	

RESERVE FOR EXHIBITS

Site/Location Name -by Division	Exhibit Title	Estimated Cost
<u>Roanoke Island Commission</u>		
Roanoke Island Festival Park (Elizabeth II Historic Site)	'Port Roanoke' exhibit, (new)	\$1,883,648
<u>Archives and History</u>		
<u>Archives and History Adm.</u>		
Outer Banks History Center	OBHC Gallery (replacement/new)	35,000
Stagville Center	Classroom gallery (refurbish)	15,000
<u>Archaeology & Hist. Presv.</u>		
Fort Fisher Preservation Lab	"Beneath the Waves" (refurbish)	4,000
<u>Historic Sites Section</u>		
Fort Fisher	Visitor Center (refurbish from 1965)	250,000
Bentonville Battleground	Visitor Center (refurbish from 1965)	400,000
Vance Birthplace	Visitor Center (refurbish from 1966)	200,000
Brunswick Town	Visitor Center (refurbish from 1967)	300,000
Reed Gold Mine	Visitor Center (refurbish from 1977)	400,000
NC Transportation Museum	Back Shop (new)	5,000,000
NC Transportation Museum	Flue Shop (refurbish from 1990)	50,000
Historic Halifax	Visitor Center (refurbish from 1976)	700,000
Historic Halifax	Eagle Tavern (new)	150,000
Charlotte H. Brown Memorial	Stone Hall (new)	1,600,000
Somerset Place	Visitor Center (expansion)	500,000
CSS Neuse	Enclosed Boat Shelter (expansion)	400,000
CSS Neuse	Caswell Building (refurbish from 1978)	75,000
Charles B. Aycock	Visitor Center (refurbish from 1985)	200,000
House in the Horseshoe	New Visitor Center (expansion)	300,000
Horne Creek Farm	New Visitor Center (expansion)	500,000
Bennett Place	Visitor Center (refurbish from 1984)	50,000
Alamance Battleground	Visitor Center (expansion)	200,000
Town Creek Indian Mound	Visitor Center (refurbish from 1983)	400,000
James K. Polk Memorial	Visitor Center (refurbish from 1986)	100,000
Duke Homestead	Visitor Center (refurbish from 1989)	250,000
Historic Edenton	Visitor Center (refurbish from 1992)	100,000
Fort Dobbs	Waysides and Visitor Center (expansion)	250,000
Thomas Wolfe Memorial	Visitor Center (complete from 1997)	150,000
<u>Tryon Palace</u>		
Palace, Kitchen Office, Stanly House, Dixon Stevenson House	Period venetian blinds (replace)	30,000
Stanly House	Period textile furnishings (replace)	100,000
Palace	Period textile furnishing (replace)	100,000
Palace Complex	Reproduction period chairs for visitor seating (new)	5,000
New Bern Academy	Exhibits (refurbish from 1991)	40,000
Kitchen (East) Wing	Interpretive reproductions (new)	50,000
Palace Cellar	Living History exhibit (new)	35,000
Stable (West) Wing	Exhibit (new)	30,000
Hay House	Interpretive reproductions (new)	100,000
Dixon-Stevenson House	Interpretive furnishings (new)	100,000
<u>State Capitol/Visitor Services</u>		
State Capitol & Visitor Center	New exhibits	8,300

Museum of History

Museum of History, Raleigh

January, 1997 through July, 1997

Summer, 1997

Spring, Summer 1997

New exhibits installed yearly

Rotations and new materials yearly

Updates/new installations yearly

Irregular Changes and Updates

November, 1997

January, 1998

December, 1997

First Families of North Carolina, new	60,000
Health & Healing Experiences in North Carolina, new	987,523
Survivors & Liberators: North Carolina and the Holocaust, new	40,000
Tar Heel Junior Historian Gallery, refurbish	5,000
Folklife Gallery, refurbish	5,000
Sports Hall of Fame, refurbish	4,000
Lobby/Demonstration Gallery/Recent Acquisitions, refurbish	5,000
Military History Exhibits (Rotating Subjects), new	200,000
Visible Storage, expansion	300,000
Celebrations!, new	20,000

Museum of the Albemarle, Elizabeth City

January 24 through August 1997

March 21 through August 1997

Opens May 1997; long term

Fire and Wind: Disasters of the Albemarle, new	3,000
Hats and Accessories, new	700
Enlightening: Higher Education in the Albemarle, new	800
Eden's Colonial Compound (Archaeology), new	3,000
Holiday Exhibit, new	2,000
Temporary Gallery Lighting Upgrades, refurbish	1,000
Permanent Gallery, refurbish	2,000

Museum of the Albemarle, New Facility

October 15, 1997

Exhibition Planning, 10,000 sq. ft. galleries; expansion	100,000
Lobby Transportation Exhibit, expansion	30,000
North Carolina Women Making History, expansion	12,000

Museum of the Cape Fear, Fayetteville

June-August, 1997

September - December, 1997

June, 1997 - June, 1998

January - March, 1998

January - March, 1998

January, 1999

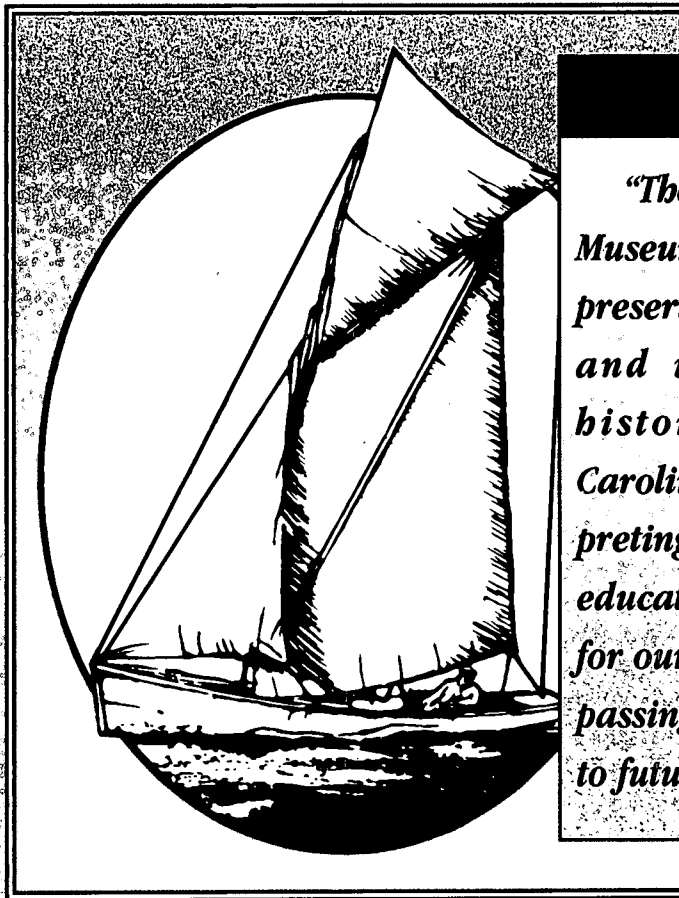
Early Exploration and Settlement Gallery, refurbish	16,250
Colonial Era & American Revolution Gallery, refurbish	10,000
Children's Discovery Gallery, new	22,000
Ante-bellum Gallery, expansion	12,500
Native American Gallery, expansion	10,000
The Cape Fear Region Since 1960, new	5,000

Mountain Gateway Museum, Old Fort

Folk Medicine in Western North Carolina, expansion	3,000
Before Blue Suede - Regional Footwear, new	4,500
Mountain Blacksmithing, refurbish	3,000

Total: \$16,928,221

NORTH CAROLINA MARITIME MUSEUM



MISSION

"The North Carolina Maritime Museum documents, collects, and preserves the maritime history— and its correlated natural history— of coastal North Carolina for the purpose of interpreting this history through its educational services and exhibits for our contemporary society and passing intact its material culture to future generations."

A VISION OF THE FUTURE

Introduction

The North Carolina Maritime Museum has grown from a storefront operation in 1975 that hosted 18,000 visitors to a first-rate museum with five buildings, a staff of twenty, and an annual visitation rate of over 200,000. Its success has overreached its resources. The major obstacle to continued growth and development is lack of physical space.

The museum has a multitude of artifacts, now in storage, it is unable to display for the public. It cannot even accept further donations of items of historical interest due to lack of space. The museum has also come to recognize the need for many new maritime educational programs, but the necessary facilities are simply not available.

The museum is now offered the unparalleled opportunity to overcome these restrictions and to take the steps necessary toward becoming a truly major museum. Certain properties have recently come on the market, which, if acquired, could support the museum's needs for the next twenty to twenty-five years. This report provides descriptive and cost information on these properties, how they would be developed and utilized, and provides specifics on the long term benefits to the museum and the state.

North Carolina Maritime Museum

A Brief History

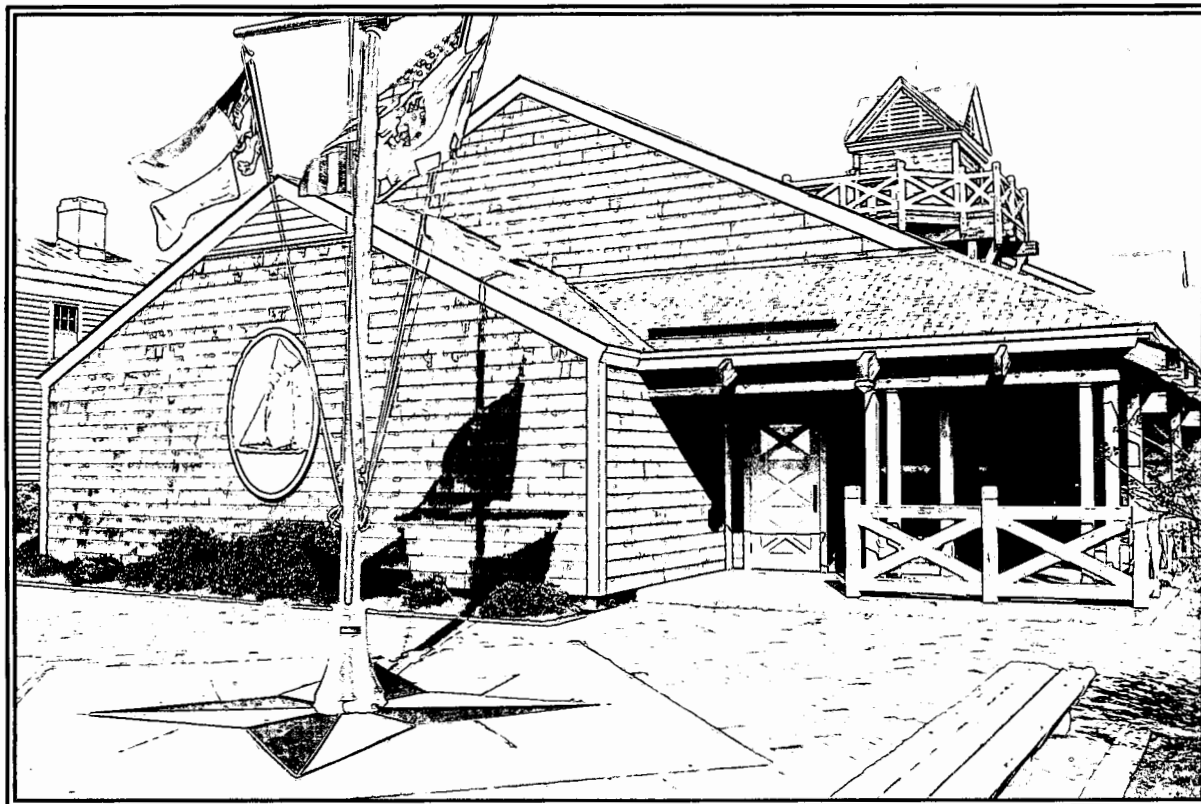
The North Carolina Maritime Museum began its formal life in 1951 when the state General Assembly established a coastal marine museum and placed it in the Department of Agriculture. Hampton Marine Museum, as it was called, featured fish mounts and displays collected by the U.S. Fish and Wildlife Service and the North Carolina Department of Conservation and Development, some of which dated to the thirties. The museum was open on a seasonal, part-time basis.

In 1975, the museum was reorganized as the Hampton Mariners Museum with a small but professional staff, a full-time operating schedule, and a new statement of purpose that broadened its scope to include maritime history as well as the coastal environment and marine life. The creative, energetic, and dedicated staff put together an impressive catalog of innovative programs and exhibits that quickly garnered national attention. In 1984, the General Assembly recognized the museum's leadership role and renamed it the North Carolina Maritime Museum.

In 1985, the North Carolina Maritime Museum moved to permanent quarters at the Front Street location. The newly constructed post and beam, all-wood building features soaring ceilings in the exhibit hall and a separate library and auditorium. Once the museum was housed in a permanent facility, accreditation by the American Association of Museums soon followed.

Current Facilities

Main Museum Building



◆ Exhibit Area – 5,000 square feet

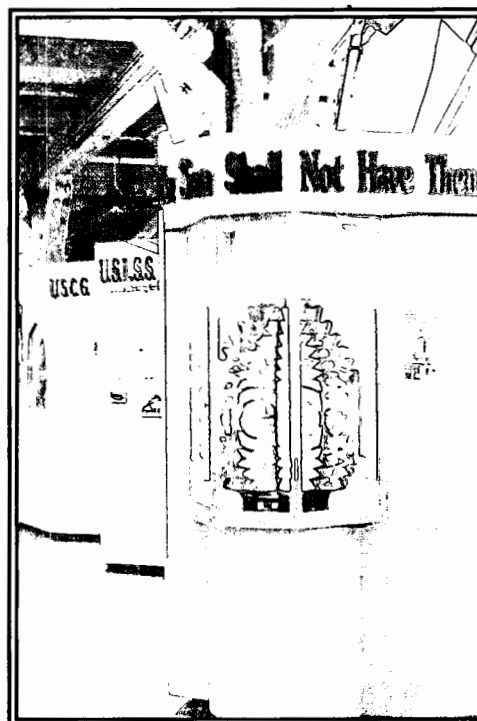
◆ Auditorium – 1,600 square feet

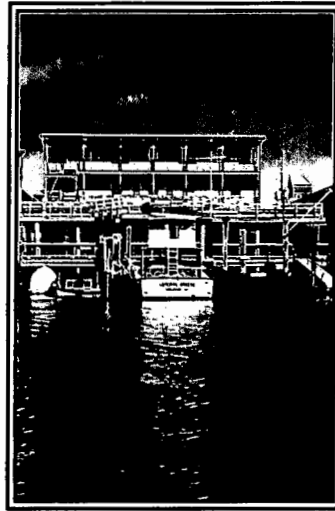
◆ Library – 500 square feet

◆ Bookstore

◆ Offices

◆ Storage





Harvey W. Smith Watercraft Center

- ◆ 5,400 square feet
- ◆ Boat construction and restoration
- ◆ Model shop

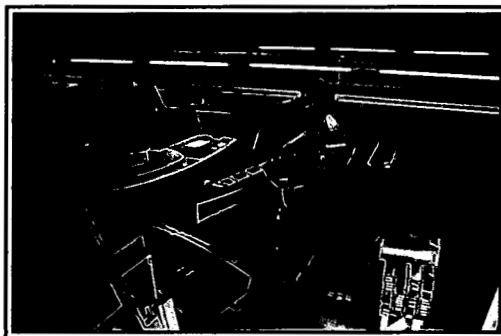
Harborside Annex

- ◆ Offices
- ◆ Workshops
- ◆ Darkroom
- ◆ Conference rooms
- ◆ Observation Deck
- ◆ Dockage



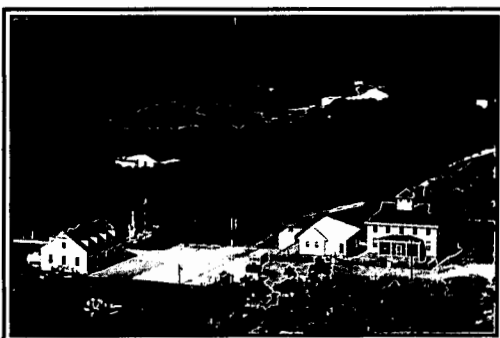
Exhibits Shop

- ◇ Environmentally controlled workshop
- ◇ Remote location



Boat Storage Facility

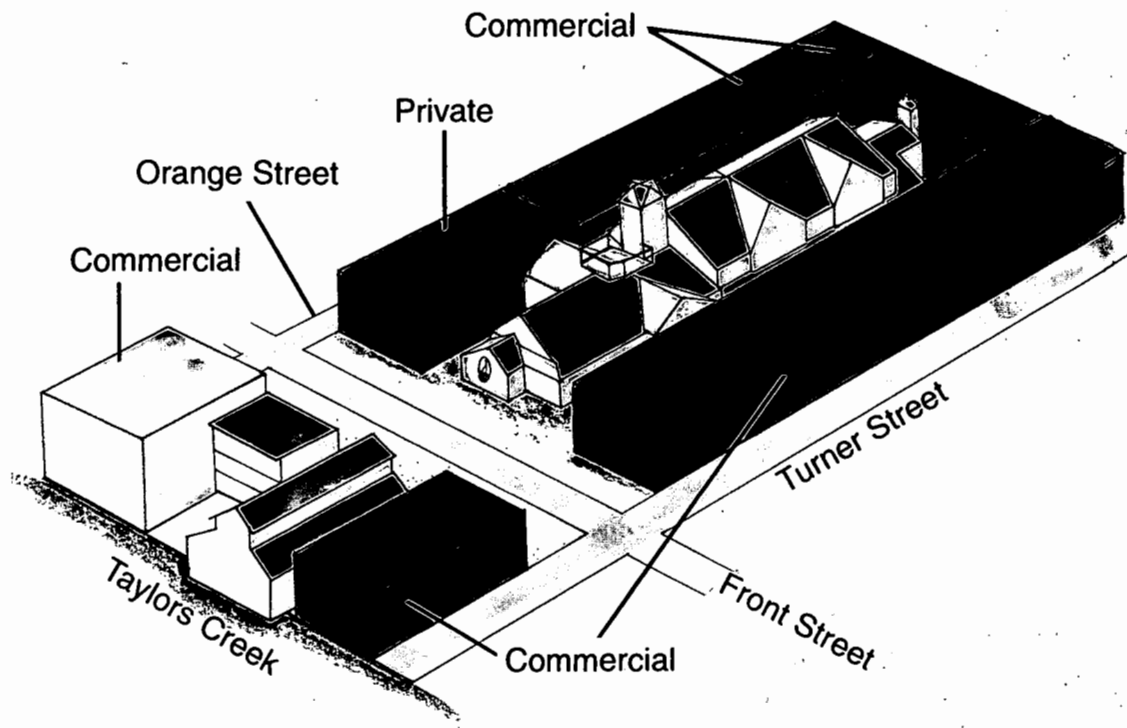
- ◆ Inside and outside boat storage
- ◆ Remote location



Cape Lookout Station

- ◆ Maritime and nature studies programs
- ◆ Wind/Solar power
- ◆ Classrooms
- ◆ Overnight facilities
- ◆ Fifteen year lease from Park Service

Constraints on Future Growth

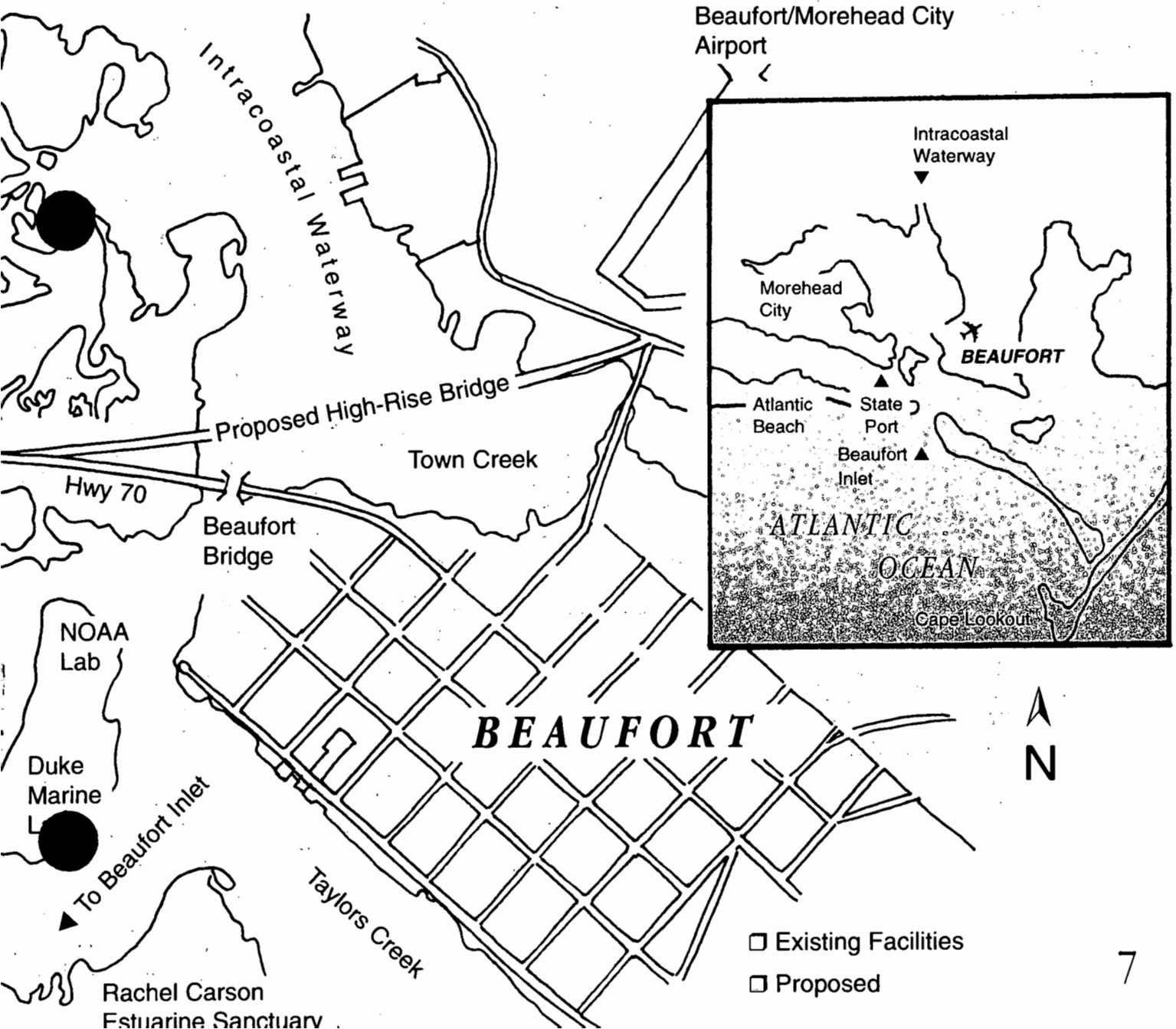


- ◆ Limited display area in main museum (5,000 square feet)
- ◆ No space for new exhibits
- ◆ Limited space for educational programs
- ◆ Library has reached saturation point
- ◆ No display area for North Carolina traditional boats
- ◆ Limited dockage for on-water displays of traditional boats
- ◆ Limited space for increasingly popular programs such as Wooden Boat Show and Junior Sailing
- ◆ Major limitation on any expansion of existing facilities due to surrounding commercial activities

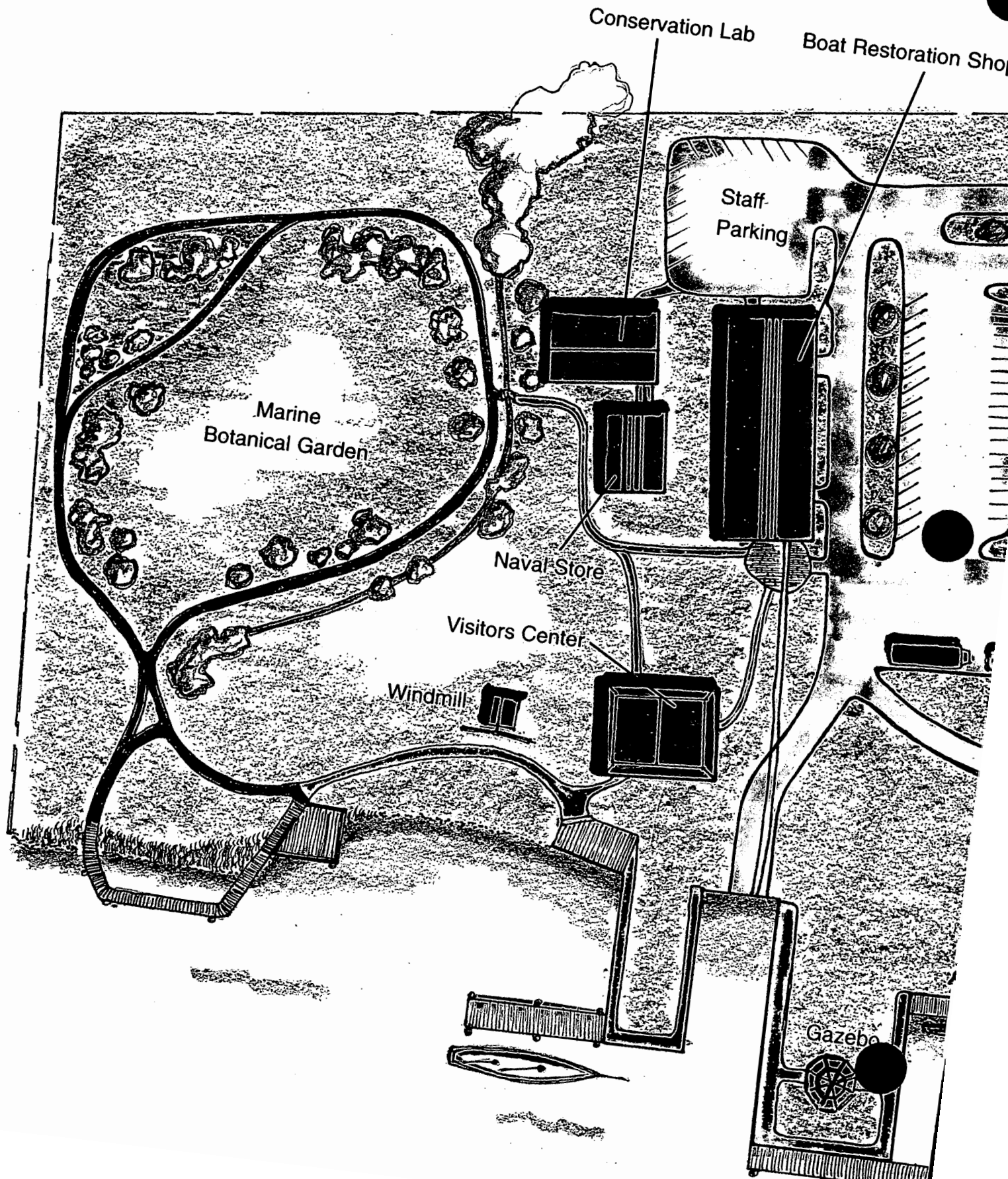
Opportunities for Growth – Long Term

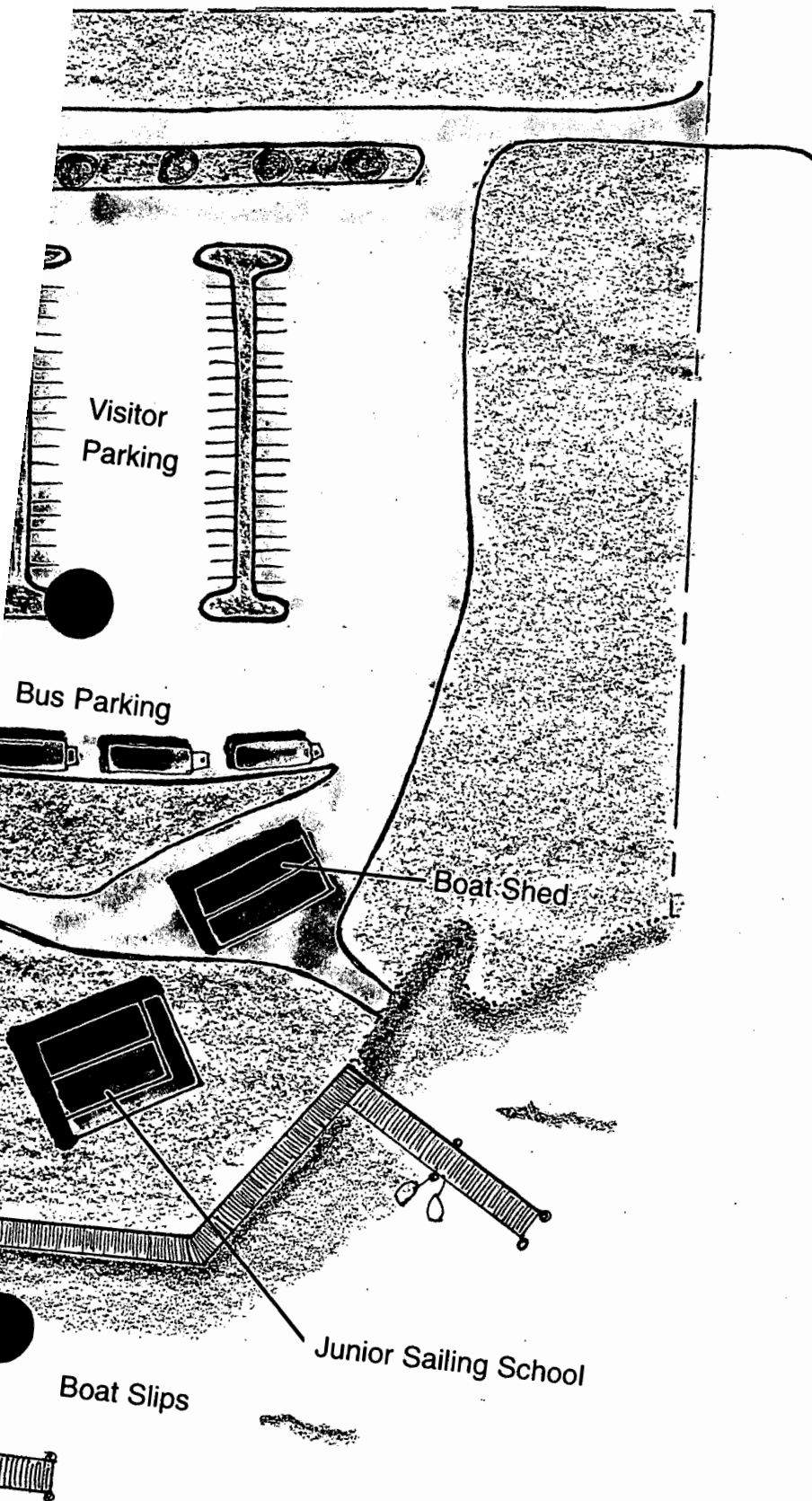
NC Maritime Museum Proposed Capital Project *Chadwick Property Purchase — Town Creek Site*

- ◆ 36 acres — \$3.2 million
- ◆ 1,852 feet of deep water frontage
- ◆ Will support the museum's expansion needs for the next 25+ years
- ◆ On the Intracoastal Waterway
- ◆ Available now — Will go on open market soon
- ◆ Owner supports museum acquisition
- ◆ Long term development costs — \$2.7 million



Town Creek Site Proposal





Functions

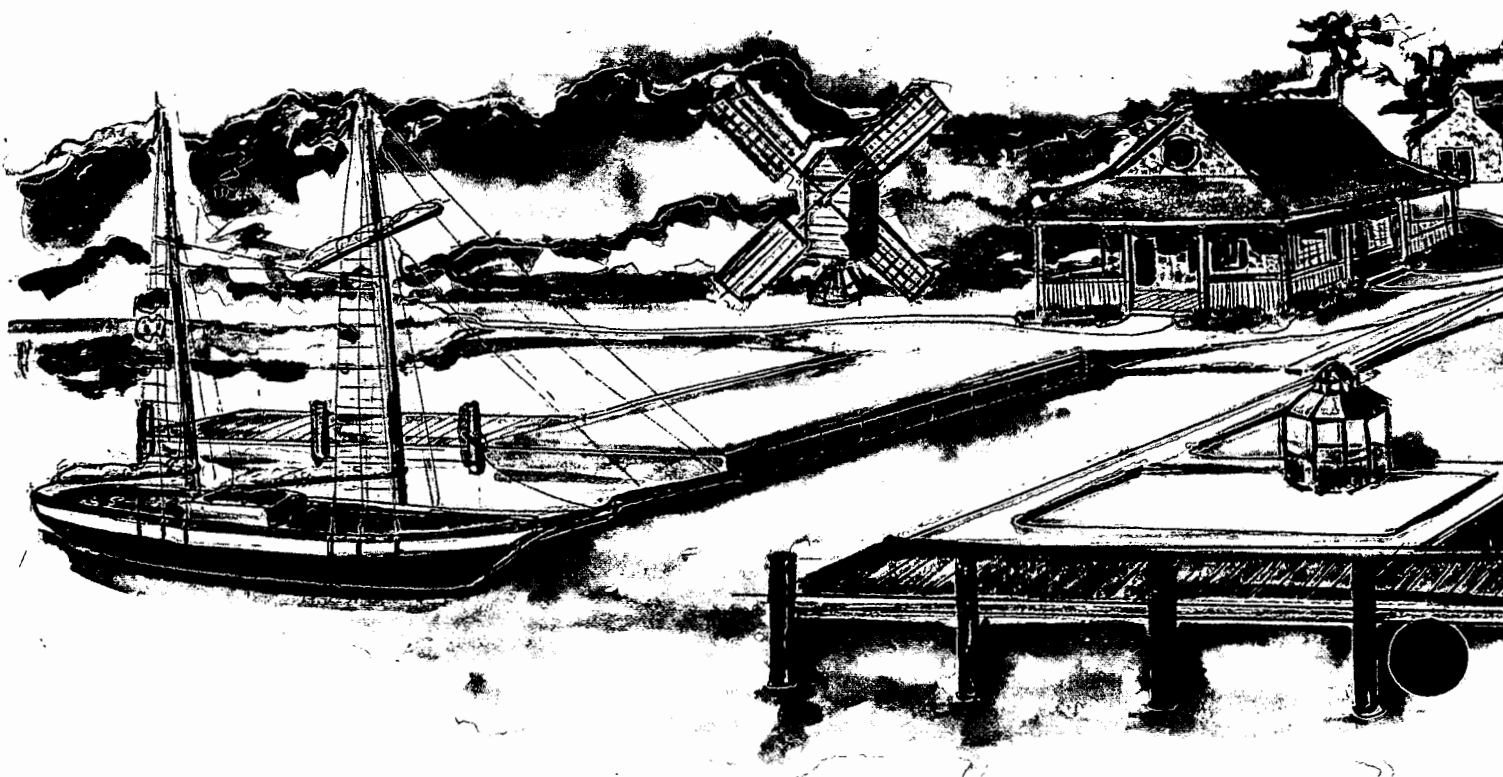
- ◆ Tall Ship berthing/display
- ◆ Junior Sailing Program
- ◆ NC Sharpie sailboat classroom
- ◆ Expanded Wooden Boat Show
- ◆ Traditional Work Boats —
Restoration and Display
- ◆ Conservation Laboratory
- ◆ NC Coastal Traditional Trades —
Naval Stores Exhibit
- ◆ Historical Botanical Garden —
Nature Trails
- ◆ Museum Ferry to Front Street, Beaufort
- ◆ Parking

Town Creek Site

Development Timing

1996 – 2000

- ◆ Renovate main building
- ◆ Restore bulkheading
- ◆ Open Junior Sailing
- ◆ Parking lot
- ◆ 1st Sharpie sailboat classroom
- ◆ Landscape property
- ◆ NC Historic Boat Registry
- ◆ Wharf and piers

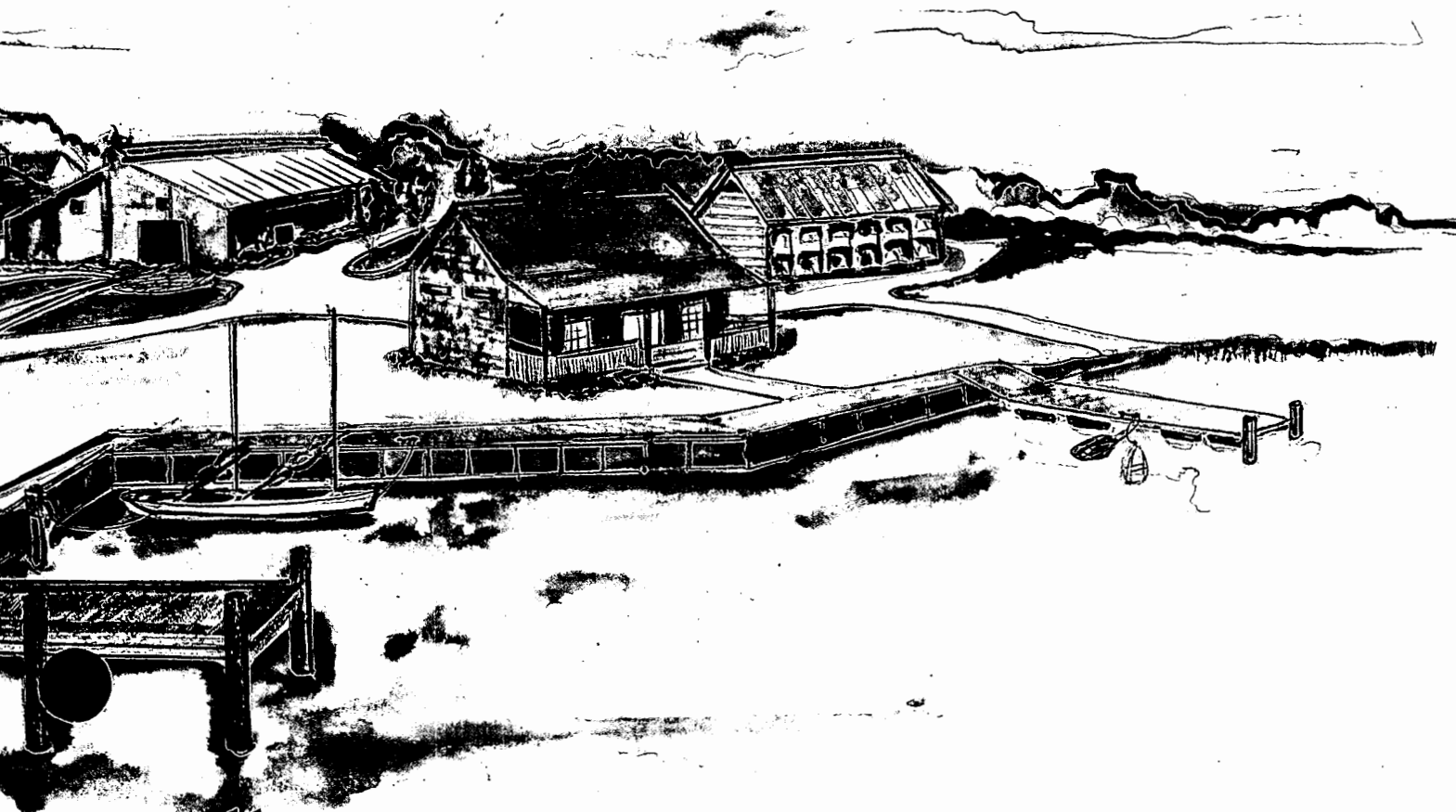


2000 – 2005

- ◆ Conservation Laboratory
- ◆ Naval Stores Exhibit
- ◆ Junior Sailing
- ◆ 2nd Sharpie sailboat classroom
- ◆ Landscape/2nd phase
- ◆ Botanical Garden
- ◆ Museum Ferry to Front Street, Beaufort
- ◆ Nature Trails
- ◆ Outdoor signage

2005 – 2010

- ◆ Oyster House Exhibit
- ◆ Junior Sailing
- ◆ Gazebo area
- ◆ Botanical Garden/2nd phase
- ◆ Windmill replica



Estimated Costs / Timing

Near Term

Topsail building purchase (1995)	\$ 900,000
Refurbishment (1995 – 1996)	\$ 50,000
	\$950,000

Long Term

Option I

(Terms offered by Seller)

Chadwick property purchase

[Payment schedule]

(1995)	\$ 100,000*
(1996)	\$ 700,000
(1997)	\$ 800,000
(1998)	\$ 800,000
(1999)	\$ 800,000
Total	\$ 3,200,000†

Option II

(One-time Appropriation)

Chadwick property purchase

	\$ 3,200,000
<i>Site development</i>	\$ 2,700,000
Total	\$ 5,900,000

Site development

(1996 – 1999)	\$ 1,200,000
(2000 – 2003)	\$ 1,500,000
	\$ 2,700,000

* Earnest money

† Does not include interest

Benefit Analysis

- ◆ Opportunity to acquire waterfront property that will never be available again.
- ◆ Firm price and attractive financing proposals being offered by owners.
- ◆ Meets growth needs of museum over next 20 to 25 years.
- ◆ Supports expanding tourist industry and overall economic development of eastern North Carolina.
- ◆ Provides for major expansion of educational programs on North Carolina coastal natural history and maritime history. Estimate over 50,000 school age children from all over the state would be affected.
- ◆ Economic forecasts indicate value of property alone would triple over the next 10 to 15 years.
- ◆ Provides for over 1/2 million museum visitations per year by 2010.
- ◆ Meets critical parking needs.
- ◆ Potential for significant revenue generation through special training classes, laboratory fees, research fees, special events, ferry tickets, donation boxes, etc.
- ◆ Estimated revenues could exceed \$500,000 per year.
- ◆ Offers opportunity for the North Carolina Maritime Museum to become the leading maritime museum in the South.

Credits

illustrations by Lenore Bell,
Beaufort NC

photos by Diane Hardy,
Morehead City, NC

written and produced by
Friends of the Museum, Inc.

layout and design by NCMM
Design Department

printing by Dawn Herald
Printing, Morehead City, NC

© 1995 Friends of NCMM
second printing

The North Carolina Maritime
Museum is located just off
Hwy. 70 in the historic town
of Beaufort.

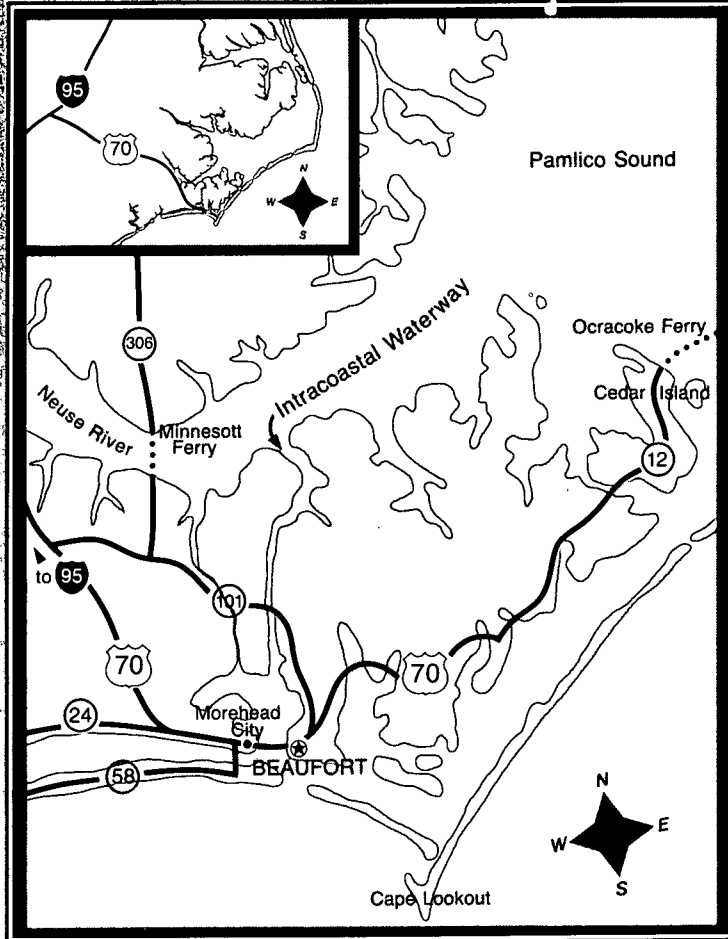
*North Carolina
Maritime Museum
315 Front Street
Beaufort, NC 28516
(919) 728-7317*

Rodney D. Barfield, Director

Accredited by the American Association of Museums



A Division of the N.C. Department of Agriculture,
James A. Graham, Commissioner.



APPROPRIATIONS
Subcommittee on Capital & Budget

Minutes
April 22, 1997

The APPROPRIATIONS Subcommittee on Capital & Budget held a meeting on Tuesday, April 22, 1997 at 12:00 Noon in room 643 of the Legislative Office Building. The members present were Representatives Carolyn Russell, Cochair, Representative Gene Wilson, Co-Chair, Cherie Berry, Donald Bonner, Joanne Bowie, Walter Church, Sr., Beverly Earle, Ruth Easterling, Bobby Hall, Verla Insko, William Ives, Joe Kiser, Mickey Michaux, Richard Moore, Jane Mosely, Nurham Warwick, and Alex Warner. Staff-Lynn Muchmore, Janice Wheeler, Emily Johnson, Phyllis Pickett, and Clerk- Rebecca Jones were also present.

Representative Russell called the meeting to order at 12:00 P.M. She first introduced Bill Goldson, Chairman of State Port Authority. A handout titled "N.C. State Ports—State Capital Investment" was passed out to the committee and discussed in full. This was made part of the minutes. Attachment 1.

Next on the agenda was Mike Barium, from the Department of Crime Control and Public Safety. Another handout titled "Cost Distribution Summary for Charlotte Armory" was passed out to the committee and thoroughly read. Attachment 2. The next handout was titled "OC-25 MAINTENANCE". This was handed out to the committee and made part of the minutes. Attachment 3.

Representative Russell introduced Craig Greene, from the Department of Human Resources. The committee was given a handout titled "Eastern North Carolina School for the Deaf-Independent Living Complex-Planning", and it was made part of the minutes. Attachment 4.

Representative Russell adjourned the meeting at 12:55 P.M.

ATTACHMENTS

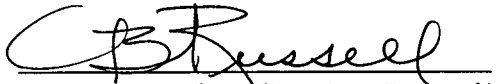
Attachment 1- State Ports

Attachment 2- Charlotte Armory

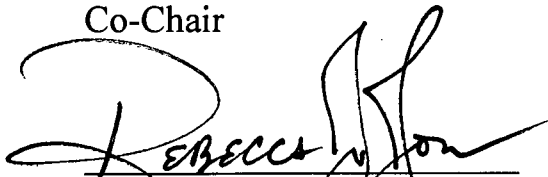
Attachment 3- OC-Maintenance

Attachment 4- Independent Living Center

Respectfully Submitted,


Representative Carolyn Russell
Co-Chair


Representative Gene Wilson
Co-Chair


Rebecca Jones
Clerk

House Appropriations Committee
Capital and Budget Subcommittee

Agenda

April 22, 1997

Continued Discussion of Governor's Capital Recommendations:

1. State Ports Authority

- State Port - Wilmington
- State Port - Morehead City

2. Department of Crime Control and Public Safety

- Charlotte National Guard Armory
- State Highway Patrol - Underground Fuel Tank Removal and Remediation

3. Department of Human Resources

- Eastern North Carolina School for the Deaf - Independent Living Complex - Planning

NORTH CAROLINA STATE PORTS -- STATE CAPITAL INVESTMENT

1. Capital Investment Assistance Recommended by the Governor

	<u>FY 1998</u>	<u>FY 1999</u>
SB 347 & HB 492	\$5,000,000	0

2. Comprehensive current capital investment assistance assessment by the State Ports based on the recently completed, market-driven Master Development Plan (see attached).

	<u>FY 1998</u>	<u>FY 1999</u>
State Port at Morehead City	\$14,200,500	\$ 6,023,100
State Port at Wilmington	\$15,847,000	\$17,414,900

3. Justification for State Capital Investment Assistance

- State Ports are State assets requiring capital investment which is repaid many times over.
- In FY 1996, cargo handling activities at the State Ports of Morehead City and Wilmington generated over 80,000 jobs and nearly \$300 million in State and local taxes.
- The \$6 million which was sourced in FY 1997 from the State's Repairs and Renovations (R&R) Reserve, was the first major capital investment by the State in our State Ports in a decade.
- Competing state ports along the eastern seaboard receive significant capital investment assistance from their respective states. Example: Virginia's public ports receive \$25 million every year from the State of Virginia.
- The State Ports are critical features of our State's economic development initiatives, attracting new business that, in an increasingly global economy, depends on vibrant efficient ports.
- Equipment and facilities (some dating back to WWII) must be upgraded and expanded in order to maintain current customer base as well as attract new business
- Return on investment of capital is substantial. Example: the late 1980's expansion of the Wilmington Container Terminal cost just over \$23 million. Since becoming operational in 1990, this capital expansion has generated nearly \$20 million in direct revenue to the State Ports and \$3.3 billion in statewide economic impact.

**REQUEST FOR STATE FUNDING FOR 1997-99 BIENNIAL CAPITAL PROGRAM
NORTH CAROLINA STATE PORTS AUTHORITY**

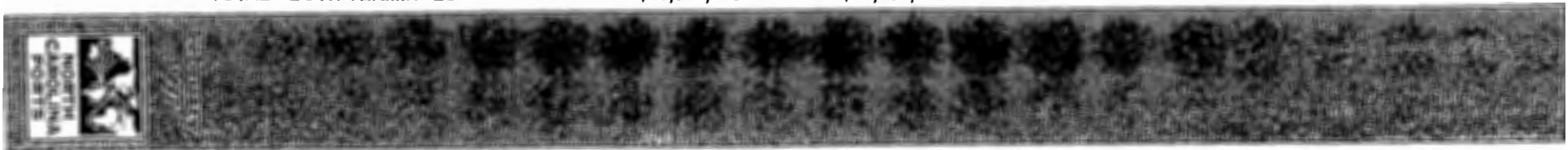
PORT OF MOREHEAD CITY, NC

Item	Description	FY98 1997-98	FY99 1998-99
1	Dry-Bulk Facility Phase I	\$6,182,000	
2	Radio Island Rail-Yard Expansion	\$1,604,600	
3	Rehabilitate Terminal Assets (\$6,413,900)		
	• General Rehabilitation of Terminal Trackage, I	\$1,749,600	
	• Warehouse 6 Roof Repair	\$653,600	
	• Pavement Repairs, Phase I & II	\$2,322,800	
	• Rehabilitate Newly Acquired Buildings	\$669,400	
	• Refurbish Cranes 1 & 2	\$1,018,500	
4	Radio Island LASH Ship Mooring Facility		\$6,023,100
	TOTAL - MOREHEAD CITY	\$14,200,500	\$6,023,100

PORT OF WILMINGTON, NC

Item	Description	FY98 1997-98	FY99 1998-99
1	Replace Pier	\$1,383,400	
2	Dry-Bulk Facilities	\$6,141,800	
3	Berth 7 Ramp Upgrade Construction	\$2,519,700	
4	General Cargo Facility, Phase I	\$5,022,100	
5	Acquire Additional Wilmington City Property	\$780,000	
6	General Rehabilitation of Terminal Trackage		\$2,296,900
7	Purchase New Gantry		\$4,079,300
8	Stevedore Garages		\$2,294,800
9	Woodpulp Warehouse		\$8,743,900
	TOTAL - WILMINGTON	\$15,847,000	\$17,414,900

	<u>FY 98</u>	<u>FY99</u>
TOTAL - BOTH TERMINALS	\$30,047,500	\$23,438,000



COST DISTRIBUTION SUMMARY
for
Charlotte Armory

Attachment 2

PROPOSED BUILDING SIZE = 65,907 SF

ESTIMATED CONSTRUCTION COST (Before Inflation)

	<u>Basic Building Costs*</u>	<u>Federal Share</u>	<u>State & Local Govt Share</u>
	\$6,743,600	\$5,077,700	\$1,665,900
<u>SITE PREPARATION**</u>			
	\$170,900	-0-	\$170,900
<u>CONTINGENCY (3% of Project Costs)</u>			
	\$207,435	\$152,331	55,104
<u>A-E DESIGN COSTS</u> (6.5% of Project Costs including Contingency)			
Design (4.63%)	\$329,746	\$242,151	\$87,595
Constr. Adm (1.87%)	133,180	97,802	35,378
<u>TOTAL PROJECT COSTS (Before Escalation)</u>			
	\$7,584,900	\$5,570,000	\$2,014,900
<u>ESCALATION FACTOR (0.42% per mo. for 13 months due to inflation)</u>			
	\$414,100	\$304,100	\$110,000
<u>TOTAL ESTIMATED PROJECT COSTS</u>			
	\$7,999,000	\$5,874,100	\$2,124,900
		<u>LESS LOCAL GOVT COST SHARE</u>	<u>(\$777,000)</u>
		<u>TOTAL STATE APPROPRIATION</u>	<u>\$1,347,900</u>

Notes: * Basic building costs include all costs for construction except site preparation costs
 ** No federal support is authorized for site preparation costs. Figures above reflect an agreement with the Charlotte Airport Authority that they will provided "services in kind" using local resources to reduce the cost of site preparation work by \$215,900. All environmental work will be funded separately. An allowance of \$49,900 is included for demolition work. An allowance of \$21,000 is also included to reimburse the federal government for 3 years equity remaining on an unexpired 25-year Federal-State agreement.

P100

OC-25 MAINTENANCE
OC-25: 99494003047

DEPT: 49 ID#: 4003 BIENNIUM: 1997 - 1999

PROJECT TYPE: 59 CLASS: D DATE RECEIVED: 100396
PROJECT SUBTYPE: PRTY: DATE RETURNED: 100796

PROJECT LOCATION: HIGHWAY PATROL OFFICES

PROJECT IDENTIFICATION
REPLACEMENT OF EXISTING UNDERGROUND TANKS

PROJECT DESCRIPTION
REPLACEMENT OF 12 EXISTING UNDERGROUND TANKS THROUGHOUT THE STATE TO
COMPLY WITH THE FEDERAL EPA AND DEHNA GROUNDWATER REGULATIONS.

P101 OC-25 MAINTENANCE
OC-25 99494003047 DATE REVIEWED: BIENNIUM 1997 - 1999

CURRENT ESTIMATED CONSTRUCTION COST	UNITS	UNIT COST	TOTAL
AND REQUIREMENT			
W SITE PREPARATION: DEMOLITION			
GENERAL			
C.CONSTRUCTION: UTILITY SERVICES			
GENERAL CONTRUCTION			
PLUMBING			
HVAC			
ELECTRICAL			
OTHER SP	0042000	012 . 00	000504000
OTHER SP			000100000
D.EQUIPMENT: FIXED			
MOVEABLE			
TOTAL CURRENT ESTIMATED COST			000604000
CONTIG & 00 . 00 =		DESIGN FEE & 00 . 00 =	
TOTAL EST PROJECT COST (INCLUDING CONTIG AND DESIGN FEE) =			000604000
EST COST INC = 00 . 00 & X 00 MONTHS X EST PROJ COST =			
EST COST ON BID DATE = EST PROJECT COST + EST COST INC =			0000604000
STATUS: A APPROVED BY: KAH DATE APPROVED: 100796			

Independent Living Center

Eastern NC School for the Deaf

Department of Human Resources
Division of Services for the Deaf and Hard of Hearing
1997-99 Capital Request

Independent Living Complex

Eastern NC School for the Deaf

- **National and North Carolina statistics indicate that 70%+ of deaf students graduating from high school are dependent upon public assistance at the time of graduation, and they remain dependent for an average of five or more years.**
- **Independent Living programming for high school juniors and seniors includes apartment style living where the skills necessary for successful living are taught. These include: money management, wise shopping, food preparation, job seeking skills, time management, social interaction, and civic responsibility. This program has proven its success since 1993.**
- **New construction was made necessary by the need to develop an Alzheimer's Unit in eastern North Carolina. The space in the NC Special Care Center, leased to the ENCSD Independent Living Program since 1993, was selected as the most appropriate space for that unit. It is now under renovation. ENCSD students in Independent Living are being housed temporarily in one wing of the Middle School dormitory. This space is inappropriate for permanent use for this purpose.**
- **There is a need for new construction designed to meet the independent living needs of high school juniors and seniors who are deaf and hard of hearing**

Independent Living Center

Eastern NC School for the Deaf

- **New Dormitory/Apartment Style Facility (11,000 sq. ft.)**
- **Location - 2 vacant acres on ENCSD campus**
- **Housing 24 High School Juniors and Seniors who are deaf**
- **Requirements in 1997-98 Recommended Budget:**
 - 1997-98 - \$500,000 for design and site preparation
 - In 1998-99 \$800,000 for construction costs will be needed
- **Schedule:**
 - Design Award November 1997
 - Construction Award August 1998
 - Construction Completion July 1999
 - Occupancy August 1999

APPROPRIATIONS
Subcommittee on Capital & Budget

Minutes
April 29, 1997

The APPROPRIATIONS Subcommittee on Capital & Budget held a meeting on Tuesday, April 29, 1997 at 12:00 Noon in room 643 of the Legislative Office Building. The members present were Representatives Carolyn Russell, Cochair, Representative Gene Wilson, Co-Chair, Gene Arnold, Donald Bonner, Walter Church, Sr., Jerry Dockham, Beverly Earle, Ruth Easterling, Charlotte Gardner, Bobby Hall, Verla Insko, William Ives, Joe Kiser, Mickey Michaux, Frank Mitchell, and Nurham Warwick. Staff- Lynn Muchmore, Janice Wheeler, Emily Johnson, Phyllis Pickett, and Clerk- Rebecca Jones were also present.

Representative Russell called the meeting to order at 12:00 P.M. She first introduced The University of North Carolina System, Board of Governors to go over their Capital Improvement Requests. A handout was passed out to the committee and discussed in full. This was made part of the minutes. Attachment 1. The next handout was the Senate Appropriations Committee Report on the Continuation, Expansion and Capital Budget. This was made part of the minutes. Attachment 2.

Betty McCain from the Department of Cultural Resources, answered questions the committee had in a pervious meeting on the State Government Visitor Center. She passed out a handout to the committee with those answers. This was made part of the minutes. Attachment 3.

Next on the agenda was the Maritime Museum. A handout was given to the committee and discussed in full. This was made part of the minutes. Attachment 4.

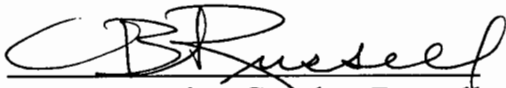
The North Carolina Museum of History Restaurant was next on the agenda. Another handout was passed out to the committee and made part of the minutes. Attachment 5.

Representative Russell adjourned the meeting at 1:00 p.m.

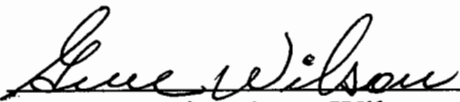
ATTACHMENTS

Attachment 1-Capital Improvements Request
Attachment 2-Senate Appropriations Committee
Attachment 3-State Government Visitor Center
Attachment 4-Maritime Museum
Attachment 5-Museum of History Restaurant

Respectfully Submitted,



Representative Carolyn Russell
Co-Chair



Representative Gene Wilson
Co-Chair



Rebecca Jones
Clerk

House Appropriations Committee
Capital and Budget Subcommittee

Agenda

April 29, 1997

Continued Discussion of Governor's Capital Recommendations:

1. University of North Carolina - Board of Governors

- Reserve for Capital Improvements, Land Acquisition, and Information Technology Equipment

2. Review of Member's Questions

- State Government Visitors' Center -
- Maritime Museum - Land Acquisition
- Museum of History Restaurant
- Reserve for Museum Exhibits
- Historic Sites Visitation

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

CAPITAL IMPROVEMENTS REQUESTS

Schedule of Priorities Request for		
Line	General Fund Appropriations for <u>Capital Improvements</u>	1997-99
1.	Repairs and Renovations	\$ 130,000,000
2.	Fire Safety Improvements, Student Residence Halls	69,734,400
3.	1997 University-Wide Facilities Plan	642,894,800
	Total	842,629,200

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

Schedule of Priorities – Capital Improvements

Line 3: 1997 University-Wide Facilities Plan – \$642,894,800

On this Line the Board of Governors presents the comprehensive six-year plan on behalf of the sixteen constituent institutions, the North Carolina Arboretum, and the University of North Carolina Center for Public Television for new buildings, major additions to or extensive remodeling of existing buildings, and infrastructure improvements. The Plan includes the highest priorities for new construction and renovations. The General Assembly has previously appropriated advanced planning or partial financing for a number of the projects. Those projects are included in this request and the amounts appropriated are detailed in the project descriptions.

The Board of Governors in May 1996 adopted a new format for the presentation of the capital improvements request to the General Assembly. Projects are categorized and the categories are presented in priority order to provide explicit information about the Board's priorities. The category of projects to meet "current capacity" needs is the highest priority category and the largest category. The University is currently establishing standards for instructional, laboratory, and faculty and administrative office space. When the standards are finalized, they will provide substantially improved information to assist in prioritizing projects

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

within this category, as well as other categories. The completion of the development of standards will allow for projects within categories to be prioritized.

At the end of each category is a matrix containing supplemental information for each project. These matrices are presented to the General Assembly for the first time in this budget request and provide useful information for identifying projects for funding. As the University's capital improvements processes are further defined and space standards are developed, the information presented in matrix format should become even more useful.

The project priority categories of the Board of Governors are as follows:

Project Category	Request
Current Capacity	\$ 388,250,000
Future Capacity	34,196,200
Technology	32,340,600
Program Quality	114,789,600
Special Purpose	46,395,500
Support Facility	11,922,900
Land Acquisition	15,000,000
Total	642,894,800

While the projects represent current needs, it is recognized that it is not likely that all of this plan can be funded in a single Session of the General Assembly. The Board therefore commends the approach that was followed in response to the presentation of similar University-wide Plans in

PART I UNIVERSITY OPERATIONS – Expansions and Improvements

1997 University-Wide Facilities Plan (Continued)

previous biennia. In those instances, successive Sessions funded some projects for construction, others for partial or phased construction, and others for advanced planning. This permitted a methodical program for completion of all projects, with the responsibility and the timing of sequence appropriately shared by the Board, the Governor and the Advisory Budget Commission, and the General Assembly.

The University of North Carolina

Capital Improvements Priorities*

**Presentation to the House Appropriations Subcommittee on Capital and Budget
April 29, 1997**

North Carolina State University Nelson Hall Renovation - Phase II	\$ 6,914,900
The University of North Carolina at Charlotte Academic Facilities - Humanities	\$20,597,300
Elizabeth City State University Addition to the Academic Computer Center	\$3,557,600
The University of North Carolina at Chapel Hill Addition to Beard Hall - School of Pharmacy	\$8,824,600
East Carolina University Science Laboratories and Technology Building	\$47,997,600
Fayetteville State University Fine Arts and General Classroom Facility	\$15,524,400
Western Carolina University Fine and Performing Arts Center	\$25,422,100
The University of North Carolina at Chapel Hill Medical Biomolecular Research Building	\$29,493,800

* This list has been prepared by the staff of UNC-General Administration and is consistent with priority categories established by The University of North Carolina Board of Governors. The Board has not approved this specific listing of projects.

The University of North Carolina

NORTH CAROLINA STATE UNIVERSITY

Nelson Hall Renovation - Phase II, \$6,914,900

The project would provide for conversion of existing space (150,000 square feet) in Nelson Hall into functional, efficient space for the new College of Management. The renovation would include HVAC installation and internal reconfigurations as required. The College of Management serves 2,650 students in its academic major fields with 4,465 additional students taking elective courses each semester. Advance planning funds totaling \$1,350,000 have been previously appropriated for this \$8,264,900 project. The major cost components are:

Design	\$ 562,600
Construction	7,702,300
Total	8,264,900

THE UNIVERSITY OF NORTH CAROLINA AT CHARLOTTE

Academic Facilities - Humanities, \$20,597,300

The project would provide for construction of a 120,000 square-foot building to support the Department of Music, the Department of Dance and Theater, and other humanities departments. The facility would house classrooms, teaching studios, meeting rooms, offices, and theater space. UNC-Charlotte has the lowest ratio of academic facilities per student within the University. This facility would serve 2,400 students enrolled in music, dance, and theater courses each semester, as well as 1,800 students enrolled in other humanities courses. Completion of the facility would release space in Rowe building to accommodate an overcrowded and expanding Art Department. Advance planning funds of \$1,000,000 have previously been appropriated for this \$21,597,300 project. The major cost components are:

Design	\$ 1,110,500
Site Preparation	666,000
Construction	18,170,800
Movable Equipment	1,650,000
Total	21,597,300

ELIZABETH CITY STATE UNIVERSITY

Addition to the Academic Computer Center, \$3,557,600

The project would provide a 25,000 square-foot addition to the Academic Computer Center. The addition would contain instructional laboratories, general purpose areas, a technical library, and administrative offices. New videoconferencing and data communications services would be available through this project. Construction of the new facility would permit consolidation of the university computing function which is currently housed in four separate locations on campus. The consolidated facility would serve all students and faculty. In 1995, \$150,000 in advance planning funds were provided for this project. The major cost components are:

Design	\$ 278,100
Construction	3,279,500
Fixed and Movable Equipment	150,000
Total	3,707,600

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL – HEALTH AFFAIRS

Addition to Beard Hall - School of Pharmacy, \$8,824,600

The project would provide a 50,000 square-foot addition to Beard Hall containing classrooms, laboratories, student work space, and faculty offices. The School of Pharmacy first occupied Beard Hall in 1960 when the School consisted of 248 students and 13 faculty and staff members. The School currently has 619 students and 85 faculty and staff members. Advance planning funds of \$1,000,000 have previously been appropriated for this \$9,824,600 project. The major cost components are:

Design	\$ 597,600
Site Preparation	630,000
Construction	7,347,000
Fixed and Movable Equipment	1,250,000
Total	9,824,600

EAST CAROLINA UNIVERSITY – ACADEMIC AFFAIRS

Science Laboratories and Technology Building, \$47,997,600

The project would provide for construction of a 259,000 square-foot building for use by the Departments of Chemistry and Industrial Technology. The building would contain laboratories, classrooms, an auditorium, faculty offices, and support areas. Currently, the departments utilize a facility constructed during the 1930s with laboratories that have not been updated since the 1950s. The newly constructed building would serve in excess of 2,300 students majoring in science and technology curricula. In 1996 the General Assembly appropriated \$1,000,000 toward this \$48,997,600 project. The major cost components are:

Design	\$ 2,519,500
Site Preparation	360,000
Construction	44,118,100
Fixed and Movable Equipment	2,000,000
Total	48,997,600

FAYETTEVILLE STATE UNIVERSITY

Fine Arts and General Classroom Facility, \$15,524,400

The project would provide for construction of a 114,000 square-foot building with classrooms, laboratories, studios, galleries, and a theater. The building would accommodate the fine arts program and provide classrooms to meet the instructional requirements of the College of Arts and Sciences. The facilities would serve 1,800 students majoring in disciplines offered by this college as well other students taking required and elective courses. The General Assembly has previously appropriated \$750,000 toward this \$16,274,400 project. The major cost components are:

Design	\$ 1,070,600
Site Preparation	300,000
Construction	14,493,800
Fixed and Movable Equipment	410,000
Total	16,274,400

WESTERN CAROLINA UNIVERSITY

Fine and Performing Arts Center, \$25,422,100

The project would provide for construction of a 139,200 square-foot building to be used by the Visual Art, Music and Communication, and Theater Arts Departments. Included in the project would be three galleries; 39 private studios for faculty, senior/graduate students, and artists in residence; 12 classroom studios; 12 studio support rooms, e.g., dark rooms, ceramic preparation rooms; three critique rooms, two lecture auditoriums, one seminar room, and one production studio, as well as faculty and support facilities. The General Assembly has previously appropriated \$2,000,000 toward this \$27,422,100 project. The major cost components are:

Design	\$ 1,738,000
Site Preparation	900,000
Construction	21,241,500
Fixed and Movable Equipment	3,542,600
Total	27,422,100

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL - HEALTH AFFAIRS

Medical Biomolecular Research Building, \$29,493,800

The project would provide for construction of a 125,000 square-foot building to house basic research components and allow for the initiation and development of new programs that incorporate the tools of cellular and molecular biology. The proposed building would contain faculty laboratories and facilities for laboratory support including specialized laboratories for sequencing and synthesizing proteins; tissue culture laboratories; environmental rooms (both warm and cold); photographic dark rooms; equipment rooms for high-speed and refrigerated centrifuges; radioisotope laboratories, including special fume hoods; maintenance shop facilities; and facilities to house and maintain a number of different species of animals. Advance planning funds of \$1,000,000 have previously been appropriated for this \$30,493,800 project. The major cost components are:

Design	\$ 1,730,300
Site Preparation	645,000
Construction	24,143,500
Fixed and Movable Equipment	3,975,000
Total	30,493,800

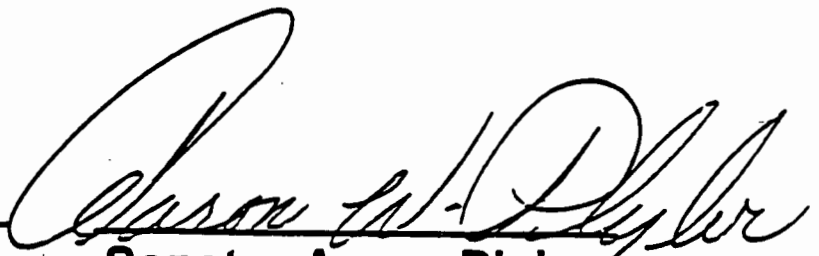
SENATE APPROPRIATIONS COMMITTEE

REPORT ON THE CONTINUATION, EXPANSION AND CAPITAL BUDGET

APRIL 23, 1997




Senator Beverly Perdue
Co-Chairman


Senator Aaron Plyler
Co-Chairman


Senator Fountain Odom
Co-Chairman

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Commerce	E13
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NC Biotechnology Center
Rural Economic Development Center

E19
E20

TRANSPORTATION

F1

STATEWIDE RESERVES

G1

CAPITAL

H1

Senate Appropriations Committee Report Summary - Continuation and Extension Budget 1997-98

Department	Governor's Rec. Continuation Budget	Decreases	Increases	Transfers	Positions	Net Changes	Revised Appropriation
Education:							
Public Education	\$4,400,046,882	(\$39,527,943)	\$133,992,121			\$94,464,178	\$4,494,511,060
Universities	\$1,418,358,030	(\$8,499,636)	\$61,077,633		-52.2	\$52,577,997	\$1,470,936,027
Community Colleges	\$489,218,944	(\$767,506)	\$31,759,051			\$30,991,545	\$520,210,489
Subtotal	\$6,307,623,856	(\$48,795,085)	\$226,828,805		-52.2	\$178,033,720	\$6,485,657,576
General Government:							
Administration	\$59,567,213	(\$3,377,488)	\$1,380,550		-12	(\$1,996,938)	\$57,570,275
Administrative Hearings	\$2,217,486	(\$10,690)	\$150,593		1	\$139,903	\$2,357,389
Auditor	\$9,495,886	\$0	\$688,978		5	\$688,978	\$10,184,864
Board of Elections	\$1,052,787	\$0	\$500,000			\$500,000	\$1,552,787
Cultural Resources	\$52,608,363	(\$710,262)	\$12,500,000	\$792,227	-4.75	\$12,581,965	\$65,190,328
General Assembly	\$31,226,277	\$0	\$0			\$0	\$31,226,277
Governor's Office	\$5,286,620	(\$53,686)	\$105,597			\$51,911	\$5,338,531
State Budget & Mgmt.	\$3,855,400	(\$37,382)	\$4,980,000			\$4,942,618	\$8,798,018
State Planning	\$1,820,279	(\$17,829)	\$0		-0.5	(\$17,829)	\$1,802,450
Insurance	\$19,880,965	(\$1,450,000)	\$4,909,314		6	\$3,459,314	\$23,340,279
Lt. Governor	\$612,176	(\$2,946)				(\$2,946)	\$609,230
Revenue	\$68,045,123	(\$1,156,046)	\$431,997		-1	(\$724,049)	\$67,321,074
Rules Review Comm.	\$281,892	\$0	\$240,000			\$240,000	\$521,892
State Controller	\$16,053,306	(\$5,498,357)	\$10,337,824			\$4,839,467	\$20,892,773
Secretary of State	\$5,243,012	\$0	\$1,310,000		1	\$1,310,000	\$6,553,012
State Treasurer	\$18,432,034	\$0	\$176,790		9	\$176,790	\$18,608,824
Subtotal	\$295,678,819	(\$12,314,686)	\$37,711,643	\$792,227	3.75	\$26,189,184	\$321,868,003
Human Resources							
Secretary's Office	\$34,353,290	(\$1,596,966)	\$4,000,000		-2	\$2,403,034	\$36,756,324
Aging	\$18,441,843	(\$2,927)	\$4,953,000		3	\$4,950,073	\$23,391,916
Child Development	\$145,668,821	(\$23,301,987)	\$22,928,878		6.5	(\$373,109)	\$145,295,712
Services for Deaf/HH	\$28,000,344	(\$82,366)	\$0			(\$82,366)	\$27,917,978
Social Services	\$178,519,348	(\$2,025,626)	\$1,525,000		-2	(\$500,626)	\$178,018,722
Medical Assistance	\$1,305,682,010	(\$91,120,416)	\$0		-3	(\$91,120,416)	\$1,214,561,594
Blind Services	\$15,462,793	(\$97,282)	\$0			(\$97,282)	\$15,365,511
Mental Health	\$534,598,078	(\$22,914,579)	\$13,605,088		6	(\$9,309,491)	\$525,288,587
Facility Services	\$9,008,903	(\$128,692)	\$700,000		-2	\$571,308	\$9,580,211
Vocational Rehab.	\$31,396,194	(\$61,439)	\$1,200,000		10	\$1,138,561	\$32,534,755
Youth Services	\$85,731,787	(\$1,629,278)	\$3,377,850			\$1,748,572	\$87,480,359
Subtotal	\$2,386,863,411	(\$142,961,558)	\$52,289,816		16.5	(\$90,671,742)	\$2,296,191,669

Department	Governor's Rec. Continuation Budget	Decreases	Increases	Transfers	Positions	Net Changes	Revised Appropriation
Justice & Public Safety							
Correction	\$889,010,472	(\$63,711,873)	\$1,798,050		-170	(\$61,913,823)	\$827,096,649
Crime Control	\$33,548,678	(\$342,930)	\$290,915		6	(\$52,015)	\$33,496,663
Judicial	\$310,944,929	(\$3,480,183)	\$23,029,299		285	\$19,549,116	\$330,494,045
Justice	\$61,166,247	(\$317,500)	\$4,796,747		25.5	\$4,479,247	\$65,645,494
Subtotal	\$1,294,670,326	(\$67,852,486)	\$29,915,011		146.5	(\$37,937,475)	\$1,256,732,851
Natural & Economic Resources							
Agriculture	\$49,480,730	(\$10,000)	\$2,674,018	(\$792,227)	-4.25	\$1,871,791	\$51,352,521
Commerce	\$37,221,648	(\$1,735,485)	\$11,313,331		-2	\$9,577,846	\$46,799,494
Commerce - State Aid	\$1,475,000	(\$1,475,000)	\$10,155,000			\$8,680,000	\$10,155,000
MCNC	\$4,500,000	\$0	\$0			\$0	\$4,500,000
Biotechnology	\$7,664,396	\$0	\$7,500,000			\$7,500,000	\$15,164,396
REDC	\$5,870,000	(\$150,000)	\$2,800,000			\$2,650,000	\$8,520,000
EHNH	\$253,269,888	(\$4,567,746)	\$38,865,450		39	\$34,297,704	\$287,567,592
Housing Finance	\$2,300,000	\$0	\$5,000,000			\$5,000,000	\$7,300,000
Labor	\$16,068,855	(\$192,546)	\$12,000		-6	(\$180,546)	\$15,888,309
Subtotal	\$377,850,517	(\$8,130,777)	\$78,319,799	(\$792,227)	26.75	\$69,396,795	\$447,247,312
Transportation	\$10,609,854						\$10,609,854
Reserves:							
Contingency & Emergency	\$1,125,000						\$1,125,000
Compensation Increases			\$306,139,421			\$306,139,421	\$306,139,421
Salary Adjustment Fund	\$9,073,829						\$9,073,829
Structured Sentencing	\$400,000						\$400,000
Postage Reduction	(\$300,000)						(\$300,000)
Debt Service	\$180,096,073	(\$23,659,410)				(\$23,659,410)	\$156,436,663
Debt Service - Federal	\$1,155,948						\$1,155,948
Subtotal	\$191,550,850	(\$23,659,410)	\$306,139,421			\$282,480,011	\$474,030,861
Capital			\$98,821,475			\$98,821,475	
Totals	\$10,864,847,633	(\$303,714,002)	\$830,025,970		141.3	\$526,311,968	\$11,391,159,601

Senate Appropriations Committee Report Summary - Continuation and Expansion Budget - 1998-99

Department	Governor's Rec. Continuation Budget	Decreases	Increases	Transfers	Positions	Net Changes	Revised Appropriation
Education:							
Public Education	\$4,480,110,794	(\$40,527,639)	\$34,671,948			(\$5,855,691)	\$4,474,255,103
Universities	\$1,425,342,510	(\$4,048,892)	\$58,896,303		68	\$54,847,411	\$1,480,189,921
Community Colleges	\$489,576,870	(\$767,506)	\$11,709,051			\$10,941,545	\$500,518,415
Subtotal	\$6,395,030,174	(\$45,344,037)	\$105,277,302		68	\$59,933,265	\$6,454,963,439
General Government:							
Administration	\$60,961,819	(\$3,177,226)	\$265,550		-12	(\$2,911,676)	\$58,050,143
Administrative Hearings	\$2,217,486	(\$10,690)	\$150,593		1	\$139,903	\$2,357,389
Auditor	\$9,504,085	\$0	\$703,146		8	\$703,146	\$10,207,231
Board of Elections	\$1,046,381	\$0	\$1,089,000			\$1,089,000	\$2,135,381
Cultural Resources	\$52,922,221	(\$710,262)	\$3,200,000	\$786,713	-4.75	\$3,276,451	\$56,198,672
General Assembly	\$34,642,598					\$0	\$34,642,598
Governor's Office	\$5,302,348	(\$53,686)	\$0		-1	(\$53,686)	\$5,248,662
State Budget & Mgmt.	\$3,938,220	(\$37,382)	\$3,080,000			\$3,042,618	\$6,980,838
State Planning	\$1,833,679	(\$17,829)			-0.5	(\$17,829)	\$1,815,850
Insurance	\$19,909,612	(\$1,450,000)	\$4,929,174		6	\$3,479,174	\$23,388,786
Lt. Governor	\$612,336	(\$2,946)	\$0			(\$2,946)	\$609,390
Revenue	\$68,862,535	(\$1,156,046)	\$692,586		-1	(\$463,460)	\$68,399,075
Rules Review Comm.	\$273,441	\$0	\$0			\$0	\$273,441
State Controller	\$16,056,630	(\$5,350,924)	\$0			(\$5,350,924)	\$10,705,706
Secretary of State	\$5,230,680	\$0	\$80,000		1	\$80,000	\$5,310,680
State Treasurer	\$18,434,193	\$0	\$157,088		9	\$157,088	\$18,591,281
Subtotal	\$301,748,264	(\$11,966,991)	\$14,347,137	\$786,713	5.75	\$3,166,859	\$304,915,123
Human Resources							
Secretary's Office	\$34,119,030	(\$1,596,966)	\$0		-2	(\$1,596,966)	\$32,522,064
Aging	\$18,442,124	(\$2,927)	\$4,256,395		3	\$4,253,468	\$22,695,592
Child Development	\$145,674,488	(\$23,301,987)	\$25,989,030		6.5	\$2,687,043	\$148,361,531
Services for Deaf/HH	\$27,954,173	(\$82,366)	\$0			(\$82,366)	\$27,871,807
Social Services	\$184,614,383	(\$2,025,626)	\$50,000		-2	(\$1,975,626)	\$182,638,757
Medical Assistance	\$1,440,363,295	(\$74,624,674)	\$0		-3	(\$74,624,674)	\$1,365,738,621
Blind Services	\$15,480,775	(\$97,282)	\$0			(\$97,282)	\$15,383,493
Mental Health	\$548,269,826	(\$12,976,058)	\$9,761,088		6	(\$3,214,970)	\$545,054,856
Facility Services	\$9,110,235	(\$128,692)	\$0		-2	(\$128,692)	\$8,981,543
Vocational Rehab.	\$31,696,315	(\$61,439)	\$1,200,000		10	\$1,138,561	\$32,834,876
Youth Services	\$86,394,651	(\$679,278)	\$3,500,000			\$2,820,722	\$89,215,373
Subtotal	\$2,542,119,295	(\$115,577,295)	\$44,756,513		16.5	(\$70,820,782)	\$2,471,298,513

Department	Governor's Rec. Continuation Budget	Decreases	Increases	Transfers	Positions	Net Changes	Revised Appropriation
Justice & Public Safety							
Correction	\$925,266,138	(\$56,257,600)	\$2,178,621		-156	(\$54,078,979)	\$871,187,159
Crime Control	\$33,530,244	(\$342,930)	\$318,783		6	(\$24,147)	\$33,506,097
Judicial	\$316,264,917	(\$3,986,181)	\$14,748,161		284	\$10,761,980	\$327,026,897
Justice	\$63,233,877	(\$78,000)	\$2,095,721		25.5	\$2,017,721	\$65,251,598
Subtotal	\$1,338,295,176	(\$60,664,711)	\$19,341,286		159.5	(\$41,323,425)	\$1,296,971,751
Natural & Economic Resources							
Agriculture	\$49,688,983	(\$10,000)	\$766,323	(\$786,713)	-3.25	(\$30,390)	\$49,658,593
Commerce	\$37,240,885	(\$1,735,485)	\$4,316,939		-2	\$2,581,454	\$39,822,339
Commerce - State Aid	\$1,475,000	(\$1,475,000)	\$0			(\$1,475,000)	\$0
MCNC	\$2,500,000	\$0	\$0			\$0	\$2,500,000
Biotechnology	\$7,664,396	\$0	\$0			\$0	\$7,664,396
REDC	\$5,870,000	(\$150,000)	\$200,000			\$50,000	\$5,920,000
EHNR	\$252,502,338	(\$4,267,746)	\$5,499,615		36	\$1,231,869	\$253,734,207
Housing Finance	\$2,300,000	\$0	\$0			\$0	\$2,300,000
Labor	\$15,986,184	(\$192,546)	\$14,500		-6	(\$178,046)	\$15,808,138
Subtotal	\$375,227,786	(\$7,830,777)	\$10,797,377	(\$786,713)	24.75	\$2,179,887	\$377,407,673
Transportation	\$11,246,445						\$11,246,445
Reserves:							
Contingency & Emergency	\$1,125,000						\$1,125,000
Compensation Increases			\$306,139,421			\$306,139,421	\$306,139,421
Salary Adjustment Fund	\$9,573,829					\$0	\$9,573,829
Debt Service	\$224,048,883	(\$14,677,000)				(\$14,677,000)	\$209,371,883
Debt Service - Federal	\$1,155,948						\$1,155,948
Postage Reduction	(\$300,000)						(\$300,000)
Structured Sentencing	\$400,000						\$400,000
Subtotal	\$236,003,660	(\$14,677,000)	\$306,139,421			\$291,462,421	\$527,466,081
Total Appropriations	\$11,199,670,800	(\$256,060,811)	\$500,659,036		274.5	\$244,598,225	\$11,444,269,025

GENERAL FUND AVAILABILITY	1997-98	1997-98	1998-99	1998-99
	Recurring	Non-Recur	Recurring	Non-Recur
Revenue Collections Unaddressed in 96-97		\$85.0		
96-97 Over-collections		168.6		
96-97 Add't. Overcollections		60.0		
96-97 Reversions		151.0		
Adjustment for Emergency Appr. to CC (SB286)		(4.7)		
Subtotal Credit Balance		\$459.9		
Less Earmarkings:				
Savings Reserve (25%)		(115.0)		
Repairs and Renovations		(135.0)		
Clean Water Management Reserve (6.5%)		(30.0)		
Library Grant Adjustment		0.3		
Unreserved Ending Credit Balance		\$180.2		
Revenues:				
Tax	\$10,473.8		\$11,114.6	
Increase in Projected Revenues	40.0		42.8	
Tax Changes:				
H57-Non-Resident Withholding	8.5		10.0	
H59-IRC Update	(8.5)		(16.8)	
S323-Historic Rehab. Tax Credit	(0.1)		(0.1)	
H260-Conservation Tax Credit			(3.2)	
S93-Ports Tax Credit			(1.5)	
Non-Tax	405.9		426.8	
Add: Court Fee Increase	13.9		15.1	
Insurance Regulatory Charge	3.1		3.1	
Utilities Regulatory Charge	(0.1)		(0.1)	
Secretary of State-Fee Increases	1.7		1.7	
Treasurer's Banking Fees	0.1		0.1	
Local Sales Tax-Local Gov't. Com	0.1		0.1	
Revenue-Franchise Tax	0.3		0.3	
Interest on Bond Proceeds		35.0		
Loc. Gov't. Payments-Clean Water Bonds	4.1		4.0	
Highway Trust Fund Transfer	170.0		170.0	
Highway Fund Transfer	12.6		13.4	
Disproportionate Share Receipts		83.0		83.0
Revenue Assessments from additional				
inter-state auditors	2.6		7.9	
State Health Plan Purchasing Alliance				
Board-Transfer Cash Balance		0.6		
Less: Earmarked refunds for federal retirees		(35.5)		(35.5)
Total Revenue	\$11,128.0	\$83.1	\$11,788.2	\$47.5
Less: Proposed Continuation Budget	(\$10,864.9)		(\$11,199.7)	
Availability Subtotal	\$263.1	\$263.3	\$588.5	\$47.5
Plus: Continuation Budget Adjustments	216.1	87.6	254.7	1.4
Total Availability	\$479.2	\$350.9	\$843.2	\$48.9
Senate Expansion	\$479.1	\$252.1	\$500.2	\$0.5
Senate Capital		\$98.8		
Remaining Availability	\$0.1	(\$0.0)	\$343.0	\$48.4

Public Education

GENERAL FUND

	FY 97-98	FY 98-99
Recommended Continuation Budget	\$4,400,046,882	\$4,480,110,794

Legislative Changes

Excellent Schools Funds

1 ABC Incentive Funds

ABC Incentive funds for schools that achieve standards established by the State Board of Education.

\$67,500,000 NR

2 Principals ABC Bonus

Provide funds for a 1% nonrecurring bonus to principals in schools that exceed the State Board of Education expectations under the ABC Program.

\$2,073,729 NR

3 Additional Pay for Mentor Teachers

Provide additional funds to the State Board of Education to pay for mentor teachers.

\$3,500,000 NR

4 Extra Pay for New Teacher Development

Provide additional funds to the State Board of Education to pay for additional days for new teachers for training and staff development.

\$800,000 NR

5 Staff Development Reading and Math/ABC Assistance

Provide funds to the State Board of Education to for staff development in reading as required under the ABC program and math education. Funds are also used for the ABC assistance teams in low performing school systems.

\$6,800,000 R \$6,800,000 R

6 Vacation Days Used as Teacher Workdays

Pay teachers for vacation days that are used as required teacher workdays and extra duties.

\$10,100,000 R \$11,400,000 R

Public Schools

7 School Technology Funds

Provides additional funds for the School Technology Trust Fund.

\$20,000,000 NR

8 Reduction in Middle School Class Size Grade

Reduce class size for middle school students below grade level in achievement.

\$3,500,000 R \$3,500,000 R

9 Low Wealth Supplemental Funding

Increase supplemental funding for low wealth school systems.

\$3,000,000 R \$3,000,000 R

Senate Subcommittee on Education

10 Small School Supplemental Funding	\$2,000,000	R	\$2,000,000	R
Increase supplemental funding for small school systems.				
11 Instructional Supplies, Materials, and Equipment	\$3,000,000	NR		
Provide nonrecurring funds for additional instructional supplies, materials, and equipment in local school systems.				
12 A+ Schools	\$500,000	NR		
Appropriate nonrecurring funds for FY1997-98 to continue the A+ schools pilot project.				
13 Special Education Funds	\$500,000	NR		
To provide funds to the State Board of Education for children with special needs assigned to group homes within a school system after the state headcount that was the basis for funding during the 1997-98 fiscal year.				
14 Schools Attuned Program	\$643,100	R	\$643,100	R
Appropriates funds to the State Board of Education for the Schools Attuned Program. The program is designed to assist elementary and middle school teachers to recognize and be responsive to the learning styles and learning strengths of all children, regardless of whether they have potential or diagnosed learning dysfunctions.				
15 Revise Average Daily Membership and Average Salary	\$4,818,618	R	\$2,622,174	R
Revise average daily membership projections to reflect changes in the expected number of students in various grade levels in FY1997-98 and FY1998-99. Also reflects an increase of 400 private school students entering charter schools in FY1997-98.				
16 Adjustment in Average Salary	(\$7,704,040)	R	(\$7,825,991)	R
Adjust projected average salary for school employees in FY1997-98 and FY1998-99 to December 1996 actual salaries.				
17 Adjustment in Average Salary	(\$20,415,665)	R	(\$20,779,145)	R
Adjust projected average salary for school employees in FY1997-98 and FY1998-99 to September 1996 actual salaries.				
18 Career Development Hold Harmless	(\$2,551,197)	R	(\$2,551,197)	R
Eliminate hold harmless funding for former career development pilot units. Due to projected salary increase these funds will no longer be needed.				
19 Communities in Schools Funds	\$100,000	NR		
To provide funds to the Rocky Mount Communities in Schools program.				
20 Avid Program Pilots	\$150,000	R	\$150,000	R
Provide funds for the AVID Program pilot project. This pilot project in three school systems is designed to increase enrollment of low income students in post secondary education.				

Senate Subcommittee on Education

21 Expand Technology in Schools	\$500,000	R	\$500,000	
Provides funds for a pilot project on the expansion of technology on the public schools.				
22 Liability Insurance	(\$800,000)	R	(\$800,000)	R
Eliminate funding for teacher liability insurance.				
23 Reduce School Bus Purchases	(\$8,057,041)	R	(\$8,571,306)	R
Reduce by 180 the number of school buses purchased in FY1997-98 and FY1998-99.				
24 Total Quality Education	\$450,000	NR		
Provides fund to continue the Total Quality Education initiative. Other funds to support this program come from the North Carolina Business Committee on Education.				
25 Communities in Schools	\$300,000	R	\$300,000	R
Provide funds for the expansion of the Communities in Schools Program.				
26 Governor's School	\$150,000	R	\$150,000	R
Provide additional funds to support operations of the Governor's School.				
27 Cued Speech Funds	\$185,000	R	\$185,000	R
Appropriate funds to Cued Speech for preschool and transitional and resource services.				
28 Positions to Assist Local School Construction	\$200,000	R	\$200,000	R
Provide funds to the Department of Public Instruction to contract for architectural services, mechanical engineering services, and accounting services to assist in the review of school construction projects that result from the recent statewide school bond referendum.				
29 Support Charter Schools	\$103,147	R	\$103,147	R
Appropriate funds to the State Board of Education to provide assistance and oversight of the charter school program.				
30 Uniform Education System Funds	\$2,551,197	R	\$2,551,197	R
Provide funds to the State Board of Education to support the Uniform Education Reporting System and the accountability efforts of the board.				
31 National Board for Professional Teaching Standards	\$567,330	R	\$567,330	R
Continue to support North Carolina teachers in the process of attempting to achieve certification by the National Board for Professional Teaching Standards.				

Total Legislative Changes	(\$3,959,551)	R	(\$5,855,691)	R
	\$98,423,729	NR		
Position Changes				
Revised Budget	\$4,494,511,060		\$4,474,255,103	

UNC System

GENERAL FUND

	FY 97-98	FY 98-99
Recommended Continuation Budget	\$1,418,358,030	\$1,425,342,510

Legislative Changes

A.Academic Campuses

32 Overhead Receipts

Revise overhead receipts estimates up to levels anticipated during 1996-97 (\$381,521) NR

33 UNC-Charlotte

Reduction in Reserve for New Buildings: (\$349,631) NR
The library addition will be completed later than planned, and will be occupied in late 1997-98.

B.Schedule of Priorities

34 Enrollment Changes

Projected enrollment for 1997-98 is lower than the number of students budgeted for 1996-97 by 969 full-time equivalents (FTE); provides for an additional 925 fulltime equivalent students in second year of biennium, and to account for a shift to more in-state students. (\$1,895,403) NR \$3,744,391 R
-59.20 61.00

35 Funding Equity

Provides funding for 5 campuses (ASU, ECU, UNC-C, UNC-G, UNC-W) found to be relatively under funded compared to other campuses in a study conducted at the direction of the General Assembly \$21,000,000 R \$21,000,000 R

36 Information Technology

Improvements in computing and telecommunications, access to resources such as computer labs, library collections, distance learning, etc. \$5,000,000 R \$5,000,000 R
\$1,200,000 NR

37 Libraries

Improvements in library collections, services, and staffing; initial development of NC Virtual Library with community colleges and State Library. \$4,000,000 R \$4,000,000 R

38 Rewarding Teaching Excellence

Provides 1/2% salary bonus funds to reward excellent teachers among the teaching faculty. Awards are generally limited to 25% or less of those eligible. \$3,161,380 NR

Senate Subcommittee on Education

39 University Outreach to the Public Schools	\$1,785,000	R	\$1,185,000	R
Funding to:				
a. Increase clinical experience for prospective teachers and involvement of classroom teachers;				
b. Increase number of Principal Fellows;				
c. Improve coordination of professional development programs for school professionals with priorities of State Board of Education;				
d. Implement Early Math Placement Program in high schools to improve math preparation.				
e. Support for "Reading Together", a tutorial program for second graders.				
40 New Degree Programs	\$2,325,000	R	\$2,325,000	R
Funds to complete expansion of allied health initiatives in speech and language pathology and occupational and physical therapy, new doctoral programs at UNC-Charlotte, and other programs previously authorized for planning by the UNC Board of Governors.				
41 Graduate Education and Research			\$7,700,659	R
Eliminate the requirement that 10% of overhead receipts received from research grants are budgeted to support General Fund operations on campuses.				
42 Area Health Education Centers	\$2,750,000	R	\$2,750,000	R
Funds will increase family medicine residencies and provide more community based training experiences for mid-level practitioners and pharmacy students				
43 Administrative Support for New Processes	\$600,000	R	\$600,000	R
Improvements in data collection and analysis for assessment, evaluation, and budgeting purposes.				
44 Distinguished Professorships	\$3,500,000	NR		
Additional State matching funds for the establishment of endowed chairs for outstanding faculty. State funds are matched 2 for 1 by private dollars.				
45 Interinstitutional Programs	\$794,000	R	\$794,000	R
Funding for NC School of the Arts Summer Institute, Institute on Aging, computer support for the State Education Assistance Authority, and NC Scholastic Media Association Project.				
	2.00		2.00	
C.Related Educational Programs				
46 Scholarship Fund Balances				
Reduce appropriations and use accumulated cash balances in non-reverting trust funds due to cash repayments; revert balance in old scholarship fund				
	(\$1,850,790)	NR		
47 Aid to Private Medical Schools	(\$329,000)	R	(\$365,000)	R
Continue assistance for same level of enrollments as actual numbers for 1996-97				

Senate Subcommittee on Education

48 Board of Governors Dental Scholars	(\$43,696)	R	(\$52,332)	R
Hold inflationary increases to 3% annually				
49 Board of Governors Medical Scholars	(\$38,735)	R	(\$20,700)	R
Hold inflationary increases to 3% annually				
50 Aid to Students Attending Private Colleges	\$7,864,668	R	\$7,864,668	R
Increase Legislative Tuition Grant from \$1,300 to \$1,450 per year for each fulltime NC undergraduate; increase need-based scholarship funding from \$600 per fulltime equivalent (FTE) NC student to \$750 per NC FTE student.				

D.Agricultural Programs

51 Turfgrass Research				
Funding to continue efforts on behalf of turfgrass industry	\$250,000	NR		
52 Cunningham Farm Research Center				
Non-recurring funds for development of Cunningham Farm site near Kinston as a specialty crops research and market development center for NC State	\$500,000	NR		
53 Agricultural Research	\$500,000	R	\$500,000	
Additional funding for enhancement of agricultural production and life sciences industries while sustaining and improving environmental quality				

E.UNC Hospitals

54 UNC Hospitals Reduction	(\$3,610,860)	R	(\$3,610,860)	R
Reduce UNC Hospitals General Fund operating support.				

F.Studies

55 Pfisteria Research	\$500,000	NR		
Funds to provide enhanced facilities and resources for pfisteria research at NC State.				
56 Isotope Study of Neuse and Cape Fear River Basins	\$1,500,000	NR		
Funding to complete isotope study to identify sources of nitrogen in each river basin.				
57 State Infrastructure Impact Study	\$75,000	NR		
Funds to analyze the impact of State infrastructure policies and decisions on local governments ability to plan for growth and sustainable development				

G.Other

58 Arbitration Settlement Payment	\$540,000	NR		
Funds to assist NC Central with payment of arbitrated contract settlement for fiber optic network				

Senate Subcommittee on Education

59 Law Enforcement Officers Training

Funding to educate law enforcement managers at NC State \$150,000 NR

60 Autism Services

Additional funding for Division TEACCH at UNC-Chapel Hill \$232,585 R \$232,585 R
School of Medicine for operating support of field offices
and for Carolina Living and Learning Center

61 Centennial Celebration

Funds to celebrate the 100th anniversary of Appalachian \$200,000 NR
State University

62 Manufacturing Extension Partnership

Funds to match increase in federal grant to expand services \$900,000 NR
to manufacturers through NC State

63 Botanical Gardens

Additional operating support for Botanical Gardens at UNC- \$200,000 R \$200,000 R
Chapel Hill 5.00 5.00

64 Natural Resources Leadership Institute

Funds for NC State to teach leadership and problem-solving \$150,000 NR
skills to North Carolinians involved in natural resources
policy and management

65 Cancer Research Funds

Additional funds for cancer research at Lineberger Cancer \$1,000,000 R \$1,000,000 R
Research Center at UNC-Chapel Hill

66 International Program

Funding for NC School of the Arts students Arts Abroad \$200,000 NR
program

67 Fish Hatchery Research

Funds to continue fish hatchery research for the red drum \$200,000 NR
and flounder species

Total Legislative Changes

\$44,028,962 R \$54,847,411 R
\$8,549,035 NR

Position Changes

-52.20 68.00

Revised Budget

\$1,470,936,027 \$1,480,189,921

Community Colleges

GENERAL FUND

	FY 97-98		FY 98-99	
Recommended Continuation Budget	\$489,218,944		\$489,576,870	
Legislative Changes				
68 State Board Reserve Fund	(\$250,000)	R	(\$250,000)	R
Reduce the State Board Reserve Fund from \$900,000 to \$650,000 as requested by the Governor.				
69 Curriculum Improvement Projects	(\$113,709)	R	(\$113,709)	R
Reduce the State funds in this program by the amount reverted due to the availability of federal funds for this purpose.				
70 JTPA Administrative Funds	(\$17,216)	R	(\$17,216)	R
Reduce the administrative funds allocated to colleges that offer JTPA class size projects from federal funds.				
71 New Industry Equipment for Regional Coordinators	(\$300,000)	R	(\$300,000)	R
Reduce the funds available to buy equipment for the Department of Community Colleges regional coordinators to move across the state as needed. These funds have been underspent for several years and can be reduced.				
72 Community Services Block Grant	(\$86,581)	R	(\$86,581)	R
Reduce funds in the Community Services Block Grant that offers hobby and leisure classes to the community.				
73 Enrollment Increase	\$1,715,534	R	\$1,715,534	R
Funds the enrollment increases for the community college system.				
74 Occupational Extension	\$9,993,517	R	\$9,993,517	R
Enhance the occupational extension formula to provide more funds for job skill training courses. This raises the occupational extension unit value toward the curriculum value in accordance with the recommendation of the State Board of Community Colleges and funds benefits for half of the occupational extension positions in the formula.				
75 New and Expanding Industry Funds	\$6,000,000	NR		
These funds will provide money for customized training projects to attract new industry and to support training needs of existing industries that expand their workforce.				

Senate Subcommittee on Education

76 Equipment and Books

\$10 million for the purchase of additional equipment,
\$1,950,000 for library books and \$500,000 for the State
Board of Community Colleges to participate in the NC-LIVE
project with UNC and the State Library which reduces the
duplication of expenditures for library resources.

\$12,450,000 NR

77 Reward Excellence in Community College Teaching

Funds to provide a bonus to community college faculty who
have demonstrated excellence in teaching. These funds equate
to a .5% average salary bonus for faculty.

\$1,300,000 NR

78 Fayetteville Tech. Comm. College Model Program

Funds for Fayetteville Technical Community College to
establish a model early childhood education center for
Cumberland County.

\$300,000 NR

Total Legislative Changes

\$10,941,545 R \$10,941,545 R

\$20,050,000 NR

Position Changes

Revised Budget

\$520,210,489

\$500,518,415

Governor

GENERAL FUND

	FY 97-98		FY 98-99	
Recommended Budget	\$5,286,620		\$5,302,348	
Legislative Changes				
(0000) Departmentwide				
1 Purchased Contractual Services	(\$22,367)	R	(\$22,367)	R
(1110) Administration				
2 Senior Advisor for Children and Families				
Provides funding in the Governor's Office for the position of Senior Advisor for Children and Families.	\$105,597	NR		
	1.00			
(1130) Office of Intergovernmental Relations				
3 Eliminate Military Liaison Position	(\$25,220)	R	(\$25,220)	R
Eliminate the position and associated benefits for Military Liaison. This position has been vacant since 10-01-94.	-1.00		-1.00	
(1631) Raleigh Executive Residence				
4 Transfer to CC & PS	(\$6,099)	R	(\$6,099)	R
This transfer repays Crime Control & Public Safety (CC & PS) the costs of supplying the Governor's guards. \$6,099 was the amount of the requested increase from last year. The money will be saved by using guards with the same salaries as the current guards are earning.				
Total Legislative Changes	(\$53,686)	R	(\$53,686)	R
	\$105,597	NR		
Position Changes	0.00		-1.00	
Revised Budget	\$5,338,531		\$5,248,662	

State Budget and Management

GENERAL FUND

	FY 97-98	FY 98-99
Recommended Budget	\$3,855,400	\$3,938,220

Legislative Changes

(1022) 1997 Special Appropriations

5 St Mark's Capital Funds

Appropriates to St. Mark's, Incorporated, a nonprofit corporation, a grant for building a new preschool facility to serve children with developmental disabilities.

\$1,700,000 NR

6 Women's Memorial Funds

This is a State Donation to the Women in Military Service for America Memorial Foundation, Inc., for the purpose of creating a memorial to women veterans of the armed services.

\$50,000 NR

7 NC Humanities Council

Provides a grant to the North Carolina Humanities Council, a not-for-profit organization.

\$100,000 NR

8 Fire Protection Grant Funds

Creates the State Fire Protection Grant Fund, to compensate local fire districts and political subdivisions for providing fire protection to State-owned buildings. Requires the Office of State Budget and Management to develop a statewide method for distributing these funds to the State's local fire districts and political subdivisions.

\$3,080,000 R \$3,080,000 R

9 Reserve for Purchase of State Flags

\$50,000 NR

(1310) Office of State Budget and Management

10 Reduce Employee Costs

Reduce objects Employee Benefit Cost and Supplement to Regular Wage. Department plans that this money will not be needed due to savings accrued while vacancies exist.

(\$5,010) R (\$5,010) R

11 Property, Plant & Equipment

Department will save money by deferring purchase of new items of equipment.

(\$32,372) R (\$32,372) R

Total Legislative Changes	\$3,042,618	R	\$3,042,618	R
	\$1,900,000	NR		
Position Changes				
Revised Budget	\$8,798,018		\$6,980,838	

Office of State Planning

GENERAL FUND

	FY 97-98		FY 98-99	
Recommended Budget	\$1,820,279		\$1,833,679	
Legislative Changes				
(1412) Geodetic Survey				
12 Make One-Half Position Receipt-Supported	(\$17,829)	R	(\$17,829)	R
Office of State Planning has one position which receives one-half of its funding from the General Fund. This position will become entirely receipt-supported.	-0.50		-0.50	
Total Legislative Changes	(\$17,829)	R	(\$17,829)	R
Position Changes	-0.50		-0.50	
Revised Budget	\$1,802,450		\$1,815,850	

Lieutenant Governor

GENERAL FUND

	FY 97-98		FY 98-99	
Recommended Budget	\$612,176		\$612,336	
Legislative Changes				
(1110) Administration				
13 Miscellaneous Expenses, Equipment, and Services	(\$2,946)	R	(\$2,946)	R
Reductions in Registration Fees, Other Employee Educational Expenses, Maintenance Agreement-Equipment, DP Equipment, Repairs-Computer Equipment, General Office Expenses, Cellular Phone Service, Postage, and Telephone Service.				
Total Legislative Changes	(\$2,946)	R	(\$2,946)	R
Position Changes				
Revised Budget	\$609,230		\$609,390	

Secretary of State

GENERAL FUND

	FY 97-98		FY 98-99	
Recommended Budget	\$5,243,012		\$5,230,680	
Legislative Changes				
(0000) Departmentwide				
14 New Computer System	\$80,000	R	\$80,000	R
Replaces old computer equipment with new local area network, new imaging system, new relational database software. Funds include money for a project manager and for computer consultants.	\$1,230,000	NR	\$0	NR
	1.00		1.00	
Total Legislative Changes	\$80,000	R	\$80,000	R
	\$1,230,000	NR	\$0	NR
Position Changes	1.00		1.00	
Revised Budget	\$6,553,012		\$5,310,680	

Auditor

		GENERAL FUND	
		FY 97-98	FY 98-99
Recommended Budget		\$9,495,886	\$9,504,085
Legislative Changes			
(1120) Support Services			
15 Maintenance on Printing Equipment		\$38,600 R	\$38,600 R
Provides funds to maintain printing and duplicating equipment. Recommended by the Governor.			
(1210) Field Audit Division			
16 Equipment Replacement Reserve		\$175,000 R	\$175,000 R
Recurring funds would allow the Auditor to replace computers every three years. Recommended by the Governor.			
17 Audit Software		\$0 R	\$15,000 R
		\$159,000 NR	\$0 NR
18 EDP Audit Positions		\$136,586 R	\$191,899 R
Additional electronic data processing auditors.			
		2.00	3.00
19 Additional Audit Positions		\$179,792 R	\$282,647 R
Additional performance and financial auditors			
		3.00	5.00
Total Legislative Changes		\$529,978 R	\$703,146 R
		\$159,000 NR	\$0 NR
Position Changes		5.00	8.00
Revised Budget		\$10,184,864	\$10,207,231

Treasurer

		GENERAL FUND	
		FY 97-98	FY 98-99
Recommended Budget		\$18,432,034	\$18,434,193
Legislative Changes			
(1110) General Administration			
20 Strengthen Personnel Function		\$0 R	\$0 R
Funds a Personnel Officer I position in the department. Recommended by the Governor. Expenditures of \$44,558 in 1997-98 and \$42,127 in 1998-99 would be covered by increased receipts.		1.00	1.00
(1150) Information Systems			
21 Maintenance of Automated Programs		\$0 R	\$0 R
Increased staffing to maintain automation in the department. Recommended by the Governor. Expenditures of \$240,968 in 1997-98 and \$230,264 in 1998-99 will be covered by increased receipts.		5.00	5.00
(1210) Investment Management			
22 Strengthen Investment Administration		\$74,889 R	\$56,065 R
Additional Portfolio Manager to handle increased volumes of investments. Recommended by the Governor. These appropriations from the General Fund would be offset by increases in non-tax revenues.		1.00	1.00
(1310) Local Government Operations			
23 Improve Ability to Manage Debt Approval		\$101,901 R	\$101,023 R
Additional staff to respond to increased demands for technical assistance. Recommended by the Governor. These appropriations from the General Fund would be offset by increases in non-tax revenues.		2.00	2.00
Total Legislative Changes		\$176,790 R	\$157,088 R
Position Changes		9.00	9.00
Revised Budget		\$18,608,824	\$18,591,281

Insurance

		GENERAL FUND	
		FY 97-98	FY 98-99
Recommended Budget		\$19,880,965	\$19,909,612
Legislative Changes			
(1300) Technical Services			
24 Additional Market Practices Examiners		\$313,314 R	\$333,174 R
Adds two three-person teams to monitor market practices. One of the teams will monitor Life and Health Insurance companies; the other will monitor the practices of Health Maintenance Organizations (HMOs).		6.00	6.00
(1500) Safety Services			
25 Upgrade and Increase Fire and Rescue Services		\$96,000 R	\$96,000 R
Computer software for counties to submit Fire Incident Reports and implement Rescue Reporting Program.			
(1900) Reserves and Transfers			
26 Pay for Revenue Workers		\$0 R	\$0
Transfers \$99,270 in FY 1997-98 and \$99,270 in FY 1998-99 to pay the Department of Revenue for collecting the gross premiums tax and the Regulatory Charge which supports the Department of Insurance.			
27 Transfer Fire Protection Grant Program		(\$1,450,000) R	(\$1,450,000) R
The Fire Protection Grant Program is transferred to the Office of State Budget and Management. The Department of Insurance will not need an appropriation to fund this program.			
28 Fire and Rescue Workers' Comp		\$4,500,000 R	\$4,500,000 R
Provides a recurring grant to the Fire and Rescue Workers' Comp Fund. Intent is for the State to contribute to the Fund until its reserves (projected time: about 8 years) are sufficient to let it be self-supporting.			
Total Legislative Changes		\$3,459,314 R	\$3,479,174 R
Position Changes		6.00	6.00
Revised Budget		\$23,340,279	\$23,388,786

Administration

GENERAL FUND

	FY 97-98		FY 98-99	
Recommended Budget	\$59,567,213		\$60,961,819	
Legislative Changes				
(0000) All Divisions				
29 Personnel Reductions	(\$527,405)	R	(\$527,405)	R
Reductions recommended by the Governor, including one painter supervisor, nine painters, one vacant office assistant position in Facilities Management, a vacant position in the Council for Person's with Disabilities, and an office assistant position in the Board of Science and Technology. Reduction also includes \$105,014 in salary reserve money.	-13.00		-13.00	
(1121) Fiscal Management				
30 Reduced Data Processing Services	(\$7,000)	R	(\$7,000)	R
(1225) State Health Plan Purchasing Alliance				
31 Reversions From Previous Operating Budgets	\$0	R	\$0	R
Under the statutory language creating the State Health Plan Purchasing Alliance Fund, left over balances from the Board's operating budget have not been reverting to the General Fund. These past balances and the interest earned on them should be transferred from the State Health Plan Purchasing Alliance Fund to the General Fund at the end of 1996-97. This transfer will increase funds available for 1997-98 by \$648,718.				
32 Eliminate Vacant Position	(\$46,517)	R	(\$46,517)	R
Eliminates vacant policy development analyst position. Position vacant since January, 1997.	-1.00		-1.00	
(1241) Management Information Systems				
33 Reductions in Operating Expenses	(\$3,463)	R	(\$3,463)	R
Reductions in data processing maintenance and office furniture and equipment				
(1264) Agency for Public Telecommunication				
34 Reductions in contractual services and travel	(\$8,920)	R	(\$8,920)	R

Senate Subcommittee on General Government

(1311) Office of State Personnel

35 Staff for Personnel Mgmt Information System (PMIS)

The Governor recommends funding to provide two (2) computer analyst programmers and a support position to enable the Office to respond to increased mainframe data collection and analytical needs, and to continue to efficiently respond to PMIS users.

\$137,934	R	\$137,934	R
\$15,000	NR		
3.00		3.00	

36 Operating Budget Reductions

Reduce funds in the following line items in FY 97-98:

(\$70,406) NR

(531572) Unemp Comp Payments to ES	(\$ 7,016)
(531625) St Disability Payment	(15,000)
(532199) Misc Contractual Svs	(26,000)
(532850) Printing	(2,390)
(532942) Other Emp Educational Ex	(10,000)
(536905) Employer OJT Incentive	(5,000)
(537102) Res Emp Survey	(5,000)

(1421) Facility Management

37 Delays in Operating New Buildings

Reductions recommended by the Governor due to delays in the opening of the new SBI Laboratory, the Old Revenue Building and the Natural Science Museum.

(\$678,000)	NR	(\$370,000)	NR

38 Additional Delays in Operating New Buildings

Additional reductions beyond those recommended by the Governor due to additional delays in the opening of the new SBI Laboratory, the Old Revenue Building and the Natural Science Museum.

(\$215,773)	NR	(\$371,920)	NR

39 Increase Rental Receipts

Increases receipts to the Department of Administration by charging rent to the State Treasurer and to the Department of Insurance. The rent paid by these agencies is offset by increases in the receipts they collect and in the non-tax revenues their operations generate. The Treasurer will be charged \$645,025. Insurance will be charged \$511,225.

(\$1,156,250)	R	(\$1,156,250)	R

40 Reduce Operating Budget for New Buildings

Reduces amount budgeted for indirect costs in operating new buildings.

(\$496,440)	R	(\$518,437)	R

(1623) State Capitol Police

41 Eliminate Two Vacant Property Guard Positions

(\$42,524)	R	(\$42,524)	R
-2.00		-2.00	

(1741) Human Relations Council

42 Reduction in Miscellaneous Line Items

(\$11,938)	R	(\$11,938)	

Senate Subcommittee on General Government

(1761) Youth Involvement Office

43 Increase Wages for Interns

\$77,616 R \$77,616 R

Increases hourly wage for interns from \$5.00 to \$6.75 per hour. Increase is recommended by the Governor.

(1771) Veteran Affairs

44 Reductions in Expenses

(\$65,124) R (\$65,124) R

Two veterans cemetery maintenance positions will be converted to receipts-supported positions, and motor vehicle replacements will be reduced.

(1781) Domestic Violence Program

45 Additional Position

\$50,000 R \$50,000 R

Administrative assistant position to monitor batterers treatment programs. Recommended by Governor.

1.00

1.00

46 Funds for Local Domestic Violence Programs

Provides additional one-time funding to local domestic violence programs.

\$1,100,000 NR \$0 NR

(1811) Advocacy for Disabled

47 Reduced Operating Expenses

(\$8,600) R (\$8,600) R

Reductions in contractual services, and board member travel and subsistence

(1861) Commission of Indian Affairs

48 Delete Match for Title XX

(\$15,000) R (\$15,000) R

Title XX federal funds for Indian Day Care have been discontinued. Therefore, matching funds are no longer needed.

(1862) Low-Level Radioactive Waste

49 Reductions in Communication Expense and Travel

(\$11,018) R (\$11,018) R

(1871) Board of Science and Technology

50 Reduction in Communications Expense

(\$13,110) R (\$13,110) R

Total Legislative Changes	(\$2,147,759)	R	(\$2,169,756)	R
	\$150,821	NR	(\$741,920)	NR
Position Changes	-12.00		-12.00	
Revised Budget	\$57,570,275		\$58,050,143	

State Controller

GENERAL FUND

	FY 97-98		FY 98-99
Recommended Budget	\$16,053,306		\$16,056,630
Legislative Changes			
(1000) Divisionwide			
51 Additional Operating Budget Reductions			
Reduce the following line items in FY 97-98:	(\$147,433)	NR	
(532821) Data Processing Services	(\$94,055)		
(5369AA) NCIH	(53,378)		
52 Year 2000 Computer Conversion			
Nonrecurring funding is recommended by the Governor to assist state government departments and agencies in the conversion of computer applications to accommodate year 2000 requirements.	\$5,000,000	NR	
53 532700 Travel/Subsistence	(\$13,100)	R	(\$13,100) R
Reduce the following line items each fiscal year:			
(532714) In-State transportation	(\$10,000)		
(532724) In-State meals	(\$ 3,100)		
54 5369AA Information Highway	(\$5,337,824)	R	(\$5,337,824) R
Provide non-recurring support in FY 97-98 for 101 existing sites - 52 high schools; 30 Community Colleges, including 1 data only site; two (2) campuses in the University System; and 17 State and local agencies. Additionally, appropriates to the Office of State Controller funds for long distance services and Data Hubs.	\$5,337,824	NR	
Funding for FY 98-99 to be considered in the 1998 Session.			
Total Legislative Changes	(\$5,350,924)	R	(\$5,350,924) R
	\$10,190,391	NR	
Position Changes			
Revised Budget	\$20,892,773		\$10,705,706

Revenue

		GENERAL FUND	
		FY 97-98	FY 98-99
Recommended Budget		\$68,045,123	\$68,862,535
Legislative Changes			
(0000) Departmentwide			
55 Salary Reductions/Span of Control		(\$592,976) R	(\$592,976) R
Reduce positions in the department as a result of the study authorized per Section 10.1, Chapter 324, 1995 Session Laws. The approach for the Department is three-fold with the elimination of nine (9) positions; reduction of salary reserve created by lowering the budgeted level of fifteen (15) revenue officer and revenue tax auditor trainee positions to the first step; and reduction of operating line items.		-9.00	-9.00
(1600) Administration			
56 Administrative Program Reductions		(\$200,000) R	(\$200,000) R
Reduce funds for data processing equipment and for repairs to buildings each year of the biennium:			
FY 97-98			
(532310) Repairs - Buildings	(\$100,000)		
(534522) Equipment - Computers	(\$100,000)		
FY 98-99			
(534522) Equipment - Computers	(\$200,000)		
(1620) Tax Administration			
57 Assist New Audit Positions		\$31,305 R	\$62,609 R
Add an Administrative Officer III, as recommended by the Governor, to offset increased workload resulting from the new audit positions, effective January 1, 1998.		\$8,500 NR	
		1.00	1.00
(1640) Tax Compliance			
58 Premiums Tax Positions		(\$99,270) R	(\$99,270) R
Funds transferred from the Department of Insurance regulatory charge to provide continued support for the two (2) positions that are responsible for collection of the gross premiums tax. For the Department of Revenue the transfer of funds increases receipts, reducing the General Fund appropriation.		-2.00	-2.00

Senate Subcommittee on General Government

(1660) Field Operations

59 Additional Interstate Audit Personnel

\$298,192 R \$629,977 R

Appropriate funds for seven (7) new auditor positions and two (2) Tax Technicians in the Interstate Audit Division per the recommendation of the Governor. Positions are effective January 1, 1998.

\$94,000 NR
9.00 9.00

(1680) Legal and Administrative Services

60 Reductions to Postage and Printing

(\$263,800) R (\$263,800) R

Adjust appropriations for the following line items each fiscal year:

(532840) Postage (\$251,800)
(532850) Printing (\$ 12,000)

Total Legislative Changes

(\$826,549) R (\$463,460) R
\$102,500 NR

Position Changes

-1.00 -1.00

Revised Budget

\$67,321,074 \$68,399,075

Cultural Resources

GENERAL FUND

	FY 97-98		FY 98-99
Recommended Budget	\$52,608,363		\$52,922,221
Legislative Changes			
(0000) Departmentwide			
61 Salary Reductions/Span of Control	(\$610,262)	R	(\$610,262) R
Reduce positions in the department as a result of the study authorized per Section 10.1, Chapter 324, 1995 Session Laws. The Department will eliminate 22 positions, including four (4) supervisory positions; and reduce Reserve Funds for the Museum of History and Home Creek Farm.	-22.00		-22.00
(1110) Office of the Secretary			
62 Grants to Local Organizations			
Provide funds to local arts, cultural, and historical organizations; and local museums as grants-in-aid.	\$8,000,000	NR	
(1210) Division of Archives and History -Adm			
63 Maritime Museum	\$792,227	R	\$786,713 R
Transfer funds and personnel from the Department of Agriculture to the Department of Cultural Resources, Division of Archives and History (Fund 1210) for the Maritime Museum.	17.25		17.25
(1241) State Historic Sites			
64 State Civil War Sites			
Funding is authorized to preserve, improve, and promote the State's Civil War era sites.	\$1,000,000	NR	
65 Town Creek Indian Mound			
Appropriate funds for an archaeological curation and education facility at the site.	\$50,000	NR	
66 Somerset Place			
Provide funds for construction and contracted personal services.	\$250,000	NR	
67 Reductions in Travel and Equipment			(\$30,000) R
Reduce the following line items in FY 98-99:			
FY 98-99:			
(532714) In-State transportation (\$15,000)			
(534549) Other Motorized Vehicles (\$15,000)			

Senate Subcommittee on General Government

(1410) State Library Services

68 Library and Learning Resources

(\$6,000) R

Reduce funds in line item 534630 in FY 98-99.

(1480) Statewide Programs & Grants

69 6960 Aid to Counties

\$3,000,000 R \$3,000,000 R

Increase funds for grants to public libraries in accordance with the formula for State-Aid to libraries.

70 NC LIVE - Statewide Electronic Library

\$200,000 R \$200,000 R

Funding to support NC-Live (North Carolina Libraries and Virtual Education), a collaborative effort among the State Library and public libraries statewide in partnership with libraries in the University of North Carolina system and the Community College system recommended by the Governor to expand access to library and information resources. Initial funds will support statewide licensing of on-line reference and research materials.

(1500) Museum of History

71 Equipment

(\$30,000) R

Reduce funds in line item 534522 in FY 98-99.

(1992) Continuation Reserves

72 Reduce Funds in Operating Reserves

(\$100,000) R (\$34,000) R

Reduce funds in the reserves both years of the biennium as follows:

FY 97-98

(537102) Museum of History

2 Hist Mus Specs, Gr. 65, eff. 9/97	(\$39,720)
1 Pub Info Asst III, Gr. 57, eff 9/97	(14,490)
Social Security	(4,022)
Retirement	(5,694)
Med Ins	(1,560)
In-State Trans	(3,000)
In-State Subsistence	(2,200)
Training and Registration	(1,000)
Printing, Binding, etc.	(1,000)
Other Mat & Supplies	(483)
Office Furniture	(1,000)

(537110) Tryon Palace

1 Painter	(\$20,336)
Social Security	(1,556)
Retirement	(2,203)
Med Ins	(1,736)

FY 98-99

Cultural Resources

Senate Subcommittee on General Government

(537103) Historic Sites

Hist Halifax Tap Room	
Misc Contractual Services	(\$ 5,000)
Enrg Ser - Electrical	(3,000)
Enrg - Water & Sewer	(200)
Repairs - Other	(3,500)
In-State Trans	(200)
In-State Lodging	(100)
In-State Subsistence	(100)
Telephone Service	(550)
Postage, etc.	(200)
Print, Binding, etc.	(6,250)
Other Emp Educ Exp	(100)
Other Mat & Supplies	(800)
Equip - Other	(9,000)
Art & Artifacts	(5,000)

Total Legislative Changes	\$3,281,965	R	\$3,276,451	R
	\$9,300,000	NR		
Position Changes	-4.75		-4.75	
Revised Budget	\$65,190,328		\$56,198,672	

State Board of Elections

GENERAL FUND

	FY 97-98		FY 98-99	
Recommended Budget	\$1,052,787		\$1,046,381	
Legislative Changes				
(0000) Departmentwide				
73 Computerized Voter Registration System	\$500,000	R	\$1,089,000	R
Funding to supplement the \$5.0 million computer reserves appropriated to design and implement the statewide voter registration system. Additional funds may be required to maintain the voter registration system in later years.				
Total Legislative Changes	\$500,000	R	\$1,089,000	R
Position Changes				
Revised Budget	\$1,552,787		\$2,135,381	

Office of Administrative Hearings

GENERAL FUND

	FY 97-98		FY 98-99	
Recommended Budget	\$2,217,486		\$2,217,486	
Legislative Changes				
(1100) Administration and Operations				
74 LAN Administrator	\$47,387	R	\$47,387	R
Provides funds to hire one person to administer local-area-network (LAN) system. Not included in Governor's recommendations.	1.00		1.00	
75 Administrative Law Judge Salaries	\$103,206	R	\$103,206	R
Sets salaries for Administrative Law Judges at 90% of the salary of the Chief Administrative Law Judge, and establishes that Administrative Law Judges shall receive longevity pay.				
76 Reduce Services and Equipment	(\$10,690)	R	(\$10,690)	R
Reductions in Maintenance Agreements, Data Processing Services, Furniture & Equipment, and Printing.				
Total Legislative Changes	\$139,903	R	\$139,903	R
Position Changes	1.00		1.00	
Revised Budget	\$2,357,389		\$2,357,389	

Rules Review Commission

GENERAL FUND

	FY 97-98	FY 98-99
Recommended Budget	\$281,892	\$273,441
Legislative Changes		
(1100) Administration		
77 Other Expenses		
Appropriate funds to support legal expenses resulting from the lawsuit.	\$240,000	NR
Total Legislative Changes	\$240,000	NR
Position Changes		
Revised Budget	\$521,892	\$273,441

Human Resources

GENERAL FUND

	FY 97-98		FY 98-99	
Recommended Continuation Budget	\$2,386,863,411		\$2,542,119,295	
Legislative Changes				
(1.00) Division of Medical Assistance				
1 Nursing Home Bed Adjustments	(\$1,058,611)	R	(\$3,726,846)	R
Adjusts the forecast for nursing home beds to reflect lag time for the construction of new nursing home beds.				
2 Drug Rebate Increase	(\$5,040,690)	R	(\$5,811,868)	R
Increases Drug Rebates because more revenue is anticipated due to increased drug prices and increased effort by Division of Medical Assistance to claim rebates.				
3 DME Rent and Supply Rate Adjustment	(\$620,387)	R	(\$629,024)	R
Adjusts methodology used to establish durable medical equipment rental rates by basing rates on useful life of equipment and return on investment.				
4 Third Party Liability Cost Avoidance	(\$1,892,180)	R	(\$1,918,522)	
Adjusts methodology for ensuring that payment by all other responsible parties for services consumed by Medicaid eligibles occurs before any payments are made by Medicaid.				
5 Personal Care Services Criteria	(\$1,364,851)	R	(\$1,383,852)	R
Establishes more specific criteria for Personal Care Services to ensure the appropriateness of care for Medicaid eligibles who receive Personal Care Services.				
6 Home Health Care Cost Avoidance	(\$651,406)	R	(\$660,475)	R
Ensures that Home Health Care services covered by Medicare are paid by Medicare instead of Medicaid.				
7 Reduce Inflationary Increases	(\$2,893,800)	R	(\$3,229,506)	R
Reduces Inflationary increases for providers by .25%.				
8 Adjust 1996-97 Base Year for Actual Performance	(\$37,546,969)	R	(\$38,102,771)	R
Adjusts the 1996-97 base year to reflect actual expenditures through 12/96 which indicates that the budget is higher than projected expenditures. This adjustment allows the projected budget for the 1997-99 biennium to be reduced.				
9 Medicaid Reduction Initiative	\$0	R	(\$19,110,288)	R
Reduces the growth in the Medicaid budget from 10.5% to 9% by making additional reductions to program costs.				

Senate Subcommittee on Human Resources

10 Medicaid Trust Fund

Reduces state appropriations to the Medicaid program and replaces them with revenues from the Medicaid Trust Fund.

(\$40,000,000) NR \$0 NR

11 Eliminate Positions

Eliminates three positions: DMA Nurse I, DMA Service Consultant, and Administrative Secretary III.

(\$46,747) R (\$46,747) R

-3.00 -3.00

12 Salary Reserve Reduction

(\$4,775) R (\$4,775) R

(2.00) Office of the Secretary

13 Reduce SIPS Payments

Reduces SIPS payments due to the centralization of data processing.

(\$500,000) R (\$500,000) R

14 Salary Reserve Reduction

(\$13,144) R (\$13,144) R

15 Eliminate Positions

Eliminates funding which is being transferred to the Governor's Office for the Senior Advisor for Children and Families and one additional staff person.

(\$170,000) R (\$170,000) R

-2.00 -2.00

16 Eliminate State Aid to Community Action Agencies

Eliminates State Aid to Community Action Agencies due to a \$2.6 million increase in federal funds.

(\$913,822) R (\$913,822) R

(3.00) Division of Mental Health

17 Reduce Utilities at State Facilities

Reduces the cost of utilities at state facilities to reflect actual 1995-96 expenditures.

(\$1,099,373) R (\$1,119,662) R

18 Reduce Willie M. Community Services

Reduces continuation budget increases for Willie M. Community Services.

(\$500,000) R (\$500,000) R

19 Reduce Overtime Expenditures at State Facilities

Reduces overtime and related fringes at the following state facilities: Butner Adolescent Treatment Center, Cherry Hospital, Dix Hospital, Umstead Hospital, Caswell Center, Murdoch Center, O'Berry Center, and Western Carolina Center.

(\$2,774,588) R (\$2,774,588) R

20 Salary Reserve Reduction

(\$49,692) R (\$49,692) R

21 Reduce Thomas S Funds

Reduces excess Thomas S funding based on current spending patterns.

(\$1,690,926) R (\$932,116) R

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22 Thomas S Cost Containment	(\$7,600,000)	R	(\$7,600,000)	R
Reduces funding for Thomas S by requiring the Department and local area mental health programs to contain costs while serving the mandated caseload needs.				
23 Thomas S Reduction	(\$7,600,000)	NR	\$0	NR
Reduces funding for Thomas S by allowing the Department to use existing departmental funds to meet court-mandated caseload needs.				
24 Reduce Operating Reserve	(\$1,600,000)	NR	\$0	NR
Reduces the operating reserve for the Alzheimer's Unit in Wilson due to construction delays.				
(4.00) Division of Social Services				
25 Reduce Excess Appropriation in Reserve	(\$295,717)	R	(\$295,717)	R
Reduces excess state appropriations in reserve for data processing.				
26 Increase State Return on Child Support Collections	(\$1,000,000)	R	(\$1,000,000)	R
Reduces appropriations by budgeting increased State return on Child Support Collections.				
27 Interest Earned on Child Support Collections	(\$150,000)	R	(\$150,000)	R
Reduces appropriations by budgeting interest on child support collections.				
28 Salary Reserve Reduction	(\$20,957)	R	(\$20,957)	R
29 Eliminate Positions	(\$58,952)	R	(\$58,952)	R
Eliminates three positions: Social Services Program Administrator II, Social Services Program Consultant II, and Income Maintenance Quality Assurance Analyst.				
	-3.00		-3.00	
30 Reduce Case Management Funding	(\$500,000)	R	(\$500,000)	R
Reduces funding for Enhanced Adult Care Home case management services due to under-utilization of services.				
(5.00) Division of Youth Services				
31 No Rate Increase in Eckerd Camp Rates	(\$501,215)	R	(\$501,215)	R
Maintains Eckerd Wilderness Camp payment rate of \$74.89 per day.				
32 Increased Receipts in Detention Centers	(\$178,063)	R	(\$178,063)	R
Reduces state appropriations to reflect increased receipts.				
33 Reduce Operating Reserves	(\$950,000)	NR	\$0	NR
Reduces operating reserves for two detention centers due to construction delays.				
(6.00) Division of Blind Services				

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34 Utility Adjustments for Governor Morehead School	(\$72,483)	R	(\$72,483)	R
Reduces state appropriations for utilities to reflect projected expenditures.				

35 Adjustment to Budgeted Salaries	(\$7,568)	R	(\$7,568)	R
Adjusts budgeted salaries to reflect actual expenditures.				

36 Salary Reserve Reduction	(\$6,208)	R	(\$6,208)	R

37 Eliminate State Funds for Position	(\$11,023)	R	(\$11,023)	R
Replaces state appropriations with Business Enterprise funds to support one position.				

(7.00) Division of Facility Services

38 Eliminate Positions	(\$48,692)	R	(\$48,692)	R
Eliminates two positions and related support: Applications Programmer II and Processing Assistant V.				
	-2.00		-2.00	

39 Reduce Health Care Personnel Registry	(\$80,000)	R	(\$80,000)	R
Reduces funding for the Health Care Personnel Registry due to increased Medicaid receipts.				

8.00) Division of Aging

40 Salary Reserve Reduction	(\$2,927)	R	(\$2,927)	R

(9.00) Div. Services for Deaf/Hard of Hearing

41 Salary Reserve and Longevity Reduction	(\$82,366)	R	(\$82,366)	R

(10.00) Division of Vocational Rehabilitation

42 Salary Reserve Reduction	(\$61,439)	R	(\$61,439)	R

(11.00) Division of Child Development

43 Reduce Excess Postage and Printing Costs	(\$25,442)	R	(\$25,442)	R
Eliminates excess funds for postage and printing resulting from increased use of automation in the criminal records check program.				

44 Reduce Child Care Subsidies	(\$23,276,545)	R	(\$23,276,545)	R
Reduces state funding for child care subsidies due to a transfer of federal funds from the TANF Block Grant.				

(12.00) Division of Mental Health**45 Reserve for Grants-In-Aid for Substance Abuse**

Provides grants-in-aid to be held in reserve for substance abuse treatment programs, allocation of the funds is contingent upon the Secretary of DHR's evaluation the of the efficiency and effectiveness of various substance abuse treatment programs.

\$1,250,000 NR \$0 NR

46 Additional Staff and Equipment/Facility Funds

Adds the following staff: 1.0 Electrician II, 1.0 Maintenance Mechanic IV, 1.0 Painter, and 3.0 Health Care Technician Supervisor positions, and provides funds for critical equipment and facility improvement needs for the Western Carolina Center.

\$157,088 R \$157,088 R
\$250,000 NR
6.00 6.00

47 Autism Foundation Capital Funds

Provides funds for the Autism Society's of N.C., Inc. Camp Royall capital project.

\$2,165,000 NR \$0 NR

48 Autism Operating Funds

Provides funds for the following:

- (1) Autism Society of N.C., Inc; \$ 89,000
- (2) Vocational Training Program -
Job Placement for Adults Model in
Wake County; 325,000
- (3) Vocational Training Program, an
international teacher's exchange
program; and 50,000
- (4) One training position to serve
the Raleigh, Research Triangle
Park and Chapel Hill areas 40,000

\$504,000 R \$504,000 R

49 Broughton Hospital Funds

Provides \$130,000 to complete installation of a CAT Scan Machine and \$49,000 for facility improvements in the Adolescent Unit at Broughton Hospital.

\$179,000 NR \$0 NR

50 Community Mental Health Expansion Funds

Provides additional funds as follows:

- (1) Mental Health Services \$1,666,667
- (2) Developmental Disabilities 1,666,666
- (3) Substance Abuse Services 1,666,667

\$5,000,000 R \$5,000,000 R

51 Crisis Services Expansion

Provides additional funding to continue development of local crisis services.

\$1,000,000 R \$1,000,000 R

52 DHR Early Intervention Funds

Provides additional funds for the Early Intervention Program for hearing-impaired, visually-impaired, and developmentally delayed children.

\$2,700,000 R \$2,700,000 R

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53 Atypical & Antipsychotic Medications

\$400,000 R \$400,000 R

Provides additional funds for the purchase of atypical and antipsychotic medications for schizophrenic clients served by area mental health programs.

(13.00) Division of Social Services

54 Adult Day Care Grant-in-Aid

Provides grant-in-aid to the L.I.F.E. Center, Inc. for an adult day care center.

\$75,000 NR

55 Adolescent Parenting Program

\$100,000 R \$50,000 R

Provides funds for an evaluation of the program and adds one additional position for technical assistance to local programs.

1.00

1.00

56 Additional Funds for Food Banks

Provides grants-in-aid to support the following:

\$1,350,000 NR \$0 NR

- A. (1) Albemarle Food Bank/Food Pantry, Inc. \$175,000
(2) MANNA Food Bank, Inc. \$175,000;
(3) Food Bank of Northwest NC, Inc. \$175,000;
(4) Cumberland Community Action/Cape Fear Community Food Bank \$175,000;
(5) Metrolina Food Bank, Inc. \$175,000; and
(6) Food Bank, Inc. \$175,000

Funds are allocated as follows: 90% to purchase nutritious staple food items not received in sufficient quantities and 10% to offset the costs of transportation, handling, and distribution.

- B. Albemarle Food Bank/Food Pantry, Inc. - provides \$300,000 for capital improvements to food storage facilities.

(14.00) Office of the Secretary

57 Human Services Grants-in-Aid

Reserve for Grants-in-Aid to public and non-profit human services organizations for programs that provide services to older adults, adults with disabilities, at-risk children and youth and families.

\$4,000,000 NR

(15.00) Division of Facility Services

58 Poison Control Center

Provides operating funds to the Carolinas Poison Control Center.

\$200,000 NR

59 Smoke Detector/Sprinkler Systems Loan Fund

Provides funds to continue the revolving loan fund to enable adult care and nursing homes to borrow funds to install and upgrade safety equipment.

\$500,000 NR \$0 NR

(16.00) Division of Aging**60 Grant-In-Aid to Floyd McKissick Center**

Provides a grant-in-aid to the Floyd McKissick Center located in Manson, N.C., to expand independent living services for older adults.

\$75,000 NR \$0 NR

61 Home and Community Based Services Expansion

Provides additional funding to reduce waiting lists for in-home aid and caregiver support services to individuals over 60 years old.

\$4,000,000 R \$4,000,000 R

62 Additional Positions

Provides funds for three additional positions: Two positions will provide program development, training, and information referral related to serving Alzheimer's patients and their families. One position will be responsible for quality improvement, training, and technical assistance for local in-home aid and caregiver support service providers.

\$128,000 R \$256,395 R
3.00 3.00

63 Senior Centers

Provides funding to support existing senior centers and to assist in the development of new senior centers.

\$750,000 NR

(17.00) Division of Child Development**64 Child Care Regulatory Services**

Provides additional regulatory staff positions to reduce caseloads and accommodate growth in number of regulated child care programs.

\$248,253 R \$268,192
6.50 6.50

65 TEACH Program Expansion

Provides funding for the expansion of the Teacher Education and Compensation Helps (TEACH) Program which provides education and compensation incentives for child care teachers.

\$422,000 R \$422,000 R

66 Smart Start Expansion

Provides direct services funds for local partnerships, including the 12 "Year 4" partnerships, and planning funds for the remaining unfunded counties.

\$21,408,625 R \$25,298,838 R
\$850,000 NR

(18.00) Division of Vocational Rehabilitation**67 Independent Living Rehab. Program Expansion**

Provides additional funding and staff to alleviate the backlog of client needs at program offices statewide. Any funds for the purpose of new positions, not expended in 1997-98 for these positions, may be used for one-time service purchases for clients waiting for services.

\$1,200,000 R \$1,200,000 R
10.00 10.00

(19.00) Division of Youth Services**68 Gatling Detention Center Expansion**

Provides funding for construction and improvement to the Gatling Juvenile Detention Center to house female juveniles.

\$1,127,850 NR

Senate Subcommittee on Human Resources

69 Community-Based Alternatives Expansion	\$1,250,000	R	\$2,500,000	R
Provides funding to expand restitution programs statewide and to strengthen existing restitution and community services programs.				
70 Support Our Students Expansion	\$1,000,000	R	\$1,000,000	R
Provides funding to expand the program to 12 new counties and for limited expansion of existing programs.				
Total Legislative Changes	(\$53,293,592)	R	(\$70,820,782)	R
	(\$37,378,150)	NR	\$0	NR
Position Changes	16.50		16.50	
Revised Budget	\$2,296,191,669		\$2,471,298,513	

Judicial

GENERAL FUND

	FY 97-98		FY 98-99	
Recommended Continuation Budget	\$310,944,929		\$316,264,917	
Legislative Changes				
1 Salary Reserve Funds	(\$1,500,000)	R	(\$1,500,000)	R
Reduce Department's available salary reserve funds - these funds are generated by filling positions at a salary less than the budgeted salary authorized by the General Assembly.				
2 Wayne Dispute Settlement Center Funds	(\$5,000)	R	(\$5,000)	R
Eliminate state funds for the Wayne Dispute Settlement Center since the Center discontinued operations on July 1, 1995.				
3 Indigent Defense Funds	(\$1,961,789)	R	(\$2,415,236)	R
Based on projections completed in September 1996, the Judicial Department anticipated needing an additional \$6,073,533 in FY 1997-98 and \$8,750,380 in FY 1998-99 for legal counsel of indigent defendants. These projections were updated in March 1997 and the Department determined expenditures in capital cases were lower than originally anticipated and that receipts from indigents were being collected at a higher rate. It is estimated that increases of \$4,111,744 in FY 1997-98 and \$6,335,144 in FY 1998-99 are more likely which allow for a reduction of \$1,961,789 and \$2,415,236 respectively.				
4 Mecklenburg Drug Court Program	(\$13,394)	R	(\$13,394)	R
Reduce the net State appropriation for this program by increasing receipts received from Mecklenburg County to reflect the 25% local match.				
5 Drug Treatment Court Pilot Program			(\$52,551)	R
Eliminate funding for the Program Administrator position in FY 1998-99 since the program sunsets on June 30, 1998.				
			-1.00	
6 Victim Witness Assistants	\$2,345,707	R	\$3,101,098	R
Provide funds for 91 additional Victim Witness Assistant positions in District Attorneys Offices due to current workload, additional workload anticipated due to implementing the Victim Rights Constitutional Amendment, and to achieve the goal of 1 Victim Witness Assistant for every 3 prosecutors.				
	\$444,080	NR		
	91.00		91.00	
7 Equipment Replacement	\$354,236	R	\$354,236	R
Statewide funding to replace worn and outdated office equipment, computer equipment, and other related areas.				
	\$4,129,674	NR		

Senate Subcommittee on Justice and Public Safety

8 Drug Court Program	\$299,792	R	\$399,723	R
Funds to implement an additional Drug Court Program in order to expedite felony drug cases. These funds will be used to hire one permanent deputy clerk and legal assistant position along with contractual funds for assistant district attorneys, assistant public defenders, judges, and court reporters. Funding will be matched by 25% local funds.	2.00		2.00	
9 Access to Civil Justice				
Provide additional funds to the North Carolina Bar for representation of indigent defendants in civil cases.	\$1,000,000	NR		
10 Center for Death Penalty Litigation				
Provide additional funds to the Center for Death Penalty Litigation who provides training, consultation, brief banking, and other assistance to attorneys representing indigent capital defendants.	\$250,000	NR		
11 Guardian Ad Litem Attorney and Training Manual	\$60,615	R	\$67,027	R
Provide funds to add one attorney who would serve as a central resource for Guardian Ad Litem contract attorneys. Most of the nonrecurring funds would be used to develop, publish, and distribute a Guardian Ad Litem abuse and neglect litigation manual for attorneys throughout the state and to conduct follow-up training. The attorney position is effective 10/1/97. This item was recommended by the Guardian Ad Litem Study Commission.	\$10,087	NR		
	1.00		1.00	
12 Software Upgrades	\$330,000	R	\$330,000	R
Provide funds to purchase database conversion software and to upgrade existing software for systems statewide.	\$225,000	NR		
13 Replace Telephone Systems	\$36,000	R	\$36,000	R
Funds to replace 12 worn and outdated telephone systems in FY 1997-98.	\$360,000	NR		
14 Reserve for Technology	\$993,487	R	\$1,819,689	R
Funds to establish a reserve for technology that includes funding for new automated systems (e.g. magistrate criminal information system); expansion of existing systems (e.g. district attorney/public defender automated case management system), and new technology support personnel. (Special provision requires task force study and report prior to expenditure of funds).	\$4,077,020	NR		
	22.00		22.00	
15 Data Center Upgrades	\$125,000	R	\$125,000	R
Funds for additional frame relay lines which allow data to be transmitted more efficiently between the central criminal information system and offices statewide.				
16 LAN Connectivity Funds				
Provide funds to connect existing local area networks in Mecklenberg County to allow for sharing of information between court officials.	\$50,000	NR		

Senate Subcommittee on Justice and Public Safety

17 Laptop Computers	\$24,000	R	\$24,000	R
Provide funds in FY 1997-98 to purchase 40 laptop computers for superior and district court judges.	\$240,000	NR		
18 Assistant District Attorneys	\$1,969,200	R	\$2,623,920	R
Provide funding for 40 additional Assistant District Attorney positions effective 10/1/97.	\$39,600	NR		
	40.00		40.00	
19 Magistrate Positions	\$124,840	R	\$165,010	R
Provide funds for 5 additional magistrate positions to be located in the following counties -- Edgecombe, Wayne, Wilson, Franklin, and Durham. All positions are effective 10/1/97.	\$26,135	NR		
	5.00		5.00	
20 Clerks of Superior Court	\$951,750	R	\$1,267,650	R
Provide funding for 50 additional deputy clerk positions effective 10/1/97.	\$55,450	NR		
	50.00		50.00	
21 District Court Judges	\$668,344	R	\$862,680	R
Provide funds for 8 additional District Court Judges to be located in Districts 15B, 11, 10, 22, 3B, 19C, 4, and 13. All positions are effective 10/1/97.	\$73,800	NR		
	8.00		8.00	
22 Court Reporters in Superior Court	\$331,160	R	\$441,240	R
Provide funds to reestablish 8 vacant positions eliminated in 1995 and to establish 2 new positions to meet court reporting needs in superior court. All positions are effective 10/1/97.	\$6,180	NR		
	10.00		10.00	
23 Criminal Case Docket Management	\$322,774	R	\$401,310	R
The District Attorney criminal case docket management program was funded as a pilot project in 2 districts in FY 1995-97. This program is designed to help reduce case backlog and to move cases more quickly through the court system. The FY 1997-99 funding makes the 2 pilot programs permanent (Cumberland -- District 12 and Bladen/Brunswick/Columbus -- District 13) and expands the program into eight additional districts.	\$46,672	NR		
	10.00		10.00	
24 Transfer Indigent Defense Funds for Assistant PD's	\$0	R	\$0	R
Allows the Department to transfer up to \$401,464 in FY 1997-98 and \$524,384 in FY 1998-99 from the Indigent Persons' Attorney Fee Fund to fund 8 additional Assistant Public Defender positions. All positions are effective 10/1/97.	8.00		8.00	
25 Juvenile Court Counselors	\$1,260,665	R	\$1,679,370	R
Provide funds for additional intake, probation / aftercare, and intensive juvenile court counselors to assist in getting caseloads to a more manageable level.	\$46,025	NR		
	35.00		35.00	
26 Additional Supply Funds	\$100,000	R	\$100,000	R
Provide additional funds for general office supplies which would be distributed statewide based on need.				
27 Community Penalties	\$500,000	R	\$500,000	
Provide funds to expand the Community Penalties Program to 4 additional districts. This extends the program statewide.				

Senate Subcommittee on Justice and Public Safety

28 Arbitration Program	\$99,232	R	\$164,329	R
Provide funds to expand the superior court arbitration program to 5 additional districts. The program would be operational in 30 of 45 superior court districts.	\$10,710	NR		
	2.00		2.00	
29 Custody Mediation Program	\$250,000	R	\$250,000	R
Provide funds to expand the custody mediation program to 8 additional district court districts. The program would be operational in 25 of 39 districts.				
30 Annual Recidivism Study	\$4,000	R	\$4,000	R
Provide funds for the Sentencing and Policy Advisory Commission to cover the operating expenses of researching and publishing an annual report on offender recidivism.				
31 Salary Bonus for Assistant and Deputy Clerks	\$750,526	NR		
Provide funds for a one-time 3% salary bonus for assistant and deputy clerks who are at the top of their salary ranges, and who would otherwise not receive salary increases.				
32 District Court Legal Assistant	\$31,879	R	\$31,879	R
Provide funds for a legal assistant position to be assigned to the Chief District Court Judge in District 9A. The position is effective 7/1/97.	\$5,659	NR		
	1.00		1.00	
Total Legislative Changes	\$7,702,498	R	\$10,761,980	R
	\$11,846,618	NR		
Position Changes	285.00		284.00	
Revised Budget	\$330,494,045		\$327,026,897	

Justice

GENERAL FUND

	FY 97-98		FY 98-99	
Recommended Continuation Budget	\$61,166,247		\$63,233,877	
Legislative Changes				
33 SBI - Vehicle Replacement	(\$317,500)	R	(\$78,000)	R
Replace vehicles for the State Bureau of Investigation on a schedule of 80,000 miles for automobiles and 90,000 miles for trucks. 25 fewer vehicles will be replaced in FY 1997-98 and 11 fewer vehicles in FY 1998-99. The net reduction includes increasing maintenance expenses by \$2,000 per automobile and \$3,000 per truck, as well decreasing expected receipts due to the sale of fewer vehicles.				
34 Criminal Justice Information Network	\$2,000,000	NR		
Continue funding of the Criminal Justice Information Network. These funds will be used to continue developing data sharing standards and to upgrade the Automatic Fingerprinting Identification System.				
35 SBI Pay Equity - One Time Adjustment	\$808,645	R	\$808,645	
Fund \$808,645 for each year of the biennium to provide a salary adjustment for sworn law enforcement positions within the State Bureau of Investigation.				
36 Consumer Protection Attorney	\$51,637	R	\$68,850	R
Fund an Attorney III position to handle antitrust cases in the Citizens' Rights Division, effective 10/1/97.	\$6,000	NR		
	1.00		1.00	
37 Capital Litigation Unit	\$190,718	R	\$264,290	R
Fund 2 Attorney IV positions and 1 Processing Assistant position in the Capital Litigation Unit of the Criminal Division, effective 10/1/97.	\$15,060	NR		
	3.00		3.00	
38 Legal Services --Information Systems	\$297,239	NR		
Provide funds to complete the Department's transition to a local area network (LAN).				
39 Training and Standards Attorney	\$58,116	R	\$58,116	R
Fund an Attorney II position to represent the Department of Correction on correctional officer certification issues before the Training and Standards Commission. Position is currently funded by a contractual arrangement with the Department of Correction.				
	1.00		1.00	

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40 General Administration Positions	\$63,567	R	\$84,756	R
Fund one Paralegal II as a research technician for the Legal Services Division and one Accounting Technician III in the Administrative Services Division. Both positions are effective 10/1/97.	\$9,240	NR		
	2.00		2.00	
41 NC LEAF Funds				
Provide funding to the NC Legal Education Assistance Foundation to assist with loan repayment for public service attorneys.	\$100,000	NR		
42 SBI-DCI Technology Improvements and Staff	\$129,786	R	\$173,048	R
Provide funds to establish five new positions, effective October 1, 1997, within the Division of Criminal Information of the State Bureau of Investigation. Positions funded will include one computer consultant, two fingerprint technicians, and two processing assistants. Provide \$77,500 in nonrecurring funds to procure an uninterrupted power supply unit, and \$50,000 to begin development of a disaster recovery plan for the Division's computer system.	\$158,000	NR		
	5.00		5.00	
43 SBI Field Agents	\$291,402	R	\$388,536	R
Provide funds to hire eight new field agents for the State Bureau of Investigation. Recurring funds in the first year are based on 10/1/97 hiring date.	\$138,712	NR		
	8.00		8.00	
44 Reserve for Sex Offender Registry	\$31,300	R	\$31,300	R
Provide funds to develop various changes to the current Sex Offender Registration Program and associated automated files. Funds will allow for the hiring of consultants to develop the program as well as to provide for the purchase of computer hardware and software.	\$134,025	NR		
	1.00		1.00	
45 Safe Neighborhoods Initiative	\$200,000	R	\$218,180	R
Funds to continue the Safe Neighborhoods Initiative that assists communities with community policing and other crime prevention programs, starting August 1, 1997. The program is supported with federal funds through July 1997.				
	4.50		4.50	
46 DARE Expansion Funds				
Provide funds to the State Bureau of Investigation to train additional local law enforcement officers to bring the Drug Abuse Resistance Education Program (DARE) into 7th and 9th grade classrooms.	\$113,300	NR		
Total Legislative Changes	\$1,507,671	R	\$2,017,721	R
	\$2,971,576	NR		
Position Changes	25.50		25.50	
Revised Budget	\$65,645,494		\$65,251,598	

Correction

GENERAL FUND

	FY 97-98	FY 98-99
Recommended Continuation Budget	\$889,010,472	\$925,266,138

Legislative Changes

- 47 Out of State Housing of Inmates** (\$16,557,256) R (\$20,819,756) R
- The Governor recommended eliminating all funds in the continuation budget for housing inmates out of state on the premise that the inmates would be returned to North Carolina prisons at the end of 1996-97. However, upon further review, it was determined that inmates will not be returned until December, 1997 due to lack of State prison bedspace. The net result is that an additional \$4,262,500 is needed to fund out of state contracts so only \$16.557 million can be reduced the first year of the biennium.
- 48 County Jail Contracts** (\$5,818,800) R
- The Governor recommended eliminating continuation funding for contracting with county jails to house State inmates on the premise that adequate bedspace would be available in State prisons in 1997-98. However, upon further review the Subcommittee noted that adequate bedspace will not be available until the end of 1997-98. Therefore, the \$5,818,800 in the continuation budget is needed in 1997-98 for county jail contracts.
- 49 Reserve for Private Beds** (\$2,900,000) NR
- One-time reduction in the operating reserves for the two 500 bed private prisons. Delays in signing the final contracts have pushed back prison completion dates and delayed the need for a portion of the 1997-98 funding. Full funding is authorized in the 1998-99 budget.
- 50 Reserve for Operating New Prisons** (\$9,500,000) NR
- One-time reduction in 1997-98 operating reserves due to delays in completion of the Avery/Mitchell prison and the Albemarle Prison. Both prisons are now scheduled for completion in October, 1998.
- 51 Reduction in Inmate Food Budget** (\$5,000,000) R (\$5,000,000) R
- The Governor recommended a \$5,000,000 reduction in the inmate food budget due to system efficiencies that have lowered the cost per meal.

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52 Reserve for Repeal of Prison Cap

(\$500,000) R (\$500,000) R

In 1995, in anticipation of inmates staying in prison longer due to repeal of the prison cap, the General Assembly authorized funding for DOC to add prison beds -- both inside and outside of the State prison system. The funds remaining in the reserve, approximately \$2.1 million dollars, are for operating additional beds at Pasquotank, Central Prison and Marion. The reduction is based on over-estimating the total amount of funds needed when the budget was originally projected in 1995.

53 Adjust Operating Budget for Probation and Parole

(\$3,500,000) R (\$3,500,000) R

The operating budget (travel, telephones etc) was adjusted to a more realistic level of need.

54 Title VII Program

(\$150,000) R (\$150,000) R

In response to a federal lawsuit, the 1995 General Assembly funded 17 positions to implement and monitor improved recruitment, hiring, and promotional practices for females in the Department of Correction. The program has been fully implemented and reductions in positions and support are possible based on documented improvements in personnel practices.

-2.00 -2.00

55 Further Reduction in Inmate Food Cost

(\$1,655,896) R (\$3,675,559) R

The Subcommittee further reduced the inmate food budget due to a more realistic projection of inmate average daily population during the 1997-99 biennium and to anticipated system efficiencies (e.g. statewide automation of food inventory system; newly standardized menus) that have lowered the cost per meal to 83 cents.

56 Reduce Staff at Parole Commission

(\$116,522) R (\$116,522) R

Eliminate vacant positions at the Post-Release Supervision and Parole Commission due to declining numbers of inmates eligible for parole.

-4.00 -4.00

57 Reserve -- Repeal Prison Cap -- Further Reduction

(\$79,568) R (\$79,568) R

The Subcommittee identified additional funds that should have been deleted from the Prison Cap reserve. Total reduction will be \$579,568.

58 Reduce Reserves for Structured Sentencing

(\$572,755) R (\$658,963) R

Reduction in amount in reserves for new probation parole staff due to structured sentencing. The cost is reduced because a decline in the projected total supervised population and in the intensive population will necessitate fewer intensive teams being hired. The cost is also lowered to reflect a phase-in of intermediate punishment officers. Caseload averages for intermediate punishment officers will fall to 65:1 in 1998-9.

-14.00 0.00

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59 Title VII Program -- Further Reduction	(\$276,797)	R	(\$276,797)	
The Governor reduced this program by \$150,000, including two positions. The Subcommittee reviewed the program and took a reduction of 7 additional positions since the department has made significant progress in hiring, recruitment and promotion of females. The program will retain eight positions to monitor department wide progress. (Span of Control Study)	-7.00		-7.00	
60 Modular Housing -- Reduce Reserve	(\$543,132)	NR		
One-time reduction in reserve in 1997-98 due to delays in purchasing and siting modular housing units.				
61 Operating Cost for Inmate Work Crews	(\$70,000)	NR		
Fourteen inmate work crews are to be established at prison units where modular housing will be placed. Delays in siting modular units will delay starting the work crews so a one-time reduction in operating costs can be taken.				
62 Custody and Classification Unit	(\$219,935)	R	(\$519,935)	R
Reduce staffing from 17 positions to 10 since this unit will no longer be handling classification and movement of inmates housed out of state after inmates are returned to State prisons in December, 1997. The 1998-99 reduction includes elimination of \$300,000 for airfare for returning inmates from out of state prisons since they will be returned in 1997-98. (Span of Control Study)	-7.00		-7.00	
63 Private Prisons -- Substance Abuse Reserve	\$0	R	\$0	R
The General Assembly authorized funding in 1994 to contract for 500 private prison treatment beds. To date, only contracts for 350 of the beds have been awarded. DOC indicates that with the impact of Structured Sentencing, it will be difficult to keep 500 minimum security pre-release treatment beds full. It is recommended that \$1.2 million of the \$2.7 million in 1997-98 funding (approximately 70 of 150 beds) be diverted to offender aftercare programs (primarily substance abuse aftercare) that were funded in 1996-97 on a pilot basis only (See Special Provision).				
64 Prison Operating Reserve -- 87.5 Million Bond	(\$1,326,927)	R	(\$1,326,927)	R
Reduce positions and operating support for prisons constructed with funds from 1993 Bond. Reductions are based on reducing management levels and increasing employee to supervisor ratios as recommended in the Span of Control Study.	(\$1,288,633)	NR		
	-14.00		-14.00	
65 Prison Operating Reserves	(\$1,436,110)	R	(\$1,436,110)	R
Two actions were taken to reduce this reserve - recurring reductions based on the Span of Control Study and non-recurring reductions based on delays in prison completion (thus pushing back staff hiring dates).	(\$548,779)	NR		
	-30.00		-30.00	
66 Span of Control Study -- Department wide	(\$1,059,349)	R	(\$1,059,992)	R
Eliminate 18 positions department-wide. These positions were identified as part of the Span Of Control Study.	-18.00		-18.00	

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67 Polk Youth Institution Reduce inmate operating costs for new prison (food, clothing, medical).	(\$1,320,181)	R	(\$1,320,181)	R
68 Private Prisons Reserve -- Further Reduction The Governor reduced this reserve by (\$2,900,000) due to delays in signing private prison contracts which changed construction completion dates. The Subcommittee took an additional one-time reduction based on a revised completion date for the two 500 bed facilities. Dates were changed from 2/98 to 5/98 for Pamlico and 5/98 to 8/98 for Avery/Mitchell. The construction/lease contract has now been signed.	(\$1,646,952)	NR	(\$641,824)	NR
69 Reimbursement for Housing Aliens Correction receives funding from the federal government for housing inmates who are illegal aliens. The DOC anticipates additional federal funds so the General Fund can be reduced to reflect increased receipts.	(\$670,000)	R	(\$670,000)	R
70 Salary Reserve Reduce salary reserve (funds generated by filling positions at salaries lower than budgeted).	(\$628,000)	R	(\$628,000)	R
71 Health Services Budget Based on revised projections of the average daily prison population, the Correction Health Services budget can be reduced.	(\$2,357,972)	R	(\$3,088,686)	R
72 Close GPAC Prison Units The General Assembly has closed or converted 16 of the 30 prison units originally identified in the GPAC study as being costly and inefficient to operate. Of the remaining 14 units, three units are too costly to operate -- Davie (\$89.40 per day in 1995-96 compared to statewide average of \$62 for medium security units); Haywood (\$61.53 per day compared to statewide average of \$47.87 for minimum security units); and, Henderson (\$70.45 compared to statewide average of \$47.87). Closings would be effective September 1, 1997.	(\$3,302,599)	R	(\$3,964,705)	R
	-117.00		-117.00	
73 Guilford Diagnostic Center Due to declining prison admissions, the General Assembly closed one inmate intake and diagnostic center in 1996-97 -- Southern Reception Center. The decline in admissions has continued and one additional diagnostic center is recommended for closing.	(\$112,510)	R	(\$133,275)	R
	-5.00		-5.00	
74 Title VII Program -- Reserve Fund The General Assembly authorized a \$5.5 million dollar reserve for payment to claimants as part of the settlement of the Title VII lawsuit. It now appears that it will be at least 1998-99 before all claims are received so the amount needed to pay claims cannot be determined at this time.	(\$5,500,000)	NR		
75 Salary Reserve -- Further Reduction This additional reduction would still leave Correction with approximately 25% of their salary reserve.	(\$872,000)	R	(\$872,000)	R

Senate Subcommittee on Justice and Public Safety

76 Additional Supervision for Sex Offenders	\$672,699	R	\$896,932	
Additional Probation/Parole Staff (17 officers, 2 Chief Officers and 2 Clerks) to supervise sex offenders at a caseload average of 60:1. All positions effective October 1, 1997.	21.00		21.00	
77 Additional Road Squads	\$625,351	R	\$781,689	R
Funding to add additional medium security inmate road squads at prisons recently completed or opening in 1997-98. These road squads will be assigned to work with the Department of Transportation to help maintain the state's highways. The recurring funds are for correctional officers to supervise these medium security inmates. (Ratio of 1 officer for every four inmates)	\$400,000	NR		
	27.00		27.00	
78 ECO, Inc. Aftercare Program				
Funds to establish a transitional residential program in Mecklenburg County for women leaving prison. The program will be run by Energy Committed to Offenders, Inc.	\$100,000	NR		
79 Reserve for Enhanced Criminal Penalties				
Establish a reserve for funding additional prison beds in 1998-99 due to enhanced criminal penalties as proposed by the Sentencing Commission. Given several factors -- increased prison beds needed due to these enhanced penalties; fluctuations in prison population projections; and, the need to maintain prison capacity at a reasonable level (at or below 130% of capacity) -- it was determined a reserve was necessary.			\$500,000	NR
Total Legislative Changes	(\$40,416,327)	R	(\$53,937,155)	R
	(\$21,497,496)	NR	(\$141,824)	NR
Position Changes	-170.00		-156.00	
Revised Budget	\$827,096,649		\$871,187,159	

Crime Control and Public Safety

GENERAL FUND

	FY 97-98		FY 98-99	
Recommended Continuation Budget	\$33,548,678		\$33,530,244	
Legislative Changes				
80 Administration -- Span of Control	(\$68,510)	R	(\$68,510)	R
Reduces funds for internship by \$34,124 (Student Temporary Wages). Reduces salary reserves by \$12,804. Eliminates \$21,582 for Indirect Cost Receipts. All of these reductions were based on recommendations by the Span of Control Study.				
81 Emergency Management -- Span of Control	(\$17,202)	R	(\$17,202)	R
Reduce funding of Hazardous Materials Response Teams to local governments by \$17,202 to amount actually needed (\$382,798 remains in continuation budget).				
82 Victim & Justice Services -- Span of Control	(\$46,604)	R	(\$46,604)	R
Eliminate 1 supervisory position based on recommendations of the Span of Control Study.				
	-1.00		-1.00	
83 Governor's Crime Commission -- Span of Control	(\$43,797)	R	(\$43,797)	R
Reduce state agency matching funds for use by the Department of Crime Control and Public Safety or other state agencies to match Governor's Crime Commission Grants (\$150,398 remains in continuation budget).				
84 Crime Prevention -- Span of Control	(\$96,419)	R	(\$96,419)	R
Eliminate 2 Community Development Specialists positions as recommended by the Span of Control Study.				
	-2.00		-2.00	
85 Drug Enforcement - State Agency Match	(\$70,398)	R	(\$70,398)	R
Reduces funds by \$70,398 for grant matching monies that other State departments and agencies use for Governor's Crime Commission grants relating to drug enforcement. (\$80,000 remains in the continuation budget for the Department of Crime Control and Public Safety to use as their matching funds for grants.)				
86 Additional Community Service Coordinators	\$118,999	R	\$158,665	R
Fund 5 additional community service work program coordinators to be allocated to the districts with the highest caseload averages. All positions are effective 10/1/97.				
	\$15,830	NR		
	5.00		5.00	

Senate Subcommittee on Justice and Public Safety

87 CJIN Hardware/Software

Provide \$156,600 of recurring funds to lease equipment space and telephone lines at 30 new tower sites along the interstate highway system. Provide \$2,250,000 of nonrecurring funds to establish Data Ready Base Stations, Base Station Antennas, and RF Transmission Facilities for 30 new tower sites along the interstate highway system. Also provide \$1,700,000 in nonrecurring funds for the purchase of 200 Mobile Data Computers for Highway Patrol vehicles. These computers will be added to patrol vehicles that are stationed along the interstate highway system. (All funds for these portions of the Criminal Justice Information Network project are provided by the Highway Fund.)

\$0 R

\$0 R

\$0 NR

\$0 NR

88 Emergency Management Personnel

Provides funding for four new positions within the Division of Emergency Management. These four positions include two Planner I positions, a Budget/Finance Officer position, and a Disaster Recovery Manager. Positions are effective October 1, 1997.

\$120,086 R

\$160,118 R

\$36,000 NR

4.00

4.00

Total Legislative Changes

(\$103,845) R

(\$24,147) R

\$51,830 NR

\$0 NR

Position Changes

6.00

6.00

Revised Budget

\$33,496,663

\$33,506,097

Housing Finance Agency

GENERAL FUND

	FY 97-98	FY 98-99
Recommended Continuation Budget	\$2,300,000	\$2,300,000
Legislative Changes		
Housing Finance Agency		
1 Housing Trust Fund		
Support for the Housing Trust Fund.	\$5,000,000	NR
Total Legislative Changes	\$5,000,000	NR
Position Changes		
Revised Budget	\$7,300,000	\$2,300,000

Agriculture

GENERAL FUND

	FY 97-98		FY 98-99	
Recommended Continuation Budget	\$49,480,730		\$49,688,983	
Legislative Changes				
Agricultural Finance Authority				
2 Reduce General Fund Appropriation	(\$10,000)	R	(\$10,000)	R
Reduce the General Fund appropriation to the Authority by utilizing the interest income earned by the Farm Reserve Loan Fund for the Authority's operating expenses.				
Agronomic Services				
3 Agronomic Advisory Services	\$314,767	R	\$445,117	R
Funds to increase waste disposal and water management services provided by the Agronomics Division to meet the demand for increased technical assistance from regional agronomists and section chiefs.				
	\$217,151	NR		
	7.00		8.00	
Food and Drug Protection				
4 Food Related Facilities Funds	\$113,994	R	\$113,994	
Funds to continue support for inspection of food processing facilities, food storage warehouses, retail stores, and medicated livestock-feed manufacturing facilities.				
	4.00		4.00	
Grants-in-Aid				
5 Fair and Exhibition Center				
Funds for a fair and exhibition center.				
	\$1,500,000	NR		
6 Stanly County Agri-Center				
Funds for the Stanly County Agri-Center.				
	\$50,000	NR		
7 Haywood County Agri-Center				
Funds for the Haywood County Agri-Center.				
	\$200,000	NR		
8 North Carolina Tobacco Museum				
Grant-in-aid to support the North Carolina Tobacco Museum in Kenly.				
	\$25,000	NR		

Senate Subcommittee on Natural and Economic Resources

Maritime Museum

9 Transfer Maritime Museum to Cultural Resources

(\$792,227) R (\$786,713) R

Transfer positions, equipment, and operating support for the North Carolina Maritime Museum to the Department of Cultural Resources.

-17.25 -17.25

	FY 1997-98	FY 1998-99
Requirements	\$792,527	\$787,013
Receipts	(\$ 300)	(\$ 300)
Appropriation	\$792,227	\$786,713

Marketing

10 Grant-in-Aid

\$37,000 R \$37,000 R

Increase grant-in-aid to the Western North Carolina Development Association.

Plant Industry - Plant Protection

11 Bee Regulatory Support

\$170,212 R \$170,212 R

Funds for expanded regulatory support to protect the North Carolina bee and honey industry from parasitic mites, bee disorders and the Africanized bee.

\$45,894 NR
2.00 2.00

Total Legislative Changes

(\$166,254) R (\$30,390) R

\$2,038,045 NR

Position Changes

-4.25 -3.25

Revised Budget

\$51,352,521 \$49,658,593

Labor

GENERAL FUND

	FY 97-98	FY 98-99
Recommended Continuation Budget	\$16,068,855	\$15,986,184

Legislative Changes

Boiler and Pressure Vessel Bureau

12 Expand Inspection Staff with Receipt Support

Adds four boiler and pressure vessel inspection staff to conduct safety inspections in accordance with the inspection intervals required in statute. Funding support will be provided with increased receipts generated by inspections conducted with these additional positions.

	FY 1997-98	FY 1998-99
Requirements	\$ 211,316	\$ 196,316
Receipts	(211,316)	(196,316)
Appropriation	0	0

Elevator and Amusement Device Bureau

13 Expand Inspection Staff with Receipt Support

Adds four elevator inspection staff and one administrative support position to conduct safety inspections -- at six month intervals -- of all elevators in the state. Funding support will be provided with increased receipts generated by these positions assisting in the increased frequency of elevator inspections from an average of every 10 months to every 6 months.

	FY 1997-98	FY 1998-99
Requirements	\$ 248,061	\$ 228,361
Receipts	(248,061)	(228,361)
Appropriation	0	0

Information Office

14 1-800-LABOR-NC

\$12,000	R	\$14,500	R

Provide additional operating support for the Department's toll-free telephone number.

OSHNC Division

15 Transfer Enforcement Positions to Federal Support

(\$192,546)	R	(\$192,546)	R

Shifts six OSH Enforcement Positions from 100% state funded support to a combination of 50% federal and 50% state funded support.

-6.00		-6.00	

Senate Subcommittee on Natural and Economic Resources

Total Legislative Changes	(\$180,546)	R	(\$178,046)	R
Position Changes	-6.00		-6.00	
Revised Budget	\$15,888,309		\$15,808,138	

Environment, Health, and Natural Resources

GENERAL FUND

	FY 97-98		FY 98-99	
Recommended Continuation Budget	\$253,269,888		\$252,502,338	
Legislative Changes				
(2.00) Environmental Education				
16 Environmental Education Grant Fund	\$200,000	R	\$200,000	R
Funds to provide grants to schools, community organizations and environmental education centers to develop environmental education libraries.				
(2.00) Forest Resources				
17 Reduce equipment	(\$200,000)	R	(\$200,000)	R
Reduce communications equipment budget.				
18 Defer Equipment Replacement	(\$500,000)	R	(\$500,000)	R
Defer replacement of certain property, plant and equipment items in forestry field operations.				
19 Increase Receipts	(\$120,000)	R	(\$120,000)	R
Increase receipts collected from counties. Counties share in the cost of forestry operations with a match based on the tax base of the county.				
20 Additional Fire Fighting Support	\$2,500,000	NR		
Funds for the provision of vehicles/equipment for forest fire fighting purposes.				
21 Rural Community Fire Protection Program	\$100,000	R	\$100,000	R
Funds to provide support to the Rural Community Fire Protection Program.				
22 Museum of Forestry	\$1,500,000	NR		
Grant-in-aid to Columbus County for planning and developing a Museum of Forestry.				
23 Lincoln/Gaston Helicopter Funds	\$282,560	NR		
Funds to cover the costs associated with preparing a federal surplus helicopter for fire control in Lincoln and Gaston Counties.				

Senate Subcommittee on Natural and Economic Resources

(2.00) Marine Fisheries

24 Moratorium Steering Committee	(\$25,000)	R	(\$25,000)	R

Eliminate funding for the Fisheries Moratorium Steering Committee, which submitted its final report to the Joint Legislative Commission on Seafood and Aquaculture in October 1996.

25 Reduce Marine Fisheries Patrol Equipment	(\$187,321)	R	(\$187,321)	R

Reduce equipment budget to reflect reduced equipment needs associated with the Marine Fisheries law enforcement expansion request funded in 1995, in accordance with the five year fiscal estimate submitted by the division.

26 Operating Reserve for Patrol Vessel			\$97,436	R

Fund vessel operating reserve for the 1998-99 fiscal year. The reserve is for a patrol vessel funded with a 1996-97 capital improvement appropriation and was omitted from the continuation budget by error.

(2.00) Museum of Natural Sciences

27 Grassroots Science Museums	\$100,000	R	\$100,000	R

Additional funds for Grassroots Science Museums.

\$2,550,000	NR

(2.00) Parks and Recreation

28 Reduce Operating Reserve	(\$300,000)	NR

Reduce operating reserve for State Parks System.

29 Adopt-a-Trails Program	\$50,000	NR

Nonrecurring funds to expand the Adopt-a-Trails grant program.

30 Law Enforcement Salary Adjustment	\$363,407	R	\$374,409	R

Funds to adjust the average salary of law enforcement officers in Parks and Recreation based on the average salary of law enforcement officers in the Division of Marine Fisheries and the Wildlife Resources Commission.

(2.00) Soil and Water Conservation

31 Agriculture Cost Share for Animal Waste Management	\$2,000,000	NR

Additional funds for the Agriculture Cost Share Program for Nonpoint Source Pollution Control to assist existing farming operations in obtaining approved animal waste management plans.

Senate Subcommittee on Natural and Economic Resources

32 Agriculture Cost Share County Technical Assistance

\$300,000 R \$300,000

Additional funds to reimburse counties up to 50% of the costs of providing technical assistance in the planning, design and installation of agricultural BMPs to improve water quality.

(3.00) Air Quality

33 Increase Receipts

(\$200,000) R (\$200,000) R

Increase the budgeted amount of program receipts and reduce the General Fund appropriation by an equal amount.

(3.00) Land Resources

34 Dam Safety Inspection and Assistance

\$255,336 R \$255,336 R

Funds to establish additional positions to increase the number of dam inspections and to provide technical assistance to owners in the areas of design and construction planning, maintenance, repairs, and emergency action plans.

\$19,000 NR
5.00 5.00

(3.00) Water Quality

35 Wastewater Treatment System Operator Training

Funds to provide training, certification and continuing education courses for wastewater treatment system operators for one year.

\$474,795 NR
5.00

36 Regional Wastewater Management

Funds to develop a regional wastewater collection, treatment and disposal system that uses innovative technology to reduce nutrient and organic loadings to surface waters.

\$1,000,000 NR

37 Federal Wastewater Assistance Matching Funds

Funds to provide the 20% state match required to receive federal wastewater assistance through US EPA's Water Pollution Control Program. Funds will be used to provide low interest loans to local units of government for wastewater construction and improvement projects.

\$4,001,775 NR

38 Basinwide Data Management System Development

Funds for a program delivery initiative to focus on consolidating all existing databases and facilitating access to environmental programs and data. Support staff and equipment needed to maintain the system will be phased in.

\$260,846 R \$375,153 R
1.00 3.00

39 Citizens' Monitoring Program

Funds to establish a citizens' water quality monitoring program. State program staff will be responsible for training volunteers in water quality monitoring and quality assurance activities, coordinating local efforts, and providing program oversight.

\$286,950 R \$286,950 R
\$6,900 NR
2.00 2.00

Senate Subcommittee on Natural and Economic Resources

(3.00) Water Resources

40 Regional Groundwater Management

\$150,000 R \$150,000 R

Funds for monitoring in the Black Creek and Upper Cape Fear aquifers and for maintenance of monitoring wells in the area. The effective date for these positions is October 1, 1997.

3.00 3.00

(4.00) Environmental Health

41 Assistance to Local On-Site Wastewater Programs

\$113,016 R \$113,016 R

Funds to provide additional training, technical assistance and regulatory oversight to local programs in the areas of plan review, septic tank design, manufacture, installation and performance.

\$11,900 NR 2.00 2.00

42 Straight Pipe Elimination Program

\$87,500 R \$87,500 R

Funds to provide additional staff to identify and eliminate straight pipe sewage and wastewater discharges and failing septic systems throughout the state.

\$12,500 NR 2.00 2.00

43 Evaluation of Septic Problems

Funds to evaluate the condition of septic tanks in the Neuse River Basin.

\$150,000 NR

44 Support for Local Environmental Health Programs

\$473,870 R \$473,870 R

Funds to provide training, continuing education and technical assistance to local environmental health specialists performing food, lodging and institution inspections, and other regulatory activities to ensure the sanitation and safety of swimming pools, spas and tattoo artists.

\$26,130 NR 8.00 8.00

45 Food Sanitation Training Funds

Funds to provide training and continuing education to persons who conduct food and lodging inspections for county health departments.

\$100,000 NR

46 Federal Water Supply Assistance Matching Funds

Funds to provide the 20% state match required to receive a federal capitalization grant through US EPA's Drinking Water State Revolving Fund Program. Funds will be used to provide low interest loans to public water systems for capital expenditures associated with drinking water regulations and compliance.

\$9,222,820 NR

47 Coastal Water Quality Monitoring

\$337,566 R \$337,566 R

Funds to develop and implement a program to monitor coastal area waters, rivers and sounds for contaminants to protect the public health of swimmers and other recreational users of the state's coastal waters.

\$59,500 NR 6.00 6.00

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48 Childhood Lead Exposure Control

Funds to expand the state's Childhood Lead Poisoning Prevention Program to emphasize compliance with maintenance standards to minimize lead hazards. Funds contingent upon passage of SB 806.

\$210,879	R	\$210,879
\$26,200	NR	
3.00		3.00

(4.00) Epidemiology

49 Alcohol Chemical Testing Certification Program

Reduce General Fund support for the alcohol chemical testing training and certification program to more accurately reflect actual expenditures.

(\$200,000)	R	(\$200,000)	R

50 Rabies Detection and Prevention Program

Funds to provide additional professional consultation and education services to help prevent the spread of rabies.

\$50,000	R	\$50,000	R
1.00		1.00	

(4.00) Health Promotion

51 Heart Disease & Stroke Prevention Awareness

Funds to develop and maintain a database of existing cardiovascular disease related services and to conduct a statewide media campaign to raise awareness about cardiovascular disease, as recommended by the Heart Disease and Stroke Prevention Task Force established in 1995.

\$170,500	R	\$170,500	R
\$29,500	NR		

52 Heart Disease Data Funds

Funds to begin the identification, coordination and assessment of existing cardiovascular databases within the state.

\$100,000	NR

53 Cancer Control Plan Implementation Funds

Funds to begin implementation of the North Carolina Cancer Control Plan prepared by the Advisory Committee on Cancer Coordination and Control.

\$500,000	NR

54 Osteoporosis Task Force

Funds to establish and support the Osteoporosis Task Force.

\$200,000	NR

55 Arthritis Program

Continue grant-in-aid for private local project providing services to arthritis patients in Mecklenburg County.

\$15,000	NR

56 State Games of North Carolina

Funds for the Governor's Council on Physical Fitness to support the State Games.

\$200,000	NR

(4.00) Maternal and Child Health

57 Maternal Outreach Worker Program

Funds to expand the maternal outreach worker program by providing funding for 63 additional home visitors employed by local service providers.

\$825,000	R	\$825,000	R
1.00		1.00	

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58 Children's Vision Screening Program	\$419,000	R	\$419,000	R
Funds to support a statewide training and certification program for school-based vision screeners. The department will contract with Prevent Blindness, Inc. to implement the program.				
59 Cochlear Implants	\$230,000	R	\$230,000	R
Funds for grant to UNC Board of Governors for the Carolina Communicative Disorders Program at the School of Medicine at UNC-Chapel Hill to provide cochlear implants and other communication devices for hearing impaired children.				
60 UNC Craniofacial Center	\$343,000	R	\$343,000	R
Funds for grant to UNC Board of Governors for the UNC Craniofacial Center at the School of Dentistry at UNC-Chapel Hill to provide diagnostic and treatment services for children with craniofacial anomalies.				
(5.00) Reserves and Special Funds				
61 Water Conservation and Infrastructure District	(\$500,000)	R	(\$500,000)	R
Nonrecurring funds appropriated for the District by the 1995 General Assembly were not eliminated from the 1997-99 continuation budget in error.				
62 Maintenance of Existing Water Quality Programs				
Reserve to maintain the existing level of staff in the Water Quality Section to continue water quality programs and activities at the current level of service for one year.				
63 Cape Fear River Assembly, Inc.				
Funds for programs to monitor and improve the water quality of the Cape Fear River.				
64 Roanoke-Pamlico Estuary System Water Quality				
Funds to establish a water quality monitoring program for the Roanoke-Pamlico Estuary System.				
65 Healthy Start Foundation				
Funds to provide statewide planning, promotion, and coordination for the First Step Campaign and to support other programs and activities aimed at reducing infant mortality.				
(6.00) Department Wide				
66 Salary Reductions/Share of Control	(\$2,335,425)	R	(\$2,335,425)	R
Represents the Department's allocated share of this overall reduction.				
(7.00) Wildlife Resources Commission				
67 South Mountains Gamelands Funds				
Funds to assist in the acquisition of gamelands for hunting, fishing, outdoor recreation, and conservation in the South Mountains.				

Total Legislative Changes	\$1,009,124	R	\$1,231,869	R
	\$33,288,580	NR		
Position Changes	39.00		36.00	
Revised Budget	\$287,567,592		\$253,734,207	

Commerce

GENERAL FUND

	FY 97-98		FY 98-99	
Recommended Continuation Budget	\$37,221,648		\$37,240,885	
Legislative Changes				
Administrative Services				
68 Budget Indirect Cost Receipts	(\$52,000)	R	(\$52,000)	R
Increase the budgeted amount of indirect costs receipts and reduce General Fund appropriation by an equal amount.				
Business and Industry Division				
69 Marketing Missions and Trade Shows	\$80,000	R	\$120,000	R
Increases funding support for the Division's participation at industry trade shows and for conducting national marketing missions.				
70 Fund Industrial Recruitment Competitive Fund	\$1,000,000	NR		
Provides nonrecurring funding support for the Industrial Recruitment Competitive Fund.				
71 Regional Office Staff Support	\$22,100	R	\$26,000	R
Provides an administrative support position for the Piedmont Triad Regional Office. The effective date of the position is September 1, 1997.				
	\$5,900	NR		
	1.00		1.00	
Community Assistance				
72 Reduce Councils of Government (COGs) Funding	(\$180,000)	R	(\$180,000)	R
Reduce General Fund support for Councils of Government/Lead Regional Organizations across the state.				
Department Wide				
73 Salary Reductions/Span of Control Study	(\$672,485)	R	(\$672,485)	R
Represents the Department's allocated share of this overall reduction.				
	-17.00		-17.00	
Economic Development Program				
74 Reduce Support for Data Processing & Subscriptions	(\$29,000)	R	(\$29,000)	R
Reduce funding support for data processing services, subscriptions, and membership dues.				

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75 New and Emerging Industry Planning

\$300,000 R \$300,000 R

Provides recurring funding for contracts and operating support to target high growth and emerging technology industries for recruitment to the state, promote technology transfer and entrepreneurship and to develop business support networks within the state.

Executive Aircraft

76 Reduce Repair Funds

(\$188,000) R (\$188,000) R

Reduce funding support for aircraft repair.

Film Office

77 Reduce Travel

(\$25,000) R (\$25,000) R

Reduce budget for board and nonemployee transportation.

Global TransPark Marketing

78 Reduce Support for Global TransPark Marketing

(\$150,000) R (\$150,000) R

Reduce General Fund transfer from the Department of Commerce to the Global TransPark Authority in the Department of Transportation for marketing the Global TransPark.

Industrial Commission

79 Budget Hearing Cost Receipts

(\$202,000) R (\$202,000) R

Increase the budgeted amount of the Commission's Hearing Cost receipts and reduce General Fund appropriations by an equal amount.

80 Case Management System

\$638,571 R \$638,571 R

Provides funds for operating and maintenance costs, staff support to maintain hardware and software, and to develop system applications.

\$17,700 NR
3.00 3.00

81 Temporary Positions

Provides nonrecurring funds to support temporary positions to assist with the Commission's backlog of claims, hearings, and appeals.

\$100,000 NR

82 Increase Industrial Commissioners Salaries

\$113,730 R \$113,730 R

Increases the salaries of the Industrial Commission's six Commissioners to the same amount as that for District Attorneys. Increases the salary of the Chairman of the Industrial Commission to the same amount as District Attorneys plus an additional \$1,500 per annum.

Industrial Finance Center

83 Increase Industrial Development Fund

Provides a \$1 million nonrecurring expansion for the Industrial Development Fund.

\$1,000,000 NR

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84 Fund Utility Account (Industrial Development Fund)

Provides nonrecurring funding support for economic development related water and sewer infrastructure projects in tier one enterprise counties.

\$2,000,000 NR

85 Increase Operating Support

Provides additional operating support for the Industrial Finance Center.

\$50,000 R

\$50,000 R

\$20,000 NR

International Trade

86 Global Offices

Funds to contract with representatives to expand the division's foreign offices into 4 new and emerging markets in and around South Africa, the United Kingdom, South America, and the Middle East.

\$300,000 R

\$300,000 R

87 Foreign Trade Shows

Increase recurring funding for trade shows and missions to expose small and medium sized companies to the international marketplace.

\$200,000 R

\$200,000 R

88 International Trade Specialists

Increase the number of international trade specialists to enhance the state's marketability to potential customers in "Big Emerging Markets" (BEM). The effective date of these positions is September 1, 1997.

\$180,736 R

\$196,044 R

\$12,000 NR
2.00

2.00

Reserves and Transfers

89 Reduce Support for the Regional Commissions

Reduces grant-in-aid support for the seven Regional Economic Development Commissions from \$4.68 million to \$4.455 million.

(\$225,000) R

(\$225,000) R

90 Year of the Mountain

Reserve for planning initiatives.

\$300,000 NR

91 Transfer to East Carolina University

Provides nonrecurring funds to be transferred to the UNC Board of Governors for East Carolina University to provide start-up and operating expenses to support the L.T. Walker International Human Performance Center.

\$350,000 NR

92 Institute for Aeronautical Technology

Provides \$1 million in nonrecurring funding for the Institute for Aeronautical Technology. Funds are to be used for planning, design, and land acquisition.

\$1,000,000 NR

93 Special Olympics 1999 World Summer Games

Nonrecurring funds to support the Special Olympics 1999 World Summer Games.

\$700,000 NR

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Travel and Tourism

94 Budget Additional Receipts	(\$12,000)	R	(\$12,000)	R
Increase the amount of budgeted receipts and reduce General Fund appropriation by an equal amount.				
95 Advertising Funds	\$1,000,000	R	\$1,000,000	R
Expand current promotional advertising funds and associated expenses to promote North Carolina as a travel destination.				
96 International Marketing	\$950,000	R	\$950,000	R
Funds to continue and expand the promotion of North Carolina as a travel destination in the international arena.				
97 1-800-VISIT-NC	\$250,000	NR		
Additional funds for the tourism hotline operated at Women's Prison.				
98 Rural Tourism Development Funds	\$300,000	NR		
Funds to support the Rural Tourism Development Grants program.				
99 Heritage Tourism	\$300,000	R	\$300,000	R
Funds to operate small scenic attractions of historic value to the state.				
	6.00		6.00	
Wanchese Seafood Industrial Park				
100 Restore Governor's Reduction	\$122,594	R	\$122,594	R
Restore Governor's recommended continuation budget reduction in funding and positions.				
	3.00		3.00	
Total Legislative Changes	\$2,522,246	R	\$2,581,454	R
	\$7,055,600	NR		
Position Changes	-2.00		-2.00	
Revised Budget	\$46,799,494		\$39,822,339	

State Aid to Non-State Entities

		GENERAL FUND	
		FY 97-98	FY 98-99
Recommended Continuation Budget		\$1,475,000	\$1,475,000
Legislative Changes			
Grants-in-Aid			
101 Land Loss Prevention Project	(\$275,000) R	(\$275,000)	R
Eliminate recurring appropriation for the Land Loss Prevention Project and defer consideration to expansion.			
102 Coalition of Farm and Rural Families	(\$145,000) R	(\$145,000)	R
Eliminate recurring appropriation to the Coalition of Farm and Rural Families and defer consideration to expansion.			
103 Institute of Minority Economic Development	(\$780,000) R	(\$780,000)	R
Eliminate recurring appropriation and defer consideration to expansion.			
104 North Carolina Minority Support Center	(\$275,000) R	(\$275,000)	R
Eliminate recurring appropriation and defer consideration to expansion.			
105 Land Loss Prevention Project	\$300,000 NR		
Funds to provide free legal representation to low-income, financially distressed farmers.			
106 Coalition of Farm and Rural Families	\$250,000 NR		
Funds to foster economic development within the state's rural farm communities by offering marketing and technical assistance to small and limited resource farmers.			
107 Institute for Minority Economic Development	\$830,000 NR		
Funds to foster economic development within the state through policy analysis, information and technical assistance, resource expansion, and support of community-based initiatives.			
108 North Carolina Minority Support Center	\$375,000 NR		
Funds to provide technical assistance to community-based minority credit unions.			
109 Technological Development Authority	\$3,000,000 NR		
Grant-in-aid to the N.C. Technological Development Authority, Inc. for entrepreneurial support and infrastructure including creating new incubators, enhancing existing incubators, developing capital formation initiatives, supporting research commercialization programs and matching federal grant and loan funds.			

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110 World Trade Center North Carolina

Grant-in-Aid to the World Trade Center North Carolina to support international trade education programs to small and medium sized businesses.

\$300,000 NR

111 Center for Community Self-Help

Provide funds to further a statewide program of lending for home ownership.

\$5,000,000 NR

112 Yadkin/Pee Dee Lakes Project

Funds to the Yadkin/Pee Dee Lakes Project, Inc. to promote tourism and economic development in the area.

\$100,000 NR

Total Legislative Changes

(\$1,475,000) R (\$1,475,000) R

\$10,155,000 NR

Position Changes

Revised Budget

\$10,155,000

\$0

NC Biotechnology Center**GENERAL FUND**

	FY 97-98	FY 98-99
Recommended Continuation Budget	\$7,664,396	\$7,664,396

Legislative Changes**NC Biotechnology Center****113 Economic Development Investment Fund**

Nonrecurring funding increase for the N.C. Biotechnology Center's Economic Development Investment Fund. Funds provided are to be used for loans or equity investment to biotechnology companies and to biomedical and other bioscience related companies.

\$7,500,000 NR**Total Legislative Changes****\$7,500,000 NR****Position Changes****Revised Budget****\$15,164,396****\$7,664,396**

Rural Economic Development Center

GENERAL FUND

	FY 97-98		FY 98-99	
Recommended Continuation Budget	\$5,870,000		\$5,870,000	
Legislative Changes				
N.C. Association of CDCs				
114 N.C. Association of CDCs	(\$150,000)	R	(\$150,000)	R
Eliminate recurring funding for the North Carolina Association of Community Development Corporations, Inc. and defer consideration to expansion.				
115 N.C. Association of CDCs	\$200,000	NR		
Funding for the North Carolina Association of Community Development Corporations, Inc. to provide training and technical assistance to community development corporations statewide.				
N.C. Community Development Initiative				
116 Additional Funds	\$200,000	R	\$200,000	
Additional funds for the North Carolina Community Development Initiative to support operating and program activity grants to mature community development corporations.				
Rural Economic Development Center				
117 Supplemental Funding Program	\$2,400,000	NR		
Additional funds to support the Supplemental Funding Program for economic development in rural communities.				
Total Legislative Changes	\$50,000	R	\$50,000	R
	\$2,600,000	NR		
Position Changes				
Revised Budget	\$8,520,000		\$5,920,000	

Transportation

HIGHWAY FUND

	FY 97-98		FY 98-99
Recommended Continuation Budget	\$1,082,397,705		\$1,087,670,091

Legislative Changes

Administration

(0000) All Divisions

1 Position Reductions	(\$135,000)	R	(\$135,000)	R

Eliminate 3 vacant positions:

-3.00	-3.00

Asst. Sec. for Government Affairs
Deputy Secretary
Personnel Tech III

2 Reduce Operating Expenses	(\$130,000)	R	(\$130,000)	R

Travel/Other Employee Expenses (22XX)	(\$10,000)
Payments for Services (22XX)	(\$100,000)
Materials and Supplies (24XX)	(\$10,000)
Equipment (25XX)	(\$10,000)
Total	(\$130,000)

(0110) Board of Transportation

3 Reduce Operating Expenses	(\$26,000)	R	(\$26,000)	R

Per Diem (2231)	(\$16,000)
Board-Council Expenses (2235)	(\$10,000)
Net	(\$26,000)

(0210) Fiscal

4 Reduce Operating Expenses	(\$10,000)	R	(\$10,000)	R

Travel and Subsistence (2236-40)	(\$18,000)
Hwy Trust Fund Trans. (0914)	\$ 8,000
Net	(\$10,000)

(0220) MIS

5 Support for Year 2000 Conversion

Contract personnel to provide technical support for the Year 2000 conversion.	\$4,835,000	NR	\$5,575,000	NR

6 Support for Vehicle Registration System (STARS)

Contract personnel to provide programming support for the Vehicle Registration System (STARS).	\$600,457	R	\$600,457	R
	\$600,447	NR		

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7 Support for Driver License System

Contract personnel to provide programming support for the Driver License System.

\$421,852 R
\$140,618 NR

\$421,852

(0250) Internal Audit

8 Reduce Operating Expenses

Educational Expenses (2233) (\$3,000)
Hwy Trust Fund Trans. (0914) \$1,650
Net (\$1,350)

(\$1,350) R

(\$1,350) R

(0290) Director of Administration

9 Reduce Operating Expenses

Professional Fees (2310) (\$50,000)
Hwy Trust Fund Trans. (0914) \$50,000
Net (\$ 0)

\$0 R

\$0 R

10 Increase Receipts

Employee Commuting Fees (0994) \$185,000

(\$185,000) R

(\$185,000) R

(0660) Ferry Division

11 Increase Receipts

Charges to Work Orders (0910) \$10,000

(\$10,000) NR

Operations

(0000) All Divisions

12 Reduce Operating Expenses

Travel/Other Employee Expenses (22XX) (\$30,000)
Payments for Services (22XX) (\$30,000)
Materials and Supplies (24XX) (\$10,000)
Equipment (25XX) (\$15,000)
Total (\$85,000)

(\$85,000) R

(\$85,000) R

(1030) Chief Engr of Operations

13 Reduce Operating Expenses

Educational Expenses (2233) (\$20,000)
Hwy Trust Fund Trans (0914) 11,400
Net (8,600)

(\$8,600) R

(\$8,600) R

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(2050) Division Five

14 Reduce Operating Expenses

(\$18,510) R (\$18,705) R

	97/98	98/99
Telephone (2322)	(\$7,000)	(7,210)
Data Processing Equip (2510)	(15,000)	(15,000)
Hwy Trust Fund Trans. (0914)	3,490	3,505
Net	(18,510)	(18,705)

(2140) Division Fourteen

15 Reduce Operating Expenses

(\$2,250) R (\$2,250) R

Repairs (2342)	(\$3,000)
Hwy Trust Fund Trans. (0914)	750
Net	(\$2,250)

(2210) Highway Design

16 Reduce Operating Expenses

(\$51,710) R (\$51,710) R

Subsistence & Travel (2236;7)	(\$20,000)
Repairs (2347)	(5,000)
Photographic Supplies (2410)	(12,000)
Engr & Drafting Equip (2512)	(20,000)
Services by Other Hwy Div. (2640)	(30,000)
Hwy Trust Fund Trans. (0914)	35,290
Net	(51,710)

17 Increase Estimated Receipts

(\$75,000) R (\$75,000) R

Direct Charges to Job Orders (0910)	(\$50,000)
Other Receipts (0990)	(25,000)
Net	(75,000)

(2230) Maintenance

18 Reduce Operating Expenses

(\$33,350) R (\$33,350) R

Subsistence & Travel (2236;7)	(\$35,000)
Rent of Equip (2522)	(5,000)
Hwy Trust Fund Trans. (0914)	6,650
Net	(33,350)

(2240) Construction

19 Reduce Operating Expenses

(\$7,000) R (\$7,000) R

Laboratory Equipment (2514)	(\$7,000)

(2260) Safety & Loss Control

20 Reduce Operating Expenses

(\$24,000) R (\$24,000) R

Educational Expenses (2233)	(\$9,000)
Subsistence and Travel (2236;7;8;9;40)	(15,000)
Net	(24,000)

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(2320) Traffic Engineering

21 Reduce Operating Expenses	(\$6,300)	R	(\$6,300)	R
Subsistence and Travel (2236;7;8;9;40)	(\$7,500)			
Hwy Trust Fund Trans. (0914)	1,200			
Net	(6,300)			

(2330) Right of Way

22 Reduce Operating Expenses	(\$5,000)	R	(\$5,000)	R
Subsistence and Travel (2236;7;8;9;40)	(\$5,000)			

(2420) Highway Engineering Assoc. Prog.

23 Increase Receipts	(\$250,000)	R	(\$250,000)	R
Direct Charges-Work Orders (0910)	\$250,000			

Construction and Maintenance

(5180) Construction - Discretionary

24 Increase Small Urban Programs	\$4,000,000	R	\$4,000,000	
Provides an additional funding for rural and small urban highway improvements, industrial access and spot safety.				

(5240) Contract Resurfacing

25 Additional Resurfacing Funds	\$9,589,211	R	\$9,589,211	R
To provide additional funds for contract resurfacing.	\$11,395,044	NR		

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(5400) Capital Improvements

26 Capital Facilities Improvements

To provide funds for capital facilities improvements.

\$12,100,000 NR

- 1.R.E.U. Office/Assembly & Storage Facility
Newton - \$434,000
- 2.Equipment Shop, Const.
Marion - \$1,103,000
- 3.Transportation Bldg. Upgrade - Chiller
Raleigh - \$469,000
- 4.Site Planning & Utilities Const.
Asheboro - \$128,000
- 5.Equipment Shop, Const.
Asheboro - \$1,024,000
- 6.Century Center Renovation - Electrical
Raleigh - \$439,000
- 7.Maint. Office/Assembly
Franklin - \$405,000
- 8.DMV - Bldg. Renovation & Addition
Morganton - \$198,000
- 9.Resident Engr's. Office, Const.
Lumberton - \$294,000
- 10.DMV - Bldg. Renovation & Addition
Charlotte - \$262,000
- 11.R.E.U./Road Oil Building
North Wilkesboro - \$1,127,000
- 12.Land Purchase, 1.5 Acres
Currituck - \$84,000
- 13.DMV - HVAC Replacements
Statewide - \$300,000
- 14.DOH - Water and Sewage Systems
Statewide - \$132,000
- 15.Transportation Bldg. Upgrade - Plumbing
Raleigh - \$303,000
- 16.DOH - Misc. (Small) Office Additions
Statewide - \$120,000
- 17.DMV - Life Safety Upgrades
Raleigh - \$142,000
- 18.DMV - Water and Sewage Systems
Statewide - \$100,000
- 19.Weigh Stations - Electrical Renovations
Statewide - \$100,000
- 20.Weigh Stations - HVAC Renovations
Statewide - \$84,000
- 21.DMV - Pkg. Lot Renovations
Statewide - \$185,000
- 22.Div. Traffic Serv. Office/Shop/Whse., Const.
Carthage - \$1,355,000
- 23.Pesticide Storage Bldg., Const.
Carthage - \$75,000
- 24.Salt Storage Facilities
Statewide - \$532,000
- 25.Repair/Supply Facility, Const.
Hatteras North Dock - \$721,000
- 26.DMV - Bldg. Renovation & Addition
North Wilkesboro - \$198,000
- 27.DMV - Roof Replacements

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Statewide - \$263,000
28.DMV- Exterior Renovations
Statewide - \$238,000
29.DMV - Interior Renovations
Statewide - \$182,000
30.DMV - Bldg. Renovations & Addition
Sanford - \$378,000
31.DMV - Renovation & Addition
Graham - \$60,000
32.DMV - Computer Room Renovation
Raleigh - \$99,000
33.Land Purchase, 0.75 Acre
Hayesville - \$100,000
34.New Division Office, Land Purchase & Des.
North Wilkesboro - \$366,000
35.Renovate Maintenance Facility
Onslow County - \$100,000

(5600) Federal Construction

27 Reduce Federal Aid Match

Reduce Highway Fund appropriations used to match Federal Aid. (\$8,783,547) NR

(5940 & 5970) State Aid - Rail and Public Transit

28 Public Transportation Improvements

To provide additional funds for the improvement of passenger rail service and support of local public transportation systems.	\$12,600,000	R	\$12,600,000
	\$13,400,000	NR	

Division of Motor Vehicles

(0520) Vehicle Registration

29 Adjust Operating Expenses

Professional Fees (2310)	(\$ 40,000)	\$300,000	R	\$300,000	R
Insurance and Bond Premium (2351)	(\$ 30,000)				
Uniforms, Arms, Etc (2412)	(\$ 1,409)				
Branch Agent Commissions (2314)	\$268,909				
Data Processing Equipment (2510)	\$ 40,000				
Hwy Trust Fund Trans. (0914)	\$ 62,500				
Net	\$300,000				

30 Increase Receipts

Registration Info. Receipts (0985)	\$225,000	(\$260,000)	R	(\$260,000)	R
Acknowledgment Fees (0986)	\$ 35,000				
Net	\$260,000				

31 Service and Maintenance of Equipment

Increased service and maintenance costs for data processing equipment.	\$150,000	R	\$165,000	R

32 Branch Agent Transaction Volume

\$2,565,263	R	\$3,633,359	R

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Increased costs related to larger number of transactions performed by Branch Agents. Branch Agent transaction rate remains unchanged.

33 Increase Highway Trust Fund Receipts	(\$1,016,780)	R	(\$1,210,329)	R
Highway Trust Fund receipts increase due to growth in Highway Use Tax transactions.				
34 Branch Agent Rate Increase	\$1,366,482	R	\$1,475,802	R
Branch Agent rate increases from \$1.20 per transaction to \$1.35 for non-Highway Use Tax transactions.				
35 License Plates and Stickers	\$226,291	R	\$392,763	R
Increased costs related to larger number of license plates.				

(0530) Driver Licensing

36 Adjust Operating Expenses	\$0	R	\$0	R
Repairs and Services to Equip. (2342) (\$ 8,000)				
Repairs and Services to DP Equip.(2347) (\$ 9,000)				
Office supplies (2410) (\$70,000)				
Photographic Supplies (2473) (\$ 5,000)				
Printing and Binding (2411) \$75,000				
Driver License Photo Equipment (2524) \$17,000				
Net \$ 0				
37 Automated Testing System			\$30,000	R
Install automated testing equipment in 10 offices.				
	\$270,000	NR	\$230,000	NR
38 Renovate 11 Driver License Offices				
The following offices are scheduled for renovation:				
\$494,722 NR				
East Greensboro, Asheboro, Clyde, Concord, Greenville, Monroe, Morehead City, Morganton, Rocky Mount, Taylorsville and Whiteville				
39 Automated Customer Queuing System	\$9,500	R	\$39,500	R
Install Queuing Systems in 20 Driver License offices.				
	\$360,000	NR		
40 Photo Equipment	\$191,444	R	\$399,598	R
Increased costs of leasing digitized photo equipment due to increases in number of transactions performed.				
41 Graduated Driver License Implementation	\$101,789	R	\$255,607	R
Expenditures to implement graduated driver license legislation.				
	\$20,865	NR	\$28,595	NR
	3.00		7.00	
42 Driver License Office Staffing	\$904,940	R	\$1,138,735	R
Additional staffing necessary to meet customer demands.				
	\$95,060	NR	\$23,765	NR

Senate Subcommittee on Transportation

\$95,060
28.00

\$23,765
35.00

(0540) School Bus and Traffic Safety

43 Adjust Operating Expenses

\$0 R

\$0 R

Dues, Subscriptions, Promo.(2352) (\$5,000)
Repairs and Services to Equip.(2342) (\$2,000)
In-State Transportation (2237) \$7,000
Net \$ 0

44 Driver Improvement Clinics

\$18,500 R

\$18,500 R

To enable drivers to complete clinic in one day.

\$35,100 NR

(0550) Traffic Records

45 Increase Receipts

(\$7,400) R

(\$7,400) R

Reimbursement NHSTA (0959) \$7,400

(0560) International Registration Plan

46 Adjust Operating Expenses

(\$4,700) R

(\$4,700) R

Travel and Subsistence (2238-9) (\$5,000)
Telephone and Telegraph (2322) (\$2,000)
In-State Transportation (2237) \$2,000
Hwy Trust Fund Trans. (0914) \$ 300
Net (\$4,700)

(0570) Enforcement

47 Adjust Operating Expenses

\$0 R

\$0 R

Educational Expenses (2233) (\$ 2,186)
Repairs and Service DP Equip. (2347) (\$ 36,000)
Motor Vehicle Operations (2469) (\$ 2,331)
In-State Subsistence (2236) (\$213,184)
Rent of Other Equipment (2523) (\$ 10,000)
In-State Transportation (2237) \$189,184
Out of State Subsistence (2238) \$ 12,000
Out of State Transportation (2239) \$ 6,000
Other Travel Expenses (2240) \$ 6,000
Training Data Processing (2250) \$ 2,186
Professional Fees (2310) \$ 10,000
Shop Supplies and Small Tools (2474) \$ 2,331
Data Processing Equipment (2510) \$ 57,500
Safety Inspection Invest. (2637) (\$ 21,500)
Net \$ 0

48 Equipment

To provide funds to purchase portable scales, scale racks,
and 800 MHZ radios.

\$814,630 NR

Senate Subcommittee on Transportation

(0590) Motor Vehicle Exhaust Emission Inspec.

49 Adjust Expenditures and Receipts

\$0 R \$0 R

To budget additional receipts and expenditures for this fee supported program, based on program requirements

	97/98	98/99
Expenditures	\$3,959,086	\$1,478,941
Receipts	(\$3,959,086)	(\$1,478,941)
Net	0	0

Reserves

(0000) Reserves

50 Mid-State Visitor Center Feasibility Study

Funds are appropriated to the Department of Transportation to be allocated to the Fayetteville Area Convention and Visitor's Bureau for a feasibility study for a regional Mid-State Visitor's Center.

\$75,000 NR

51 Minority Contractor Development

To provide funds to the Department of Transportation for the development of minority contractors.

\$300,000 R \$500,000 R

52 State Fire Protection Grant Fund

Highway Fund portion of grants to local fire units for protection of State facilities.

\$150,000 R \$150,000 R

(6270) Crime Control and Public Safety

53 Criminal Justice Information Network (CJIN)

Provide funds for installation of 18 data transmitters and purchase of 200 mobile data units.

\$4,106,600 NR \$156,600 NR

(6310) Dept. of Public Instruction

54 Increased Driver Education Cost

Increased Driver Education Cost due to revised 9th grade Average Daily Membership (ADM) estimates.

\$922,607 R \$939,955 R

(6810) Compensation Increases

55 Reduction of Reserve for Compensation Increases

The reserve amount provided in 1996 for the 4.5% FY 96/97 compensation increase was more than was needed to pay for the annualized cost of the increase. As a result, compensation funds can be reduced by \$250,000.

(\$250,000) R (\$250,000) R

56 Legislative Salary Increase

Provides funds for a 3% salary increase for all employees p

\$12,569,514 R \$12,569,514 R

Senate Subcommittee on Transportation

aid from Highway Fund appropriations.

(6820) New DMV Building

57 New DMV Building

Provides design funds for new DMV Building.

\$1,000,000 NR

(6825) Computer Replacement

58 Computer Replacement Reserve

Provides funds to allow for ongoing replacement of obsolete personal computers.

\$1,000,000 NR \$1,000,000 NR

(6826) New DMV Systems

59 Collisions Reports Re-engineering

Provide funds for the re-engineering of the way data is collected relating to automobile accidents.

\$1,774,500 NR \$1,742,527 NR

60 International Registration Plan (IRP)

Provide funds for enhancements to outdated IRP software.

\$1,270,600 NR \$1,766,000 NR

Total Legislative Changes

\$44,394,900 R \$46,433,159 R

\$44,994,639 NR \$10,522,487 NR

Position Changes

28.00 39.00

Revised Budget

\$1,171,787,244 \$1,144,625,737

General Fund

Statewide Reserves

FY 97-98**FY 98-99**

1 Reserve for Compensation Increase (Begins 7-1-97)	\$306,139,421	R	\$306,139,421	R
Teachers and Instructional Support (4% - 9%)	\$181,020,912			
Principals & Asst.Principals (3%)	6,221,187			
Other Public School Employees (3%)	22,013,892			
Agency Teachers (4%-9%)	2,514,968			
Science & Math Teachers (Avg. of 8%)	245,304			
University EPA (3%)	24,995,484			
Community College EPA (3%)	13,711,302			
Other State Employees (3%)	55,416,372			
2 Reduction in Debt Service	(\$23,659,410)	R	(\$14,677,000)	R
Total Reserves	\$282,480,011	R	\$291,462,421	R

General Fund

Statewide Capital

FY 97-98

FY 98-99

AGRICULTURE**1 State Fair-Multi Purpose Events Building**

Funds for planning and site development.

\$1,000,000 NR

2 Piedmont Triad Farmer's Market

Planning funds for the Wholesale/Retail Building.

\$300,000 NR

3 Eastern Agricultural Center

Funding for continued development of the center.

\$3,500,000 NR

4 Souteastern Farmer's Mkt. & Agriculture Center

Funding for continued development of the center.

\$1,000,000 NR

BOARD OF GOVERNORS**5 UNC - Public Television**

Funding to replace and upgrade the Columbia Transmitter, Tower and ancillary equipment.

\$7,144,500 NR

6 Elizabeth City

Funding for the completion of the Fine Arts building.

\$3,000,000 NR

7 A&T

Additional funding for the General Classroom and Lab building.

\$4,000,000 NR

8 UNC-Chapel Hill

Funding for an addition to the Institute of Government Knapp building.

\$4,000,000 NR

9 UNC-Chapel Hill

Funding for an addition to Beard Hall - School of Pharmacy

\$8,824,600 NR

10 UNC-Chapel Hill

Planning funds for the NC Botanical Gardens

\$350,000 NR

11 UNC - Chapel Hill

Funding for the expansion of the Carolina Living and Learning Center.

\$1,274,275 NR

Statewide Reserves

Senate Capital Report

12 UNC- Greensboro

Additional funding for the Science Lab and Classroom building. \$8,000,000 NR

13 UNC - Pembroke

Funding for the consruction of a new residence hall. \$5,979,500 NR

14 UNC - Asheville

Additional funding for the Kellogg Center. \$500,000 NR

15 NC State

Funding to complete the Eastern 4-H Environmental education Center. \$5,545,300 NR

16 NC State

Funding to finalize the construction drawings for the J.C. Raulston Arboretum. \$87,000 NR

17 ECU

Funding to complete expansion of the Dowdy-Ficklen Stadium. \$7,000,000 NR

18 UNC - Charlotte

Construction of a new building and relocation of equipment for the Polymer's Extension Program. \$1,450,000 NR

19 NC State

Funding for the expansion of the CMAST Building. \$2,363,000 NR

COMMUNITY COLLEGES

20 Center for Applied Textile Technology

Maintenance & Storage facility	\$62,800	\$500,000	NR
Planning, design, site development			
for the Lab and Administration bldg.	\$437,200		

CORRECTIONS

21 Alexander County

Planning funds for the development of a single cell facility. \$300,000 NR

22 Carteret County

Funding for a multi-purpose modular building. \$400,000 NR

CRIME CONTROL & PUBLIC SAFETY

23 Charlotte National Guard Armory

Funding for the State share of the construction costs. \$1,260,300 NR

CULTURAL RESOURCES

Senate Capital Report

24 Maritime Museum

Funding for land acquisition for the museum.

\$1,500,000 NR

25 Museum of Cape Fear

Funding for continued development of the museum.

\$1,100,000 NR

26 Museum of the Albemarle

Funding for planning and site development.

\$1,000,000 NR

27 Roanoke Island Commission

Funding for exhibits at the Festival Park.

\$1,400,000 NR

ENVIRONMENT, HEALTH & NATURAL RESOURCES

28 Water Resources Development Projects

\$6,343,000 NR

29 Natural Science Museum

Funding for exhibits for the museum.

\$7,600,000 NR

30 N.C. Aquariums

Funding for the expansion of one aquarium.

\$11,500,000 NR

31 Forest Resources

Funding for an addition to the equipment building at Wayne County Forestry Headquarters.

\$100,000 NR

HUMAN RESOURCES

32 Eastern School for the Deaf & Hard of Hearing

Planning funds for an additional dormitory.

\$500,000 NR

Total Reserves

\$98,821,475 NR

STATE GOVERNMENT VISITOR CENTER



North Carolina Department of Cultural Resources
Office of the Secretary

James B. Hunt Jr., Governor

Betty Ray McCain, Secretary

MEMORANDUM

To: The Honorable Carolyn Russell

From: Renné Vance *Renne Vance*
Business Officer

Subject: State Government Visitor Center

Date: April 16, 1997

Thank you for your interest in the proposed State Government Visitor Center, projected to open in FY 2000-01. We are providing the following information as requested:

1. State Government Visitor Center's projected annual operating cost: \$403,682

12 positions (figures are based on 9/1/96 annual salary schedule):

1. Assistant Administrator (gr. 70) \$29,449
2. Operations Manager (gr.68) \$27,017
3. Interpretations Curator (gr.68) \$27,017
4. Capitol Historian/Curator (gr.68) \$27,017
5. Receptionist (gr. 60) \$19,515
6. Operations Coordinator (gr.61) \$20,336
7. Assistant Scheduler (gr.61) \$20,336
8. Events Assistant (gr. 61) \$20,336
9. Tour Guide (gr.60) \$19,515
10. Tour Guide (gr.60) \$19,515
11. Education Specialist (gr.63) \$22,105
12. Administrative Assistant (gr.61) \$20,336

total salaries:	\$272,494
fringes:	<u>71,188</u>
total position cost:	\$343,682
+ estimated operating cost:	<u>60,000</u>
(telephone, supplies, etc.)	
total annual budget :	\$403,682

The Honorable Carolyn Russell
April 16, 1997
Page 2

The \$403,682 projected operating budget is needed to operate a building **5 times larger** than the existing visitor center, which is completely inadequate to meet the needs of over **125,000 current annual visitors**. The existing visitor center has one women's restroom with facilities to accommodate 9 women and the men's room can accommodate 5 men. During peak tour season, over **2,000 people** enter the visitor center daily. On some days in May, as many as 6 tour buses carrying 40 school children arrive at one time. You can imagine the problems caused by the lack of restroom facilities.

In addition to these facilities, the new 33,000 square-foot visitor center would provide space for classrooms, hands-on activities, exhibits, public programs, more parking, a bus loop, and picnic shelters.

Only 4 full-time employees staff the existing visitor center. The proposed additional 12 positions will be needed to handle the projected increase in visitors at the new visitor center. These positions schedule school groups, other tour groups, and the general public for the entire State Government complex. They provide an orientation to all visitors seeking information about the Raleigh area and the state in general. Tourism is such an important business in the state and the visitor's positive experience at the new visitor center can only help our economic development.

2. Projected future annual visitation at the State Government Visitor Center:

	<u>FY 2000-2001</u>	<u>FY 2001-2002</u>	<u>FY 2002-2003</u>
Children	210,000	260,000	280,000
Adults	150,000	200,000	220,000

Data for FY 1995-96 indicates that well over 300,000 people already visit the downtown Raleigh/State Government area each year. This will certainly increase with the completion of the Museum of Natural Sciences and Exploris.

Thank you again for your inquiry and please let me know if you need further information. I can be reached at 733-7847.

cc: Secretary Betty Ray McCain
Deputy Secretary Betsy Buford
Dr. Jeffrey J. Crow
Speros Fleggas
Michele T. Nelson



North Carolina Department of Cultural Resources
Office of the Secretary

James B. Hunt, Jr., Governor

MEMORANDUM

Betty Ray McCain, Secretary

TO: The Honorable James B. Hunt Jr.

FROM: Elizabeth F. Buford

Betty Ray McCain

DATE: January 31, 1996

SUBJECT: State Government Visitor Center

<u>Visitation:</u>	<u>1994</u>	<u>1995</u>
State Capitol visitors	128,321	152,698 (19% increase)
Visitor Center visitors	106,043	122,822 (16% increase)
Groups scheduled by Visitor Center	3,252	3,599 (11% increase)
Executive Mansion visitors	42,842	45,533 (6% increase)

Visitor Center staff members schedule school groups, other tour groups, and national and international visitors for the entire State Government Complex. Packaged commercial tours and walk-in visitors are also served by this staff.

Overview: 32,500 square foot Visitor Center Building on two levels, with lobby/reception area, 200-seat orientation auditorium, assembly areas, children's lunch area, interpretative exhibits for the State Government Complex, gift shop, toilet facilities, offices, docent training rooms, and meeting rooms.

Park and Plaza (above the parking deck, encompassing the entire city block) -- bus loop and drop-off for school children and tour groups, picnic area, landscaped areas for informal activities, and open space for Inaugural and Ceremonial activities.

550-car parking garage two levels below grade.

cc: Secretary Betty Ray McCain

NORTH CAROLINA MARITIME MUSEUM
Beaufort, N.C.

- \$1.5 million are to purchase a 36-acre, waterfront site in Beaufort
- Future of NCMM lies there; programs limited and museum landlocked at its current site on Front Street
- New and expanded programs include junior sailing, marine conservation lab, construction of 40-foot sharpie to teach sailing, space for large exhibits, including commercial fishing, naval stores, U.S. lifesaving drills
- \$450,000 from the Natural Heritage Trust to conserve 10 acres of the site for natural history trails on boardwalks to teach coastal ecology
- \$100,000 already raised by Friends of NCMM toward site development

DEPARTMENT OF CULTURAL RESOURCES

NORTH CAROLINA MUSEUM OF HISTORY RESTAURANT

NORTH CAROLINA MUSEUM OF HISTORY RESTAURANT
Department of Cultural Resources

Estimate from Sigmon Construction Company \$708,900

Estimate from Foodcraft Equipment \$311,415

Additional costs:

Catering kitchen \$ 35,000

Interior equipment \$ 56,000

Architect fees \$ 60,000

Engineer \$ 25,000

Interior designer \$ 20,000

Exterior doors and reventing \$ 75,000

Contingency (10%) \$129,000

Above does NOT include estimates for some additional heavy equipment (not included in kitchen equipment estimate from Sigmon Construction).

Materials prepared for the Department of Cultural Resources by Charles Winston, restaurant owner and Museum of History Associate volunteer.

Projected total for project -- \$1,781,200 per Office of State Construction (ID #2006)



SIGMON CONSTRUCTION

RESIDENTIAL & COMMERCIAL CONSTRUCTION

P.O. Box 6353
Raleigh, North Carolina 27628
Office • 919-871-0539

APRIL 14, 1997

PRELIMINARY ESTIMATE OF INTERIOR FIT-UP FOR N.C. MUSEUM OF HISTORY RESTAURANT, RALEIGH.

THIS COST ESTIMATE IS BASED ON A PRELIMINARY FLOOR PLAN.
IT DOES NOT INCLUDE COSTS OF KITCHEN EQUIPMENT AND INSTALATION,
SEATING, OR SMALL SERVICE.

GENERAL CONDITIONS.....	17,000
(PERMITS, ETC.)	
FLOORING / SPECIAL SURFACES / FINISHES.....	167,400
(CARPET IN DINING AREAS, CERAMIC TILE IN KITCHEN & RESTROOMS)	
CEILINGS.....	35,000
(SUSPENDED CEILING, ACOUSTICAL TILE)	
PARTITIONS.....	47,000
(GYPSUM WALL BOARD OVER STEEL FRAME)	
STORE FRONT.....	22,000
(FRAMELESS GLASS)	
ELECTRICAL.....	105,000
PLUMBING.....	92,500
HEATING, VENTILATING, & AIR CONDITIONING.....	114,000
FIRE PROTECTION.....	28,000
(SPRINKLER RELOCATION, ADDITIONS, AND EXTENSIONS)	
MISCELANEOUS.....	81,000
(ENTRANCE DOORS, BUILDING HARDWARE, DECORATION, ACCESSORIES,)	
TOTAL	5708,900

NC MUSEUM OF HISTORY FOODSERVICE BUDGET

DINING AREA:

<u>QTY</u>	<u>ITEM</u>	<u>COST PER</u>	<u>EXTENSION</u>	
154	Dining Chairs	\$135.00	\$20,790.00	
1	Wall Bench	\$1,200.00	\$1,200.00	
32	4 Top Table	\$150.00	\$4,800.00	
9	2 Top Table	\$125.00	\$1,125.00	
2	8 Top Table	\$450.00	\$900.00	
	<i>SUB-TOTAL</i>			\$28,815.00

SERVERY:

<u>QTY</u>	<u>ITEM</u>	<u>COST PER</u>	<u>EXTENSION</u>	
1	Salad / Beverage Bar	\$20,500.00	\$20,500.00	
1	Buffet Counter	\$46,000.00	\$46,000.00	
1	Cashier Counter	\$1,900.00	\$1,900.00	
1	Wait Station (Private Dining)	\$3,500.00	\$3,500.00	
	<i>SUB-TOTAL</i>			\$71,900.00

SCULLERY:

<u>QTY</u>	<u>ITEM</u>	<u>COST PER</u>	<u>EXTENSION</u>	
1	Dishwasher & Tables	\$19,000.00	\$19,000.00	
1	Pot, Pan, and Mop Sinks	\$4,500.00	\$4,500.00	
	<i>SUB-TOTAL</i>			\$23,500.00

COOKING:

<u>LOT</u>	<u>ITEM</u>	<u>COST PER</u>	<u>EXTENSION</u>	
1	Exhibition / Grill Area Eqmt.	\$12,000.00	\$12,000.00	
1	Bakery Eqmt.	\$14,000.00	\$14,000.00	
1	Main Cooking Eqmt.	\$41,000.00	\$41,000.00	
	<i>SUB-TOTAL</i>			\$67,000.00

NC MUSEUM OF HISTORY FOODSERVICE BUDGET

VENTILATION:

<u>LOT</u>	<u>ITEM</u>	<u>COST PER</u>	<u>EXTENSION</u>	
1	Exhaust Systems	\$19,000.00	\$19,000.00	
1	Fire Supression Systems	\$6,000.00	\$6,000.00	
	<i>SUB-TOTAL</i>			\$25,000.00

REFRIGERATION:

<u>LOT</u>	<u>ITEM</u>	<u>COST PER</u>	<u>EXTENSION</u>	
1	Walk-In Cooler/Freezer	\$14,000.00	\$14,000.00	
1	Storage Shelving	\$4,500.00	\$4,500.00	
1	Reach-In Coolers & Freezers	\$15,500.00	\$15,500.00	
	<i>SUB-TOTAL</i>			\$34,000.00

FABRICATION:

<u>LOT</u>	<u>ITEM</u>	<u>COST PER</u>	<u>EXTENSION</u>	
1	SS Shelves, Worktables, and Prep Sinks	\$19,000.00	\$19,000.00	
1	SS Hand Sinks	\$1,200.00	\$1,200.00	
	<i>SUB-TOTAL</i>			\$20,200.00

MISCELLANEOUS:

<u>LOT</u>	<u>ITEM</u>	<u>COST PER</u>	<u>EXTENSION</u>	
1	Dry Storage Shelving	\$4,000.00	\$4,000.00	
1	China, Silver, Glass	\$19,000.00	\$19,000.00	
1	Kitchen & D.R. Smallwares	\$18,000.00	\$18,000.00	
	<i>SUB-TOTAL</i>			\$41,000.00

TOTAL

\$311,415.00

WORKSHEET III CAPITAL IMPROVEMENT REQUEST

Department Cultural Resources
Division/Program Museum of History Division
Project Title Museum of History Restaurant

On an attached sheet(s), provide the following information:

1. Description, including space, type of structure, age of buildings, number and type of persons served, and any unusual construction or repair problems anticipated.

The 6514 SF Restaurant space in the new Museum of History is bare floor, walls, and ceiling with no finishes of any kind. The project will provide necessary plumbing, electrical, mechanical, ductwork, HVAC, wall/floor finishes, furnishings and restroom fittings
2. Justification.

The restaurant is needed to fulfill the original plan for this feature in the new Museum of History building and will provide dining facilities to support many fund raising events at the museum.
3. Criteria used to select this project as a recommendation.

The restaurant is the last major element to complete in the building; unfinished, it remains an eyesore to the Fletcher Gardens area outside the museum.
4. Define all program outcome for this capital investment.

Increased convenience and accessibility to the museum and nearby state government offices and the Legislature. Improved museum fund raising capabilities.

In the space provided below, provide the following information:

5. Schedule of construction, repair, etc. requested.
 - September, 1997 - Engage architect
 - April, 1998 - Construction drawings complete
 - June, 1998 - Begin construction
 - March, 1999 - Complete construction
 - April, 1999 - Occupation Date

6. Operating costs:

	First Year of Operation FY <u>96-97</u>	Second Year of Operation FY <u>97-98</u>	Third Year of Operation FY <u>98-99</u>	Fourth Year of Operation FY <u>99-00</u>	Fifth Year of Operation FY <u>00-01</u>
Staff (Additional Annual Cost) (Include # of <u>Additional Employees</u>)	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Maintenance (<u>Additional</u> Annual Costs)	0	0	0	0	0
Other Operating (<u>Additional</u> Annual Costs)	0	0	0	0	0
Special Equipment/Furnishings <u>Not</u> Included in Construction Request	0	0	0	0	0
Total Requirements	1,781,200	0	0	0	0
Less Receipts	0	0	0	0	0
Appropriation	1,781,200	0	0	0	0

7. If operation is supported from receipts, give current level of receipts, increase in rates, if necessary (from _____ to _____), and any restrictions on the use of these receipts by federal or state law. _____
8. Does the project have an approved OC-25 attached? Yes X No _____. An approved OC-25 must be submitted for every capital improvement request.
9. If new construction, where is the proposed location? _____
Has this proposed site been approved by the Office of State Property? Yes _____ No _____
Is the proposal site currently owned by the State of North Carolina? Yes _____ No _____ (If "No," a complete plan for acquisition must be attached.)
10. If repair or renovation, has this facility been inspected by the Office of State Construction's F-CAP team? Yes _____ No X If so, when? _____
11. For addition information contact James C. McNutt at telephone number 715-0200.

P100

OC-25 MAINTENANCE
OC-25: 97482006028

DEPT: 48 ID#: 2006 BIENNIUM: 1995 - 1997

PROJECT TYPE: 43 CLASS: D DATE RECEIVED: 110695
PROJECT SUBTYPE: PRTY: DATE RETURNED: 111595

PROJECT LOCATION: MUSEUM OF HISTORY, RALEIGH

PROJECT IDENTIFICATION
MUSEUM OF HISTORY RESTAURANT

PROJECT DESCRIPTION
THE 6514 SF RESTAURANT SPACE IS BARE FLOOR, WALLS & CEILING WITH NO
FINISHES
OF ANY KIND. THIS WILL OUTFIT THE RESTAURANT WITH THE NEEDED ITEMS SO THAT
IT MAY SUPPORT FUND RAISING FUNCTIONS.

PROJECT ID:

P101 OC-25 MAINTENANCE
OC-25 97482006028 DATE REVIEWED: 111595 BIENNIUM 1995 - 1997

CURRENT ESTIMATED CONSTRUCTION COST	UNITS	UNIT COST	TOTAL
A.LAND REQUIREMENT	.		
B.SITE PREPARATION: DEMOLITION	.		
GENERAL	.		
C.CONSTRUCTION: UTILITY SERVICES	.		
GENERAL CONTRUCTION	.		000621200
PLUMBING	.		
HVAC	.		000414500
ELECTRICAL	.		
OTHER	.		
OTHER	.		
D.EQUIPMENT: FIXED	.		000238500
MOVEABLE	.		000095400
TOTAL CURRENT ESTIMATED COST			001369600
CONTIG % 05 . 00 = 00068480	DESIGN FEE % 10 . 00 = 000143808		
TOTAL EST PROJECT COST (INCLUDING CONTIG AND DESIGN FEE) =			001581900
EST COST INC = 00 . 42 % X 30 MONTHS X EST PROJ COST =			000199300
EST COST ON BID DATE = EST PROJECT COST + EST COST INC =			0001781200
STATUS: A	APPROVED BY: SWS	DATE APPROVED: 111595	

APPROPRIATIONS
Subcommittee on Capital & Budget

Minutes
May 6, 1997

The APPROPRIATIONS Subcommittee on Capital & Budget held a meeting on Tuesday, May 6, 1997 at 12:00 Noon in room 643 of the Legislative Office Building. The members present were Representatives Carolyn Russell, Cochair, Representative Gene Wilson, Co-Chair, Gene Arnold, Donald Bonner, Walter Church, Sr., Beverly Earle, Ruth Easterling, Charlotte Gardner, Bobby Hall, Verla Insko, Joe Kiser, Mickey Michaux, Frank Mitchell, Alex Warner and Nurham Warwick. Staff-Lynn Muchmore, Janice Wheeler, Emily Johnson, Phyllis Pickett, and Clerk-Rebecca Jones were also present.

Representative Russell called the meeting to order at 12:00 P.M. She first told the committee that they would be dividing into three working groups. The Water Projects group consisted of Representatives Mitchell, Dockham, Neely, Church and Michaux. The Museum Exhibits group consisted of Representatives Ives, Bowie, Hall, Earle, and Mosley. The third working group, University Capital & Budget, consisted of Representatives Arnold, Insko, Kiser, Berry, and Warwick. These groups were told they would be given instructions at a later date.

First on the agenda was The Department of Cultural Resources. Secretary, Betty McCain, passed out a handout to the committee. The handout was made part of the minutes. Attachment 1. The priority list was also passed out to the committee. This was also made part of the minutes. Attachment 2.

Next on the agenda was the Historic Sites Visitation handout. This was made part of the minutes and discussed with the committee. Attachment 3.

The Department of Environment, Health, and Natural Resources was next on the agenda. The Blue Ridge Parkway was discussed first. In the Governors Budget on page 110, showed the funds that were needed.

The next item on the agenda was The Amphibious Water Scooping Tanker Aircraft. A handout was passed out to the committee. This was made part of the minutes. Attachment 4. The company Canada Air, that provides the planes, had decided to reduce the price of the aircraft. Cost estimates were part of the handout. Representative Holmes wanted to know how old these plans were. Twenty years old was the approximate age. Representative Earle wanted to know if North Carolina had enough fires for these planes. Five thousand fires a year, is the average amount. Representative Warwick wanted to know the price for leasing the plane. One million a year was the price to lease the plane.

Representative Russell adjourned the meeting at 1:00 p.m.

ATTACHMENTS

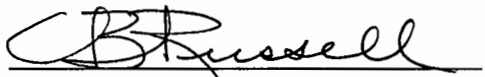
Attachment 1-Reserve for Museum Exhibits

Attachment 2-Priorities

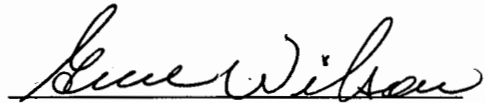
Attachment 3-Historic Sites Visitation

Attachment 4-Water Scooping Airplane

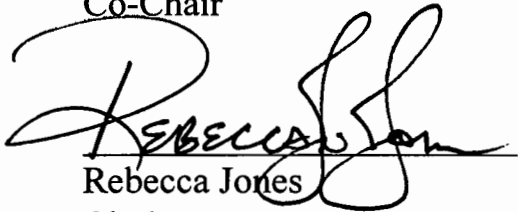
Respectfully Submitted,

A handwritten signature in cursive script, appearing to read "C. Russell", written over a horizontal line.

Representative Carolyn Russell
Co-Chair

A handwritten signature in cursive script, appearing to read "Gene Wilson", written over a horizontal line.

Representative Gene Wilson
Co-Chair

A handwritten signature in cursive script, appearing to read "Rebecca Jones", written over a horizontal line.

Rebecca Jones
Clerk

House Appropriations Committee
Capital and Budget Subcommittee

Agenda

May 6, 1997

Continued Discussion of Governor's Capital Recommendations:

Review of Member's Questions:

1. Department of Cultural Resources

- Reserve for Museum Exhibits
- Historic Sites Visitation

2. Department of Environment, Health, and Natural Resources

- Blue Ridge Parkway - Scenic Vistas
- Amphibious Water Scooping Tanker Aircraft

RESERVE FOR EXHIBITS

<u>Site/Location Name -by Division</u>	<u>Exhibit Title</u>	<u>Estimated Cost</u>
<u>Roanoke Island Commission</u>		
Roanoke Island Festival Park (Elizabeth II Historic Site)	'Port Roanoke' exhibit, (new)	\$1,883,648
<u>Archives and History</u>		
<u>Archives and History Adm.</u>		
Outer Banks History Center	OBHC Gallery (replacement/new)	35,000
Stagville Center	Classroom gallery (refurbish)	15,000
<u>Archaeology & Hist. Presv.</u>		
Fort Fisher Preservation Lab	"Beneath the Waves" (refurbish)	4,000
<u>Historic Sites Section</u>		
Fort Fisher	Visitor Center (refurbish from 1965)	250,000
Bentonville Battleground	Visitor Center (refurbish from 1965)	400,000
Vance Birthplace	Visitor Center (refurbish from 1966)	200,000
Brunswick Town	Visitor Center (refurbish from 1967)	300,000
Reed Gold Mine	Visitor Center (refurbish from 1977)	400,000
NC Transportation Museum	Back Shop (new)	5,000,000
NC Transportation Museum	Flue Shop (refurbish from 1990)	50,000
Historic Halifax	Visitor Center (refurbish from 1976)	700,000
Historic Halifax	Eagle Tavern (new)	150,000
Charlotte H. Brown Memorial	Stone Hall (new)	1,600,000
Somerset Place	Visitor Center (expansion)	500,000
CSS Neuse	Enclosed Boat Shelter (expansion)	400,000
CSS Neuse	Caswell Building (refurbish from 1978)	75,000
Charles B. Aycock	Visitor Center (refurbish from 1985)	200,000
House in the Horseshoe	New Visitor Center (expansion)	300,000
Old Creek Farm	New Visitor Center (expansion)	500,000
Bennett Place	Visitor Center (refurbish from 1984)	50,000
Alamance Battleground	Visitor Center (expansion)	200,000
Town Creek Indian Mound	Visitor Center (refurbish from 1983)	400,000
James K. Polk Memorial	Visitor Center (refurbish from 1986)	100,000
Duke Homestead	Visitor Center (refurbish from 1989)	250,000
Historic Edenton	Visitor Center (refurbish from 1992)	100,000
Fort Dobbs	Waysides and Visitor Center (expansion)	250,000
Thomas Wolfe Memorial	Visitor Center (complete from 1997)	150,000
<u>Tryon Palace</u>		
Palace, Kitchen Office, Stanly House,	Period venetian blinds (replace)	30,000
Dixon Stevenson House		
Stanly House	Period textile furnishings (replace)	100,000
Palace	Period textile furnishing (replace)	100,000
Palace Complex	Reproduction period chairs for visitor seating (new)	5,000
New Bern Academy	Exhibits (refurbish from 1991)	40,000
Kitchen (East) Wing	Interpretive reproductions (new)	50,000
Palace Cellar	Living History exhibit (new)	35,000
Stable (West) Wing	Exhibit (new)	30,000
Hay House	Interpretive reproductions (new)	100,000
Dixon-Stevenson House	Interpretive furnishings (new)	100,000
<u>State Capitol/Visitor Services</u>		
State Capitol & Visitor Center	New exhibits	8,300

Museum of History. Raleigh

January, 1997 through July, 1997	First Families of North Carolina, new	60,000
Summer, 1997	Health & Healing Experiences in North Carolina, new	987,523
Spring, Summer 1997	Survivors & Liberators: North Carolina and the Holocaust, new	40,000
New exhibits installed yearly	Tar Heel Junior Historian Gallery, refurbish	5,000
Rotations and new materials yearly	Folklife Gallery, refurbish	5,000
Updates/new installations yearly	Sports Hall of Fame, refurbish	4,000
Irregular Changes and Updates	Lobby/Demonstration Gallery/Recent Acquisitions, refurbish	5,000
November, 1997	Military History Exhibits (Rotating Subjects), new	200,000
January, 1998	Visible Storage, expansion	300,000
December, 1997	Celebrations!, new	20,000

Museum of the Albemarle. Elizabeth City

January 24 through August 1997	Fire and Wind: Disasters of the Albemarle, new	3,000
March 21 through August 1997	Hats and Accessories, new	700
Opens May 1997; long term	Enlightening: Higher Education in the Albemarle, new	800
	Eden's Colonial Compound (Archaeology), new	3,000
	Holiday Exhibit, new	2,000
	Temporary Gallery Lighting Upgrades, refurbish	1,000
	Permanent Gallery, refurbish	2,000

Museum of the Albemarle. New Facility

	Exhibition Planning, 10,000 sq. ft. galleries; expansion	100,000
	Lobby Transportation Exhibit, expansion	30,000
October 15, 1997	North Carolina Women Making History, expansion	12,000

Museum of the Cape Fear. Fayetteville

June-August, 1997	Early Exploration and Settlement Gallery, refurbish	16,250
September - December, 1997	Colonial Era & American Revolution Gallery, refurbish	10,000
June, 1997 - June, 1998	Children's Discovery Gallery, new	22,000
January - March, 1998	Ante-bellum Gallery, expansion	12,500
January - March, 1998	Native American Gallery, expansion	10,000
January, 1999	The Cape Fear Region Since 1960, new	5,000

Mountain Gateway Museum. Old Fort

	Folk Medicine in Western North Carolina, expansion	3,000
	Before Blue Suede - Regional Footwear, new	4,500
	Mountain Blacksmithing, refurbish	3,000

Total: \$16,928,221



North Carolina Department of Cultural Resources
Office of the Secretary

James B. Hunt, Jr., Governor

Betty Ray McCain, Secretary

MEMORANDUM

To: Lynn Muchmore

From: Betty Ray McCain *BRMcC*

Subject: Capital Reserve for Museum Exhibits-DCR Priorities

Date: April 21, 1997

Thank you for meeting with Betsy and me this morning to discuss the Department of Cultural Resources' request for funding from the proposed Reserve for Exhibits in the Governor's capital budget. We understand that both the Roanoke Island Commission (*Elizabeth II*) and the Museum of Natural Science projects are not to be included in our request for \$1.9 million. Our priorities are as follows:

1. State Capitol & Visitor Center-new exhibits	\$ 8,300
2. Museum of History-Health and Healing-new	\$987,523
3. Museum of History-Visible Storage, expansion	\$300,000
4. Fort Fisher-Visitor Center exhibits (ca. 1965)	\$250,000
5. Fort Fisher Preservation Lab-"Beneath the Waves" refurbish	\$4,000
6. Stagville Center-Classroom gallery (refurbish exhibit)	\$15,000
7. Tryon Palace-Replace period Venetian blinds for Palace, Kitchen Office, Stanly House, Dixon-Stevenson House	\$30,000
8. Tryon Palace-Replace period textile furnishings for Palace, and Stanly House	\$100,000 \$100,000
9. CSS <i>Neuse</i> -Enclosed Boat Shelter (expansion, 1/4 total cost)	\$105,177
Total:	\$1,900,000

Please let me know if you need further information. Thank you.

cc: Deputy Secretary Betsy Buford
Renné Vance
Michele T. Nelson ✓

ATTACHMENT 3

LOCATION	FY 95-96 # Visitors	FY 95-96 Approp.	FY 95-96 Receipts	FY 95-96 Total budget	Per Visitor Cost		Department of Insurance Value	Insurance Contents Value*
Roanoke Island Festival Park (Elizabeth II)	102,824	\$ 229,882	\$ 99,347	\$ 329,229	\$ 2.24		\$ 503,806	\$ 370,000
Archives and History								
Outer Banks History Center	1,024	\$ 190,539	\$ 1,387	\$ 191,926	\$ 186.07		\$ 762,801	(see Elizabeth II)
Stagville Center	4,697	\$ 129,681	\$ -	\$ 129,681	\$ 27.61		\$ 1,645,252	\$ 59,000
N.C. Museum of Art	229,493	\$ 3,626,543	\$ 148,947	\$ 3,775,490	\$ 15.80		\$ 18,224,591	\$ 2,098,000
Grand totals for Department:	1,842,873	\$ 13,299,268	\$ 1,310,953	\$ 14,610,221	\$ 7.22		\$ 112,763,184	\$ 15,267,200
Note: A 1995 study by the N.C. State University Office of Parks and Tourism Research indicated that N.C. State Historic Sites Per Visitor Expenditure was \$51.15 per day.								
*N.C. Transportation Museum was closed for several months during a major construction project.								
**These insurance values are for equipment and furniture and do not include paintings and artifacts. Book value on the Museum of Art paintings alone is \$1/2 billion.								



DIVISION OF FOREST RESOURCES AIRCRAFT FIRE CONTROL COMPARISONS

CL-215 - Water Scooping Amphibious Fire Control Aircraft

- Available for initial attack
- Pre-positioned on a daily basis
- Available 365 days/year
- Rapid turn-around using scooping capability
One drop every 5 to 10 minutes

North Carolina National Guard Aircraft

1. C-130 MAFFs units
 - Require 24 hour notification to configure the C-130 with the MAFF unit
 - Only available as support aircraft
 - No initial attack capability until C-130s are on station
 - Cannot remain on fire control standby for extended period of time
 - Extended refill time after landing - turn around 1 hour +
2. Blackhawk Helicopters
 - Require 2 hour notification - support role until they are physically on station
 - Cannot remain on fire control standby for extended period of time.

CL-215 COST SAVINGS ESTIMATES

- During the period, 1979-1995, forest fires destroyed or damaged approximately \$40 million in forest resources or real property.
- Based on a study conducted in 1996, three (3) CL-215 aircraft, strategically placed in the state could have reduced the \$40 million in damage or destruction by approximately \$15 million.
- The Provinces of Canada, where CL-215s are operational have experienced up to a 80% reduction in acreage lost after utilizing the CL-215 aircraft for forest fire control purposes.
- A conservative estimate of 25-50% reduction in acreage loss from forest fires in North Carolina is estimated, using three CL-215 aircraft.
- Contractual services with other states in the United States could net the State of NC \$975,000 for the use of three CL-215s for a 60-day contract each year.

Q - ALTERNATIVE AIRCRAFT CONSIDERED COMPARABLE TO CL-215

A - THE CL-215 IS THE ONLY AIRCRAFT AVAILABLE THAT IS SPECIFICALLY DESIGNED FOR AERIAL FIREFIGHTING WORK. OTHER AIRCRAFT CONSIDERED THAT PERFORMED AS WATER-SCOOPING SHIPS ARE REFURBISHED. VINTAGE MILITARY AIRCRAFT THAT:

1. DO NOT HAVE THE LOAD CARRYING CAPACITY OF THE CL-215
2. ARE EXTREMELY EXPENSIVE TO MAINTAIN DUE TO LIMITED OR NO PARTS AVAILABILITY AND LIMITED MAINTENANCE EXPERTISE IN THE PUBLIC OR PRIVATE SECTOR TO PROVIDE MAINTENANCE.
3. TWO PBY (WATER-SCOOPING) AIRCRAFT WERE USED IN WESTERN NORTH CAROLINA FOLLOWING HURRICANE HUGO. THESE AIRCRAFT DID NOT MEET THE REQUIREMENTS FOR SCOOPING WATER AND DROPPING ON FOREST FIRES.

Q - MAJOR REPAIRS AND REPLACEMENT CONSIDERATION FOR CL-215

A - THE MAINTENANCE COSTS IDENTIFIED IN THE BUDGET PROPOSAL WOULD COVER INITIAL PROVISIONING FOR SPARE PARTS AND THE CONTRACTUAL COSTS FOR PROVIDING ALL THE PARTS NEEDED FOR MAINTENANCE ON AN ANNUALIZED BASIS. THIS CONTRACTUAL SERVICE COULD REMAIN IN EFFECT FOR THE LIFETIME OF THE AIRCRAFT, WHICH WOULD DISTRIBUTE MAJOR REPAIR COSTS THROUGHOUT THE LIFE OF THE AIRCRAFT.

NORTH CAROLINA - OPTIONS FOR THE PROCUREMENT OF CL-215 SERVICES-4/97

1. LEASE FROM CANADIAN OPERATOR

60 day contract	\$325,000
200 flight hours	\$112,000

TOTAL	\$437,000

NOTE: AIRCRAFT NOT AVAILABLE EXCEPT ON SPECIFIED CONTRACT DATES WHICH CANNOT EXTEND BEYOND MAY 1ST

2. PURCHASE - 20 YEAR - ANNUALIZED

-Purchase price \$4,000,000

A.	-Depreciation - Straight line - 20 years	\$200,000
	-(Add maintenance and operating costs from budget worksheets)	

B.	-Amortize - 20 years @ 5.5% (Prime)	\$220,000
	-(Add maintenance and operating costs from budget worksheets)	

C.	-Amortize - 20 years @ 8.5%	\$340,000
	-(Add maintenance and operating costs from budget worksheets)	

3. LEASE - OFFER BY CANADAIR

-Asset value-\$4,000,000

-Terms - 5 year lease \$650,000 per year

-Pay residual value of 55% (\$2,200,000) at the end of 5 year lease

-(Add maintenance and operating costs from budget worksheets)

NOTE: \$1,800,000 FINANCED @ 28% INTEREST PER ANNUM

DIVISION OF FOREST RESOURCES

4-23-97

1 - CL-215 AIRCRAFT

FUNDING NEEDS

Purchase and operation of one CL-215 (used) aircraft

<u>Non-Recurring</u>	<u>1st Year</u>	<u>2nd - 5th Year</u>
1 - CL215 Aircraft (including customs fee, sales tax, communication equipment & other equipment)	\$4,035,100	\$-0-
 <u>Recurring</u>		
Pilots, equipment maintenance & parts	\$ 788,700	\$ 706,950/Year
 <u>TOTAL REQUIREMENTS</u>	 \$4,823,800	 \$ 706,950/Year

1 - CL-215 AIRCRAFT

FUNDING NEEDS - DETAILED SUMMARY

Purchase and operation of one CL-215 (used) aircraft

<u>Non-Recurring</u>	<u>1st Year</u>	<u>2nd - 5th Year</u>
1 - CL-215 Aircraft	\$4,000,000	\$-0-
Customs Fee	10,000	-0-
Sales Tax	1,500	-0-
Office Equipment	2,000	-0-
Computer Equipment	9,600	-0-
Communications Equipment (low band aircraft radio)	<u>12,000</u>	<u>-0-</u>
TOTAL NON-RECURRING	\$4,035,100	\$-0-
<u>RECURRING</u>		
STAFF	\$ 191,657	\$ 191,657/Year
2 Pilots, 1 Aviation Mechanic & 1 Avionics Technician		
MAINTENANCE	\$ 383,151	\$ 335,401/Year
(Based on 225 flight hours - 1st year and 175 flight hours for each following year) includes maintenance program, liability insurance & spare parts		
OTHER OPERATING COSTS	\$ 25,992	\$ 25,992/Year
Purchased Services - transportation, lodging telephone, training		
SUPPLIES, aircraft fuel & oil (225 flight hrs - 1st year & 175 flight hrs for each following year) & miscellaneous supplies	\$ 187,900	\$ 153,900/Year
	<u> </u>	<u> </u>
TOTAL RECURRING COST	\$ 788,700	\$ 706,950/Year
<u>TOTAL REQUIREMENTS</u>	\$4,823,800	\$ 706,950/Year

CL215-ONE AIRCRAFT

APRIL 23, 1997

				1st Year	2nd year	3rd year	4th year	5th year
Staff								
Grade	Classification							
73 (2)	1211Salary - Forestry Pilot	\$42,395						
	1511Social Security	\$3,243						
	1521 Retire Cont	\$4,591						
	1561 Med Insurance	\$1,735						
70	1211Salary - Avionic Technician	\$37,144						
	1511Social Security	\$2,842						
	1521 Retire Cont	\$4,023						
	1561 Med Insurance	\$1,735						
68	1211Salary - Aircraft Mechanic	\$33,972						
	1511Social Security	\$2,599						
	1521 Retire Cont	\$3,679						
	1561 Med Insurance	\$1,735						
	TOTAL			\$ 191,657	\$ 191,657	\$ 191,657	\$ 191,657	\$ 191,657
Maintenance								
	Maintenance @ 225/175 hours	\$ 251,651						
	Liability Insurance	\$ 17,500						
	Hull Insurance	\$ -						
	Spare Parts (on consignment)	\$ 114,000						
	TOTAL			\$ 383,151	\$ 335,401	\$ 335,401	\$ 335,401	\$ 335,401
Other Operating Cost								
	2XXX Purchased Services							
	Ground Transportation	\$ 4,032						
	Lodging	\$ 2,480						
	Meals	\$ 1,240						
	Training expense	\$ 12,000						
	Telephone/Pagers	\$ 2,240						
	Other Repairs	\$ 4,000						
	TOTAL			\$ 25,992	\$ 25,992	\$ 25,992	\$ 25,992	\$ 25,992
	3XXX Supplies							
	Office and other	\$ 2,800						
	Safety	\$ 1,400						
	Gasoline	\$ 2,400						
	Motor Vehicle Rep Parts	\$ 3,000						
	Scientific/Hardware	\$ 2,800						
	Uniforms	\$ 2,500						
	Fuel and Oil & 225/175	\$ 153,000						
	Foam Concentrate	\$ 10,000						
	Other Supplies/tie down/charts	\$ 10,000						
	TOTAL			\$ 187,900	\$ 153,900	\$ 153,900	\$ 153,900	\$ 153,900
TOTAL RECURRING COST				\$ 788,700	\$706,950	\$706,950	\$706,950	\$706,950
Equipment/Furnishings								
	4542 Aircraft	\$ 4,000,000						
	Customs Fee	\$ 10,000						
	Sales Tax	\$ 1,500						
	4521Office Equip	\$ 2,000						
	4522 Comp Equip	\$ 9,600						
	4528 Comm Equip	\$ 12,000						
	TOTAL NONRECURRING COST			\$ 4,035,100	\$ -	\$ -	\$ -	\$ -
Total Requirements				\$4,823,800	\$706,950	\$706,950	\$706,950	\$706,950
Less Receipts				\$0	\$0	\$0	\$0	\$0
Appropriation				\$4,823,800	\$706,950	\$706,950	\$706,950	\$706,950

APPROPRIATIONS

Subcommittee on Capital & Budget

Minutes
May 14, 1997

The APPROPRIATIONS Subcommittee on Capital & Budget held a meeting on Wednesday, May 14, 1997 after session at 3:30 P.M. in room 415 of the Legislative Office Building. The members present were Representatives Carolyn Russell, Cochair, Representative Gene Wilson, Co-Chair, Gene Arnold, Cherrie Berry, Walter Church, Sr., Beverly Earle, Charlotte Gardner, Bobby Hall, Verla Insko and William Ives. Staff-Lynn Muchmore, Janice Wheeler, Emily Johnson, Phyllis Pickett, and Clerk-Rebecca Jones were also present.

Representative Russell called the meeting to order. First, Harry Sumner, the pilot for the Water Scooping Airplane, talked to the committee about the condition of the plane. Mr. Sumner explained to the committee that the plane has been very well maintained. The hours on the plane are more important than the age of the plane. Diane Parish told the committee the final price of the plane was \$4,035,100. Yearly operation cost for pilot and equipment were \$788,700. A 60- day lease would cost \$437,000, and that lease can not be in effect after May 1. Representative Hall made a motion for \$4,035,100 to fund this aircraft. The motion carried. A special provision was being drafted, for the plane to be leased out while not in use in North Carolina.

The next item discussed by the committee was the Governors Budget. A worksheet was passed out to the committee prepared by Janice Wheeler. This worksheet was made part of the minutes. Attachment 1. The State Government Visitor Center was the first item discussed on the worksheet. The parking garage can pay for itself. A motion made by Representative Insko for \$1,000,000 with some provisions for the planning of the Visitor Center was carried. There was a question mark put beside that item.

The next item was the Multi - Purpose Events Building Planning. A question mark was put by this item for discussion at a further time.

Representative Gardner wanted a list of projects that have not been completed, and the cost to finish those projects. A handout was given to the committee with that information. Attachment 2.

The Eastern NC Agricultural Center was next on the worksheet. A motion was made by Representative Gardner to fund \$3,500,000 to finish the project. The motion was carried.

The Cape Fear Museum was the next item covered. The proposed amount for funding was \$1,100,000. A question mark was placed beside that item.

Blue Ridge Parkway Scenic Vistas was discussed and Representative Hall made a motion not to fund this request. Motion carried.

A motion was made to fund The Eastern NC SD Independent Living Complex Planning Project with \$500,000. Motion was carried.

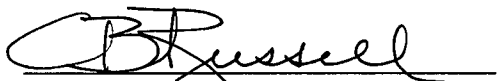
Representative Russell adjourned the meeting.

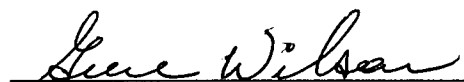
ATTACHMENTS

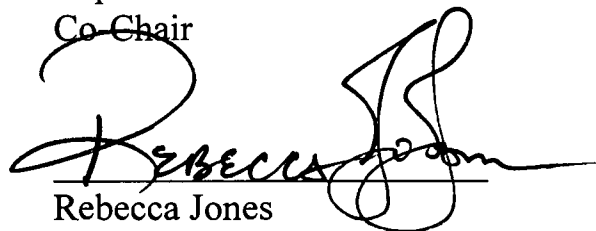
Attachment 1-Worksheet

Attachment 2-Unfinished projects

Respectfully Submitted,


Representative Carolyn Russell
Co-Chair


Representative Gene Wilson
Co-Chair


Rebecca Jones
Clerk

			CAPITAL IMPROVEMENTS	F	G	H	J
				Governor's	Senate		
				Recommen-	Direct/Appr.		House
				dations	& R/R		Proposals
					Earmarking		Priority
							Ranking
77	HB 1211	2,300,000	UNC Greensboro Music Building Supplement				
78			UNC Pembroke Construction of a new Residence Hall		5,979,500		
79			UNC Asheville Kellogg Center		500,000		
80	HB 1211	792,700	UNC Asheville Graduate Center, Phase II (Completion of third floor)				
81			NCSU Completion of Eastern 4-H Environmental Education Center, Tyrrell County		5,545,300		
82			NCSU Finalize Construction Drawings for JC Raulston Arboretum		87,000		
83	HB 1200/121	2,363,000	NCSU Expansion of the CMAST Building		2,363,000		
84			NCSU Nelson Hall		6,914,900	R/R	
85			ECU Completion of expansion of the Dowdy-Ficklen Stadium		7,000,000		
86			UNC Charlotte Construction of a new building for Polymer's Ext. Program		1,450,000		
87	HB 223	6,137,500	WSSU Expansion/Renovation of F. L. Atkins Nursing Building				
88	HB 620	25,422,100	WCU Arts Center				
89	HB 883	900,000	Piedmont Triad Center for Advanced Manufacturing				
90	HB 963	16,275,000	FSU Fine Arts Center				
91							
92							
93			Department of Communtiy Colleges				
94	HB 273	2,412,600	Center for Applied Textile Technology		500,000		
95			Institute for Aeronautical Technology (Referred from House NER Subcommittee)		1,000,000		
96	HB 957	2,500,000	Pitt Community College Industrial Building				
97							
98			Other Reserves for Museum Exhibits	9,000,000	SEE DEHNR		
99							
100			Total	62,560,300	130,110,585	0	
101							
102	HB 582		Redirecting of funds in Ch. 561, 1993 SL				
103	HB 1174		Reallocation of Sec. 94 of 1993, \$700,000 for Rocky Mt. to Wilson County Farmers Market				
104	HB 1151	800,000	Eastern NC Livestock Arena				
105	HB 1171	3,500,000	Blue Ridge Destination Center				
106							
107							
108							
109							
110							
111							

	B	C	D	E	F	G	H	I	J
1			CAPITAL IMPROVEMENTS						
2									
3					Governor's	Senate		House	
4					Recommen-	Direct Appr.		Proposals	Priority
5					dations	& R/R			Ranking
6						Earmarking			
42									
43			Department of EHNR:						
44			Water Resources Development/Watershed Projects		4,000,000	6,343,000			
45	HB 289	7,100,000	Natural Science Museum Exhibits		See Reserve	7,600,000			
46	HB 593	34,567,000	NC Aquariums			11,500,000			
47			Forestry Headquarters, Wayne County			100,000			
48	HB 971	500,000	Jones Forestry Building						
49	HB 190	416,900	Madison County Forestry Headquarters Facility						
50	HB 635		Addition to State Parks System						
51	HB 763	3,000,000	Establish a NC Museum of Forestry in Columbus County			1,500,000			
52			Blue Ridge Parkway Scenic Vistas		2,000,000				
53	HB 111	12,000,000	Amphibious Water Scooping Tanker Aircraft		4,000,000				
54	HB 610	5,000,000	South Mountain Gamelands			5,000,000			
55									
56									
57			Department of Human Resources:						
58			Eastern NC SD Independent Living Complex Planning		500,000	500,000			
59			Western Carolina Center (8 Repair/Renovation projects)			3,614,055	R/R		
60									
61									
62			University						
63			Land Acquisition/Information Technology Equipment		20,000,000				
64			UNC Public Television Columbia Transmitter, Tower			7,144,500			
65	HB 1211	1,700,000	UNC General Administration School Leadership Academy						
66	HB 1211	3,000,000	Elizabeth City Fine Arts Building Completion			3,000,000			
67	HB 33	6,300,000	A & T Land Acquisition						
68	HB 32	33,000,000	A & T General Classroom and Lab Building			4,000,000			
69	HB 1172	11,482,000	A & T Hines Hall Complex						
70	HB 1211	5,000,000	ASU Convocation Center						
71	HB 1211	2,800,000	UNC Chapel Hill Paul J. Rizzo Conference Center						
72	HB 494	16,100,000	UNC Chapel Hill Institute of Government Knapp Building Addition/Renovations			8,532,100	R/R & DA		
73			UNC Chapel Hill Beard Hall-School of Pharmacy			8,824,600			
74			UNC Chapel Hill Botanical Gardens			350,000			
75			UNC Chapel Hill Carolina Living and Learning Center			1,274,275			
76			UNC Greensboro Science lab and Classroom Building			8,000,000			

B	C	D	E	F	G	H	I	J
			CAPITAL IMPROVEMENTS					
				Governor's Recommen- dations	Senate Direct Appr. & R/R Earmarking		House Proposals	Priority Ranking
			Department of Administration					
			State Government Visitors' Center Planning	1,000,000	0			
			Department of Agriculture					
			Multi-purpose Events Building Planning	600,000	1,000,000			
HB 330	3,444,400		Piedmont Triad Farmers Market Wholesale/Retail Building Planning		300,000			
HB 331	537,000		Rollins Lab		537,000	R/R		
HB 362	8,200,000		Southeastern NC Agricultural Center		1,000,000			
HB 364	5,527,500		Environmental Farming System (Cherry)					
HB 472	1,000,000		Dorton Arena Improvements					
			Eastern NC Agricultural Center	3,500,000	3,500,000			
			Cattle and Livestock Exposition Center (Iredell County)	6,000,000	0			
			Maritime Museum Land Acquisition (Has since received \$250,000 for NHTF)	1,500,000	1,500,000			
HB 255	20,000,000		State Ports Authority					
			Wilmington	2,500,000	3,000,000	R/R		
			Morehead City	2,500,000	3,000,000	R/R		
			Department of Correction					
			Planning for single cell facility, Alexander County (Total project \$61.3 million)	300,000	300,000			
			Funding for a multi-purpose modular building, Carteret County		400,000			
			Department of Crime Control and Public Safety					
			Charlotte NG Armory	1,260,300	1,260,300			
			Department of Cultural Resources					
			Museum of History Restaurant	1,800,000	1,191,055	R/R		
			Reserve for State Historic Civil War Sites		1,000,000			
			Cape Fear Museum	1,100,000	1,100,000			
			Museum of Albemarle Planning/Site Development	1,000,000	1,000,000			
			Roanoke Island Commission-Exhibits at the Festival Park		1,400,000			
HB 991	2,500,000		USS NC Battleship					
HB 950	3,100,000		Spencer Shops					

CAPITAL IMPROVEMENT PROJECTS WHICH HAVE PREVIOUSLY RECEIVED FUNDING

			Total Cost	Previously Funded	Balance
East Carolina University					
Science Laboratories and Technology Building (259,000 sq. ft.)	p. 210	Cur. Capacity	48,997,600	1,000,000 1996	47,997,600
Elizabeth City State University					
Addition to the Academic Computer Center (25,000 sq. ft)	p. 211	Cur. Capacity	3,707,600	150,000 1995	3,557,600
Fayetteville State					
Fine Arts and General Classroom Facility (114,000 sq. ft)	p. 212	Cur. Capacity	16,274,400	750,000	15,524,400
NC A & T State					
General Classroom and Laboratory Building Complex #1 (Construction of a 135,200 sq. ft bldg; renovation of 2 buildings--Crosby Hall and Merrick Hall)	p. 235	Program Quality	33,526,200	2,000,000	31,526,200
NC State University					
Nelson Hall Renovation-Phase II (150,000 sq. ft)	p. 215	Cur. Capacity	8,264,900	1,350,000	6,914,900
Undergraduate Science Teaching Laboratories-Phase 1	p. 236	Program Quality	21,878,900	650,000	21,228,900
UNC Asheville					
Conference Center Complex of approximately 76,650 sq. ft)	p. 242	Special Purpose	21,320,600	4,251,400	17,069,200
UNC CH Academic Affairs					
Addition & Renovation, Knapp Building, Institute of Gov.	p. 243	Special Purpose	16,802,700	700,000 1994	16,102,700
Hill Hall Music Library	p. 237	Program Quality	8,020,900	850,000	7,170,900
UNC CH Health Affairs					
Addition to Beard Hall-School of Pharmacy (50,000 sq. ft)	p. 219	Cur. Capacity	9,824,600	1,000,000	8,824,600
Medical Biomolecular Research Building (125,000 sq. ft)	p. 220	Cur. Capacity	30,493,800	1,000,000	29,493,800
UNC Charlotte					
Academic Facilities-Humanities (120,000 sq. ft)	p. 221	Cur. Capacity	21,597,300	1,000,000	20,597,300
UNC Greensboro					
Science Instructional Building (157,000 sq. ft)	p. 238	Program Quality	39,099,400	2,000,000	37,099,400
UNC Pembroke					
New Residence Hall	p. 248	Support Facility	8,779,500	2,800,000 1996	5,979,500
Western Carolina University					
Fine and Performing Arts Center (139,200 sq. ft)	p. 225	Cur. Capacity	27,422,100	2,000,000	25,422,100
UNC Public Television					
Replacement and Upgrade of WNCD-TV, Channel 2 Columbia Transmitter, Tower and Ancillary Equipment	p. 244	Special Program	7,644,500	500,000	7,144,500
Total University			323,655,000	22,001,400	301,653,600
Agriculture					
Maritime Museum Land Acquisition (Town Creek)			3,200,000	1,700,000	1,500,000
Southeastern Agricultural Center Development (1993 and 1994)				6,100,000	?
Eastern NC Agricultural Center (1992-1996)				13,800,000	3,500,000
Cattle and Livestock Facility				735,000	6,000,000
Correction					
Construction of a 196 Diagnostic Center at Central Prison			Sec. 20.21 of 1996 Session		18,139,300
Construction of a 168 Cell Addition at Warren CC			authorized planning of		14,840,200
Construction of a 520 Cell Close Custody Institution within Metro area			these 7 facilities		60,646,900
Construction of a 712 Cell Close Custody Institution, Scotland County					70,537,500
Construction of a 208 Bed Dormitory and Food Service Building, NCCI for women					10,181,300
Construction of a 520 Cell Close Custody Institution, Alexander County					60,714,900
Construction of a DOC Consolidated Office Building, Wake County					47,391,600

CAPITAL IMPROVEMENT PROJECTS WHICH HAVE PREVIOUSLY RECEIVED FUNDING

	Total Cost	Previously Funded	Balance
Crime Control and Public Safety			
NG Armory, Mecklenburg (State share only)	1,347,900	87,600	1,260,300
Cultural Resources			
Tryon Palace--Acquisition of Barbour Boat Works site	2,000,000	1,000,000	1,000,000
Art Museum Completion (Addition)		250,000	21,261,000
Museum of History--Completion of Restaurant Facility			1,800,000
Albemarle Museum		1,150,000	9,000,000
Roanoke Island Festival Park (Exhibits in 1997-98)		10,250,000	1,883,648
Justice			
SBI Complex-Operations Building to house computer operations, etc			17,483,400
Western NC Justice Academy Development		7,470,000	5,827,100
Environment, Health, and Natural Resources			
NC Aquariums Expansion		2,500,000	34,567,000
Museum of Natural Sciences Exhibits		500,000	7,100,000
Administration			
Visitors Center/Parking Deck		430,000	20,300,000
Total			716,587,748



North Carolina Department of C
Office of the Secretar

James B. Hunt, Jr., Governor



*The corrected
data, per
our discussion.*

Donna B. Rosefield
Assistant To The Deputy Secretary
Department of Cultural Resources

109 East Jones Street
Raleigh, N.C. 27601-2807

Telephone (919) 733-4867
Fax: (919) 733-1564

MEMORANDUM

TO: Michele Nelson

FROM: Donna Rosefield *DBR*

DATE: May 7, 1997

SUBJECT: "DCR Report on Per Visitor Cost" dated April 21, 1997

It has been brought to our attention that there was a significant error in the data provided to you in the above-noted report. On page 2, the Outer Banks History Center is noted as having 1,024 visitors in FY 95-96.

Attachment #1 of this memorandum indicates the correct data - 1,024 is the number of people who visited the Search Room but does not include Gallery and Program visitors.

Attachment #2 shows the data for the last several years. You will see that column 1 at the top (Visitors) states 1,024. Column 4 (Estimated Gallery Visitors) shows 43,850; Column 6 (Attendance) shows 900 visitors. Therefore the total number of visitors FY 95-96 is 45,774.

We apologize for any confusion this error has caused.

cc: Secretary Betty Ray McCain
Deputy Secretary Betsy Buford
Dr. Lynn Muchmore
Renne' Vance

ATTACHMENT #1:

Correction to DCR Report on Per Visitor Cost and Building Values, dated April 21, 1997:

Total FY 95-96 Visitors to Outer Banks History Center --

Visitors to Search Room were:	1,024 (this was number originally shown)
Estimated Visitors to Gallery:	43,850
Visitors for programs:	<u>900</u>
TOTAL VISITORS, FY 95-96	45,774

FY 95-96 Appropriation: \$190,539

Per Visitor Cost to OBHC: \$ 4.16, not \$186.07

ATTACHMENT #2:

Traffic Summary, FY 96

Period	Visitors	Telephone Inquiries	Written Inquiries (including e-mail)	Estimated Gallery Visitors	Meetings	Attendance
July 95	110	57	4	15100	5	61
August 95	98	49	4	14300	7	109
September 95	94	50	9	7400	8	98
October 95	80	44	11	3700	12	227
November 95	76	51	5	2400	9	92
December 95	39	34	6	950	1	47
January 96	48	40	4	Closed	1	6
February 96	84	44	6	Closed	1	9
March 96	104	76	10	Closed	3	35
April 96	88	69	9	Closed	7	95
May 96	92	71	9	Closed	6	79
June 96	111	81	8	Closed	4	42
Total FY 96	1024	666	85	43850	64	900

Traffic by Calendar Year

Period	Visitors	Telephone Inquiries	Written Inquiries (including e-mail)	Estimated Gallery Visitors	Donation- Box Receipts
Total 1990	369	184	23	24,600	
Total 1991	685	422	45	66,040	235.93
Total 1992	920	425	46	46,900	496.39
Total 1993	901	618	75	41,430	310.83
Total 1994	966	606	72	54,900	391.33
January	44	40	8	0	40.24
February	77	45	5	750	
March	96	72	9	2600	
April	78	60	6	8000	62.83
May	89	78	7	8100	31.88
June	97	75	6	11200	33.57
July	110	57	4	15100	46.58
August	98	49	4	14300	37.00
September	94	50	9	7400	61.09
October	80	44	11	3700	10.85
November	76	51	5	2400	8.91
December	39	34	6	950	
Total 1995	978	655	80	74,500	332.95
January	48	40	4		
February	84	44	6		
March	104	76	10		
April	88	69	9		
May	92	71	9		
June	111	81	8		
July	127	99	12		
August	105	104	14		
September	133	113	23		
October	122	107	17		
November	90	101	19		
December	51	89	16		
Total 1996	1155	994	147		

gallery and donation box out of
service till 1998

APPROPRIATIONS

Subcommittee on Capital & Budget

Minutes
May 15, 1997

The APPROPRIATIONS Subcommittee on Capital & Budget held a meeting on Thursday, May 15, 1997 after session at 2:00 P.M. in room 415 of the Legislative Office Building. The members present were Representatives Carolyn Russell, Cochair, Representative Gene Wilson, Co-Chair, Cherrie Berry, Donald Bonner, Walter Church, Sr., Jerry Dockham, Beverly Earle, Charlotte Gardner, Verla Insko and William Ives, Mickey Michaux, Frank Mitchell and Charles Neely. Staff-Lynn Muchmore, Janice Wheeler, Emily Johnson, Phyllis Pickett, and Clerk- Rebecca Jones were also present.

Representative Russell called the meeting to order.

First on the agenda was the report from the temporary working group on UNC Capital Priorities. A handout was given to the committee and made part of the minutes. Attachment 1. A motion was made to accept the report from the working group on UNC Capital Priorities for \$126,934,500. The motion carried.

The next item discussed was Senate Bill 537. A copy of this bill was made part of the minutes. Attachment 2. A map was given to the committee. This was made part of the minutes. Attachment 3. According to Duke Power the land has not be appraised. An estimate of the cost was 15 million, but that was not the final cost. Two letters were passed out to the committee. They were made part of the minutes. Attachments 4. There was some discussion of the language of the bill, and the committee made a motion to displace Senate Bill 537. The motion carried.

Another handout was passed out to the committee titled- Recommended Water Resource Development Projects. This was made part of the minutes.

Attachment 5. A motion was made to accept the report with out Wanchese Marsh Creation and Protection. The Wetland Funds covered that item. The motion was carried.

The North Carolina Aquariums, on the capital improvement worksheet, was discussed next. The committee referred to the six year Capital Request for the DEHNR. Attachment 6. There was a motion made not to fund the North Carolina Aquarium. The motion was carried.

Next on the worksheet was the Cattle and Livestock Exposition Center (Iredell County). \$600,000 was the recommended amount. A motion was made by Representative Mitchell to fund that project. Motion was carried.

The Southeastern NC Agricultural Center was next on the worksheet. No action was taken on this item.

Maritime Museum Land Acquisition was the next item discussed. A motion was made to fund the project \$1,150,000. Motion was carried.

The Piedmont Triad Farmers Market Wholesale/Retail Building Planning was discussed next. Representative Wilson made a motion to fund that project \$300,000. Motion was carried.

A motion by Representative Wilson was made to reconsider the Piedmont Triad Farmers Market Wholesale/Retail Building Planning funds. Motion was carried. Representative Wilson made a motion to fund this project for the full amount of \$3,444,00. The motion was carried.

Representative Russell adjourned the meeting.

ATTACHMENTS

Attachment 1-Report of Temporary Work Group on UNC Capital Priorities

Attachment 2-Senate Bill 537

Attachment 3-Map

Attachment 4-letters

Attachment 5-Recommended Water Resources Development Projects

Attachment 6-Six year capital request

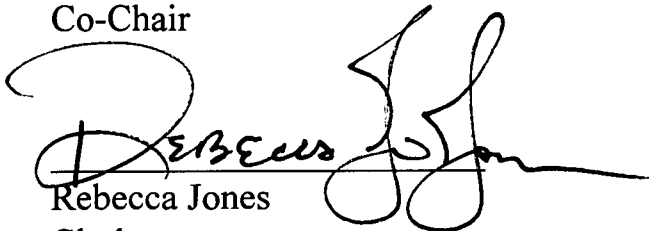
Respectfully Submitted,



Representative Carolyn Russell
Co-Chair



Representative Gene Wilson
Co-Chair



Rebecca Jones
Clerk

Report of Temporary Work Group on UNC Capital Priorities

To: Rep. Carolyn Russell

Rep. Gene Wilson

Copies to: Rep. George Holmes
Rep. Theresa Esposito

Rep. Rep. Billy Creech
Rep. Jim Crawford

The work group met on three occasions and reviewed a number of items. Specifically, we looked at:

1. The **UNC Board of Governors Capital Budget Request** for the 1997-99 Biennium;
2. Senate Bill 833 "**UNC Non-Appropriated Projects**";
3. All **other House bills requesting capital funding for UNC projects** that did not duplicate the UNC Board's request;
4. The list of **UNC projects in Senate Bill 352** (Current Budget and Capital Appropriations Act) now in the House Appropriations Committee;
5. The **Repair and Renovations Reserve use** in Senate Bill 352 (Section 31.3); and
6. Comparative **data for UNC campuses on academic space per student** and the use of that space.

The Work Group was impressed by the approach taken by the UNC Board of Governors to address priorities in a methodical manner, and feels strongly that these priorities should be the primary basis for the General Assembly's funding of capital projects.

Recommendations

A. UNC Board of Governors Capital Priorities

The Work Group recommends the attached two page list of capital projects for UNC. It follows the UNC Schedule of Priorities.

Priority 1: Repairs and Renovations

Since Repairs and Renovations are the top priority of the UNC Board, the Work Group recommends the current amount proposed in Senate Bill 352, with the UNC share amounting to \$62.1 million. These funds should be used to address those ongoing needs outlined at the campus level, and should not be earmarked for specific projects.

This proposal eliminates funding of specific projects from the Repair and Renovations Reserve. The two projects funded from the Reserve in Senate Bill 352 are fully funded from appropriations in this proposal.

Priority 2: Fire Safety Improvements for Student Residence Halls

At the direction of the 1996 Session, the UNC Board included an estimate of the total funding needed to complete fire safety improvements in student residences. The remaining balance, \$69.7 million, is viewed as a six- to eight-year plan, since the dormitories must be taken out of service in the summer or sequentially, and the contracting capacity for this work could not handle it in a shorter time frame.

The UNC Board requested \$18.4 million in authority for the biennium in Senate Bill 833 (UNC Non-Appropriated Projects) which it considers to be an amount feasible for completion over the next two years. Because of major concerns for the safety of students, the Work Group proposal includes \$9.2 million in appropriations to fund 50% of the fire safety needs over the next two years. This will move the work forward quickly, addressing the most critical needs first, while helping to mitigate the increase in dormitory rental rates necessitated by this effort.

Priority 3: University-Wide Facilities Plan

The Work Group proposal includes \$109.9 million for projects in this priority, with 72% going to fund projects in the "Current Capacity" category, the top priority of the UNC Board. In addition, there are two other projects which the Work Group saw as having special merit because of unusual circumstances.

A copy of the proposal for UNC Projects Funding is attached, as is an excerpt from the Facilities Inventory and Utilization Study: 1995 which the Work Group used in its deliberations.

B. Senate Bill 833 "UNC Non-Appropriated Projects"

The Work Group recommends that the full Subcommittee on Capital and Budget review this bill and consider the following items which deserve additional discussion.

- Three projects proposed for the UNC-Chapel Hill campus would be leased out to private foundations for the period of construction or financing, which effectively removes these projects from the current State laws governing the construction of public buildings. The Subcommittee may wish to consider adding some oversight or assurance that the facilities will meet certain standards.
- These same three projects anticipate requesting General Fund appropriations for operation of these facilities when complete, in a total amount of \$355,500 annually. One of the facilities, the Rizzo Conference Center for the UNC-CH School of Business, is to be used as a continuing education center for business executives, including 56 guest rooms.

- In the UNC Capital Priorities, the Work Group recommended funding the renovation and addition to the Knapp Building at UNC-Chapel Hill. Included in the overall cost of that project was \$1.3 million to construct a parking deck, which are normally funded from revenue bonds and parking receipts. The Work Group removed that amount from the funding for the project, and recommends that a parking deck for the Knapp Building at a cost of \$1.3 million be added to the authorizations in Senate Bill 833.

This completes our report. Thank you for the opportunity to address these issues, and we stand ready to work with you and the full Subcommittee. Please let me know if there are additional issues or questions which this report does not sufficiently address.

I would like to thank you for the fine members of the Work Group who helped craft this proposal:

Representative Berry
Representative Insko
Representative Kiser
Representative Warwick

Respectfully Submitted,



Rep. Gene Arnold

Attachments: 2

Hous NC Capital Work Group

Priority	Campus	Project	Funding		Comments
			1997-98	1998-99	
Fire Safety	Various	Fire Safety Improvements in Student Residence Halls	\$ 9,200,000		Amount is 50% of amount of authority requested in self-liquidating projects bill
Current Capacity	ECU	Science Labs & Technology Bldg.: Continued Design	\$ 2,000,000		Received Advanced Planning of \$1 million
	ECSU	Addition to Academic Computing Center	\$ 3,557,600		Received Advanced Planning
	NCCU	Lee Biology Renovation	\$ 1,359,200		
		Repairs to 5 Academic Bldgs.	\$ 10,515,000		
	NCSA	Classrooms, offices and support space for the School of Filmmaking	\$ 1,700,000		
	NCSU	Nelson Hall Renovation-Phase II	\$ 6,914,900		Previous funding of \$650,000
		Toxicology Bldg.	\$ 14,566,700		
	UNC-A	Asheville Graduate Center-Phase II	\$ 792,700		Completes third floor of previously constructed bldg.
	UNC-C	Academic Facilities	\$ 20,597,300		Received advanced planning of \$1 million
	UNC-W	General Classroom Bldg.	\$ 8,465,500		
	WCU	Renovate Camp Lab School-Phase II	\$ 2,226,600		
		Fine and Performing Arts Center-Additional Planning	\$ 1,000,000		Received advanced planning of \$2 million
	W-SSU	Addition & Renovation of F.L. Atkins Bldg.	\$ 5,198,500		
Current Capacity Subtotal			\$ 78,894,000		

Household NC Capital Work Group

Priority	Campus	Project	Funding		Comments
			1997-98	1998-99	
Future Capacity	ECU	Addition to Nursing/Home Economics Bldg.-Planning	\$ 500,000		
Technology	All Campuses		\$ 3,000,000		
Program Quality					
	NCCU	B.N. Duke Auditorium Addition/Renovation	\$ 2,962,500		
	UNC-CH	Hill Hall Music Library	\$ 7,170,900		Receive advanced planning of \$850,000
Program Quality Subtotal			\$ 10,133,400		
Special Purpose					
	NCSU	Research & Teaching Feed Mill	\$ 2,604,400		
	UNC-CH	Addition & Renovation of Knapp Bldg.-IOG	\$ 14,802,700		Received advanced planning of \$700,000; this amount separates parking deck to be a self-liquidating project.
Special Purpose Subtotal			\$ 17,407,100		
Non-Prioritized Projects					
	ASU	Additional Cost Convocation Center	\$ 5,000,000		
	UNC-CH	Rizzo Conference Center	\$ 2,800,000		Also included in self-liquidating projects bill
Grand Total			<u>\$ 126,934,500</u>		

HIGHER EDUCATION COMPREHENSIVE PLANNING PROGRAM

FACILITIES INVENTORY AND UTILIZATION STUDY
FALL OF 1995

For
THE STATE OF NORTH CAROLINA

TWENTY-NINTH EDITION

THE UNIVERSITY OF NORTH CAROLINA
Commission on Higher Education Facilities
Chapel Hill, North Carolina

September 1996

Table 1

Capacity / Enrollment Ratio

INSTITUTION	ASF of Instructional & Lib. Facilities	Total Student Clock Hours	Capacity / Enrollment Ratio				
			1995	1994	1993	1992	1991
<u>Research Universities I:</u>							
NC State	1,395,406	353,397.5	3.95	3.88	3.85	3.85	3.83
NC State Vet.	-	-	-	-	-	-	-
UNC-Chapel Hill	1,494,530	241,761.5	6.18	5.76	6.04	5.90	5.64
UNC-Health Aff.	-	-	-	-	-	-	-
SUBTOTAL	2,889,936	595,159.0	4.86	4.67	4.76	4.71	4.61
<u>Doctoral Granting- Universities I:</u>							
UNC-Greensboro	576,470	155,400.0	3.71	4.00	3.89	3.86	3.93
<u>Comprehensive I Universities:</u>							
Appalachian	686,266	169,601.5	4.05	4.14	4.07	4.14	4.27
East Carolina	770,498	221,406.5	3.48	3.29	3.26	3.43	3.60
ECU-Health Aff.	-	-	-	-	-	-	-
Fayetteville	294,099	49,520.5	5.94	4.70	4.78	4.90	5.20
NC A & T	563,637	116,364.5	4.84	4.73	4.71	4.92	5.26
NC Central	416,174	68,729.5	6.06	5.84	5.58	5.88	6.03
UNC-Pembroke	208,953	33,709.5	6.20	5.83	5.57	5.58	5.87
UNC-Charlotte	602,553	199,818.5	3.02	2.98	2.93	2.95	3.01
UNC-Wilmington	351,497	119,599.0	2.94	2.91	3.02	3.08	3.00
West'n Carolina	503,608	88,254.5	5.71	5.56	5.60	5.54	5.80
SUBTOTAL	4,397,285	1,067,004.0	4.12	4.00	3.91	4.02	4.15
<u>Comprehensive II and Liberal Arts I Universities:</u>							
Elizabeth City	219,209	36,647.5	5.98	6.21	5.82	6.24	7.19
UNC-Asheville	229,815	42,662.0	5.39	4.58	4.77	4.57	4.99
Winston-Salem	226,808	37,467.0	6.05	5.94	5.96	6.35	6.01
SUBTOTAL	675,832	116,776.5	5.79	5.51	5.51	5.63	5.93

Square Feet of Academic Facilities Per FTE Student

Table 2

INSTITUTION	ASF of Academic Facilities	FTE Enrollment	Sq. Ft. of Academic Facilities Per FTE Student				
			1995	1994	1993	1992	1991
<u>Research Universities I:</u>							
NC State	4,095,220	22,461	182	136	136	136	136
NC State Vet.	255,656	284	900	800	782	779	822
UNC-Chapel Hill	2,617,410	18,365	143	140	143	146	143
UNC-Health Aff.	1,414,102	3,405	415	398	395	385	390
SUBTOTAL*	6,712,630	40,826	164	137	139	141	139
<u>Doctoral Granting Universities I:</u>							
UNC-Greensboro	975,686	10,904	89	96	93	90	92
<u>Comprehensive I Universities:</u>							
Appalachian	1,032,236	11,428	90	91	93	92	95
East Carolina	1,158,683	14,414	80	77	76	75	79
ECU-Health Aff.	508,367	1,586	321	414	426	443	436
Fayetteville	417,572	3,428	122	102	103	106	113
NC A & T**	952,752	7,227	132	127	129	137	155
NC Central	573,921	4,729	121	116	116	117	124
UNC-Pembroke	289,097	2,562	113	106	105	106	109
UNC-Charlotte	859,268	13,315	65	65	64	66	67
UNC-Wilmington	510,989	7,757	66	66	66	68	65
West'n Carolina	713,368	5,999	119	123	130	129	133
SUBTOTAL*	6,507,886	70,859	92	90	90	91	95
<u>Comprehensive II and Liberal Arts I Universities:</u>							
Elizabeth City	310,761	1,890	164	155	151	161	182
UNC-Asheville	311,068	2,653	117	106	107	104	108
Winston-Salem	316,883	2,445	130	127	130	137	145
SUBTOTAL	938,712	6,988	134	127	122	124	131

Average Weekly Room Hours of Instruction in Class Laboratories

Table 4

INSTITUTION	Total Room Hours	Daytime Hours	Nighttime Hours	All Hours				
				1995	1994	1993	1992	1991
<u>Research Universities I:</u>								
NC State	2,923.5	9.6	1.2	10.8	12.7	11.9	11.8	12.1
NC State Vet.	-	-	-	-	-	-	-	-
UNC-Chapel Hill	1,087.5	10.9	2.1	13.0	13.9	13.2	16.3	16.7
UNC-Health Aff.	-	-	-	-	-	-	-	-
SUBTOTAL	4,011.0	9.9	1.4	11.3	13.0	12.2	13.1	13.5
<u>Doctoral Granting Universities I:</u>								
UNC-Greensboro	793.5	12.7	1.2	13.9	13.8	13.4	15.3	15.9
<u>Comprehensive I Universities:</u>								
Appalachian	1,315.0	13.1	1.0	14.1	12.7	13.5	14.7	14.1
East Carolina	2,014.0	15.8	2.2	18.0	14.5	15.0	15.5	16.4
ECU-Health Aff.	-	-	-	-	-	-	-	-
Fayetteville	462.0	10.6	1.9	12.5	12.8	11.5	11.2	11.2
NC A & T	957.0	10.4	1.6	12.0	13.1	12.8	12.3	10.5
NC Central	363.0	6.0	0.7	6.7	6.0	6.0	6.4	6.6
UNC-Pembroke	213.5	7.1	0.1	7.2	6.4	6.4	6.6	5.8
UNC-Charlotte	1,929.5	15.8	3.5	19.3	20.8	19.6	18.9	18.7
UNC-Wilmington	910.5	19.1	2.5	21.6	20.2	18.7	18.9	21.1
West'n Carolina	551.0	11.9	0.7	12.6	14.9	13.4	14.9	15.3
SUBTOTAL	8,715.5	12.9	1.8	14.7	14.1	14.2	14.6	14.5
<u>Comprehensive II and Liberal Arts I Universities:</u>								
Elizabeth City	552.0	13.3	1.2	14.5	13.1	10.8	10.5	9.6
UNC-Asheville	332.0	8.4	2.0	10.4	10.5	10.4	10.1	8.4
Winston-Salem	198.5	5.6	1.7	7.3	8.6	8.1	8.2	8.7
SUBTOTAL	1,082.5	9.5	1.6	11.1	11.0	9.1	9.0	8.2

Average Weekly Use of Student Stations in Classrooms

Table 5

INSTITUTION	No. of Stations	Daytime Hours	Nighttime Hours	All Hours				
				1995	1994	1993	1992	1991
<u>Research Universities I:</u>								
NC State	13,777	18.3	1.4	19.7	19.8	19.5	19.6	19.6
NC State Vet.	-	-	-	-	-	-	-	-
UNC-Chapel Hill	13,906	14.8	0.4	15.2	16.5	15.7	15.3	16.0
UNC-Health Aff.	-	-	-	-	-	-	-	-
SUBTOTAL	27,683	16.5	0.9	17.4	18.1	17.7	17.4	17.8
<u>Doctoral Granting Universities I:</u>								
UNC-Greensboro	6,948	15.6	2.3	17.9	16.5	17.5	17.3	17.0
<u>Comprehensive I Universities:</u>								
Appalachian	8,660	15.1	0.9	16.0	15.6	16.0	15.8	15.2
East Carolina	9,956	15.4	1.9	17.3	18.3	18.5	17.4	15.4
ECU-Health Aff.	-	-	-	-	-	-	-	-
Fayetteville	3,662	8.7	1.5	10.2	11.7	11.9	11.2	10.5
NC A & T	6,892	12.4	1.1	13.5	13.5	14.8	14.0	12.5
NC Central	6,204	7.7	1.5	9.2	9.6	10.0	9.5	9.3
UNC-Pembroke	2,863	8.6	1.1	9.7	10.4	10.6	10.5	10.4
UNC-Charlotte	6,660	18.9	4.0	22.9	23.0	23.7	23.0	23.3
UNC-Wilmington	4,290	19.7	2.0	21.7	22.2	22.6	22.8	23.6
West'n Carolina	5,297	11.9	1.4	13.3	13.5	14.1	15.1	14.1
SUBTOTAL	54,484	13.7	1.7	15.4	15.8	16.7	16.3	15.5
<u>Comprehensive II and Liberal Arts I Universities:</u>								
Elizabeth City	2,598	8.7	0.8	9.5	9.8	10.4	9.1	8.3
UNC-Asheville	2,317	12.0	2.8	14.8	14.6	13.7	14.1	13.0
Winston-Salem	3,388	7.8	0.9	8.7	8.9	7.9	7.7	7.9
SUBTOTAL	8,303	9.3	1.4	10.7	10.8	10.3	10.0	9.8

The University of North Carolina Capital Improvements Prioritization Process

Process Prior to the 1997-99 Biennium

- Separate Budget Requests for:

Repairs and Renovations

Architectural Barrier Removal Projects

Occupational Safety and Health Projects

Utilities Repairs and Improvements

Roads, Walks, and Drives

UNC Center for Public Television Facilities

Automated Energy Management Systems

Land Acquisition

Area Health Education Center

New Facilities and Major Renovations to Existing Facilities

Agricultural Programs

North Carolina School of Science and Mathematics

- Within each line of the Budget Request, projects were presented by institution in the priority order recommended by each institution.

**The University of North Carolina
Capital Improvements Prioritization Process**

1995 Session

House Bill 230, Sec. 15.3 (a):

The Board of Governors of the University of North Carolina shall develop a capital improvement request process that can be used to make its capital priorities across campuses known to the General Assembly. The process shall include needs criteria based on mission, enrollment, adequacy of facilities, the functional age of facilities, utilization of facilities, and other objective factors.

The University of North Carolina Capital Improvements Prioritization Process

The Board of Governors contracted with Eva Klein and Associates, a higher education management consultant, to recommend a method for prioritizing capital improvements projects. Ms. Klein had previously provided assistance to the General Assembly on the work performed by the Government Performance Audit Committee (GPAC). In her research, Ms. Klein:

- conducted a review of current literature related to the prioritization of projects for presentation to Boards and General Assemblies
- conducted interviews with members of the General Assembly, representatives from State Government agencies, representatives from the constituent institutions, representatives from General Administration, and members of the Board of Governors.
- surveyed other states to determine other prioritization methodologies.

In March, 1996, the Board of Governors adopted the consultant's recommendations.

The University of North Carolina Capital Improvements Prioritization Process

The Board of Governors adopted a new policy framework for all elements of the capital planning and budgeting processes. The new framework articulated, updated, or modified statements of objectives, policy, process, space standards, and information requirements for submissions.

- Descriptions of process have been and are continuing to be reviewed and revised.

Long-Range Capital Planning
Institutional Campus Master Planning
Multi-year Capital Budgeting
Biennial Capital Budget Requests/Submissions
Linking of all Processes to Academic Planning

- Promulgation of new guidelines and standards is an ongoing process.

The University of North Carolina Capital Improvements Prioritization Process

The Board of Governors Budget Request to the General Assembly outlines a six-year capital plan for General Fund supported facilities. The plan, as refined over time, should be subdivided to further define three two-year capital plans.

The Budget Request is presented in a simplified two-line presentation.

Line 1: Repairs and Renovations

essentially represents all of the projects intended to be funded from the Reserve for Repairs and Renovations.

Line 2: Major Capital Improvements

incorporates all other capital improvements projects, including "major renovations" as well as new facilities

Projects for the North Carolina School of Science and Mathematics are presented separately.

The University of North Carolina Capital Improvements Prioritization Process

Repair and Renovation projects are those projects that are less than 2/3 of the anticipated annual allocation from the Reserve for Repairs and Renovations. Any project that is estimated to cost in excess of 2/3 of the anticipated annual allocation are "major renovations" and incorporated into Line 2, the 1997-99 University-wide Facilities Plan.

The University of North Carolina Capital Improvements Prioritization Process

Within the 1997-99 University-wide Facilities Plan, the Board of Governors has established "Program Priority Categories" and prioritized the categories. The categories are as follows:

- (1) Current Capacity
- (2) Future Capacity
- (3) Technology
- (4) Program Quality
- (5) Special Purpose
- (6) Support Facilities
- (7) Land Acquisition

Within each category, projects are listed in institutional order.

The University of North Carolina Capital Improvements Prioritization Process

The Board of Governors created a secondary set of "other criteria" to further indicate characteristics of projects. This matrix of information is designed to provide further information of interest to the Board and to the General Assembly.

- Is the project a major repair or renovation or a new facility?
- Does the project re-use an existing facility?
- Is the project a renovation in lieu of new construction?
- Does the project complete a partially built facility?
- Does the project achieve material operating savings?
- Does the project benefit a significant portion of the population?
- Does the project reward good planning and management?
- Does the project address an urgent need, e.g., code compliance?
- What other funding is available for this project?

The University of North Carolina Capital Improvements Prioritization Process

The "matrix" will be enhanced significantly in the next biennial presentation when the Board incorporates "space standards" which are currently under development. Each proposed project will be measured against the standards adopted by the Board.

The University of North Carolina Capital Improvements Prioritization Process

Institution/Project	Cost Estimate	Supplemental Information								Previous Appropriations, Other Funding
		Major Repair/ Renovation Project	Re-Use of an Existing Facility	Renovation In Lieu of New Construction	Completes a Partially Built Facility	Achieves Material Operating Savings	Benefits a Significant % of the Population	Rewards Good Planning & Management	"Urgent" Need, Code Compliance	
Appalachian State University										
Renovation of Rankin Science Building	\$ 6,666,000	XXX	XXX				XXX	XXX		
Addition and Renovation to Belk Library	10,629,400	XXX					XXX			
East Carolina University, Academic Affairs										
Science Laboratories and Technology Building	47,997,600						XXX	XXX	XXX	\$ 1,000,000
East Carolina University, Health Affairs										
Health Sciences Library Expansion	6,106,600						XXX	XXX		
Elizabeth City State University										
G. R. Little Library Addition and Renovation	10,723,600	XXX					XXX			
Addition to the Academic Computing Center	3,557,600						XXX	XXX		150,000
Fayetteville State University										
Fine Arts and General Classroom Facility	15,524,400						XXX	XXX		750,000
North Carolina Central University										
Lee Biology Building Renovation	1,359,200	XXX		XXX			XXX	XXX	XXX	
Repairs to Five Academic Buildings	10,515,000	XXX		XXX			XXX		XXX	
Robinson Science Building	946,000	XXX		XXX			XXX		XXX	
North Carolina School of the Arts										
Basic Educational Complex	6,133,400						XXX	XXX		
Filmmaking Office/Classroom Post Production Complex	2,000,000				XXX					
North Carolina State University										
Nelson Hall Renovation - Phase II	6,264,900	XXX	XXX	XXX		XXX	XXX	XXX	XXX	1,350,000
Toxicology Building	14,566,700					XXX		XXX	XXX	
College of Veterinary Medicine, Main Building Addition	24,262,000						XXX	XXX		
David Clark Laboratory Renovation and Addition	9,990,800	XXX	XXX	XXX		XXX	XXX	XXX	XXX	
UNC-Asheville										
Asheville Graduate Center, Phase II	792,700				XXX		XXX			
Highsmith Center Renovation and Addition	8,782,000	XXX	XXX				XXX	XXX		
UNC-Chapel Hill, Academic Affairs										
R. B. House Library Renovations	6,629,400	XXX	XXX	XXX			XXX	XXX		
Student Services Building	18,857,500				XXX		XXX	XXX		

The University of North Carolina Capital Improvements Prioritization Process

In preparing the 1997-99 Budget Request, the Board required input to ensure the linkage of capital planning, academic planning, and capital budgeting.

Campus submissions met guidelines promulgated by General Administration, including project estimates prepared by the Office of State Construction.

Within UNC-General Administration, the capital budget has been prepared by the President and the Vice Presidents for Finance, Academic Affairs, Planning, and Student Affairs.

This group was assisted by a campus advisory committee.

The University of North Carolina Capital Improvements Prioritization Process

Given competing demands for limited resources, the Board of Governors determined that it was inappropriate to ask the General Assembly to fund projects that can be funded by each institution on a "self-liquidating" basis.

The Board has provided two additional schedules as "supplementary information" for use by the General Assembly:

- (1) A schedule of institutional priorities
- (2) A schedule of projects with funding for advanced planning or partial construction

The University of North Carolina Repairs and Renovations

- In 1993, the General Assembly established the Reserve for Repairs and Renovations. The amount that is set aside in the Reserve on an annual basis is the greater of (1) one-fourth of any unreserved credit balance, as determined on a cash basis, remaining in the General Fund and (2) three percent of the replacement value of all State buildings supported from the General Fund.

Since the establishment of the Reserve for Repairs and Renovations, the allocations to the University have been as follows:

1993	\$31,350,000	1995	\$57,500,000
1994	\$33,000,000	1996	\$59,800,000

- In 1993, the Board of Governors allocated the Repair and Renovation funds to the constituent institutions based on each institution's relative enrollment to the total University enrollment. The Board decided that an improved method of allocating the funds was needed.
- The Board of Governors contracted with Eva Klein and Associates. Ms. Klein was asked to recommend a method for allocating the Repair and Renovation funds. The Board adopted Ms. Klein's recommendations in November, 1994.

The University of North Carolina Criteria for a Repair and Renovation Allocation Formula

- ***Comprehensiveness*** - the model should include multiple variables to represent the varying characteristics of institutions and the conditions of buildings and infrastructure.
- ***Equity*** - the distribution of repair and renovation funds should be accomplished with a reasonable degree of equity.
- ***Applicability*** - the formula should be applicable regardless of the level of funds allocated for Repairs and Renovations.
- ***Needs Driven*** - the distribution of funds should contribute to correcting facility deficiencies in some proportion to the extent of those deficiencies.
- ***Balance of Objectivity with Subjectivity*** - the formula should minimize subjectivity yet allow some degree of administrative flexibility.
- ***Viability of Data*** - information used should be reliable and available on an annually updated basis.
- ***Relativity*** - since no institution would receive sufficient funds to cover its needs, the formula should provide for each institution's relative share of the system-wide Repair and Renovation needs.

The University of North Carolina Repairs and Renovations

The Board of Governors adopted a multivariate formula for allocating Repair and Renovation funds. The formula reflects:

- **The Nature of the Institution - 40%**

Mission - 20%

- (1) Net Assignable Square Footage of Laboratory Space
- (2) Number of Degree Programs

Size - 20%

- (3) Net Assignable Square Footage of Space
- (4) Gross Square Footage of Space
- (5) Campus Population (students, faculty, staff)

- **The Condition of Facilities at each Institution - 60%**

- (6) Current Replacement Value
- (7) Facilities Condition Assessment Program (FCAP) Deficiencies

The University of North Carolina - Allocation Model, 1996 Reserve for Repairs and Renovations

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
	MISSION			SIZE				CONDITION			WEIGHTED FORMULA Combined		
	NASF Lab Space	# of Degree Programs	% Share @ 20%	NASF	GSF	Campus Population	% Share @ 20%	CRV	FCAP Deficiencies	% Share @ 60%	% Share	Adjusted for Floor of 2.25%	\$ Share
Research Universities I													
NCSU	30.632466%	15.3910150%	4.6023601%	22.9122561%	22,044,726%	1667,11311%	4.1065440%	18,534,5974%	19,512,6999%	11,414,1889%	20,125,0830%	19,367,6628%	11,111,933
UNC-Chapel Hill	21.5690043%	17.5540765%	3.9123081%	22.5380934%	23,896,7307%	19,383,2948%	4.3880079%	35,324,8806%	26,081,2703%	18,421,8453%	26,722,1613%	25,716,4559%	14,754,534
Doctoral Universities I													
UNC-Greensboro	4.6655146%	9.4841930%	1.4149708%	5.6597905%	6,084,1102%	7,524,6560%	1.2845704%	4,822,9765%	5,720,2762%	3,162,9758%	5,862,5170%	5,641,8775%	3,236,965
Master's Comprehensive Universities and Colleges I													
Appalachian	4.0005623%	7.3211314%	1.1321714%	5.9604699%	5,760,3130%	7,762,0845%	1.2988578%	4,815,2665%	6,625,1805%	3,432,1341%	5,863,1633%	5,642,4955%	3,237,322
East Carolina	10.3123754%	9.2346030%	1.9546984%	8.9639822%	8,750,1867%	11,622,4279%	1.9571065%	7,339,751%	9,618,6318%	5,085,7821%	8,997,5870%	8,659,9571%	4,967,981
Fayetteville	1.4670946%	3.3277870%	0.4794882%	2.4743928%	2,480,9442%	2,367,5880%	0.4881950%	2,177,1299%	1,536,5259%	1,119,1957%	2,086,799%	2,250,0000%	1,290,913
NC A&T	6.2606736%	6.2396007%	1.2500274%	5.6586433%	5,351,5576%	5,092,5362%	1.0736158%	4,441,7562%	7,430,827%	3,584,2516%	5,887,7949%	5,662,240%	3,250,922
NC Central	2.1894508%	4.2429265%	0.6432379%	3.5076947%	3,530,6115%	3,439,6689%	0.6886317%	2,882,6371%	7,200,0007%	3,024,8153%	4,366,6849%	4,202,2455%	2,410,992
Pembroke	0.9607110%	2.7454243%	0.3706135%	1.9174587%	1,868,0186%	1,782,5399%	0.3712011%	1,602,4474%	1,652,0284%	0,976,3427%	1,718,1574%	2,250,0000%	1,290,913
UNC-Charlotte	5.9566028%	6.8219634%	1.2777566%	4.6319982%	4,659,7476%	8,975,4048%	1.2178100%	4,663,0713%	1,225,8729%	1,766,6833%	4,262,2500%	4,101,8375%	2,363,384
UNC-Wilmington	2.0381940%	4.4925125%	0.6530706%	3.1142245%	3,092,070%	5,275,1736%	0.7659070%	2,654,2370%	2,194,3168%	1,454,6661%	2,873,5438%	2,765,3961%	1,586,616
Western Carolina	2.6976320%	6.4891847%	0.9186817%	5.0776497%	5,028,1961%	4,262,7542%	0.9579067%	4,207,1977%	5,944,5430%	3,045,5222%	4,922,1106%	4,736,636%	2,717,724
Baccalaureate Colleges I													
UNC-Asheville	1.5956807%	2.1630616%	0.3758752%	1.8441912%	1,837,4832%	1,945,0871%	0.3751174%	1,578,7601%	1,250,7123%	0,848,8417%	1,598,8344%	2,250,0000%	1,290,913
Baccalaureate Colleges II													
Elizabeth City	1.6805606%	1.8302829%	0.3510843%	1.8101596%	1,719,9746%	1,302,9137%	0.3281632%	1,553,8157%	1,071,2017%	0,787,5052%	1,465,7528%	2,250,0000%	1,290,913
Winston-Salem	1.1030301%	2.1630616%	0.3266092%	2.2699195%	2,262,6132%	1,786,8014%	0.4212889%	1,790,0981%	2,320,8130%	1,232,733%	1,981,1714%	2,250,0000%	1,290,913
Art													
NC School of the Arts	2.8713976%	0.4991681%	0.3370566%	1.6390758%	1,624,1330%	0,715,9381%	0.2652765%	1,617,1536%	0,589,7649%	0,662,0755%	1,264,4066%	2,250,0000%	1,290,913
TOTAL	100.0000000%	100.0000000%	20.0000000%	100.0000000%	100.0000000%	100.0000000%	20.0000000%	100.0000000%	100.0000000%	60.0000000%	100.0000000%	100.0000000%	57,373,900
NCSU	1,209,853	185		4,354,008	6,373,295	27,394		543,516,461	60,252,100				
UNC-Chapel Hill	851,884	211		4,282,906	6,909,287	31,839		1,035,881,904	80,534,800				
UNC-Greensboro	184,268	114		1,075,528	1,758,958	12,360		141,431,024	17,663,300				
Appalachian	158,006	88		1,132,666	1,665,346	12,750		141,204,934	20,457,500		89,882,7956%		
East Carolina	407,295	111		1,707,223	2,529,739	19,091		215,064,621	29,700,800				
Fayetteville	57,944	40		470,208	717,258	3,889		63,843,089	4,797,040				
NC A&T	247,270	75		1,075,310	1,547,172	8,365		130,251,957	22,970,700				
NC Central	86,474	51		666,566	1,020,724	5,650		84,531,683	22,232,700				
Pembroke	37,944	33		364,374	540,057	2,928		46,990,853	5,101,200				
UNC-Charlotte	235,221	82		880,217	1,347,165	14,743		136,741,898	3,785,300				
UNC-Wilmington	80,500	54		591,795	896,002	8,665		77,833,990	6,775,700				
Western Carolina	106,545	78		964,904	1,453,686	7,002		123,373,665	18,355,800				
UNC-Asheville	63,023	26		350,451	531,229	3,195		46,296,235	3,862,000				
Elizabeth City	66,375	22		343,984	497,083	2,288		45,564,756	3,307,700				
Winston-Salem	43,565	25		431,352	654,137	2,935		52,493,602	7,166,300				
NC School of the Arts	113,408	6		311,473	469,548	1,176		47,422,101	1,821,100				
TOTAL	3,949,575	1,202		19,002,965	28,910,686	164,260		2,932,442,763	308,784,040				

Calculation for Floor:

Previously campuses above floor were allocated 89.8827956%. After a 2.25% floor, the campuses received 86.5%; therefore, the previous factor must be multiplied by 86.5/89.8827956 = 96.236437042%.

GENERAL ASSEMBLY OF NORTH CAROLINA
SESSION 1997

S

2

SENATE BILL 537*

Agriculture/Environment/Natural Resources Committee Substitute Adopted 4/22/97

Short Title: Addition to State Parks System.

(Public)

Sponsors:

Referred to:

March 27, 1997

1 A BILL TO BE ENTITLED
2 AN ACT TO AUTHORIZE THE ADDITION TO THE STATE PARKS SYSTEM
3 OF CERTAIN LANDS LOCATED IN TRANSYLVANIA COUNTY
4 ADJACENT TO JOCASSEE LAKE.

5 Whereas, Section 5 of Article XIV of the Constitution of North Carolina
6 states that it shall be a proper function of the State of North Carolina to acquire and
7 preserve park, recreational, and scenic areas and, in every other appropriate way, to
8 preserve as a part of the common heritage of this State its open lands and places of
9 beauty; and

10 Whereas, the General Assembly enacted the State Parks Act in 1987,
11 declaring that the State of North Carolina offers unique archaeological, geological,
12 biological, scenic, and recreational resources and that these resources are part of the
13 heritage of the people of the State to be preserved and managed by those people for
14 their use and for the use of their visitors and descendants; and

15 Whereas, lands currently owned by Duke Power Company in
16 Transylvania County adjacent to Jocassee Lake contain examples of outstanding
17 scenic beauty, harbor many rare species and natural communities that are unique and
18 irreplaceable, and have been found to possess geological, biological, scenic, and
19 recreational resources of statewide or even nationwide significance; Now, therefore,
20 The General Assembly of North Carolina enacts:

21 Section 1. The General Assembly authorizes the Department of
22 Environment, Health, and Natural Resources to add lands currently owned by Duke
23 Power Company in Transylvania County adjacent to Jocassee Lake to the State Parks

1 System, as provided in G.S. 113-44.14(b). In determining which particular lands to
2 be added to the State Parks System, the Secretary of Environment, Health, and
3 Natural Resources shall consult with the Board of Commissioners of Transylvania
4 County. As a part of this consultation, the Department of Environment, Health, and
5 Natural Resources shall work in cooperation with the Board of Commissioners to
6 identify land having the most significance as land traditionally available for multiple
7 uses, including hunting. Land that has significance as having been traditionally
8 available for multiple uses, including hunting, shall not be included in lands
9 authorized to be added to the State Parks System by this act if the Secretary of
10 Environment, Health, and Natural Resources determines that a viable State Park with
11 manageable boundaries can be established without the inclusion of that land.

12 Section 2. This act becomes effective 1 July 1997.

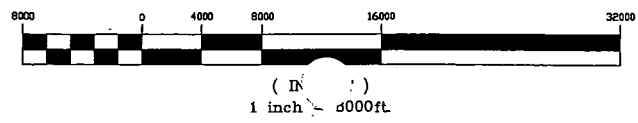
LEGEND

	PROPERTY FOR SALE TO SCDNR	33,630 ACRES	±
	PROPERTY FOR SALE TO NCDENR	8,640 ACRES	±
	PROPERTY FOR SALE TO USFS	5,560 ACRES	±
	INCLUDING (PRIVATELY OWNED LAND LOCATED WITHIN CAL / D.P.C. OWNED PROPERTY)		
	RETAINED BY DUKE POWER COMPANY		
	ACQUIRED BY S.C.D.N.R.	1,000 ACRES	

(NOTS ACRES AND BOUNDARIES ARE APPROXIMATED)

[illegible]

DUKE POWER COMPANY/
CRESCENT RESOURCES, INC.
JOCASSEE GORGES
LAND SALES
SOUTH CAROLINA
AS OF APRIL 04, 1997
GRAPHIC SCALE



Senate Bill 537

1997.05-09 ATTACHMENT 4
16:55 #966 P.01/05

**USDA FOREST SERVICE
LANDS STAFF
WASHINGTON OFFICE**



FROM:

Brest Handley
AUDITOR'S BUILDING, 4 SOUTH
201 14th STREET, S.W.
WASHINGTON, D.C. 20250
PHONE: 202-205-1367
FAX: 202-205-1604



TO WHOM:

Honorable William M. Ives

FAX #: 919-834-7671 **DATE:** 5/9/97

4 PAGES FOLLOW THIS COVER SHEET.

MESSAGE:

The Chief & Associate Chief
are out of the Office. Joan
Comano is Acting Chief in their
absence.

United States
Department of
Agriculture

Forest
Service

Washington
Office

14th & Independence SW
P.O. Box 96090
Washington, DC 20090-6090

File Code: 5420

Date: MAY 9 1997

Honorable William M. Ives
North Carolina General Assembly
Room 633
Legislative Office Building
Raleigh, North Carolina 27601

Dear Mr. Ives:

This is in response to your letter of April 23, 1997, regarding USDA Forest Service acquisition plans for Duke Power property along the Blue Ridge Escarpment in North Carolina and South Carolina.

The Forest Service recognizes the importance and value of public ownership of the tracts that Duke Power Company has identified for disposal along the Blue Ridge Escarpment. Due to the large size of this acquisition and limitations on land acquisition funding, it is critical for us to work with the Duke Power and the States' agencies to assure all of our objectives are met. We continue to support the acquisition of a portion of these lands for state parks in North Carolina and South Carolina.

In North Carolina, we are working with Duke Power to acquire their property in the Thompson River drainage. We also have active land acquisition programs focused on the Appalachian National Scenic Trail and Chattooga River watershed. All three projects have been identified as national priorities in the President's FY 1998 Budget request.

The Forest Supervisor, John Ramey, supports the proposal to create a state park in the Horsepasture and Toxaway River drainages, and has emphasized that it will take all of the interested public agencies and interest groups working together to achieve our common goal--public ownership of these outstanding properties. A strong partnership between the State and Forest Service will provide a higher level of customer service than either agency will be able to provide acting alone. Forest Supervisor Ramey expects to work closely with the State as plans progress for creating the state park.

I encourage you to contact Supervisor Ramey in Asheville. He can be reached at (704) 257-4268.

Sincerely,

Juan A. Coronado
acting
DAVID G. UNGER
Associate Chief

CHARLES H. TAYLOR
11TH DISTRICT, NORTH CAROLINA

MEMBER:
COMMITTEE ON
APPROPRIATIONS

SUBCOMMITTEE ON
COMMERCE, JUSTICE, STATE
VICE CHAIRMAN

SUBCOMMITTEE ON
INTERIOR

SUBCOMMITTEE ON
LEGISLATIVE BRANCH



Congress of the United States
House of Representatives
Washington, DC 20515-3311

April 16, 1997

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RUTHERFORDTON OFFICE
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SHELBY OFFICE
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INTERNET ADDRESS
CHTAYLOR@HR.HOUSE.GOV

Sally C. MacMillan
276 Purple Finch Trl.
Brevard, North Carolina 28712

Dear Sally and Chris:

Thank you for contacting my office and expressing your views on the proposed purchase of the Duke Power tract by the State of North Carolina. I always appreciate hearing the thoughts of my constituents on issues of importance.

Duke Power Company owns a large tract of land in Transylvania County and around Lake Jocassee in South Carolina. Duke Power has decided that they do not need this large tract of land holdings for the manufacturing of hydropower, and have recently offered to sell the land, of which approximately 11,000 acres lie in North Carolina. The tract of land in North Carolina encompasses the Toxaway, Horsepasture and Thompson River gorges, and the majority of the land is currently being considered by the State of North Carolina for possible inclusion in the State Parks system. The U. S. Forest Service is looking at acquiring three tracts of the land with approximately 1475 acres of the land surrounding the Thompson River.

Duke Power wants to offer the Forest Service and North and South Carolina the opportunity to acquire this property for public lands and will offer the option until the end of 1999. The States and the Forest Service have until then to finalize the transaction to acquire the lands. However, while most people contacting my office support the concept of this tract becoming public lands, there is a lot of concern voiced on how the property will be managed once it is acquired. Folks want assurances that it will be managed for a multitude for activities including: hunting, fishing, recreation and timber activities. <

I would not support the use of U. S. taxpayer funds to be used for this acquisition without multiple-use of the property being an objective for future land management. I support the multiple-use concept used in managing our national forests, and have pursued efforts to enhance camping, fishing, hunting, as well as timber activities. <

Currently, there is pending legislation introduced proposing the inclusion of most of this land on the North Carolina side in the State Parks system. I understand the State of North Carolina is considering measures that will allow multiple-use objectives, if they are successful in pursuing this acquisition.

Please be assured that I will continue to follow this issue closely and will keep you advised on any developments. As your Representative, I hope you will continue to contact me and share your thoughts and suggestions with me. If you have additional questions or concerns about this or any other matter, please do not hesitate to contact me.

Sincerely,

Charles

Charles H. Taylor
Member of Congress

CHT:sd



WILLIAM M. IVES
NORTH CAROLINA HOUSE OF REPRESENTATIVES
TRANSYLVANIA - HENDERSON - BUNCOMBE
68TH DISTRICT

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APR 23 '97 04:14PM USDA FOREST SERVICE - ASHEVILLE

P.2



United States
Department of
Agriculture

Forest
Service

National Forests
in North Carolina

160A Zillicoa Street
P.O. Box 2750
Asheville, NC 28802

File Code: 5420

Date: April 23, 1997

Dr. Phil McKnelly, Director
North Carolina Division of Parks and Recreation
P.O. Box 27687
Raleigh, NC 27611

Dear Dr. McKnelly:

Our office is continuing to get numerous calls from constituents asking what the National Forests in North Carolina position is regarding acquiring land in the Lake Jocassee area. From the comments we have received, evidently there is still some confusion on this subject. Therefore, I am writing to provide further clarification of our support of public ownership of lands in the Lake Jocassee area currently owned by Duke Power Company.

First, the Forest Service recognizes the importance and value of public ownership of the tracts that Duke Power Company has identified for disposal in the Lake Jocassee area.

Secondly, we continue to support the creation of a new state park in this area.

Thirdly, under the current tight budget constraints and fierce competition nationally for Land and Water Conservation Funds, it is highly unlikely for there to be adequate funding from Congress in the near future to support national forest acquisition of all of or even a majority of the Lake Jocassee tracts that Duke Power would like to sell.

Fourthly, the current National Forests in North Carolina's top priorities for land acquisition are various parcels in the Chattooga River watershed (NFsNC #1 priority) and current Duke Power holdings in the Thompson River drainage (NFsNC #2 priority.)

The President's proposed 1998 national forest Land and Water Conservation Fund acquisition budget contains about \$30,000,000 nationwide for 24 separate land acquisition priorities. These national priorities include \$1,000,000 for Chattooga River (GA, SC & NC) and \$1,200,000 for Thompson River acquisitions. If approved by Congress, this \$1,200,000 will likely only support purchase of up to one half of the acreage in the Thompson River drainage that Duke Power would like to sell. The remainder would require additional funding from Congress in future years.

APR 23 '97 04:15PM USDA FOREST SERVICE - ASHEVILLE

P.3



Dr. Phil McKnelly

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In conclusion, we feel that it will take the combined resources of both state and federal government to ensure public ownership of the Duke Power Company properties in the Lake Jocassee area. We want to continue to work cooperatively with you so that our acquisition programs complement each other to the maximum amount possible. Please let us know how we can be of assistance to the state in this matter. I look forward to meeting and working with you on this and other issues common to our agencies.

Sincerely,

JOHN F. RAMEY
Forest Supervisor



WILLIAM M. IVES
NORTH CAROLINA HOUSE OF REPRESENTATIVES
TRANSPORTATION - HENDERSON - BUNCOMBE
69TH DISTRICT

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Recommended Water Resources Development Projects

FY 1997 - 98

A. Corps of Engineers Projects

(Amounts in thousands)

<u>Priority</u>	<u>Name of Project</u>	<u>State</u>	<u>Federal</u>	<u>Local</u>
1	B. Everett Jordan Water Supply Repayment	\$ 90		\$104
2	Wilmington Harbor Maintenance Dredging	455	\$ 5,834	
3	Wilmington Harbor Channel Widening	1,570	17,512	
4	Manteo Shallowbag Bay Maintenance Dredging	150	5,074	
5	Aquatic Plant Control Statewide and Lake Gaston	150		
6	Wilmington Harbor Long Term Disposal	1,000	3,000	
7	Carolina Beach Nourishment	1,148	2,840	383
8	Wrightsville Beach Renourishment	500	1,070	167
9	North and Manteo Channel Maintenance Dredging	1,100		
X 10	Wanchese Marsh Creation and Protection	203	466	
11	Long Beach Sea Turtle Habitat Restoration	994	3,725	331
12	Clinton Wastewater Treatment Plant Flood Protection	84	262	42
13	Dare County Beaches Feasibility Study	225	450	225
14	Harker's Island Navigation Study	40	80	40
15	AIWW Easement Acquisition	100	5,438	
16	Planning Assistance to Communities	150	300	150
17	Corps of Engineers Feasibility Studies	230	560	230
18	Emergency Flood Control Projects (Section 14)	<u>134</u>	<u>600</u>	<u>66</u>
	Sub-totals	8,323	47,211	1,738

Recommended Water Resources Development Projects

FY 1997 - 98

B. State - Local Projects*

(Amounts in thousands)

<u>Priority</u>	<u>Name of Project</u>	<u>State</u>	<u>Local</u>
1	McLendons Creek Stream Restoration (Moore Co.)	30.0	15.0
2	Allens Creek Water Management (Haywood Co.)	25.0	25.0
3	Big Elkin Creek Stream Restoration (Surry Co.)	8.0	5.0
4	Beech Mountain Stream Restoration Projects (Watauga Co.)	30.0	15.0
5	Tuckaseigee River Access (Swain Co.)	60.0	60.0
6	Brevard High School Wetland Boardwalk (Transylvania Co.)	8.0	8.0
7	Tranters Creek - Flat Swamp Drainage (Martin Co.)	36.3	18.7
8	River Bend Canals Maintenance Dredging (Craven County)	292.0	73.0
9	Haw River Access (Alamance Co.)	17.4	17.4
10	Graham - Mebane Lake Pier and Picnic Facility (Alamance Co.)	20.0	20.0
11	French Broad River Park (Buncombe Co.)	30.0	30.9
12	Mill Creek Wetland and Trail Construction (Forsyth Co.)	13.3	13.3
13	Kitty Hawk Beach Access (Dare Co.)	80.5	80.5
14	Wetland Water Management (Dare Co.)	10.0	17.7
15	Lovill's Creek Greenway (Surry Co.)	89.0	89.0
16	Elm Street Drainage (Moore Co.)	20.0	10.0
17	Scott Branch Drainage (Stokes Co.)	30.0	15.0
18	Contentnea Creek Drainage, Phase IV (Wilson Co.)	<u>29.1</u>	<u>14.6</u>
Sub-totals		\$828.1	\$528.1

* Includes projects for which applications were received prior to February 1, 1997

C. Natural Resources Conservation Service Projects

(Amounts in thousands)

<u>Priority</u>	<u>Name of Project</u>	<u>State</u>	<u>Federal</u>	<u>Local</u>
1	Deep Creek (Yadkin County)	\$500	\$2,500	\$1,500
2	Limestone Creek (Duplin County)	<u>30</u>	<u>60</u>	<u>30</u>
Sub-totals		\$530	\$2,560	\$1,530

D. Locally Sponsored Federal Reservoir Projects

None at this time

**SIX YEAR
CAPITAL REQUESTS**

S i x		Y e a r	C a p i t a l	R e q u e s t s					
Department	Dept.'s Priority	Project Description	1997- 1998	1998- 1999	1999- 2000	2000- 2001	2001- 2002	2002- 2003	
Cultural Resources	1	Records Center-Planning for new Facility (Blue Ridge Road)	585,000						
Cultural Resources	2	Museum of Art Completion (100,000 sq. ft. addition, 200 parking spaces)	21,261,000						
Cultural Resources	3	Museum of History Restaurant (Adaptation of Space)	1,781,200						
Cultural Resources	4	RR Arts Council Building Improvements, 221 E. Lane St.	73,900						
Cultural Resources	5	Archives Mobile Shelving (Installation of 39,000 cu. ft.)	859,400						
Cultural Resources	6	Historic Site RR Historic Sites Fire and Security Systems at 21 sites	168,800						
Cultural Resources	7	Historic Site Stagville Improvements (2,000 sq. ft. storage/maintenance facility)	231,400						
Cultural Resources	8	RR State Capitol Renovations (FCAP improvements)	1,976,000						
Cultural Resources	9	Historic Site RR Tryon Palace Masonry Repairs (foundations, walks, security walls)	275,500						
Cultural Resources	10	RR Lane Street Archaeological Facility (built in 1920's) Improvements	280,800						
Cultural Resources	11	RR Archives/Library Building (built in 1968) Backup HVAC (Chiller Replacement)	268,900						
Cultural Resources	12	RR Bailey-Gallant House Waterproofing	23,900						
Cultural Resources	13	RR Bailey-Gallant House ADA, 507 N. Blount Street	41,800						
Cultural Resources	14	Historic Site Home Creek Visitor Service building/office complex	904,900						
Cultural Resources	15	Museum of Cape Fear Museum Addition (9,200 sq. ft.) & Arsenal Park Development	1,995,500						
Cultural Resources	16	Museum of Albemarle (New Facility of 50,000 sq. ft.; already planned)	8,800,900						
Cultural Resources	17	Oteen Property- Advanced Planning for Western Archives/Records Center	58,500						
Cultural Resources	18	Historic Site RR Tryon Palace Disoway House Repairs	326,300						
Cultural Resources	19	RR Lewis-Smith House Basement Improvements (515 Blount St), for file room	54,000						
Cultural Resources	20	Historic Site Somerset Place Slave Structures	800,700						
Cultural Resources	21	Historic Site RR Charlotte Hawkins-Brown Kimball Hall Interior Renovations	823,000						
Cultural Resources	22	Historic Site RR Historic Sites CI Reserve	1,000,800		0	0	1,000,000		
Cultural Resources	23	Historic Site RR Tryon Palace Electrical (Main Building & Kitchen) Improvements	358,800						
Cultural Resources	24	Historic Site RR Backshop/Car Repair Shed (Transportation Museum)	2,678,100	0		0	10,000,000		
Cultural Resources	25	RR Arts Council HVAC & Electrical Upgrade, 407 Person St.	72,600						
Administration	1	Visitors' Center Construction (2 story facility)	11,525,400						
Administration	2	Visitors Center Parking Garage (550 spaces underground)	13,345,100						
Administration	3/12	New Administration Building (230,000 sq. ft.)	445,000	32,399,000					
Administration	4	Cooper Building (constructed in 1954) Renovations	4,406,600						
Administration	5/20	Judicial Center Property Acquisition/Demolition of Facilities	1,553,100	735,100					
Administration	6	Judicial Center Planning/Construction of East & West Centers	226,800			16,149,000			
Administration		Judicial Center Garage Parking				4,633,000			
Administration		Judicial Center Renovations of Court of Appeal/Justice Buildings						11,070,000	
Administration	7	Multi-Agency Complex, Phase I (60,000 sq. ft.)	7,347,000						
Administration	8	Multi-Agency Complex Parking Deck (600 spaces)	11,021,000						

S i x		Y e a r	C a p i t a l	R e q u e s t s					
Department	Dept's Priority	Project Description	1997- 1998	1998- 1999	1999- 2000	2000- 2001	2001- 2002	2002- 2003	
Administration	9	DEHNR Complex Planning (3 buildings)	227,000						
Administration	13	DEHNR Complex-Relocating of 4 Houses		1,201,000					
Administration	14	DEHNR Building #1 (90,000 sq. ft.)		11,909,000					
Administration	15	DEHNR Parking Garage #1 (900 spaces)		16,531,000					
Administration	16	DEHNR Building #2 (60,000 sq. ft.)		7,939,000					
Administration	17	DEHNR Parking Garage #2 (750 spaces)		13,776,000					
Administration	18	DEHNR Building #3 (60,000 sq. ft.)		7,788,000					
Administration	19	DEHNR Parking Garage #3 (300 spaces)		5,510,000					
Administration	10	Transportation Building Annex (60,000 sq. ft.)	8,082,000						
Administration	11 Onslow County	Veterans Cemetery (Jacksonville) Development of new burial sites (8.7 acres)	461,600						
Administration	21	Parking Deck-Mixed Use (Demolition of Thompson and Elks Buildings)		224,600					
Administration	22	Parking Deck-Mixed Use, Deck #1 (550 spaces)		5,388,000					
Administration	23	Motor Fleet Management Facility (Garner Road)		207,200	22,055,000				
Administration		Motor Fleet Management Surface Parking			1,337,000				
Administration		State Government Warehouses 1 & 2			248,000	8,618,000	9,401,000		
Administration		Agricultural Building Annex Renovations			4,088,000				
Administration		Albemarle Building Renovations						23,537,000	
Administration		Archdale Building Renovations						30,265,000	
Administration		Facility Management Complex Planning						131,000	
Administration		Conference Center Construction						23,751,000	
Administration		State Office Building Construction						9,500,000	
Administration		State Office Building Parking Garage						3,455,000	
Administration		Parking Deck/Mixed Use 1 Office Space				1,985,000			
Administration		Parking Deck/Mixed Use 4 Deck				10,305,000			
Administration		Parking Deck/Mixed Use 4 Office Space				2,343,000			
Administration		Administration Building (106 W. Jones) Renovations/Asbestos Removal				30,395,000			
Administration		Parking Deck/Mixed Use 3 Deck					5,641,000		
Administration		Parking Deck/Mixed use Office Space					2,298,000		
Administration		Agriculture Building						10,358,000	
Agriculture	1 Farmers Mkt.	Wholesale Building/Retail Building, Piedmont Triad	3,444,400						
Agriculture	2 Fairgrounds	Multi-purpose Events Building	12,837,200						
Agriculture	3 Laboratory	RR Rollins Laboratory Improvements	537,000						
Agriculture	4 State Farms	Cherry's Center for Environmental Farming (irrigation System for 1,200 acres)	2,347,500						
Agriculture	4 State Farms	Cherry's Center for Environmental Farming (2 Greenhouses/Headhouse)	824,600						
Agriculture	4 State Farms	Cherry's Center for Environmental Farming (Office/Conference Center (4,000 sq. ft.)	500,500						
Agriculture	4 State Farms	Cherry's Center for Environmental Farming (4,000 Rigid Frame, Metal Building)	481,400						
Agriculture	4 State Farms	Cherry's Center for Environmental Farming (8,000 sq.ft. Multi-purpose Metal Buildin	842,200						

S i x		Y e a r	C a p i t a l	R e q u e s t s				
Department	Dept's Priority	Project Description	1997- 1998	1998- 1999	1999- 2000	2000- 2001	2001- 2002	2002- 2003
Agriculture	4 State Farms	Cherry's Center for Environmental Farming (3,072 sq. ft. Composting Shed)	177,800					
Agriculture	4 State Farms	Cherry's Center for Environmental Farming (2 Hay Storage Buildings)	353,500					
Agriculture	5 Museum	Town Creek Property Purchase & Development, Maritime Museum	3,200,000					
Agriculture	6 Laboratory	Motor Fuels Laboratory, Gasoline & Oil Inspection	4,193,200					
Agriculture	7	Metal Storage Building, Food Distribution Center at Butner	125,200					
Agriculture	8	Cooler, Freezer Expansion, Food Distribution Center at Salisbury	264,200					
Agriculture	9 Research Station	Peanut Belt RS at Lewiston, Land Acquisition and Development	654,800					
Agriculture	10 Research Station	Tidewater RS at Plymouth, Aquaculture Facility	680,000					
Agriculture	11 Laboratory	RR Standards Laboratory HVAC Improvements	58,500					
Agriculture	12 Ag Center	Multi-purpose Building, WNC Agricultural Center	3,529,600					
Agriculture	13 Research Station	Piedmont RS, Salisbury, Broiler and Turkey Research Facility		3,118,000				
Agriculture	14 Farmers Mkt.	Wholesale Building, WNC Farmers Market		1,450,700				
Agriculture	15 Farmers Mkt.	Farmers Building III, Raleigh Farmers Market		924,600				
Agriculture	16 Research Station	Mountain RS, Waynesville, Addition to Beef Facility		194,800				
Agriculture	17 Research Station	Border Belt RS, Whiteville, Shop and Storage Facility		233,800				
Agriculture	18 Laboratory	RR Constable Laboratory Food and Drug Laboratory Equipment		454,300				
Agriculture	19 Laboratory	RR Diagnostic Lab, Rose Hill, FCAP Improvements (Doors/Roof/ADA, etc)		102,500				
Agriculture	19 Laboratory	RR Diagnostic Lab, Elkin, FCAP Improvements (Vapor Barrier, Doors/HVAC, etc)		79,900				
Agriculture	19 Laboratory	RR Diagnostic Lab, Monroe, FCAP Improvements (Lighting System/HVAC, etc)		83,600				
Agriculture	19 Laboratory	RR Diagnostic Lab, Arden, FCAP Improvements (ADA, HVAC, etc)		54,500				
Agriculture	19 Laboratory	RR Diagnostic Lab, Edenton, FCAP Improvements (HVAC)		2,600				
Agriculture	20 State Farm	Caswell, Multipurpose Building to be used for processing vegetables, cold storage		593,700				
Agriculture	20 State Farm	Caswell, Irrigation System (10 acres of portable system, 7.5 acres of trickle system)		373,000				
Agriculture	20 State Farm	Caswell, Pesticide Storage Facility (576 sq. ft)		94,400				
DEHNR	1 Museum	Museum of Natural Sciences (Receipts of \$8 m also)	7,100,000	2,000,000				
DEHNR	2 Aquariums	NC Aquariums Expansion	34,567,000					
DEHNR	3 Zoo	RR Zoo: Infrastructure (320,939 in receipts also)	1,283,757					
DEHNR	3 Zoo	RR Zoo: Animal Habitats, Phase I (\$1,066,465 in receipts also)	4,265,858					
DEHNR	3 Zoo	RR Zoo: Animal Habitats, Phase II (Receipts of \$919,346 also)		3,677,384				
DEHNR	3 Zoo	Zoo: Visitor Service Facilities (Receipts of \$15,658 in 1997-98; \$139,182 in 1998-99)	62,633	556,729				
DEHNR	3 Zoo	Zoo: Construction Preparation (receipts of \$42,287)	169,146					
DEHNR	3 Zoo	Zoo: Educational and Graphic Elements (receipts of \$44,000 in 1997-98; \$228,000 i	176,000	912,000				
DEHNR	4 Civil Works	Wilmington Harbor 38 ft. Project Maintenance Dredging (\$5.015 M FF each year)	200,000	100,000				
DEHNR	4 Civil Works	Morehead City Harbor Navigation Maintenance Dredging (\$2.5 million FF)		50,000				
DEHNR	4 Civil Works	Wilmington Harbor Long Term Spoil Disposal Site Construction (\$256 m FF in 1997	3,500,000	4,000,000				
DEHNR	4 Civil Works	Everett Jordan Water Supply Contract Repayment (Local receipts of \$100,000/\$120,	100,000	80,000				
DEHNR	4 Civil Works	Aquatic Weed Control-Statewide Including Lake Gaston (receipts of \$205,000 each	200,000	200,000				

S i x		Y e a r			C a p i t a l		R e q u e s t s			
Department	Dept's Priority	Project Description			1997- 1998	1998- 1999	1999- 2000	2000- 2001	2001- 2002	2002- 2003
DEHNR	4 Civil Works	Cape Fear Navigation Channel Deepening Design and Engineering (\$2.1m FF/\$3.2			700,000	1,100,000				
DEHNR	4 Civil Works	Wilmington Harbor N.E. Cape Fear River Deepening Construction (\$9.6 M FF)				3,830,000				
DEHNR	4 Civil Works	Wilmington Harbor Navigation Channel Widener Construction (\$3.7 m FF/\$14.185 m			1,570,000	4,583,000				
DEHNR	4 Civil Works	Wanchese Channel Navigation Maintenance Dredging (\$3.5 m FF each year)			150,000	50,000				
DEHNR	4 Civil Works	State-Local Water Resources Projects (Local Receipts, \$250,000 each year)			400,000	400,000				
DEHNR	4 Civil Works	Wrightsville Beach Renourishment (Receipts \$1,238,000 FF/Local)			502,000					
DEHNR	4 Civil Works	Carolina Beach Renourishment (Receipts 3,222,000 FF/Local)			1,148,000					
DEHNR	4 Civil Works	Dare County Beaches Feasibility Study (Receipts \$675,000/\$189,000)			225,000	63,000				
DEHNR	4 Civil Works	Clinton Wastewater Treatment Plant Flood Protection (receipts \$294,000)			84,000					
DEHNR	4 Civil Works	Beaufort Harbor Navigation Maintenance Dredging (receipts \$205,000)				80,000				
DEHNR	4 Civil Works	North Channel Navigation Maintenance Dredging, Dare County (no receipts)			1,100,000					
DEHNR	4 Civil Works	Cape Fear Above Wilmington Navigation Maintenance Dredging (receipts \$360,000)			100,000					
DEHNR	4 Civil Works	Atlantic Intracoastal Waterway Nabigation Maintenance Easements (receipts \$4.0 m			100,000	100,000				
DEHNR	4 Civil Works	Moravian Creek Flood Control, Wilkesboro (receipts of \$59,000)				22,000				
DEHNR	4 Civil Works	New Project Feasibility Studies (receipts \$247,000 in 1997-98; \$225,000 in 1998-99)			83,000	75,000				
DEHNR	4 Civil Works	Emergency Streambank Protection-Section 14 (receipts of \$225,000 each year)			75,000	75,000				
DEHNR	4 Civil Works	Planning Assistance to NC Communities (receipts of 100,000 each year)			100,000	100,000				
DEHNR	5 Marine Fisheries	Wanchese Office and Workshop			251,826					
DEHNR	5 Marine Fisheries	Replace R/V CAROLINA COAST			566,500					
DEHNR	5 Marine Fisheries	Aircraft Hangar Addition			98,950					
DEHNR	5 Marine Fisheries	Main Complex Expansion			2,785,588					
DEHNR	5 Marine Fisheries	Helipad Project			19,913					
DEHNR	5 Marine Fisheries	South River Building (FEMA funding being pursued)			253,200					
DEHNR	6 Air Quality	Construction of Air Quality Lab/Shop Expansion			503,100					
DEHNR	7 Water Quality	Water Quality Lab Expansion (4,000 sq. ft)			615,732					
DEHNR	8 Forestry	District 6 Headquarters-Shop Warehouse (10,000 Sq. ft.; 2000 LF Fencing) (Fayette			1,420,100					
DEHNR	8 Forestry	District 6 Headquarters-Equipment Shelter (Fayetteville)			204,000					
DEHNR	8 Forestry	County Headquarters, Sampson			393,200					
DEHNR	8 Forestry	County Headquarters, Moore			449,100					
DEHNR	8 Forestry	County Headquarters, Camden/Currituck/Pasquotank			394,100					
DEHNR	8 Forestry	County Headquarters, Bladen			394,100					
DEHNR	8 Forestry	County Headquarters, Duplin			394,100					
DEHNR	8 Forestry	County Headquarters, Union			449,100					
DEHNR	8 Forestry	County Headquarters, Buncombe			355,600					
DEHNR	8 Forestry	County Headquarters, Lenoir County			449,400					
DEHNR	8 Forestry	County Headquarters, Richmond				449,100				
DEHNR	8 Forestry	County Headquarters, Madison				416,900				
DEHNR	8 Forestry	County Headquarters, Jones				449,100				

S i x		Y e a r				C a p i t a l				R e q u e s t s			
Department	Dept's Priority		Project Description			1997- 1998	1998- 1999	1999- 2000	2000- 2001	2001- 2002	2002- 2003		
DEHNR	8 Forestry		County Headquarters, Wake				336,000						
DEHNR	8 Forestry		County Headquarters, Swain				416,900						
DEHNR	8 Forestry		County Headquarters, Washington				449,100						
DEHNR	8 Forestry		County Headquarters, Polk				416,900						
DEHNR	8 Forestry		County Headquarters, Carteret				449,100						
DEHNR	9		Small Watershed Grants: Deep Creek, Yadkin County Water Supply			600,000	600,000						
Correction	1 Central Prison	NF	Construct a 196 Diagnostic Center at Central Prison			18,189,300							
Correction	2 Warren CC	NF	Construct a 168 Cell Addition at Warren CC			14,840,200							
Correction	3 Metro Area	NF	Construct a 520 Cell Close Custody Institution within Metro Area			9,097,000	51,549,900						
Correction	4 Scotland County	NF	Construct a 712 Cell Close Custody Institution, Scotland County			10,580,600	59,956,900						
Correction	5 NC CI for Women	NF	Construct a 208 Bed Dormitory and Food Service Building			10,181,300							
Correction	6 Alexander County	NF	Construct a 520 Cell Close Custody Institution, Alexander County			9,107,200	51,607,700						
Correction	7 Wake County	NF	Construct a DOC Consolidated Office Building, Wake County			7,108,700	40,282,900						
Correction	8 Wake County	NF	Purchase Auxiliary Services Warehouse, Garner, NC			2,201,600							
Correction	9 Wake County	RR	Repairs and Renovations to the Shore Building			419,200							
Correction	10 Wake County	NF	Construction and Major Maintenance Warehouse, Raleigh, NC			2,100,200							
Correction	11 Wake County	NF	Purchase Property Adjacent to the Randall Building			550,400							
Correction	12 Central Prison	NF	Construct Acute Care Ward at Central Prison			4,264,700	24,166,400						
Correction	13	NF	Construct Fresh Vegetable Distribution System (Dan River, Caledonia, Tyrrell) Use I			7,228,700							
Correction	14 Caledonia	NF	Construct Cannery Replacement Facility at Caledonia (Estimated using inmate labor			1,075,100	6,092,100						
Correction	15 Warren CC	NF	Purchase and upfit the Megastar Building to Replace the Janitorial Products Plant at			2,013,800	3,478,000						
Correction	16	NF	Enlarge Food Service Buildings at Greene CC, Columbus CC, Johnston CC			1,008,700							
Correction	17	RR	Reserve for Roof Replacements (Statewide)			1,000,000							
Correction	18 Alamance County	NF	Construct Bus Terminal, Alamance County			1,418,600							
Correction	19 Wayne County	?	Repairs and Renovations to the Campus, DART at Cherry near Goldsboro			3,272,000							
Correction	20	NF	Construct Acute Care Ward, Eastern NC			2,152,300	12,196,100						
Correction	21	NF	Construct Acute Care Ward, Western NC			2,152,300	12,196,100						
Correction	22	NF	Construct a Mental Health Activities Building, Foothills Correctional Institution			535,900							
Correction	23	RR	Removal of Underground Storage Tanks Statewide			1,254,900							
Correction	24	NF	Construct Administration Buildings at 6 locations			7,344,900							
Correction	25	NF	Construct Multi-purpose Modular Units at Caswell CC, Lumberton CC, Pender CI			919,500							
Justice	1 Wake County	NF	SBI Operations Building (already planned)			17,483,400							
Justice	2		Western Justice Academy Phase II (Land Acquisition, Design fee, Construction of D			846,304	4,980,796						
Crime Control	1 Highway Fund		SHP Underground Storage Tank Replacements (\$604,000 in 1997-98)										
Crime Control	2 Armories	RR	Sanford Amory Roof Replacement/Miscellaneous Repairs				169,500						

S i x		Y e a r		C a p i t a l		R e q u e s t s				
Department	Dept's Priority	Project Description		1997-1998	1998-1999	1999-2000	2000-2001	2001-2002	2002-2003	
Crime Control	3 Amories	RR	C. T. Bowers Military Center Roads and Parking Lot Repairs		144,000					
Crime Control	4 Amories	RR	C. T. Bowers Military Center Electrical Repairs		151,700					
Crime Control	5 Amories	RR	Parking Lot Drainage Repairs at Amories		207,100					
Crime Control	6 Amories	RR	Boiler Replacements and Hot Water Heaters at 16 Amories			999,300				
Crime Control	7 Amories	RR	Kings Mountain Vehicle Storage Building Roof Repairs				25,100			
Crime Control	8 Amories	RR	Warsaw Vehicle Storage Building Roof Replacement				25,100			
Crime Control	9 Amories	RR	Elizabethtown Armory Drill Hall Window Replacement				29,800			
Crime Control	10 Amories	RR	Range Hood/Fire Suppression System at 14 Amories				98,400			
Crime Control	11 Amories	RR	Fire Alarm Systems and Emergency Lighting at 55 Amories					923,800		
Crime Control	12 Amories	RR	Underground Storage Tank Removal and Remediation						220,900	
Crime Control	13 Amories	RR	Asbestos Study for 100 Amories						83,300	
Crime Control	14 Amories	RR	Soil Erosion Repairs at 15 Amories						71,400	
Crime Control	1 Highway Fund		SHP Helicopter Hangar, Storage Facility (\$231,900 in 1997-98, Garner Road							
Crime Control	2 Highway Fund	NF	SHP Communication and Logistics Facility (\$9,007,000 in 1997-98), Garner Road							
Crime Control	3 Amories	NF	Charlotte NG Armory Construction (Receipts of \$7,832,600 in 1997-98)	1,100,000						
Crime Control	4 Butner	NF	Butner Public Safety Building (Demolition of existing facility, construction of new facil	575,600						
Crime Control	5 Highway Fund	NF	SHP Troop E Headquarters Complex (\$2,932,300 in 1997-98), Salisbury							
Crime Control	6 Highway Fund		SHP Troop A Headquarters Complex (\$3,139,000 in 1997-98), Greenville							
Crime Control	7 Highway Fund		SHP Troop B Headquarters Complex (\$2,766,000 in 1998-99), Fayetteville							
Crime Control	8 Highway Fund		SHP Troop G Headquarters Complex (\$3,139,000 in 1998-99), Asheville							
Crime Control	Highway Fund		SHP Troop D Headquarters Complex (\$3,139,000 in 1999-2000), Greensboro							
Judicial	1		Judicial Center Planning/ etc. which is included under the Department of Administration							
Human Resources	7 Dix Hospital	NF	Construction of 324 bed Hospital	415,140	41,098,860					
Human Resources	14 Dix Hospital	RR	South Wing Waterproofing	570,200						
Human Resources	27 Dix Hospital	RR	Clark Building LSC Corrections	423,800						
Human Resources	28 Dix Hospital	RR	Clark Building Roof	298,600						
Human Resources	12 Dix Hospital	RR	Electrical Distribution System	2,346,800						
Human Resources	96 Dix Hospital	RR	Broughton/Clark Building Cooling Tower	111,400						
Human Resources	103 Dix Hospital	RR	Council Building Cooling Tower	94,900						
Human Resources	110 Dix Hospital	RR	Power Plant Repairs	306,800						
Human Resources	Dix Hospital	RR	Fire Alarm Digital Signal	150,000						
Human Resources	120 Dix Hospital	RR	McBryde Building East Roof	234,700						
Human Resources	126 Dix Hospital	RR	Bath/Toilet Renovations		450,000	500,000	500,000	500,000	500,000	
Human Resources	Dix Hospital	RR	Kitchen Elevator and Windows	198,000						
Human Resources	Dix Hospital	RR	Young House Roof	43,000						

S i x		Y e a r	C a p i t a l			R e q u e s t s				
Department	Dept's Priority	Project Description	1997- 1998	1998- 1999	1999- 2000	2000- 2001	2001- 2002	2002- 2003		
Human Resources	Dix Hospital	RR Campus Lighting	370,000							
Human Resources	Dix Hospital	RR Maintenance Shop/Paint Shop Roof	112,000							
Human Resources	Dix Hospital	RR Chilled Water System	2,120,000		1,500,000		1,500,000			
Human Resources	Dix Hospital	RR Steam Tunnel Repairs		595,000						
Human Resources	Dix Hospital	RR Kitchen Renovations		2,553,000						
Human Resources	Dix Hospital	RR Fire Alarm System Upgrade		1,502,000						
Human Resources	Dix Hospital	RR Hot Water System		242,000						
Human Resources	Dix Hospital	RR Sprinkler Systems-7 Buildings		700,000						
Human Resources	Dix Hospital	RR Erwin Addition Renovations		1,468,000						
Human Resources	Dix Hospital	RR Hoey Building LSC Corrections		136,000						
Human Resources	Dix Hospital	RR Brown Building LSC Corrections		480,000						
Human Resources	Dix Hospital	RR Medical Gas System		227,000						
Human Resources	Dix Hospital	RR Spruill Building Elevator		130,000						
Human Resources	Dix Hospital	RR McBryde West-D Elevator		118,000						
Human Resources	Dix Hospital	RR Chapel AC and Windows		330,000						
Human Resources	Dix Hospital	RR Roof Repair/Replacement			500,000	500,000	500,000	500,000		
Human Resources	Dix Hospital	RR Cooling Tower Replacement			300,000	350,000	350,000	350,000		
Human Resources	Dix Hospital	RR Boiler Replacement			750,000		750,000			
Human Resources	Dix Hospital	RR Window Replacement			350,000	350,000	350,000	350,000		
Human Resources	Dix Hospital	RR LSC Corrections			600,000	600,000	600,000	600,000		
Human Resources	Dix Hospital	RR ADA Corrections			300,000	300,000	300,000	300,000		
Human Resources	Dix Hospital	RR Water and Sewer System				800,000		800,000		
Human Resources	Dix Hospital	RR Pavement/Parking System				1,000,000		1,000,000		1,000,000
Human Resources	Dix Hospital	RR Underground Utilities					1,000,000			
Human Resources	Dix Hospital	RR North Wing Waterproofing					1,200,000			
Human Resources	Dix Hospital	RR South Wing Waterproofing								1,000,000
Human Resources	Dix Hospital	RR North Wing Renovations								
Human Resources	Dix Hospital	Central Warehouse			1,000,000	3,000,000				
Human Resources	Dix Hospital	RR Physical Plant Complex				500,000				500,000
Human Resources	65 Dix Hospital	RR Dobbins Building Renovations (DYS)	627,900							
Human Resources	34 Broughton Hosp.	RR Renovation of Campus Bathrooms	1,054,000	0	500,000	500,000	500,000			
Human Resources	8 Broughton Hosp.	RR Emergency Generators for Wards T & U, V & W, R & S, Harper & Scroggs Building	720,575							
Human Resources	Broughton Hosp.	RR Ward 1 Repairs	45,000							
Human Resources	41 Broughton Hosp.	RR Chiller Replacement	348,000	0	2,000,000	1,000,000	1,000,000	1,003,000		
Human Resources	38 Broughton Hosp.	RR DOI Fire and Safety Corrections	1,995,800	0	711,000					
Human Resources	Broughton Hosp.	RR ADA Corrections	1,000,000	1,000,000	1,000,000	1,300,000				
Human Resources	Broughton Hosp.	RR Fire Alarm System for Male Dormitory	21,000							

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Department	Dept's Priority	Project Description		1997- 1998	1998- 1999	1999- 2000	2000- 2001	2001- 2002	2002- 2003		
Human Resources	Broughton Hosp.	RR	David T. Flaherty Western VR Center Fire Alarm System	27,000							
Human Resources	95 Broughton Hosp.	RR	Air Conditioning for Wards 36 and 37		244,900						
Human Resources	79 Broughton Hosp.	RR	JCAHO Corrections to Division G Wards 28-32	1,123,300	0	1,000,000	600,000				
Human Resources	Broughton Hosp.	RR	Air Condition Gymnasium		233,000						
Human Resources	Broughton Hosp.	RR	Boiler Plant Replacement		2,000,000	2,680,000					
Human Resources	Broughton Hosp.	RR	Service Building Emergency Generator		262,000						
Human Resources	Broughton Hosp.	RR	Asbestos Removal		135,000			200,000	200,000		
Human Resources	Broughton Hosp.	RR	Roof Replacements/Repairs		500,000	350,000					
Human Resources	Broughton Hosp.	RR	Upgrade Electrical Distribution System		1,000,000	1,000,000	500,000				
Human Resources	Broughton Hosp.	RR	Campus Lighting		357,000						
Human Resources	Broughton Hosp.	RR	David T. Flaherty VR Center Covered Parking for State Vehicles		117,000						
Human Resources	Broughton Hosp.	RR	Install Fire Pump on Hydrant Line			120,000					
Human Resources	Broughton Hosp.	RR	Gas Fired Water Heaters			200,000					
Human Resources	Broughton Hosp.	RR	Resurfacing Streets and Parking Areas			600,000	1,000,000				
Human Resources	Broughton Hosp.	RR	Asbestos Removal				150,000				
Human Resources	Broughton Hosp.	RR	Air Condition F-2 Dining Room				100,000				
Human Resources	Broughton Hosp.	RR	Replace Steam Plant I.D. Fan					100,000			
Human Resources	Broughton Hosp.	RR	Air Condition Male and Female Dorms					500,000			
Human Resources	Broughton Hosp.	RR	Replace Steam Plant Air Compressors					50,000			
Human Resources	Broughton Hosp.	RR	New Feedwater Pump for Steam Plant						50,000		
Human Resources	Broughton Hosp.	RR	Replace Main Kitchen Exhaust System						75,000		
Human Resources	Broughton Hosp.	RR	Air Condition Main Kitchen						300,000		
Human Resources	Umstead Hosp.	RR	ADATC Life Safety	745,000							
Human Resources	33 Umstead Hosp.	RR	Regional Fueling Facility/Underground Storage Tank	250,000							
Human Resources	Umstead Hosp.	RR	Main Kitchen Dining Room Renovations	157,000							
Human Resources	45 Umstead Hosp.	RR	JUH Life Safety Code	393,200	0						
Human Resources	15 Umstead Hosp.	RR	Reroofing	597,100	0						
Human Resources	Umstead Hosp.	RR	CFC Ban, Replace Chillers		3,447,000						
Human Resources	Umstead Hosp.	RR	Elevator Repair		46,000						
Human Resources	Umstead Hosp.	RR	JUH ADA Compliance			5,270,000					
Human Resources	Umstead Hosp.	RR	Replace Fan Coils Throughout			713,000					
Human Resources	Umstead Hosp.	RR	Storm Drains			67,000					
Human Resources	Umstead Hosp.	RR	Kitchen Cooling			80,000					
Human Resources	Umstead Hosp.	RR	Dikes				86,000				
Human Resources	Umstead Hosp.	RR	Paving				109,000				
Human Resources	Umstead Hosp.	RR	Fresh Air in Wards				55,000				
Human Resources	Umstead Hosp.	RR	Study Electrical System					78,000			

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Department	Dept's Priority	Project Description	1997- 1998	1998- 1999	1999- 2000	2000- 2001	2001- 2002	2002- 2003
Human Resources	Umstead Hosp.	RR Eliminate Return Air from Corridors					7,581,000	
Human Resources	Umstead Hosp.	RR Fire Sprinklers Campus Wide						3,338,000
Human Resources	Umstead Hosp.	RR Sports Arena Renovations						156,000
Human Resources	2 Cherry Hospital	Replacement of Mc Farland Building	250,000	3,100,000				
Human Resources	Cherry Hospital	RR Absorption Chiller Replacement at U3	827,000					
Human Resources	Cherry Hospital	RR Elevator Renovations, Six Buildings	261,000					
Human Resources	Cherry Hospital	RR CH-Renovation, HVAC Royster, McFarland	300,000					
Human Resources	Cherry Hospital	RR Cherry Elevator Renovations at Woodard Building	230,000					
Human Resources	Cherry Hospital	RR Replace HVAC Controls at Linville Building	39,000					
Human Resources	Cherry Hospital	RR Richardson Building Roof Replacement	120,000					
Human Resources	Cherry Hospital	RR Roof Replacement, Laundry	321,000					
Human Resources	Cherry Hospital	RR U-4 Building Roof Replacement	171,000					
Human Resources	Cherry Hospital	RR U-1 Building Roof Replacement	192,000					
Human Resources	Cherry Hospital	RR Cherry Fire/Sprinkler at Occupational Therapy and Warehouse #1	256,000					
Human Resources	Cherry Hospital	RR New Chiller & Tower Replacement at McFarland	115,000					
Human Resources	Cherry Hospital	RR Renovations to Six Buildings	121,000					
Human Resources	Cherry Hospital	RR ADA Renovations Needs	2,159,000					
Human Resources	Cherry Hospital	RR Renovations of Control Systems at 4 Buildings	101,000					
Human Resources	Cherry Hospital	RR HVAC Replacement to Seven Buildings	478,000					
Human Resources	Cherry Hospital	RR Resurface 3 Parking Lots and Replace Sidewalks	100,000					
Human Resources	Cherry Hospital	RR Resurfacing (Pavement Needs)	179,000					
Human Resources	Cherry Hospital	RR Resurface & Restripe 3 Parking Lots (McFarland, Therapeutic Center and Kitchen)	36,000					
Human Resources	Cherry Hospital	RR Demolish Old Power Plant	2,137,000					
Human Resources	O'Berry Center	RR Upgrade Electrical services in 4 buildings to 800 AMPS	195,000					
Human Resources	O'Berry Center	RR Fire Sprinkler Systems for Warehouse	41,000					
Human Resources	O'Berry Center	RR Reroof Multi-purpose Building	198,000					
Human Resources	O'Berry Center	RR Reroof 9 cottages	508,000					
Human Resources	O'Berry Center	RR Replace condensing units and air handling units with natural gas units	385,000					
Human Resources	O'Berry Center	RR Install fire alarm system in Main Kitchen	31,000					
Human Resources	O'Berry Center	RR Replace roof on CCH-West	?					
Human Resources	O'Berry Center	RR Renovate bathrooms campus wide	500,000					
Human Resources	O'Berry Center	RR Group Home Kitchens	150,000					
Human Resources	O'Berry Center	RR Replace roof on ELC-1	485,000					
Human Resources	O'Berry Center	RR Resurface roadways and parking lots	145,000					
Human Resources	O'Berry Center	RR Pave gravel parking lots	53,000					
Human Resources	O'Berry Center	RR Replace deteriorated doors in 5 buildings		60,000				

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Department	Dept's Priority	Project Description	1997- 1998	1998- 1999	1999- 2000	2000- 2001	2001- 2002	2002- 2003		
Human Resources	O'Berry Center	RR Reroof Main Kitchen and East Side Complex		290,000						
Human Resources	O'Berry Center	RR Emergency Generator		640,000						
Human Resources	O'Berry Center	RR Replace windows in 4 buildings		130,000						
Human Resources	O'Berry Center	RR Replace controls for heating and cooling system at ELC 1,2,3 and 4			41,000					
Human Resources	O'Berry Center	RR Install Air Dampers and controls for air handling units			66,000					
Human Resources	O'Berry Center	RR Install 200 KW generator and transfer switch				934,000				
Human Resources	O'Berry Center	RR Reroof new school building					36,000			
Human Resources	O'Berry Center	RR Replace ceiling containing asbestos materials and extend walls to ceilings					260,000			
Human Resources	O'Berry Center	RR Central Heating and Cooling					163,000			
Human Resources	O'Berry Center	RR Replace coils and controls in air handling units					220,000			
Human Resources	O'Berry Center	RR Reroof Old Administration Building					75,000			
Human Resources	O'Berry Center	RR Replace six inch water main					205,000			
Human Resources	O'Berry Center	RR Replace Sanitary Sewer at Main Kitchen						127,000		
Human Resources	O'Berry Center	RR Test Air Handling Unit for Air Flow and Pressure						52		
Human Resources	O'Berry Center	RR Obtain Engineering Study Renovate HVAC System						203,000		
Human Resources	Caswell Center	RR Electrical Improvements,	655,000	656,000						
Human Resources	Caswell Center	RR Fire Protection Renovations	637,000	637,000	637,000					
Human Resources	Caswell Center	RR HVAC Renovations	2,526,000	3,804,000	1,422,000					
Human Resources	Caswell Center	RR Bathroom Renovations	1,235,000							
Human Resources	Caswell Center	RR Replace Piping	933,000							
Human Resources	Caswell Center	RR Reroofing	200,000	200,000	412,000	408,000	301,000	328,000		
Human Resources	Caswell Center	RR Boiler Plant Upgrade	100,000							
Human Resources	Caswell Center	RR Tile Campus Ditches		301,000						
Human Resources	Caswell Center	RR Dietary Renovations		3,493,000						
Human Resources	Caswell Center	RR Generator Installation		1,742,000						
Human Resources	Caswell Center	RR Campus Fire Hydrant System		516,000						
Human Resources	Caswell Center	RR Replace Corridor Walls-Tapp		210,000						
Human Resources	Caswell Center	RR Resilient Tile Flooring			202,000					
Human Resources	Caswell Center	RR Asbestos Removal			1,725,000					
Human Resources	Caswell Center	RR Repave Streets and Parking lots			395,000					
Human Resources	Caswell Center	RR Replace Boiler Stack				22,000				
Human Resources	Caswell Center	RR Storm Windows and Insulation				1,148,000				
Human Resources	Caswell Center	RR Replace Storm Drain System					90,000			
Human Resources	Caswell Center	RR Renovate Lee Building					1,818,000			
Human Resources	Caswell Center	RR Replace Campus Primary						1,661,000		
Human Resources	Caswell Center	Shelter for Warehouse						106,000		

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Department	Dept's Priority	Project Description	1997- 1998	1998- 1999	1999- 2000	2000- 2001	2001- 2002	2002- 2003				
Human Resources	Western Carolina	RR Boiler Phase II	350,000									
Human Resources	Western Carolina	RR Correct Life Safety Codes	717,000									
Human Resources	Western Carolina	RR Road Resurfacing	394,000									
Human Resources	Western Carolina	RR Replace Cooling Equipment-Gym	62,000									
Human Resources	Western Carolina	RR Reroofing	125,000	100,000	100,000	100,000	100,000					
Human Resources	Western Carolina	RR Reroof and Sprinkle Warehouse	303,000									
Human Resources	Western Carolina	RR Renovate Kitchen		441,000								
Human Resources	Western Carolina	RR Install Vinyl Siding-Enola Road Houses	48,000	48,000	48,000	48,000						
Human Resources	Western Carolina	RR Swimming Pool Pipe Replacement	34,000									
Human Resources	Western Carolina	RR Replace Asbestos Floor Tile-Gym		90,000								
Human Resources	Western Carolina	RR Gas Furnace Replacement		72,000								
Human Resources	Western Carolina	RR Renovate Spruce and Pine		1,434,000		1,434,000						
Human Resources	Western Carolina	RR Renovate Poplar and Ash	1,364,000		1,364,000							
Human Resources	Western Carolina	FIPP Building Addition		270,000								
Human Resources	Murdoch Center	RR Repair/Renovate Edgewood Cottage	296,000									
Human Resources	Murdoch Center	RR Heating Plan Replacement	1,200,000									
Human Resources	Murdoch Center	RR Cooling & Refrigerant Equipment Replacement Phase 1	289,000									
Human Resources	Murdoch Center	RR Replace Patio Covers at 4 Cottages	196,000									
Human Resources	Murdoch Center	RR Auditorium Floor Conversion	119,000									
Human Resources	Murdoch Center	RR Reroof Boiler Room	42,000									
Human Resources	Murdoch Center	RR Reroof Administration Building	44,000									
Human Resources	Murdoch Center	RR Air Condition Main Kitchen		256,000								
Human Resources	Murdoch Center	RR Air Condition Gymnasium		165,000								
Human Resources	Murdoch Center	RR Replace Built-up Roof on Warehouse and Linen Room		125,000								
Human Resources	Murdoch Center	RR Connect Chill Water Lines		160,000								
Human Resources	Murdoch Center	RR Reroof Psychology Building and Annex		39,000								
Human Resources	Murdoch Center	RR Install Sprinkler System in 4 buildings		104,000								
Human Resources	Murdoch Center	RR Infirmary/Clinic Covered Loading/Unloading Area		43,000								
Human Resources	Murdoch Center	RR Replace 40,000 square feet of Block Tile in 4 buildings		125,000								
Human Resources	Murdoch Center	RR Insulate Attic and Floors		238,000								
Human Resources	Murdoch Center	RR Renovate Summerset Cottage			997,000							
Human Resources	Murdoch Center	RR Installation of Cook/Chill Food System			1,233,000							
Human Resources	Murdoch Center	RR Replace Exterior Windows on 15 Residential Buildings			1,974,000							
Human Resources	Murdoch Center	RR Resurfacing of Paved Roadways			168,000							
Human Resources	Murdoch Center	RR Installation of Elevator in Administration Building			101,000							
Human Resources	Murdoch Center	RR Replace Built-up roof on Rehabilitation Services Building			185,000							
Human Resources	Murdoch Center	RR Replace Door Knobs with Levers for Disabled Persons				149,000						

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Department	Dept.'s Priority	Project Description			1997- 1998	1998- 1999	1999- 2000	2000- 2001	2001- 2002	2002- 2003		
Human Resources	Murdoch Center	RR	Reroof 4 Warehouses									
Human Resources	Murdoch Center		Replace Residents Outside Swimming Pool						71,000			
									157,000			
Human Resources	Black Mt. Center	RR	Fire Sprinklers and Fire Rated Doors			249,000						
Human Resources	Black Mt. Center	RR	Renovate Moore II Office Space as Alzheimer's Respite Unit			293,000						
Human Resources	Black Mt. Center	RR	Parking Area-Alzheimer's			235,000						
Human Resources	Black Mt. Center	RR	Renovate 10 Program Houses			311,000						
Human Resources	Black Mt. Center	RR	Dust Collection System-Maintenance Dept.			30,000						
Human Resources	Black Mt. Center	RR	Steam Condensate System-Moore Building				31,000					
Human Resources	Black Mt. Center	RR	ADA Accessibility Study				15,000					
Human Resources	Black Mt. Center	RR	Insulation of Steam Pipes-Main Building				24,000					
Human Resources	Black Mt. Center	RR	Roof Replacement Moore Building				149,000					
Human Resources	Black Mt. Center		New Purchase Office and Warehouse					335,000				
Human Resources	Black Mt. Center		Multi-Purpose Building						2,021,000			
Human Resources	NC Special Care	RR	Heat and Cooling Plant Chiller Replacement			737,000						
Human Resources	NC Special Care	RR	Scott Wing-Replace Air Handling System			81,000						
Human Resources	NC Special Care	RR	Scott Wing Hot Water Converters			60,000						
Human Resources	NC Special Care	RR	Scott Wing Emergency Electrical Circuits			13,000						
Human Resources	NC Special Care	RR	Scott Wing Roof Replacement			164,000						
Human Resources	NC Special Care	RR	Service Wing Roof Replacement				134,000					
Human Resources	NC Special Care	RR	South Wing Roof Replacement				18,000					
Human Resources	NC Special Care	RR	Spruill Wing Replace Gutters/Wood Fascia				64,000					
Human Resources	NC Special Care	RR	Administration Building Roof Replacement				25,000					
Human Resources	NC Special Care	RR	Infrastructure Sorm Drain Replacement				51,000					
Human Resources	NC Special Care	RR	FCAP Deficiencies for Center				90,000					
Human Resources	NC Special Care	RR	Spruill Wing New Windows					125,000				
Human Resources	NC Special Care	RR	Spruill Wing Attic Vents and Insulation					25,000				
Human Resources	NC Special Care	RR	Scott Wing Sixth Floor Repairs					40,000				
Human Resources	NC Special Care	RR	Heating and Cooling Plant Roof Repairs					16,000				
Human Resources	ADATC-Bk. Mountai	RR	Replace Gas Water Lines			12,000						
Human Resources	ADATC-Bk. Mountai	RR	Repave Streets and Parking Lots			136,300						
Human Resources	ADATC-Bk. Mountai		Addition to Maintenance Shop			37,100						
Human Resources	ADATC-Bk. Mountai	RR	Renovate Patient Bathrooms				75,000					
Human Resources	ADATC-WB Jones	RR	Reroof Buildings			135,000						
Human Resources	ADATC-WB Jones	RR	Replace Condensing Units			72,000						

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Department	Dept's Priority	Project Description	1997- 1998	1998- 1999	1999- 2000	2000- 2001	2001- 2002	2002- 2003				
Human Resources	ADATC-WB Jones	RR Replace roof of Maintenance Building	21,000									
Human Resources	ADATC-WB Jones	RR Strobe Lights and Detectors Connected to Fire Alarm System	20,000									
Human Resources	ADATC-WB Jones	RR Encapsulate Asbestos Soffit Panels	8,000									
Human Resources	ADATC-WB Jones	RR Install Ground Fault Interrupters	6,000									
Human Resources	ADATC-WB Jones	RR Repair, Repave Drives, Install Sidewalks	46,000									
Human Resources	ADATC-WB Jones	RR Life Safety-Addition of Smoke Detectors	4,000									
Human Resources	ADATC-WB Jones	RR Heat Detectors in Boiler Room	3,000									
Human Resources	ADATC-WB Jones	RR Upgrade Air Distribution System	122,000									
Human Resources	ADATC-WB Jones	RR Air Condition Cafeteria Cooking Area	60,000									
Human Resources	ADATC-WB Jones	RR Replace Floor Tile in Infirmary	26,000									
Human Resources	ADATC-WB Jones	RR Lever Operated Mechanisms on Doors	2,000									
Human Resources	ADATC-WB Jones	RR Replace Tile Ceilings	11,000									
Human Resources	ADATC-WB Jones	RR Dredge Campus Pond and Feeder Ditch										
Human Resources	ADATC-WB Jones	RR Install runoff water system at Modular Office Building connected to existing drain						35,000				
Human Resources	ADATC-WB Jones	RR Install Irrigation System for Campus Lawn						30,000				
Human Resources	ADATC-WB Jones	RR Replace entrance doors in vestibule of Cafeteria		2,000								
Human Resources	ADATC-WB Jones	RR Install Sprinkler System in Two Linen Storage Rooms		5,000								
Human Resources	ADATC-WB Jones	RR Replace 169 door locks throughout the Campus						14,000				
Human Resources	ADATC-WB Jones	RR Connect Cafeteria and Maintenance Building to existing Electronic Security System						4,000				
Human Resources	ADATC-WB Jones	RR Construct Storage Building for Medical Records and Office Supplies		42,000								
Human Resources	ADATC-WB Jones	RR Install Energy Efficient Lights throughout the Facility			30,000	20,000	20,000	20,000				
Human Resources	ADATC-WB Jones	RR Replace HVAC System in 7 Buildings		94,000	88,000	91,000	95,000					
Human Resources	ADATC-WB Jones	RR Replace 3 Commercial Kitchen Ventilators in Cafeteria		8,000								
Human Resources	Governor Morehead	RR Reroofing Maintenance/Vocational Building	117,000									
Human Resources	Governor Morehead	RR HVAC Cathey and Penland Buildings	502,000									
Human Resources	Governor Morehead	RR Code Deficiency Upgrade	185,000									
Human Resources	Governor Morehead	RR Renovation of Cox/Milsap Buildings	606,000									
Human Resources	Governor Morehead	RR Renovations Lineberry Hall	528,000									
Human Resources	Governor Morehead	RR Ceiling/Lighting Renovation	70,000									
Human Resources	Governor Morehead	RR Porch Replacement Simpson	28,000									
Human Resources	Governor Morehead	RR Riding Deck Preschool		25,000								
Human Resources	Governor Morehead	RR Ceiling Replacement Dining Hall		36,000								
Human Resources	Governor Morehead	RR Garage Renovation		65,000								
Human Resources	Governor Morehead	RR Roadway Resurfacing		129,000								
Human Resources	Governor Morehead	RR Reroofing 9 Buildings			230,000							
Human Resources	Governor Morehead	RR Basement Renovation Simpson			200,000							
Human Resources	Governor Morehead	RR Steam Line Replacement			100,000							

	S	i	x	Y	e	a	r	C	a	p	i	t	a	l	R	e	q	u	e	s	t	s
Department	Dept's	Priority	Project Description											1997-1998	1998-1999	1999-2000	2000-2001	2001-2002	2002-2003			
Human Resources	Governor Morehead	RR	Repair Floor Settling (Dining Hall)													150,000						
Human Resources	Governor Morehead	RR	HVAC 9 Buildings														875,000					
Human Resources	Governor Morehead	RR	ADA Deficiencies														1,622,000					
Human Resources	Governor Morehead	RR	Sewer Replacement														250,000					
Human Resources	Governor Morehead	RR	Storm Sewer Repairs															25,000				
Human Resources	Governor Morehead	RR	Window Replacement 9 Buildings															450,000				
Human Resources	Governor Morehead	RR	Second Floor Addition-Haywood															250,000				
Human Resources	Governor Morehead	RR	Bathroom Partition Replacement																25,000			
Human Resources	Governor Morehead	RR	Irrigation System																60,000			
Human Resources	Governor Morehead	RR	Garage Ceiling Repairs																40,000			
Human Resources	C A Dillon School	RR	Renovation to Campus Building											133,000								
Human Resources	C A Dillon School	RR	Window & Screen Replacement (Cottage A & B)											168,000								
Human Resources	C A Dillon School	RR	Replace Boiler Unit											127,000								
Human Resources	C A Dillon School	RR	Replace Chiller in D Cottage											121,000								
Human Resources	Stonewall Jackson	RR	Individual Toilet/Lavatories											234,000								
Human Resources	Dobbs School	RR	Air Condition-Reid, Administration, Auditorium, Gym											777,000								
Human Resources	Dobbs School	RR	Renovate Broughton												412,000							
Human Resources	Dobbs School	RR	Renovate Leonard												427,000							
Human Resources	Dobbs School	RR	Security Fence											868,000								
Human Resources	Dobbs School	RR	ADA Compliance, Campus Wide											670,000								
Human Resources	Dobbs School	RR	Removal of underground tanks and conversion to natural gas											184,000								
Human Resources	Dobbs School	RR	New Gymnasium												3,916,000							
Human Resources	Dobbs School	RR	Asbestos Removal Campus Wide												471,000							
Human Resources	JEC	RR	Electrical Distribution System Improvements											367,000								
Human Resources	JEC	RR	Additional Outside Lighting for Safety & Security											141,000								
Human Resources	JEC	RR	Reroof Rec. Annex, Warehouse & Carpenter Shop											125,000								
Human Resources	JEC	RR	Replace Water Mains											514,000								
Human Resources	JEC	RR	Security Fence											1,381,000								
Human Resources	Samarkand Manor		Wastewater Plant Replacement											387,000								
Human Resources	Samarkand Manor		New Centralized Kitchen											328,000								
Human Resources	Samarkand Manor	RR	Repair Primary Electrical-Install Generator											272,000								
Human Resources	Samarkand Manor	RR	New HVAC System-Hope Building											232,000								
Human Resources	Samarkand Manor	RR	Security Fence											1,148,000								

Six Year Capital Requirements

Department	Dept's Priority	Project Description	1997- 1998	1998- 1999	1999- 2000	2000- 2001	2001- 2002	2002- 2003
Human Resources	Samarkand Manor	RR New HVAC for Eight Buildings	1,791,000					
Human Resources	Samarkand Manor	RR Campus Compliance with ADA		957,000				
Human Resources	Samarkand Manor	RR Demolition of Leonard Building and new Vocation Building		1,823,000				
Human Resources	Samarkand Manor	RR Demolition of Gardner Hall, New MPAC Building		1,510,000				
Human Resources	Samarkand Manor	RR Construction of new 24 Bed Dorm		1,342,000				
Human Resources	DYS Group Homes	RR Primary Generators for three Group Homes	302,000					
Human Resources	NCSD	RR Renovation of Fire Alarm System for Deaf Children			336,700			
Human Resources	CNCSD	RR Roof Replacement	1,003,200					
Human Resources	NCSD	RR Renovate Hoey Hall		1,431,900				
Human Resources	CNCSD	RR Underground Storage Tank Removal		56,400				
Human Resources	ENCSD	RR ADA Compliance-Assessable Mass Communication for the Deaf		260,800				
Human Resources	NCSD	RR Repairs to Heating & Air Conditioning Systems	380,857	33,443				
Human Resources	CNCSD	RR Renovate & Refinish Exterior Wood Facia		54,500				
Human Resources	ENCSD	RR Food Service Unit Consolidation	78,600	1,088,900				
Human Resources	NCSD	RR Repair Roof, Joiner Gym Chapel Service Building		213,300				
Human Resources	CNCSD	RR Renovations of Playgrounds		27,100				
Human Resources	CNCSD	RR Air Condition Gym & Central Kitchen		154,100				
Human Resources	NCSD	RR Renovation to Jeter & Henderson Hall	317,650	317,650				
Human Resources	CNCSD	RR Swimming Pool Addition to Simpson-Williams Gym			594,200			
Human Resources	NCSD	RR Paint and Repair Elevated Water Tank	136,330	11,970				
Human Resources	CNCSD	RR Sidewalk Repair & Extension		85,200				
Human Resources	ENCSD	RR Maintenance Shop, Supply Storage and Vehicle Storage	629,300					
Human Resources	ENCSD	RR Fire Alarms-Five Buildings	227,400					
Human Resources	CNCSD	RR Street & Parking Lot Renovations			265,000			
Human Resources	NCSD	RR Replacement of Underhill Gym Floor			96,200			
Human Resources	Eastern Region VR	RR K Building Renovations	1,521,450					
Human Resources	Eastern Region VR	RR Condensing Units for B Building	14,550					
Human Resources	Eastern Region VR	RR Storage Building		72,765				
Human Resources	Eastern Region VR	RR Temperature Control Printing Shop		14,550				
Human Resources	Eastern Region VR	RR Resurface State Vehicle Parking Area and Main Parking Lot			75,190			
Human Resources	Eastern Region VR	RR Modular Building Renovation				523,908		
University		Repairs/Renovations	65,000,000	65,000,000	65,000,000	65,000,000	65,000,000	65,000,000
University		Fire Safety Improvements, Student Residence Halls	69,734,400					
University		University-Wide Facilities Plan: Current Capacity	388,250,000					

S i x		Y e a r	C a p i t a l		R e q u e s t s					
Department	Dept.'s Priority	Project Description	1997- 1998	1998- 1999	1999- 2000	2000- 2001	2001- 2002	2002- 2003		
University		University-Wide Facilities Plan: Future Capacity	34,196,200							
University		University-Wide Facilities Plan: Technology	32,340,600							
University		University-Wide Facilities Plan: Program Quality	114,789,600							
University		University-Wide Facilities Plan: Special Purpose	46,395,500							
University		University-Wide Facilities Plan: Support Facilities	11,922,900							
University		University-Wide Facilities Plan: Land Acquisition	15,000,000							
State Ports	Morehead City	Master Development Plan	14,200,500	6,023,100						
State Ports	Wilmington	Master Development Plan	15,847,000	17,414,900						
			1,215,014,959	587,646,047	151,376,590	153,081,308	115,500,800	179,969,652		

(iversity 1997-2001) Total Capital Needs by Institution

[illegible]

	B	C	D	E	F	G	H	I
1			CAPITAL IMPROVEMENTS					
2								
3					Governor's	Senate		House
4					Recommendations	Direct Appr. & R/R		Proposals
5						Earmarking		Priority Ranking
6								
7			Department of Administration					
8			State Government Visitors' Center Planning	1,000,000	0		1,000,000	
9								
10			Department of Agriculture					
11			Multi-purpose Events Building Planning	600,000	1,000,000		1,000,000	
12	HB 330	3,444,400	Piedmont Triad Farmers Market Wholesale/Retail Building Planning		300,000		3,444,400	
13	HB 331	537,000	Rollins Lab		537,000	R/R		
14	HB 362	8,200,000	Southeastern NC Agricultural Center		1,000,000			
15	HB 364	5,527,500	Environmental Farming System (Cherry)					
16	HB 472	1,000,000	Dorton Arena Improvements					
17			Eastern NC Agricultural Center	3,500,000	3,500,000	✓	3,500,000	
18			Cattle and Livestock Exposition Center (Iredell County)	6,000,000	0		6,000,000	
19			Maritime Museum Land Acquisition (Has since received \$250,000 for NHTF)	1,500,000	1,500,000		1,450,000	
20								
21	HB 255	20,000,000	State Ports Authority					
22			Wilmington 13.7 (both)	2,500,000	3,000,000	R/R ✓	7,483,000	
23			Morehead City	2,500,000	3,000,000	R/R	6,000,000	
24						Both	13,700,000	
25			Department of Correction					
26			Planning for single cell facility, Alexander County (Total project \$61.3 million)	300,000	300,000	✓	300,000	
27			Funding for a multi-purpose modular building, Carteret County	NO FUNDS	400,000			
28								
29			Department of Crime Control and Public Safety					
30			Charlotte NG Armory	1,260,300	1,260,300	✓	1,260,000	
31			Highway Funds TANKS				650,000	
32			Department of Cultural Resources					
33			Museum of History Restaurant	1,800,000	1,191,055	R/R ✓	1,800,000	
34			Reserve for State Historic Civil War Sites		1,000,000			
35			Cape Fear Museum	1,100,000	1,100,000		1,100,000	
36			Museum of Albemarle Planning/Site Development	1,000,000	1,000,000		0	
37			Roanoke Island Commission-Exhibits at the Festival Park		1,400,000			
38	HB 991	2,500,000	USS NC Battleship					
39	HB 950	3,100,000	Spencer Shops					
40								
41			Cultural Resource Projects				11,900,000	

	B	C	D	E	F	G	H	I	J
1				CAPITAL IMPROVEMENTS					
2									
3					Governor's	Senate		House	
4					Recommendations	Direct Appr. & R/R		Proposals	Priority
5						Earmarking			Ranking
6									
42				Department of EHNR:					
43				Water Resources Development/Watershed Projects	4,000,000	6,343,000		9,700,000	
44	HB 289	7,100,000		Natural Science Museum Exhibits	See Reserve	7,600,000		7,100,000	
45	HB 593	34,567,000		NC Aquariums		11,500,000		11,500,000	
46				Forestry Headquarters, Wayne County		100,000			
47	HB 971	500,000		Jones Forestry Building					
48	HB 190	416,900		Madison County Forestry Headquarters Facility					
49	HB 635			Addition to State Parks System					
50	HB 763	3,000,000		Establish a NC Museum of Forestry in Columbus County		1,500,000			
51				Blue Ridge Parkway Scenic Vistas	2,000,000				
52	HB 111	12,000,000		Amphibious Water Scooping Tanker Aircraft	4,000,000				
53	HB 610	5,000,000		South Mountain Gamelands		5,000,000			
54									
55									
56									
57				Department of Human Resources:					
58				Eastern NC SD Independent Living Complex Planning	500,000	500,000			
59				Western Carolina Center (8 Repair/Renovation projects)		3,614,055	R/R		
60									
61									
62				University					
63				Land Acquisition/Information Technology Equipment	20,000,000				
64				UNC Public Television Columbia Transmitter, Tower		7,144,500			
65	HB 1211	1,700,000		UNC General Administration School Leadership Academy					
66	HB 1211	3,000,000		Elizabeth City Fine Arts Building Completion		3,000,000			
67	HB 33	6,300,000		A & T Land Acquisition					
68	HB 32	33,000,000		A & T General Classroom and Lab Building		4,000,000			
69	HB 1172	11,482,000		A & T Hines Hall Complex					
70	HB 1211	5,000,000		ASU Convocation Center					
71	HB 1211	2,800,000		UNC Chapel Hill Paul J. Rizzo Conference Center					
72	HB 494	16,100,000		UNC Chapel Hill Institute of Government Knapp Building Addition/Renovations		8,532,100	R/R & DA		
73				UNC Chapel Hill Beard Hall-School of Pharmacy		8,824,600			
74				UNC Chapel Hill Botanical Gardens		350,000			
75				UNC Chapel Hill Carolina Living and Learning Center		1,274,275			
76				UNC Greensboro Science lab and Classroom Building		8,000,000			

Replaced by
Temporary Working
Group

126,937,500

[illegible]

APPROPRIATIONS
Subcommittee on Capital & Budget

Minutes
May 20, 1997

The APPROPRIATIONS Subcommittee on Capital & Budget held a meeting on Tuesday, May 20, 1997 at 12:00 P.M. in room 1425 of the Legislative Building. The members present were Representatives Carolyn Russell, Cochair, Representative Gene Wilson, Co-Chair, Cherrie Berry, Donald Bonner, Joanne Bowie, Walter Church, Sr., Beverly Earle, Charlotte Gardner, Bobby Hall, Verla Insko, William Ives, Frank Mitchell, Richard Moore, Jane Mosley and Charles Neely. Staff-Lynn Muchmore, Janice Wheeler, Emily Johnson, Phyllis Pickett, and Clerk- Rebecca Jones were also present.

Representative Russell called the meeting to order.

The first item discussed was the Multi-Purpose Events Building Planning. That was the Department of Agriculture's number one priority. Representative Church made a motion to fund the project \$1,000,000. The motion carried.

The Cape Fear Museum was the next item discussed. Representative Insko made a motion to fund the Cape Fear Museum \$1,100,000. The motion carried.

Representative Mitchell made a motion not to fund The Museum of the Albemarle. The motion was carried.

The Roanoke Island Commission-Exhibits at the Festival Park was discussed next. Representative Mitchell made a motion not to fund that project. He said that project was in the Senate Budget. The motion carried.

The Capital and Budget special provision was the next item of the committee.

Emily Johnson passed out an explanation of 97-GEN-H005, Capital And Budget Planning and Budgeting. This was made part of the minutes. Attachment 1. She also passed out a copy of the bill. That was also made part of the minutes. Attachment 2. Ms. Johnson read and explained the bill to the committee. Representative Hall made a motion to adopt the special provision and for it to be included in the House Capital Budget. The motion was carried.

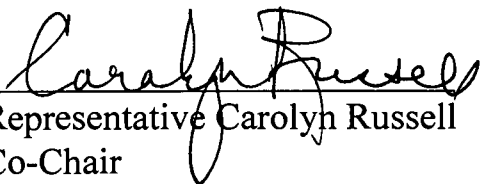
Representative Russell adjourned the meeting.

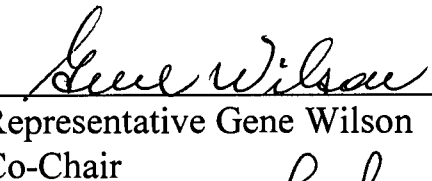
ATTACHMENTS

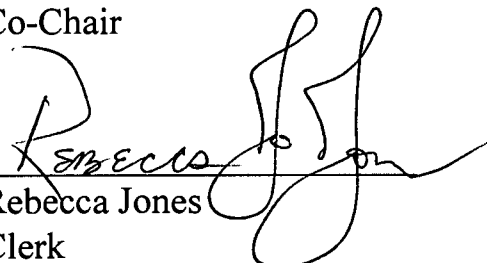
Attachment 1-Explanation of bill # 97GEN-H005

Attachment 2-Bill 97GEN-H005

Respectfully Submitted,


Representative Carolyn Russell
Co-Chair


Representative Gene Wilson
Co-Chair


Rebecca Jones
Clerk



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May 14, 1997

EXPLANATION OF 97 GEN-H005 CAPITAL IMPROVEMENT PLANNING AND BUDGETING

This provision requires that recommended expenditures for capital improvements, including repairs and renovations, be based on a long-term planning process. The process would include expenditures from all funding sources, although highway and other transportation infrastructure expenditures would be excluded. The process would be administered by the Office of State Budget and Management (OSBM), part of the Office of the Governor.

The provision does the following:

1. Requires that a continuously updated inventory of state land and capital facilities be maintained and periodically published. The capacity to provide such an inventory exists in the Department of Administration, and the authority to gather needed information is granted in G.S. 143-341.
2. Codifies an existing requirement that agencies prepare and submit to the Office of State Budget and Management (OSBM) a six-year projection of capital improvement needs. The needs evaluation would be based upon objective criteria issued by OSBM.
3. Requires that the Governor prepare a consolidated six-year capital improvement plan based upon the needs projections submitted by state agencies. The plan would set forth a year-by-year schedule of projects that, in the judgment of the Governor, should be funded during the planning period. The plan would be based on an annual spending target equal to 3% of replacement value (Repair and Renovation) and 2% of projected General Fund tax revenue (New Construction).

4. Requires that additional cost information be submitted with the capital component of the Governor's biennial budget recommendations, and the variance between projects recommended in the biennial budget and projects shown in the six-year plan be explained.

5. Requires that Repair and Renovation spending be tied to facility assessments conducted by qualified engineering staff in the Office of State Construction.

CODE NO. 97GEN-H005

Requested by:

CAPITAL IMPROVEMENT PLANNING AND BUDGETING

Section @. Chapter 143 of the General Statutes is amended by adding a new Article to read:

"ARTICLE 1A.

"Capital Improvement Planning and Budgeting Act.

"§ 143-34.8. Definitions.

The following definitions apply in this Article:

(1) Capital improvement. -- The term includes land acquisition, new construction, or rehabilitation of existing facilities, and repairs and renovations.

(2) State agency. -- The term includes the Board of Governors of The University of North Carolina.

"§ 143-34.8A. Legislative intent; purpose.

(a) The General Assembly recognizes the need to establish a comprehensive process for capital improvement planning that is fully integrated with State financial planning and debt management.

(b) The capital improvement planning and budgeting process shall include the following elements:

(1) An inventory of facilities owned by State agencies.

(2) Explicit criteria used to evaluate capital improvement needs.

(3) A six-year capital improvement needs inventory.

(4) A six-year capital improvement plan.

(5) A two-year capital improvement budget.

(c) The Office of State Budget and Management has responsibility for management of the capital improvement planning and budgeting process. The Director of the Budget may assign to any State agency or institution, such duties and responsibilities as may in the Director's judgment be necessary to the successful administration of the capital improvement planning and budgeting process.

"§ 143-34.8B. Capital improvement facilities inventory.

The Department of Administration shall develop and maintain an automated inventory of all facilities owned by State agencies pursuant to G.S. 143-341(4). The inventory shall include the location, occupying agency, ownership, size, description, condition assessment, maintenance record, parking and employee facilities and other information to determine maintenance needs and prepare life-cycle cost evaluations of each facility listed

1 in the inventory. The Department of Administration shall update
2 and publish the inventory at least once every three years. The
3 Department shall also record in the inventory acquisitions of new
4 facilities and significant changes in existing facilities as they
5 occur.

6 "§ 143-34.8C. Capital improvement needs criteria.

7 The Office of State Budget and Management shall develop a
8 weighted list of factors that may be used to evaluate the need
9 for capital improvement projects. The list shall include all of
10 the following:

- 11 (1) Preservation of existing facilities.
- 12 (2) Health and safety considerations.
- 13 (3) Operational efficiencies.
- 14 (4) Increased demand for governmental services.

15 "§ 143-34.8D. Agency capital improvement needs estimates.

16 (a) On or before September 1 of each even-numbered year, each
17 State agency shall submit to the Office of State Budget and
18 Management and to the Division of Fiscal Research a six-year
19 capital improvement needs estimate. This estimate shall describe
20 the agency's anticipated capital needs for each year of the six-
21 year planning period. Capital improvement needs estimates shall
22 be shown in two parts.

23 (b) The first part of the capital improvement needs estimate
24 shall include only requirements for repairs and renovation
25 necessary to maintain the existing use of existing facilities.
26 Each proposed repair and renovation expenditure shall be
27 justified by reference to the Facilities Condition Assessment
28 Program operated by the Office of State Construction.

29 (c) The second part of the capital improvement needs estimates
30 shall include only proposals for land acquisition and projects
31 involving either construction of new facilities or rehabilitation
32 of existing facilities to accommodate uses for which the existing
33 facilities were not originally designed. Each project included
34 in this part shall be justified by reference to the needs
35 evaluation criteria established by the Office of State Budget and
36 Management pursuant to G.S. 143-34.8C.

37 "§ 143-34.8E. Six-year capital improvement plan.

38 (a) The State capital improvement plan shall address the long-
39 term capital improvement needs of all State government agencies
40 and shall incorporate all capital projects, however financed,
41 proposed to meet those needs, except that transportation
42 infrastructure projects shall be excluded. On or before December
43 31 of each even-numbered year, the Director of the Budget shall
44 prepare and transmit to the General Assembly a six-year capital

1 improvement plan. When preparing the plan, the Director of the
2 Budget shall consider the capital improvement needs estimates
3 submitted by State agencies as required in G.S. 143-34.8D. The
4 plan shall be prepared in two parts.

5 (b) The first part of the capital improvement plan shall set
6 forth repair and renovations requirements that, in the judgment
7 of the Director of the Budget, must be met to protect and
8 preserve existing capital improvement facilities. General Fund
9 expenditure levels anticipated in this part of the plan shall be
10 consistent with the formula establishing the repair and
11 renovation reserve in G.S. 143-15.3A.

12 (c) The second part of the capital improvement plan shall set
13 forth an integrated schedule for land acquisition, new
14 construction, or rehabilitation of existing facilities that, in
15 the judgment of the Director of the Budget, should be initiated
16 within each year of the six-year planning period. The plan shall
17 contain an estimated schedule for each project, along with
18 estimates of planning, design, and construction cost. General
19 Fund expenditure levels anticipated in this part of the plan
20 shall approximate two percent (2%) of General Fund tax revenues
21 for each year of the planning period.

22 "§ 143-34.8F. Biennial capital improvement budget.

23 (a) The Director of the Budget shall submit to the General
24 Assembly a biennial capital improvement budget as a separate
25 component of the budget report required by G.S. 143-11. Except
26 as provided by G.S. 116-11, the capital improvement budget shall
27 set forth a complete description of those land acquisitions, new
28 construction projects, and reconstruction projects recommended
29 for funding during each year of the succeeding biennium. The
30 capital improvement budget shall also contain the following
31 information:

32 (1) For each project recommended, a justification for
33 funding based upon the capital needs criteria set
34 forth in G.S. 143-34.8C.

35 (2) For each project recommended, a detailed account of
36 planning, design, and construction costs.

37 (3) For each new construction project, complete life-
38 cycle cost information.

39 (4) For each new construction project, the estimated
40 impact on agency operating budgets.

41 (b) To the extent that projects recommended for funding in the
42 biennial capital improvements budget differ from those identified
43 and scheduled in the first two years of the six-year capital

16

1 improvement plan established in G.S. 143-34.8E, the reasons for
2 that variance shall be explained."

APPROPRIATIONS
Subcommittee on Capital & Budget

Minutes
May 29, 1997

The APPROPRIATIONS Subcommittee on Capital & Budget held a meeting on Thursday, May 29, 1997 at 8:30 A.M. in room 1425 of the Legislative Building. The members present were Representatives Carolyn Russell, Cochair, Representative Gene Wilson, Co-Chair, Cherrie Berry, Donald Bonner, Joanne Bowie, Walter Church, Sr., Beverly Earle, Ruth Easterling, Bobby Hall, Mickey Michaux, Frank Mitchell, Richard Moore and Jane Mosley. Staff-Lynn Muchmore, Janice Wheeler, Emily Johnson, Phyllis Pickett, and Clerk- Rebecca Jones were also present.

Representative Russell called the meeting to order.

Representative Russell passed out a committee report draft, titled Capital Improvements. This was made part of the minutes. Attachment 1. Representative Bowie made a motion to accept the report. The motion carried.

A special provision was passed out to the committee by Emily Johnson. This was made part of the minutes. Attachment 2. She read over the provision with the committee in full. Page 6 of the provision was flagged. Representative Moore told the committee that it was not fair that the General Assembly does not have to follow the same special provision guidelines as other state agencies and departments follow. He would like to revise that section of the provision. Representative Moore made a motion to revise the special provision. The motion carried. A motion was made to accept the special provision with exception to Representative Moore's motion and page 6 (flagged) of the report. The motion was carried.

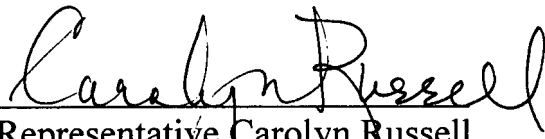
Representative Russell adjourned the meeting.

ATTACHMENTS

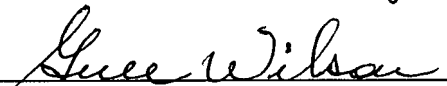
Attachment 1-Capital Improvement Report

Attachment 2-Special Provision 97-GEN-H005

Respectfully Submitted,



Representative Carolyn Russell
Co-Chair



Representative Gene Wilson
Co-Chair



Rebecca Jones
Clerk

CAPITAL IMPROVEMENTS

ATTACHMENT 1

	House Items Proposed	Recommended Changes	Revised Proposals	Senate Direct Appr. & R/R Earmarking
Department of Administration				
State Government Visitors' Center Planning	1,000,000	0	1,000,000	0
Department of Agriculture				
Multi-purpose Events Building Planning	1,000,000	(1,000,000)	0	1,000,000
Piedmont Triad Farmers Market Wholesale/Retail Building	3,444,400	0	3,444,400	300,000
Rollins Lab				537,000 R/R
Southeastern NC Agricultural Center, Robeson County				1,000,000
Eastern NC Agricultural Center, Martin County	3,500,000	(3,500,000)	0	3,500,000
Cattle and Livestock Exposition Center (Iredell County)	600,000	0	600,000	0
Maritime Museum Land Acquisition (Has since received \$250,000 for NHTF)	1,150,000	(1,150,000)	0	1,500,000
State Ports Authority				
Wilmington				3,000,000 R/R
Warehouse for storage and bulk handling	6,141,800	0	6,141,800	
Pier Replacement	1,383,400	0	1,383,400	
Morehead City				3,000,000 R/R
Warehouse for bulk cargo operations	6,182,000	0	6,182,000	
Department of Correction				
Planning for single cell facility, Alexander County (Total project \$61.3 million)	300,000	(300,000)	0	300,000
Funding for a multi-purpose modular building, Carteret County				400,000
Department of Crime Control and Public Safety				
Charlotte NG Armory	1,260,300	(1,260,300)	0	1,260,300
Highway Funding Approved for Underground Tanks \$650,000	HF approved			HF approved
Department of Cultural Resources				
Museum of History Restaurant	1,800,000	0	1,800,000	1,191,055 R/R
Cape Fear Museum	1,100,000	(1,100,000)	0	1,100,000
Museum of Albemarle Planning/Site Development	0	0	0	1,000,000
Roanoke Island Commission-Exhibits at the Festival Park	0	0	0	1,400,000
Reserve for Exhibits (see Department's Priority List)	1,900,000	0	1,900,000	
Department of EHNR:				
Water Resources Development/Watershed Projects				
B. Everett Jordan Water Supply Repayment	90,000	0	90,000	90,000
Wilmington Harbor Maintenance Dredging	455,000	0	455,000	455,000
Wilmington Harbor Channel Widening	1,570,000	(1,570,000)	0	1,570,000
Manteo Shallowbag Bay Maintenance Dredging	150,000	(150,000)	0	150,000
Aquatic Plant Control Statewide and Lake Gaston	150,000	(150,000)	0	150,000
Wilmington Harbor Long-Term Disposal	1,000,000	0	1,000,000	285,000
Carolina Beach Renourishment	1,148,000	0	1,148,000	765,000
Wrightsville Beach Renourishment	500,000	0	500,000	335,000
State-Local Projects	828,100	0	828,100	828,100
Wanchese Marsh Creation and Protection	0	0	0	200,000
Long Beach Sea Turtle Habitat Restoration	994,000	0	994,000	
Clinton Wastewater Treatment Plant Flood Protection	84,000	0	84,000	
North and Manteo Channel Maintenance Dredging	1,100,000	(1,100,000)	0	1,100,000
Dare County Beaches Feasibility Study	225,000	(225,000)	0	225,000
Harker's Island Navigation Study	40,000	(40,000)	0	40,000
AIWW Easement Acquisition	100,000	0	100,000	
Planning Assistance to Communities	150,000	(150,000)	0	150,000
Corp of Engineers Feasibility Studies	230,000	0	230,000	
Emergency Flood Control Projects (Section 14)	134,000	0	134,000	
Natural Resources Conservation Service Projects-Deep Creek (Yadkin County)	500,000	0	500,000	
Natural Resources Conservation Service Project-Limestone Creek (Duplin Cou)	30,000	(30,000)	0	
Natural Science Museum Exhibits	7,100,000	(7,100,000)		7,600,000
NC Aquariums (Senate funds Manteo facility)				11,500,000
Forestry Headquarters, Wayne County				100,000
Amphibious Water Scooping Tanker Aircraft	4,035,100	0	4,035,100	
South Mountain Gamelands				5,000,000
Department of Human Resources:				
Eastern NC SD Independent Living Complex Planning	500,000	(500,000)	0	500,000
Western Carolina Center (8 Repair/Renovation projects)				3,614,055 R/R
University				
Technology Reserve	3,000,000	0	3,000,000	
Fire Safety Improvements for Student Residence Halls	9,200,000	(4,200,000)	5,000,000	
UNC Public Television Columbia Transmitter, Tower				7,144,500
A & T General Classroom and Lab Building				4,000,000
ASU Convocation Center	5,000,000	(1,100,000)	3,900,000	
Elizabeth City Fine Arts Building Completion				3,000,000

CAPITAL IMPROVEMENTS

	House Items Proposed	Recommended Changes	Revised Proposals	Senate Direct Appr. & R/R Earmarking
Elizabeth City Addition to Academic Computing Center	3,557,600	0	3,557,600	
ECU Completion of expansion of the Dowdy-Ficklen Stadium				7,000,000
ECU Science Labs & Technology Building: Continued Design	2,000,000	0	2,000,000	
ECU Addition to Nursing/Home Economic Building Planning	500,000	0	500,000	
NCCU Lee Biology Renovation	1,359,200	0	1,359,200	
NCCU Repairs to 5 Academic Buildings	10,515,000	0	10,515,000	
NCCU B. N. Duke Auditorium Addition/Renovation	2,962,500	0	2,962,500	
NCSA Classrooms, offices and support space for School of Filmmaking	1,700,000	0	1,700,000	
NCSU Completion of Eastern 4-H Environmental Education Center, Tyrrell County				5,545,300
NCSU Finalize Construction Drawings for JC Raulston Arboretum				87,000
NCSU Expansion of the CMAST Building				2,363,000
NCSU Nelson Hall Renovations	6,914,900	0	6,914,900	6,914,900 R/R
NCSU Toxicology Building	14,566,700	(13,806,100)	760,600	
NCSU Research & Teaching Feed Mill	2,604,400	0	2,604,400	
UNC Asheville Kellogg Center				500,000
UNC Asheville Graduate Center, Phase II (Completion of third floor)	792,700	0	792,700	
UNC Chapel Hill Paul J. Rizzo Conference Center	2,800,000	0	2,800,000	
UNC Chapel Hill Institute of Government Knapp Building Addition/Renovations	14,802,700	(14,802,700)	0	8,532,100 R/R & DA
UNC Chapel Hill Beard Hall-School of Pharmacy				8,824,600
UNC Chapel Hill Botanical Gardens				350,000
UNC Chapel Hill Carolina Living and Learning Center				1,274,275
UNC Chapel Hill Hill Hall Music Library	7,170,900	(7,170,900)	0	
UNC Charlotte Construction of a new building for Polymer's Ext. Program				1,450,000
UNC Charlotte Academic Facilities	20,597,300	(19,817,300)	780,000	
UNC Greensboro Science lab and Classroom Building				8,000,000
UNC Greensboro Music Building Supplement	0	2,300,000	2,300,000	
UNC Pembroke Construction of a new Residence Hall				5,979,500
UNC Wilmington General Classroom Building	8,465,500	0	8,465,500	
WSSU Expansion/Renovation of F. L. Atkins Nursing Building	5,198,500	0	5,198,500	
WCU Arts Center	1,000,000	(1,000,000)	0	
WCU Renovate Camp Lab School, Phase II	2,226,600	0	2,226,600	
Department of Community Colleges				
Center for Applied Textile Technology	0	0	0	500,000
Institute for Aeronautical Technology (Referred from House NER Subcommittee)	0	0	0	1,000,000
Total	178,809,600	(78,922,300)	99,887,300	127,610,685

Capital

GENERAL FUND

FY 97-98

FY 98-99

Recommended Budget

Legislative Changes

Administration

1 State Government Visitor Center

Funds to support continued planning through working drawings for a center to be located in Raleigh.

\$1,000,000 NR

Agriculture

2 Piedmont Triad Farmers Market

Funds planning, site development, and construction of wholesale/retail building.

\$3,444,400 NR

3 Cattle and Livestock Exposition Center

Funds to support planning of a livestock facility to be located in Iredell County.

\$600,000 NR

Cultural Resources

4 Museum of History Restaurant

Funds to provide plumbing, electrical, mechanical, duct work, HVAC, wall/floor finishes, furnishings and rest room fittings to complete space.

\$1,800,000 NR

5 Reserve for Exhibits

Reserve to be allocated for projects as identified by the Department as their most urgent needs: State Capitol and Visitor Center, Museum of History, Fort Fisher, Stagville Center, Tryon Palace, and CSS Neuse.

\$1,900,000 NR

Environment, Health, and Natural Resources

6 Water Resources Development Watershed Projects

Environment, Health, and Natural Resources (projects identified in special provision)

\$6,063,100 NR

7 Amphibious Water Scooping Tanker Aircraft

Purchase of CL-215 Aircraft to meet increased wildfire risk related to hurricane damage and other factors.

\$4,035,100 NR

State Ports Authority

House Subcommittee on Capital and Budget

8 Wilmington Facility

Funds to replace existing warehouse necessary for storage and bulk handling operations. \$6,141,800 NR

9 Wilmington/Pier Replacement

Funds to replace deteriorating timber pier needed for import of bulk chemical products with modern steel and concrete facility. \$1,383,400 NR

10 Morehead City Facility

Adds more than 61,000 square feet to storage capacity required for bulk cargo operations. \$6,182,000 NR

UNIVERSITY SYSTEM Priority 2: Fire Safety

11 Fire Safety Improvements Student Residence Halls

Reserve to be used to address fire safety improvement projects identified through the legislative directive in House Bill 53. Sec. 16.4(c) \$5,000,000 NR

UNIVERSITY SYSTEM Priority 3A: Current Capacity

12 ECU Science Labs/Technology Building-Cont. Design

Continued funding for design of 259,000 square foot building for Chemistry and Industrial Technology Departments. \$2,000,000 NR

13 Elizabeth City Addition to Academic Computing Center.

Fund to construct 25,000 square feet addition. Advance planning was provided by 1995 Session. \$3,557,600 NR

14 NCCU Lee Biology Renovation

Funds to renovate 31,000 square foot building that houses the Department of Biology. \$1,359,200 NR

15 NCCU Repairs to 5 Academic Buildings

Reserve for renovations including the Taylor Education Building, Edmonds Classroom Building, Farris-Newton Communications Building, Fine Arts Building and five cottages. \$10,515,000 NR

16 NCSA School of Film making

Construction of 14,769 square foot facility to support the School of Film making with classrooms and offices. \$1,700,000 NR

17 NCSU Nelson Hall Renovations

Funds to support the conversion of existing 150,000 square feet into functional efficient space for new College of Management. \$6,914,900 NR

18 NCSU Toxicology Building

Planning Funds for 55,000 square foot facility for classrooms, research laboratories, and offices. \$760,600 NR

House Subcommittee on Capital and Budget

19 UNC Asheville Graduate Center. Phase II

Funds to support the completion of space on the third floor of Karpen Hall to house the Department of Mass Communications.

\$792,700 NR

20 UNC-Charlotte Academic Facilities

Additional funds for design and site preparation for 120,000 square foot classroom building.

\$780,000 NR

21 UNC Wilmington General Classroom Building

Construction of a 51,600 square foot general classroom building.

\$8,465,500 NR

22 WCU Renovate Camp Lab School, Phase II

Renovations of building interiors for university operations (75,265 square foot).

\$2,226,600 NR

23 WSSU Expansion/Renovation of FL Atkins Nurse Bldg.

Renovation and construction of a 19,000 square foot addition for Nursing and Allied Health programs.

\$5,198,500 NR

UNIVERSITY SYSTEM Priority 3B: Future Capacity

24 ECU Nursing/Home Economics Building

Funds to support planning for an addition to existing facility.

\$500,000 NR

UNIVERSITY SYSTEM Priority 3C: Technology

25 Technology

Reserve for basic computing network installation on university campuses.

\$3,000,000 NR

UNIVERSITY SYSTEM Priority 3D: Program Quality

26 NCCU B. N. Duke Auditorium Addition/Renovation

Renovation and addition to 14,316 foot facility.

\$2,962,500 NR

UNIVERSITY SYSTEM Priority 3E: Special Purpose

27 NCSU Research & Teaching Feed Mill

Funds for the construction of a 10,000 square foot building to serve as a research and demonstration facility for feed manufacturing processes.

\$2,604,400 NR

UNIVERSITY SYSTEM Supplemental Funds

28 ASU Convocation Center

Additional costs based on final design estimates, primarily due to infrastructure and site preparation.

\$3,900,000 NR

House Subcommittee on Capital and Budget

29 UNC Greensboro Music Building Supplement

Supplement to support additional project costs related to inflation and resiting of building.

\$2,300,000 NR

30 UNC Chapel Hill Paul J. Rizzo Conference Center

Supplement to help fund self-liquidating executive residential conference center with total cost of \$15.5 million.

\$2,800,000 NR

Total Legislative Changes

\$99,887,300 NR

Position Changes

Revised Budget

\$99,887,300

\$0

CODE NO. 97GEN-H005A

Requested by: Representatives Russell and Wilson

CAPITAL IMPROVEMENT PLANNING AND BUDGETING

1 Section @. Chapter 143 of the General Statutes is
2 amended by adding a new Article to read:

3 "ARTICLE 1A.

4 "Capital Improvement Planning and Budgeting Act.

5 "§ 143-34.8. Definitions.

6 The following definitions apply in this Article:

7 (1) Capital improvement. -- The term includes land
8 acquisition, new construction, or rehabilitation of
9 existing facilities, and repairs and renovations.

10 (2) State agency. -- The term includes the Board of
11 Governors of The University of North Carolina.

12 "§ 143-34.8A. Legislative intent; purpose.

13 (a) The General Assembly recognizes the need to establish a
14 comprehensive process for capital improvement planning that is
15 fully integrated with State financial planning and debt
16 management.

17 (b) The capital improvement planning and budgeting process
18 shall include the following elements:

19 (1) An inventory of facilities owned by State agencies.

20 (2) Explicit criteria used to evaluate capital
21 improvement needs.

22 (3) A six-year capital improvement needs inventory.

23 (4) A six-year capital improvement plan.

24 (5) A two-year capital improvement budget.

25 (c) The Office of State Budget and Management has
26 responsibility for management of the capital improvement planning
27 and budgeting process. The Director of the Budget may assign to
28 any State agency or institution, such duties and responsibilities
29 as may in the Director's judgment be necessary to the successful
30 administration of the capital improvement planning and budgeting
31 process.

32 "§ 143-34.8B. Capital improvement facilities inventory.

33 The Department of Administration shall develop and maintain an
34 automated inventory of all facilities owned by State agencies
35 pursuant to G.S. 143-341(4). The inventory shall include the
36 location, occupying agency, ownership, size, description,
37 condition assessment, maintenance record, parking and employee
38 facilities and other information to determine maintenance needs
39 and prepare life-cycle cost evaluations of each facility listed

1

1 in the inventory. The Department of Administration shall update
2 and publish the inventory at least once every three years. The
3 Department shall also record in the inventory acquisitions of new
4 facilities and significant changes in existing facilities as they
5 occur.

6 "§ 143-34.8C. Capital improvement needs criteria.

7 The Office of State Budget and Management shall develop a
8 weighted list of factors that may be used to evaluate the need
9 for capital improvement projects. The list shall include all of
10 the following:

- 11 (1) Preservation of existing facilities.
- 12 (2) Health and safety considerations.
- 13 (3) Operational efficiencies.
- 14 (4) Increased demand for governmental services.

15 "§ 143-34.8D. Agency capital improvement needs estimates.

16 (a) On or before September 1 of each even-numbered year, each
17 State agency shall submit to the Office of State Budget and
18 Management and to the Division of Fiscal Research a six-year
19 capital improvement needs estimate. This estimate shall describe
20 the agency's anticipated capital needs for each year of the six-
21 year planning period. Capital improvement needs estimates shall
22 be shown in two parts.

23 (b) The first part of the capital improvement needs estimates
24 shall include only requirements for repairs and renovations
25 necessary to maintain the existing use of existing facilities.
26 Each proposed repair and renovation expenditure shall be
27 justified by reference to the Facilities Condition Assessment
28 Program operated by the Office of State Construction.

29 (c) The second part of the capital improvement needs estimates
30 shall include only proposals for land acquisition and projects
31 involving either construction of new facilities or rehabilitation
32 of existing facilities to accommodate uses for which the existing
33 facilities were not originally designed. Each project included
34 in this part shall be justified by reference to the needs
35 evaluation criteria established by the Office of State Budget and
36 Management pursuant to G.S. 143-34.8C.

37 "§ 143-34.8E. Six-year capital improvement plan.

38 (a) The State capital improvement plan shall address the long-
39 term capital improvement needs of all State government agencies
40 and shall incorporate all capital projects, however financed,
41 proposed to meet those needs, except that transportation
42 infrastructure projects shall be excluded. On or before December
43 31 of each even-numbered year, the Director of the Budget shall
44 prepare and transmit to the General Assembly a six-year capital

1 improvement plan. When preparing the plan, the Director of the
2 Budget shall consider the capital improvement needs estimates
3 submitted by State agencies as required in G.S. 143-34.8D. The
4 plan shall be prepared in two parts.

5 (b) The first part of the capital improvement plan shall set
6 forth repair and renovations requirements that, in the judgment
7 of the Director of the Budget, must be met to protect and
8 preserve existing capital improvement facilities. General Fund
9 expenditure levels anticipated in this part of the plan shall be
10 consistent with the formula establishing the repair and
11 renovation reserve in G.S. 143-15.3A.

12 (c) The second part of the capital improvement plan shall set
13 forth an integrated schedule for land acquisition, new
14 construction, or rehabilitation of existing facilities that, in
15 the judgment of the Director of the Budget, should be initiated
16 within each year of the six-year planning period. The plan shall
17 contain an estimated schedule for each project, along with
18 estimates of planning, design, and construction cost. General
19 Fund expenditure levels anticipated in this part of the plan
20 shall approximate two percent (2%) of General Fund tax revenues
21 for each year of the planning period.

22 "§ 143-34.8F. Biennial capital improvement budget.

23 (a) The Director of the Budget shall submit to the General
24 Assembly a biennial capital improvement budget as a separate
25 component of the budget report required by G.S. 143-11. Except
26 as provided by G.S. 116-11, the capital improvement budget shall
27 set forth a complete description of those land acquisitions, new
28 construction projects, and reconstruction projects recommended
29 for funding during each year of the succeeding biennium. The
30 capital improvement budget shall also contain the following
31 information:

32 (1) For each project recommended, a justification for
33 funding based upon the capital needs criteria set
34 forth in G.S. 143-34.8C.

35 (2) For each project recommended, a detailed account of
36 planning, design, and construction costs.

37 (3) For each new construction project, complete life-
38 cycle cost information.

39 (4) For each new construction project, the estimated
40 impact on agency operating budgets.

41 (b) To the extent that projects recommended for funding in the
42 biennial capital improvements budget differ from those identified
43 and scheduled in the first two years of the six-year capital

1 improvement plan established in G.S. 143-34.8E, the reasons for
2 that variance shall be explained."

CODE NO. 97GEN-H008

Requested by: Representatives Russell, Wilson

HISTORIC SITES REPAIRS AND RENOVATIONS FUNDS

1 Section @. (a) Funds allocated in Section 31.3 of this
2 act to the Office of State Budget and Management for the Repairs
3 and Renovations Fund may be used to make needed repairs and
4 renovations at the State Historic Sites.

5 (b) There is established the Historic Sites Repairs and
6 Renovations Review Committee. The Committee shall consist of the
7 following members: The three cochairs of the Senate
8 Appropriations and Base Budget Committee and the four cochairs of
9 the House of Representatives Appropriations Committee. The
10 Office of State Budget and Management shall submit its proposal
11 for the use of funds from the Repairs and Renovations Fund for
12 Historic Sites to the Committee before submitting the proposal to
13 the Joint Legislative Commission on Governmental Operations in
14 accordance with Section 31.3 of this act.

CODE NO. 97GEN-H009

Requested by: Representatives Russell and Wilson

STATE CAPITAL AND VISITOR'S CENTER SITE

1 Section @. The new State Capital and Visitor's Center
2 being planned for construction shall be located at the site
3 bounded by Blount Street, Edenton Street, Person Street, and
4 Jones Street in Raleigh.

CODE NO. 97GEN-H011

Requested by: Representatives Russell, Wilson

RESERVE FOR ADVANCE PLANNING

1 Section @. The Office of State Budget and Management
2 shall report to the Joint Legislative Commission on Governmental
3 Operations and to the Fiscal Research Division on how it intends
4 to spend funds from the Reserve for Advance Planning at least 45
5 days before it spends the funds.

6 The Office of State Budget and Management shall also
7 report the results of any project on which it uses funds from the
8 Reserve for Advance Planning to the Joint Legislative Commission
9 on Governmental Operations and to the Fiscal Research Division.
10

CODE NO. 97GEN-H012

Requested by: Representatives Russell, Wilson

ENCUMBERED APPROPRIATIONS AND PROJECT RESERVE FUND

1 Section @. When each capital improvement project
2 appropriated by the 1997 General Assembly, other than those
3 projects under the Board of Governors of The University of North
4 Carolina, is placed under a construction contract, direct
5 appropriations shall be encumbered to include all costs for
6 construction, design, investigation, administration, movable
7 equipment, and a reasonable contingency. Unencumbered direct
8 appropriations remaining in the project budget shall be placed in
9 a project reserve fund credited to the Office of State Budget and
10 Management. Funds in the project reserve may be used for
11 emergency repair and renovation projects at State facilities with
12 the approval of the Director of the Budget. The project reserve
13 fund may be used, at the discretion of the Director of the
14 Budget, to allow for award of contracts where bids exceed
15 appropriated funds, if those projects supplemented were designed
16 within the scope intended by the applicable appropriation or any
17 authorized change in it, and if, in the opinion of the Director
18 of the Budget, all means to award contracts within the
19 appropriation were reasonably attempted. At the discretion of
20 the Director of the Budget, any balances in the project reserve
21 fund shall revert to the original source.

CODE NO. 97GEN-H013

Requested by: Representatives Russell, Wilson

PROJECT COST INCREASE

1 Section @. Upon the request of the administration of
2 a State agency, department, or institution, the Director of the
3 Budget may, when in the Director's opinion it is in the best
4 interest of the State to do so, increase the cost of a capital
5 improvement project. Provided, however, that if the Director of
6 the Budget increases the cost of a project, the Director shall
7 report that action to the Joint Legislative Commission on
8 Governmental Operations at its next meeting. The increase may be
9 funded from gifts, federal or private grants, special fund
10 receipts, excess patient receipts above those budgeted at
11 University of North Carolina Hospitals at Chapel Hill, or direct
12 capital improvement appropriations to that department or
13 institution.
14

CODE NO. 97GEN-H014

Requested by: Representatives Russell, Wilson

NEW PROJECT AUTHORIZATION

1 Section @. Upon the request of the administration of
2 any State agency, department, or institution, the Governor may
3 authorize the construction of a capital improvement project not
4 specifically authorized by the General Assembly if such project
5 is to be funded by gifts, federal or private grants, special fund
6 receipts, excess patient receipts above those budgeted at
7 University of North Carolina Hospitals at Chapel Hill, or self-
8 liquidating indebtedness. Provided, however, that if the
9 Director of the Budget authorizes the construction of such a
10 capital improvement project, the Director shall report that
11 action to the Joint Legislative Commission on Governmental
12 Operations at its next meeting.

13

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CODE NO. 97GEN-H015

Requested by: Representatives Russell, Wilson

ADVANCE PLANNING OF CAPITAL IMPROVEMENT PROJECTS

1 Section @. Funds that become available by gifts,
2 excess patient receipts above those budgeted at University of
3 North Carolina Hospitals at Chapel Hill, federal or private
4 grants, receipts becoming a part of special funds by act of the
5 General Assembly or any other funds available to a State
6 department or institution may be utilized for advance planning
7 through the working drawing phase of capital improvement
8 projects, upon approval of the Director of the Budget. The
9 Director of the Budget may make allocations from the Advance
10 Planning Fund for advance planning through the working drawing
11 phase of capital improvement projects, except that this revolving
12 fund shall not be utilized by the Board of Governors of The
13 University of North Carolina or the State Board of Community
14 Colleges.

15

16

CODE NO. 97GEN-H016

Requested by: Representatives Russell, Wilson

APPROPRIATIONS LIMITS/REVERSION OR LAPSE

1 Section @. Except as permitted in previous sections of
2 this act, the appropriations for capital improvements made by the
3 1997 General Assembly may be expended only for specific projects
4 set out by the 1997 General Assembly and for no other purpose.
5 Construction of all capital improvement projects enumerated by
6 the 1997 General Assembly shall be commenced, or self-liquidating
7 indebtedness with respect to them shall be incurred, within 12
8 months following the first day of the fiscal year in which the
9 funds are available. If construction contracts on those projects
10 have not been awarded or self-liquidating indebtedness has not
11 been incurred within that period, the direct appropriation for
12 those projects shall revert to the original source, and the self-
13 liquidating appropriation shall lapse; except that direct
14 appropriations may be placed in a reserve fund as authorized in
15 this act. This deadline with respect to both direct and self-
16 liquidating appropriations may be extended with the approval of
17 the Director of the Budget up to an additional 12 months if
18 circumstances and conditions warrant such extension.
19

CODE NO. 97GEN-H017

Requested by: Representatives Russell, Wilson

EXPENDITURE OF FUNDS FROM RESERVE FOR REPAIRS AND RENOVATIONS

1 Section 31.3. Of the funds in the Reserve for Repairs
2 and Renovations for the 1997-98 fiscal year, forty-six percent
3 (46%) shall be allocated to the Board of Governors of The
4 University of North Carolina for repairs and renovations pursuant
5 to G.S. 143-15.3A, in accordance with guidelines developed in The
6 University of North Carolina Funding Allocation Model for Reserve
7 for Repairs and Renovations, as approved by the Board of
8 Governors of The University of North Carolina, and fifty-four
9 percent (54%) shall be allocated to the Office of State Budget
10 and Management for repairs and renovations pursuant to G.S. 143-
11 15.3A.

12 Notwithstanding G.S. 143-15.3A, the Board of Governors
13 may allocate funds for the repair and renovation of facilities
14 not supported from the General Fund if the Board determines that
15 sufficient funds are not available from other sources and that
16 conditions warrant General Fund assistance. Any such finding
17 shall be included in the Board's submission to the Joint
18 Legislative Commission on Governmental Operations on the proposed
19 allocation of funds.

20 The Board of Governors and the Office of State Budget
21 and Management shall submit to the Joint Legislative Commission
22 on Governmental Operations and to the Fiscal Research Division of
23 the Legislative Services Office, for their review, the proposed
24 allocation of these funds. Subsequent changes in the proposed
25 allocations shall be reported prior to expenditure to the Joint
26 Legislative Commission on Governmental Operations and to the
27 Fiscal Research Division of the Legislative Services Office.

28

CODE NO. 97GEN-H018

Requested by: Representatives Russell, Wilson

HIGHWAY PATROL--UNDERGROUND FUEL TANK REMOVAL AND REMEDIATION
FUNDS

1 Section @. Notwithstanding any other provision of law,
2 of the unreserved credit balance in the Highway Fund available on
3 July 1, 1997, six hundred fifty thousand dollars (\$650,000) shall
4 be used for the removal and replacement of underground fuel
5 storage tanks located at various State Highway Patrol
6 installations across the state.
7

CODE NO. 97GEN-H019

Requested by: Representatives Russell, Wilson

PROCEDURES FOR DISBURSEMENT

1 Section @. The appropriations made by the 1997 General
2 Assembly for capital improvements shall be disbursed for the
3 purposes provided by this act. Expenditure of funds shall not be
4 made by any State department, institution, or agency, until an
5 allotment has been approved by the Governor as Director of the
6 Budget. The allotment shall be approved only after full
7 compliance with the Executive Budget Act, Article 1 of Chapter
8 143 of the General Statutes. Prior to the award of construction
9 contracts for projects to be financed in whole or in part with
10 self-liquidating appropriations, the Director of the Budget shall
11 approve the elements of the method of financing of those projects
12 including the source of funds, interest rate, and liquidation
13 period. Provided, however, that if the Director of the Budget
14 approves the method of financing a project, the Director shall
15 report that action to the Joint Legislative Commission on
16 Governmental Operations at its next meeting.

17 Where direct capital improvement appropriations include
18 the purpose of furnishing fixed and movable equipment for any
19 project, those funds for equipment shall not be subject to
20 transfer into construction accounts except as authorized by the
21 Director of the Budget. The expenditure of funds for fixed and
22 movable equipment and furnishings shall be reviewed and approved
23 by the Director of the Budget prior to commitment of funds.

24 Capital improvement projects authorized by the 1997
25 General Assembly shall be completed, including fixed and movable
26 equipment and furnishings, within the limits of the amounts of
27 the direct or self-liquidating appropriations provided, except as
28 otherwise provided in this act. Capital improvement projects
29 authorized by the 1997 General Assembly for the design phase only
30 shall be designed within the scope of the project as defined by
31 the approved cost estimate filed with the Director of the Budget,
32 including costs associated with site preparation, demolition, and
33 movable and fixed equipment.

CODE NO. 97GEN-H020-S31.5

Requested by: Representatives Russell and Wilson

WATER RESOURCES DEVELOPMENT PROJECTS FUNDS

1 Section @. (a) The Department of Environment, Health,
2 and Natural Resources shall allocate the funds appropriated in
3 Section 31.1 of this act for water resources development projects
4 to the following projects whose estimated costs are as indicated:
5

6 Name of Project
7

8 1.	B. Everett Jordan Water Supply Repayment	\$ 90,000
9		
10 2.	Wilmington Harbor Maintenance Dredging	455,000
11		
12 3.	Wilmington Harbor Long-Term Disposal	1,000,000
13		
14 4.	Carolina Beach Renourishment	1,148,000
15		
16 5.	Wrightsville Beach Renourishment	500,000
17		
18 6.	State - Local Water Projects	828,100
19		
20 7.	Long Beach Sea Turtle Habitat Restoration	994,000
21		
22 8.	Clinton Wastewater Treatment Plant	84,000
23	Flood Protection	
24		
25 9.	AIWW Easement Acquisition	100,000
26		
27 10.	Corp of Engineers Feasibility Studies	230,000
28		
29 11.	Emergency Flood Control Projects	134,000
30		
31 12.	Natural Resources Conservation Service-	500,000
32	Yadkin County	
33		
34		
	TOTAL	\$6,063,100

35 (b) Where the actual costs are different from the
36 estimated costs under subsection (a) of this section, the
37 Department may adjust the allocations among projects as needed.
38 If any projects listed in subsection (a) of this section are
39 delayed and the budgeted State funds cannot be used during the
40 1997-98 fiscal year, or if the projects listed in subsection (a)
41 of this section are accomplished at a lower cost, the Department
42 may use the resulting fund availability to fund any of the
43 following:

44 (1) Corps of Engineers project feasibility studies.

1 (2) Corps of Engineers projects whose schedules have
2 advanced and require State matching funds in fiscal
3 year 1997-98.
4 (3) State-local Water Resources Development Projects.
5 Funds not expended or encumbered for these purposes
6 shall revert to the General Fund at the end of the 1998-99 fiscal
7 year.
8 (c) The Department shall make quarterly reports on the
9 use of these funds to the Joint Legislative Commission on
10 Governmental Operations, the Fiscal Research Division, and the
11 Office of State Budget and Management. Each report shall include
12 all of the following:
13 (1) All projects listed in this section.
14 (2) The estimated cost of each project.
15 (3) The date that work on each project began or is
16 expected to begin.
17 (4) The date that work on each project was completed or
18 is expected to be completed.
19 (5) The actual cost of each project.
20 The quarterly reports shall also show those projects
21 advanced in schedule, those projects delayed in schedule, and an
22 estimate of the amount of funds expected to revert to the General
23 Fund.
24

CODE NO. 97GEN-H021

Requested by: Representatives Russell and Wilson

CAPITAL IMPROVEMENT PROJECTS/SUPPLEMENTAL FUNDING
APPROVAL/REPORTING REQUIREMENT

1 Section @. Each department receiving capital improvement
2 authorizations under this act shall report quarterly to the
3 Director of the Budget on the status of those capital projects.
4 The quarterly report shall include a full justification shall for
5 any project that is not under contract for construction
6 consistent with the project schedule approved by the Office of
7 State Construction. The reporting procedure to be followed shall
8 be developed by the Director of the Budget.
9 Capital improvement projects authorized in this act that have
10 not been placed under contract for construction due to
11 insufficient funds may be supplemented with funds identified by
12 the Director of the Budget, provided:
13 (1) That the project was designed and bid within the
14 scope as authorized by the General Assembly;
15 (2) That the funds to supplement the project are the
16 same source as authorized for the original project;
17 (3) That the department to which the project was
18 authorized has unsuccessfully pursued all statutory
19 authorizations to award the contract; and,
20 (4) That the action be reported to the Joint
21 Legislative Commission on Governmental Operations
22 and to the Appropriations Committees of the House
23 of Representatives and the Senate.
24

APPROPRIATIONS
Subcommittee on Capital & Budget

Minutes
June 3, 1997

The APPROPRIATIONS Subcommittee on Capital & Budget held a meeting on Tuesday, June 3, 1997 at 12:00 P.M. in room 1425 of the Legislative Building. The members present were Representatives Carolyn Russell, Cochair, Representative Gene Wilson, Co-Chair, Cherrie Berry, Joanne Bowie, Beverly Earle, Ruth Easterling, Bobby Hall, Verla Insko and Richard Moore. Staff-Lynn Muchmore, Janice Wheeler, Emily Johnson, Phyllis Pickett, and Clerk- Rebecca Jones were also present.

Representative Russell called the meeting to order.

Representative Ives discussed Senate Bill 537. This bill authorizes negotiations for the land. Representative Ives passed out an amendment to the committee. This has been made part of the minutes. Attachment 1. There was a technical error in line 3 of the amendment that needed to be changed. Representative Ives explained the amendment to the committee. A motion was carried to accept the amendment. Motion was carried. Representative Hall made a motion for a favorable report for Senate Bill 537. Motion carried.

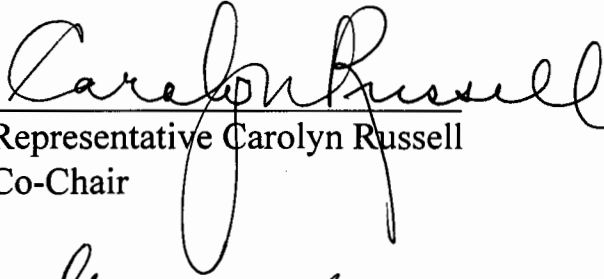
Representative Russell adjourned the meeting.

ATTACHMENTS

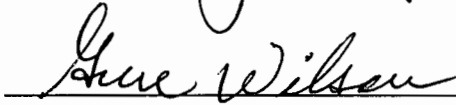
Attachment 1-Senate Bill 537

Attachment 2-Amendment (House Committee Substitute)

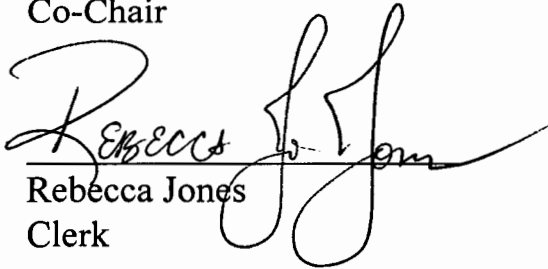
Respectfully Submitted,

A handwritten signature in cursive script, reading "Carolyn Russell".

Representative Carolyn Russell
Co-Chair

A handwritten signature in cursive script, reading "Gene Wilson".

Representative Gene Wilson
Co-Chair

A handwritten signature in cursive script, reading "Rebecca Jones".

Rebecca Jones
Clerk

GENERAL ASSEMBLY OF NORTH CAROLINA
SESSION 1997

S

2

SENATE BILL 537*

Agriculture/Environment/Natural Resources Committee Substitute Adopted 4/22/97

Short Title: Addition to State Parks System.

(Public)

Sponsors:

Referred to:

March 27, 1997

1 A BILL TO BE ENTITLED
2 AN ACT TO AUTHORIZE THE ADDITION TO THE STATE PARKS SYSTEM
3 OF CERTAIN LANDS LOCATED IN TRANSYLVANIA COUNTY
4 ADJACENT TO JOCASSEE LAKE.

5 Whereas, Section 5 of Article XIV of the Constitution of North Carolina
6 states that it shall be a proper function of the State of North Carolina to acquire and
7 preserve park, recreational, and scenic areas and, in every other appropriate way, to
8 preserve as a part of the common heritage of this State its open lands and places of
9 beauty; and

10 Whereas, the General Assembly enacted the State Parks Act in 1987,
11 declaring that the State of North Carolina offers unique archaeological, geological,
12 biological, scenic, and recreational resources and that these resources are part of the
13 heritage of the people of the State to be preserved and managed by those people for
14 their use and for the use of their visitors and descendants; and

15 Whereas, lands currently owned by Duke Power Company in
16 Transylvania County adjacent to Jocassee Lake contain examples of outstanding
17 scenic beauty, harbor many rare species and natural communities that are unique and
18 irreplaceable, and have been found to possess geological, biological, scenic, and
19 recreational resources of statewide or even nationwide significance; Now, therefore,
20 The General Assembly of North Carolina enacts:

21 Section 1. The General Assembly authorizes the Department of
22 Environment, Health, and Natural Resources to add lands currently owned by Duke
23 Power Company in Transylvania County adjacent to Jocassee Lake to the State Parks

1 System, as provided in G.S. 113-44.14(b). In determining which particular lands to
2 be added to the State Parks System, the Secretary of Environment, Health, and
3 Natural Resources shall consult with the Board of Commissioners of Transylvania
4 County. As a part of this consultation, the Department of Environment, Health, and
5 Natural Resources shall work in cooperation with the Board of Commissioners to
6 identify land having the most significance as land traditionally available for multiple
7 uses, including hunting. Land that has significance as having been traditionally
8 available for multiple uses, including hunting, shall not be included in lands
9 authorized to be added to the State Parks System by this act if the Secretary of
10 Environment, Health, and Natural Resources determines that a viable State Park with
11 manageable boundaries can be established without the inclusion of that land.

12 Section 2. This act becomes effective 1 July 1997.

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1997

S

D

SENATE BILL 537*

Agriculture/Environment/Natural Resources Committee Substitute Adopted 4/22/97
Proposed House Committee Substitute S537-PCS7807

Short Title: Addition to State Parks System.

(Public)

Sponsors:

Referred to:

March 27, 1997

1 A BILL TO BE ENTITLED
2 AN ACT TO AUTHORIZE THE ADDITION TO THE STATE PARKS SYSTEM
3 OF CERTAIN LANDS LOCATED IN TRANSYLVANIA COUNTY
4 ADJACENT TO JOCASSEE LAKE.

5 Whereas, Section 5 of Article XIV of the Constitution of North Carolina
6 states that it shall be a proper function of the State of North Carolina to acquire and
7 preserve park, recreational, and scenic areas and, in every other appropriate way, to
8 preserve as a part of the common heritage of this State its open lands and places of
9 beauty; and

10 Whereas, the General Assembly enacted the State Parks Act in 1987,
11 declaring that the State of North Carolina offers unique archaeological, geological,
12 biological, scenic, and recreational resources and that these resources are part of the
13 heritage of the people of the State to be preserved and managed by those people for
14 their use and for the use of their visitors and descendants; and

15 Whereas, lands currently owned by Duke Power Company in
16 Transylvania County adjacent to Jocassee Lake contain examples of outstanding
17 scenic beauty, harbor many rare species and natural communities that are unique and
18 irreplaceable and have been found to possess geological, biological, scenic, and
19 recreational resources of statewide or even nationwide significance, and, therefore,
20 are suitable for preservation and management in accordance with Articles 2 and 2B
21 of Chapter 113 of the General Statutes; and

1 Whereas, it is the intent of the General Assembly that a portion of
2 company lands that has been used most significantly for hunting is suitable for
3 multiple uses, including hunting in a manner consistent with Duke Power Company's
4 historic management of lands in Transylvania County adjacent to Jocassee Lake;
5 Now, therefore,

6 The General Assembly of North Carolina enacts:

7 Section 1. The General Assembly authorizes the Department of
8 Environment, Health, and Natural Resources to add lands currently owned by Duke
9 Power Company in Transylvania County adjacent to Jocassee Lake to the State Parks
10 System, as provided in G.S. 113-44.14(b). In determining which particular lands to
11 be added to the State Parks System, the Secretary of Environment, Health, and
12 Natural Resources shall consult with the Board of Commissioners of Transylvania
13 County. As a part of this consultation, the Department of Environment, Health, and
14 Natural Resources shall work in cooperation with the Board of Commissioners to
15 identify land having the most significance as land traditionally available for multiple
16 uses, including hunting. Land that has significance as having been traditionally
17 available for multiple uses, including hunting, shall not be included in lands
18 authorized to be added to the State Parks System by this act if the Secretary of
19 Environment, Health, and Natural Resources determines that a viable State park with
20 manageable boundaries can be established without the inclusion of that land.

21 Section 2. This act becomes effective 1 July 1997.

**1997 PERMANENT SUBCOMMITTEE REPORT
HOUSE OF REPRESENTATIVES**

FOR RECOMMENDING BILLS TO STANDING COMMITTEE OR TO THE FLOOR OF THE HOUSE
The following report(s) from permanent sub committee(s) is/are presented:

By Representative(s) Russell and G. Wilson for the Permanent Subcommittee on Capital and Budget
of the Standing Committee on APPROPRIATIONS.

☒ Committee Substitute for

S.B. 537

☐ A BILL TO BE ENTITLED AN ACT TO AUTHORIZE THE
ADDITION TO THE STATE PARKS SYSTEM OF CERTAIN LANDS LOCATED IN
TRANSYLVANIA COUNTY ADJACENT TO JOCASSEE LAKE.

REPORTED TO THE STANDING COMMITTEE ON

RECOMMENDED ACTION:

- ☐ With a favorable recommendation.
- ☐ With a favorable recommendation and recommend that the bill be re-referred to the Committee on
- ☐ With a favorable recommendation, as amended.
- ☐ With a favorable recommendation, as amended, and recommend that the bill be re-referred to the Committee on
- ☐ With an unfavorable recommendation.
- ☐ With a favorable recommendation as to proposed committee substitute bill which changes the title, unfavorable as to original bill.
- ☐ With a favorable recommendation as to proposed House committee substitute bill, which changes the title, unfavorable as to Senate committee substitute bill.
- ☐ Without prejudice.
- ☐ Other recommended action: -----

WITH APPROVAL OF STANDING COMMITTEE CHAIR FOR REPORT TO BE MADE DIRECTLY
TO THE FLOOR OF THE HOUSE:

Rep. Holmes, Creech, Esposito and Crawford for the Standing Committee on
APPROPRIATIONS.

George M. Holmes
s/ _____

- ☐ With a favorable report.
- ☐ With a favorable report, as amended.
- ☒ With a favorable report as to ~~committee substitute bill (# _____), which changes the title, unfavorable as to original bill (Committee Substitute Bill # _____) (and recommendation that the committee substitute bill (# _____) be referred to the Committee on _____)~~
- ☐ And having received a unanimous vote in committee, be placed on the Consent Calendar.
(PUBLIC BILLS ONLY)

4/24/97

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1997

S

3

SENATE BILL 537*

Agriculture/Environment/Natural Resources Committee Substitute Adopted 4/22/97
House Committee Substitute Favorable 6/16/97

Short Title: Addition to State Parks System.

(Public)

Sponsors:

Referred to:

March 27, 1997

1 A BILL TO BE ENTITLED
2 AN ACT TO AUTHORIZE THE ADDITION TO THE STATE PARKS SYSTEM
3 OF CERTAIN LANDS LOCATED IN TRANSYLVANIA COUNTY
4 ADJACENT TO JOCASSEE LAKE.

5 Whereas, Section 5 of Article XIV of the Constitution of North Carolina
6 states that it shall be a proper function of the State of North Carolina to acquire and
7 preserve park, recreational, and scenic areas and, in every other appropriate way, to
8 preserve as a part of the common heritage of this State its open lands and places of
9 beauty; and

10 Whereas, the General Assembly enacted the State Parks Act in 1987,
11 declaring that the State of North Carolina offers unique archaeological, geological,
12 biological, scenic, and recreational resources and that these resources are part of the
13 heritage of the people of the State to be preserved and managed by those people for
14 their use and for the use of their visitors and descendants; and

15 Whereas, lands currently owned by Duke Power Company in
16 Transylvania County adjacent to Jocassee Lake contain examples of outstanding
17 scenic beauty, harbor many rare species and natural communities that are unique and
18 irreplaceable and have been found to possess geological, biological, scenic, and
19 recreational resources of statewide or even nationwide significance, and, therefore,
20 are suitable for preservation and management in accordance with Articles 2 and 2B
21 of Chapter 113 of the General Statutes; and

1 Whereas, it is the intent of the General Assembly that a portion of
2 company lands that has been used most significantly for hunting is suitable for
3 multiple uses, including hunting in a manner consistent with Duke Power Company's
4 historic management of lands in Transylvania County adjacent to Jocassee Lake;

5 Now, therefore,

6 The General Assembly of North Carolina enacts:

7 Section 1. The General Assembly authorizes the Department of
8 Environment, Health, and Natural Resources to add lands currently owned by Duke
9 Power Company in Transylvania County adjacent to Jocassee Lake to the State Parks
10 System, as provided in G.S. 113-44.14(b). In determining which particular lands to
11 be added to the State Parks System, the Secretary of Environment, Health, and
12 Natural Resources shall consult with the Board of Commissioners of Transylvania
13 County. As a part of this consultation, the Department of Environment, Health, and
14 Natural Resources shall work in cooperation with the Board of Commissioners to
15 identify land having the most significance as land traditionally available for multiple
16 uses, including hunting. Land that has significance as having been traditionally
17 available for multiple uses, including hunting, shall not be included in lands
18 authorized to be added to the State Parks System by this act if the Secretary of
19 Environment, Health, and Natural Resources determines that a viable State park with
20 manageable boundaries can be established without the inclusion of that land.

21 Section 2. This act becomes effective 1 July 1997.

APPROPRIATIONS
Subcommittee on Capital & Budget

Minutes
August 8, 1997

The APPROPRIATIONS Subcommittee on Capital & Budget held a meeting on Tuesday, August 8, 1997 at 11:30 A.M. in room 1425 of the Legislative Building. The members present were Representatives Carolyn Russell, Cochair, Representative Gene Wilson, Co-Chair, Cherrie Berry, Walter Church, Sr., Beverly Earle, Ruth Easterling, Verla Insko, Frank Mitchell, Jane Mosley and Charles Neely. Staff-Lynn Muchmore, Janice Wheeler, Emily Johnson, Phyllis Pickett, and Clerk- Rebecca Jones were also present.

Representative Russell called the meeting to order at 12:00 P.M.

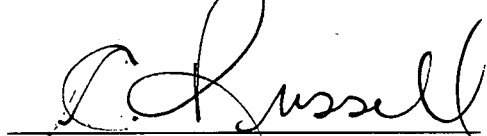
Proposed Committee substitute (H1139-PCS4157) for House Bill 1139 was passed out to the committee. Representative Carpenter explained the bill. A motion was made by Representative Mitchell to give a favorable report as to proposed committee substitute, which changes the title, unfavorable as to original bill and to be re-referred to committee on Rules, Calendar and Operations of the House. Motion was carried.

Representative Russell adjourned the meeting.

ATTACHMENTS

Attachment 1-Proposed Committee Substitute H1139-PCS4157
Attachment 2-House Bill 1139

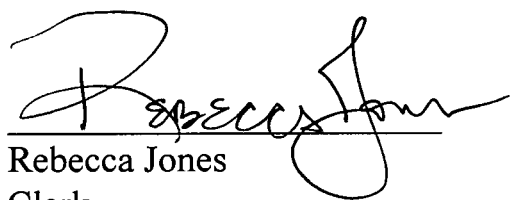
Respectfully Submitted,

A handwritten signature in cursive script, appearing to read "C. Russell", written over a horizontal line.

Representative Carolyn Russell
Co-Chair

A handwritten signature in cursive script, appearing to read "Gene Wilson", written over a horizontal line.

Representative Gene Wilson
Co-Chair

A handwritten signature in cursive script, appearing to read "Rebecca Jones", written over a horizontal line.

Rebecca Jones
Clerk

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1997

H

D

HOUSE BILL 1139

Proposed Committee Substitute H1139-CSLL-001

WARNING: LINE NUMBERS MAY CHANGE AFTER ADOPTION

Short Title: Rural Infrastructure Needs Study/Funds. (Public)

Sponsors:

Referred to: Appropriations.

April 21, 1997

1 A BILL TO BE ENTITLED
2 AN ACT TO ESTABLISH THE STUDY COMMISSION ON RURAL INFRASTRUCTURE
3 NEEDS.
4 Whereas, most rural areas of North Carolina lack the
5 water, wastewater, and natural gas infrastructure necessary to
6 attract industry and foster economic development; and
7 Whereas, the lack of this basic infrastructure places
8 rural areas at a distinct disadvantage in their efforts to
9 attract new business and industry and to support expansions of
10 existing business and industry; and
11 Whereas, of the fifty-six water and wastewater systems
12 on moratorium and not permitting new connections, forty-five of
13 those systems are located in rural counties; and
14 Whereas, while recent legislation will likely result in
15 the extension of natural gas service to most counties in North
16 Carolina within a few years, many areas, particularly those rural
17 counties in the far western and eastern sections of the State are
18 not expected to benefit from natural gas extension for several
19 years; and
20 Whereas, ongoing studies regarding water and wastewater
21 infrastructure indicate that significant investments are needed
22 in the rural counties to provide those services to areas yet

1 unserved and to upgrade existing, failing water and wastewater
2 systems; and

3 Whereas, the Highway Trust Fund will provide multi-lane
4 highways to many rural areas in the next several years; and

5 Whereas, even the most sweeping improvements in
6 education and workforce training cannot bring prosperity to rural
7 areas without sufficient economic development to provide jobs for
8 the rural workforce; now, therefore,

9 The General Assembly of North Carolina enacts:

10

11 Requested by: Representatives R. Hunter, Russell, Owens,
12 Carpenter, and Ramsey

13 **STUDY COMMISSION ON RURAL INFRASTRUCTURE NEEDS**

14 Section 1. The Study Commission on Rural Infrastructure
15 Needs is created. The Commission shall be a bipartisan body
16 composed of 21 members, with seven members each appointed by the
17 Governor, the Speaker of the House of Representatives, and the
18 President Pro Tempore of the Senate as follows:

19 (1) Two members of the Senate to be appointed by the
20 Governor, at least one of whom shall be a member of
21 the minority party in the Senate;

22 (2) Two members of the House of Representatives to be
23 appointed by the Governor, at least one of whom
24 shall be a member of the minority party in the
25 House;

26 (3) Five members of the House to be appointed by the
27 Speaker of the House of Representatives, at least
28 two of whom shall be members of the minority party
29 in the House;

30 (4) Five members of the Senate to be appointed by the
31 President Pro Tempore of the Senate, at least two
32 of whom shall be members of the minority party in
33 the Senate;

34 (5) Seven members of the public, two to be appointed by
35 the President Pro Tempore of the Senate, two to be
36 appointed by the Speaker of the House of
37 Representatives, and three to be appointed by the
38 Governor.

39 In appointing the members of the Commission, each appointing
40 authority shall consider the needs of all rural regions of the
41 State, and shall attempt to represent all those regions with
42 their appointments. In addition, each appointing authority shall
43 appoint at least one member residing in an urban area of the
44 State.

1 All initial appointments shall be made within forty-five days
2 of the effective date of this act, and the Commission shall have
3 its initial meeting no later than October 15, 1997. The Speaker
4 of the House and the President Pro Tempore of the Senate shall
5 each designate a cochair from the General Assembly membership
6 serving on the Commission. The Commission shall meet upon the
7 call of the cochairs. A majority of the Commission shall
8 constitute a quorum for the transaction of business.

9 Section 2. The Commission shall:

- 10 (1) Identify and quantify the water and sewer and
11 natural gas needs that the rural areas of North
12 Carolina must meet in order to be competitive
13 participants in the kind of economic development
14 necessary for the balanced growth of the State; and
- 15 (2) Recommend both short-term and long-term funding
16 solutions for ensuring that these needs are met.

17 In conducting its study, the Commission shall seek input from
18 the North Carolina Rural Economic Development Center and other
19 associations, agencies, and departments involved in rural
20 economic development.

21 Section 3. The Commission may contract for consultant
22 services as provided by G.S. 120-32.02. Upon approval of the
23 Legislative Services Commission, the Legislative Services Officer
24 shall assign professional and clerical staff to assist in the
25 work of the Commission. Clerical staff shall be furnished to the
26 Commission through the offices of the House and Senate
27 Supervisors of Clerks. The Commission may meet in the
28 Legislative Building or the Legislative Office Building upon the
29 approval of the Legislative Services Commission. The Commission,
30 while in the discharge of official duties, may exercise all the
31 powers provided under the provisions of G.S. 120-19 through G.S.
32 120-19.4, including the power to request all officers, agents,
33 agencies, and departments of the State to provide any
34 information, data, or documents within their possession,
35 ascertainable from their records, or otherwise available to them.

36 Members of the Commission shall receive per diem, subsistence,
37 and travel allowances as follows:

- 38 (1) Commission members who are members of the General
39 Assembly at the rate established in G.S. 120-3.1;
- 40 (2) Commission members who are officials or employees
41 of the State or of local government agencies at the
42 rate established in G.S. 138-6; and
- 43 (3) All other Commission members at the rate
44 established in G.S. 138-5.

1 Section 3. The Commission shall report its findings and
2 recommendations to the 1998 Regular Session of the 1997 General
3 Assembly. In the event that the Commission needs more time to
4 make final recommendations, it may make its final report to the
5 1999 General Assembly. The Commission shall terminate upon the
6 filing of its final report.

7 Section 4. There is appropriated from the General Fund
8 to the General Assembly the sum of fifty thousand dollars
9 (\$50,000) for the 1997-98 fiscal year to fund the Study
10 Commission on Rural Infrastructure Needs.

11 Section 5. This act is effective when it becomes law.

GENERAL ASSEMBLY OF NORTH CAROLINA
SESSION 1997

H

D

HOUSE BILL 1139
Proposed Committee Substitute H1139-PCS4157

Short Title: Rural Infrastructure Needs Study/Funds.

(Public)

Sponsors:

Referred to:

April 21, 1997

1 A BILL TO BE ENTITLED
2 AN ACT TO ESTABLISH THE STUDY COMMISSION ON RURAL
3 INFRASTRUCTURE NEEDS.

4 Whereas, most rural areas of North Carolina lack the water, wastewater,
5 and natural gas infrastructure necessary to attract industry and foster economic
6 development; and

7 Whereas, the lack of this basic infrastructure places rural areas at a
8 distinct disadvantage in their efforts to attract new business and industry and to
9 support expansions of existing business and industry; and

10 Whereas, of the 56 water and wastewater systems on moratorium and not
11 permitting new connections, 45 of those systems are located in rural counties; and

12 Whereas, while recent legislation will likely result in the extension of
13 natural gas service to most counties in North Carolina within a few years, many areas,
14 particularly those rural counties in the far western and eastern sections of the State
15 are not expected to benefit from natural gas extension for several years; and

16 Whereas, ongoing studies regarding water and wastewater infrastructure
17 indicate that significant investments are needed in the rural counties to provide those
18 services to areas yet unserved and to upgrade existing, failing water and wastewater
19 systems; and

20 Whereas, the Highway Trust Fund will provide multilane highways to
21 many rural areas in the next several years; and

Whereas, even the most sweeping improvements in education and workforce training cannot bring prosperity to rural areas without sufficient economic development to provide jobs for the rural workforce; Now, therefore, The General Assembly of North Carolina enacts:

Requested by: Representatives R. Hunter, Russell, Owens, Carpenter, Ramsey

STUDY COMMISSION ON RURAL INFRASTRUCTURE NEEDS

Section 1. The Study Commission on Rural Infrastructure Needs is created. The Commission shall be a bipartisan body composed of 21 members, with seven members each appointed by the Governor, the Speaker of the House of Representatives, and the President Pro Tempore of the Senate as follows:

- (1) Two members of the Senate to be appointed by the Governor, at least one of whom shall be a member of the minority party in the Senate;
- (2) Two members of the House of Representatives to be appointed by the Governor, at least one of whom shall be a member of the minority party in the House;
- (3) Five members of the House to be appointed by the Speaker of the House of Representatives, at least two of whom shall be members of the minority party in the House;
- (4) Five members of the Senate to be appointed by the President Pro Tempore of the Senate, at least two of whom shall be members of the minority party in the Senate;
- (5) Seven members of the public, two to be appointed by the President Pro Tempore of the Senate, two to be appointed by the Speaker of the House of Representatives, and three to be appointed by the Governor.

In appointing the members of the Commission, each appointing authority shall consider the needs of all rural regions of the State and shall attempt to represent all those regions with their appointments. In addition, each appointing authority shall appoint at least one member residing in an urban area of the State.

All initial appointments shall be made within 45 days of the effective date of this act, and the Commission shall have its initial meeting no later than October 15, 1997. The Speaker of the House of Representatives and the President Pro Tempore of the Senate shall each designate a cochair from the General Assembly membership serving on the Commission. The Commission shall meet upon the call of the cochairs. A majority of the Commission shall constitute a quorum for the transaction of business.

Section 2. The Commission shall:

- (1) Identify and quantify the water and sewer and natural gas needs that the rural areas of North Carolina must meet in order to be competitive participants in the kind of economic development necessary for the balanced growth of the State; and

1 (2) Recommend both short-term and long-term funding solutions for
2 ensuring that these needs are met.

3 In conducting its study, the Commission shall seek input from the North
4 Carolina Rural Economic Development Center and other associations, agencies, and
5 departments involved in rural economic development.

6 Section 3. The Commission may contract for consultant services as
7 provided by G.S. 120-32.02. Upon approval of the Legislative Services Commission,
8 the Legislative Services Officer shall assign professional and clerical staff to assist in
9 the work of the Commission. Clerical staff shall be furnished to the Commission
10 through the offices of the House and Senate Supervisors of Clerks. The Commission
11 may meet in the Legislative Building or the Legislative Office Building upon the
12 approval of the Legislative Services Commission. The Commission, while in the
13 discharge of official duties, may exercise all the powers provided under the provisions
14 of G.S. 120-19 through G.S. 120-19.4, including the power to request all officers,
15 agents, agencies, and departments of the State to provide any information, data, or
16 documents within their possession, ascertainable from their records, or otherwise
17 available to them.

18 Members of the Commission shall receive per diem, subsistence, and
19 travel allowances as follows:

20 (1) Commission members who are members of the General Assembly
21 at the rate established in G.S. 120-3.1;

22 (2) Commission members who are officials or employees of the State
23 or of local government agencies at the rate established in G.S. 138-
24 6; and

25 (3) All other Commission members at the rate established in G.S. 138-
26 5.

27 Section 4. The Commission shall report its findings and
28 recommendations to the 1998 Regular Session of the 1997 General Assembly. In the
29 event that the Commission needs more time to make final recommendations, it may
30 make its final report to the 1999 General Assembly. The Commission shall terminate
31 upon the filing of its final report.

32 Section 5. There is appropriated from the General Fund to the General
33 Assembly the sum of fifty thousand dollars (\$50,000) for the 1997-98 fiscal year to
34 fund the Study Commission on Rural Infrastructure Needs.

35 Section 6. This act is effective when it becomes law.

GENERAL ASSEMBLY OF NORTH CAROLINA
SESSION 1997

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HOUSE BILL 1139

Short Title: Clean Water Revolving Loan Funds.

(Public)

Sponsors: Representatives R. Hunter; Baddour, Insko, Mosley, and Nichols.

Referred to: Appropriations.

April 21, 1997

1 A BILL TO BE ENTITLED

2 AN ACT TO RESERVE TEN PERCENT OF THE UNRESERVED CREDIT
3 BALANCE IN THE GENERAL FUND AT THE END OF EACH FISCAL
4 YEAR TO THE CLEAN WATER REVOLVING LOAN AND GRANT FUND.

5 The General Assembly of North Carolina enacts:

6 Section 1. Article 1 of Chapter 143 of the General Statutes is amended
7 by adding a new section to read:8 "**§ 143-15.3C. Funds reserved to the Clean Water Revolving Loan and Grant Fund.**9 (a) The Clean Water Revolving Loan and Grant Fund is established in Chapter
10 159G of the General Statutes. The State Controller shall reserve to the Clean Water
11 Revolving Loan and Grant Fund ten percent (10%) of any unreserved credit balance
12 remaining in the General Fund at the end of each fiscal year. As used in this section,
13 the term 'unreserved credit balance' means the credit balance amount, as determined
14 on a cash basis, before funds are reserved by the State Controller to the Savings
15 Reserve Account, the Repairs and Renovations Reserve Account, the Clean Water
16 Management Trust Fund, or the Clean Water Revolving Loan and Grant Fund
17 pursuant to this section, G.S. 143-15.3, 143-15.3A, and 143-15.3B.18 (b) The funds in the Clean Water Revolving Loan and Grant Fund shall be used
19 only in accordance with Chapter 159G of the General Statutes."

20 Section 2. G.S. 159G-4 reads as rewritten:

21 "**§ 159G-4. Appropriations.**22 (a) Of the funds appropriated and reserved to the Clean Water Revolving Loan
23 and Grant Fund, the amount required in each fiscal year to provide the State match

1 of any federal funds deposited into the Water Pollution Control Revolving Fund shall
2 be allocated to that fund.

3 (b) Of the appropriations made or reserved from the General Fund to the Clean
4 Water Revolving Loan and Grant Fund for use of the Department of Environment,
5 Health, and Natural Resources as provided in this Chapter, allocations are made as
6 follows after first subtracting the amounts allocated under subsection (a) of this
7 section, to the extent that there are any excess funds available:

8 Wastewater Accounts

9	General Wastewater Revolving	
10	Loan Account	39.00%
11	Emergency Wastewater Revolving	
12	Loan Account	10.00%
13	High-Unit Cost Wastewater	
14	Account	20.00%

15 Water Supply Accounts

16	General Water Supply	
17	Revolving Loan Account	21.00%
18	High-Unit Cost Water Supply	
19	Account	5.00%
20	Emergency Water Supply Revolving	
21	Loan Account	5.00%

22 (c) All payments of interest and repayments of principal resulting from revolving
23 loans shall be credited to the respective accounts from which the revolving loan funds
24 were disbursed. Terms and conditions for repayment of revolving loans shall be
25 established by the Department of Environment, Health, and Natural Resources, with
26 the assistance of the Local Government Commission, consistent with the
27 requirements of the Federal Water Pollution Control Act and this Chapter. Provided,
28 the interest rate for all revolving loans authorized by this Chapter shall be fixed at
29 the same percent per annum as the interest rate fixed under the Federal Water
30 Pollution Control Act for loans from the Water Pollution Control Revolving Fund
31 established by G.S. 159G-5(c), not to exceed the lesser of four percent (4%) or one
32 half (1/2) the prevailing national market rate for tax exempt general obligation debt
33 of similar maturities derived from a published indicator. Provided further, the interest
34 rate may be fixed at a lower rate per annum if authorized by the Federal Water
35 Pollution Control Act Regulations. It is the intent of the General Assembly to provide
36 uniform interest payments for all loans made to units of local government irrespective
37 of the account from which loans are made for either wastewater or water supply
38 projects."

39 Section 3. This act becomes effective July 1, 1997.

**1997 PERMANENT SUBCOMMITTEE REPORT
HOUSE OF REPRESENTATIVES**

FOR RECOMMENDING BILLS TO STANDING COMMITTEE OR TO THE FLOOR OF THE HOUSE
The following report(s) from permanent sub committee(s) is/are presented:

By Representative(s) G. Wilson and Russell for the Permanent Subcommittee on Capital & Budget
of the Standing Committee on APPROPRIATIONS.

- ☐ Committee Substitute for
H.B. 1139 ☒ A BILL TO BE ENTITLED AN ACT TO RESERVE TEN
PERCENT OF THE UNRESERVED CREDIT BALANCE IN THE GENERAL FUND AT THE END
OF EACH FISCAL YEAR TO THE CLEAN WATER REVOLVING LOAN AND GRANT FUND

REPORTED TO THE STANDING COMMITTEE ON

RECOMMENDED ACTION:

- ☐ With a favorable recommendation.
- ☐ With a favorable recommendation and recommend that the bill be re-referred to the Committee on
- ☐ With a favorable recommendation, as amended.
- ☐ With a favorable recommendation, as amended, and recommend that the bill be re-referred to the Committee on
- ☐ With an unfavorable recommendation.
- ☐ With a favorable recommendation as to proposed committee substitute bill which changes the title, unfavorable as to original bill.
- ☐ With a favorable recommendation as to proposed House committee substitute bill, which changes the title, unfavorable as to Senate committee substitute bill.
- ☐ Without prejudice.
- ☐ Other recommended action: _____

WITH APPROVAL OF STANDING COMMITTEE CHAIR FOR REPORT TO BE MADE DIRECTLY
TO THE FLOOR OF THE HOUSE:

Rep. Holmes, Esposito, Crawford and Creech for the Standing Committee on
APPROPRIATIONS.

s/ George M. Holmes

- ☐ With a favorable report.
- ☐ With a favorable report, as amended.
- ☒ With a favorable report as to committee substitute bill (~~#~~), ☒ which changes the title, unfavorable as to original bill (~~Committee Substitute Bill #~~) (and recommendation that the committee substitute bill (~~#~~) be referred to the Committee on Rules, Calendar and Operations of the House.)
- ☐ And having received a unanimous vote in committee, be placed on the Consent Calendar.
(PUBLIC BILLS ONLY)

4/24/97