1998

SENATE CHILDREN & HUMAN RESOURCES COMMITTEE

MINUTES

CHILDREN & HUMAN RESOURCES

Chair - Lucas

Vice-Chairs - Dannelly

Bill Martin

Winner

Kinnaird

Ranking Minority - Forrester

Members: Allran Kerr

Cooper Moore

Clark Phillips

Cochrane Purcell

East Warren

Foxx Wellons

Kincaid



Valid Through 16-DEC-1998 1997-98 Regular Session SENATE: CHILDREN & HUMAN RESOURCES BILL INTRODUCER SHORT TITLE LATEST ACTION ON BILL IN DATE OUT DATE H 28 *R -CH. SL 97-0135 03-10-97 05-15-97 BAKER DSS BOARD CHANGE DISSOLVE CERTAIN MENTAL HEALTH AUTH R -CH. SL 97-0007 02-26-97 03-12-97 H 77 HOWARD H 81= CLARY RESIDENCY REQ/SPECIAL ASSISTANCE *R -CH. SL 97-0210 03-13-97 04-16-97 *R -CH. SL 97-0073 03-11-97 04-09-97 H 143= WILSON G ADULT CARE HOMES ANNUAL REPORTING R -CH. SL 97-0113 H 153= EASTERLING DEPENDENT JUVENILE DEFINITION 03-20-97 05-14-97 *S -RE-REF COM ON CH&HUMRS 05-06-97 05-14-97 H 165= REDWINE LODGING ESTABLISHMENTS/SANITATION H 165= REDWINE LODGING ESTABLISHMENTS/SANITATION *S -RE-REF COM ON CH&HUMRS 05-15-97 H 186= MITCHELL S -REF TO COM ON CH&HUMRS 03-26-97 FOSTER CARE CRIMINAL CK. CORRECTION *S -REF TO COM ON CH&HUMRS 05-06-97 ALDRIDGE BAN PARTIAL-BIRTH ABORTIONS H 303 H 327 S -REF TO COM ON CH&HUMRS 04-02-97 MITCHELL AREA MENTAL HEALTH BD./APPT. Н 363 **GARDNER** CHILD SUPPORT OPTIONS/FEES *R -CH. SL 97-0223 04-07-97 05-21-97 H 455= DOCKHAM *R -CH. SL 97-0137 04-17-97 05-21-97 GLAUCOMA PROGRAM REPEALED R -CH. SL 97-0136 04-29-97 05-21-97 H 456 =**DOCKHAM** REG DEEDS - NO ABUSE INFO. H 536 WILSON C ABORTION/RIGHT TO KNOW *S -REF TO COM ON CH&HUMRS 05-06-97 *S -REF TO COM ON CH&HUMRS H 564 GARDNER SUPERVISING DENTAL HYGIENISTS 05-06-97 H 896 GARDNER CHILD WELFARE CHANGES *R -CH. SL 97-0390 05-06-97 07-24-97 NURSING/REST HOME ADV. COMM.APPTS. *R -CH. SL 97-0176 H 897 MCCOMAS 05-06-97 05-28-97 H 948 GARDNER PHARMACY REHABILITATION PROGRAM R -CH. SL 97-0177 05-06-97 05-28-97 H 949= GARDNER IMPROVE CHILD PROTECTION/RECORDS *R -CH. SL 97-0459 08-07-97 08-13-97 H1051 MILLER G ORGAN DONATION/PROCUREMENT *R -CH. SL 97-0192 05-06-97 05-29-97 H1376= SHERRILL CLARIFIED CHILD CARE PUBLICATION *S -REF TO COM ON CH&HUMRS 07-13-98 GRANDPARENT VISITATION S -REF TO COM ON CH&HUMRS 02-04-97 S 44= LUCAS 52= CARPENTER R ADULT CARE HOMES ANNUAL REPORTING S -REF TO COM ON CH&HUMRS 02-06-97 S ADULT CARE/NURSING HOME PENALTIES *R -CH. SL 97-0431 S 53= DANNELLY 02-06-97 04-23-97 RESIDENCY REQ./SPECIAL ASSISTANCE S 54= COCHRANE S -REF TO COM ON CH&HUMRS 02-06-97 S 207 = FOXXFOSTER CARE CRIMINAL CK CORRECTION *R -CH. SL 97-0140 02-20-97 04-01-97 02-20-97 S 212= WEBSTER DAY CARE PLAYGROUND EQUIPMENT S -REF TO COM ON CH&HUMRS 02-20-97 S 213= WEBSTER AMEND FAMILY CARE HOME REPORTING S -REF TO COM ON CH&HUMRS S 304= ODOM DISAPPROVAL OF CERTAIN RULES-2 *S -REF TO COM ON WAYS&MNS 03-05-97 04-23-97 S 305 ODOM SOLICITOR'S SECURITY OPTION R -CH. SL 97-0124 03-05-97 04-09-97

NOTES- = AFTER BILL NUMBER SHOWS THAT BILL IS IDENTICAL, AS INTRODUCED, TO ANOTHER BILL.

* AFTER NUMBERS INDICATES THAT TEXT OF BILL WAS ALTERED BY ACTION ON THE BILL.

BOLDED LINE INDICATES BILL INDEXED AS AFFECTING APPROPRIATIONS.

Page:

1



NORTH CAROLINA G. AL ASSEMBLY COMMITTEE SUMMARY REPORT

SENATE: CHILDREN & HUMAN RESOURCES Valid Through 16-DEC-1998 1997-98 Regular Session SHORT TITLE LATEST ACTION ON BILL IN DATE BILL INTRODUCER OUT DATE 03-05-97 *H -RE-REF COM ON RULES S 307= MARTIN W TANF/FIRST STOP EMPLOYMENT 04-30-97 S 308= MARTIN W DEPENDENT JUVENILE DEFINITION S -REF TO COM ON CH&HUMRS 03-05-97 S 332 H -REF TO COM ON HR LUCAS FOSTER CARE TRAINING 03-10-97 04-02-97 *H -REF TO COM ON HR DANNELLY S 346 JUVENILE GUARDIANS 03-10-97 04-09-97 MILLER B SOCIAL SERVICES COMM'N AUTHORITY *S -RE-REF COM ON RULES & S 351 03-10-97 04-02-97 SOCIAL SERVICES COMM'N AUTHORITY S 351 MILLER B *S -RE-REF COM ON RULES & 04-03-97 04-29-97 03-11-97 04-30-97 S 367= DANNELLY ELIMINATE MEDICAID RX LIMIT S -RE-REF COM ON APPROPR S 383= PERDUE HEALTH TO DHR/DEHNR NAME CHANGE *H -REF TO COM ON HR 03-13-97 04-03-97 S 413 KINNAIRD OB-GYN ACCESS/MEDICAID RECIPIENTS S -REF TO COM ON CH&HUMRS 03-18-97 S 422= CONDER REDUCE COUNTY SHARE/PAYMENTS S -REF TO COM ON CH&HUMRS 03-19-97 RAND R -CH. SL 97-0491 S 445 ALLOW NURSING INTERSTATE COMPACTS 03-20-97 04-23-97 S 479= LUCAS REG. DEEDS-NO ABUSE INFO H -REF TO COM ON HR 03-25-97 04-16-97 S -RE-REF COM ON CH&HUMRS S 481= LUCAS GLAUCOMA PROGRAM REPEALED 03-25-97 04-16-97 S 481= LUCAS GLAUCOMA PROGRAM REPEALED S -RE-REF COM ON CH&HUMRS 04-17-97 S 483= LUCAS PHYSICIAN SERVICES FEE *R -CH. SL 97-0508 03-25-97 04-16-97 S 484= LUCAS VITAL RECORDS ACCESS *S -RE-REF COM ON FINANCE 03-25-97 04-29-97 S 485= LUCAS MEDICAL INVESTIGATORS S -RE-REF COM ON COMMERCE 03-25-97 04-23-97 S 486= LUCAS CLARIFY FOOD ESTAB. DEFINITION *H -RE-REF COM ON RULES 03-25-97 04-24-97 S 488 KINNAIRD VOC. REHAB. COUNCIL CHAIR R -CH. SL 97-0509 03-25-97 04-09-97 S 521 CARPENTER R DOC PILOT/SEXUAL ASSAULT *R -CH. SL 97-0288 03-26-97 04-29-97 S 536 BAN PARTIAL-BIRTH ABORTIONS-2 S -REF TO COM ON CH&HUMRS 03-27-97 PAGE S 583 FORRESTER PHYSICIAN EDUCATION & REGISTRATION *R -CH. SL 97-0481 04-01-97 04-16-97 PHYSICIAN ASSISTANT LICENSURE LUCAS R -CH. SL 97-0511 S 595 04-01-97 04-09-97 FORRESTER S 626 OFFICE OF WOMEN'S HEALTH *R -CH. SL 97-0172 04-01-97 04-23-97 *R -CH. SL 97-0502 S 636 HARTSELL PUBLIC HEALTH AUTHORITY ACT 04-01-97 04-29-97 04-03-97 04-29-97 S 690 =LUCAS NEEDLE EXCHANGE PILOT PROGRAM *S -RE-REF COM ON CH&HUMRS S 690 =LUCAS NEEDLE EXCHANGE PILOT PROGRAM *S -RE-REF COM ON CH&HUMRS 04-30-97 COUNTY CONTROL OF HUMAN SERVICES S -REF TO COM ON CH&HUMRS S 735 ODOM 04-07-97 S 754 REEVES UNEMPL. BENEFITS SPOUSAL CHANGES S -RE-REF COM ON APPROPR 04-07-97 04-29-97 S 757 MILLER B ADVANCE INSTR./MENTAL HEALTH *R -CH. SL 97-0442 04-08-97 04-30-97

NOTES- = AFTER BILL NUMBER SHOWS THAT BILL IS IDENTICAL, AS INTRODUCED, TO ANOTHER BILL.

* AFTER NUMBERS INDICATES THAT TEXT OF BILL WAS ALTERED BY ACTION ON THE BILL.

BOLDED LINE INDICATES BILL INDEXED AS AFFECTING APPROPRIATIONS.

Page:

2



NORTH CAROLINA GL. AL ASSEMBLY COMMITTEE SUMMARY REPORT

1997-98 Regular Session SENATE: CHILDREN & HUMAN RESOURCES Valid Through 16-DEC-1998 SHORT TITLE BILL INTRODUCER LATEST ACTION ON BILL IN DATE OUT DATE DHR SECRETARY SALARY FLEXIBILITY S -REF TO COM ON CH&HUMRS S 774 RAND 04-09-97 S 780= GULLEY W TELEMEDICINE BY LICENSED DOCTORS *R -CH. SL 97-0514 04-10-97 04-23-97 CONDER CHILDHOOD LEAD EXPOSURE CONTROL *H -REF TO COM ON HR S 806 04-10-97 04-17-97 04-15-97 04-30-97 S 851 KINNAIRD LIMIT PROVISIONAL LICENSE *R -CH. SL 97-0522 FORRESTER CRIMINAL CHECKS/CONTRACT AGENCIES R -CH. SL 97-0125 04-15-97 04-30-97 S 876 S 889 MARTIN W STUDY AMERICAN SIGN LANGUAGE *S -RE-REF COM ON RULES & 04-16-97 04-30-97 S 924 ODOM CRIMINAL RECORDS CHECKS/DHR *R -CH. SL 97-0260 04-17-97 04-30-97 S 929 COOPER ENHANCE CHILD CARE *R -CH. SL 97-0506 04-17-97 04-28-97 COCHRANE IMPROVE CHILD PROTECTION/RECORDS S -REF TO COM ON CH&HUMRS 04-17-97 S 946 =S 962 MARTIN W VOL. ADMISSION/FAMILY UNIT *R -CH. SL 98-0047 04-17-97 04-30-97 S1015 MARTIN V WELFARE REFORM ACT OF 1997-2 *H -ASSIGNED TO APP-HRES 04-21-97 04-30-97 S1016= MARTIN W DIR. PAY/SUBS. ABUSE PROF. H -ASSIGNED TO INS-HLTH 04-28-97 04-30-97 S1023 FOXX FAMILY FOSTER HOME STANDARDS *R -CH. SL 97-0110 04-21-97 04-30-97 S1047 LUCAS AMEND PSYCHOLOGY PRACTICE ACT S -REF TO COM ON CH&HUMRS 04-24-97 CONVEYANCE SURPLUS AUTOMOBILES *R -CH. SL 98-0195 S1202= DANNELLY 05-21-98 06-24-98 S1232= PHILLIPS CLARIFIED CHILD CARE PUBLICATION S -REF TO COM ON CH&HUMRS 05-21-98 CHILD CARE CHANGES S1233= PHILLIPS S -REF TO COM ON CH&HUMRS 05-21-98 S1287 = RANDADVANCE DIRECTIVES CORRECTIONS *R -CH. SL 98-0198 05-27-98 08-06-98 S1305 = ODOMCHILDHOOD LEAD EXPOSURE AMENDMENTS S -REF TO COM ON CH&HUMRS 05-27-98 BIOMETRICS TECHNICAL CORRECTIONS S -REF TO COM ON CH&HUMRS S1321= MARTIN W 05-27-98 S1323= MARTIN W WELFARE LAW CORRECTIONS S -REF TO COM ON CH&HUMRS 05-27-98 *S -RE-REF COM ON APPROPR S1394= LUCAS ADOPTION REGISTRY 05-27-98 07-23-98 S1422 HARTSELL CABARRUS WORKFARE LAW CHANGE *R -CH. SL 98-0106 05-28-98 06-24-98

VELFARE LAW IMPROVEMENT/FUNDS

S1526= LUCAS

NOTES- = AFTER BILL NUMBER SHOWS THAT BILL IS IDENTICAL, AS INTRODUCED, TO ANOTHER BILL.

* AFTER NUMBERS INDICATES THAT TEXT OF BILL WAS ALTERED BY ACTION ON THE BILL.

BOLDED LINE INDICATES BILL INDEXED AS AFFECTING APPROPRIATIONS.

Page:

S -REF TO COM ON CH&HUMRS

06-01-98

Agenda

SENATE COMMITTEE ON CHILDREN & HUMAN RESOURCES

June 24, 1996

11:00 AM

Call to Order

SB1202 Senator Dannelly Conveyance Surplus Automobiles.

SB1422 Senator Hartsell Cabarrus Workfare Law Change.

Sergeant at Arms: Archie McMillan

Charles Marsalis Collis Brown George Robinson

Pages: Elizabeth Pearson Gastonia, N. C./Senator David Hoyle-Sponsor

Jessica Hubbard Fuquay-Varina, N. C/Senator Eric Reeves-Sponsor

Next Meeting
Wednesday, July 1, 1998
11:00am Room 544 LOB

Senate Committee on Children & Human Resources Minutes of Wednesday, June 24, 1998

The Senate Committee on Children & Human Resources met at 11:00 a.m. on Wednesday, June, 24, 1998 in room 544 of the State Legislative Office Building. There were 18 members in attendance.

Senator Jeanne H. Lucas, Chair of the committee, called the meeting to order, with introductions of staff, pages and Sergeant at Arms.

Senate Bill 1202 entitled Conveyance Surplus Automobiles... The bill was presented by its sponsor Senator Dannelly. Senator Dannelly explained the bill. Senator cooper added a committee substitute to this bill. After some discussion Senator Martin moved for a favorable report. The bill was reported as unfavorable as to bill, but favorable as to the committee substitute bill.

Senate Bill 1422 entitled Cabarrus Workfare Law Change. The bill was presented by its sponsor Senator Hartsell who also submitted an amendment. After some discussion Senator Cochrane moved for a favorable report. The bill was reported out as favorable, as amended.

The business being completed the meeting was adjourned. The next meeting is scheduled for Wednesday July 1, 1998.

Bernadette David-Yerumo, Committee Clerk

Senator Jeanne H. Lucas, Chair

NORTH CAROLINA GENERAL ASSEMBLY SENATE

CHILDREN & HUMAN RESOURCES COMMITTEE REPORT

Senator Jeanne H. Lucas, Chairman

Wednesday, June 24, 1998

SENATOR JEANNE H. LUCAS,

submits the following with recommendations as to passage:

UNFAVORABLE AS TO BILL, BUT FAVORABLE AS TO C.S. BILL

S.B. 1202 Conveyance Surplus Automobiles.

Draft Number:

PCS6849

Sequential Referral:

None

Recommended Referral: None

Long Title Amended:

No

TOTAL REPORTED: 1

Committee Clerk Comment:

NORTH CAROLINA GENERAL ASSEMBLY SENATE

CHILDREN & HUMAN RESOURCES COMMITTEE REPORT

Senator Jeanne H. Lucas, Chairman

Wednesday, June 24, 1998

SENATOR JEANNE H. LUCAS,

submits the following with recommendations as to passage:

FAVORABLE, AS AMENDED

S.B. 1422

Cabarrus Workfare Law Change.

Sequential Referral: None Recommended Referral: None Long Title Amended: No

TOTAL REPORTED: 1

Committee Clerk Comment:

NORTH CAROLINA GENERAL ASSEMBLY AMENDMENT

(Please type or use ballpoint pen)

H. B. No	DA	TE	
S. B. No		Amendment No	(to be filled in by Principal Clerk)
Rep.)	· · · · · · · · · · · · · · · · · · ·		
Sen.)			
moves to amend the bill on page		, line	
by			
·			4-2-10-10-10-11-11-11-11-11-11-11-11-11-11-
94 	,		
ADOPTED	FAILED	TAB	LED

G A-33-A

NORTH CAROLINA GENERAL ASSEMBLY AMENDMENT

(Please type or use ballpoint pen)

H. B. No	DATE	Illne	24/1998
S. B. No. 1422	Ame	ndment No	
			(to be filled in by Principal Clerk)
Rep.) /// a///			
) - 67-3-3-	ne		
Sen.)			
moves to amend the bill on page	3	, line	7
by usering wo	rds: "adul	L naum	time of the"
by uncerting wo between the wo	and "He"	and	" lique hald
Corred na vo	100		70000
			·
	4.444		·
Annual Control of the			*1,*
11-4114	SIGNED	[H.	Weller
Adopted by the 6	emmittee on	Childre	en of flem, Res.
ADOPTEDF		TABLI	ED

•		
	ISITOR REGISTRATION SHEET	
Suldren & Hue	nea Pesousses	6/24/9
Name of Committee		Date
:		
VISITORS: Please sign below	and return to Committee Cler	·k.
NAME	FIRM OR STATE AGENCY AN	D ADDRESS
Shi Schwicht	NWCPC	
Mike Clark		
JAMES (GEN) SCALE	TOWN OF STONE	WILLENC.
Cripal Parkes.	Bone and Resociat	
John Bowdish	Zeb alley P.A.	
Mary Camilia	Mecklenburg C	inter
nancy H. Brown		<i>d</i>
Kay Michaels	Planned Parenthood or	Oranas Duhan
Barbara Hott	Named Parenthood of	
matarner	DHH8/DCD	
ashly Seiles	· Challotte Obse	wer
June Ranguet	NCATA	
Sansmith	DBHS/DS5	
Rat Constell	DENR	
Latick Cannon	CHARLOTTE CITY	/ COUNCEL
NASIF MAJEE)	CHARLOTTE CITY C	
	•	

Principal Clerk	
Reading Clerk	_

SENATE NOTICE OF COMMITTEE MEETING and Bill Sponsor Notice

The Senate Committee on Children and Human Resources will meet at the following time:

DATE: Wednesday June 24, 1998

TIME: 11:00 A.M.

ROOM: 544

The following bills or resolutions will be considered:

SB 1202	Conveyance Surplus Automobiles	Senator Dannelly
SB-1232	Clarified Child Care Publication	Senator Phillips
SB 1233	Child Care Changes	Senator Phillips
SB 1422	Cabarrus Workfare Law Change	Senator Hartsell

Senator Jeanne H. Lucas, Chair

NORTH CAROLINA GENERAL ASSEMBLY AMENDMENT

(Please type or use ballpoint pen)

)	H. B. No DATE
	1202
	S. B. No Amendment No (to be filled in by Principal Clerk)
	Rep.) Coper Sen.
	moves to amend the bill on page, line 15-20
	by vewriting those lines to read.
	Pronded, however, that a city or county may convey to any public or private entity
١	which is authorized to a veceive appropriations
	from a city or country surplus automobiles
)	that the automobiles be used for a public
	purpose. Provided, however, this
	Conveyance is conditioned upon conveyance
	by the public or private entity to
	Work First participants selected by the County Department & Social Services.
	This subsequent conveyance by the
	public or phyak entity to the Work First
	participant shall be without compensation
	except that the participant may be
)	required to pay for license, tag, andor



North Carolina General Assembly Legislative Services Office

George R. Hall, Legislative Services Offi (919) 733-7044

Elaine W. Robinson, Director Administrative Division Room 5, Legislative Building 16 W. Jones Street Raleigh, NC 27603-5925 (919) 733-7500 Gerry F. Cohen, Director Bill Drafting Division Suite 401, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-6660 Thomas L. Covington, Director Fiscal Research Division Suite 619, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-4910 Donald W. Fulford, Director Information Systems Division Suite 400, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-6834 Terrence D. Sulli Research Divisio Suite 545, LOB 300 N. Salisbury Raleigh, NC 27 (919) 733-2578

June 8, , 1998

MEMORANDUM

TO: Senate Children and Human Resources Committee

FROM: John Young, Committee Staff

RE: Senate Bill 1202-Conveyance of Surplus Automobiles

Senator Dannelly

G.S. 160A-279(a) authorizes cities and counties to convey by private sale any real or personal property to a public or private entity which carries out a public purpose and to which the county or city is authorized to appropriate funds. The city or county shall attach covenants to the conveyance which assures that the property is used for a public purpose.

SB 1202 would amend G.S. 160A-279(a) to authorize only Mecklenburg County to convey, without compensation and without covenants or conditions, surplus automobiles to any public or private entity to which the county is authorized to appropriate funds. The automobiles would subsequently be required to be conveyed to Work First participants selected by the county department of social services.

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1997

S

1

SENATE BILL 1202

Short Title: Conveyance Surplus Automobiles.

(Local)

Sponsors:

21

22

Senators Dannelly; Albertson, Ballance, Forrester, Hoyle, Jordan, Kerr, Kinnaird, Lucas, Martin of Pitt, Martin of Guilford, Odom, Phillips,

Rand, Rucho, Wellons, and Winner.

Referred to: Children & Human Resources.

May 21, 1998

A BILL TO BE ENTITLED

AUTHORIZE COUNTY CONVEYANCE OF ACT TO SURPLUS AUTOMOBILES TO A NOT-FOR-PROFIT RECIPIENT FOR SUBSEQUENT 3 CONVEYANCE TO WORK FIRST PARTICIPANTS.

The General Assembly of North Carolina enacts:

Section 1. G.S. 160A-279(a) reads as rewritten:

6 "(a) Whenever a city or county is authorized to appropriate funds to any public or 7 private entity which carries out a public purpose, the city or county may, in lieu of or 9 in addition to the appropriation of funds, convey by private sale to such an entity any 10 real or personal property which it owns; provided no property acquired by the 11 exercise of eminent domain may be conveyed under this section; provided that no 12 such conveyance may be made to a for-profit corporation. The city or county shall 13 attach to any such conveyance covenants or conditions which assure that the property 14 will be put to a public use by the recipient entity. The procedural provisions of G.S. 15 160A-267 shall apply. Provided, however, that a city or county may convey surplus 16 automobiles, without compensation, and without imposing covenants or conditions 17 which assure that the recipient entity itself use the automobiles for a public purpose, 18 to any public or private entity that it is authorized to appropriate funds to, for subsequent conveyance by said entity to Work First participants selected by the 20 county department of social services."

Section 2. This act applies to Mecklenburg County only.

Section 3. This act is effective when it becomes law.



North Carolina General Assembly Legislative Services Office

George R. Hall, Legislative Services Offi (919) 733-7044

Elaine W. Robinson, Director Administrative Division Room 5, Legislative Building 16 W. Jones Street Raleigh, NC 27603-5925 (919) 733-7500 Gerry F. Cohen, Director Bill Drafting Division Suite 401, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-6660 Thomas L. Covington, Director Fiscal Research Division Suite 619, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-4910 Donald W. Fulford, Director Information Systems Division Suite 400, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-6834 Terrence D. Sulli Research Divisio Suite 545, LOB 300 N. Salisbury Raleigh, NC 27 (919) 733-2578

June 9, 1998

MEMORANDUM

TO:

Senate Children and Human Resources Committee

FROM:

John Young, Committee Staff

RE:

Senate Bill 1422-Cabarrus County Workfare Law Change

The 1995 General Assembly (Chapter 317) authorized Cabarrus County to conduct a pilot "workfare" program in Cabarrus County which preceded the State and federal welfare reform legislation. The bill required DHR to designate Cabarrus County as a pilot county to conduct a demonstration program for certain AFDC and food stamp recipients, and to seek all federal waivers necessary. Under the "workfare" demonstration, the county had to (1) require certain ablebodied recipients to pursue and accept employment as a condition of eligibility; (2) create job opportunities through "grant-diversion"; (3) expand work incentives by excluding all or a portion of the wages in determining eligibility; and (4) take other specified actions to encourage employment of unemployed, able-bodied AFDC and food stamp recipients who were not eligible for the Job Opportunities or JOBS program. DHR was required to evaluate the project and report the results to the General Assembly on or before March 1, 1997. The demonstration expires July 1, 1998.

Senate Bill 1422 would:

- 1. Extend the demonstration project until July 1, 2002;
- 2. Make conforming language changes to reflect welfare reform legislation and the new Department of Health and Human Services;
- Allow the demonstration project to require Work First and food stamp recipients to participate in the Work First employment program and to withhold entire Work First benefits for month following month in which household fails to comply with participation requirements; and
- 4. Delete "adjunct" program to demonstration (p3, lines 17-31).

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1997

S

5

15

17

18 19

20

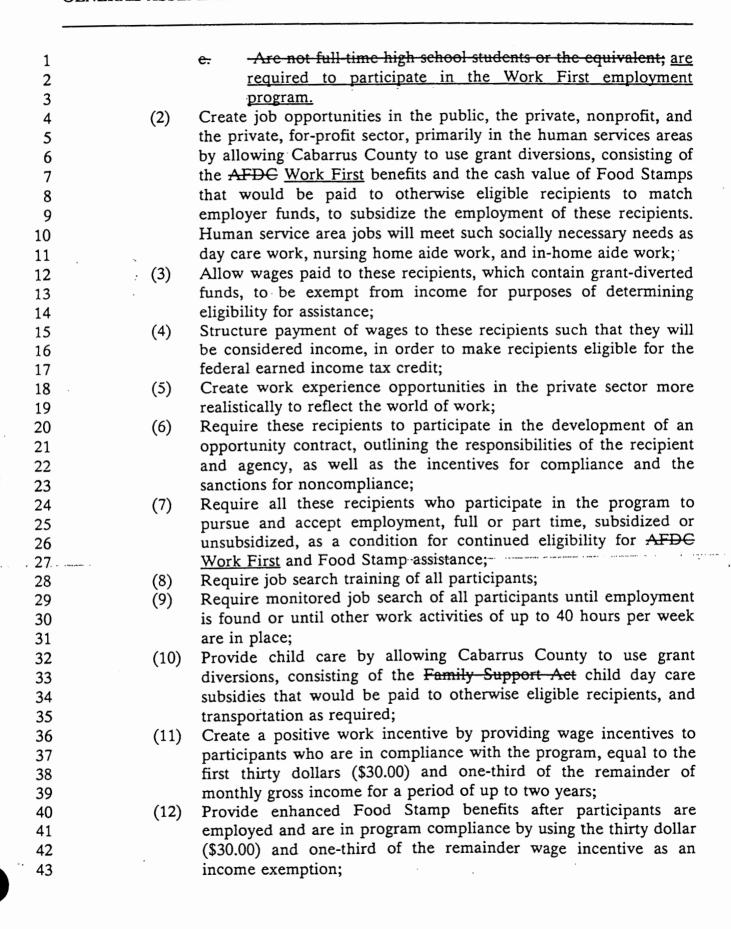
21

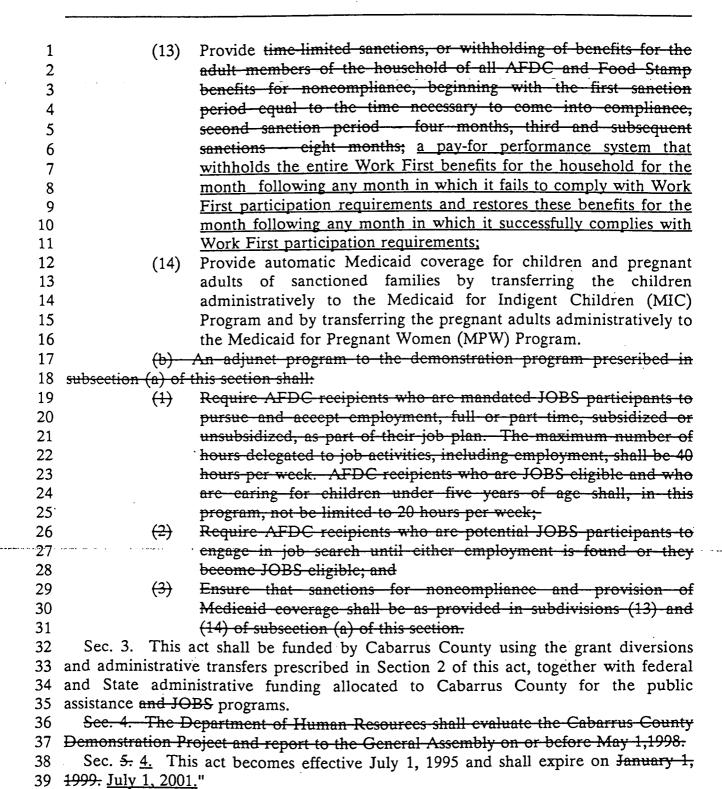
22

1

SENATE BILL 1422

Short Title: Cabarrus Workfare Law Change. (Local) Senator Hartsell. Sponsors: Referred to: Children & Human Resources. May 28, 1998 A BILL TO BE ENTITLED 2 AN ACT TO EXTEND AND IMPROVE THE CABARRUS COUNTY WORK OVER WELFARE PROGRAM. 4 The General Assembly of North Carolina enacts: Section 1. Chapter 368 of the 1995 Session Laws, as rewritten by Section 6 24.16A of Chapter 18 of the 1995 Session Laws, reads as rewritten: "Section 1. Notwithstanding any law to the contrary, the Department of Human 8 Resources Health and Human Services shall designate Cabarrus County as a pilot 9 county for the purpose of conducting a demonstration Workfare Program for certain 10 Aid to Families with Dependent Children (AFDC) Work First and Food Stamp 11 recipients. Immediately upon the ratification of this act, the Department shall seek 12 all federal waivers necessary to allow this demonstration program. To the extent that 13 this act or the program established pursuant to it conflicts with any State law, the 14 program supersedes that law. Sec. 2. (a) The Cabarrus County demonstration Workfare Program for certain 16 AFDC Work First and Food Stamp recipients shall: Provide job opportunities to all able-bodied AFDC Work First and Food Stamp recipients who: Are not eligible for the JOBS program; a. -Are between the ages of 18 and 64; -Are not earing for a child under one year of age; e. Are working less than 30 hours per week; and





Section 2. This act is effective when it becomes law.

40

Agenda

SENATE COMMITTEE ON CHILDREN & HUMAN RESOURCES

July 8, 1998

11:00 AM

Call to Order

SB1394 Senator Lucas
Adoption Registry.

Sergeant At Arms:

Mary Perry

Ronald Spann George Robinson Charles Marsalis

Pages:

Allison Bardinelli/Durham/Senator Lucas

Carl Spann II/Morehead City/Senator Perdue Philip Worsham/Raleigh/Senator Albertson

Next Meeting
Wednesday, July 15, 1998
11:00am Room 544 LOB
SB1305 Childhood Lead Exposure Amendment

Senate Committee on Children & Human Resources Minutes of Wednesday, July 8, 1998

The Senate Committee on Children & Human Resources met at 11:00 a.m. on Wednesday, July, 8, 1998 in room 544 of the State Legislative Office Building. There were 18 members in attendance.

Senator Jeanne H. Lucas, Chair of the committee, called the meeting to order, with introductions of staff, pages and Sergeant at Arms. Senator Lucas turned the meeting over to Senator Martin so that she could introduce her bill.

Senate Bill 1394 entitled Adoption Registry. The bill was presented by its sponsor Senator Lucas. Senator Lucas explained the bill, with staff giving additional comments. Senator Lucas had an amendment for this bill Senator Moore moved that the amendment be accepted. There was a great deal of discussion for this bill. Senator Lucas and Senator Martin allowed several constituents to speak on the bill. Senator Martin made a suggestion that this bill be caried over till the next meeting.

The business being completed the meeting was adjourned. The next meeting is scheduled for Wednesday July 15, 1998.

Bernadette David-Yerumo, Committee Clerk

Senator Jeanne H. Lucas, Chair

Principal Clerk	
Reading Clerk	

SENATE NOTICE OF COMMITTEE MEETING

The Senate Committee on **Children and Human Resources** has been canceled and has been rescheduled to meet at the following time:

DATE: Wednesday July 8, 1998

TIME: 11:00 A.M.

ROOM: 544

The following bill will be considered:

SB 1394 Adoption Registry

Senator Lucas

Senator Jeanne H. Lucas, Chair

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1997

S

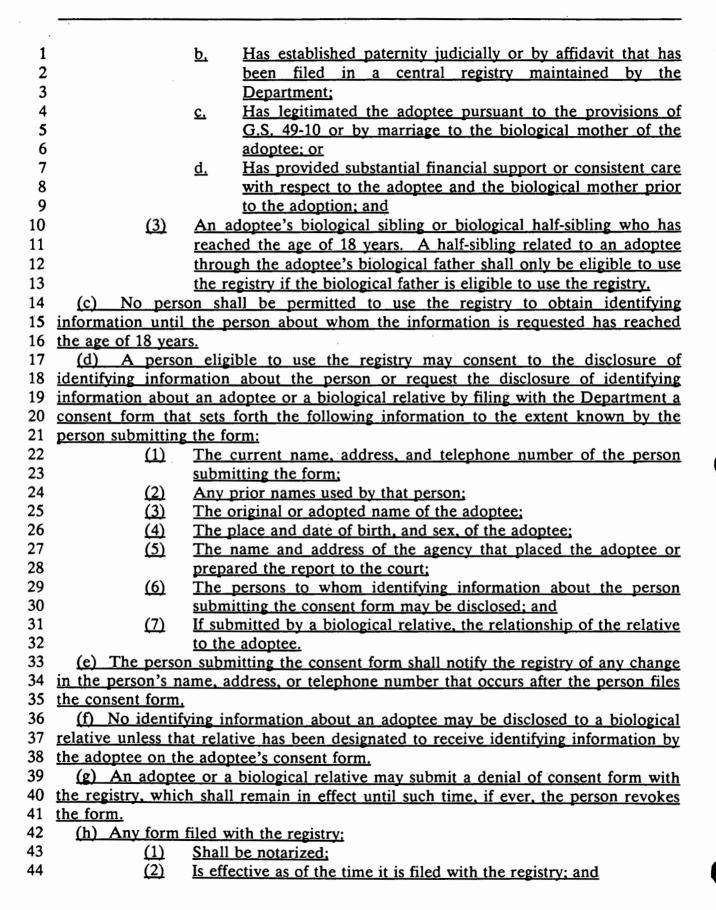
Short Title: Adoption Registry.

SENATE BILL 1394*

Sponsors: Senators Lucas, Moore; Ballance, Ballantine, Forrester, Hartsell, Martin of Guilford, Rucho, Shaw of Cumberland, Shaw of Guilford, Warren, Weinstein, and Winner. Referred to: Children & Human Resources. May 27, 1998 A BILL TO BE ENTITLED 2 AN ACT TO AMEND THE ADOPTION LAWS PERTAINING TO ACCESS TO ADOPTION RECORDS, AND TO ESTABLISH AN ADOPTION REGISTRY. 3 The General Assembly of North Carolina enacts: Section 1. Chapter 48 of the General Statutes is amended by adding the 6 following new Article to read: "ARTICLE 11. 7 8 "Adoption Registry. "§ 48-11-101. Department to maintain mutual consent voluntary adoption registry; 10 when disclosure authorized. 11 (a) The Department shall establish and maintain a statewide, confidential, mutual 12 consent, voluntary adoption registry for receiving, filing, and retaining documents that 13 request, authorize, or deny authorization of the release of identifying information. 14 The purpose of the registry shall be to facilitate voluntary contact between mutually consenting adopted persons and their biological relatives. (b) The use of the registry shall be limited to adoptees who have reached the age 16 of 18 years and their biological relatives. For purposes of this section, 'biological 17 relative' includes only: 18 19 (1) The biological mother of an adoptee; and The biological father of an adoptee if such person: (2)20 Is presumed by law to be the biological father of the 21 <u>a.</u> 22 adoptee;

1

(Public)



1

5

25

28

- May be revoked at any time by the person who submitted it. (3)
- 2 (i) No consent or revocation form shall be accepted by the registry until the person submitting it presents satisfactory proof of the person's identity in accordance with rules adopted by the Social Services Commission.
 - (i) The Department shall recommend to a person submitting any form with the registry that the person obtain counseling, voluntarily, at that person's own cost, from a licensed counselor.
- 8 (k) The Department shall process each consent form filed with the registry in an 9 attempt to match the adoptee with a biological relative. The Department shall 10 determine that there is a match when an adoptee and a biological relative have both 11 filed consent forms with the registry designating the other as a person to whom 12 identifying information may be disclosed.
- (1) If it is determined that there is a match, then the Department shall, within one 13 14 month of the filing of the second of the corresponding consent forms, send a copy of 15 the corresponding consent forms to the agency that placed the adoptee or prepared 16 the report to the court. That agency shall contact the persons who submitted the 17 consent forms. The agency shall then notify the persons submitting the consent forms 18 of the match and the agency shall disclose to them the identifying information 19 contained in the consent forms. No identifying information shall be disclosed pursuant to this section until it is determined there is a match.
- (m) If the adoptee was placed by a licensed child-placing agency that is no longer 21 22 in existence at the time the consent form is filed with the registry, then any notification or disclosure required by this section shall be made by an employee or agent of the Department. 24
- (n) All communications with adoptees and biological relatives required by this 26 section shall be made in a confidential manner by a social worker who has expertise 27 in postadoption services.
- (o) If the agency has information that the person about whom identifying 29 information is requested is deceased, the fact of the person's death shall be disclosed 30 to the requesting person. No identifying information about the deceased person shall 31 be disclosed, except pursuant to G.S. 48-9-104(b), unless the registry has on file an 32 unrevoked consent form filed by the deceased authorizing the disclosure of 33 identifying information to the requesting person.
- (p) Users fees shall be collected to offset the costs of maintaining the registry. 34 35 The user fee shall be fifty dollars (\$50.00) and shall be charged to persons who use 36 the registry. Any fees authorized by this subsection may be waived for any person who provides an affidavit of financial inability to pay the fee. 37
- (a) The Social Services Commission shall adopt rules for use of and access to the 38 registry in accordance with the requirements of this Article. 39
- (r) The registry shall obtain only information necessary for identifying registrants. 40 41 In no event shall the registry obtain or release information of any kind pertaining to 42 the adoptive parents or siblings to the adult adoptee who are children of the adoptive 43 parent.

Senate Bill 1394 Page 3

- (s) Any employee or authorized agent of an agency or the Department who 1 2 releases information or makes authorized contacts in good faith and in compliance 3 with this Article shall be immune from civil and criminal liability for the release of 4 information or authorized contact.
- 5 "§ 48-11-102. Department to publicize the registry.
- The Department shall announce and publicize to the general public the existence 6 7 of the registry and the procedure for the consensual release of identifying 8 information.
- "§ 48-11-103. Department to provide necessary forms and cooperate with registries in 10 other states.

The Department shall develop and furnish any forms necessary to carry out the 12 provisions of this act. The Department shall cooperate with registries in other states 13 to facilitate the matching of documents filed pursuant to this Article by individuals in 14 different states."

Section 2. G.S. 48-9-103(e) reads as rewritten:

"(e) If the court or the agency receives information from an adoptee's former parent or from an adoptee's former relative about a health or genetic condition that 18 may affect the health of the adoptee or the adoptee's child, an appropriate employee 19 shall make a reasonable effort to contact and forward the information to an adoptee 20 who is 18 or more years of age, or an adoptive parent of an adoptee who is under 18 21 years of age. If an adoptee, who is 18 years of age or older, or an adoptive parent of 22 a minor adoptee submits medical documentation to the agency showing a need for 23 accurate, updated information about a health or genetic condition that may affect the 24 health of the adoptee or the adoptee's child, then an appropriate employee of the 25 agency shall make a reasonable effort to contact the adoptee's former parent or 26 former relative to obtain current information, and shall forward the nonidentifying 27 information to the adoptee or the adoptive parent of a minor adoptee. If at any time 28 during contact with the adoptee's former parent the former parent expresses a desire 29 to make contact with the adoptee, then the employee shall provide the former parent 30 and the adoptee or the adoptive parent of a minor adoptee with information about 31 the adoption registry established under G.S. 48-11-101."

Section 3. Article 1 of Chapter 48 of the General Statutes is amended by 33 adding the following new sections to read:

"§ 48-1-110. Agency responsibility upon dissolution of adoption.

35 If after an adoption becomes final under this Chapter, the minor adoptee is placed 36 into foster care or otherwise eligible for adoption, the agency that placed the minor adoptee in the initial adoption or, in a direct placement, the agency that prepared the 38 report to the court shall notify a member of the adoptee's biological family of the 39 placement. If requested by a member of the adoptee's biological family, that agency 40 shall review the biological family's current circumstances for possible readoption 41 under this Chapter.

11

15

16

32

34

42 "§ 48-1-111. Agency may disclose a past occurrence of an adoption dissolution to 43 biological parent.

Page 4 Senate Bill 1394

Upon written request of the biological parent, the agency that placed the minor 1 2 adoptee in the initial adoption or, in a direct placement, the agency that prepared the 3 report to the court may disclose to that biological parent the fact that a finalized adoption was dissolved."

Section 4. G.S. 48-9-104 reads as rewritten:

6 "§ 48-9-104. Release of identifying information.

5

7

- (a) Except as provided in subsection (b) of this section, No no person or entity 8 shall release from any records retained and sealed under this Article the name, 9 address, or other information that reasonably could be expected to lead directly to 10 the identity of an adoptee, any siblings to the adoptee who are children of the 11 adoptive parent, an adoptive parent of an adoptee, an adoptee's parent at birth, or an 12 individual who, but for the adoption, would be the adoptee's biological sibling or 13 grandparent, except upon order of the court for cause pursuant to G.S. 48-9-105.
- 14 (b) The Department may release to an adoptee aged 55 years or older, upon 15 request, identifying information about the adoptee's deceased biological mother or 16 deceased biological father, or both, from the records retained and sealed under this 17 Article. The Department shall not release identifying information about a biological 18 parent under this subsection unless the Department is able to confirm through death 19 records or otherwise, that the biological parent is deceased at the time of the 20 request."
- Section 5. There is appropriated from the General Fund to the 21 22 Department of Health and Human Services the sum of three hundred sixteen 23 thousand four hundred eighty-six dollars (\$316,486) for the 1998-99 fiscal year to 24 establish and maintain the registry.
- Section 6. Section 5 of this act becomes effective July 1, 1998. The 25 26 remainder of this act becomes effective January 1, 1999.

Page 5 Senate Bill 1394

LEGISLATIVE RESEARCH COMMISSION

ADOPTION REGISTRY



REPORT TO THE
1997 GENERAL ASSEMBLY
OF NORTH CAROLINA,
REGULAR SESSION, 1998.

A LIMITED NUMBER OF COPIES OF THIS REPORT IS AVAILABLE FOR DISTRIBUTION THROUGH THE LEGISLATIVE LIBRARY.

ROOMS 2126, 2226 STATE LEGISLATIVE BUILDING RALEIGH, NORTH CAROLINA 27611 TELEPHONE: (919) 733-7778

OR

ROOM 500 LEGISLATIVE OFFICE BUILDING RALEIGH, NORTH CAROLINA 27603-5925 TELEPHONE: (919) 733-9390

TABLE OF CONTENTS

Letter of Transmittal	i
Legislative Research Commission Membership	ii
Preface	1
Committee Proceedings	3
Findings and Recommendations	5
APPENDICES:	
Relevant Portions of the 1997 Studies Bill, Session Law 1997-483 and House Bill 1206 of the 1997 Session	A
Membership of the LRC Committee on An Adoption Registry	В
Background on Disclosure of Information from Adoption Records and Adoption Registry Legislation in North Carolina and Elsewhere: Ms. Linda Attarian, Committee Counsel	C
Adoption Registry Information for North Carolina: Ms. Lynn N. Giddens, Committee Member	D
Remarks and Materials Presented by Panelists Concerning HB 1206, January 28, 1998	Е
Presentation by Ms. Elaine Franzetti, Catholic Social Ministries, January 28, 1998	F
Remarks by Ms. Meredith Mills, Ms. Carolean Craig, and Ms. Gail Stern, January 28, 1998	G
Remarks by Rep. Jane Mosley and Concerning HB 1206, January 28, 1998	Н
Material Comparing HB 1206 With Previous Bills, And Material Concerning Georgia's Adoption Registry: Ms. Linda Attarian, Committee Counsel	I
Data Concerning Requests to DSS Concerning Adoptions	J
Results of Opinion Survey of Adoptees, Biological Parents, and Adoptive Parents: Children's Home Society	K
Legislative Proposal— A Bill to Be Entitled An Act to Amend the Adoption Laws Pertaining to Access to Adoption Records, And to Establish an Adoption Registry, With Legal and Fiscal Analyses of the Bill	L

STATE OF NORTH CAROLINA LEGISLATIVE RESEARCH COMMISSION

STATE LEGISLATIVE BUILDING RALEIGH 27601-1096



May 11, 1998

TO THE MEMBERS OF THE 1997 GENERAL ASSEMBLY (REGULAR SESSION 1998):

The Legislative Research Commission herewith submits to you for your consideration its interim report on an Adoption Registry The report was prepared by the Legislative Research Commission's Committee on an Adoption Registry pursuant to G.S. 120-30.17(1).

Respectfully submitted,

Harold J. Brubaker Speaker of the House

Cochairs

Legislative Research Commission

i

Marc Basnight

President Pro Tempore

LEGISLATIVE RESEARCH COMMISSION 1997-1999 Membership

President Pro Tempore

Speaker of the House

Sen. Marc Basnight

Rep. Harold J. Brubaker

Pro Tem's Appointments

Speaker's Appointments

Sen. Austin M. Allran

Rep. Michael P. Decker, Sr.

Sen. Jeanne H. Lucas

Rep. Jerry Dockham

Sen. Frank W. Ballance, Jr.

Rep. Beverly M. Earle

Sen. R.L. "Bob" Martin

Rep. W. Eugene McCombs

Sen. Ed N. Warren

Rep. Gregory J. Thompson

Staff:

Clerk:

Terry D. Sullivan

DeAnne Mangum

PREFACE

The Legislative Research Commission, established by Article 6B of Chapter 120 of the General Statutes, is the general purpose study group in the Legislative Branch of State Government. The Commission is cochaired by the Speaker of the House and the President Pro Tempore of the Senate and has five additional members appointed from each house of the General Assembly. Among the Commission's duties is that of making or causing to be made, upon the direction of the General Assembly, "such studies of and investigations into governmental agencies and institutions and matters of public policy as will aid the General Assembly in performing its duties in the most efficient and effective manner" (G.S. 120-30.17(1)).

The Legislative Research Commission, prompted by actions during the 1997 Session, has undertaken studies of numerous subjects. These studies were grouped into broad categories and each member of the Commission was given responsibility for one category of study. The Cochairs of the Legislative Research Commission, under the authority of G.S. 120-30.10(b) and (c), appointed committees consisting of members of the General Assembly and the public to conduct the studies. Cochairs, one from each house of the General Assembly, were designated for each committee.

The study of an Adoption Registry was authorized by Part II of Session Law 1997-483. Part II of Session Law 1997-483 allows for studies authorized by that Part for the Legislative Research Commission to consider House Bill 1206 in determining the nature, scope and aspects of the study. The relevant portions of Session Law 1997-483 and House Bill 1206 are included in Appendix A. The Legislative Research Commission authorized this study under authority of G.S. 120-30.17(1) and grouped this study in its Regulation Grouping under the direction of Representative Beverly Earle. The Committee was chaired by Representative Marvin W. Aldridge and Ms. Pat Wheeler. The full membership of the Committee is listed in Appendix B of this report. A committee notebook containing the committee minutes and all information presented to the committee is filed in the Legislative Library.



NORTH CAROLINA GENERAL ASSEMBLY AMENDMENT Senate Bill 1422

S1422-ASE-004	AMENDMENT NO(to be filled in by Principal Clerk) Page 1 of
Comm. Sub. [] Amends Title []	Date <u>June</u> 24,1998
Senator 1 moves to amend the bill on page 2 2 by deleting the word "are". 3 SIGNED Cochanne Amendment Sponsor SIGNED Leanne Committee Chair if Senate-Committee ADOPTED FAILED	



NORTH CAROLINA GENERAL ASSEMBLY AMENDMENT Senate Bill 1394

	AMENDMENT NO(to be filled in by Principal Clerk) S1394-ARM-001 Page 1 of
٥	Date 7/8 ,1998 Comm. Sub. [] Amends Title []
2 3 4 5 6 7 8 9 10 11 12 13 14 15	"§ 48-11-104 Violation and Penalty.

Pat Wheeler - Fenate Co-Chair

Memorandum

To: Senator Jeanne Lucas From: Senator Ken Moore

Date: June 29, 1998

Subject: Adoption Registry Bill

I assume we are still "on go" to present the Adoption Registry Bill to Children & Human Resources Committee this Wednesday at 11:00 a.m.

If so, I recommend we recognize Charles Recktenwald, private citizen from Iredell County, for a few brief comments on the bill.

Charles was a Senate-appointed participant on the Study Commission earlier this year. Although he would favor a more aggressive, "active" registry, he will speak in support of our current bill – as is!

He has also talked in great length to Senator Phillips.

I would appreciate your consideration of this request. If possible, let me know the feasibility of him speaking before Wednesday.

Thank you.

Brenda Kinney Prof. at Duke Oppuse An Reseter M.C. Family Policy Council.

Edith Valla 1-800. 632-1400

The Children's Home Society of NC Survey – Access to Adoption Information

Approximately 6,000 surveys were mailed to 900 adoptees, 100 birth parents, and 5,000 adoptive parents.

2,263 surveys were returned. Of those who responded, 1,782 were adoptive parents, 66 were birth parents, and 392 were adoptees.

. . . Results . . .

1. Do you feel that members of the adoption triad should ever be allowed access to identifying information from adoption records?

Yes	1,628	72%
No	490	22%
No answer	145	6%

2. Should adoptees be allowed access to identifying information?

•	Age 18 upon request	279	12%
•	Age 18 with birth parent consent only	233	10%
•	Age 21 upon request	485	21%
•	Age 21 with birth parent consent only	805	36%
•	Only in medical emergency with court order	322	14%
•	Never	113	5%
•	No answer	26	1%

3. Should birth parents be allowed access to identifying information?

•	When adoptee reaches age 18 upon request	154	7%
•	When adoptee reaches age 18 only with adoptee consent	272	12%
•	When adoptee reaches age 21 upon request	206	9%
•	When adoptee reaches age 21 only with adoptee consent	983	43%
•	Never	617	27%
•	No answer	31	1%

4. Should adoptive parents be allowed access to identifying information?

•	When and if they feel a search is in the best interest of their child before the child is of legal age (age 18) upon request When and if they feel a search is in the best interest of their child	835	37%
	only with birth parent approval	1,010	45%
•	Never	359	16%
•	No answer	59	2%

5. Do you feel that an agency should be used as an intermediary if access to identifying information were allowed?

Yes	1,994	88%		
No	195	9%		
No answer	74	3%		
Should this b	e	mandatory?	1,171	52%
		by choice?	752	33%
		No answer	340	15%

6. Should counseling be required if access to identifying information were allowed?

Yes	1,548	68%
No	567	25%
No answer	149	79/

July 8, 1998 Wednesday, 11:00 AM

Children & Human Resources Committee Committee Meeting on Senate Bill 1394 A State Passive Adoption Registry

Madam Chair,

I am Charles Recktenwald. I thank the Chair and the other committee members for allowing me to speak this morning.

I am a lay member of the LRC/Adoption Registry Committee. I am grateful to the Senate leadership and to Senator Jim Phillips, for giving me an opportunity to serve on this important committee. As you are aware, the committee gave a unanimous vote for a report that endorses legislation, calling for the creation of a state, passive adoption registry. I am hopeful that this senate committee and the General Assembly at large, will support Senate Bill 1394.

I would like to share with you why my wife and I support the passive adoption registry legislation, that is under consideration.

Madam Chair, Jane and I have three adult children, two of whom were born unto us through the process of adoption. It is in their interest that I am a participant in the movement that supports a state, passive adoption registry.

Some persons who are present today, have been involved in the campaign for an adoption registry for some 18 years. When I consider this fact, I sometimes hear the now famous question ringing in my ear:

"How long is too long?" After having served on the Adoption Registry Committee, and thus, being privy to an enormous amount of information, that was supplied to the committee by advocates, committee members and staff; and having communicated with adult adoptees, adoptive parents and birth relatives, there is no doubt in my mind that it is time to pass legislation that calls for the creation of a state, passive adoption registry system.

I offer the following observations concerning Senate Bill 1394:

-A passive registry is a million miles from being an open records system that neither my wife, our children, nor I, support.

-Under the passive system, both the adult adoptee and the birth relative must submit a registration form, for a match to take place. When, and only when, the state receives a registration form from both an adult adoptee and a birth relative, does the state contact the parties to let them know that both persons desire to meet. I emphasize that--IF EITHER THE ADULT ADOPTEE OR THE BIRTH RELATIVE, STATES THEY DO NOT WISH TO PARTICIPATE IN SUCH A MEETING, THE PROCESS ENDS, WITH THE STATE NOT HAVING SHARED ANY IDENTIFYING INFORMATION ABOUT EITHER PARTY.

-If passed, for the first time, adoption agencies will be allowed to seek out birth parents, to collect important family health information that can be shared with the adoptive parents and with an adult adoptee. Both of our children currently have serious health problems, and it would be

Page Three

helpful if they could present to the adoption agency, a request for the family history of their birth relatives. Under present law, the agency can only share information that is on record.

-When we adopted our children, Jane and I followed the sound advice offered by the Children's Home Society. We told our children they are adopted. We did so at an age when we felt they could comprehend the meaning of adoption. We have always been open to their questions concerning their being adopted. But because the adoption agency is restricted by law, as to what information it can share with adoptive parents, we were put in the position of not being able to provide them with much information about their birth relatives. Seldom have they inquired about the events surrounding their adoption, simply because they knew that we had very little information to offer. And I suspect they also did not want us to feel that they were not grateful for the love and support that we have given them.

Beginning with their teen years, we have told our children we will support their effort to locate their birth relatives, if they so choose. Both of our children wish to do so, but they don't want to run the risk of surprising and or embarrassing a birth relative, that could come as the result of a private investigator, or some other source, making contact with a birth relative. They favor attempting to locate their birth parents through a state system that would protect the birth parents from unsolicited contacts from them.

Page Four

I think that it is interesting that the current state system, encourages adoptees to use means in their search for birth relatives, that contributes to a situation which the state is attempting to prevent--that is, unsolicited contact by birth parents and adult adoptees.

You may be wondering why as an adoptive parent, I would support our children's effort to reunite with their birth parents. My wife and I are not alone in taking such a position. We are among the 78% of adoptive parents, who responded to a recent survey conducted by the Children's Home Society, which is the largest adoption agency in the state. We were among the majority who expressed the belief that an adult adoptee, our children, should have the opportunity to reunite with birth relatives if the two parties agree to such a meeting. In that the state regulates the adoption process, and acts as the legal guardian for children who are waiting to be placed for adoption, it seems that the state should have in place a system that enables an adult adoptee, and a birth relative, to reunite, when, as two consenting adults, they elect to do so.

We support our children's desire to reunite with their birth parents because we love them and because we aware that they wish to obtain information about their birth relative's family tree or family history. They want to know the events that lead to their being adopted. They want to ask questions that only their birth relatives can answer.

Page Five

As our daughter recently commented to me..."Dad, you will always be my dad, and mom will always be my mom. You are the only parents I have ever had, and you know that I love you both dearly. But you also know that you can't answer the many questions that I have concerning my birth relatives.

In closing, I respectfully ask this committee to support the passive registry legislation, and to encourage your colleagues to do like wise. And while you may be confronted by some members of an outspoken minority, who may oppose the legislation, I urge you to support fairness and justice.

Thank you.

VISITOR REGISTRATION SHEET

Name of Committee Children & Human Resources

Date 7/8/98

VISITORS: PLEASE SIGN IN BELOW AND RETURN TO COMMITTEE CLERK

NAME	FIRM OR AGENCY AND ADDRESS
Myens In. 16	NASW-WC.
Kay Michaels	PPOID
Margaret Baker	SEJ
Sharon Muhammad	SEJ.
PATRICIA FAISA	5, E. J.
Esther High	NC DSS
Edith Vatta	The Children's Home Society of The
MARIE HILL- FAISON	Southernors FOR Economic Justice
Kamaratais	
Daman Faison	S.E.J.
Parker Pent	CHAPALHILL, NC 27514

VISITOR REGISTRATION SHEET

Name of Committee Children & Human Resources

Date 7/8/98

VISITORS: PLEASE SIGN IN BELOW AND RETURN TO COMMITTEE CLERK

NAME	FIRM OR AGENCY AND ADDRESS	
Kathy Bound	National assoc of Social Worke North Carolina Chapter	
Branda Kurrey	align - Dulam NC	
Jan Beid	" Chapal Flie, h.C)	
John Rustin	NCFPC	
Stacey Claughts	Legislative Intern	
Laura Cangnes	appell - NO	
	·	

Agenda

SENATE COMMITTEE ON CHILDREN & HUMAN RESOURCES

July 15, 1998

11:00 AM

Call to Order

SB1394 Senator Lucas
Adoption Registry.

Sergeant At Arms:

George Robinson

Ronald Spann Stanley Johnson Katherine Miller

Pages:

Amy Gordon/Monroe/Senator Plyler

Katie Miles/Monroe/Senator Plyler Brian Wood/Clyde/Senator Jenkins

Next Meeting
Wednesday, July 22, 1998
11:00am Room 544 LOB
SB1305 Childhood Lead Exposure Amendment

Senate Committee on Children & Human Resources Minutes of Wednesday, July 15, 1998

The Senate Committee on Children & Human Resources met at 11:00 a.m. on Wednesday, July, 15, 1998 in room 544 of the State Legislative Office Building. There were 17 members in attendance.

Senator Jeanne H. Lucas, Chair of the committee, called the meeting to order, with introductions of staff, pages and Sergeant at Arms. Senator Lucas turned the meeting over to Senator Martin so that she could introduce her bill.

Senate Bill 1394 entitled Adoption Registry. The bill was presented by its sponsor Senator Lucas. Senator Martin allowed the constituents who did not get to speak at the last meeting to speak at this time. Senator Martin asked all members with amendments to present them at this time. All amendments were voted on and accepted except one. Senator Moore presented an amendment with a technical error from the previous week, this amendment was voted on and passed with the correction. Again their was a again a great deal of discussion on this bill.

Time having run out, the meeting was adjourned. The next meeting is scheduled for Wednesday July 22, 1998

Bernadette David-Yerumo, Committee Clerk

Senator Jeanne H. Lucas, Chair

Principal Clerk	
Reading Clerk	

SENATE NOTICE OF COMMITTEE MEETING and Bill Sponsor Notice

The Senate Committee on Children and Human Resources will meet at the following time:

DATE: Wednesday July 15, 1998

TIME: 11:00 A.M.

ROOM: 544

The following bill will be considered:

SB 1394 Adoption Registry

Senator Jeanne H. Lucas, Chair

Senator Lucas

GENERAL ASSEMBLY OF NORTH CAROLINA

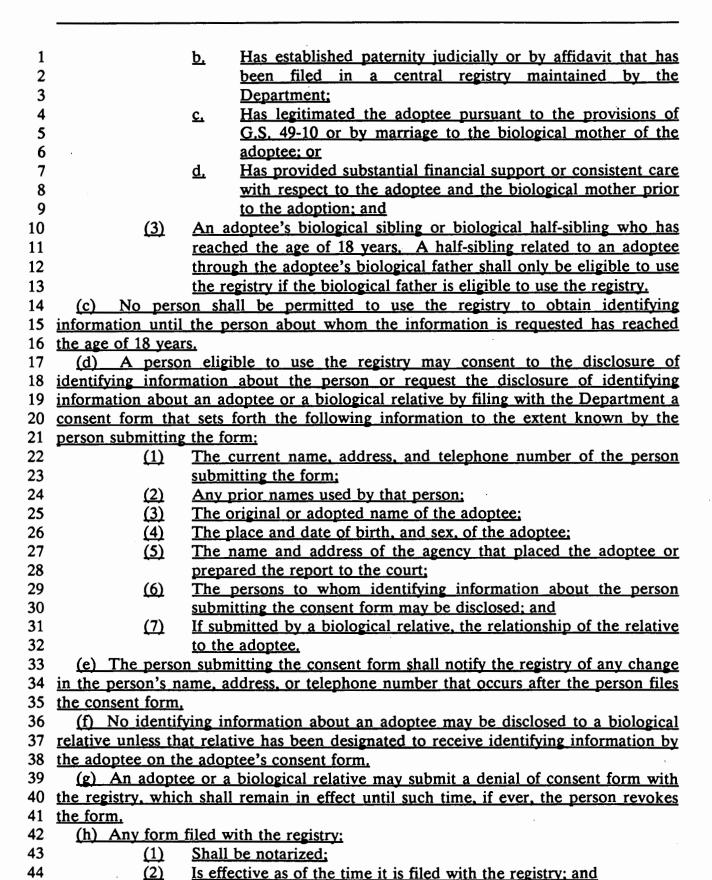
SESSION 1997

S

1

SENATE BILL 1394*

	Short Title: Adoption Registry. (Public)	
	Sponsors: Senators Lucas, Moore; Ballance, Ballantine, Forrester, Hartsell, Martin of Guilford, Rucho, Shaw of Cumberland, Shaw of Guilford, Warren, Weinstein, and Winner.	
	Referred to: Children & Human Resources.	
	May 27, 1998	
1	A BILL TO BE ENTITLED	
2	AN ACT TO AMEND THE ADOPTION LAWS PERTAINING TO ACCESS TO	
3	ADOPTION RECORDS, AND TO ESTABLISH AN ADOPTION REGISTRY.	
4	The General Assembly of North Carolina enacts:	
5	Section 1. Chapter 48 of the General Statutes is amended by adding the	
6	following new Article to read:	
7	"ARTICLE 11.	
8	"Adoption Registry.	
9	"§ 48-11-101. Department to maintain mutual consent voluntary adoption registry;	
10	when disclosure authorized.	
11	(a) The Department shall establish and maintain a statewide, confidential, mutual	
12	consent, voluntary adoption registry for receiving, filing, and retaining documents that	
13	request, authorize, or deny authorization of the release of identifying information.	
14	The purpose of the registry shall be to facilitate voluntary contact between mutually	
15		
16		
17	of 18 years and their biological relatives. For purposes of this section, 'biological	
18	relative' includes only:	
19	(1) The biological mother of an adoptee; and	
20	(2) The biological father of an adoptee if such person:	
21	a. Is presumed by law to be the biological father of the	
22	adoptee;	



13

21

25

28

34

37

- May be revoked at any time by the person who submitted it. 1 (3)
- 2 (i) No consent or revocation form shall be accepted by the registry until the person submitting it presents satisfactory proof of the person's identity in accordance with rules adopted by the Social Services Commission.
 - (i) The Department shall recommend to a person submitting any form with the registry that the person obtain counseling, voluntarily, at that person's own cost, from a licensed counselor.
- 8 (k) The Department shall process each consent form filed with the registry in an 9 attempt to match the adoptee with a biological relative. The Department shall 10 determine that there is a match when an adoptee and a biological relative have both 11 filed consent forms with the registry designating the other as a person to whom 12 identifying information may be disclosed.
- (1) If it is determined that there is a match, then the Department shall, within one 14 month of the filing of the second of the corresponding consent forms, send a copy of 15 the corresponding consent forms to the agency that placed the adoptee or prepared 16 the report to the court. That agency shall contact the persons who submitted the 17 consent forms. The agency shall then notify the persons submitting the consent forms 18 of the match and the agency shall disclose to them the identifying information contained in the consent forms. No identifying information shall be disclosed 20 pursuant to this section until it is determined there is a match.
- (m) If the adoptee was placed by a licensed child-placing agency that is no longer 22 in existence at the time the consent form is filed with the registry, then any 23 notification or disclosure required by this section shall be made by an employee or agent of the Department.
 - (n) All communications with adoptees and biological relatives required by this section shall be made in a confidential manner by a social worker who has expertise in postadoption services.
- (o) If the agency has information that the person about whom identifying 29 information is requested is deceased, the fact of the person's death shall be disclosed to the requesting person. No identifying information about the deceased person shall 31 be disclosed, except pursuant to G.S. 48-9-104(b), unless the registry has on file an 32 unrevoked consent form filed by the deceased authorizing the disclosure of identifying information to the requesting person.
- (p) Users fees shall be collected to offset the costs of maintaining the registry. The user fee shall be fifty dollars (\$50.00) and shall be charged to persons who use 35 36 the registry. Any fees authorized by this subsection may be waived for any person who provides an affidavit of financial inability to pay the fee.
- (q) The Social Services Commission shall adopt rules for use of and access to the 38 39 registry in accordance with the requirements of this Article,
- 40 (r) The registry shall obtain only information necessary for identifying registrants. 41 In no event shall the registry obtain or release information of any kind pertaining to 42 the adoptive parents or siblings to the adult adoptee who are children of the adoptive 43 parent.

Page 3 Senate Bill 1394

- (s) Any employee or authorized agent of an agency or the Department who 1 2 releases information or makes authorized contacts in good faith and in compliance 3 with this Article shall be immune from civil and criminal liability for the release of 4 information or authorized contact.
- 5 "§ 48-11-102. Department to publicize the registry.
- The Department shall announce and publicize to the general public the existence 7 of the registry and the procedure for the consensual release of identifying 8 information.
- 9 "§ 48-11-103. Department to provide necessary forms and cooperate with registries in 10 other states.

The Department shall develop and furnish any forms necessary to carry out the 12 provisions of this act. The Department shall cooperate with registries in other states to facilitate the matching of documents filed pursuant to this Article by individuals in 14 different states."

Section 2. G.S. 48-9-103(e) reads as rewritten:

"(e) If the court or the agency receives information from an adoptee's former 16 17 parent or from an adoptee's former relative about a health or genetic condition that 18 may affect the health of the adoptee or the adoptee's child, an appropriate employee 19 shall make a reasonable effort to contact and forward the information to an adoptee 20 who is 18 or more years of age, or an adoptive parent of an adoptee who is under 18 21 years of age. If an adoptee, who is 18 years of age or older, or an adoptive parent of 22 a minor adoptee submits medical documentation to the agency showing a need for 23 accurate, updated information about a health or genetic condition that may affect the 24 health of the adoptee or the adoptee's child, then an appropriate employee of the 25 agency shall make a reasonable effort to contact the adoptee's former parent or 26 former relative to obtain current information, and shall forward the nonidentifying 27 information to the adoptee or the adoptive parent of a minor adoptee. If at any time 28 during contact with the adoptee's former parent the former parent expresses a desire 29 to make contact with the adoptee, then the employee shall provide the former parent and the adoptee or the adoptive parent of a minor adoptee with information about 31 the adoption registry established under G.S. 48-11-101."

Section 3. Article 1 of Chapter 48 of the General Statutes is amended by 33 adding the following new sections to read:

34 "§ 48-1-110. Agency responsibility upon dissolution of adoption.

If after an adoption becomes final under this Chapter, the minor adoptee is placed 36 into foster care or otherwise eligible for adoption, the agency that placed the minor adoptee in the initial adoption or, in a direct placement, the agency that prepared the 38 report to the court shall notify a member of the adoptee's biological family of the 39 placement. If requested by a member of the adoptee's biological family, that agency 40 shall review the biological family's current circumstances for possible readoption 41 under this Chapter.

42 "§ 48-1-111, Agency may disclose a past occurrence of an adoption dissolution to

43 biological parent.

11

15

32

35

Upon written request of the biological parent, the agency that placed the minor 2 adoptee in the initial adoption or, in a direct placement, the agency that prepared the 3 report to the court may disclose to that biological parent the fact that a finalized adoption was dissolved."

Section 4. G.S. 48-9-104 reads as rewritten:

"§ 48-9-104. Release of identifying information.

1

5

7

14

21

- (a) Except as provided in subsection (b) of this section, No no person or entity 8 shall release from any records retained and sealed under this Article the name. 9 address, or other information that reasonably could be expected to lead directly to 10 the identity of an adoptee, any siblings to the adoptee who are children of the 11 adoptive parent, an adoptive parent of an adoptee, an adoptee's parent at birth, or an 12 individual who, but for the adoption, would be the adoptee's biological sibling or 13 grandparent, except upon order of the court for cause pursuant to G.S. 48-9-105.
- (b) The Department may release to an adoptee aged 55 years or older, upon 15 request, identifying information about the adoptee's deceased biological mother or 16 deceased biological father, or both, from the records retained and sealed under this 17 Article. The Department shall not release identifying information about a biological 18 parent under this subsection unless the Department is able to confirm through death 19 records or otherwise, that the biological parent is deceased at the time of the 20 request."
- Section 5. There is appropriated from the General Fund to the 22 Department of Health and Human Services the sum of three hundred sixteen 23 thousand four hundred eighty-six dollars (\$316,486) for the 1998-99 fiscal year to 24 establish and maintain the registry.
- 25 Section 6. Section 5 of this act becomes effective July 1, 1998. The 26 remainder of this act becomes effective January 1, 1999.

Senate Bill 1394 Page 5



AMENDMENT NO(to be filled in by Principal Clerk) S1394-ARM-002 Page 1 of
Date 7/15/98 ,1998 Comm. Sub. [] Amends Title []
Senator Moore moves to amend the bill on page 4, between line, 15, by inserting the following:
"§ 48-11-104 Violation and Penalty. (a) It is unlawful for a person having custody of or access to documents filed pursuant to this Article to disseminate or permit dissemination of information contained in them except as authorized under this Article. (b) Any person who wilfully violates the provisions of G.S. 48-11-104(a) is guilty of a Class I felony."; and further
moves to amend the bill on page 5, line 26 by adding the following to the end of that line:
"G.S. 48-11-104 becomes effective on January 1, 1999 and applies to offenses committed on or after that date.". SIGNED Amendment Sponsor SIGNED Committee Chair if Senate Committee Amendment
ADOPTED FAILED TABLED



North Carolina General Assembly Legislative Services Office

George R. Hall, Legislative Services Officer (919) 733-7044

V. Robinson, Director trative Division Rober 5, Legislative Building 16 W. Jones Street Raleigh, NC 27603-5925 (919) 733-7500

Gerry F. Cohen, Director Bill Drafting Division Suite 401, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-6660 Thomas L. Covington, Director Fiscal Research Division Suite 619, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-4910 Tony C. Goldman, Director Information Systems Division Suite 400, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-6834 Terrence D. Sullivan, Director Research Division Suite 545, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-2578

TO:

Senator Jeanne Lucas

FROM:

Linda Attarian, Committee Counsel

DATE:

July 15, 1998

RE:

SB 1394, Adoption Registry - Constitutional Issues

Senate Bill 1394 proposes to establish a state-wide, mutual consent adoption registry to assist adult adoptees and their biological relatives who are trying to find one another. Currently, confidential information contained in sealed adoption records are obtainable only under the authority of a court order. The proposed legislation will allow for the disclosure of previously confidential adoption information by child placement agencies, but only with the mutual consent of all parties.

The constitutionality of this type of legislation has been challenged based on the following premises:

- 1. Disclosure of previously confidential adoption information violates the familial privacy and autonomy rights of adoptive and biological parents (i.e., the birth parent's right to have another family free of the intrusion of the adoptee or the right of adoptive parents to raise their adoptive children free from the intrusion of the birth parent).
- 2. Retroactive application of the legislation violates the prohibition against "ex post facto" laws, which are laws punishing acts committed before the existence of such laws, or laws that retrospectively tax sales or purchases.
- 3. Disclosure of previously confidential adoption information violates the sanctity of contracts already entered into and violates the constitutional rights of parties to contract.

Privacy Issues:

Despite the fact that almost every state has enacted some form of an adoption registry, there is very little case law dealing with the above issues. However, a recent Sixth Circuit Court of Appeals case arising out of Tennessee, held that such laws do not violate federal constitutional right to familial privacy, if such a right exists, and further held that a "right to avoid disclosure of confidential information" does not exist under the US Constitution. [Doe v. Sundquist, 943 F.Supp. 886; 106 F. 3d. 702 (6th Cir. 1997)]. The Sundquist case challenged a Tennessee statute allowing adult adoptees access to their adoption records.

Plaintiffs challenging the proposed North Carolina legislation would likely lose on the federal privacy grounds, and would prevail in State Court only if they can show that the North Carolina Constitution provides broader privacy protections than the United States Constitution.

Ex Post Facto Laws and Impairment of Contract:

In general, ex post facto laws are laws retroactively imposing criminal punishments on actions that when done before enactment of the law were innocent, or laws making a crime greater than when it was committed. Such laws violate the United States and North Carolina Constitutions. Federal law bars retroactive legislation when it violates due process by interfering with constitutional or fundamental rights. The Court held that Tennessee was within its authority to apply the law retrospectively because no fundamental rights were being violated by the disclosure of previously confidential adoption information. Further, the Court said that the Tennessee legislature was within its authority to enact the retroactive legislation to attempt to resolve a conflict of competing interests.

North Carolina courts have long held that laws applied retroactively are unconstitutional if they interfere with rights which had vested or liabilities that have accrued prior to the time the law took affect. (For example, see *Stanback v. Citizens' Nat. Bank of Raleigh*, 148 SE 313, 197 NC 292). Under the proposed legislation, release of the previously confidential information is restricted only to mutually consenting adult adoptees and their biological relatives. Some adoptive parents may challenge the legislation on the basis that it, if enacted, breaches a contractual obligation of the State to keep adoption records sealed, in that they relied upon the adoption agencies' promises of confidentiality based on North Carolina adoption laws. If the adoptive parent were to prevail on such a theory, the Court would need to make the following findings of law:

- The State of North Carolina has a contractual obligation to keep the adoption records sealed;
- This promise gives rise to a vested right of the adoptive parent; and
- The adoption registry impermissibly interferes with that right.

rame of Committee

Date

VISITORS: Please sign below and return to Committee Clerk.

NAME

FIRM OR STATE AGENCY AND ADDRESS

<u> </u>		
LOAKLES L. RECETENNO	TO NIFET POSON	3255 Drings 27715
SANDY M. COOK	CHILDREN'S HORIE SOCIETY	7.0 Box 14608 GSB40
Edith Votta	Children's Home Soci	ity "1"
GENE A. RATCHFORD	INDIVIDUAL - NO	CONNECTION TO AL ASONE
Roderick O'Connor	Catholic Social Ministries	400 Oberlin Rd, South 850 Robinish, NC 27605
Gwen Horton	7 14 42	6 /
AL DE 1+(1)	YAIO /DOA	
Park Punt	chuse Hilp	NC
John Hunt	NCFPC	
Hacey Slaugitts	Legislactive int	Zrn
Slargona	. 555	•
Esixon Hills	055	
OveraBon	Box Alfred	C
I Vranchini	PCMH	
1		-
Telamon and the second		
	,	
		•

Agenda

SENATE COMMITTEE ON CHILDREN & HUMAN RESOURCES

July 22, 1998

11:00 AM

Call to Order

SB1394 Senator Lucas Adoption Registry.

Sergeant At Arms:

Ronald Spann

Mary Perry

George Robinson

Pages:

Crystal Sanders/Clayton/Senator Wellons

Elesha Speight/Raleigh/Senator Reeves

Claire Herminjard/Rocky Mount/Senator Cooper

Next Meeting
Wednesday, July 29, 1998
11:00am Room 544 LOB
SB1305 Childhood Lead Exposure Amendment

Senate Committee on Children & Human Resources Minutes of Wednesday, July 22, 1998

The Senate Committee on Children & Human Resources met at 11:00 a.m. on Wednesday, July, 22, 1998 in room 544 of the State Legislative Office Building. There were 18 members in attendance.

Senator Jeanne H. Lucas, Chair of the committee, called the meeting to order, with introductions of staff, pages and Sergeant at Arms. Senator Lucas turned the meeting over to Senator Martin so that she could introduce her bill.

Senate Bill 1394 entitled Adoption Registry. The bill was presented by its sponsor Senator Lucas. Senator Phillips had an amendment to present, however decided to withdraw it at this time to be discussed at a later date. There was some discussion on the bill, afterwhich senator Dannelly moved for a favorable report. The bill was unfavorable as to the bill but favorable as to the committee substitute bill.

The business being completed, the meeting was adjourned. The next meeting is scheduled for Wednesday July 29, 1998

Bernadette David-Yerumo, Committee Clerk

Senator Jeanne H. Lucas, Chair

NORTH CAROLINA GENERAL ASSEMBLY **SENATE**

CHILDREN & HUMAN RESOURCES COMMITTEE REPORT

Senator Jeanne H. Lucas, Chairman

Thursday, July 23, 1998

SENATOR JEANNE H. LUCAS,

submits the following with recommendations as to passage:

UNFAVORABLE AS TO BILL, BUT FAVORABLE AS TO C.S. BILL

S.B. 1394 Adoption Registry

Draft Number:

S1394-PCS6861

Sequential Referral:

None

Recommended Referral: Appropriations

Long Title Amended:

No

TOTAL REPORTED: 1

Committee Clerk Comment:

Principal Clerk	
Reading Clerk	

SENATE NOTICE OF COMMITTEE MEETING and Bill Sponsor Notice

The Senate Committee on **Children and Human Resources** will meet at the following time:

DATE: Wednesday July 22, 1998

TIME: 11:00 A.M.

ROOM: 544

The following bill will be considered:

SB 1394 Adoption Registry Senator Lucas

Senator Jeanne H. Lucas, Chair

VISITOR REGISTRATION SHEET

Children	f Human	Res.		7.22.98	_
me of Commit	/			Date	_
::::::::::::::::::::::::::::::::::::::		, , , , , , , , , , , , , , , , , , ,		- w le	
VISITORS: PIE	ase sign below	and return t	o Committee Cie		
NAME	(FIRM OR	STATE AGENCY A	AND ADDRESS	
Landy M.	Cook	Childr	en Hose Socials	P.OBOX 14608 6360	u
Edica & V			1.1	l1	
Charles Rac	extrumela	NIFET	P.s. Bx 32.55	DUNASSIN 27715	_
Wanda L. Abu		30,49 Glp	nwood D. Crow	CEEKE DOM	
Esthery T.		NC Divisi	in o Social A	servees	
Frances Pol			1	scial Gernices	ر
Mw endale	m Harton	1	228/24		
BILL BROOK	/ /	NCFF	°C		
John Kust	5	NCFR			
Hacey Slaug	the	Legis	lative Intern	[v]	
of water		· Office	of the G	pover not	
Jan P-	<u> </u>				_
Veliste C	Whithow	Senat	to Cooper		
Mrs. Patricis	4. Wher On	SEAVA	+F CO-CHA	de-LRC	
	<i>O</i>				
				•	
	· · .				``.
		•		<u> </u>	
					١.



		WILLIAM IN	
		(to be fill	ed in by
		Principal	
	S1394-ARM-003		ge 1 of
	51374 Indi 003	14	gc 1 01
		Date	,1998
		Date /VV &A	,1998
	Comm. Sub. []		
	Amends Title []		
٥			
	Senator Moore		
1	moves to amend the bill on	page 4, lines 1 through	4 by deleting
2	those lines; and further mo	oves to amend the bill on	
3	•		
4	page 4, line 15, through page	age 5, line 20, by deleti	ng those lines
	and renumbering the remaining		,
6	/	, 5000 400014	
7			
•	αm		
	SIGNED Per More		
	Amendment Sponsor		
	Allendment sponsor		
	CTOWED /		
	SIGNED State of Sanata 6		
	Committee Chair if Senate C	committee Amenament	
		1	
	ADOPTED	FAILED	TABLED



	S1394-ARM-005	AMENDMENT NO(to be filled in by Principal Clerk) Page 1 of
0	Comm. Sub. [] Amends Title []	July 22,1998
٥	Senator Cochrane	
	moves to amend the bill on page 4, line by deleting those lines;	15 through page 5, line 20
	and renumbering the remaining sections a	accordingly.
	Amendment Sponsor	
	SIGNED Committee Chair if Senate Committee Amer	ndment
٥	ADOPTED FAILED	TABLED



With Drawn 7/22/98

NORTH CAROLINA GENERAL ASSEMBLY AMENDMENT Senate Bill 1394

AMENDMENT NO.
(to be filled in by
Principal Clerk)
Page 1 of

S1394-ALF-001

Date ,1998

Comm. Sub. [Yes] Amends Title [] Fourth Edition

Senator & Julipa

20 21

22 23

24

1 moves to amend the bill on page 4, lines 16 through 31,

2 by rewriting the lines to read:

3 "(e) If the court or the agency receives information from an 4 adoptee's former parent or from an adoptee's former relative about a 5 health or genetic condition that may affect the health of the 6 adoptee or the adoptee's child, an appropriate employee shall make a 7 reasonable effort to contact and forward the information to an 8 adoptee who is 18 or more years of age, or an adoptive parent of an 9 adoptee who is under 18 years of age.

If the court or the agency receives medical documentation
submitted by an adoptee, who is 18 years of age or older, or an
adoptive parent of a minor adoptee, that, in the opinion of the
court or the agency director, shows a clear need for accurate,
updated, nonidentifying information about a medical or genetic
condition that is likely to affect the health of the adoptee or the
adoptee's child, then an appropriate employee shall make a
reasonable effort to contact the adoptee's former parent to obtain
this current, nonidentifying information. The employee shall
attempt this contact only as follows:

(1) By phone, or, if reasonable efforts to contact by phone have proved unsuccessful, by personal contact;

(2) Between the hours of 8:00 a.m. and 9:00 p.m.; and

Without identifying the court or agency for whom the employee works or the purpose of the call to anyone other than the former parent.

26 If the employee makes contact and receives this information, the employee shall forward this nonidentifying information to the

8 adoptee or the adoptive parent of a minor adoptee. If, at any time

29 during contact with the adoptee's former parent, the former parent



AMENDMENT NO.

(to be filled in by Principal Clerk)

S1394-ALF-001

Page 2 of

1 expresses a desire to make contact with the adoptee, then the employee shall provide the former parent and the adoptee or the adoptive parent of a minor adoptee with information about the adoption registry established under G.S. 48-11-101.

SIGNED
Amendment Sponsor

SIGNED
Committee Chair if Senate Committee Amendment

FAILED



AMENDMENT NO.

(to be filled in by Principal Clerk)

Page 1 of

S1394-ALF-001

Date / 1/2 22 ,1998

Comm. Sub. [Yes] Amends Title [] Fourth Edition

Senator Phillips

20

21

22 23

24

25

1 moves to amend the bill on page 4, lines 16 through 31, 2 by rewriting the lines to read:

"(e) If the court or the agency receives information from an 4 adoptee's former parent or from an adoptee's former relative about a 5 health or genetic condition that may affect the health of the 6 adoptee or the adoptee's child, an appropriate employee shall make a 7 reasonable effort to contact and forward the information to an 8 adoptee who is 18 or more years of age, or an adoptive parent of an 9 adoptee who is under 18 years of age.

If the court or the agency receives medical documentation
submitted by an adoptee, who is 18 years of age or older, or an
adoptive parent of a minor adoptee, that, in the opinion of the
court or the agency director, shows a clear need for accurate,
updated, nonidentifying information about a medical or genetic
condition that is likely to affect the health of the adoptee or the
adoptee's child, then an appropriate employee shall make a
reasonable effort to contact the adoptee's former parent to obtain
this current, nonidentifying information. The employee shall
attempt this contact only as follows:

- (1) By phone, or, if reasonable efforts to contact by phone have proved unsuccessful, by personal contact;
- (2) Between the hours of 8:00 a.m. and 9:00 p.m.; and
- (3) Without identifying the court or agency for whom the employee works or the purpose of the call to anyone other than the former parent.

26 If the employee makes contact and receives this information, the
27 employee shall forward this nonidentifying information to the
28 adoptee or the adoptive parent of a minor adoptee. If, at any time
29 during contact with the adoptee's former parent, the former parent



		AMENDMENT NO.
		(to be filled in by
		Principal Clerk)
	S1394-ALF-001	Page 2 of
	'expresses a desire to make contact with	
2	employee shall provide the former parent	t and the adoptee or the
3	adoptive parent of a minor adoptee with	information about the
4	adoption registry established under G.S.	. 48-11-101."
5 6	SIGNED SIGNED	
	Amendment Sponsor	
	SIGNED	
. •	Committee Chair if Senate Committee Amer	ndment
	ADOPTED FAILED	TABLED

Agenda

SENATE COMMITTEE ON CHILDREN & HUMAN RESOURCES

August 5, 1998

11:00 AM

Call to Order

SB1287 Advance Directives Corrections
Senator Rand

Sergeant At Arms:

George Robinson

Collis Brown West Faulk

Pages:

Mendie Do/Raleigh/Senator/Reeves

Robert Dickerson/Charlotte/Senator Odom

Next Meeting

None Scheduled

Senate Committee on Children & Human Resources Minutes of Wednesday, August 5, 1998

The Senate Committee on Children & Human Resources met at 11:00 a.m. on Wednesday, August, 5, 1998 in room 544 of the State Legislative Office Building. There were 17 members in attendance.

Senator Jeanne H. Lucas, Chair of the committee, called the meeting to order, with introductions of staff, pages and Sergeant at Arms.

Senate Bill 1287 entitled Advance Directives Corrections. The bill was presented by its sponsor Senator Rand. Senator Rand explained the bill and presented a committee substitute bill. After some discussion on this bill including remarks from Hugh Tilson from the Hospital Association, Senator Martin moved for a favorable report. The bill was reported out as unfavorable as to bill, but favorable as to committee substitute bill.

The business being completed, the meeting was adjourned. There we no further meetings scheduled at this time.

Bernadette David-Yerumo, Committee Clerk

Senator Jeanne H. Lucas, Chair

NORTH CAROLINA GENERAL ASSEMBLY **SENATE**

CHILDREN & HUMAN RESOURCES COMMITTEE REPORT

Senator Jeanne H. Lucas, Chairman

Thursday, August 06, 1998

SENATOR JEANNE H. LUCAS,

submits the following with recommendations as to passage:

UNFAVORABLE AS TO BILL, BUT FAVORABLE AS TO C.S. BILL

S.B. 1287 Advance Directives Corrections.

Draft Number:

PCS4703

Sequential Referral:

None

Recommended Referral: None

Long Title Amended:

Yes

TOTAL REPORTED: 1

Committee Clerk Comment:

Principal Clerk	
Reading Clerk	

SENATE NOTICE OF COMMITTEE MEETING and Bill Sponsor Notice

The Senate Committee on **Children and Human Resources** will meet at the following time:

DATE: Wednesday August 5, 1998

TIME: 11:00 A.M.

ROOM: 544

The following bill will be considered:

SB1287 Advance Directives Corrections

Senator Jeanne H. Lucas, Chair

VISITOR REGISTRATION SHEET

Children	+ Aluman Recouses	8/5/98
wame of Committee		Date
WISITORS. Planca sign bal	low and rature to Committee Clar	- 10
visilons: Please sign be.	low and return to Committee Cler	
NAME	FIRM OR STATE AGENCY AN	D ADDRESS
Laura U. Langner (intern)	Mational Association of Journ	Morkers. NC chap
Myrna-Miller	NASW-NC	, ,
Desmond Ranyan	Doctors of The Div" -	une School of Medicine
J. C. Min Vous	Pomet	1 : :
1 Und Will's	DMHDDSAS	
Am 1000	m+1A)NC	
Luchele S Dun	GACPD 2113 Ca	umeron St.
Max Brownles	BACPD "	11 /1
Patre- Maire	SCSL/FOR/BPQ	CNC
Kay Michaels	PLANNED PARENTHOOD	
Roz Snort	Labbras	٠,
Maruly "	NICIA	
PILL SCOTGIA	ic Bor	
CAMING Sours	Newscar	
Sondra Paniew	NCHEFA	
PayTou MANNARY	GPM, inc	•
Anny L Edwa	ed Chrotica Science Comm	on Put for MC
Stacy Flannern	NKANPHA.	
Danudmia	SEANC	
Hal Miller	ncacet	
DIKTOL	6XCOAV2	
Stone Medice	News	

VISITOR REGISTRATION SHEET

ame of Committee		·	Date
ISITORS: Please sign belo	ow and return to Co	mmittee Clerk.	
1.5_			<u>.</u>
AME	FIRM OR STA	TE AGENCY AND A	DDRESS
Bill Flournon	DENR		
Bill Flourney Kather Frequer	DENR Preducat Land	Carrange Pr	South & Drough
Comolla Herlysich	NC Coastal L	cia d Lunt 75	Market Style lite
Camula Tello ICI	NO Wastar U	avastusi 10	Maires STWITT
	·		
	•		,
	,		
·			
· · · · · · · · · · · · · · · · · · ·			
····			
			•
· · · .			
	·		
· · · · · · · · · · · · · · · · · · ·			

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1997

S

SENATE BILL 1287* Proposed Committee Substitute S1287-PCS3647-RM005

	Short Title: Advance Directives Corrections. (Public)
	Sponsors:
	Referred to:
	May 25, 1998
1	A BILL TO BE ENTITLED
2	AN ACT TO CLARIFY THE LAW REGARDING HEALTH CARE POWERS OF
3	ATTORNEY AND ADVANCE INSTRUCTIONS FOR MENTAL HEALTH
4	TREATMENT BASED ON RECOMMENDATIONS OF THE JOINT
5	LEGISLATIVE HEALTH OVERSIGHT COMMITTEE.
6	The General Assembly of North Carolina enacts:
7	Section 1. Article 3 of Chapter 32A reads as rewritten:
8	"ARTICLE 3.
9	"Health Care Powers of Attorney.
10	"§ 32A-15. General purpose of this Article.
11	(a) The General Assembly recognizes as a matter of public policy the fundamental
	right of an individual to control the decisions relating to his or her medical care, and
13	that this right may be exercised on behalf of the individual by an agent chosen by the
14	individual.

- (b) The purpose of this Article is to establish an additional, nonexclusive method 15 16 for an individual to exercise his or her right to give, withhold, or withdraw consent to 17 medical treatment treatment, including mental health treatment, when the individual 18 lacks sufficient understanding or capacity to make or communicate health care 19 decisions.
- This Article is intended and shall be construed to be consistent with the 20 21 provisions of Article 23 of Chapter 90 of the General Statutes provided that in the 22 event of a conflict between the provisions of this Article and Article 23 of Chapter 23 90, the provisions of Article 23 of Chapter 90 control. If no declaration has been

D

1 executed by the principal as provided in G.S. 90-321 that expressly covers the 2 principal's present condition and if the health care agent has been given the specific 3 authority in a health care power of attorney to authorize the withholding or 4 discontinuing of life-sustaining procedures when the principal is in the present 5 condition, these procedures may be withheld or discontinued as provided in the 6 health care power of attorney upon the direction and under the supervision of the 7 attending physician. In this case, G.S. 90-322 does not apply.

This Article is intended and shall be construed to be consistent with the 9 provisions of Part 3 of Article 16 of Chapter 130A of the General Statutes. In the 10 event of a conflict between the provisions of this Article and Part 3 of Article 16 of 11 Chapter 130A, the provisions of Part 3 of Article 16 of Chapter 130A control.

12 "§ 32A-16. Definitions.

13

15

16

17

18

19 20

21

22

23

24 25

26

27

28

29

30

31 32

33

34

35

36

37

38

39

40

41

42

43

44

As used in this Article, unless the context clearly requires otherwise, the following 14 terms have the meanings specified:

- 'Health care' means any care, treatment, service, or procedure to (1) maintain, diagnose, treat, or provide for the principal's physical or mental health or personal care and comfort including, life-'Health Care' includes mental health sustaining procedures. treatment as defined in subdivision (8) of this section.
- 'Health care agent' means the person appointed as a health care (2) attorney-in-fact.
- 'Health care power of attorney' means a written instrument, signed (3) in the presence of two qualified witnesses, and acknowledged before a notary public, pursuant to which an attorney-in-fact or agent is appointed to act for the principal in matters relating to the health care of the principal, and which substantially meets the requirements of this Article.
- 'Life-sustaining procedures' are those forms of care or treatment (4) which only serve to artificially prolong the dying process and may include mechanical ventilation, dialysis, antibiotics, artificial nutrition and hydration, and other forms of treatment which sustain, restore or supplant vital bodily functions, but do not include care necessary to provide comfort or to alleviate pain.
- 'Principal' means the person making the health care power of (5) attorney.
- (6) 'Qualified witness' means a witness in whose presence the principal has executed the health care power of attorney, who believes the principal to be of sound mind, and who states that he (i) is not related within the third degree to the principal nor to the principal's spouse, (ii) does not know nor have a reasonable expectation that he would be entitled to any portion of the estate of the principal upon the principal's death under any existing will or codicil of the principal or under the Intestate Succession Act as it then provides, (iii) is not the attending physician or mental

GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1997

S

3

4

5

6

8

10

SENATE BILL 1287*

1

(Public) Short Title: Advance Directives Corrections. Sponsors: Senators Rand and Perdue. Referred to: Children & Human Resources. May 27, 1998 A BILL TO BE ENTITLED 2 AN ACT TO MAKE NECESSARY TECHNICAL CORRECTIONS TO CHAPTER 442 OF THE 1997 SESSION LAWS, "AN ACT TO ESTABLISH ADVANCE FOR MENTAL HEALTH TREATMENT", INSTRUCTION RECOMMENDED BY THE JOINT LEGISLATIVE HEALTH OVERSIGHT COMMITTEE. 7 The General Assembly of North Carolina enacts: Section 1. Chapter 442 of the 1997 Session Laws is amended to make 9 necessary technical corrections. Section 2. This act is effective when it becomes law.



North Carolina General Assembly Legislative Services Office

George R. Hall, Legislative Services Officer (919) 733-7044

V. Robinson, Director Assembly and Division Room 5, Legislative Building 16 W. Jones Street Raleigh, NC 27603-5925 (919) 733-7500

Gerry F. Cohen, Director Bill Drafting Division Suite 401, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-6660 Thomas L. Covington, Director Fiscal Research Division Suite 619, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-4910 Tony C. Goldman, Director Information Systems Division Suite 400, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-6834 Terrence D. Sullivan, Director Research Division Suite 545, LOB 300 N. Salisbury St. Raleigh, NC 27603-5925 (919) 733-2578

TO:

Members of Senate Children and Human Resources Committee

FROM:

Linda Attarian, Counsel

DATE:

August 5, 1998

RE:

Summary -- SB 1287: Advance Instructions Corrections -- PCS:

Part 1: Advance Instructions For Mental Health Treatment: Overview of Current Law

Legislative History of Senate Bill 757, Advance Instructions for Mental Health Treatment: Last summer, the General Assembly enacted Senate Bill 757, Advance Instructions for Mental Health Treatment. The bill was introduced in early April of 1997 and was sponsored by Sen. Brad Miller. An amended version of the bill was discussed in this committee and given a favorable report on April 30, 1997. The House passed an amended version late in the summer and the Senate concurred. The bill was signed by the Governor in August of 1997 and became law January 1, 1998.

Summary of the current law:

The Advance Instruction for Mental Health Treatment Act (Part 2 of Article 3 of Chapter 122C of the General Statutes) established a method or process for an individual with a mental illness to execute (i.e., signed by qualified witnesses), a written document containing a declaration of instruction, information and preferences regarding their mental health treatment. The document, called an "advance instruction" may also appoint an attorney in fact, who is an individual authorized under the advance instruction to make decisions about mental health treatment on behalf of the patient (the "principal") when the principal is incapable of doing so themselves. Even if an attorney in fact is not appointed, the advance instruction is to be used to direct the mental health treatment decisions in the event the principal is determined to lack sufficient understanding or capacity to make or communicate mental health treatment decisions.

The advance instruction is effective for two years unless it is revoked. In the event the principal is determined to be incapable of making mental health treatment decisions for themselves, the physician or mental health care provider is obligated to follow the advance instruction to the fullest extent possible except when 1) compliance with the instructions is not consistent with the best medical practice, 2) the treatments requested are unavailable, 3) when "applicable law" provides otherwise, 4) the patient is committed to a 24 hour mental health facility, or 5) in an emergency. A physician or provider who complies with the advance directive in good faith reliance upon its validity is not subject to criminal or civil liability in the event that it is later determined that the advance directive was invalid at the time the treatment was administered.

Although the advance instruction for mental health treatment may be combined with or incorporated into a health care or general power of attorney, it is not the same legal document. It is a separate and distinct document with its own set of statutory powers and duties. In addition, the attorney in fact appointed by an advance directive may or may not be same individual appointed by the patient to be their health care attorney in fact. The patient may even have a court-appointed guardian who has general guardianship powers over the patient. Thus, there may be situations where the patient has two or more people with similar powers and duties for making health care decisions for that patient. If there is a conflict, G.S. 122C-75(g) provides that the mental health treatment powers granted to the attorney in fact pursuant to the advance instruction are superior to similar powers bestowed upon anyone else.

Part 2: Testimony Presented to the Joint Legislative Health Care Oversight Committee Regarding Issues Relating to the Implementation of SB 757:

This Spring the North Carolina Hospital Association, speaking on behalf of health care lawyers, mental health advocates and mental health care providers informed members of the Joint Legislative Health Care Oversight Committee that the passage of Senate Bill 757 had resulted in significant legal, public policy and practical problems that could be remedied only by the passage of "corrective" legislation. The concerns relate to:

- the technical difficulty in determining the validity of an advance instruction for mental health treatment;
- the potential conflicts between a health care attorney in fact and a *mental* health care attorney in fact; and
- whether the advance instruction for mental health treatment should have "stand alone" authority, ie, in that the document itself is effective separately and distinctly – regardless of whether the principal appointed an attorney in fact.

The Committee responded to the testimony by recommending a blank bill to the 1998 Session with the expectation that remedial legislation would be drafted and incorporated into the bill for the General Assembly's consideration.

Part 3. Summary of the Proposed Committee Substitute to Senate Bill 1287:

Section 1. Amends Article 3 of Chapter 32A, Health Care Powers of Attorney, by placing (in various places in the Article) the powers and duties inherent in an advance instruction for mental health treatment within the scope of authority granted to a health care agent pursuant to a health care power of attorney.

Section 2. Amends Part 2 of Article 3 of Chapter 122C, Advance Instruction for Mental Health Treatment as detailed in the following section by section summary:

G.S. 122C-71. Purpose.

• Clarifying amendment to change "medical care decisions" to the more appropriate "mental health care decisions".

G.S. 122C-72. Definitions.

- Removes "attorney in fact" provisions in the definition of "advance instruction for mental health treatment".
- Clarifies the definition of "incapable".
- Clarifies the definition of "qualifying witness"

G.S. 122C-72. Scope, use, and authority of advance instruction for mental health treatment.

- Removes "attorney in fact" provisions.
- Clarifies current law providing that the principal is authorized under the law to
 consent to or refuse the use of psychotropic medication, electroconvulsive treatment,
 and the admission to and retention in a facility for the care or treatment of mental
 illness through the advance instruction.
- Clarifies current law that although an advance instruction for mental health treatment may be a part of a health care power of attorney, each form is to be executed in accordance with its own statute, and the mental health instructions in an advance directive are binding on the health care agent or the attorney in fact.

G.S. 122C-72. Effectiveness and duration; revocation.

- Clarifies the circumstances under which a health care provider may rely on the advance instruction's validity.
- Clarifies the circumstances under which the provider is not obligated to comply with a valid advance instruction.
- Clarifies the manner in which a principal may revoke an advance instruction.
- Changes current law to provide that the advance instruction will remain in effect unless revoked (currently, it expires automatically after two years).

G.S. 122C-75. Reliance on advance instruction for mental health treatment.

 Broadens current law to provide additional immunity from liability arising out of claims that the provider did not have the principal's informed consent or authorization for administering treatment, when the treatment was administered in compliance with the advance instruction.

G.S. 122C-77. Statutory form for advance instruction for mental health treatment.

- Removes "attorney in fact" provisions.
- Adds a provision to the form to verify that the principal understands the information contained in the advance directive may be shared with other mental health treatment providers when necessary to provide treatment pursuant to the advance directive.
- Adds a provision for the certification of the notary public.
- Adds "notice" provisions to the form to ensure that the principal and mental health treatment provider understand the purpose and the legal significance of the advance instruction.

Sections 3-6: These sections contain conforming changes to various sections of current law.

Effective Date: When the bill becomes law.

Bernie David-Yerumo (Sen. Lucas)

From: Barbara Boone (Sen. Bill Martin)
Sent: Tuesday, August 04, 1998 4:47 PM

To: Alma Adams, Ann Raeford; Annecia Norwood; Barbara Boone; Barbara Phillips; Bernie David-

Yerumo; Betty Stoddard; Beverly Earle; Bill Martin; Carolyn Branch; Charlie Dannelly; Clarestene Stewart; Cynthia Heck; Dan Blue; Dee Hodge; Delta Prince; Denise Smith; Dianna Gilmore; Flossie Boyd-McIntyre; Frank Ballance; Gloria Haywood; Howard Hunter, Howard Lee; Irma Avent; Jeanne Lucas; Jerry Braswell; Karlene Scott; Larry Shaw; Larry Womble; Lin Threatt; Lucy Johnson; Luther Jordan; Mary McAllister, Mickey Michaux; Monica McClain; Pamela Nichols; Pete Cunningham; Phyllis Cameron; Rita Harris; Soraya Dunnigan; Ted Kinney; Thomas Hardaway;

Toby Fitch; Tom Wright; Valerie Rustin; Warren Oldham; William Wainwright

Subject: Black Caucus Meeting

N. C. Legislative Black Caucus Members and Staff:

Please excuse me if you've already scheduled the following information. I thought I sent it last week, but upon checking Sent Messages, I didn't see one.

The next meeting of the N.C. Legislative Black Caucus is set for Wednesday, August 4, at 5:30 p.m. On the agenda are discussions on charter schools and support for Congresswoman Eva Clayton and Congressman Mel Watt.

Please let me know which members are unable to attend. Thank you, Barbara Boone for Senator Martin.