2009

HOUSE ETHICS

MINUTES

HOUSE ETHICS COMMITTEE MEETING February 25, 2009 2:00 P.M. Room 1228 LB

Chaired by: Representative Julia Howard

The House Ethics Committee met on Wednesday, February 25, 2009 at 2:00 PM in Room 1228 of the Legislative Building. The following members were present: Chairwomen Howard and Ross, Vice-Chairmen Brubaker and Yongue, and Representatives Bell, Current, Dockham, Farmer-Butterfield, Lucas, Martin, Setzer and Tolson.

The Sergeant-at-Arms staff included Ken Burroughs, Mike Martin and Charles Williams. Also present were staff persons Brad Krehely and Kory Goldsmith.

Chairwoman Howard called the meeting to order at 2:00 p.m. and recognized the pages: Hunter McGuire, McDowell County, sponsored by Representative Gillespie; and Fontasia Wade-Bivens, Cumberland County, sponsored by Representative Floyd.

Chairwoman Howard called the members attention to HB 104 - LEC Term Changes/Ethics Training, sponsored by Representatives Rick Glazier, Marvin Lucas, Paul Stam and William McGee. Rep. Brubaker moved to have the PCS before the Committee for the purpose of discussion. The motion was carried. Representative Glazier then explained the changes outlined in the bill. Section 1 added a part (d) which requires that the composition of the Committee be bipartisan in equal numbers. The following language regarding when a vacancy occurs was removed from Section 2 part (b) "is removed by the appointing officer from the Committee". Representative Brubaker stated there had been discussions regarding ethics training occurring every four years instead of two and wanted to know what happened with that discussion. Representative Glazier stated that change was not debated at the LEC level and it was kept consistent with the current statute. He stated that could be a policy recommendation that may be considered in an omnibus bill at the end of session. Representative Dockham questioned why nominations are given by the minority leader and the majority leader and then designated by the Speaker, rather than just stopping at the nominations. Representative Glazier stated that they did not want to create

any difficulties outside the changes they had to make. They were trying to be consistent with the statutory scheme that already exists.

Chairwoman Ross then moved that HB104 – LEC Term Changes/Ethics Training be reported with a favorable report as to the committee substitute bill, unfavorable as to the original bill, and recommendation that the committee substitute bill be re-referred to the Committee on Judiciary I. The Committee voted in favor unanimously.

Having no further business, the meeting was adjourned at 2:08 p.m.

Rep/Julia Howard,

Presiding Co-Chair

Renee Weaver, Committee Assistant

2009 COMMITTEE REPORT HOUSE OF REPRESENTATIVES

The following report(s) from standing committee(s) is/are presented:
By Representative Howard, Ross (Chairs) for the Committee on ETHICS.
Committee Substitute for
HB 104 A BILL TO BE ENTITLED AN ACT TO PROVIDE FOR FOUR-YEAR
STAGGERED TERMS OF OFFICE FOR MEMBERS OF THE LEGISLATIVE ETHICS
COMMITTEE AND TO AMEND THE TIMING OF ETHICS TRAINING FOR
LEGISLATORS AS RECOMMENDED BY THE LEGISLATIVE ETHICS COMMITTEE.
With a favorable report as to the committee substitute bill, unfavorable as to the original bill, and recommendation that the committee substitute bill be re-referred to the Committee on JUDICIARY I.
(FOR JOURNAL USE ONLY)
Pursuant to Rule 32(a), the bill/resolution is re-referred to the Committee on
Pursuant to Rule 36(b), the (House/Senate) committee substitute bill/(joint) resolution
Pursuant to Rule 36(b), the (House/Senate) committee substitute bill/(joint) resolution (No) is placed on the Calendar of (The original bill resolution No)
Pursuant to Rule 36(b), the (House/Senate) committee substitute bill/(joint) resolution

AGENDA House Ethics Committee

Wednesday, February 25, 2009 2:00 pm Room 1228 LB Chaired by: Representative Howard

<u>Co-Chairs</u>
Representative Julia Howard
Representative Deborah Ross

Call to Order

Introduction of Pages

Bills:

HB 104 LEC Term Changes/Ethics Training Representatives Glazier, Lucas, Stam, McGee

Adjournment



HOUSE BILL 104: LEC Term Changes/Ethics Training

2009-2010 General Assembly

Introduced by:

Committee: House Ethics, if favorable, Judiciary 1

Reps. Glazier, Lucas, Stam, McGee

Analysis of: PCS- H-104-CSRN-1

Date:

February 25, 2009

Prepared by: Brad Krehely*

Committee Co-Counsel

SUMMARY: The proposed committee substitute would create 4 year staggered terms for the membership of the Legislative Ethics Committee and clarify the time frame for mandatory ethics training for legislators. This proposed committee substitute is identical to the version of the bill that received a favorable report in Senate Judiciary I on February 24, 2009.

[As introduced, this bill was identical to S136, as introduced by Sen. Clodfelter, which is currently in Senate Judiciary I.]

CURRENT LAW:

<u>LEC Membership</u>. The Legislative Ethics Committee consists of 12 members, six from each chamber. The appointments are made by the President Pro Tempore and Speaker from lists of six each submitted by the majority and minority leaders in the respective chambers. G.S. 120-99. Once appointed, members serve until the expiration of their then-current terms as members of the General Assembly. G.S. 120-100.

Mandatory Ethics Training. Legislators and legislative employees must attend basic ethics education and awareness presentations. Legislators and legislative employees must attend these classes within three months of election, reelection, appointment, or employment. Legislative employees must attend refresher classes at least every two years thereafter. G.S. 138A-14. Generally, election of legislators occurs in November of even-numbered years. The General Assembly begins a new session on the third Wednesday of January in the odd-numbered years.

BILL ANALYSIS: The proposed committee substitute would do all of the following:

- > Provide that members of the Legislative Ethics Committee serve for a term of four years, with no option to serve for two consecutive terms.
- Provide for staggering of the existing membership of the LEC, to implement the four year terms. Of the current membership, six would serve a term of two years and six would serve a term of 4 years. Of those members serving a two year term, the majority leaders in both chambers would make recommendations for two members, and the minority leaders four members. Of those members serving a four year term, the majority leaders in both chambers would make recommendation for four members, and the minority leaders two members.
- > Require that the composition of the Committee be bipartisan in equal numbers.
- > Provide that in the future, the majority and minority leaders would make recommendations to the President Pro Tempore and Speaker of twice the number of vacancies.
- > Clarify that the time frame for legislator's mandatory ethics education is two months from the convening of the General Assembly.

EFFECTIVE DATE: This act is effective when it becomes law.

H104-SMRN-4(e1) v4

^{*}Erika Churchill contributed substantially to the drafting of this summary.

GENERAL ASSEMBLY OF NORTH CAROLINA SESSION 2009

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HOUSE BILL 104* PROPOSED COMMITTEE SUBSTITUTE H104-PCS80078-RN-1

Short Title:	LEC Term Changes/Ethics Training.	•	(Public)
Sponsors:			
Referred to:			

February 11, 2009

A BILL TO BE ENTITLED

AN ACT TO PROVIDE FOR FOUR-YEAR STAGGERED TERMS OF OFFICE FOR MEMBERS OF THE LEGISLATIVE ETHICS COMMITTEE AND TO AMEND THE TIMING OF ETHICS TRAINING FOR LEGISLATORS AS RECOMMENDED BY THE LEGISLATIVE ETHICS COMMITTEE.

The General Assembly of North Carolina enacts:

SECTION 1. G.S. 120-99 reads as rewritten:

"§ 120-99. Creation; composition.

- (a) The Legislative Ethics Committee is created and shall consist of 12 members, six Senators appointed by the President Pro Tempore of the Senate, among them—three from a list of six submitted by the Majority Leader and three from a list of six submitted by the Minority Leader, and six members of the House of Representatives appointed by the Speaker of the House, among them—three from a list of six submitted by the Majority Leader and three from a list of six submitted by the Minority Leader. House. The President Pro Tempore of the Senate shall appoint three members from a list of nominees submitted by the majority leader of the Senate and three members from a list of nominees submitted by the minority leader of the Senate. The Speaker of the House shall appoint three members from a list of nominees submitted by the majority leader of the House and three members from a list of nominees submitted by the minority leader of the House. The nominating majority or minority leader shall submit to the person making the appointment a list of twice the number of vacancies on the Committee that are to be filled from that leader's nominees.
- (b) The President Pro Tempore of the Senate and the Speaker of the House as the appointing officers shall each designate a cochair of the Legislative Ethics Committee from the respective officer's appointees appointees to serve as cochair for the current General Assembly, and until the cochair's successor is designated. The cochair appointed by the President Pro Tempore of the Senate shall preside over the Legislative Ethics Committee during the odd-numbered year, and the cochair appointed by the Speaker of the House shall preside in the even-numbered year. However, a A cochair may preside at anytime during the absence of the presiding cochair or upon the presiding cochair's designation. In the event a cochair is unable to act as cochair on a specific matter before the Legislative Ethics Committee, and so indicates in writing to the appointing officer and the Legislative Ethics Committee, the respective officer shall designate from that officer's appointees a member to serve as cochair for that specific matter.
 - (c) Repealed by Session Laws 2006-201, s. 8, effective January 1, 2007.



(d) The appointments of the President Pro Tempore of the Senate and the Speaker of the House shall ensure that the composition of the Legislative Ethics Committee is bipartisan in equal numbers."

SECTION 2. G.S. 120-100 reads as rewritten: "§ 120-100. Term of office; vacancies.

- (a) Appointments to the Legislative Ethics Committee shall be made immediately after the convening of the regular session of the General Assembly in odd-numbered years, and appointees shall serve until the expiration of their then-current terms as members of the General Assembly years. The term of office for members of the Legislative Ethics Committee shall be four years from the date of the convening of the General Assembly in which the member is appointed to the Committee. Members shall not serve two consecutive full terms.
- (b) A vacancy occurs on the Legislative Ethics Committee when a member resigns or is no longer a member of the General Assembly. A vacancy occurring for any reason during a term shall be filled for the unexpired term by the authority making the appointment which caused the vacancy, and the person appointed to fill the vacancy shall, if possible, be a member of the same political party as the member who caused the vacancy, from a list of two nominees submitted by that party's leader.
- (c) In the event a member of the Legislative Ethics Committee is unable to act on a specific matter before the Legislative Ethics Committee, and so indicates in writing to the appointing officer and the Legislative Ethics Committee, the appointing officer may appoint another member of the respective chamber from a list of two members submitted by the majority leader or minority leader who nominated the member who is unable to act on the matter to serve as a member of the Legislative Ethics Committee for the specific matter only. If on any specific matter, the number of members of the Legislative Ethics Committee who are unable to act on a specific matter exceeds four members, the appropriate appointing officer shall appoint other members of the General Assembly to serve as members of the Legislative Ethics Committee for that specific matter only."

SECTION 3. Notwithstanding G.S. 120-100(a) as amended in Section 2 of this act, legislators appointed as members of the Legislative Ethics Committee for the 2009 General Assembly shall serve initial terms as follows:

- (1) Two senators appointed by the President Pro Tempore of the Senate upon the recommendation of the minority leader of the Senate and one senator appointed by the President Pro Tempore of the Senate upon the recommendation of the majority leader of the Senate shall be designated by the President Pro Tempore as having a term of two years. Two senators appointed by the President Pro Tempore of the Senate upon the recommendation of the majority leader of the Senate and one senator appointed by the President Pro Tempore of the Senate upon the recommendation of the minority leader of the Senate shall be designated by the President Pro Tempore as having a term of four years.
- (2) Two representatives appointed by the Speaker of the House upon the recommendation of the minority leader of the House and one representative appointed by the Speaker of the House upon the recommendation of the majority leader of the House shall be designated by the Speaker as having a term of two years. Two representatives appointed by the Speaker of the House upon the recommendation of the majority leader of the House and one representative appointed by the Speaker of the House upon the recommendation of the minority leader of the House shall be designated by the Speaker as having a term of four years.

SECTION 4. G.S. 138A-14(c) reads as rewritten:

Page 2 House Bill 104* H104-PCS80078-RN-1

"(c) The Commission, jointly with the Committee, shall make basic ethics education and awareness presentations to all legislators and legislative employees upon their election, reelection, appointment, or employment and shall offer periodic refresher presentations as the Commission and the Committee deem appropriate. Every legislator and legislative employee shall participate in an ethics presentation approved by the Commission and Committee within three-two months of either the convening of the General Assembly to which the legislator is elected or within two months of the legislator's appointment, whichever is later. legislative employee's election, reelection, appointment, or Every legislative employee shall participate in an ethics presentation approved by the Commission and Committee within three months of employment, and every legislative employee—shall attend refresher ethics education presentations at least every two years thereafter, in a manner as the Commission and Committee deem appropriate."

SECTION 5. This act is effective when it becomes law

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HOUSE BILL 104*

Short Title:	LEC Term Changes/Ethics Training. (Public)
Sponsors:	Representatives Glazier, Lucas, Stam, McGee (Primary Sponsors); M. Alexander, Faison, Fisher, Folwell, Harrison, Hughes, Hurley, Rapp, Spear, Tarleton, E. Warren, and Wiley.
Referred to:	Ethics, if favorable, Judiciary I.

February 11, 2009

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A BILL TO BE ENTITLED

AN ACT TO PROVIDE FOR FOUR-YEAR STAGGERED TERMS OF OFFICE FOR MEMBERS OF THE LEGISLATIVE ETHICS COMMITTEE AND TO AMEND THE TIMING OF ETHICS TRAINING FOR LEGISLATORS AS RECOMMENDED BY THE LEGISLATIVE ETHICS COMMITTEE.

The General Assembly of North Carolina enacts:

SECTION 1. G.S. 120-99 reads as rewritten:

"§ 120-99. Creation; composition.

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- The President Pro Tempore of the Senate and the Speaker of the House as the appointing officers shall each designate a cochair of the Legislative Ethics Committee from the respective officer's appointees appointees to serve as cochair for the current General Assembly, and until the cochair's successor is designated. The cochair appointed by the President Pro Tempore of the Senate shall preside over the Legislative Ethics Committee during the odd-numbered year, and the cochair appointed by the Speaker of the House shall preside in the even-numbered year. However, a-A cochair may preside at anytime during the absence of the presiding cochair or upon the presiding cochair's designation. In the event a cochair is unable to act as cochair on a specific matter before the Legislative Ethics Committee, and so indicates in writing to the appointing officer and the Legislative Ethics Committee, the respective officer shall designate from that officer's appointees a member to serve as cochair for that specific matter.
 - Repealed by Session Laws 2006-201, s. 8, effective January 1, 2007." (c) **SECTION 2.** G.S. 120-100 reads as rewritten:



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"§ 120-100. Term of office; vacancies.

- Appointments to the Legislative Ethics Committee shall be made immediately after the convening of the regular session of the General Assembly in odd-numbered years, and appointees shall serve until the expiration of their then-current terms as members of the General Assembly years. The term of office for members of the Legislative Ethics Committee shall be four years from the date of the convening of the General Assembly in which the member is appointed to the Committee. Members shall not serve two consecutive full terms.
- A vacancy occurs on the Legislative Ethics Committee when a member resigns, is removed by the appointing officer from the Committee, or is no longer a member of the General Assembly. A vacancy occurring for any reason during a term shall be filled for the unexpired term by the authority making the appointment which caused the vacancy, and the person appointed to fill the vacancy shall, if possible, be a member of the same political party as the member who caused the vacancy, vacancy, from a list of two nominees submitted by that party's leader.
- In the event a member of the Legislative Ethics Committee is unable to act on a specific matter before the Legislative Ethics Committee, and so indicates in writing to the appointing officer and the Legislative Ethics Committee, the appointing officer may appoint another member of the respective chamber from a list submitted by the majority leader or minority leader who nominated the member who is unable to act on the matter to serve as a member of the Legislative Ethics Committee for the specific matter only. If on any specific matter, the number of members of the Legislative Ethics Committee who are unable to act on a specific matter exceeds four members, the appropriate appointing officer shall appoint other members of the General Assembly to serve as members of the Legislative Ethics Committee for that specific matter only."

SECTION 3. Notwithstanding G.S. 120-100(a) as amended in Section 2 of this act, legislators appointed as members of the Legislative Ethics Committee for the 2009 General Assembly shall serve initial terms as follows:

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- (2) Two representatives appointed by the Speaker of the House upon the recommendation of the majority leader of the House and one representative appointed by the Speaker of the House upon the recommendation of the minority leader of the House shall be designated by the Speaker as having a term of two years. Two representatives appointed by the Speaker of the House upon the recommendation of the minority leader of the House and one representative appointed by the Speaker of the House upon the recommendation of the majority leader of the House shall be designated by the Speaker as having a term of four years.

SECTION 4. G.S. 138A-14(c) reads as rewritten:

The Commission, jointly with the Committee, shall make basic ethics education and awareness presentations to all legislators and legislative employees upon their election, reelection, appointment, or employment and shall offer periodic refresher presentations as the Commission and the Committee deem appropriate. Every legislator and legislative employee

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shall participate in an ethics presentation approved by the Commission and Committee within three-two months of either the convening of the General Assembly to which the legislator is elected or within two months of the legislator's appointment, whichever is later. legislative employee's election, reelection, appointment, or Every legislative employee shall participate in an ethics presentation approved by the Commission and Committee within three months of employment, and every legislative employee—shall attend refresher ethics education presentations at least every two years thereafter, in a manner as the Commission and Committee deem appropriate."

SECTION 5. This act is effective when it becomes law.

PUBLIC BILL

H.B0104	SESSION LAW
	A BILL TO BE ENTITLED
LEGISLATIVE ETHICS COMM	YEAR STAGGERED TERMS OF OFFICE FOR MEMBERS OF THE ITTEE AND TO AMEND THE TIMING OF ETHICS TRAINING FOR NDED BY THE LEGISLATIVE ETHICS COMMITTEE.
Introduced by Representative(s): Glazi	er, Lucas, Stam, McGee (Primary Sponsors), E. Warren, and Wiley
For a complete list of cos	sponsors for this bill, please see the report inside the bill jacket.
PASSED 1st READING FEB 1 1 2009 AND REFERRED TO COMMITTEE ONRULES, GALENDAR, AND OFERATIONS OF THE HOUSE Exchies, if fau, Jud I	Brukaben mandel for PCS. Brukaben mandel for PCS. Brukaben from: Brukaben Dukkam Dukkam Testian by Lip Ross for Hander at I of surface. The anguar god he Me-ferred

House Pages

Name Of Committee: ETHICS Date: 2-25-09
1. Name: Hunter McGuire
County: McDowell
Sponsor: Gillespie
2 Name: Fontasia Wate - Blivens
County: Compessand
Sponsor: FIMER FICHTED
3. Name:
County:
Sponsor:
1. Name:
County:
Sponsor:
i. Name:
County:
Sponsor:
Sgt-At-Arms
. Name: KEN BURROUGHS
Name: MIKE MARTIN
. Name: CHARLES WILLIAMS
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VISITOR REGISTRATION SHEET

	ALEGISTRATION SILEET
ETHICS	February 25, 2009
Name of Committee	Date
VISITORS: PLEASE SIGN BELOW	AND RETURN TO COMMITTEE CLERK
NAME	FIRM OR AGENCY AND ADDRESS
Daiel Ambur	Flechri Cities of N.C.
othy M. Poole	Chairpegon Hair Committee NE Allane &
Danne T. Morgan	Griford Cauty Resident - Pollox 274
nes W. Morgas	Gulford Co. Resident PO BOX 274
19. Weyson	Ethi-s Commission
Jun Jan	NCCLGR
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Corrected Notice

NORTH CAROLINA HOUSE OF REPRESENTATIVES COMMITTEE MEETING NOTICE AND BILL SPONSOR NOTIFICATION 2009-2010 SESSION

You are hereby notified that the Committee on Ethics will meet as follows:

DAY & DATE: Wednesday, February 25, 2009

TIME: 2:00 pm

LOCATION: Room 1228 LB

COMMENTS: Room has been changed

The following bills will be considered:

BILL NO. SHORT TITLE

HB 104 LEC Term Changes/Ethics Training.

SPONSOR

Representative Glazier Representative Lucas Representative Stam Representative McGee

Respectfully, Representative Howard, Chair Representative Ross, Chair

I hereby certify this notice was filed by the committee assistant at the following offices 6:35 pm on February 23, 2009.	at
☐ Principal Clerk ☐ Reading Clerk – House Chamber	
Renee Weaver (Committee Assistant)	

Renee Weaver (Rep. Howard)

From: Carolyn Bowden (House Sgt. at Arms Office) [carolynb@ncleg.net]

Monday, February 23, 2009 6:10 PM

.o: Booker Washburn (Housekeeping); Francisco Dearmas (Housekeeping); Charles Weathersby

(Facilities Services, Manager); Howard Morris (Police); Jeff Boyette (Administration); Jeff Weaver (Police, Chief); Fred Williams (Financial Services); Carolyn Bowden (House Sgt. at

Arms Office)

Cc: Carolyn Bowden (House Sgt. at Arms Office); Renee Weaver (Rep. Howard)

Subject: 1228/1327 LB has been reserved for 02/25/2009, 02:00PM - 03:00PM

The following room has been reserved:

Title: Ethics

Requestor: Renee Weaver (Rep. Howard) Date: Wednesday, February 25, 2009

Room: 1228/1327 LB Time: 02:00PM - 03:00PM

Equipment:

Housekeeping: Water, Coffee

NORTH CAROLINA HOUSE OF REPRESENTATIVES COMMITTEE MEETING NOTICE AND BILL SPONSOR NOTIFICATION 2009-2010 SESSION

You are hereby notified that the Committee on Ethics will meet as follows:

DAY & DATE: Wednesday, February 25, 2009

TIME: 2:00 PM

LOCATION: Room 1425 LB

The following bills will be considered:

BILL NO. SHORT TITLE SPONSOR

HB 104 LEC Term Changes/Ethics Training. Representative Glazier Representative Lucas

Representative Stam
Representative McGee

Respectfully, Representative Howard, Chair Representative Ross, Chair

I hereby certify this notice was filed by t	he committee assistant at the following	offices	at 3
o'clock on February 19, 2009 .		,	

Principal Clerk
Reading Clerk - House Chamber

Renee Weaver (Committee Assistant)

HOUSE ETHICS COMMITTEE

2009 SESSION MEMBERSHIP

NAME	<u>Office</u>	PHONE	LEGISLATIVE ASSISTANT
REPRESENTATIVE JULIA HOWARD CO-CHAIR	1106	733-5904	RENEE WEAVER
REPRESENTATIVE DEBORAH ROSS CO-CHAIR	2223	733-5773	Margie Penven
REPRESENTATIVE HAROLD BRUBAKER VICE CHAIR	1229	715-4946	CINDY COLEY
REPRESENTATIVE DOUG YONGUE VICE CHAIR	1303	733-5821	KATIE STANLEY
REPRESENTATIVE LARRY BELL	538	733-5863	CAROLYN EDWARDS
REPRESENTATIVE WILLIAM CURRENT	418A	733-5809	WENDY MILLER
REPRESENTATIVE JERRY DOCKHAM	1213	715-2526	REGINA IRWIN
REPRESENTATIVE JEAN FARMER-BUTTERFIELD	528	733-5898	RUTH MERKLE
REPRESENTATIVE CAROLYN JUSTICE	306- A3	715-9664	VIVIAN SHERRILL
REPRESENTATIVE MARVIN LUCAS	417-A	733-5775	THELMA UTLEY
REPRESENTATIVE GRIER MARTIN	2123	733-5758	SYLVIA HAMMONS
REPRESENTATIVE JOHNATHAN RHYNE	1323	733-5782	PAT STUSSIE
REPRESENTATIVE MITCHELL SETZER	1204	733-4948	MARGARET HERRING
REPRÉSENTATIVE JOE TOLSON	307B2	715-3024	SHIRLEY PHILLIPS

STAFF: Kory Goldsmith, Brad Krehely, 733-2578

HOUSE ETHICS COMMITTEE MEETING April 15, 2009 2:00 P.M. Room 415 LOB

Chaired by: Representative Julia Howard

The House Ethics Committee met on Wednesday, April 15, 2009 at 2:00 PM in Room 415 of the Legislative Office Building. The following members were present: Chairwomen Howard and Ross, and Representatives Bell, Current, Justice, Lucas, Martin, Rhyne, and Setzer.

The Sergeant-at-Arms staff included Toussaint Avent, Rodney Finger and Dusty Rhodes. Also present were staff persons Brad Krehely and Kory Goldsmith.

Chairwoman Howard called the meeting to order at 2:04 p.m. and recognized the pages: LeighAnn Scheetz, Brunswick County, sponsored by Representative Stiller; and John Manigo, Cumberland County, sponsored by Representative Floyd.

Chairwoman Howard called the members attention to HB 1136 – Executive Branch Revolving Door, sponsored by Representatives Goodwin, Ross and Stam. Chairwoman Howard recognized Representative Ross who explained the purpose of the bill was to prohibit liaison personnel from registering as a lobbyist within six months after separation from employment as a liaison personnel. Prohibits any public servant or former public servant from registering as a lobbyist within six months after separation from employment with the state. Chairwoman Howard then recognized Representative Stam who discussed the definition of public servant as any president, vice-president, chancellor, vice-chancellor of UNC, or any president, chief financial officer, or chief administrative officer of the State Board of Community Colleges or each of the community colleges.

There being no further discussion or debate on the bill, Representative Setzer then moved that **HB 1136 Executive Branch Revolving Door** be reported with a favorable report and be re-referred to the Committee on Judiciary I. The Committee voted in favor unanimously.

Chairwoman Howard then informed the members that the next bill to be considered was **HB 961** – **Pay to Play Regulation**, sponsored by Representatives Glazier, Stam and Ross. Representative Setzer moved to have the PCS before the Committee for the purpose of discussion. The motion was carried.

Chairwoman Howard then recognized Representative Glazier who explained the bill would prohibit certain individuals affiliated with companies that have contracts in excess of \$25,000 with the departments and offices headed by the members of the Council of State from making, during the term of a contract with that department or office, campaign contributions to that of a candidate for that office, the officer holding that office, or a political committee controlled by that candidate or officer. The bill would also prohibit the candidate, officer or political committee from accepting the contribution. Donations from all affiliated individuals totaling no more than \$1000 during the term of the contract would be permitted. A violation would be a Class 2 misdemeanor.

Chairwoman Howard then recognized Representative Stam who then requested from staff what changes were made to the bill. Kory Goldsmith of Research was then recognized and explained the changes outlined in the bill. House committee substitute makes the following changes to 1st edition. Modifies the proposed definition of contribution to provide that a contribution is (1) made during the term of the contract if it is dated, pledged, or delivered on or after the first day of the term of the contract, but not later than the last day of the term of the contract (was, during the contract) or if the entity affiliated with the vendor pledges during the term of the contract to deliver that contribution after the last day of the term of the contract (was, pledged to be delivered during the contract or at a later time) and (2) accepted during the term of the contract if the relevant political campaign (was, contribute) receives the contribution on or after the first day of the contract but not later than the last day of the term of the contract (was, during the contract) and does not return it within 10 days or the relevant political campaign agrees on or after the first day of the term of the contract to receive the contribution after the last day of the term of the contract (was, at a later time). Deletes that the contribution is accepted if a check or other instrument is dated during the contract. Deletes that the contribution is accepted if a check or other instrument is dated during the contract. Deletes the definition for during the contract. Adds that an entity affiliated with a vendor may be an officer, director, member or manager of the vendor if the vendor is an incorporated business or may be a limited liability company (deletes an officer or director of a vendor from this category), as well as a partner or general manager, if the vendor is an unincorporated business entity or any political committee of which the vendor is the parent entity as provided in GS 163-278.19. Adds a definition for Office with authority to award contract to mean any of the principal offices or departments listed in GS 143A-11 (Principal departments). Adds to the definition of relevant political campaign an officer holding the office with authority to award the contract or a political committee controlled by an officer holding the office with the

authority to award the contract. Replaces the term *goods* with *supplies, materials, equipment, or other tangible personal property* in the provision setting the contract amount limitations. Provides that no relevant political campaign shall knowing accept a contribution during the term of the contract from an entity affiliated with a vendor that has entered into a contract for supplies, material, equipment, other tangible personal property, or services *in excess of \$25,000* with any of the principal offices or departments listed in GS 143A-11 and subject to Article 3 of GS Chapter 143. Provides that subsection (b) does not apply if contributions by all entities affiliated with the vendor to the relevant (was, state) political campaign during the term of contract do not exceed \$1000. Also makes technical and conforming changes. Changes the effective date to December, 1, 2009 (was, January 1, 2010).

Chairwoman Howard then recognized Representative Stam who recommended adding an additional month to the effective date. Chairwoman Howard then recognized Representative Ross who stated that adding an additional month can be reviewed in Judiciary II. Representative Goodwin passed on making any comments.

Representative Setzer then moved that **HB 961 – Pay to Play Regulation** be reported with a favorable report as to the committee substitute bill, unfavorable as to the original bill, and recommendation that the committee substitute bill be re-referred to the Committee on Judiciary II. The Committee voted in favor unanimously.

Having no further business, the meeting was adjourned at 2:20 p.m.

Rep. Julia Howard,

Presiding Co-Chair

Renee Weaver, Committee Assistant

2009 COMMITTEE REPORT HOUSE OF REPRESENTATIVES

By Representative Howard, Ross (Chairs) for the Committee on ETHICS.
Committee Substitute for
HB 961 A BILL TO BE ENTITLED AN ACT TO PREVENT CONFLICT OF
INTEREST AND ITS APPEARANCE INVOLVING POLITICAL CONTRIBUTIONS BY
STATE CONTRACTORS.
₩ith a favorable report as to the committee substitute bill, unfavorable as to the original bill, and recommendation that the committee substitute bill be re-referred to the Committee on JUDICIARY II.
(FOR JOURNAL USE ONLY)
Pursuant to Rule 32(a), the bill/resolution is re-referred to the Committee on
Pursuant to Rule 36(b), the (House/Senate) committee substitute bill/(joint) resolution
(No) is placed on the Calendar of (The original bill resolution No)
is placed on the Unfavorable Calendar.
The (House) committee substitute bill/(joint) resolution (No) is re-referred to the
Committee on (The original bill/resolution) (House/Senate Committee Substitute
Bill/(Joint) resolution No.) is placed on the Unfavorable Calendar.

AGENDA House Ethics Committee

Wednesday, April 15, 2009
2:00 pm
Room 415 LOB
Chaired by: Representative Howard

Co-Chairs

Representative Julia Howard Representative Deborah Ross

Call to Order

Introduction of Pages

Bills:

HB 961 Pay to Play Regulation

Representatives Glazier, Stam, Ross

HB 1136 Executive Branch Revolving Door

Representatives Goodwin, Ross, Stam

Adjournment

HOUSE ETHICS COMMITTEE

2009 SESSION MEMBERSHIP

NAME	<u>Office</u>	PHONE	LEGISLATIVE ASSISTANT
REPRESENTATIVE JULIA HOWARD CO-CHAIR	1106	733-5904	RENEE WEAVER
REPRESENTATIVE DEBORAH ROSS CO-CHAIR	2223	733-5773	Margie Penven
REPRESENTATIVE HAROLD BRUBAKER VICE CHAIR	1229	715-4946	CINDY COLEY
REPRESENTATIVE DOUG YONGUE VICE CHAIR	1303	733-5821	KATIE STANLEY
REPRESENTATIVE LARRY BELL	538	733-5863	CAROLYN EDWARDS
REPRESENTATIVE WILLIAM CURRENT	418A	733-5809	WENDY MILLER
REPRESENTATIVE JERRY DOCKHAM	1213	715-2526	REGINA IRWIN
REPRESENTATIVE JEAN FARMER-BUTTERFIELD	528	733-5898	RUTH MERKLE
REPRESENTATIVE CAROLYN JUSTICE	306- A3	715-9664	VIVIAN SHERRILL
REPRESENTATIVE MARVIN LUCAS	417-A	733-5775	THELMA UTLEY
REPRESENTATIVE GRIER MARTIN	2123	733-5758	SYLVIA HAMMONS
REPRESENTATIVE JOHNATHAN RHYNE	1323	733-5782	PAT STUSSIE
REPRESENTATIVE MITCHELL SETZER	1204	733-4948	MARGARET HERRING
REPRESENTATIVE JOE TOLSON	307B2	715-3024	SHIRLEY PHILLIPS

STAFF: Kory Goldsmith, Brad Krehely, 733-2578

GENERAL ASSEMBLY OF NORTH CAROLINA SESSION 2009

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HOUSE BILL 961 PROPOSED COMMITTEE SUBSTITUTE H961-CSRC-8 [v.3]

4/15/2009 12:42:38 PM

Short Title: Pa	ay to Play Regulation.	(Public)
Sponsors:	·	
Referred to:		
· ·	April 1, 2009	<u> </u>
•		
•	A BILL TO BE ENTITLED	
AN ACT TO	PREVENT CONFLICT OF INTEREST AND ITS	S APPEARANCE
	G POLITICAL CONTRIBUTIONS BY STATE CONTRAC	
	sembly of North Carolina enacts:	JIORO.
	TION 1. Article 3 of Chapter 143 of the General Statu	ites is amended by
adding a new sec		nes is amenaea by
_	Prohibition on contributions by entity affiliated with a	vendor.
	nitions. – The following definitions apply in this section:	
$\overline{}$ $\overline{(1)}$	Contribution As defined in G.S. 163-278.6(6). A co	ontribution is made
	during the term of a contract if it is dated or pledged on o	or after the first day
	of the term of the contract, but not later than the last day	
	contract, if it is delivered on or after the first day of the to	erm of the contract
	but not later than the last day of the term of the contra	
	affiliated with the vender pledges during the term of the	
	the contribution after the last day of the term of the contri	
	is accepted during the term of a contract if the relevant	
	receives the contribution on or after the first day of the to	
	but not later than the last day of the term of the contract	and does not return
	the contribution within 10 days, or the relevant political of	
	or after the first day of the term of the contract to recei	
	after the last day of the term of the contract.	
(2)	Entity affiliated with a vendor An officer, director, mer	nber or manager of
	the vendor if the vendor is an incorporated business or	a limited liability
	company, a partner or general manager if the vendor is	
	business entity, or any political committee of which the	endor is the parent
	entity as provided in G.S. 163-278.19.	
<u>(3)</u>	Office with authority to award contract Any of the	orincipal offices or
	departments listed in G.S. 143A-11. Notwithstanding any	other provision of
	law or delegation of authority and for the purposes of su	bsection (b) of this
•	section, the head of the principal office or department s	shall be deemed to
	award all contracts for that principal office or departmen	t and for any other
	offices, agencies or commissions administratively ho	



principal office or department.

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- (4) Relevant political campaign. - A candidate for the office with authority to award the contract, the officer holding the office with authority to award the contract or a political committee controlled by a candidate for or officer holding the office with authority to award the contract.
- Prohibition. No entity affiliated with a vendor that has entered into a contract for supplies, materials, equipment, other tangible personal property or services in excess of twenty-five thousand dollars (\$25,000) with any of the principal offices or departments listed in G.S. 143A-11 and subject to the provisions of Article 3 of Chapter 143 of the General Statutes shall make during the term of the contract a contribution to a relevant political campaign. No relevant political campaign shall knowingly accept a contribution during the term of the contract from an entity affiliated with a vendor that has entered into a contract for supplies. materials, equipment, other tangible personal property or services in excess of twenty-five thousand dollars (\$25,000) with any of the principal offices or departments listed in G.S. 143A-11 and subject to the provisions of Article 3 of Chapter 143 of the General Statutes. This subsection does not apply if the contributions by all entities affiliated with the vendor to the relevant political campaign during the term of the contract do not exceed one thousand dollars (\$1,000). The State Board of Elections shall adopt any procedures for reporting it deems necessary for the enforcement of this subsection.
 - (c) Penalty. - A violation of this section is a Class 2 misdemeanor."
- This act becomes effective December 1, 2009, and applies to SECTION 2. contributions made on or after that date.



HOUSE BILL 961: Pay to Play Regulation

2009-2010 General Assembly

Committee: House Ethics, if favorable, Judiciary II

Introduced by: Reps. Glazier, Stam, Ross, Goodwin

Analysis of: PCS to First Edition

H961-CSRC-8

Date:

April 15, 2009

Prepared by: Kory Goldsmith

Committee Counsel

SUMMARY: House Bill 961 would prohibit certain individuals affiliated with companies that have contracts in excess of \$25,000 with the departments and offices headed by the members of the Council of State from making, during the term of a contract with that department or office, campaign contributions to that a candidate for that office, the officer holding that office, or a political committee controlled by that candidate or officer. The bill would also prohibit the candidate, officer or political committee from accepting the contribution. Donations from all affiliated individuals totally no more than \$1000 during the term of the contract would be permitted. A violation would be a Class 2 misdemeanor.

The proposed committee substitute makes clarifying and conforming changes to the bill.

CURRENT LAW:

Limitations on campaign contributions: A candidate may not accept and a contributor may not give more than four thousand dollars (\$4,000) per election. If there is a primary for the office of the candidate and a general election, the candidate may receive four thousand dollars (\$4,000) through the day of the primary and four thousand dollars (\$4,000) from the day after the primary through the general election. A candidate, the candidate's spouse, parents, brothers and sisters may contribute unlimited amounts to the candidate and are not subject to the limitations. Any National, State, district or county executive committee of any political party (recognized under G.S. 163-96) is exempt from the contribution limitations as well.

Prohibitions on campaign contributions: It is unlawful for any corporation, business entity, labor union, professional association, or insurance company to directly or indirectly contribute to a candidate. Political committees not registered with North Carolina are also prohibited from contributing. A registered political committee, other than those exempt political party committees, may contribute four thousand dollars (\$4,000) per election to candidate committees. No lobbyist may make a contribution at any time to a member of the General Assembly or Council of State or a candidate that has been elected or appointed to the General Assembly or Council of State.

State Purchase and Contract: Article 3 of Chapter 143 requires that all contracts in excess of certain "benchmarks" for the purchase of supplies, materials, equipment, other tangible personal property and service contracts must be handled by the Office of Purchase and Contract in the Department of Administration. Contracts under the specified benchmark may be handled by the specific department. Most departments have a \$10,000 benchmark, but the Secretary of the Department of Administration has the authority to increase the benchmark to \$25,000 under certain circumstances. The following are some of the State agency contracts that are not subject to Article 3: information technology (Article 3D, Chpt. 147), consultant services (Article 3C, Chpt. 143); architectural, engineering and surveying services (Article 3D, Chpt 143); building contracts (Article 8, Chpt 143); acquisition of real property (Article 6, Chpt. 146) and disposition of real property (Article 7, Chpt. 146).

House Bill 961

Page 2

BILL ANALYSIS: The Proposed Committee Substitute to House Bill 961 would prohibit certain individuals who are affiliated with a vendor that has a contract for goods, materials, supplies or services (entity affiliated with a vendor) with one of the offices or departments headed by a member of the Council of State (office with authority to award contract) from making a contribution to a candidate for that office, the office holder, or a political committee controlled by that candidate or officer (relevant political campaign) during the term of the contract. It would also prohibit the candidate, officer or political committee controlled by that candidate or officer from accepting contributions from those individuals during the term of the contract. The prohibition would not apply if the contributions from all the entities affiliated with a vendor did not total more than \$1000 during the term of the contract. A violation of the prohibition would be a Class 2 misdemeanor.

"Entity affiliated with a vendor." – An officer, director, member or manager of the vendor if the vendor is an incorporated business or a limited liability company, a partner or general manager if the vendor is an unincorporated business entity or any political committee of which the vendor is the parent entity as provided in G.S. 163-278.19.

"Office with authority to award contract." -

- (1) Office of the Governor.
- (2) Office of the Lieutenant Governor.
- (3) Department of the Secretary of State.
- (4) Department of State Auditor.
- (5) Department of State Treasurer.
- (6) Department of Public Instruction.
- (7) Department of Justice.
- (8) Department of Agriculture and Consumer Services.
- (9) Department of Labor.
- (10) Department of Insurance.

"Relevant political campaign." – A candidate for the office with authority to award the contract, the officer holding the office with authority to award the contract or a political committee controlled by a candidate for or officer holding the office with authority to award the contract.

EFFECTIVE DATE: This act becomes effective December 1, 2009, and applies to contributions made on or after that date.

H961-SMRC-10(CSRC-8) v1

Ethics

GENERAL ASSEMBLY OF NORTH CAROLINA SESSION 2009

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HOUSE BILL 961

Short Title: Pay to Play Regulation. (Public)

Sponsors: Representatives Glazier, Stam, Ross, Goodwin (Primary Sponsors);
M. Alexander, Blust, Cotham, Dollar, Faison, Fisher, Folwell, Harrison, Insko, Jackson, Lucas, Luebke, Martin, and Weiss.

Referred to: Ethics, if favorable, Judiciary II.

April 1, 2009

A BILL TO BE ENTITLED

AN ACT TO PREVENT CONFLICT OF INTEREST AND ITS APPEARANCE INVOLVING POLITICAL CONTRIBUTIONS BY STATE CONTRACTORS.

The General Assembly of North Carolina enacts:

SECTION 1. Article 3 of Chapter 143 of the General Statutes is amended by adding a new section to read:

"§ 163-278.13D. Prohibition on contributions by entity affiliated with a vendor.

- (a) <u>Definitions. The following definitions apply in this section:</u>
 - (1) Contribution. As defined in G.S. 163-278.6(6). A contribution is "made" during the contract if the check or other instrument is dated during the contract, or if the check or other instrument is delivered to the contributee during the contract, or if the contributor pledges during the contract to deliver the check or other instrument at a later time. A contribution is "accepted" during the contract if the check or other instrument is dated during the contract, or if the contributee receives the check or other instrument during the contract and does not return it within 10 days, or agrees during the contract to receive the check or other instrument at a later time.
 - (2) <u>During the contract. The period from the formation of the contract until the termination of the contract.</u>
 - Entity affiliated with the [a] vendor. An officer or director of the vendor or partner or general manager if the vendor is an unincorporated business entity or any political committee of which the vendor is the parent entity as provided in G.S. 163-278.19.
 - (4) Relevant political campaign. A candidate for the office with authority to award the contract or a political committee controlled by a candidate for the office with authority to award the contract.
- (b) Prohibition. No entity affiliated with a vendor that has entered into a contract for goods and services in excess of twenty-five thousand dollars (\$25,000) with any department, institution, or agency of the State government subject to the provisions of Article 3 of Chapter 143 of the General Statutes shall make during the contract a contribution to a relevant political campaign. No relevant political campaign shall knowingly accept a contribution during the contract from an entity affiliated with a vendor that has entered into a contract for goods and services with any department, institution, or agency of the State government subject to the provisions of Article 3 of Chapter 143 of the General Statutes. This subsection does not apply if



Session 2009

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the contributions by all entities affiliated with the vendor to all State political campaigns during the contract do not exceed one thousand dollars (\$1,000). The State Board of Elections shall adopt any procedures for reporting it deems necessary for the enforcement of this subsection.

(c) Penalty. - A violation of this section is a Class 2 misdemeanor."

5 6 **SECTION 2.** This act becomes effective January 1, 2010, and applies to contributions made on or after that date.

March 31, 2009

H 961. PAY TO PLAY REGULATION. Filed 3/31/09. TO PREVENT CONFLICT OF INTEREST AND ITS APPEARANCE INVOLVING POLITICAL CONTRIBUTIONS BY STATE CONTRACTORS.

Adds new section G.S. 163-278.13D prohibiting any entity affiliated with a vendor that has a contract in excess of \$25,000 with any department, institution, or agency of state government subject to state purchasing and contracting laws from contributing to a relevant political campaign during the contract. Likewise prohibits any campaign from knowingly accepting a contribution during the contract. Defines a relevant political campaign as one involving a candidate (or political committee controlled by the candidate) for the office with the authority to award the contract. Provides that the law does not apply if contributions by all entities affiliated with the vendor to all political campaigns during the contract do not exceed \$1,000. Delegates to the State Board of Election authority to adopt reporting procedures necessary to enforce the law, violation of which is a Class 2 misdemeanor. Effective January 1, 2010, and applicable to contributions made on or after that date.

Intro. by Glazier, Stam, Ross, Goodwin

GS 163

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Н.В.	SESSION LAW
A BILL TO E	3E ENTITLED
Introduced by Representative(s): Glazier, Stam, Ross, and	A BILL TO BE ENTITLED CONFLICT OF INTEREST AND ITS APPEARANCE INVOLVING POLITIC
Principal Clerk's Use Only	
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2009 COMMITTEE REPORT HOUSE OF REPRESENTATIVES

The following tepe	ort(s) from standing committee(s) is/are presented.	
By Repres	sentative Howard, Ross (Chairs) for the Committee on ETHICS.	
Committee Sub	stitute for	
HB 1136	A BILL TO BE ENTITLED AN ACT TO PROHIBIT CERTAIN	
EXECUTIVE BRA	ANCH EMPLOYEES FROM REGISTERING AS A LOBBYIST FOR S	SIX
MONTHS AFTER	R LEAVING EMPLOYMENT WITH THE STATE.	
With a favorab JUDICIARY I.	le report and recommendation that the bill be re-referred to the Committe	e on
(FOR JOURNAL	LICE ONLY	
(FOR JOURNAL	OSE ONLY)	
Pursuan	at to Rule 32(a), the bill/resolution is re-referred to the Committee on	
The bill	/resolution is re-referred to the Committee on	•

GENERAL ASSEMBLY OF NORTH CAROLINA SESSION 2009

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HOUSE BILL 1136

Short Title:	Executive Branch Revolving Door.	(Public)
Sponsors:	Representatives Ross, Stam (Primary Sponsors); M. Alexander, Glazier, Harrison, Hurley, Jones, Lucas, Luebke, and Weiss.	Folwell,
Referred to:	Ethics, if favorable, Judiciary I.	
	April 7, 2009	

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A BILL TO BE ENTITLED AN ACT TO PROHIBIT CERTAIN EXECUTIVE BRANCH EMPLOYEES FROM PEGISTERING AS A LORRYIST FOR SIX MONTHS AFTER LEAVING

REGISTERING AS A LOBBYIST FOR SIX MONTHS AFTER LEAVING EMPLOYMENT WITH THE STATE.

The General Assembly of North Carolina enacts:

SECTION 1 GS 120C 501 is among

SECTION 1. G.S. 120C-501 is amended by adding a new subsection to read:

No liaison personnel may register as a lobbyist within six months after separation

from employment as a liaison personnel."

a public servant. with the State."

SECTION 2. G.S. 120C-304(c) reads as rewritten:

9 10 11

12 13 "(c) No public servant or former public servant as defined in G.S. 138A-3(30)c. G.S. 138A-3(30)c., d., any president, vice-president, chancellor, vice-chancellor of The University of North Carolina, or any president, chief financial officer, or chief administrative officer of the State Board of Community Colleges or each of the community colleges may register as a lobbyist under this Chapter within six months after separation from employment as

14 15 16

SECTION 3. This act becomes effective January 1, 2010.



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HOUSE BILL 1136: Executive Branch Revolving Door

2009-2010 General Assembly

Committee: House Ethics, if favorable, Judiciary I

Introduced by: Reps. Ross, Stam

Analysis of: First Edition

Date: April 15, 2009

Prepared by: Brad Krehely

Committee Co-Counsel

SUMMARY: House Bill 1136 would prohibit certain executive branch officials from registering as a lobbyist for six months after leaving employment with the State.

CURRENT LAW: All agencies and constitutional officers of the State, including all boards, departments, divisions, constituent institutions of The University of North Carolina, community colleges, and other units of government in the executive branch must designate *liaison personnel* to lobby for legislative action. Units of local government, State agencies, and boards with no staff do not have to designate liaison personnel. G.S. 120C-500. Liaison personnel must register and make reports with the Secretary of State and are subject to the gift ban. G.S. 120C-501.

The lobbying laws provide revolving door restrictions which limit when certain people may register as a lobbyist.

- Legislators- No legislator or former legislator may register as a lobbyist (1) while in office and (2) before the later of the close of session in which the legislator served or six months after leaving office. G.S. 120C-304 (a).
- Constitutional Officers of the State- Members of the Council of State may not register as a lobbyist while in office or within six months after leaving office. G.S. 120C-304 (b).
- Heads of Principal State Departments- Heads of all principal State departments who are appointed by the governor may not register as a lobbyist within six months after separation from employment as a public servant. G.S. 120C-304 (c).

BILL ANALYSIS: House Bill 1136 would add the following revolving door restrictions:

- Liaison Personnel- Would prohibit liaison personnel from registering as a lobbyist within six months after separation from employment as a liaison personnel.
- Other Persons-Would prohibit the following individuals from registering as a lobbyist within six months after separation from employment with the State:
 - O Chief deputy and chief administrative assistant of each of the council of state and major department heads.
 - Any president, vice president, chancellor, or vice chancellor of The University of North Carolina.
 - O Any president, chief financial officer, or chief administrative officer of the State Board of Community Colleges or each of the community colleges.

EFFECTIVE DATE: This act becomes effective January 1, 2010.

H1136-SMRN-20(e1) v7

April 6, 2009

H 1136. EXECUTIVE BRANCH REVOLVING DOOR. Filed 4/6/09. TO PROHIBIT CERTAIN EXECUTIVE BRANCH EMPLOYEES FROM REGISTERING AS A LOBBYIST FOR SIX MONTHS AFTER LEAVING EMPLOYMENT WITH THE STATE.

Amends GS 120C-501 to prohibit liaison personnel from registering as a lobbyist within six months after separation from employment as a liaison personnel. Amends GS 120C-304(c) to prohibit any public servant or former public servant, as defined in GS 138A-3(30)d., any president, vice-president, chancellor, vice-chancellor of UNC, or any president, chief financial officer, or chief administrative officer of the State Board of Community Colleges or each of the community colleges from registering as a lobbyist within six months after separation from employment with the state. Effective January 1, 2010.

Intro. by Ross, Stam.

GS 120C

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H.B				SESSIO	N LAW
	AB	ILL TO BE	ENTITLE	:D	
AN ACT TO PROHIBIT O					
Introduced by Representative	(s): Ross and Stam (w Primary Sponso	ors <u>)</u> .	•	0 (
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For a complete	list of cosponsors fo	r this bill, pleas	se see the re	port inside the	bill jacket.
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Renee Weaver (Rep. Howard)

⊏rom:

Margie Penven (Rep. Ross)

ient:

Wednesday, April 15, 2009 2:29 PM Renee Weaver (Rep. Howard)

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Subject:

Excused absence

Please give Rep. Doug Yongue an excused absence from Ethics today. He was meeting with Appropriations chairs.

Thanks.

Margie Penven Legislative Assistant to Representative Ross 919 733-5773

House Pages

Name of Committee :	Note:	4-75
Name of Committee	_ Date	
1. Name: Leignann Scheltz		
County: Brunswick		
Sponsor: Stiller		,
2. Name: John Maniao		
County: Cumboerland		
Sponsor: Floyd		
3. Name:		
County:		
Sponsor:		
4. Name:		
County:		
Sponsor:		· ·
5. Name:		
County:		
Sponsor:		
-	gt-At-Arms	•
1. Name: Dusty Rhodes		
2. Name: TRUSSANT AVEN)7	
1. Name: Dusty Rhobes 2. Name: TOUSSANT AVEN 3. Name: RUDNEY FING	en	
4. Name:		

ETHICS	1,17	4-15-09	
Name of Committee		Date	

VISITORS: PLEASE SIGN IN BELOW AND RETURN TO COMMITTEE CLERK

NAME	FIRM OR AGENCY AND ADDRESS		
Penny buit	School of How Notional Association of Social Workers NC Chapter, BSW Intern		
Joal Brown	NCSOS		
Kathryn Hunter	NCSOS		
Dretchen Ageo	A NCDOA		
Bill Scobbin	KLG		
	_		

ETHICS	4/15/09
Name of Committee	Date

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK

NAME	FIRM OR AGENCY AND ADDRESS
Annette Dewkirk	Governor's Office
Km Steach	SBE
PAUL STOCK	NCBA
Gan Robertson	AP*
Michael House	NCBR
DAVID BARNES	PoinerSpirit
Tennihawilles	NCUS
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ETHICS	4/15/09
Name of Committee	Date

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK

<u>NAME</u>	FIRM OR AGENCY AND ADDRESS
CASADON WHITE	Aoc
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NORTH CAROLINA HOUSE OF REPRESENTATIVES COMMITTEE MEETING NOTICE AND PH L SPONSOR NOTHER ACTION

BILL SPONSOR NOTIFICATION 2009-2010 SESSION

You are hereby	notified that the Committee on	Ethics will meet as follows:
DAY & DATE	E: Wednesday, April 15, 2009	•
TIME: 2:00 j	o.m.	
LOCATION:	415 LOB	
The following	bills will be considered:	
BILL NO. HB 961 HB 1136	. *	SPONSOR Representative Glazier Representative Stam Representative Ross Representative Goodwin oor. Representative Ross Representative Stam Respectfully, Representative Howard, Chair Representative Ross, Chair
4 o'clock on -A	April 9, 2009.	mittee assistant at the following offices at
Renee Weave	r (Committee Assistant)	

HOUSE ETHICS COMMITTEE MEETING April 22, 2009 2:00 P.M. Room 1228 LB

Chaired by: Representative Deborah Ross

The House Ethics Committee met on Wednesday, April 22, 2009 at 2:00 PM in Room 1228 of the Legislative Building. The following members were present: Chairwomen Howard and Ross, Vice-Chairmen Brubaker and Yongue, and Representatives Dockham, Martin, and Setzer.

The Sergeant-at-Arms staff included Toussaint Avent, Young Bae and Bob Rossi. Also present were staff persons Brad Krehely and Kory Goldsmith.

Chairwoman Ross called the meeting to order at 2:00 p.m. and recognized the pages: Korry Chandler, Sampson County, from the Tarheel Challenge; and McKenzi Toogood, Sampson County, from the Tarheel Challenge.

Chairwoman Ross called the members attention to HB 1452 - Local Government Code of Ethics, sponsored by Representatives Allen, Howard, Justice and Harrison. Chairwoman Ross recognized Representative Howard who moved to amend the bill on page 1 line 7 by deleting the number 3 and substituting the number 5. The motion was carried and the amendment was adopted. Chairwoman Ross again recognized Representative Howard who then explained the purpose of the bill was to require the governing boards of cities, counties, boards of education, unified governments and consolidated citycounties to adopt a resolution or policy containing a code of ethics to guide actions by the members of those governing boards in the performance of their official duties. It also requires board members to receive at least 2 clock hours of ethics education within 12 months of appointment or election. Representative Howard stated that the League of Cities, County Commissioner Association and school boards were all on board. Chairwoman Ross then recognized Representative Brubaker who questioned the 12 hours the school board currently requires. Representative Howard stated that yes that was correct. Chairwoman Ross provided the opportunity to members of the audience to express their views on the bill. Jane Pinsky, NC Coalition for Lobbying and Government Reform. Patrice Roesler, NC Association of County Commissioners and Bob Hall,

Democracy North Carolina all stated their support for the bill. Chairwoman Ross then recognized Representative Dockham who moved to amend the bill by adding sanitary districts to the list of local entities whose governing boards are required to adopt a code of ethics for the governing board and whose governing board members are required to receive ethics education. The motion was carried.

There being no further discussion or debate on the bill, Representative Martin then moved the amendments be rolled into a committee substitute and as such that HB 1452 - Local Government Code of Ethics be reported with a favorable report as to the committee substitute bill, unfavorable as to the original bill, and recommendation that the committee substitute bill be re-referred to the Committee on Judiciary I. The Committee voted in favor unanimously.

Having no further business, the meeting was adjourned at 2:10 p.m.

Rep. Deborah Ross. **Presiding Co-Chair**

Committee Assistant

2009 COMMITTEE REPORT HOUSE OF REPRESENTATIVES

The following report(s) from standing committee(s) is/are presented:
By Representative Howard, Ross (Chairs) for the Committee on ETHICS.
Committee Substitute for
HB 1452 A BILL TO BE ENTITLED AN ACT TO REQUIRE ALL LOCAL
GOVERNMENTS TO ADOPT A CODE OF ETHICS FOR THE GOVERNING BOARD AND
TO REQUIRE THE MEMBERS OF THOSE GOVERNING BOARDS TO RECEIVE
EDUCATION ON ETHICS LAWS APPLICABLE TO LOCAL GOVERNMENT OFFICIALS.
With a favorable report as to the committee substitute bill, which changes the title, unfavorable as to the original bill, and recommendation that the committee substitute bill be rereferred to the Committee on JUDICIARY I.
(FOR JOURNAL USE ONLY)
Pursuant to Rule 32(a), the bill/resolution is re-referred to the Committee on
·
·
Pursuant to Rule 36(b), the (House/Senate) committee substitute bill/(joint) resolution
(No) is placed on the Calendar of (The original bill resolution No)
(No) is placed on the Calendar of (The original bill resolution No) is placed on the Unfavorable Calendar. The (House) committee substitute bill/(joint) resolution (No) is re-referred to the
(No) is placed on the Calendar of (The original bill resolution No) is placed on the Unfavorable Calendar.

GENERAL ASSEMBLY OF NORTH CAROLINA SESSION 2009

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HOUSE BILL 1452 PROPOSED COMMITTEE SUBSTITUTE H1452-PCS10885-RC-12

•		
Short Title: Lo	ocal Government Code of Ethics.	(Public)
Sponsors:		
Referred to:		
	April 13, 2009	
	April 15, 2009	
UNIFIED CONTROLL COUNTROLL COUNTROL	ENT OFFICIALS. embly of North Carolina enacts: FION 1. Article 5 of Chapter 160A of the General Statutes is	SOLIDATED ING BOARD BOARDS TO TO LOCAL
	"Part 3A. Ethics Codes and Education Programs.	
"§ 160A-83. Loc	cal governing boards' code of ethics.	
	rning boards of cities, counties, local boards of educa	ition, unified
governments, san	nitary districts, and consolidated city-counties shall adopt a resolu	tion or policy
containing a co	de of ethics to guide actions by the governing board me	mbers in the
performance of the	he member's official duties as a member of that governing board.	
(b) The re	esolution or policy required by subsection (a) of this section sh	nall address at
least all of the fol		
(1)	The need to obey all applicable laws regarding official actio board member.	ns taken as a
<u>(2)</u>	The need to uphold the integrity and independence of the bo	ard member's
	office.	<u> </u>
<u>(3)</u>	The need to avoid impropriety in the exercise of the board mer duties.	nber's official
<u>(4)</u>	The need to faithfully perform the duties of the office.	
(5)	The need to conduct the affairs of the governing board in an op	en and public
	manner, including complying with all applicable laws go	verning open
	meetings and public records.	
	nics education program required.	
<u>(a) All m</u>	nembers of governing boards of cities, counties, local boards	of education,
unified governme	ents, sanitary districts, and consolidated city-counties shall receive	A minimum

* H 1 4 5 2 - P C S 1 0 8 8 5 - R C - 1 2 *

The ethics education shall cover laws and principles that govern conflicts of interest

of two clock hours of ethics education within 12 months of election or appointment.

and ethical standards of conduct at the local government level.

- 1 2 3 4
- The ethics education may be provided by the North Carolina League of (c) Municipalities, North Carolina Association of County Commissioners, North Carolina School Boards Association, the School of Government at the University of North Carolina at Chapel
 - Hill, or other qualified sources at the choice of the governing board.
- 5 The clerk to the governing board shall maintain a record verifying receipt of the 6 ethics education by each member of the governing board."

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SECTION 2. G.S. 115C-47 is amended by adding a new subdivision to read:

8 9 "(53) To adopt a code of ethics. - Local boards of education shall adopt a resolution or policy containing a code of ethics, as required by G.S. 160A-83."

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SECTION 3. G.S. 115C-50 reads as rewritten:

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"§ 115C-50. Training of board members.

- 13 14 15
- All members of local boards of education education, whether elected or appointed, shall receive a minimum of 12 clock hours of training annually. The 12 clock hours of training may include the ethics education required by G.S. 160A-84.

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The training shall include but not be limited to public school law, public school finance, and duties and responsibilities of local boards of education.

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The training may be provided by the North Carolina School Boards Association, the School of Government at the University of North Carolina at Chapel Hill, or other qualified sources at the choice of the local board of education."

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SECTION 4. Article 4 of Chapter 153A of the General Statutes is amended by adding a new section to read:

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"§ 153A-53. Ethics.

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The board of commissioners shall adopt a resolution or policy containing a code of ethics, as required by G.S. 160A-83.

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All members of the board of commissioners, whether elected or appointed, shall receive the ethics education required by G.S. 160A-84."

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SECTION 5. Article 1A of Chapter 160B of the General Statutes is amended by adding a new section to read:

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"§ 160B-2.3. Ethics.

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The governing board shall adopt a resolution or policy containing a code of ethics, (a) as required by G.S. 160A-83.

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All members of the governing board, whether elected or appointed, shall receive the (b)

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ethics education required by G.S. 160A-84." SECTION 6. Part 2 of Article 2 of Chapter 130A of the General Statutes is

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amended by adding a new section to read: "§ 130A-49.5. Ethics.

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The governing board shall adopt a resolution or policy containing a code of ethics. (a) as required by G.S. 160A-83.

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All members of the governing board, whether elected or appointed, shall receive the ethics education required by G.S. 160A-84."

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SECTION 7. The resolution or policy containing a code of ethics that is required by G.S. 160A-83 shall be adopted by each municipality, county, local board of education, unified government, sanitary district, and consolidated city-county on or before January 1, 2011. The governing board may look to model local government codes of ethics for guidance in developing the resolution or policy.

45 46 47

SECTION 8. This act is effective when it becomes law.



HOUSE BILL 1452: Local Government Code of Ethics

2009-2010 General Assembly

Committee:

Analysis of:

House Ethics, if favorable, Judiciary I Introduced by: Reps. Allen, Howard, Justice, Harrison

First Edition

Date:

April 22, 2009

Prepared by: Kory Goldsmith

Committee Counsel

SUMMARY: House Bill 1452 would require the governing boards of cities, counties, boards of education, unified governments and consolidated city-counties to adopt a resolution or policy containing a code of ethics to guide actions by the members of those governing boards in the performance of their official duties. It also requires board members to receive at least 2 clock hours of ethics education within 12 months of appointment or election.

CURRENT LAW: A number of statutes govern conflicts of interest and standards of conduct for local officials. These include:

G.S. 14-234 which prohibits public officers or public employees from:

- > being involved in the making or administering of a public contract where they will derive a direct benefit from the contract:
- > attempting to influence the making or administration of a public contract where they will derive a direct benefit; or
- > soliciting or receiving any gift, reward or promise of reward in exchange for recommending. influencing or attempting to influence the award of a contract by the public agency the officer or employee serves.
- G.S. 14-234.1 which prohibits officers and employees of political subdivisions of the State from using information acquired in that official position (but not available to the public) to acquire an interest in or otherwise gain a pecuniary benefit (or assist another to gain a pecuniary benefit) that may be affected by an official action.
- G.S. 133-32 prohibits any officer or employee of a governmental agency charged with preparing plans or specifications for contract, awarding or administering public contract or inspecting or supervising public construction from receiving or accepting any gift or favor from any contractor, subcontractor or supplier involved with the project or contract.
- G.S. 153A-44, 153A-340, 160A-75, and 160A-381 also provide situations where a board may excuse a member from voting on certain matters involving that members own financial interest or official conduct.

BILL ANALYSIS:

Section 1 adds a new Part 3A to Chapter 160A. G.S. 160A-83 requires local governing boards to adopt a resolution or policy containing a code of ethics guide the members in the performance of their official duties. This requirement would apply to local governing boards of cities, counties, boards of education, unified governments and consolidated city-counties. The resolution or policy would be required to address all of the following:

- The need to obey all applicable laws regarding official actions taken as a board (1) member.
- The need to uphold the integrity and independence of the board member's office. (2)

House Bill 1452

Page 2

- (3) The need to avoid impropriety in the exercise of the board member's official duties.
- (4) The need to faithfully perform the duties of the office.
- (5) The need to conduct the affairs of the governing board in an open and public manner, including complying with all applicable laws governing open meetings and public records.

G.S. 160A-84 requires that the members of the boards that are required to adopt a resolution or policy containing a code of ethics must receive at least 2 clock hours of ethics education within 12 months of appointment or election. The education must cover the laws and principles that govern conflicts of interest and ethical standards of conduct at the local government level. The education may be provided by the North Carolina League of Municipalities, North Carolina Association of County Commissioners, North Carolina School Boards Association, the School of Government at the University of North Carolina at Chapel Hill, or other qualified sources at the choice of the governing board. The clerk of each governing board must maintain a record verifying receipt of the ethics education by each member.

Sections 2 and 3 requires school boards to adopt a code of ethics and provides that the 2 of the 12 hours of training the school board members must receive may be the required ethics education.

Section 4 directs the boards of county commissioners to adopt a code of ethics and receive the 2 hours of required ethics training.

Section 5 directs the boards of consolidated city-counties to adopt a code of ethics and receive the 2 hours of required ethics training.

Section 6 direct local boards to adopt a code of conduct no later than January 1, 2011. The governing boards may look to model local government codes of ethics for guidance in developing the resolution or policy.

EFFECTIVE DATE: This act is effective when it becomes law.

H1452-SMRC-17(e1) v1



NORTH CAROLINA GENERAL ASSEMBLY AMENDMENT

House Bill 1452

	AMENDI (to be fil	MENT NO
H1452-ARC-7 [v.1]	•	al Clerk)
	•	Page 1 of 1
Comm. Sub. [NO]		
Amends Title [NO]	Date	,2009
First Edition	•	
Representative		
moves to amend the bill on number "5".	on page 1, line 7, by deleting the number	"3" and substituting the
SIGNED	Amendment Sponsor	
SIGNED		
Committee C	hair if Senate Committee Amendment	
ADOPTED X	FAILED TA	BLED



NORTH CAROLINA GENERAL ASSEMBLY AMENDMENT

(Please type or use ballpoint pen)

	EDITION No
	H. B. No. 1452 DATE 4/22/09
	S. B. No Amendment No
	COMMITTEE SUBSTITUTE (to be filled in by Principal Clerk)
	(Rep.)
	Sen.)
	moves to amend the bill on page, line
	WHICH CHANGES THE TITLE
3	by inserting the phrase "sanitary districts"
4	after the word "governments";
5	
6	and an over 2, by inserting
7	The Pollowing between Anes 30 & 31
•	
8	"SECTION 6. Part 2 of Article 2.
9	
10	
11	to O.
12	
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14	(a) The governing board shall adopt a resolution
15	or policy containing a code of ethics
16	as regard by 6.5. 160A-83.
17	(b) All members of the severing board whether
18	elected or appointed shall receive the
19	10.
	SIGNED SWy C Ookham
	CIGIVE CONTRACTOR OF THE CONTR
	ADOPTEDTABLED

NORTH CAROLINA GENERAL ASSEMBLY AMENDMENT

(Please type or use ballpoint pen)

EDITION N	0	•	•
H. B. No		DATE	
S. B. No		Amendment No	(a 1 m) 1 1 1 1 1 1 1 1 1
COMMITTEE	SUBSTITUTE		(to be filled in by Principal Clerk)
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Se	1.)	,	
1	nond the hill on none	, line	a
	nend the bill on page	, IINO	
3 by <u>[e</u>	writing the live	to read:	
4	AN ACT TO 1	REQUIRE AL	HOGAL
5 1	HE GOVERNIN	G BOARDS B	F CITIES.
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10	A CODE OF	ETHICS " ".	
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HOUSE BILL 1452

Short Title:	Local Government Code of Ethics.		(Public)
Sponsors:	Representatives Allen, Howard, Justice, Harrison (Primary and Lucas.	Sponsors);	Carney
Referred to:	Ethics, if favorable, Judiciary I.		·

April 13, 2009

A BILL TO BE ENTITLED

AN ACT TO REQUIRE ALL LOCAL GOVERNMENTS TO ADOPT A CODE OF ETHICS FOR THE GOVERNING BOARD AND TO REQUIRE THE MEMBERS OF THOSE GOVERNING BOARDS TO RECEIVE EDUCATION ON ETHICS LAWS APPLICABLE TO LOCAL GOVERNMENT OFFICIALS.

The General Assembly of North Carolina enacts:

SECTION 1. Article 3 of Chapter 160A of the General Statutes is amended by adding a new Part to read:

"Part 3A. Ethics Codes and Education Programs.

"§ 160A-83. Local governing boards code of ethics.

- (a) Governing boards of cities, counties, local boards of education, unified governments, and consolidated city-counties shall adopt a resolution or policy containing a code of ethics to guide actions by the governing board members in the performance of the member's official duties as a member of that governing board.
- (b) The resolution or policy required by subsection (a) of this section shall address at least all of the following:
 - (1) The need to obey all applicable laws regarding official actions taken as a board member.
 - (2) The need to uphold the integrity and independence of the board member's office.
 - (3) The need to avoid impropriety in the exercise of the board member's official duties.
 - (4) The need to faithfully perform the duties of the office.
 - (5) The need to conduct the affairs of the governing board in an open and public manner, including complying with all applicable laws governing open meetings and public records.

"§ 160A-84. Ethics education program required.

- (a) All members of governing boards of cities, counties, local boards of education, unified governments, and consolidated city-counties shall receive a minimum of two clock hours of ethics education within 12 months of election or appointment.
- (b) The ethics education shall cover laws and principles that govern conflicts of interest and ethical standards of conduct at the local government level.
- (c) The ethics education may be provided by the North Carolina League of Municipalities, North Carolina Association of County Commissioners, North Carolina School Boards Association, the School of Government at the University of North Carolina at Chapel Hill, or other qualified sources at the choice of the governing board.



1 2 3

(d) The clerk to the governing board shall maintain a record verifying receipt of the ethics education by each member of the governing board."

SECTION 2. G.S. 115C-47 is amended by adding a new subdivision to read:

4 5 "(53) To adopt a code of ethics. - Local boards of education shall adopt a resolution or policy containing a code of ethics, as required by G.S. 160A-83."

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SECTION 3. G.S. 115C-50 reads as rewritten:

7 8

"§ 115C-50. Training of board members.

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All members of local boards of education education, whether elected or appointed, shall receive a minimum of 12 clock hours of training annually. The 12 clock hours of training may include the ethics education required by G.S. 160A-84.

12 13

The training shall include but not be limited to public school law, public school finance, and duties and responsibilities of local boards of education.

14 15

The training may be provided by the North Carolina School Boards Association, the School of Government at the University of North Carolina at Chapel Hill, or other qualified sources at the choice of the local board of education."

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SECTION 4. Article 4 of Chapter 153A of the General Statutes is amended by adding a new section to read:

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"§ 153A-53. Ethics.

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The board of commissioners shall adopt a resolution or policy containing a code of (a) ethics, as required by G.S. 160A-83.

22 23

All members of the board of commissioners, whether elected or appointed, shall receive the ethics education required by G.S. 160A-84."

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SECTION 5. Article 1A of Chapter 160B of the General Statutes is amended by

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adding a new section to read:

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"§ 160B-2.3. Ethics.

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The governing board shall adopt a resolution or policy containing a code of ethics, as required by G.S. 160A-83.

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All members of the governing board, whether elected or appointed, shall receive the ethics education required by G.S. 160A-84."

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SECTION 6. The resolution or policy containing a code of ethics that is required by G.S. 160A-83 shall be adopted by each municipality, county, local board of education, unified government, and consolidated city-county on or before January 1, 2011. The governing board may look to model local government codes of ethics for guidance in developing the resolution or policy.

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SECTION 7. This act is effective when it becomes law.



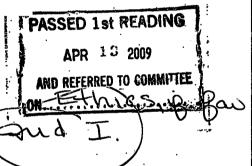
н.в. 1452

SESSION LAW	•
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A BILL TO BE ENTITLED

AN	ACT	TO	REQU	JIRE.	ALL	LOCA	L	GOVĖI	RNME	NTS	TO	ADO:	PT A	CC	DE	OF	ETHI	CS	FOR	THE
	GOVE	ERNI	NG B	DARI	AN	D TO	RE(QUIRE	THE	MEN	MBEI	RS OF	TH	OSE	GO	VER	NING	BO	ARDS	S TO
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ntroduced by Representative(s	RIA	· Me	of of	TE HENRON (R.M
troduced by Representative(s): Allen and H	loward (Primary	Sponsors). 3057	TEE HAVIOT
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•		· · · · · · · · · · · · · · · · · · ·		<u> </u>
For a complete li	st of cosponso	ors for this bill, p	lease see the report	inside the bill jacket.
rincipal Clerk's Use Only				



AGENDA House Ethics Committee

Wednesday, April 22, 2009
2:00 pm
Room 1425 LB
Chaired by: Representative Howard

<u>Co-Chairs</u>
Representative Julia Howard
Representative Deborah Ross

Call to Order

Introduction of Pages

Bills:

HB 1452 Local Government Code of Ethics Representatives Allen, Howard, Justice, Harrison

Adjournment

Corrected Notice: Room Change

NORTH CAROLINA HOUSE OF REPRESENTATIVES COMMITTEE MEETING NOTICE AND BILL SPONSOR NOTIFICATION 2009-2010 SESSION

You are hereby notified that the Committee on Ethics will meet as follow	Yo	ou are	hereb	y notified	that th	e Commi	ttee on l	Ethics v	will	meet as	follo	ws
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DAY & DATE: Wednesday, April 22, 2009

TIME: 2:00 p.m.

LOCATION: 1228 LB

The following bills will be considered:

BILL NO. SHORT TITLE

HB 1452 Local Government Code of Ethics.

SPONSOR

Representative Allen Representative Howard Representative Justice Representative Harrison

Respectfully, Representative Howard, Chair Representative Ross, Chair

I hereby certify this notice was filed by the comp 9 o'clock on April 17, 2009.	mittee assistant at th	ne following offices a
☐ Principal Clerk ☐ Reading Clerk – House Chamber	·	
Renee Weaver (Committee Assistant)		

NORTH CAROLINA HOUSE OF REPRESENTATIVES COMMITTEE MEETING NOTICE AND BILL SPONSOR NOTIFICATION 2009-2010 SESSION

You are hereby notified that the Committee on Ethics will meet as follows:

TIME: 2:00]		
LOCATION:	1425 LB	
The following	bills will be considered:	
BILL NO. HB 1452	SHORT TITLE Local Government Code of Ethics.	SPONSOR Representative Allen Representative Howard Representative Justice Representative Harrison
	_	fully, ntative Howard, Chair ntative Ross, Chair
	this notice was filed by the committee a April 15, 2009.	assistant at the following offices at
Principal C	lerk erk – House Chamber	

Renee Weaver (Committee Assistant)

Renee Weaver (Rep. Howard)

rom:

ient:

James White (Rep. Howard) Wednesday, April 22, 2009 10:45 AM

ιο:

Renee Weaver (Rep. Howard)

Subject:

Happenings

Ruth from Rep. Farmer-Butterfield informed me that the Rep. would not be able to attend the Ethics meeting this afternoon at 2:00. She has experienced a family emergency.

Also, Sg.Arms "Bob" gathered up the stuff.

James

HOUSE ETHICS COMMITTEE

2009 SESSION MEMBERSHIP

NAME	OFFICE	PHONE	LEGISLATIVE ASSISTANT
REPRESENTATIVE JULIA HOWARD CO-CHAIR	1106	733-5904	RENEE WEAVER
REPRESENTATIVE DEBORAH ROSS CO-CHAIR	2223	733-5773	MARGIE PENVEN
REPRESENTATIVE HAROLD BRUBAKER VICE CHAIR	1229	715-4946	CINDY COLEY
REPRESENTATIVE DOUG YONGUE VICE CHAIR	1303	733-5821	KATIE STANLEY
REPRESENTATIVE LARRY BELL	538	733-5863	CAROLYN EDWARDS
REPRESENTATIVE WILLIAM CURRENT	418A	733-5809	WENDY MILLER
REPRESENTATIVE JERRY DOCKHAM	1213	715-2526	REGINA IRWIN
REPRESENTATIVE JEAN FARMER-BUTTERFIELD	528	733-5898	RUTH MERKLE
REPRESENTATIVE CAROLYN JUSTICE	306- A3	715-9664	· VIVIAN SHERRILL
REPRESENTATIVE MARVIN LUCAS	417-A	733-5775	THELMA UTLEY
REPRESENTATIVE GRIER MARTIN	2123	733-5758	Sylvia Hammons
REPRESENTATIVE JOHNATHAN RHYNE	1323	733-5782	PAT STUSSIE
REPRESENTATIVE MITCHELL SETZER	1204	733-4948	Margaret Herring
REPRESENTATIVE JOE TOLSON	307B2	715-3024	SHIRLEY PHILLIPS

STAFF: Kory Goldsmith, Brad Krehely, 733-2578

ETHICS	4-22-2009
Name of Committee	Date ·
VISITORS: DI EASE SIGNIBELOW AND DETLIEN	TO COMMITTEE OF EDV

<u>VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK</u>

<u>NAME</u>	FIRM OR AGENCY AND ADDRESS
Kathryn Hunter	NC505
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ETHICS	4-22-2009	
Name of Committee	Date	

VISITORS: PLEASE SIGN BELOW AND RETURN TO COMMITTEE CLERK

NAME	FIRM OR AGENCY AND ADDRESS
Valine Caula	NCACC
Thing	NCCLGR
DAVIEL BAUM	K+L GATES
Br Hall Cam Civer	Democracy NC BPMWC
Cam Civer	BIMEL
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House Pages

Date: 04-22-09

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Cou	inty: Sampson
Spo	nsor: Tartteel Challenger
2. Nam	ne: Mckenzi Toogood
Cou	nty: Sampson
Spor	isor: Tarheei Challenge
3. Nam	e:
Cou	nty:
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4. Nam	e:
Cour	nty:
Spon	sor:
5. Nam	e:
Cour	nty:
Spon	sor:
•	Sgt-At-Arms
1. Name	LOUSSBINT AVENT
2. Name	BOB Rossi
3. Name	Bob Rossi
4 Name	