2 3 21 NCAC 17 .0112 SUSPENSION, REVOCATION AND DENIAL OF LICENSE 4 (a) The Board may refuse to issue a license, or suspend, revoke or impose probationary conditions and restrictions on 5 the license of a person upon a finding of any of the causes provided in General Statute Chapter 90, Article 25. 6 (b) When the Board has probable cause to believe that a person, applicant applicant, or licensee has violated any part 7 of G.S. 90-350 through 90-369 or the rules of the Board, this Chapter, the Board shall so advise the person in writing 8 as referenced in Rule .0116 .0503 and .0504 of this Chapter and provide the person with the opportunity for a hearing 9 as referenced in Rule .0116 .0504 of this Chapter. The Board's final decision or order shall be made in writing as 10 referenced in Rule .0116 .0514 of this Chapter. 11 (c) A suspended license shall be subject to expiration and may be renewed as provided in this Section, but such 12 renewal shall not entitle the licensee to engage in the licensed activity until he/she is they are reinstated. If a license 13 revoked on disciplinary grounds is reinstated, the licensee must reapply and pay all applicable fees. 14 15 History Note: Authority G.S. 90-356; 90-363; Temporary Adoption Eff. March 19, 1992 for a Period of 180 Days to Expire on September 13, 16 17 1992; 18 Eff. August 3, 1992; 19 Recodified from 21 NCAC 17.0012 Eff. February 1, 1995; 20 Amended Eff. January 1, 1996; 21 Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. October 4, 22 2016. <u>2016;</u> 23 Amended Eff. December 1, 2022.

21 NCAC 17. 0112 is amended as published in 37:04 NCR 334-340 as follows:

1

| 1 | 21 NCAC 17 .0 | 1116 is repealed as published in 37:04 NCR 334-340 as follows: |
|----|---------------|--|
| 2 | | |
| 3 | 21 NCAC 17 .0 | VIOLATIONS, COMPLAINTS, SUBSEQUENT BOARD ACTION, AND |
| 4 | | HEARINGS |
| 5 | | |
| 6 | History Note: | Authority G.S. 90-356; 90-363; 90-370; |
| 7 | | Temporary Adoption Eff. July 16, 1992 for a period of 180 days or until the permanent rule becomes |
| 8 | | effective, whichever is sooner; |
| 9 | | Eff. November 30, 1992; |
| 10 | | Recodified from 21 NCAC 17 .0016 Eff. February 1, 1995; |
| 11 | | Amended Eff. April 1, 2010; July 18, 2002; January 1, 1996; |
| 12 | | Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. October 4, |
| 13 | | 2016; |
| 14 | | Repealed Eff. December 1, 2022. |

| 1 | 21 NCAC 17 .0 | 501 is a | dopted as published in 37:04 NCR 334-340 as follows: |
|--------|----------------------|---------------|---|
| 2 | | | |
| 3 | SECTION .050 | 00 – INV | VESTIGATIVE PROCESS, COMPLAINTS, AND ADMINISTRATIVE HEARINGS |
| 4 5 | 21 NCAC 17 .0 | 501 | DEFINITIONS |
| 6 | As used in this | | |
| 7 | (1) | | d cause" related to motions or requests to continue or for additional time for responding |
| 8 | (1) | includ | |
| 9 | | (a) | death or incapacitating illness of a party, or attorney of a party; |
| 10 | | (b) | a court order requiring a continuance; |
| 11 | | (c) | lack of proper notice of the hearing; |
| 12 | | (<u>d)</u> | a substitution of the representative or attorney of a party if the substitution is shown to be |
| 13 | | *** | required; |
| 14 | | <u>(e)</u> | a change in the parties or pleadings requiring postponement; and agreement for a |
| 15 | | | continuance by all parties if either more time is necessary to complete mandatory |
| 16 | | | preparation for the case, such as authorized discovery, and the parties and the Board have |
| 17 | | | agreed to a new hearing date; or parties have agreed to a settlement of the case that has |
| 18 | | | been or is likely to be approved by the final decision maker; |
| 19 | | <u>(f)</u> | where, for any other reason, either party has shown that the interests of justice require a |
| 20 | | | continuance or additional time. |
| 21 | <u>(2)</u> | "Goo | d cause" related to motions or requests to continue or for additional time for responding shall |
| 22 | | not in | nclude: |
| 23 | | <u>(a)</u> | intentional delay: |
| 24 | | <u>(b)</u> | unavailability of a witness if the witness testimony can be taken by deposition; and |
| 25 | | <u>(c)</u> | failure of the attorney or representative to properly utilize the statutory notice period to |
| 26 | | | prepare for the hearing. |
| 27 | <u>(3)</u> | "Inve | stigative Committee" means a Board committee composed of one licensed dietitian/nutritionist |
| 28 | | meml | ber of the Board, one licensed nutritionist member of the Board, and the public member of the |
| 29 | | Board | d. This Committee is charged with the duty of reviewing cases and complaints involving |
| 30 | | licens | sees, applicants for licensure, and all other allegations involving violations of the |
| 31 | | Diete | tics/Nutrition Practice Act. The Investigative Committee shall be responsible for directing |
| 32 | | inves | tigations and making recommendations to the Board regarding unresolved cases or complaints |
| 33 | | at reg | gularly scheduled meetings. |
| 34 | <u>(4)</u> | "Lice | ensee" means all persons to whom the Board has issued a license under Article 25 of G.S. 90. |
| 35 | | | |
| 36 | <u>History Note:</u> | <u>Autho</u> | ority G.S. 90-356; 150B-38; |
| 37 | | <u>Eff. L</u> | December 1, 2022. |

1 21 NCAC 17 .0502 is adopted as published in 37:04 NCR 334-340 as follows: 2 3 21 NCAC 17 .0502 **COMPLAINTS** 4 5 (a) Anyone may complain to the Board alleging that a person, applicant, or licensee has committed an action 6 prohibited by Article 25, Chapter 90 of the North Carolina General Statutes or the rules of the Board. 7 (b) Complaints may be submitted to the Board by completing the Board's complaint form online at 8 www.ncbdn.org/file-a-complaint or a paper form may be requested by contacting the Board office. Paper forms may 9 be delivered to the Board by mail or hand-delivery at 1135 Kildaire Farm Road, Suite 200, Cary, NC 27511. 10 (c) Each complaint submitted shall set forth specific facts and circumstances known to the complainant relating to 11 the Dietetics/Nutrition Practice Act or the Board's rules, and the conduct or competence of each person who is the 12 subject of the complaint. 13 (d) Anonymous complaints are not investigated unless, if upon preliminary review of the contents of the complaint 14 by Board staff, it appears the allegation(s) indicate a violation of the Dietetics/Nutrition Practice Act or the Board's 15 rules, sufficient identification information for the person who is the subject of the complaint is provided, and there is 16 sufficient information to substantiate allegation(s). 17 (e) Investigations may be conducted by the Board staff or by other persons authorized by the Board. 18 (f) Upon receipt of a complaint, the Board shall confirm receipt of the complaint to the complainant. 19 (g) The Board staff, or its authorized Investigator, shall assign a complaint number to the initial complaint, review 20 the contents of the complaint, and conduct a preliminary review of information to determine whether an individual's 21 conduct or competence relates to the Dietetics/Nutrition Practice Act or the Board's rules. 22 (h) If preliminary information in the complaint does not relate to an individual's conduct or competence under the 23 authority of the Dietetics/Nutrition Practice Act or the Board's rules, the Board staff, or its authorized Investigator, 24 shall close the complaint and send notice to the complainant. If applicable, the Board staff may refer the complainant 25 to the appropriate governmental agency for handling such complaints. No further action shall be taken by the Board. 26 (i) If the information about an individual's conduct or competence appears to be under the authority of the 27 Dietetics/Nutrition Practice Act or the Board's rules, Board staff, or its authorized Investigator, shall open an 28 investigative case and begin an investigation of the matters described in the complaint. 29 30 History Note: Authority G.S. 90-356; 90-363;

<u>Eff. December 1, 2022.</u>

31

1 21 NCAC 17 .0503 is adopted as published in 37:04 NCR 334-340 as follows: 2 3 21 NCAC 17 .0503 **INVESTIGATIONS** 4 5 (a) Once an investigative case is created, the Board staff, or its authorized Investigator, shall send each person who 6 is the subject of an investigation, using the mail or electronic mail address of record in the Board's records for each 7 person, or the mail or electronic mail address provided on the complaint form if the person is not in the Board's records, 8 a summary of the complaint and a request for a written response. 9 (b) Licensees shall submit a written response to a complaint received by the Board within 45 days from the date the 10 Board confirms the licensee's receipt of notice of the complaint. The Board shall grant up to an additional 30 days for 11 the response where the licensee demonstrates good cause for the extension of time. The response shall contain accurate 12 and complete information. Where a licensee fails to respond in the time and manner provided herein, the Board may 13 proceed with making its decision in the absence of the licensee or information from the licensee, and the licensee may 14 be held by the Board to be interfering with an investigation by willful misrepresentation of facts under 21 NCAC 17 15 .0114(16) in violation of G.S. 90-363(7). 16 (c) A summary of the complaint and a request for a written response sent to a person who is not an applicant for 17 licensure or a licensee of the Board shall be accompanied by the following statement: 18 "You are hereby notified that the opinion expressed herein is not a legal determination. An occupational 19 licensing board does not have the authority to order you to discontinue your current practices. Only a court 20 may determine that you have violated or are violating any law and, if appropriate, impose a remedy or penalty 21 for the violation. Further, pursuant to G.S. 150B-4, you may have the right, prior to initiation of any court 22 action by the Board, to request a declaratory ruling regarding whether your particular conduct is lawful. You 23 are further notified that any right to a declaratory ruling supplements any other legal rights that you may already have to establish the legality of your conduct with respect to the goods or services you offer or 24 25 provide." 26 (d) The Board staff, or its authorized Investigator, shall investigate a complaint and shall provide the Board's 27 Investigation Committee with an investigation report. The Investigative Committee shall review the report and shall 28 determine to: 29 request further investigation of particular aspects of the matter; (1) 30 (2) request the individual who is the subject of the complaint meet with members of the Board to be 31 interviewed if they are willing to be interviewed; or 32 accept the report and make a recommendation to the Board. **(3)** 33 (e) The Board shall authorize the Investigative Committee to make a recommendation to the Board if an investigation 34 is complete and the complaint is unresolved. 35 (f) The Board shall consider the Investigative Committee's recommendation and shall determine whether to: 36 <u>(1)</u> conduct further investigation of particular aspects of the matter; 37 (2) close or dismiss the case or issue a non-disciplinary letter of caution;

| 1 | <u>(3)</u> | issue a consent order to the subject of an investigation, specifying any disciplinary sanctions to be |
|----|------------------|---|
| 2 | | applied and, if the consent order is not accepted, issue a notice of hearing; |
| 3 | <u>(4)</u> | issue a notice of hearing to a subject, specifying any disciplinary sanctions; or |
| 4 | <u>(5)</u> | apply to the courts for injunctive relief, refer a matter to a district attorney for prosecution, or take |
| 5 | | other actions, including reporting the matter to appropriate state or federal agencies. |
| 6 | (g) A copy of a | notice of hearing shall be sent to the complainant. |
| 7 | (h) Whenever a | complaint is dismissed or a complaint file is closed, notification of the Board's final decision shall be |
| 8 | sent to the comp | plainant, and the accused party. |
| 9 | | |
| 10 | History Note: | <u>Authority G.S. 90-356; 90-363;</u> |
| 11 | | Eff. December 1, 2022. |

1 21 NCAC 17 .0504 is adopted as published in 37:04 NCR 334-340 as follows: 2 3 21 NCAC 17 .0504 RIGHT TO HEARING 4 5 (a) When the Board acts or proposes to act, other than in rule-making or declaratory ruling proceedings, in a manner 6 which will affect the rights, duties, or privileges of a specific, identifiable licensee or applicant for a license, such 7 person has the right to an administrative hearing. When the Board proposes to act in such a manner, it shall give any 8 such affected person notice of the right to a hearing by mailing to the person, by certified mail at the person's last 9 known address, a notice of the proposed action and a notice of a right to a hearing. Notice of a right to a hearing may 10 also be given by any method of service permitted in G.S. 150B-38(c), by a signed acceptance of service from such person, or by delivery to the person's attorney of record who accepts service on behalf of the person. 11 12 13 History Note: Authority G.S. 90-356; 150B-38(h); 14 Eff. December 1, 2022.

| 1 | 21 NCAC 17 .0: | 505 is adopted as published in 37:04 NCR 334-340 as follows: |
|----|----------------------|--|
| 2 | | |
| 3 | 21 NCAC 17 .0 | 505 REQUEST FOR HEARING |
| 4 | | |
| 5 | (a) An individ | ual who believes that individual's rights, duties, or privileges have been affected by the Board's |
| 6 | administrative a | ction, but who has not received notice of a right to an administrative hearing, may file a formal request |
| 7 | for a hearing. | |
| 8 | (b) The individu | nal may submit a request to the Board's office, with the request bearing the notation: "REQUEST FOR |
| 9 | <u>ADMINISTRA</u> | ΓΙVE HEARING." The request shall contain the following information: |
| 10 | <u>(1)</u> | name and address of the petitioner; |
| 11 | <u>(2)</u> | a concise statement of the action taken by the Board which is challenged; |
| 12 | <u>(3)</u> | a concise statement of the way in which the petitioner has been aggrieved; and |
| 13 | <u>(4)</u> | a clear and specific statement of request for a hearing. |
| 14 | (c) In order to p | reserve a person's rights with respect to a Board action, the person shall file a request for hearing with |
| 15 | the Board within | n 60 days after the person receives notice of the Board action that the person challenges. |
| 16 | | |
| 17 | <u>History Note:</u> | <u>Authority G.S. 90-356; 150B-38;</u> |
| 18 | | Eff. December 1, 2022. |

| 1 | 21 NCAC 17 .0506 is adopted as published in 37:04 NCR 334-340 as follows: |
|----|--|
| 2 | |
| 3 | 21 NCAC 17 .0506 GRANTING OR DENYING HEARING REQUEST |
| 4 | |
| 5 | (a) The Board shall grant a request for a hearing if it determines that the party requesting the hearing is a "person |
| 6 | aggrieved" within the meaning of G.S. 150B-2(6). Whenever the Board proposes to deny, suspend, or revoke a license, |
| 7 | or issue a letter of reprimand to a licensee, the licensee shall be deemed to be a person aggrieved. |
| 8 | (b) If the Board determines the petitioner is not a person aggrieved, the Board shall issue a denial that shall constitute |
| 9 | a final agency decision. |
| 10 | (c) Approval of a request for a hearing shall be signified by issuing a notice as required by G.S. 150B-38(b) and |
| 11 | explained in Rule .0507 of this Section. |
| 12 | |
| 13 | <u>History Note:</u> <u>Authority G.S. 90-356; 150B-38; 150B-42;</u> |
| 14 | Eff. December 1, 2022. |

| 1 | 21 NCAC 17 .050 | 7/ is adopted as published in 37:04 NCR 334-340 as follows: |
|----|----------------------|--|
| 2 | | |
| 3 | 21 NCAC 17 .050 | NOTICE OF HEARING |
| 4 | | |
| 5 | (a) The Board sh | all give the party or parties in a contested case a notice of hearing not less than 15 days before the |
| 6 | hearing. Said notice | ce shall contain the following information, in addition to the items specified in G.S. 150B-38(b): |
| 7 | <u>(1)</u> | the name, position, address, and telephone number of a person from the Board to contact for further |
| 8 | | information or discussion; |
| 9 | <u>(2)</u> | the date, time, and place for a pre-hearing conference, if any; and |
| LO | <u>(3)</u> | any other information deemed relevant to informing the parties as to the procedure of the hearing. |
| l1 | (b) A party who | has been served with a notice of hearing may file a written response with the Board in accordance |
| L2 | with G.S. 150B-33 | 8(d). If the party desires to submit a written response instead of personally appearing at the hearing |
| L3 | the party shall sta | te that desire in the written response, and the Board shall consider the written response in lieu of a |
| L4 | personal appearan | ce. |
| L5 | (c) If the party v | who has been given proper notice in accordance with Paragraph (a) of this Rule neither appears |
| L6 | pursuant to the no | tice nor files and serves a written response as set out in Paragraph (b) of this Rule, the Board shall |
| L7 | find the allegation | s of the notice admitted. The Board may continue the hearing or proceed with the hearing and make |
| L8 | its decision based | on the evidence presented. |
| L9 | (d) If the Board | determines that the public health, safety or welfare requires such action, it may issue an order |
| 20 | summarily suspen | ding a license pursuant to G.S. 150B-3. Upon service of the order, the licensee to whom the order |
| 21 | is directed shall in | nmediately cease the practice of medical nutrition therapy in North Carolina. The suspension shall |
| 22 | remain in effect j | pending issuance by the Board of a final agency decision pursuant to G.S. 150B-42. However |
| 23 | pursuant to this R | ule and Rule .0506 of this Section, a person subject to a summary suspension may request a hearing |
| 24 | on whether the pu | blic health, safety, or welfare permits terminating or modifying the terms of the summary suspension |
| 25 | pending a final ag | gency decision. Neither an order of summary suspension nor a decision on whether the summary |
| 26 | suspension order s | shall be terminated or modified is a final agency decision. |
| 27 | | |
| 28 | <u>History Note:</u> | Authority G.S. 90-356; 150B-3; 150B-38; 150B-40; 150B-42; |
| 29 | | Eff. December 1, 2022. |

| 1 | 21 NCAC 17 .0508 is adopted as published in 37:04 NCR 334-340 as follows: |
|---|---|
| 2 | |
| 3 | 21 NCAC 17 .0508 CONTESTED CASES |
| 4 | |
| 5 | All administrative hearings shall be conducted by a majority of the Board as set forth in G.S. 150B-40(b) or an |
| 6 | administrative law judge designated to hear the case pursuant to G.S. 150B-40(e). |
| 7 | |
| 8 | <u>History Note:</u> <u>Authority G.S. 90-356; 150B-38; 150B-40;</u> |
| 9 | Fff December 1 2022 |

1 21 NCAC 17 .0509 is adopted as published in 37:04 NCR 334-340 as follows: 2 3 21 NCAC 17 .0509 INFORMAL PROCEDURES 4 5 (a) Before issuing a notice of hearing, the Board may designate one or more of its members, but in all cases less than 6 a majority of the currently serving members of the Board, to meet informally via one or more conferences with the 7 party or parties and attempt to reach an informal resolution of the dispute or any other matters as may aid in the 8 disposition of the dispute. The designated Board member or members may direct one or more of the following 9 dispositions: 10 Submission to the Board with a recommendation to dismiss with no action; (1) 11 (2) Submission to the Board with a recommendation that Board staff provide informal, non-disciplinary 12 guidance to resolve the dispute; 13 **(3)** Submission to the Board with a recommendation to resolve the dispute or to expedite the hearing 14 by consent order; or 15 **(4)** Scheduling, with appropriate notice, for contested case hearing. The majority of the Board must approve all recommendations under Subparagraph (1), (2), and (3) of this Paragraph. 16 17 Each Board member who is designated to serve in this capacity with regard to a party or parties' matter, whether the 18 Board member actually meets with the individual or not, may participate in Board discussions concerning any 19 recommendations made but may not vote upon the recommendation. The Board member or members who participated 20 in the informal conferences shall be disqualified from hearing any contested case when the matter designated for 21 informal resolution is any part of the subject matter of the contested case. 22 (b) The Board and the party or parties may agree to simplify the hearing by stipulation, or any other method provided 23 by G.S. 150B-41(c). 24 25 History Note: Authority G.S. 90-356; 150B-41; 26 Eff. December 1, 2022.

| 1 | 21 NCAC 17 .0510 is adopted as published in 37:04 NCR 334-340 as follows: |
|----|---|
| 2 | |
| 3 | 21 NCAC 17 .0510 INFORMAL RESOLUTION |
| 4 | |
| 5 | (a) Informal disposition may be made of a dispute, contested case, or an issue in a contested case by any method |
| 6 | specified in G.S. 150B-41(c) during the hearing of a contested case. |
| 7 | (b) All recommendations of dismissal must be approved by a majority of the Board. |
| 8 | (c) All matters contained in a consent order, which disposes of a dispute, must be agreed to by the party or parties, |
| 9 | and approved by a majority of the Board. |
| 10 | |
| 11 | History Note: Authority G.S. 90-356; 150B-41; |
| 12 | Eff. December 1, 2022. |

1 21 NCAC 17 .0511 is adopted as published in 37:04 NCR 334-340 as follows: 2 3 DISQUALIFICATION OF BOARD MEMBERS 21 NCAC 17 .0511 4 5 (a) Self-disqualification. If for any reason a Board member determines that personal bias or other factors render that 6 member unable to hear a contested case and perform all duties in an impartial manner, that Board member shall 7 voluntarily decline to participate in the hearing or decision. 8 (b) Petition for Disqualification. If for any reason any party in a contested case believes that a Board member is 9 personally biased or otherwise unable to hear a contested case and perform all duties in an impartial manner, the party 10 may file a sworn, notarized affidavit with the Board. The title of such affidavit shall bear the notation: "AFFIDAVIT 11 OF DISQUALIFICATION OF BOARD MEMBER IN THE CASE OF (name of case)." 12 (c) Contents of Affidavit. The affidavit must state all facts the party deems to be relevant to the disqualification of 13 the Board member. 14 (d) Timeliness and Effect of Affidavit. An affidavit of disqualification shall be considered timely if filed 10 days 15 before commencement of the hearing. 16 (e) A party may file and serve a motion for disqualification less than ten days before or during a hearing only when 17 the motion is based on newly discovered evidence that by due diligence could not have been discovered in time to file 18 a timely motion. Under these circumstances, the hearing shall continue with the challenged Board member sitting. 19 Petitioner shall have the opportunity to present evidence supporting their petition, and the petition and any evidence 20 relative thereto presented at the hearing shall be made a part of the record. The Board, before rendering its final 21 decision, shall decide whether the evidence justifies disqualification. The decision about the disqualification of a Board 22 member shall be made by the other Board members who are not the subject of the disqualification. The Board is not 23 required to grant a new hearing if a Board member is disqualified during the course of a hearing. (f) The presiding officer, in their discretion, may determine the method of resolving the motion for disqualification 24 25 under G.S. 150B-40. This may include the authority to direct that the Board's Executive Director oversee an 26 investigation of the allegations and report the findings to the Board. 27 (g) In the event of disqualification, the disqualified member shall not participate in further deliberation or decision of 28 the case but may be called on to furnish information to the other members of the Board. 29 (h) If four or more members of the Board are disqualified pursuant to this Rule, the Board shall petition the Office of 30 Administrative Hearings to appoint an administrative law judge to hear the contested case pursuant to G.S. 150B-31 40(e).

32

33 *History Note:* <u>Authority G.S. 90-356; 150B-40;</u>

34 <u>Eff. December 1, 2022.</u>

1 21 NCAC 17 .0512 is adopted as published in 37:04 NCR 334-340 as follows: 2 3 21 NCAC 17 .0512 **SUBPOENAS** 4 5 (a) A party shall file and serve a request for a subpoena, attaching a proposed subpoena. The Board may issue the 6 subpoena in accordance with G.S. 150B-39(c). Issued subpoenas must be signed by the Presiding Officer, the Board's 7 Executive Director, the Board's legal counsel, or a Board staff member designated by the Executive Director. 8 (b) Subpoenas shall be served as permitted by Rule 45 of the North Carolina Rules of Civil Procedure, as set forth in 9 G.S. 1A-1. 10 (c) After service of the subpoena, the party serving the subpoena shall file and serve sworn proof of the method of 11 service, demonstrating compliance with G.S. 150B-39(c). 12 (d) G.S. 150B-39(c) governs the recipients' duties in responding to subpoenas. A party to the case or person subject 13 to the subpoena may object to a subpoena. Any objection to a subpoena shall be served on the party who requested 14 the subpoena simultaneously with the filing of the objection with the Board. Motions to override an objection or quash 15 or modify a subpoena shall be filed in accordance with G.S. 150B-39(c). The Board shall hear and rule on motions to 16 override an objection or quash or modify a subpoena as provided in G.S. 150B-39(c). 17 18 Authority G.S. 90-356; 150B-39; History Note: 19 Eff. December 1, 2022.

| 1 | 21 NCAC 17 .05 | 13 is adopted as published in 37:04 NCR 334-340 as follows: |
|---|--------------------|--|
| 2 | | |
| 3 | 21 NCAC 17 .05 | WITNESSES |
| 4 | | |
| 5 | All testimony at t | he hearing shall be under oath or affirmation and shall be recorded. The presiding officer may exclude |
| 6 | witnesses from the | ne hearing room so that they cannot hear the testimony of other witnesses. |
| 7 | | |
| 8 | History Note: | Authority G.S. 90-356; 150B-42; |
| 9 | | Eff. December 1, 2022. |

| 1 | 21 NCAC 17 .0514 is adopted as published in 37:04 NCR 334-340 as follows: |
|----|--|
| 2 | |
| 3 | 21 NCAC 17 .0514 FINAL DECISION |
| 4 | |
| 5 | In a contested case, the Board shall issue a final agency decision in compliance with G.S. 150B-42. To obtain judicial |
| 6 | review, the person seeking review must file a petition with the court in accordance with the provisions of G.S. 150B- |
| 7 | <u>45.</u> |
| 8 | |
| 9 | History Note: <u>Authority G.S. 90-356; 150B-42; 150B-45;</u> |
| 10 | Eff. December 1, 2022. |

1 21 NCAC 17 .0515 is adopted as published in 37:04 NCR 334-340 as follows: 2 3 21 NCAC 17 .0515 PROPOSALS FOR DECISION 4 5 (a) When an administrative law judge conducts a hearing pursuant to G.S. 150B-40(e), a "proposal for decision" shall 6 be rendered. The parties may file written exceptions to this "proposal for decision" and submit their own proposed 7 findings of fact and conclusions of law. The exceptions and alternative proposals must be filed within 10 days after 8 the party has received the "proposal for decision" as drafted by the administrative law judge. 9 (b) Any exceptions to the procedure during the hearing, the handling of the hearing by the administrative law judge, 10 rulings on evidence, or any other matter must be written and refer specifically to pages of the record or otherwise 11 precisely identify the occurrence to which exception is taken. The exceptions and alternative proposals must be filed 12 with the Board within 10 days of the receipt of the proposal for decision. The written exceptions shall bear the notation: 13 "EXCEPTIONS TO THE PROCEEDINGS IN THE CASE OF (name of case)." 14 (c) Any party may present oral argument to the Board upon request. The request must be included with the written 15 exceptions. 16 (d) Upon receipt of request for further oral argument, notice shall be issued promptly to all parties designating the 17 time and place for such oral argument. 18 (e) Giving due consideration to the proposal for decision and the exceptions and arguments of the parties, the Board 19 may adopt the proposal for decision or may modify it as the Board deems necessary. The decision rendered shall be a part of the record and a copy thereof given to all parties. The decision as adopted or modified becomes the "final 20 21 agency decision" for the right to judicial review. Said decision shall be rendered by the Board within 60 days of the 22 next regularly scheduled meeting following the oral arguments, if any. If there are no oral arguments presented, the 23 decision shall be rendered within 60 days of the next regularly scheduled Board meeting following receipt of the 24 written exceptions and alternative proposals. 25

23

26

History Note: <u>Authority G.S. 90-356; 150B-40;</u>

<u>Eff. December 1, 2022.</u>

| 1 | 21 NCAC 17 .0: | 516 is adopted as published in 37:04 NCR 334-340 as follows: |
|----|-------------------|--|
| 2 | | |
| 3 | 21 NCAC 17 .0 | 516 DISCIPLINARY COSTS |
| 4 | | |
| 5 | (a) The Board n | nay recover against a licensee or license applicant, found to be in violation of the Act or rules adopted |
| 6 | by the Board, the | he following costs of disciplinary actions incurred by the Board for the investigation, prosecution, |
| 7 | hearing, or other | r administrative action: |
| 8 | <u>(1)</u> | witness fees and statutorily-allowed expenses for witnesses; |
| 9 | <u>(2)</u> | direct costs of the Board in taking or obtaining of depositions of witnesses; |
| 10 | <u>(3)</u> | costs incurred by reason of administrative or staff time of employees of the Board directly |
| 11 | | attributable to the action leading to the final decision or order; and |
| 12 | <u>(4)</u> | costs incurred by reason of legal fees charged to the Board directly attributable to the action leading |
| 13 | | to the final decision or order. |
| 14 | These costs ma | ay be assessed by the Board pursuant to final decisions or orders of the Board following an |
| 15 | administrative h | earing pursuant to Article 3A of Chapter 150B of the North Carolina General Statutes. These costs |
| 16 | may also be asse | essed against a licensee or license applicant for an investigation or action in the nature of disciplinary |
| 17 | action, pursuant | to the express consent by the person in a consent order approved by the Board. |
| 18 | | |
| 19 | History Note: | <u>Authority G.S. 90-356; 90-370;</u> |
| 20 | | Eff. December 1, 2022. |

21 NCAC 17 .0517 is adopted as published in 37:04 NCR 334-340 as follows:

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21 NCAC 17.0517 DRUG TESTING AND INTERVENTION

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- 5 (a) When information of suspected impairment of a licensee is received by the Board, the Board shall conduct an
- 6 <u>investigation and routine inquiries to determine the validity of the report.</u>
- 7 (b) Licensees suspected of impairment may be required to submit to personal interviews if the investigation and
- 8 <u>inquiries indicate the report may be valid.</u>
- 9 (c) The Board shall have authority to compel a licensee to submit to a mental or physical examination, including drug
- and blood alcohol testing, by physicians designated by the Board, if there is a reasonable suspicion that the licensee
- 11 is consuming drugs or alcohol to such an extent or with such frequency as to impair the licensee's ability to practice
- 12 <u>dietetics or nutrition. The cost of examination shall be borne by the licensee being examined. The failure of a</u>
- 13 <u>dietitian/nutritionist or nutritionist to submit to such an examination when so directed constitutes an admission that</u>
- 14 the licensee is unable to deliver dietetics or nutrition services with reasonable skill and safety, upon which a default
- and a final order may be entered without the taking of testimony or presentation of evidence, unless the failure was
- due to circumstances beyond the licensee's control. A licensee affected under this Paragraph shall at reasonable
- 17 intervals be afforded an opportunity to demonstrate that the licensee can resume the competent delivery of dietetics
- or nutrition services with reasonable skill and safety. Neither the record of the proceedings nor any order of the Board
- 19 <u>based solely on a licensee's failure to submit to an examination shall be deemed by the Board to constitute a conclusive</u>
- 20 <u>determination that the licensee engaged in any particular conduct.</u>
- 21 (d) Information received by the Board related to a mental or physical examination of a licensee conducted by a
- 22 licensed health professional shall remain confidential as a medical record but shall be freely exchanged with the Board
- 23 or its authorized agents, for the purposes of the investigation.
- 24 (e) Information gathered shall be used to determine whether the licensee is a chronic or persistent user of intoxicants,
- drugs, or narcotics to the extent that the same impairs their ability to practice dietetics or nutrition.
- 26 (f) The Board may make arrangements for a licensee with impairments to participate in intervention, treatment, and
- 27 <u>a monitoring program without disciplinary action.</u>

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- 29 History Note: <u>Authority G.S. 90-356;</u>
- 30 <u>Eff. December 1, 2022.</u>