



NORTH CAROLINA AUCTIONEER LICENSING BOARD

CHAIRMAN:
BUCK LATTIMORE
RALEIGH, NC

VICE CHAIRMAN
DANIEL H. DEVANE
GARNER, NC

108 Ber Creek Drive
Fuquay-Varina, North Carolina 27526
(919) 567-2844 Fax (919) 567-2865

Charles F. Diehl, EXECUTIVE DIRECTOR

BOARD MEMBERS:

WILLIE A. JOHNSON
GREENSBORO, NC

MELINDA Q. PORTER
PLYMOUTH, NC

T. KYLE SWICEGOOD
MOCKSVILLE, NC

October 27, 2022

ANNUAL REPORT

Fiscal Year 2021-2022

The following is submitted in compliance with Chapter 93B-2 of the General Statutes for the period ending June 30, 2022.

1. The address of the Board and the names of its members and officers are as listed:

N. C. Auctioneer Licensing Board
108 Ber Creek Drive
Fuquay-Varina, North Carolina 27526

Chairman: Buck Lattimore
Vice Chairman: Daniel DeVane
Board Members: Willie A. Johnson
Melinda Q. Porter
T. Kyle Swicegood

- 1a. The total number of licensees supervised by the Board is 2,238. 1,773 are Auctioneers; 9 are Apprentice Auctioneers; and 456 are Auction Firms.
2. 65 persons applied to the Board for the auctioneer examination during the 2021-2022 fiscal year. 7 persons applied to the Board for the auction firm examination during the 2021-2022 fiscal year.
3. 0 persons were refused the written examination. 2 persons withdrew their auctioneer application. 1 entity withdrew its auction firm application.
4. 63 persons took the auctioneer examination during the 2021-2022 fiscal year. 6 persons took the auction firm examination during the 2020-2021 fiscal year.

5. The number to whom initial licenses were issued was 84. 46 auctioneers were issued initial licenses; 4 apprentice auctioneers were issued initial licenses; and 21 auction firms were issued initial licenses. 13 auctioneers were issued initial licenses through reciprocity.
- 5a. 14 persons failed the auctioneer examination once; 3 persons failed the auctioneer examination twice; 5 persons failed the auction firm examination once; and 1 person failed the auction firm examination twice.
6. 14 persons applied for a license by reciprocity or comity.
7. 13 persons were granted a license by reciprocity or comity.
- 7a. 5 official complaints were received involving licensed and unlicensed activities.
- 7b. 3 disciplinary actions were taken against licensees; 0 non-disciplinary actions (letter of caution) was taken against a licensee; and 0 non-disciplinary actions were taken against non-licensees.
8. 0 licenses were revoked; 1 license was voluntarily surrendered in lieu of disciplinary action; 2 licenses were suspended; 1 civil penalty was issued to a licensee; and 0 licensees were reprimanded.
9. 18 licenses were terminated due to death, and 5 licenses were permanently retired.
- 9a. 91 persons applied for licensure. Of that number, 84 were granted a license.
- 9b. There were 11 applicants with a conviction record. Of that number, 10 were granted a license. Overall, a total of 1 applicant was denied a license for any reason. That applicant was denied a license because of one or more criminal convictions per the provisions of G.S. 93B.
- 9c. There were 0 applications for licensure from military trained applicants. 0 licenses were granted to military trained applicants, and 0 military trained applicants were denied licenses. NCALB staff began securing active duty/veteran military status after the beginning of the fiscal year, but prior to June 30, 2022. There were 0 applications for licensure from known active duty military applicants. 0 licenses were granted to known active duty military applicants, and 0 known active duty military applicants were denied licenses. There were 0 applications for licensure from known military veteran applicants. 0 licenses were granted to known military veteran applicants, and 0 known military veteran applicants were denied licenses.
- 9d. There were 0 applications for licensure from military spouse applicants. 0 licenses were granted to military spouse applicants, and 0 military spouse applicants were denied licenses.
10. At this time, there are no anticipated requests by the North Carolina Auctioneer Licensing Board to the General Assembly to amend statutes related to Auctioneer Law.
11. As of the effective date of this FYE June 30, 2022 Annual Report there were no significant changes in the preceding 12 months to the rules adopted by the North Carolina Auctioneer Licensing Board.

Attached is a financial report that includes the source and amount of all funds credited to the North Carolina Auctioneer Licensing Board and the purpose and amount of all funds disbursed by the North Carolina Auctioneer Licensing Board during the previous 12-month period.

Sincerely,

A handwritten signature in blue ink, appearing to read "Charles F. Diehl". The signature is fluid and cursive, with the first name "Charles" and last name "Diehl" clearly distinguishable.

Charles F. Diehl
Executive Director

SUMMARY BY ACCOUNT

ACCOUNT	DESCRIPTION	***** B U D G E T E D ***** CERTIFIED	***** A C T U A L ***** AUTHORIZED	CURRENT-MONTH	YEAR-TO-DATE	***** UNEXPENDED / UNREALIZED ***** CERTIFIED	AUTHORIZED	ENCUMBRANCES	RATE
EXPENDITURES-BUDGET AND ACTUAL									
531112	EPA-REG SALARIES-RECPT	159,215.00	155,515.00	12,955.00	155,460.00	3,755.00	55.00	.00	1.00
531312	REG(N S) TEMP WAGES-RECPT	28,643.00	28,643.00	.00	.00	28,643.00	28,643.00	.00	.00
531462	EPASPA-LONGVTY PAY-REC	1,333.00	1,368.00	.00	1,336.00	3.00-	32.00	.00	.98
531472	BONUS-INCENTIVE PAY-RECPT	2,062.00	352.00	.00	.00	2,062.00	352.00	.00	.00
531476	BONUS-ARPA-RECEIPTS	2,500.00	2,500.00	.00	2,500.00	.00	.00	.00	1.00
531512	SOCIAL SEC CONTRIB-RECPT	12,885.00	12,885.00	939.70	11,579.86	1,305.14	1,305.14	.00	.90
531522	REG RETIRE CONTRIB-RECPT	33,653.00	36,453.00	3,122.16	36,399.29	2,746.29-	53.71	.00	1.00
531532	LEO RETIRE CONTRIB-RECPT	4,000.00	4,375.00	352.81	4,328.99	328.99-	46.01	.00	.99
531562	MED INS CONTRIB-RECPTS	13,998.00	14,098.00	1,295.72	14,037.84	39.84-	60.16	.00	1.00
531576	FLEXIBLE SPENDING SVG ACC	50.00	50.00	2.42	28.38	21.62	21.62	.00	.57
531651	COMPENSATION TO BOARD ME	8,800.00	10,900.00	4,934.25	10,847.70	2,047.70-	52.30	.00	1.00
531XXX	PERSONAL SERVICES	267,139.00	267,139.00	23,602.06	236,518.06	30,620.94	30,620.94	.00	.89
532110	LEGAL SERVICES	20,000.00	36,200.00	3,658.30	35,659.20	15,659.20-	540.80	.00	.99
532120	FINAN/AUDIT SERVICES	10,000.00	13,995.00	.00	13,995.00	3,995.00-	.00	.00	1.00
532140	OTH INFORMATION TECH SVC	12,308.00	16,830.00	1,865.00	16,745.00	4,437.00-	85.00	.00	.99
532199	MISC CONTRACTUAL SERVICE	37,612.00	13,518.00	1,369.17	13,467.27	24,144.73	50.73	.00	1.00
532200	UTILITY/ENERGY SERVICE	2,750.00	3,980.00	261.09	3,796.85	1,046.85-	183.15	.00	.95
532400	MAINTENANCE AGREEMENTS	100.00	100.00	.00	68.65	31.35	31.35	.00	.69
532500	RENTAL / LEASES	3,000.00	3,915.00	277.78	3,819.99	819.99-	95.01	.00	.98
532700	TRAVEL/OTHER EMPLOYEE EX	10,146.00	9,827.00	538.42	9,425.09	720.91	401.91	.00	.96
532800	COMMUNICATION / DATA PRO	6,173.00	11,404.00	3,199.50	11,086.98	4,813.98-	317.02	.00	.97
532900	OTHER SERVICES	5,134.00	4,726.00	.00	4,675.18	458.82	50.82	.00	.99
532XXX	PURCHASED SERVICES	107,223.00	114,495.00	11,169.26	112,739.21	5,516.21-	1,755.79	.00	.98
533100	GENERAL ADMIN SUPPLIES	2,200.00	1,200.00	139.52	907.27	1,292.73	292.73	.00	.76
533XXX	SUPPLIES	2,200.00	1,200.00	139.52	907.27	1,292.73	292.73	.00	.76
534500	EQUIPMENT	1,000.00	1,000.00	.00	.00	1,000.00	1,000.00	.00	.00
534700	INTANGIBLE ASSETS	.00	800.00	.00	769.81	769.81-	30.19	.00	.96
534XXX	PROPERTY, PLANT & EQUIP	1,000.00	1,800.00	.00	769.81	230.19	1,030.19	.00	.43
535800	OTHER ADMIN EXPENSES	1,600.00	1,806.00	.00	1,805.72	205.72-	.28	.00	1.00
535900	OTHER EXPENSES	3,000.00	1,000.00	18.24	474.80	2,525.20	525.20	.00	.47
535XXX	OTHER EXPENSES & ADJUST	4,600.00	2,806.00	18.24	2,280.52	2,319.48	525.48	.00	.81
TOTAL NON-SALARY ITEMS		115,023.00	120,301.00	11,327.02	116,696.81	1,673.81-	3,604.19	.00	.97
EXPENDITURES		382,162.00	387,440.00	34,929.08	353,214.87	28,947.13	34,225.13	.00	.91

ARMOSID85 CERTIFIED ON 08/01/2022
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850 NC AUCTIONEER LIC. BOARD
28106 AUCTIONEER LICENSING BOARD

STATE OF NORTH CAROLINA GENERAL LEDGER SYSTEM
AGENCY MANAGEMENT BUDGET REPORT
FOR THE PERIOD ENDING JUNE 29, 2022

PAGE: 2
C-GL-BD701-CERT-REPORT
RUN DATE: 08/01/2022
ATBD701

SUMMARY BY ACCOUNT

ACCOUNT	DESCRIPTION	***** B U D G E T E D ***** CERTIFIED	***** A C T U A L ***** AUTHORIZED	CURRENT-MONTH	YEAR-TO-DATE	***** UNEXPENDED / UNREALIZED ***** CERTIFIED	AUTHORIZED	ENCUMBRANCES	RATE
REVENUES-ESTIMATED AND ACTUAL									
433121	STIF INT INC-NONOP-PROGR	6,400.00	6,400.00	103.62	765.22	5,634.78	5,634.78	.00	.12
435100	BSNS LICENSE FEES	334,220.00	334,220.00	217,050.00	299,725.00	34,495.00	34,495.00	.00	.90
435300	CERTIFICATION FEES	5,450.00	5,450.00	450.00	4,450.00	1,000.00	1,000.00	.00	.82
435900	OTHER LIC,FEES/PERMITS	23,300.00	23,300.00	2,952.00	12,753.00	10,547.00	10,547.00	.00	.55
437900	OTHER MISC REV-PROGRAM	100.00	100.00	.00	33.58	66.42	66.42	.00	.34
438P76	ARPA SFRF TRANSFER IN	2,692.00	2,692.00	.00	2,691.25	.75	.75	.00	1.00
438102	TRANSFER FROM 68106	10,000.00	10,000.00	.00	.00	10,000.00	10,000.00	.00	.00
REVENUES		382,162.00	382,162.00	220,555.62	320,418.05	61,743.95	61,743.95	.00	.84
INCREASE/(DECREASE) IN FUND BALANCE		.00	5,278.00-	185,626.54	32,796.82-	32,796.82	27,518.82	.00	6.21

CASH ANALYSIS

BEGINNING BALANCE	549,708.28
+ CASH RECEIPTS	320,418.05
- CASH DISBURSEMENTS	353,214.87
+ ASSETS	.00
+ LIABILITY	.00
+ EQUITY	.00
= ENDING BALANCE	516,911.46