Budget and Operation Overview: Department of Adult Correction (DAC)

Sean Hamel, Fiscal Analyst
February 14, 2023
Justice and Public Safety Team
1. General Budget Overview and Context
2. Prisons
3. Community Supervision
4. Health Services
5. Rehabilitation and Reentry
JPS General Fund Expenditures by Agency
FY 2022-23

Source: BD 701 and BD 307

50%
DAC
$1.95 B

23%
DPS
$901 M

19%
AOC
$736 M

4%
IDS
$166 M

3%
DOJ
$108 M

Total General Fund Budget
$3.8 B
Session Law 2021-180 established DAC from the Department of Public Safety.

**Total General Fund Budget:** $1.95 B

**Total FTE:** 19,635

**Operations Include:**
- Prisons
- Community Supervision
- Health Services
- Rehabilitation and Reentry

Source FY 2022-23 Certified Budget, OSBM
DAC Certified Budget Function FY 2022-23

Total General Fund Budget: $1.95 B  
FTE 19,635

55%  
Prisons  
$1.1 B  
13,057 FTE

18%  
Health Services  
$357.4 M  
2,086 FTE

13%  
Community Supervision  
$249 M  
2,913.5 FTE

9%  
Admin  
$262.1 M  
610 FTE

3% < Rehabilitation and Reentry, $80 M, 974 FTE

Source FY 2022-23 Certified Budget, OSBM
DAC in Context: Supervised Population as a City or County

<table>
<thead>
<tr>
<th>Supervision Type</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prisons</td>
<td>29,948</td>
</tr>
<tr>
<td>Community Supervision</td>
<td>76,143</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>106,091</strong></td>
</tr>
</tbody>
</table>

11th Largest City

<table>
<thead>
<tr>
<th>Rank</th>
<th>City</th>
<th>Population</th>
</tr>
</thead>
<tbody>
<tr>
<td>7</td>
<td>Cary</td>
<td>177,716</td>
</tr>
<tr>
<td>8</td>
<td>Wilmington</td>
<td>118,063</td>
</tr>
<tr>
<td>9</td>
<td>High Point</td>
<td>114,576</td>
</tr>
<tr>
<td>10</td>
<td>Concord</td>
<td>108,229</td>
</tr>
<tr>
<td></td>
<td><strong>Supervised Population</strong></td>
<td><strong>106,091</strong></td>
</tr>
<tr>
<td>11</td>
<td>Asheville</td>
<td>95,052</td>
</tr>
<tr>
<td>12</td>
<td>Greenville</td>
<td>90,121</td>
</tr>
<tr>
<td>13</td>
<td>Gastonia</td>
<td>81,558</td>
</tr>
<tr>
<td>14</td>
<td>Jacksonville</td>
<td>71,729</td>
</tr>
</tbody>
</table>

27th Largest County

<table>
<thead>
<tr>
<th>Rank</th>
<th>County</th>
<th>Population</th>
</tr>
</thead>
<tbody>
<tr>
<td>23</td>
<td>Harnett</td>
<td>136,820</td>
</tr>
<tr>
<td>24</td>
<td>Wayne</td>
<td>117,662</td>
</tr>
<tr>
<td>25</td>
<td>Henderson</td>
<td>116,710</td>
</tr>
<tr>
<td>26</td>
<td>Robeson</td>
<td>116,485</td>
</tr>
<tr>
<td></td>
<td><strong>Supervised Population</strong></td>
<td><strong>106,091</strong></td>
</tr>
<tr>
<td>27</td>
<td>Moore</td>
<td>102,517</td>
</tr>
<tr>
<td>28</td>
<td>Craven</td>
<td>101,988</td>
</tr>
<tr>
<td>29</td>
<td>Cleveland</td>
<td>100,934</td>
</tr>
<tr>
<td>30</td>
<td>Nash</td>
<td>95,465</td>
</tr>
</tbody>
</table>

Source: Supervision population counts taken from DAC Research and Planning Automated Query as of 2/8/2023
DAC in Context: Managing the Facilities

2,583 Buildings
15 M Sq. ft.

Facilities

2,584
Cameron Indoor

129
Carter-Finley

50
Dean Domes

Source: DAC
DAC in Context: Community Supervision

To the Moon and Back.....

• Community Supervision means Probation and Parole Officers are in the field

• State issued vehicles are their office

• 1,901 Vehicles ➔ 19 M miles driven 80 times

Source: Department of Administration Division of Motor Fleet Management
DAC In Context: Comparing DAC Position Vacancy

**Department Vacancies Exceed the State Rate**

- **State**: 22%
- **Agency**: 28%

**Prisons and Health Service Vacancies Drive the Department Rate**

- **Prisons**: 33%
- **Health Services**: 29%
- **Rehabilitation & Reentry**: 18%
- **Community Supervision**: 15%
- **Admin, Boards, & Commissions**: 13%

*Source: North Carolina Integrated HR-Payroll System and DAC Note: Position data based on December 2022 BO0149 reports*
Outline

1. General Budget Overview and Context
2. Prisons
3. Community Supervision
4. Health Services
5. Rehabilitation and Reentry
### DAC Prisons: By Fund

#### Prisons

**General Fund Budget:** $1.07 B  
**FTE:** 13,057

<table>
<thead>
<tr>
<th>Category</th>
<th>Budget</th>
<th>FTE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Custody and Security</td>
<td>$890.5 M</td>
<td>12,390</td>
</tr>
<tr>
<td>Room, Board, &amp; Clothing</td>
<td>$99.7 M</td>
<td>469</td>
</tr>
<tr>
<td>Management</td>
<td>$59 M</td>
<td>469</td>
</tr>
<tr>
<td>SMCP</td>
<td>$24.8 M</td>
<td></td>
</tr>
<tr>
<td>Construction &amp; Work Release</td>
<td>$2.6 M</td>
<td>22</td>
</tr>
</tbody>
</table>

- **83%** Custody and Security  
- **9%** Room, Board, & Clothing  
- **5%** Management  
- **2%** SMCP  
- **1%** Construction & Work Release

**Source:** FY 2022-23 Certified Budget, OSBM  
**Date:** February 14, 2023
DAC Prisons: Infrastructure

<table>
<thead>
<tr>
<th>Facility Type</th>
<th>#</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Prison</td>
<td>53</td>
</tr>
<tr>
<td>Confinement in Response to Violation (CRV)</td>
<td>3</td>
</tr>
<tr>
<td>Contract Prison*</td>
<td>1</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Current Pop</th>
<th>#</th>
</tr>
</thead>
<tbody>
<tr>
<td>Minimum</td>
<td>8,283</td>
</tr>
<tr>
<td>Medium</td>
<td>12,605</td>
</tr>
<tr>
<td>Close</td>
<td>7,931</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>29,819</strong></td>
</tr>
</tbody>
</table>

Source: DAC

Fiscal Research Division
A Non-Partisan Staff Agency of the North Carolina General Assembly

February 14, 2023
## DAC Prison: Average Daily Cost by Custody Level

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Minimum</td>
<td>$87</td>
<td>$90</td>
<td>$120</td>
<td>$85</td>
<td>$118</td>
</tr>
<tr>
<td>Medium</td>
<td>$102</td>
<td>$105</td>
<td>$123</td>
<td>$94</td>
<td>$132</td>
</tr>
<tr>
<td>Close</td>
<td>$117</td>
<td>$123</td>
<td>$146</td>
<td>$116</td>
<td>$150</td>
</tr>
<tr>
<td>Average</td>
<td>$99</td>
<td>$103</td>
<td>$128</td>
<td>$96</td>
<td>$131</td>
</tr>
</tbody>
</table>

Source: DAC Annual Statistical Report

Note Figures include the direct cost of incarcerated offender supervision/custody and programs/activities, and indirect administrative costs for prison support.
DAC Prison: Population

Source: DAC Annual Statistical Reports and Research and Planning Automated System Query & US Census

February 14, 2023
DAC Prison: Population Projections

DAC Prisons: Source of Entry

Total Entries
FY 2021-22
18,939

- Safekeepers/ Pre...
- CRV
- Post-Release...
- Probation Revocations
- Direct Admissions

673
1,950
2,372
6,279
7,665

Source: DAC FY 2021-22 Annual Statistical Report
Prisons accounts for 55% of DAC budget requirements and 66% of FTE

• Within Prisons, Custody and Security accounts for 83% budget requirement and 95% FTE

• Custody and Security Fund Code Accounts for 46% of DAC budget requirements and 63% of FTE

It’s the people!

Source: FY 2022-23 Certified Budget, OSBM
DAC Prisons: Custody and Security, It’s the People

90% of Custody and Security Requirements are for Personal Services

Most of these are Correction Officer Positions

Source: FY 2022-23 Certified Budget, OSBM
DAC Prisons: Addressing Vacancies in Custody and Security

- **SL 2021-180** appropriated $32.1 M R to implement a new experience-based salary schedule for Correctional Officers

<table>
<thead>
<tr>
<th>Yrs. Exp</th>
<th>FY 2021-22</th>
<th>FY 2022-23</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>COI</td>
<td>COII</td>
</tr>
<tr>
<td>0</td>
<td>$33,130</td>
<td>$34,220</td>
</tr>
<tr>
<td>1</td>
<td>$35,449</td>
<td>$36,615</td>
</tr>
<tr>
<td>2</td>
<td>$37,576</td>
<td>$38,812</td>
</tr>
<tr>
<td>3</td>
<td>$39,455</td>
<td>$40,753</td>
</tr>
<tr>
<td>4</td>
<td>$41,033</td>
<td>$42,383</td>
</tr>
<tr>
<td>5</td>
<td>$42,264</td>
<td>$43,654</td>
</tr>
<tr>
<td>6+</td>
<td>$43,109</td>
<td>$44,527</td>
</tr>
</tbody>
</table>

Source: SL 2021-180, Sec. 39.14
DAC Prisons: Custody and Security Vacancies Are Comparatively Higher

Comparing Position Vacancy Rates

1. Statewide < NC Department of Adult Correction
   Agency 28% < Statewide 22%

2. Agency 28% < Prisons 33%

3. Prisons 33% < COs 39%

Correctional Officer (COs) Vacancy Rates N ≈ 8 k

<table>
<thead>
<tr>
<th>Position Type</th>
<th>% Vacant</th>
</tr>
</thead>
<tbody>
<tr>
<td>Correctional Officer I</td>
<td>35%</td>
</tr>
<tr>
<td>Correctional Officer II</td>
<td>45%</td>
</tr>
<tr>
<td>Correctional Officer III</td>
<td>35%</td>
</tr>
<tr>
<td><strong>Average</strong></td>
<td><strong>39%</strong></td>
</tr>
</tbody>
</table>

**Max:** Granville 67%

**Min:** Wilkes 9%

Source: North Carolina Integrated HR-Payroll System and DAC
Note: Position data based on November 2022 BO0149 reports
DAC Prison: Vacancy Impacts

- Modified Bed Capacity:
  - Max: 31,242
  - Current: ≈ 30 k
  - Approximately 6,200 beds have been taken off-line
  - Impacting 28 facilities
DAC Prison: Safety & Security

- **SL 2018-5: $15 M**
  - man-down technology,
  - security cameras

- **SL 2019-223: $4.5 M**
  - stab resistant vests
  - security netting
  - metal detectors
  - lock boxes
  - IT security equipment

- **SL 2021-180 $32.75 M**
  - automated external defibrillators
  - replacing firearms
  - replacing metal bed springs
  - HVAC Upgrades

**Prison Safety $52 M since FY 2017-18**
DAC Prison: Room, Board, & Clothing

Room, Board, & Clothing
$99.7 M
469 FTE

“Three-Hots-and-a-Cot”

31 M Meals Served

Sheets Issued
48,744
7 ft
341,208
65 miles

Source: DAC

Fiscal Research Division
A Non-Partisan Staff Agency of the North Carolina General Assembly

February 14, 2023
## DAC Prison Work Programs: Inside Jobs by Type FY 2021-22

<table>
<thead>
<tr>
<th>Work Category</th>
<th>Definitions</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Unit Service</strong></td>
<td>This includes janitorial and general maintenance duties.</td>
<td>5,592</td>
</tr>
<tr>
<td><strong>Food Service</strong></td>
<td>Offenders work in the kitchens preparing and serving food to offenders.</td>
<td>2,624</td>
</tr>
<tr>
<td><strong>Correction Enterprises</strong></td>
<td>Offenders perform farming, food processing, printing, sewing, laundering as well as manufacturing task.</td>
<td>1,559</td>
</tr>
<tr>
<td><strong>Prison Maintenance</strong></td>
<td>Offenders perform grounds keeping, light construction, repair and maintenance projects at prisons. These jobs include roofing, plumbing, electrical wiring and other unit improvements.</td>
<td>1,245</td>
</tr>
<tr>
<td><strong>Construction</strong></td>
<td>New prison construction projects.</td>
<td>161</td>
</tr>
</tbody>
</table>

Source: DAC FY 2021-22 Annual Statistical Report
DAC Prison Work Programs: Outside Jobs by Type FY 2021-22

<table>
<thead>
<tr>
<th>Work Category</th>
<th>Definitions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Road Squads</td>
<td>Offenders patch potholes, clear rights-of-way, and pickup litter</td>
</tr>
<tr>
<td>State/Local Government Employment</td>
<td>Government agencies have labor contracts for offender to provide janitorial services and grounds keeping.</td>
</tr>
<tr>
<td>Work Release</td>
<td>Offenders nearing their release date and proven trustworthy for limited release can work for businesses in the community</td>
</tr>
</tbody>
</table>

Source: DAC FY 2021-22 Annual Statistical Report
DAC Prisons: Statewide Misdemeanant Confinement Program (SMCP)

SMCP houses misdemeanants who receive a sentence greater than 90 days, and all offenders convicted of DWI in local jails

• All counties participate in SMCP as “sending” counties
• County jails with available space that house offenders from neighboring counties are “receiving counties”
• Counties are reimbursed by the SMCP fund

<table>
<thead>
<tr>
<th>FY 2021-22 SMCP Bed Occupancy</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
<tr>
<td>Occupied</td>
</tr>
<tr>
<td>Unoccupied</td>
</tr>
<tr>
<td>Total Beds</td>
</tr>
</tbody>
</table>

Source: SMCP FY 2021-22 annual report
General Fund

- **FY 2021-22: $23.3 M**
  (includes $1 M NR for Litter Crew Pilot)
- **FY 2022-23 $24.8 M**
  (includes $2.5 M NR for Litter Crew Pilot)

### FY 2021-22 SMCP Appropriations Over Expenditures

<table>
<thead>
<tr>
<th>Item</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Fund Appropriation</td>
<td>$23,275,000</td>
</tr>
<tr>
<td>County Reimbursements</td>
<td>($11,823,682)</td>
</tr>
<tr>
<td>NC Sheriffs Association Administration</td>
<td>($1,000,000)</td>
</tr>
<tr>
<td>Medical Payments</td>
<td>($757,032)</td>
</tr>
<tr>
<td>Safekeeper Payments</td>
<td>($17,089)</td>
</tr>
<tr>
<td>Litter Crew Pilot</td>
<td>($146,040)</td>
</tr>
<tr>
<td>DAC Administration</td>
<td>($225,000)</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$9,306,157</strong></td>
</tr>
</tbody>
</table>

Source: SMCP FY 2021-22 annual report
1. General Budget Overview and Context
2. Prisons
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# DAC Community Supervision by Fund

## Community Supervision

General Fund Budget: $249 M  
FTE: 2,913.5

### Financial Breakdown

- **General Supervision**
  - 73%
  - $191.7 M  
  - 2,364 FTE

- **Management**
  - 8%
  - $21 M  
  - 29.5 FTE

- **CRV**
  - 6%
  - $14.7 M  
  - 177 FTE

- **Judicial**
  - 6%
  - $14 M  
  - 229 FTE

- **E. Monitoring**
  - 3%
  - $6.8 M  
  - 4.5 FTE

- **Interstate Compact**
  - $.77 M, < 1%

Source FY 2022-23 Certified Budget, OSBM
By design, **Structured Sentencing and the Justice Reinvestment Acts** created paths for **convictions of lesser offenses to serve sentences in the community** in lieu of prison.

Offender Population

<table>
<thead>
<tr>
<th>Supervised Offender Population</th>
<th>Community Supervision</th>
</tr>
</thead>
<tbody>
<tr>
<td>% of DAC Budget</td>
<td>% of DAC Budget</td>
</tr>
<tr>
<td>73%</td>
<td>13%</td>
</tr>
</tbody>
</table>
DAC: Community Supervision Vs. Prison
Comparing the Average Daily Cost

Prisons – Per Offender FY 2021-22

- All Custody, $131
  - Minimum: $118
  - Medium: $132
  - Close: $153

Comm Supervision – FY 2021-22

- General Supervision: $7.91
- Transitional Housing $87.14
- Community Supervision RSS $14.02
- Electronic Monitoring and GPS: $5.88

Source: DAC, DAC Annual Statistical Reports and Research and Planning Automated System Query, & OSBM BD 307
DAC: Community Supervision Three
Types of Entry

**Probation:** A term of court ordered supervision in the community in-lieu of incarceration

<table>
<thead>
<tr>
<th>Offense Class</th>
<th>Supervised Probation</th>
<th>Offense Class</th>
<th>Supervised Probation</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Sentence Rate</td>
<td>Months</td>
<td></td>
</tr>
<tr>
<td>E</td>
<td>43%</td>
<td>31</td>
<td>A1</td>
</tr>
<tr>
<td>F</td>
<td>49%</td>
<td>30</td>
<td>1</td>
</tr>
<tr>
<td>G</td>
<td>61%</td>
<td>26</td>
<td>2</td>
</tr>
<tr>
<td>H</td>
<td>67%</td>
<td>25</td>
<td>3</td>
</tr>
<tr>
<td>I</td>
<td>85%</td>
<td>22</td>
<td></td>
</tr>
</tbody>
</table>

**Post-Release Supervision (PRS):** Mandatory term of 9-12 months in the community after an offender has been released from an active prison sentence

**Parole:** Discretionary early release from prison which includes community supervision

Source: DAC and AOC
DAC: Community Supervision Entries

Source: DAC Annual Statistical Reports and Research and Planning Automated System Query
DAC: Community Supervision Entries
Per Capita

<table>
<thead>
<tr>
<th>Fiscal Year</th>
<th>Total (Thousands)</th>
<th>Per Capita (Population/1,000)</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY 2009-10</td>
<td>69,826</td>
<td>7.3</td>
</tr>
<tr>
<td>FY 2011-12</td>
<td>50,079</td>
<td>4.7</td>
</tr>
<tr>
<td>FY 2013-14</td>
<td>43,923</td>
<td>4.0</td>
</tr>
<tr>
<td>FY 2015-16</td>
<td>43,371</td>
<td>3.5</td>
</tr>
<tr>
<td>FY 2017-18</td>
<td>43,333</td>
<td>3.0</td>
</tr>
<tr>
<td>FY 2019-20</td>
<td>32,667</td>
<td>2.3</td>
</tr>
<tr>
<td>FY 2021-22</td>
<td>23,883</td>
<td>1.7</td>
</tr>
</tbody>
</table>

Source: DAC Annual Statistical Reports and Research and Planning Automated System Query & US Census

February 14, 2023
DAC Community Supervision: General Supervision

- Supervision of offenders on
  - probation
  - parole
  - post-release supervision

- 76,143 offenders under supervision as of February 8, 2023

- **Offender Supervision**
  - $7.91/day

Source: DAC Policy Research and Planning Automated System Query and Community Corrections Policy C.06000
Nearly 1,600+ Probation and Parole Officers across the State supervise 70k + offenders

- Place offenders into appropriate supervision levels through comprehensive risk and needs assessment
- Counsel offenders
- Make decisions regarding treatment for offenders
- Respond to offender behavior and non-compliance
DAC Community Supervision: General Supervision Authority

- Under JRA court can revoke probation for committing additional criminal offenses or absconding

- Court may impose a period of “confinement in response to violation” (CRV)

- Delegated authority allows PPOs to impose certain additional requirements without court involvement

Source: DAC Policy Research and Planning Automated System Query
DAC Community Supervision: General Supervision

Offenders are supervised based on risk and need

<table>
<thead>
<tr>
<th>Risk &amp; Need</th>
<th>Total Supervised Dec 2022</th>
</tr>
</thead>
<tbody>
<tr>
<td>NA</td>
<td>14,677</td>
</tr>
<tr>
<td>L5</td>
<td>1,599</td>
</tr>
<tr>
<td>L4</td>
<td>11,540</td>
</tr>
<tr>
<td>L3</td>
<td>17,628</td>
</tr>
<tr>
<td>L2</td>
<td>23,278</td>
</tr>
<tr>
<td>L1</td>
<td>8,485</td>
</tr>
</tbody>
</table>

Risk-Needs Assessment/RNA by Level

L5 Lowest Risk & Need

L1 Highest Risk & Need

FY 2021-22 Population 77,207

# DAC Community Supervision: General Supervision

<table>
<thead>
<tr>
<th>Level</th>
<th>Minimum Supervision Requirements</th>
<th>FY 2021-22 Population</th>
</tr>
</thead>
<tbody>
<tr>
<td>L1</td>
<td>One Offender Management Contact (OMC) every 30 days&lt;br&gt;One Home Contact (HC) every 30 days&lt;br&gt;One Weekend Home Contact (HC) every 60 days</td>
<td>8,485</td>
</tr>
<tr>
<td>L2</td>
<td>One Offender Management Contact (OMC) every 30 days&lt;br&gt;One Home Contact (HC) every 60 days&lt;br&gt;Sex Offenders: One Home Contact (HC) every 30 days</td>
<td>23,278</td>
</tr>
<tr>
<td>L3</td>
<td>One Offender Management Contact (OMC) every 30 days&lt;br&gt;One Home Contact (HC) every 60 days&lt;br&gt;Sex Offenders: One Home Contact (HC) every 30 days</td>
<td>17,628</td>
</tr>
<tr>
<td>L4</td>
<td>One Offender Accountability (OAR) review every 30 days&lt;br&gt;One Face to Face Contact every 90 days&lt;br&gt;Verify fee and special conditions compliance every 30 days&lt;br&gt;Conduct HC within 30 days to verify change in address</td>
<td>11,540</td>
</tr>
<tr>
<td>L5</td>
<td>One Offender Accountability (OAR) review every 30 days&lt;br&gt;Verify FMS and special conditions compliance every 60 days&lt;br&gt;Conduct HC within 30 days to verify change in address</td>
<td>1,599</td>
</tr>
</tbody>
</table>

Source: DAC Policy Research and Planning Automated System Query and Community Corrections Policy, counts based June, 30.2022
### DAC Community Supervision: General Supervision Caseloads

#### Standard

<table>
<thead>
<tr>
<th>High Risk</th>
<th>High - Moderate Risk</th>
<th>Low Risk</th>
<th>All Risk Levels</th>
</tr>
</thead>
<tbody>
<tr>
<td>L1-L2</td>
<td>L2-L3</td>
<td>L4-L5</td>
<td>L1-L5</td>
</tr>
<tr>
<td>31</td>
<td>56</td>
<td>106</td>
<td>57</td>
</tr>
</tbody>
</table>

#### Practice

<table>
<thead>
<tr>
<th>District</th>
<th>Average Caseload</th>
<th>Real World Factor (RWF)</th>
<th>Current Staff</th>
<th>Offenders</th>
</tr>
</thead>
<tbody>
<tr>
<td>Div. 1</td>
<td>45</td>
<td>57</td>
<td>408</td>
<td>16,108</td>
</tr>
<tr>
<td>Div. 2</td>
<td>45</td>
<td>65</td>
<td>486</td>
<td>18,663</td>
</tr>
<tr>
<td>Div. 3</td>
<td>51</td>
<td>66</td>
<td>486</td>
<td>21,386</td>
</tr>
<tr>
<td>Div. 4</td>
<td>52</td>
<td>68</td>
<td>411</td>
<td>18,598</td>
</tr>
<tr>
<td>Statewide</td>
<td>48</td>
<td>63</td>
<td>1,791</td>
<td>74,758</td>
</tr>
</tbody>
</table>

*RWF adjusts for vacancies and long-term absences

Source: DAC Community Supervision caseload data as of February 3, 2023
DAC Community Supervision: Judicial Support

- **Purpose:** Get PPO out of the courtroom
- **Liaisons that process offenders from court to probation**
  - Offender education
  - Assign offender to PPO
- **Community service tracking and enforcement**
  - Supervised ≈ 7,500
  - Unsupervised ≈ 6,000

**Judicial Support**
- $14 M
- 229 FTE

*Source:* DAC and OSBM BD 307 Certified Budget
DAC Community Supervision: Confinement in Response to Violation (CRV)

- CRV are behavior modification centers for probation violators
  - 90 days
  - 2 stays before revocation
- 3 Facilities
  - Burke: 248 beds
  - Robeson: 192 beds
  - North Piedmont: 136 beds (F)
- Revocations from CRV 1,950 in FY 2021-22

Source: DAC and OSBM BD 307 Certified Budget
1. General Budget Overview and Context
2. Prisons
3. Community Supervision
4. Health Services
5. Rehabilitation and Reentry
DAC Health Services by Fund

Heath Services
General Fund Budget: $357.5 M
FTE 2,086

68%
General Health
$243 M
1,252 FTE

12%
Pharmacy Services
$43 M
83 FTE

12%
Mental Health
$40.5 M
429 FTE

5%
ACDP
$17.5 M,
216 FTE

4%
Dental
$13.3 M,
106 FTE

Source: FY 2022-23 Certified Budget, OSBM
Health Services provides constitutionally-consistent medical care to all offenders in custody from entry through release promoting a safe transition from prison, improving public health, and decreasing recidivism.

Services Provided:

- Medical
- Nursing
- Behavioral
- Dental
- Psychiatric
- Pharmacy

Source DAC division descriptions
DAC Health Services: 5 Year History by Fund Code

Source: North Carolina Accounting System (NCAS)
DAC Health Services: Costs Over 10 Fiscal Years

FY 2012-13 to FY 2021-22

51% Growth

<table>
<thead>
<tr>
<th>Time Frame</th>
<th>% Cost Growth</th>
<th>Medical Care CPI</th>
</tr>
</thead>
<tbody>
<tr>
<td>First 5 FY</td>
<td>36%</td>
<td>13.74%</td>
</tr>
<tr>
<td>Last 5 FY</td>
<td>9%</td>
<td>14.82%</td>
</tr>
<tr>
<td>10 FY</td>
<td>51%</td>
<td>31.14%</td>
</tr>
</tbody>
</table>

Source: North Carolina Accounting System (NCAS)
Numerous factors contribute to high correctional healthcare costs

- Offenders with a care deficit and with untreated pre-existing conditions
- Inmates seek health services resources for secondary gain
- More likely to have communicable diseases such as HIV/AIDS, hepatitis, and tuberculosis
- Mental health and substance abuse are more common among offender population
- Aging population

Source: Program Evaluation Division report no. 2018-08
DAC: Health Services and an Aging Population

% Over 60 yrs

FY 2015-16: 5.7%
FY 2016-17: 0.9%
FY 2017-18: 1.8%
FY 2018-19: 4.0%
FY 2019-20: 8.9%
FY 2020-21: 1.8%
FY 2021-22: 8.9%

% Over 70 yrs

Source: DAC Research and Planning Automated System Query
DAC Health Services: Historically, Expenditures Exceed Budget Amounts

Source: North Carolina Accounting System (NCAS)
DAC Health Services: Historic Use
Lapsed Salary

Source: NCAS and DAC Budget Office

<table>
<thead>
<tr>
<th>Fiscal Year</th>
<th>Lapsed Salary</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY 2015-16</td>
<td>$26.3</td>
</tr>
<tr>
<td>FY 2016-17</td>
<td>$69.0</td>
</tr>
<tr>
<td>FY 2017-18</td>
<td>$64.2</td>
</tr>
<tr>
<td>FY 2018-19</td>
<td>$80.9</td>
</tr>
<tr>
<td>FY 2019-20</td>
<td>$84.3</td>
</tr>
<tr>
<td>FY 2020-21</td>
<td>$32.2</td>
</tr>
<tr>
<td>FY 2021-22</td>
<td>$58.6</td>
</tr>
<tr>
<td>Average</td>
<td>$59.4</td>
</tr>
</tbody>
</table>
**Investments in Inmate Medical have begun to align the budget with expenditures**

 halfway through FY 2022-23

- $53.4 M R funds beginning in FY 2022-23
  - $50 M General Health
  - $3.4 M Pharmacy

### Inmate Medical Budgeted Expended %

<table>
<thead>
<tr>
<th></th>
<th>Budgeted</th>
<th>Expended</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Health</td>
<td>$246.2</td>
<td>$120</td>
<td>49%</td>
</tr>
<tr>
<td>Pharmacy</td>
<td>$43.6</td>
<td>$19</td>
<td>45%</td>
</tr>
<tr>
<td>Mental Health</td>
<td>$14.2</td>
<td>$5.7</td>
<td>40%</td>
</tr>
<tr>
<td>Dental Health</td>
<td>$43.2</td>
<td>$22</td>
<td>51%</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$347.2 M</strong></td>
<td><strong>$166.7 M</strong></td>
<td><strong>48%</strong></td>
</tr>
</tbody>
</table>

Source: North Carolina Accounting System (NCAS)
DAC Medical Services: Prison Nurse Vacancies Mirror Statewide Trends

Comparing Position Vacancy Rates

1. Statewide 22% < Agency 28%

2. Agency 28% < Health Services 29%

3. Health Services 29% < Nurses 41%

Nursing Position Vacancy Rates N ≈ 287

<table>
<thead>
<tr>
<th>Position Type</th>
<th>% Vacant</th>
</tr>
</thead>
<tbody>
<tr>
<td>Professional Nurse</td>
<td>51%</td>
</tr>
<tr>
<td>Registered Nurse</td>
<td>42%</td>
</tr>
<tr>
<td>LPN</td>
<td>42%</td>
</tr>
<tr>
<td>Charge Nurse</td>
<td>39%</td>
</tr>
</tbody>
</table>

Average 39%

Source: North Carolina Integrated HR-Payroll System and DAC
Note: Position data based on November 2022 BO0149 reports
Outline

1. General Budget Overview and Context
2. Prisons
3. Community Supervision
4. Health Services
5. Rehabilitation and Reentry
## DAC Rehab & Reentry by Fund

### Rehabilitation & Reentry

**General Fund Budget**: $80 M  
**FTE**: 974

<table>
<thead>
<tr>
<th>Percentage</th>
<th>Program</th>
<th>Budget</th>
<th>FTE</th>
</tr>
</thead>
<tbody>
<tr>
<td>69%</td>
<td>Corrective Programs</td>
<td>$55 M</td>
<td>889 FTE</td>
</tr>
<tr>
<td>16%</td>
<td>TECSP</td>
<td>$13.1 M</td>
<td>4.5 FTE</td>
</tr>
<tr>
<td>13%</td>
<td>Offender Ed.</td>
<td>$10.1 M</td>
<td>57 FTE</td>
</tr>
</tbody>
</table>

% Reentry Programs, $1.86 M, 24 FTE

Source: FY 2022-23 Certified Budget, OSBM

February 14, 2023
DAC Rehab & Reentry: Corrective Programs

- Academic and Vocational Programs
  - Adult Basic Education
  - High School Equivalency
  - English and a Second Language
  - Continuing Education Classes

- Family Oriented Programs
  - Domestic Violence Education Program
  - Parenting
  - Family Visits

- Religious Programs
  - Yokefellow
  - Prison Fellowship
  - Bible Study
  - Pastoral Counseling

- Personal Growth and Development
  - Thinking for a Change
  - Commitment to Change
  - Problem Solving

Source: DAC
DAC Rehab & Reentry: Tablets

- Installed at all Prisons
  - Work on secure wi-fi
  - Deployed 39,000 tablets
- Incorporate a learning management system and provide access to the law library
- Tailor programming to offender: 18-36 mo. could focus on re-entry-related programming
- There are free apps (corrective programming) and paid for programming (entertainment)
- Users and certificates in the 1,000s

Source: DAC
DAC Rehab & Reentry: Offender Education Services

**Mission:** Provide educational opportunities ranging from basic reading, writing, and computation skills to advanced vocational skills, social development, and life skills

**Three Program Assignments:**
- Academic
- Vocational Skills
- Life skills

Source FY 2021-22 DAC Annual Statistical Report
# DAC Rehab & Reentry: Offender Education Programming

<table>
<thead>
<tr>
<th>Program Assignments</th>
<th>Definitions</th>
<th>FY 2021-22</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Academic Programs</strong></td>
<td>These programs prepare incarcerated offenders: to read, write and compute; pass the GED test; and acquire survival skills.</td>
<td>820</td>
</tr>
<tr>
<td><strong>Vocational Programs</strong></td>
<td>Programs such as computer literacy, food service training, electrical engineering technology, masonry and job readiness through local community colleges. Programs help incarcerated offenders obtain work with Correction Enterprises or work release assignments.</td>
<td>1,467</td>
</tr>
<tr>
<td><strong>Life Skills Programs</strong></td>
<td>Provide parenting skills and Cognitive Behavioral Intervention (CBI) to “restructure” thought processes and learn “cognitive skills” for basic decision-making and problem-solving to change behavior and actions reducing criminal conduct.</td>
<td>2,151</td>
</tr>
</tbody>
</table>

Source FY 2021-22 DAC Annual Statistical Report
DAC Rehab & Reentry: Offender Education Program Participation

Source FY 2021-22 DAC Annual Statistical Report
DAC Rehab & Reentry: Offender Education Attainment

DAC partners with the Community College System to provide:

• **Academic and vocational programs:** Offenders can obtain high school diplomas or high school equivalency diplomas

• **Post-secondary education** Offenders can obtain certification and Associate degrees

Source FY 2021-22 DAC Annual Statistical Report
DAC Rehab & Reentry: Offender Education Attainment

FY 2021-22: 685 offenders obtained academic and vocational credentials

Source FY 2021-22 DAC Annual Statistical Report
DAC Rehab & Reentry: Treatment for Effective Community Supervision Programs (TECSP)

- Treatment for Effective Community Supervision Program (TECSP) was created under the Justice Reinvestment Act

- Series of contracts for community-based services focusing on evidence-based practices aimed at reducing recidivism

- 3 Core programs
  - Recidivism Reduction Services (RRS)
  - Transitional/Temporary Housing (TH)
  - Local Reentry Councils (LRC)

Source DAC TECSP FY 2021-22 Annual Report
DAC Rehab & Reentry: TECSP Recidivism Reduction Services (RRS)

- Recidivism Reduction Services (RRS) largest community program under TECSP umbrella (limited by offender risk)
- Offers cognitive behavioral intervention, substance abuse services (outpatient $\rightarrow$ aftercare/recovery) & support services

- Performance based contracts
  - Total Contracts Value: $17.8 M
  - Total Expenditures FY 2021-22 $6.6M

<table>
<thead>
<tr>
<th>Service Type</th>
<th>Completion Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Core</td>
<td>44%</td>
</tr>
<tr>
<td>Mandatory</td>
<td>70%</td>
</tr>
<tr>
<td>Voluntary</td>
<td>61%</td>
</tr>
</tbody>
</table>

Source DAC TECSP FY 2021-22 Annual Report
DAC Rehab & Reentry: TECSP Local Reentry Councils (LRC)

LRCs are networks of individuals and agencies providing supervision and coordination of innovative responses to the reintegration of formally incarcerated offenders

- 17 LRCs statewide-15 single county 2 multi-county
  - Contract based between DAC Reentry and a Local Intermediary Agency (IA): Community Colleges, Local Organization, Law Enforcement
  - $2.7M total contract cost
    - Cost/LRC: $150k single $225 for multi county
  - Offenders can be referred from any county and served, but areas of the state are not supported by a catchment

Source FY 2021-22 DAC Annual Statistical Report
DAC Rehab & Reentry: Local Reentry Council (LRC)

Since FY 2021-22

- Mental Health referrals nearly doubled
- Substance abuse referrals grew by 48%
- Transportation grew by 33%
- Childcare needs grew by 272%

<table>
<thead>
<tr>
<th>LRC FY 2021-22</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>Supportive Services</td>
<td></td>
</tr>
<tr>
<td>Transportation</td>
<td>3,837</td>
</tr>
<tr>
<td>Employment</td>
<td>2,496</td>
</tr>
<tr>
<td>Basic Needs</td>
<td>3,912</td>
</tr>
<tr>
<td>Housing</td>
<td>2,456</td>
</tr>
<tr>
<td>Life Skills</td>
<td>1,066</td>
</tr>
<tr>
<td>Mental Health Referral</td>
<td>1,040</td>
</tr>
<tr>
<td>Vocational Skills</td>
<td>561</td>
</tr>
<tr>
<td>Substance Abuse Referral</td>
<td>318</td>
</tr>
<tr>
<td>Education</td>
<td>471</td>
</tr>
<tr>
<td>Documentation</td>
<td>1,379</td>
</tr>
<tr>
<td>Child Care</td>
<td>22</td>
</tr>
<tr>
<td>Mentorship</td>
<td>1,937</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>6,161</strong></td>
</tr>
</tbody>
</table>

Source DAC TECSP FY 2020-21 and FY 2021-22 Annual Report
Summary

Total GF Budget: $1.95 B
Total FTE: 19,635

Core Operations:
- Prisons
- Community Supervision
- Health Services
- Rehabilitation & Reentry

1. The Department of Adult Correction is a new cabinet agency
2. Responsible for supervising more than 100 k offenders
3. 50% of the JPS budget and 60% of the FTE
4. Operating prisons most costly and labor intensive, but community supervision supervises twice the number of offenders with fewer personnel and resources
5. Moving forward, the Department is placing greater emphasis on rehabilitation and reentry programming for all offenders