



## NORTH CAROLINA AUCTIONEER LICENSING BOARD

**CHAIRMAN:**  
BUCK LATTIMORE  
RALEIGH, NC

**VICE CHAIRMAN**  
DANIEL H. DEVANE  
GARNER, NC

108 Ber Creek Drive  
Fuquay-Varina, North Carolina 27526  
(919) 567-2844 Fax (919) 567-2865

Charles F. Diehl, EXECUTIVE DIRECTOR

**BOARD MEMBERS:**

WILLIE A. JOHNSON  
GREENSBORO, NC

MELINDA Q. PORTER  
PLYMOUTH, NC

T. KYLE SWICEGOOD  
MOCKSVILLE, NC

October 25, 2023

### ANNUAL REPORT

Fiscal Year 2022-2023

The following is submitted in compliance with Chapter 93B-2 of the General Statutes for the period ending June 30, 2023.

1. The address of the Board and the names of its members and officers are as listed:

N. C. Auctioneer Licensing Board  
108 Ber Creek Drive  
Fuquay-Varina, North Carolina 27526

Chairman: Buck Lattimore  
Vice Chairman: Daniel DeVane  
Board Members: Willie A. Johnson  
Melinda Q. Porter  
T. Kyle Swicegood

- 1a. The total number of licensees supervised by the Board is 2,261. 1,779 are Auctioneers; 10 are Apprentice Auctioneers; and 472 are Auction Firms.
2. 79 persons applied to the Board for the auctioneer examination during the 2022-2023 fiscal year. 15 persons applied to the Board for the auction firm examination during the 2022-2023 fiscal year.
3. 0 persons were refused the written examination. 1 person withdrew their auctioneer application. 1 entity withdrew its auction firm application.
4. 78 persons took the auctioneer examination during the 2022-2023 fiscal year. 14 persons took the auction firm examination during the 2022-2023 fiscal year.

5. The number to whom initial licenses were issued was 133. 72 auctioneers were issued initial licenses through examination; 18 auctioneers were issued initial licenses through reciprocity; 2 apprentice auctioneers were issued initial licenses; and 41 auction firms were issued initial licenses.
- 5a. 6 persons failed the auctioneer examination once; 1 person failed the auctioneer examination twice; 4 persons failed the auction firm examination once; and 0 persons failed the auction firm examination twice.
6. 18 persons applied for a license by reciprocity or comity.
7. 18 persons were granted a license by reciprocity or comity.
- 7a. 13 official complaints were received involving licensed and unlicensed activities.
- 7b. 20 disciplinary actions were taken against licensees; 1 non-disciplinary action (letter of caution) was taken against a licensee; and 0 non-disciplinary actions were taken against non-licensees.
8. 0 licenses were revoked; 3 licenses were suspended; 10 civil penalties were issued to licensees; and 7 licensees were reprimanded.
9. 11 licenses were terminated due to death, and 5 licenses were permanently retired.
- 9a. 134 persons applied for licensure. Of that number, 133 were granted a license.
- 9b. There were 26 applicants with a conviction record. Of that number, 25 were granted a license. Overall, a total of 1 applicant was denied a license for any reason. That applicant was denied a license because of one or more criminal convictions per the provisions of G.S. 93B.
- 9c. There were 0 applications for licensure from military trained applicants. 0 licenses were granted to military trained applicants, and 0 military trained applicants were denied licenses. There were 0 applications for licensure from known active duty military applicants. 0 licenses were granted to known active duty military applicants, and 0 known active duty military applicants were denied licenses. There were 10 applications for initial licensure from known military veteran applicants. 9 license were granted to known military veteran applicants, and 1 known military veteran applicant failed to secure licensure due to not having passed the license examination. There were 0 license renewal applications from known active duty military applicants. There were 199 license renewal applications from known military veterans, all of which were approved.
- 9d. There were 0 applications for licensure from military spouse applicants. 0 licenses were granted to military spouse applicants, and 0 military spouse applicants were denied licenses.
10. At this time, there are no anticipated requests by the North Carolina Auctioneer Licensing Board to the General Assembly to amend statutes related to Auctioneer Law.

11. As of the effective date of this FYE June 30, 2023 Annual Report there were no significant changes in the preceding 12 months to the rules adopted by the North Carolina Auctioneer Licensing Board.

Attached is a financial report that includes the source and amount of all funds credited to the North Carolina Auctioneer Licensing Board and the purpose and amount of all funds disbursed by the North Carolina Auctioneer Licensing Board during the previous 12-month period.

Sincerely,

A handwritten signature in black ink, appearing to read "Charles F. Diehl". The signature is fluid and cursive, with the first name "Charles" and last name "Diehl" clearly distinguishable.

Charles F. Diehl  
Executive Director

SUMMARY BY ACCOUNT

ACCOUNT	DESCRIPTION	***** B U D G E T E D ***** CERTIFIED	***** A C T U A L ***** AUTHORIZED	CURRENT-MONTH	YEAR-TO-DATE	UNEXPENDED / CERTIFIED	UNREALIZED **** AUTHORIZED	ENCUMBRANCES	RATE
EXPENDITURES-BUDGET AND ACTUAL									
531112	EPA-REG SALARIES-RECPT	164,656.00	164,641.00	13,475.42	161,571.04	3,084.96	3,069.96	.00	.98
531312	REG(N S) TEMP WAGES-RECPT	28,643.00	23,124.00	.00	.00	28,643.00	23,124.00	.00	.00
531462	EPA&SPA-LONGVTY PAY-REC	1,380.00	1,395.00	.00	1,390.00	10.00-	5.00	.00	1.00
531472	BONUS-INCENTIVE PAY-RECPT	2,062.00	2,062.00	.00	.00	2,062.00	2,062.00	.00	.00
531512	SOCIAL SEC CONTRIB-RECPT	13,113.00	13,113.00	981.36	11,863.08	1,249.92	1,249.92	.00	.90
531522	REG RETIRE CONTRIB-RECPT	37,552.00	39,927.00	3,301.48	39,925.49	2,373.49-	1.51	.00	1.00
531532	LEO RETIRE CONTRIB-RECPT	4,000.00	4,500.00	366.98	4,499.23	499.23-	.77	.00	1.00
531562	MED INS CONTRIB-RECPTS	14,754.00	14,794.00	1,169.92	14,793.84	39.84-	.16	.00	1.00
531576	FLEXIBLE SPENDING SVG ACC	50.00	50.00	2.46	29.28	20.72	20.72	.00	.59
531651	COMPENSATION TO BOARD ME	8,800.00	11,404.00	986.85	11,403.60	2,603.60-	.40	.00	1.00
531XXX	PERSONAL SERVICES	275,010.00	275,010.00	20,284.47	245,475.56	29,534.44	29,534.44	.00	.89
532110	LEGAL SERVICES	20,000.00	12,909.00	.00	11,223.00	8,777.00	1,686.00	.00	.87
532120	FINAN/AUDIT SERVICES	10,000.00	14,000.00	.00	13,995.00	3,995.00-	5.00	.00	1.00
532140	OTH INFORMATION TECH SVC	12,308.00	15,808.00	.00	15,530.00	3,222.00-	278.00	.00	.98
532199	MISC CONTRACTUAL SERVICE	37,612.00	27,678.00	903.82	16,090.50	21,521.50	11,587.50	.00	.58
532200	UTILITY/ENERGY SERVICE	2,750.00	4,096.00	284.09	4,091.60	1,341.60-	4.40	.00	1.00
532400	MAINTENANCE AGREEMENTS	100.00	800.00	.89	612.71	512.71-	187.29	.00	.77
532500	RENTAL / LEASES	3,000.00	3,435.00	277.78	3,434.36	434.36-	.64	.00	1.00
532700	TRAVEL/OTHER EMPLOYEE EX	10,146.00	11,145.00	682.98	9,453.18	692.82	1,691.82	.00	.85
532800	COMMUNICATION / DATA PRO	6,173.00	10,243.00	3,486.52	9,997.24	3,824.24-	245.76	.00	.98
532900	OTHER SERVICES	5,134.00	4,859.00	.00	4,758.68	375.32	100.32	.00	.98
532XXX	PURCHASED SERVICES	107,223.00	104,973.00	5,636.08	89,186.27	18,036.73	15,786.73	.00	.85
533100	GENERAL ADMIN SUPPLIES	2,200.00	4,550.00	391.68	4,549.89	2,349.89-	.11	.00	1.00
533XXX	SUPPLIES	2,200.00	4,550.00	391.68	4,549.89	2,349.89-	.11	.00	1.00
534500	EQUIPMENT	1,000.00	100.00	.00	.00	1,000.00	100.00	.00	.00
534700	INTANGIBLE ASSETS	.00	800.00	.00	598.50	598.50-	201.50	.00	.75
534XXX	PROPERTY, PLANT & EQUIP	1,000.00	900.00	.00	598.50	401.50	301.50	.00	.67
535800	OTHER ADMIN EXPENSES	1,600.00	1,600.00	.00	807.28	792.72	792.72	.00	.50
535900	OTHER EXPENSES	3,000.00	3,000.00	20.41	225.06	2,774.94	2,774.94	.00	.08
535XXX	OTHER EXPENSES & ADJUST	4,600.00	4,600.00	20.41	1,032.34	3,567.66	3,567.66	.00	.22
538030	FINE/PENALTY/FORFEIT-TRA	7,000.00	7,000.00	.00	7,000.00	.00	.00	.00	1.00
538XXX	INTRAGOVERNMENTAL TRANS	7,000.00	7,000.00	.00	7,000.00	.00	.00	.00	1.00
	TOTAL NON-SALARY ITEMS	122,023.00	122,023.00	6,048.17	102,367.00	19,656.00	19,656.00	.00	.84

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EXPENDITURES-BUDGET AND ACTUAL									
	EXPENDITURES	397,033.00	397,033.00	26,332.64	347,842.56	49,190.44	49,190.44	.00	.88
REVENUES-ESTIMATED AND ACTUAL									
433121	STIF INT INC-NONOP-PROGR	6,400.00	6,400.00	926.91	8,364.67	1,964.67-	1,964.67-		1.31
435100	BSNS LICENSE FEES	344,783.00	344,783.00	228,950.00	318,925.00	25,858.00	25,858.00		.93
435300	CERTIFICATION FEES	5,450.00	5,450.00	200.00	5,450.00	.00	.00		1.00
435500	FINES,PENAL, ASSESS FEE	7,000.00	7,000.00	800.00	7,800.00	800.00-	800.00-		1.11
435900	OTHER LIC,FEES/PERMITS	23,300.00	23,300.00	1,008.00	13,432.00	9,868.00	9,868.00		.58
437990	OTHER MISC REV-PROGRAM	100.00	100.00	.00	128.00	28.00-	28.00-		1.28
438102	TRANSFER FROM 68106	10,000.00	10,000.00	.00	.00	10,000.00	10,000.00		.00
	REVENUES	397,033.00	397,033.00	231,884.91	354,099.67	42,933.33	42,933.33	.00	.89
	INCREASE/(DECREASE) IN FUND BALANCE	.00	.00	205,552.27	6,257.11	6,257.11-	6,257.11-	.00	***
CASH ANALYSIS									
	BEGINNING BALANCE				516,911.46				
	+ CASH RECEIPTS				354,099.67				
	- CASH DISBURSEMENTS				347,842.56				
	+ ASSETS				.00				
	+ LIABILITY				.00				
	+ EQUITY				.00				
	= ENDING BALANCE				523,168.57				
ADDITIONAL ASSETS									
	TOTAL AVAILABILITY				523,168.57				