



North Carolina Department of Commerce

Pat McCrory, Governor
John E. Skvarla III, Secretary

Dr. Patricia Mitchell, CEcD
Assistant Secretary
Rural Economic Development Division

DATE: July 31, 2015

TO: Joint Legislative Commission on Governmental Operations
Fiscal Research Division

FROM: Dr. Patricia Mitchell, Assistant Secretary

RE: Clean Water Bond Report

SENT VIA E-MAIL

Pursuant to the requirements of the Clean Water and Natural Gas Critical Needs Bond Act of 1998 (S.L. 1998-132), I have enclosed a copy of the report on grant activity FY 2011 to FY 2015.

The Clean Water Bond categories include:

1. The Unsewered Communities Grant Program awarded grants with a maximum of \$3 million to qualified units of local government that are unsewered and have documented that the project will eliminate the use of outhouses, straight piping or extreme failure of septic systems.
2. The Supplemental Grant Program awarded grants with a maximum of \$400,000 to qualified units of local government for critically needed water and sewer projects. Rural Center funding was applied to a project along with funding from another agency or funds available from the local government unit. Priority was given to local governments from rural, economically distressed counties.
3. The Capacity Building Grant Program awarded grants with a maximum of \$40,000 to qualified units of local government for the planning needed to make strategic investments in water and sewer infrastructure projects. The funds were typically used for Preliminary Engineering Reports, Capital Improvement Plans, Rate Studies, Master Water/Sewer Plans and Feasibility Studies for unserved areas. Priority was given to local governments from rural, economically distressed counties.

Thrive NC

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4. The Capacity Construction Grant Program awarded grants with a maximum of \$400,000 to qualified units of local government for critically needed water and sewer construction projects. Priority was given to local governments from rural, economically distressed counties.

The attached report summarizes Clean Water Bond grant activity for the past six years. The status of each grant is noted, and the total payments made are as of June 30, 2015.

I commend this report to your attention. Members of my staff including, Melody Adams and George T. Collier, will be available to discuss the contents at your convenience.

Sincerely,



Dr. Patricia Mitchell, CEcD

Assistant Secretary, Rural Economic Development Division

Enclosures: Clean Water Bond Report

Cc: John Hoomani, General Counsel
Ashley Jones, Legislative Liaison
Melody Adams, Director, Rural Grants/Programs Section
George T. Collier, Program Manager, Rural Grants/Programs Section

Ref #	Grantee	Award Date	Original Grant Amt	Grant Amount	Paid	Balance	Program	Contract Executed	Status
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(sorted by FY, Program, NCREDC Ref #)

Clean Water Bond Program

Capacity
Capacity Construction
Supplemental
Unsewered

Awarded FY11 - FY15

# of Projects	Original Grant Amt	Grant Amt	Paid	Balance
1	40,000.00	40,000.00	21,037.52	18,962.48
11	1,767,208.00	1,647,417.81	1,079,797.81	567,620.00
TOTALS (FY11 - FY15)	12	1,807,208.00	1,687,417.81	1,100,835.33
				586,582.48

CWB Grants Awarded in FY11 (07/01/10 - 06/30/11)									
1	02-85-01	Whittier Sanitary District	2/23/2011	341,800.00	307,620.00		307,620.00	Supp	Uncontracted
		FY11 Totals		341,800.00	307,620.00		307,620.00		

CWB Grants Awarded in FY12 (07/01/11 - 06/30/12)									
1	02-87-01	Town of Mayodan	11/16/2011	300,000.00	254,573.26	254,573.26		Supp	4/13/12 Closed Grant
2	02-87-02	City of Roanoke Rapids	4/18/2012	67,500.00	67,500.00	67,500.00		Supp	8/22/12 Closed Grant
3	02-87-03	City of Sanford	4/18/2012	50,000.00	35,062.66	35,062.66		Supp	9/7/12 Closed Grant
		FY12 Totals		417,500.00	357,135.92	357,135.92			

CWB Grants Awarded in FY13 (07/01/12 - 06/30/13)									
1	02-89-02	City of Sanford	8/22/2012	81,000.00	58,070.70	58,070.70		Supp	12/7/12 Closed Grant
2	02-89-01	Town of Sparta	8/22/2012	135,000.00	135,000.00	135,000.00		Supp	10/18/12 Closed Grant
3	02-89-04	Moore County	2/27/2013	41,563.00	39,250.00	39,250.00		Supp	8/20/13 Paid Out
4	02-89-05	Town of Mount Gilead	2/27/2013	153,495.00	153,491.19	153,491.19		Supp	5/16/13 Paid Out
5	02-89-03	Town of Maxton	4/24/2013	252,430.00	252,430.00	252,430.00		Supp	9/6/13 Paid Out
6	02-90-01	Town of Pilot Mountain	4/24/2013	40,000.00	40,000.00	21,037.52	18,962.48	Cap	7/24/13 Active Grant
7	02-89-06	Town of Princeville	6/26/2013	84,420.00	84,420.00	84,420.00		Supp	9/6/13 Paid Out
		FY13 Totals		787,908.00	762,661.89	743,699.41	18,962.48		

*** No CWB Awards in FY14

Ref #	Grantee	Award Date	Original Grant Amt	Grant Amount	Paid	Balance	Program	Contract Executed	Status
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(sorted by FY, Program, NCREDC Ref #)

CWB Grants Awarded in FY15 (07/01/14 - 06/30/15)									
1	02-93-01	Town of Stoneville	6/16/2015	260,000.00	260,000.00		260,000.00	Supp	Uncontracted
		FY15 Totals		260,000.00	260,000.00		260,000.00		

12 Total # of Projects

GRAND TOTALS (FY11 - FY15)	1,807,208.00	1,687,417.81	1,100,835.33	586,582.48
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ALLOCATION ACTIVITY (All Years)

Capacity	
General Assembly Program Allocation ('98 CWB)	13,301,861
Total Amt Awarded to Grantees (CY98 - FY15)	<u>13,069,570</u>
Balance Left to Award	232,292
Supplemental	
General Assembly Program Allocation ('98 CWB)	108,376,811
Total Amt Awarded to Grantees (CY98 - FY15)	<u>107,843,683</u>
Balance Left to Award	533,129
Capacity Construction (Supplemental Sub Category)	
General Assembly Program Allocation ('98 CWB)	4,318,139
Total Amt Awarded to Grantees (CY98 - FY15)	<u>4,424,962</u>
Balance Left to Award	
Unsewered	
General Assembly Program Allocation ('98 CWB)	114,228,235
Total Amt Awarded to Grantees (CY98 - FY15)	<u>113,834,419</u>
Balance Left to Award	393,815
ALL PROGRAMS	
General Assembly Program Allocation ('98 CWB)	240,225,046
Total Amt Awarded to Grantees (CY98 - FY15)	<u>239,172,634</u>
Balance Left to Award	<u>1,052,412</u>

AWARD ACTIVITY (FY11 - FY15)

Capacity	FY11	FY12	FY13	FY14	FY15	FY11 - FY15
Number of Grants Awarded			1			1
Amount Awarded			40,000			\$40,000
Number of Executed Contracts	1			1		2
Amount Executed	38,100			40,000		\$78,100
Amount Paid to Grantees	7,500	38,100			21,038	\$66,638
Supplemental	FY11	FY12	FY13	FY14	FY15	FY11 - FY15
Number of Grants Awarded	1	3	6		1	11
Amount Awarded	307,620	357,136	722,662		260,000	\$1,647,418
Number of Executed Contracts	2	1	5	3		11
Amount Executed	269,256	254,573	449,125	376,100		\$1,349,054
Amount Paid to Grantees	724,827	1,674,526	422,530	1,363,697	443,616	\$4,629,195
Capacity Construction	FY11	FY12	FY13	FY14	FY15	FY11 - FY15
Number of Grants Awarded						
Amount Awarded						
Number of Executed Contracts						
Amount Executed						
Amount Paid to Grantees	280,765	46,085				\$326,850
Unsewered	FY11	FY12	FY13	FY14	FY15	FY11 - FY15
Number of Grants Awarded						
Amount Awarded						
Number of Executed Contracts		2				2
Amount Executed		4,444,892				\$4,444,892
Amount Paid to Grantees	2,565,896	4,081,879	3,260,460	2,419,701	217,368	\$12,545,304
ALL PROGRAMS	FY11	FY12	FY13	FY14	FY15	FY11 - FY15
Number of Grants Awarded	1	3	7		1	12
Amount Awarded	307,620	357,136	762,662		260,000	\$1,687,418
Number of Executed Contracts	3	3	5	4		15
Amount Executed	307,356	4,699,465	449,125	416,100		\$5,872,046
Amount Paid to Grantees	3,578,987	5,840,590	3,682,990	3,783,398	682,022	\$17,567,987