



**STATE OF NORTH CAROLINA
OFFICE OF STATE BUDGET AND MANAGEMENT**


PAT MCCRORY
GOVERNOR

LEE HARRISS ROBERTS
STATE BUDGET DIRECTOR

November 6, 2015

MEMORANDUM

TO: Senator Phil Berger, President Pro-Tempore of the Senate
Representative Tim Moore, Speaker of the House of Representatives

FROM: Lee Harriss Roberts
State Budget Director 

SUBJECT: Grant Awards

Pursuant to Section 5.1 of Session Law 2015-241, the Office of State Budget and Management is to report to the Joint Legislative Commission on Governmental Operations prior to expending funds received from grant awards. Funding is anticipated to be received and expended for the grant(s) included in the attached Notification of Application for Grant Funds/Awards.

If you have any questions or concerns, please contact me by telephone 919-807-4717 or email to lee.roberts@osbm.nc.gov.

Thank you.

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Notification of Application for Grant Funds/Awards 2015-16

Office of State Budget and Management 115 West Jones Street Raleigh NC 27603 800 510 927 4700

Instructions at http://www.osbm.state.nc.us/files/pdf_files/forante_inst.pdf

1 Department	Department of Adult Correction and Juvenile Justice, Juvenile Justice Section
2 Division (except in DHHS) DHHS only, choose division from drop down list.	Jean Stenberg
3 Contact person (name)	919-324-6386
4 Phone number	jean.stenberg@ncdps.gov
5 E-mail	Department of Justice, Office of Justice Programs
6 Funding Entity (grantor)	16.812
7 CFDA number	North Carolina Comprehensive Statewide Juvenile Reentry Systems Reform
8 Grant title	Implementation: Improving the Successful Reintegration of Juveniles into the Community
9 Grant application deadline (MM/DD/YYYY)	06/26/15
10 Start date of grant (MM/DD/YYYY)	10/01/15
11 End date of grant (MM/DD/YYYY)	09/30/16
12 Application type	No
13 Is this grant already in agency's continuation budget?	14550
14 Budget code the grant will be expended in (XXXXX)	1230
15 Fund code (XXXX or NA)	Yes
16 Is there a state matching requirement?	\$240,059 in-kind (with \$165,059 from the Juvenile Justice Section and \$55,000 from the Government Data Analytics Center), \$127,441 cash match
17 If yes, what is the matching requirement?	General Fund
18 If yes, what is the source of state funds being used to match grant funds.	No
19 Is there a maintenance of effort (MOE) requirement?	No
20 If yes, what is the MOE?	Yes
21 Is an additional General Fund appropriation required to meet the state match requirement?	No
22 Will any of these funds be passed through to local governments or non-state entities?	No
23 If yes, identify affected entities by type	No
24 Will additional state monies be required to continue the program if grant expires or is reduced?	No
25 If yes, is this a requirement of the grant?	No
26 Are new FTEs funded through the grant?	No

	SFY 2014-15	SFY 2015-16	SFY 2015-16	SFY 2016-17	SFY 2017-18	SFY 2018-19
	Actual	Authorized	Proposed	Proposed	Proposed	Proposed
27 If yes, give the number by type for each year						
28 Amount of grants funds applied for in each year						
29 Amount of grants funds awarded in each year		\$1,102,500.00				

<div> <div>30 Purpose of grant or amendment</div> <div></div> </div>	
<div> <div>31 Comments</div> <div></div> </div>	<p>Through this initiative, North Carolina will make significant improvements to case planning and service delivery linkages to increase the success of youths moving through our system and returning to their communities. The target population is youth served by four state-funded residential program types. These complex youths have elevated risk and needs levels marked by lengthy court histories, assaultive behaviors, substance use and school problems, prior victimization, mental health needs, and familial substance use and criminality. Designed to reduce the recidivism rate by 50% over 5 years while improving education, employment, and behavioral health outcomes of youths involved in the "deep end" of the system, the initiative aims to deliver risk and needs-driven case planning and service linkage by improving the reliable use of risk and needs instruments, and implementing a comprehensive unified service plan and a needs-to-service matching tool to link youth to the right services; deliver effective and developmentally appropriate programming that targets criminogenic needs by means of a Workforce Development and Education Strategy; engage and strengthen families of youth involved along the juvenile justice continuum via a Family Engagement and Strengthening Strategy; and document the reentry strategy's effect on recidivism, education, employment, and behavioral health outcomes through program evaluation. Corporate neighbors RTI and SAS will contribute their extensive knowledge and experience to the project's technical development and evaluation components.</p>

Return completed form as email attachment and indicate in message that proper agency sign-offs have been obtained. Contact your OSBM budget analyst if you have questions.



Office of State Budget and Management, 116 West Jones Street Raleigh NC 27603-8005 919-807-4700

Instructions at http://www.osbm.state.nc.us/files/pd1_files/orants_instr.pdf

1 Department	Department of Public Safety
2 Division (except in DHS)	Adult Correction and Juvenile Justice
3 DHHS only, choose division from drop down list.....	
4 Contact person (name)	Nicole Sullivan
5 Phone number	(919) 324-6479
6 E-mail	Nicole.sullivan@ncdps.gov
7 Funding Entity (grantor)	US DOJ-OJP - Bureau of Justice Assistance
8 CFDA number	16.812
9 Grant title	FY 2015 NC ASSIST: Aligning Supervision Strategies for Individuals in need of Services and Treatment
10 Grant application deadline (MM/DD/YY)	03/24/15
11 Start date of grant (MM/DD/YY)	10/01/15
12 End date of grant (MM/DD/YY)	09/30/18
13 Application type	New
14 Is this grant already in agency's continuation budget?	No
15 Budget code the grant will be expended in (XXXXX)	14550
16 Fund code (XXXX or NA)	1375
17 Is there a state matching requirement?	No
18 If yes, what is the matching requirement?	
19 If yes, what is the source of state funds being used to match grant funds.	
20 Is there a maintenance of effort (MOE) requirement?	No
21 If yes, what is the MOE?	
22 Is an additional General Fund appropriation required to meet the state match requirement?	No
23 Will any of these funds be passed through to local governments or non-state entities?	No
24 If yes, identify affected entities by type	
25 Will additional state monies be required to continue the program if grant expires or is reduced?	Yes
26 If yes, is this a requirement of the grant?	No
27 Are new FTEs funded through the grant?	Yes
28 If yes, give the number by type for each year	
29 Amount of grants funds applied for in each year	
30 Amount of grants funds awarded in each year	

<p>30 Purpose of grant or amendment</p>	
<p>31 Comments</p>	<p>Persons with mental illness in the criminal justice system is one of the most pressing issues facing criminal justice authorities today. At any given time, there are over 100,000 persons with mental illness in jails, over 250,000 in prisons, and close to 500,000 on community supervision arrangements. Offenders with mental illness place great demands on probation resources due to their increased levels of criminogenic and non-criminogenic needs and the time and effort it takes to supervise them. The North Carolina Department of Public Safety's project entitled, 'Aligning Supervision Strategies for Individuals in Need of Services and Treatment', NC ASSIST, focuses on this critical issue for criminal justice practice and policy: How to reduce recidivism among offenders with mental illness? NC ASSIST targets high-risk offenders with severe mental illness (MI), which we estimate make up close to 20% of our state's probation population and have revocations rates 4 points higher than the non-MI high-risk offenders (24%). NC ASSIST will integrate the following smart supervision strategies within 12 specialty mental health caseloads (SMHCs) in six North Carolina counties serving more than 480 offenders: (1) alignment of service plans with the Department's risk and needs assessment ("RNA") results; (2) training officers to use smart supervision strategies; (3) using graduated incentives and sanctions; (4) engaging SMHC officers in performance-driven personal practices (i.e., skill-based training, motivational interviewing with audioclip, supervision and feedback, and coaching); (5) training officers in dual diagnosis motivational interviewing, which is an innovative, evidence-based adaptation to motivational interviewing that focuses on developing internal motivation through a client-centered approach to problem solving; and (6) expanding SMHC's intervention capacity through community-capacity building, cross-system training, and information sharing. NC ASSIST will provide protocols for implementing SMHCs to ensure broad dissemination, develop evidence-based protocols to adapt supervision strategies to meet the unique needs of probationers with mental illness, and produce clear evidence that SMHCs reduce recidivism. Through a partnership with the University of North Carolina at Chapel Hill School of Social Work, NC ASSIST will evaluate its SMHCs using a multi-site randomized design, which will be significant for criminal justice research. At the end of the project, NC ASSIST will be fully integrated into North Carolina's community supervision protocols and will help firmly establish North Carolina's reform of its supervision practices from contact-driven supervision to a behavior management model for all offenders on supervision in the community, especially those with mental illness.</p>

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