



**STATE OF NORTH CAROLINA
OFFICE OF STATE BUDGET AND MANAGEMENT**


PAT MCCRORY
GOVERNOR

LEE HARRISS ROBERTS
STATE BUDGET DIRECTOR

November 6, 2015

MEMORANDUM

TO: Senator Phil Berger, President Pro-Tempore of the Senate
Representative Tim Moore, Speaker of the House of Representatives

FROM: Lee Harriss Roberts
State Budget Director 

SUBJECT: Grant Awards

Pursuant to Section 5.1 of Session Law 2015-241, the Office of State Budget and Management is to report to the Joint Legislative Commission on Governmental Operations prior to expending funds received from grant awards. Funding is anticipated to be received and expended for the grant(s) included in the attached Notification of Application for Grant Funds/Awards.

If you have any questions or concerns, please contact me by telephone 919-807-4717 or email to lee.roberts@osbm.nc.gov.

Thank you.

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Notification of Application for Grant Funds/Awards, 2015-16

Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-6005, 919-807-4700

Instructions at http://www.osbm.state.nc.us/files/pdf_files/grants_instr.pdf

1 Department	Department of Environment and Natural Resources
2 Division (except in DHS)	Wildlife Resources Commission
3 Contact person (name)	Kyle Briggs
4 Phone number	919-707-0269
5 E-mail	kyle.briggs@ncwildlife.org
6 Funding Entity (grantor)	US Department of Agriculture, Animal and Plant Health Inspection Service, Wildlife Services
7 CFDA number	10.028
8 Grant title	HPAI surveillance in waterfowl (dabbling ducks)
9 Grant application deadline (MM/DD/YY)	08/28/15
10 Start date of grant (MM/DD/YY)	07/01/15
11 End date of grant (MM/DD/YY)	06/30/16
12 Application type	New
13 Is this grant already in agency's continuation budget?	No
14 Budget code the grant will be expended in (XXXXX)	14350
15 Fund code (XXXX or NA)	1151
16 Is there a state matching requirement?	No
17 If yes, what is the matching requirement?	
18 If yes, what is the source of state funds being used to match grant funds.	
19 Is there a maintenance of effort (MOE) requirement?	No
20 If yes, what is the MOE?	
21 Is an additional General Fund appropriation required to meet the state match requirement?	No
22 Will any of these funds be passed through to local governments or non-state entities?	No
23 If yes, identify affected entities by type	Yes
24 Will additional state monies be required to continue the program if grant expires or is reduced?	No
25 If yes, is this a requirement of the grant?	No
26 Are new FTEs funded through the grant?	No

27 If yes, give the number by type for each year: Permanent	SFY 2014-15	Complete either Authorized or Proposed for 2015-16	SFY 2015-16	SFY 2016-17	SFY 2017-18	SFY 2018-19
	Actual		Authorized	Proposed	Proposed	Proposed
28 Amount of grants funds applied for in each year			\$40,000.00			
29 Amount of grants funds awarded in each year			\$40,000.00			

30 Purpose of grant or amendment	<p>Revenues will be recorded in 24351 in Fund 2251. Expenditures may be incurred in Fund Codes 1151, 1166, and 1101. The purpose of this grant is to conduct high path avian influenza surveillance on waterfowl (dabbling ducks) statewide.</p>
31 Comments	<p>This grant has been approved with pre-award costs as of July 1, 2015.</p>

Return completed form as email attachment and indicate in message that proper agency sign-offs have been obtained. Contact your OSBM budget analyst if you have questions.



Instructions at http://www.osbm.state.nc.us/files/pdf/files/grants_instr.pdf

1	Department	Department of Environment and Natural Resources
2	Division (except in DHHS)	Marine Fisheries
3	Contact person (name)	Vicky Pohoresky
4	Phone number	252-808-8016
5	E-mail	Vicky.Pohoresky@ncdenr.gov
6	Funding Entity (grantor)	Department of Commerce/NOAA
7	CFDA number	11.427
8	Grant title	SK Economic and Social Analysis of NC Commercial Fisheries
9	Grant application deadline (MM/DD/YY)	12/15/14
10	Start date of grant (MM/DD/YY)	09/01/15
11	End date of grant (MM/DD/YY)	08/31/17
12	Application type	New
13	Is this grant already in agency's continuation budget?	No
14	Budget code the grant will be expended in (XXXXX)	14300
15	Fund code (XXXX or NA)	1320
16	Is there a state matching requirement?	No
17	If yes, what is the matching requirement?	
18	If yes, what is the source of state funds being used to match grant funds?	
19	Is there a maintenance of effort (MOE) requirement?	No
20	If yes, what is the MOE?	N/A
21	Is an additional General Fund appropriation required to meet the state match requirement?	No
22	Will any of these funds be passed through to local governments or non-state entities?	No
23	If yes, identify affected entities by type	No
24	Will additional state monies be required to continue the program if grant expires or is reduced?	No
25	If yes, is this a requirement of the grant?	No
26	Are new FTEs funded through the grant?	No
27	If yes, give the number by type for each year	Permanent Time-Limited
28	Amount of grants funds applied for in each year	
29	Amount of grants funds awarded in each year	\$57,460.00

30 Purpose of grant or amendment

Funds for this project have been awarded with the main objective being to improve the understanding of socioeconomic aspects of commercial fisheries to increase information on current and future consequences of management choices for use by decision makers and stakeholders. The research will include surveys of commercial fishermen and shellfish growers utilizing state and federal waters in NC to collect economic and social data. There will be three mail surveys done over the two year period of the grant. The data will be entered into a software program that will analyze the statistical information. This information will be combined with prior socioeconomic studies in the commercial fisheries. The socioeconomic study of the shellfish growers will be the first comprehensive survey of shellfish growers to be conducted by the NC Division of Marine Fisheries. The primary benefit of this study will be to provide the economic and social information to policy makers such as fishery management councils, NC Marine Fisheries Commission, the NC General Assembly, and the general public. This information is incorporated into all state fishery management plans.

31 Comments

Award of these funds have been approved by the DMF Division Director. Proper agency sign-offs have been obtained with the internal 101 signature form. This grant is not in the continuation budget as it required a new federal revenue fund code because it is different legislation from other DMF Department of Commerce/NOAA grants. Federal revenues will be deposited to fund 31CJ revenue account 5388CJ. Funds will be expended from budget code 14300 fund 1320 RCC 13203343. The authorized amount of this project is the total amount of the award and will be expended in two fiscal years. There is no match on this grant.

Return completed form as email attachment and indicate in message that proper agency sign-offs have been obtained. Contact your OSBM budget analyst if you have questions.

<p>30 Purpose of grant or amendment</p>	<p>Funds for this project have been awarded with the main objective to identify and field test technological solutions to show that significant finfish bycatch reduction can occur over existing levels with acceptable shrimp loss and to develop outreach materials that communicate study results to the commercial fishing industry. The approach to meeting this objective will be to first test different bycatch reduction (BRD) devices on otter trawl type shrimp trawls, which is the most common type of trawl used in the shrimp industry. This will be done testing a few different types of BRDs by an industry work group. After initial testing the gears will be tested on commercial shrimp vessels with DMF employees observing and collecting data. The employees will be trained in DMF protocols collecting the data which meet the requirements of federally funded observer projects and largely coincide with NOAA fisheries guidelines.</p>
<p>31 Comments</p>	<p>Award of these funds have been approved by the DMF Division Director. Proper agency sign-offs have been obtained with the internal 101 signature form. This grant is not in the continuation budget as it required a new federal revenue fund code because it is different legislation from other DMF Department of Commerce/NOAA grants. A request has been submitted for a new federal revenue fund to deposit revenues. Funds will be expended from budget code 14300 fund 1320, the RCC for this grant has not been established. The authorized amount of this project is the total amount of the award and will be expended in two fiscal years. There is no match on this grant.</p>

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