



NORTH CAROLINA AUCTIONEER LICENSING BOARD

CHAIRMAN:
DANIEL H. DEVANE
GARNER, NC

VICE CHAIR
MELINDA Q. PORTER
PLYMOUTH, NC

108 Ber Creek Drive
Fuquay-Varina, North Carolina 27526
(919) 567-2844 Fax (919) 567-2865
www.ncalb.org

Charles F. Diehl, EXECUTIVE DIRECTOR

BOARD MEMBERS:

JOHN M. HARRIS
WINSTON-SALEM, NC

BUCK LATTIMORE
RALEIGH, NC

T. KYLE SWICEGOOD
MOCKSVILLE, NC

October 17, 2024

ANNUAL REPORT

Fiscal Year 2023-2024

The following is submitted in compliance with Chapter 93B-2 of the General Statutes for the period ending June 30, 2024.

1. The address of the Board and the names of its members and officers are as listed:

N. C. Auctioneer Licensing Board
108 Ber Creek Drive
Fuquay-Varina, North Carolina 27526

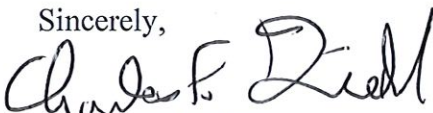
Chairman:	Daniel DeVane
Vice Chairman:	Willie A. Johnson
Board Members:	Melinda Q. Porter
	Buck Lattimore
	T. Kyle Swicegood

- 1a. The total number of licensees supervised by the Board is 2,271. 1,766 are Auctioneers; 13 are Apprentice Auctioneers; and 492 are Auction Firms.
2. 67 persons applied to the Board for the auctioneer examination during the 2023-2024 fiscal year. 27 persons applied to the Board for the auction firm examination during the 2023-2024 fiscal year.
3. 0 persons were refused the written examination. 3 persons withdrew their auctioneer applications. 3 entities withdrew their auction firm applications.
4. 66 persons took the auctioneer examination during the 2023-2024 fiscal year. 26 persons took the auction firm examination during the 2023-2024 fiscal year.
5. The number to whom initial licenses were issued was 132. 49 auctioneers were issued initial licenses through examination; 22 auctioneers were issued initial licenses through reciprocity; 8 apprentice auctioneers were issued initial licenses; and 53 auction firms were issued initial licenses.

- 5a. 9 persons failed the auctioneer examination once; 3 persons failed the auctioneer examination twice; 11 persons failed the auction firm examination once; and 1 person failed the auction firm examination twice.
- 6. 25 persons applied for a license by reciprocity or comity.
- 7. 22 persons were granted a license by reciprocity or comity.
- 7a. 10 official complaints were received involving licensed and unlicensed activities.
- 7b. 16 disciplinary actions were taken against licensees; 1 non-disciplinary action (letter of caution) was taken against a licensee; and 0 non-disciplinary actions were taken against non-licensees.
- 8. 0 licenses were revoked; 5 licenses were suspended; 7 civil penalties were issued to licensees; and 4 licensees were reprimanded.
- 9. 9 licenses were terminated due to death, and 2 licenses were permanently retired.
- 9a. 140 persons applied for licensure. Of that number, 132 were granted a license.
- 9b. There were 22 applicants with a conviction record. Of that number, 20 were granted a license. Overall, a total of 2 applicants were denied a license for any reason. These applicants were denied licenses because of one or more criminal convictions per the provisions of G.S. 93B.
- 9c. There were 0 applications for licensure from military trained applicants. 0 licenses were granted to military trained applicants, and 0 military trained applicants were denied licenses. There were 0 applications for licensure from known active duty military applicants. 0 licenses were granted to known active duty military applicants, and 0 known active duty military applicants were denied licenses. There were 2 applications for initial licensure from known military veteran applicants. 2 licenses were granted to known military veteran applicants. There were 30 license renewal applications from known active duty military applicants. There were 188 license renewal applications from known military veterans, all of which were approved.
- 9d. There were 0 applications for licensure from military spouse applicants. 0 licenses were granted to military spouse applicants, and 0 military spouse applicants were denied licenses.
- 10. At this time, there are no anticipated requests by the North Carolina Auctioneer Licensing Board to the General Assembly to amend statutes related to Auctioneer Law.
- 11. As of the effective date of this FYE June 30, 2024 Annual Report there were no significant changes in the preceding 12 months to the rules adopted by the North Carolina Auctioneer Licensing Board.

Attached is a financial report that includes the source and amount of all funds credited to the North Carolina Auctioneer Licensing Board and the purpose and amount of all funds disbursed by the North Carolina Auctioneer Licensing Board during the previous 12-month period.

Sincerely,



Charles F. Diehl
Executive Director



North Carolina Financial System
Office of State Controller
NC Budget to Actual (701) Certified Report (RPT-BE-006)
10/15/2024 08:16:34 AM

Page: 3

Parameters	Agency	Budget Code	Period	Report Mode
All	All	All	13 Jun-24	All Sections

B500-AUCTIONEER LICENSING BOARD
28106-AUCTIONEER LICENSING BOARD

*** Summary by Account ***												
Account	Funding Source	Inter Fund	Description	Budgeted		Actual		Unexpended/Unrealized		Encumbrances		Rate
				Certified	Authorized	Current-Month	Year-To-Date	Certified	Authorized	Obligations	Unpaid Invoices + Inventory Receipts	
Expenditures-Budget and Actual												
51110000	2000		EPA-REG SALARIES-RECEIPTS	171,125.00	169,557.00	(168,174.00)	0.00	171,125.00	169,557.00	0.00	0.00	0.00
51310000	2000		REG(N S) TEMP WAGES-RECEIPTS	28,643.00	15,467.00	0.00	0.00	28,643.00	15,467.00	0.00	0.00	0.00
51460000	2000		EPA&SPA-LONGVTY PAY-RECEIPTS	1,436.00	3,004.00	(3,004.00)	0.00	1,436.00	3,004.00	0.00	0.00	0.00
51470000	2000		BONUS-INCENTIVE PAY-RECEIPTS	2,062.00	2,062.00	0.00	0.00	2,062.00	2,062.00	0.00	0.00	0.00
51510000	2000		SOCIAL SEC CONTRIB-RECEIPTS	15,550.00	15,550.00	(12,338.76)	0.00	15,550.00	15,550.00	0.00	0.00	0.00
51520000	2000		REG RETIRE CONTRIB-RECEIPTS	43,176.00	43,176.00	(42,828.75)	0.00	43,176.00	43,176.00	0.00	0.00	0.00
51530000	2000		I.E.O RETIRE CONTRIB-RECEIPTS	4,330.00	4,683.00	(4,682.90)	0.00	4,330.00	4,683.00	0.00	0.00	0.00
51560000	2000		MED INS CONTRIB-RECEIPTS	15,114.00	15,114.00	(15,114.00)	0.00	15,114.00	15,114.00	0.00	0.00	0.00
51576000	0000		FLEXIBLE SPENDING SVG ACCT-UNDESIGNATED	50.00	0.00	0.00	0.00	50.00	0.00	0.00	0.00	0.00
51576000	2000		FLEXIBLE SPENDING SVG ACCT-RECEIPTS	0.00	50.00	(18.90)	0.00	0.00	50.00	0.00	0.00	0.00
51651000			COMPENSATION TO BOARD MEM	8,600.00	11,623.00	(11,622.90)	0.00	8,600.00	11,623.00	0.00	0.00	0.00
51099999			PERSONAL SERVICES	290,286.00	280,286.00	(257,784.29)	0.00	290,286.00	280,286.00	0.00	0.00	0.00
52109999			PURCHASED CONTRACTUAL SERVICES	79,920.00	93,037.00	(93,036.34)	0.00	79,920.00	93,037.00	0.00	0.00	0.00
52209999			UTILITY/ENERGY SERVICES	2,750.00	5,296.00	(5,194.85)	0.00	2,750.00	5,296.00	0.00	0.00	0.00
52409999			MAINTENANCE AGREEMENTS	100.00	690.00	(689.85)	0.00	100.00	690.00	0.00	0.00	0.00
52509999			RENTALS/LEASES	3,000.00	3,405.00	(3,404.47)	0.00	3,000.00	3,405.00	0.00	0.00	0.00
52709999			TRAVEL & OTHER EMPLOYEE EXPENSE	10,146.00	9,049.00	(8,959.28)	0.00	10,146.00	9,049.00	0.00	0.00	0.00
52809999			COMMUNICATION AND IT SERVICES	6,173.00	13,921.00	(13,699.56)	0.00	6,173.00	13,921.00	0.00	0.00	0.00
52909999			OTHER SERVICES	5,134.00	5,002.00	(5,001.38)	0.00	5,134.00	5,002.00	0.00	0.00	0.00
52099999			PURCHASED SERVICES	107,223.00	130,400.00	(129,985.73)	0.00	107,223.00	130,400.00	0.00	0.00	0.00
53099999			SUPPLIES	2,200.00	2,559.00	(2,558.87)	0.00	2,200.00	2,559.00	0.00	0.00	0.00
54099999			PROPERTY, PLANT & EQUIPMENT	1,000.00	860.00	(822.73)	0.00	1,000.00	860.00	0.00	0.00	0.00
55099999			OTHER EXPENSES AND ADJUSTMENTS	4,600.00	1,988.00	(1,986.85)	0.00	4,600.00	1,988.00	0.00	0.00	0.00
58030000		201185	FINE/PENALTY/FORFEIT-TRAN-GOV 2301	6,300.00	6,300.00	(6,300.00)	0.00	6,300.00	6,300.00	0.00	0.00	0.00
58099999			CIVIL PENALTY & FORFEIT BC 23005	6,300.00	6,300.00	(6,300.00)	0.00	6,300.00	6,300.00	0.00	0.00	0.00
			INTRAGOVERNMENTAL TRANSACTIONS									
			Expenditures	411,609.00	422,393.00	(399,438.47)	0.00	411,609.00	422,393.00	0.00	0.00	0.00
			Total Cash Expenditures	411,609.00	422,393.00	(399,438.47)	0.00	411,609.00	422,393.00	0.00	0.00	0.00



North Carolina Financial System
Office of State Controller
NC Budget to Actual (701) Certified Report (RPT-BE-006)
10/15/2024 08:16:34 AM

Page: 4

Parameters	Agency	Budget Code	Period	Report Mode
All	All	All	13 Jun-24	All Sections

B500-AUCTIONEER LICENSING BOARD
28106-AUCTIONEER LICENSING BOARD

*** Summary by Account ***												
Account	Funding Source	Inter Fund	Description	Budgeted		Actual		Unexpended/Unrealized		Encumbrances		Rate
				Certified	Authorized	Current-Month	Year-To-Date	Certified	Authorized	Obligations	Unpaid Invoices + Inventory Receipts	
Revenues-Estimated and Actual												
43121000			STIF INT INC-NONOP-PROGRAM	6,400.00	6,400.00	(17,358.45)	0.00	6,400.00	6,400.00	0.00	0.00	0.00
45100060			INDIVIDUAL LICENSE	298,868.00	298,868.00	(224,475.00)	0.00	298,868.00	298,868.00	0.00	0.00	0.00
45100062			BUS/SHOP/SALON PERMIT	61,191.00	61,191.00	(65,000.00)	0.00	61,191.00	61,191.00	0.00	0.00	0.00
45300015			EXAMINATION FEES	4,000.00	4,000.00	(4,400.00)	0.00	4,000.00	4,000.00	0.00	0.00	0.00
45300019			APPRENTICE CERTIFICATES	1,450.00	1,450.00	(1,400.00)	0.00	1,450.00	1,450.00	0.00	0.00	0.00
45500000			FINES PENAL ASSESS FEE	5,500.00	5,500.00	(5,500.00)	0.00	5,500.00	5,500.00	0.00	0.00	0.00
45900000			OTHER LIC FEES/PERMITS	18,000.00	18,000.00	(6,650.00)	0.00	18,000.00	18,000.00	0.00	0.00	0.00
45900021			APPLICATION FEE	5,300.00	5,300.00	(7,261.00)	0.00	5,300.00	5,300.00	0.00	0.00	0.00
47990000			OTHER MISC REV-PROGRAM	100.00	100.00	(25.00)	0.00	100.00	100.00	0.00	0.00	0.00
48100003		068106	AGENCY TRANSFER IN-AGENCY INTERNAL-BRDAUCTNR 68106 CLEARING	10,000.00	0.00	0.00	0.00	10,000.00	0.00	0.00	0.00	0.00
			Revenues	410,809.00	400,809.00	(333,669.45)	0.00	410,809.00	400,809.00	0.00	0.00	0.00
			Total Cash Revenues	410,809.00	400,809.00	(333,669.45)	0.00	410,809.00	400,809.00	0.00	0.00	0.00
			Increase/(Decrease) in Fund Balance	(800.00)	(21,584.00)	65,769.02	0.00	(800.00)	(21,584.00)	0.00	0.00	0.00
Cash Analysis												
			Beginning Balance				523,168.57					
			+ Allotments				0.00					
			+ Cash Receipts				0.00					
			- Cash Disbursements				0.00					
			+ Assets				0.00					
			+ Liability				0.00					
			+ Equity				(65,769.02)					
			= Ending Balance				457,399.55					
Additional Assets												
			Total Availability				457,399.55					