



**STATE OF NORTH CAROLINA
OFFICE OF STATE BUDGET AND MANAGEMENT**


PAT MCCRORY
GOVERNOR

ANDREW T. HEATH
STATE BUDGET DIRECTOR

September 23, 2016

MEMORANDUM

TO: Senator Phil Berger, President Pro-Tempore of the Senate
Representative Tim Moore, Speaker of the House of Representatives

FROM: Andrew T. Heath
State Budget Director 

SUBJECT: Grant Awards

Pursuant to Section 5.1 of Session Law 2015-241, the Office of State Budget and Management is to report to the Joint Legislative Commission on Governmental Operations prior to expending funds received from grant awards. Funding is anticipated to be received and expended for the grant(s) included in the attached Notification of Application for Grant Funds/Awards.

If you have any questions or concerns, please contact me by telephone 919-807-4717 or email to andrew.heath@osbm.nc.gov.

Thank you.

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Notification of Application for Grant Funds/Awards, 2016-17

Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8005, 919-807-4700.
Instructions at https://ncopen.s3.amazonaws.com/315-public/documents/files/grants_inst.pdf

| | |
|---|--|
| 1 Department | Department of Insurance |
| 2 Division (except in DHHS) | Consumer Assistance Group |
| 3 DHHS only, choose division from drop down list | |
| 4 Contact person (name) | Kris Hudson |
| 5 Phone number | 919-807-6040 |
| 6 E-mail | kris.hudson@ncdol.gov |
| 7 Funding Entity (grantor) | North Carolina Association of Insurance Agents (NCAIA) |
| 8 CFDA number | NCAIA Speakers Bureau |
| 9 Grant title | |
| 10 Grant application deadline (MM/DD/YY) | 04/01/16 |
| 11 Start date of grant (MM/DD/YY) | 08/01/16 |
| 12 End date of grant (MM/DD/YY) | 04/01/17 |
| 13 Application type | New |
| 14 Is this grant already in agency's continuation budget? | No |
| 15 Budget code the grant will be expended in (XXXXX) | 23903 |
| 16 Fund code (XXXX or NA) | No |
| 17 Is there a state matching requirement? | No |
| 18 If yes, what is the matching requirement? | |
| 19 If yes, what is the source of state funds being used to match grant funds? | |
| 20 Is there a maintenance of effort (MOE) requirement? | No |
| 21 If yes, what is the MOE? | |
| 22 Is an additional General Fund appropriation required to meet the state match requirement? | No |
| 23 Will any of these funds be passed through to local governments or non-state entities? | No |
| 24 If yes, identify affected entities by type | No |
| 25 Will additional state monies be required to continue the program if grant expires or is reduced? | No |
| 26 If yes, is this a requirement of the grant? | No |
| 27 Are new FTEs funded through the grant? | No |

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|--|---------------------------|-----------------------|---------------------------|-------------------------|---------------------------------------|-------------------------|-------------------------|-------------------------|
| 27 If yes, give the number by type for each year | Permanent Time-Limited | For 2016-17 | | | | SFY 2017-18 Proposed | SFY 2018-19 Proposed | SFY 2019-20 Proposed |
| | | SFY 2015-16 Actual | SFY 2016-17 Authorized | SFY 2016-17 Proposed | SFY 2016-17 Authorized or Proposed | | | |
| 28 Amount of grants funds applied for in each year | | | \$10,000.00 | | | | | |
| 29 Amount of grants funds awarded in each year | | | \$10,000.00 | | | | | |

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| <p>30 Purpose of grant or amendment</p> | <p>The grant from the North Carolina Association of Insurance Agents (NCAIA) was awarded to the North Carolina Department of Insurance Consumer Assistance Group (CAG) Speakers Bureau. The Speakers Bureau was created to increase public awareness of the agency and its services as well as highlight new initiatives. The grant will be used to assist the Speakers Bureau with expenses related to community outreach throughout the state in regards to insurance topics. The goal of this effort is to reach and help more insurance consumers each year.</p> |
| <p>31 Comments</p> | <p>The Grantor requires expenditure reports to ensure funds are utilized in accordance with the approved award.</p> |

Return completed form as email attachment and indicate in message that proper agency sign-offs have been obtained. Contact your OSBM budget analyst if you have questions.



Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8005, 919-837-4700
Instructions at https://ncostm.s3.amazonaws.com/sfs-public/documents/files/grants_instr.pdf

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| 1 | Department | Department of Insurance |
| 2 | Division (except in DHHS) | Office of State Fire Marshal |
| 3 | DHHS only, choose division from drop down list | |
| 4 | Contact person (name) | Kris Hudson |
| 5 | Phone number | 919-807-6040 |
| 6 | E-mail | kris.hudson@ncdoi.gov |
| 7 | Funding Entity (grantor) | North Carolina Association of Insurance Agents (NCAIA) |
| 8 | CFDA number | |
| 9 | Grant title | NCAIA Thermal Imaging Modernization |
| 10 | Grant application deadline (MMDDYY) | 04/01/16 |
| 11 | Start date of grant (MMDDYY) | 09/01/16 |
| 12 | End date of grant (MMDDYY) | 04/01/17 |
| 13 | Application type | New |
| 14 | Is this grant already in agency's continuation budget? | No |
| 15 | Budget code the grant will be expended in (XXXXX) | 23903 |
| 16 | Fund code (XXXX or NA) | No |
| 17 | Is there a state matching requirement? | |
| 18 | If yes, what is the matching requirement? | |
| 19 | If yes, what is the source of state funds being used to match grant funds? | |
| 20 | Is there a maintenance of effort (MOE) requirement? | No |
| 21 | If yes, what is the MOE? | |
| 22 | Is an additional General Fund appropriation required to meet the state match requirement? | No |
| 23 | Will any of these funds be passed through to local governments or non-state entities? | No |
| 24 | If yes, identify affected entities by type | |
| 25 | Will additional state monies be required to continue the program if grant expires or is reduced? | No |
| 26 | If yes, is this a requirement of the grant? | |
| 27 | Are new FTEs funded through the grant? | No |
| 28 | Amount of grants funds awarded for in each year | |
| 29 | Amount of grants funds awarded in each year | |

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| <p>30 Purpose of grant or amendment</p> | <p>The grant from the North Carolina Association of Insurance Agents (NCAIA) awarded to the North Carolina Department of Insurance, Office of State Fire Marshal (OSFM) for Thermal Imaging Modernization will be used by the Fire and Rescue Division. The grant will be used to purchase thermal imaging cameras. The cameras will be used for live fire training, thermal imaging training, land search and other related training</p> |
| <p>31 Comments</p> | <p>The Grantor requires expenditure reports to ensure funds are utilized in accordance with the approved award</p> |

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|---|--|
| 1 Department | Department of Insurance |
| 2 Division (except in DHHS) | Office of State Fire Marshal |
| 3 DHHS only, choose division from drop down list | |
| 4 Contact person (name) | Kris Hudson |
| 5 Phone number | 919-807-6040 |
| 6 E-mail | kris.hudson@ncdoi.gov |
| 7 Funding Entity (grantor) | NC Association of Insurance Agents (NCAIA) |
| 8 CFDA number | NCAIA On-Line Education |
| 9 Grant title | |
| 10 Grant application deadline (MM/DD/YY) | 04/01/16 |
| 11 Start date of grant (MM/DD/YY) | 08/01/16 |
| 12 End date of grant (MM/DD/YY) | 04/01/17 |
| 13 Application type | New |
| 14 Is this grant already in agency's continuation budget? | No |
| 15 Budget code the grant will be expended in (XXXX) | 23903 |
| 16 Fund code (XXXX or NA) | |
| 17 Is there a state matching requirement? | No |
| 18 If yes, what is the matching requirement? | |
| 19 If yes, what is the source of state funds being used to match grant funds. | |
| 20 Is there a maintenance of effort (MOE) requirement? | No |
| 21 If yes, what is the MOE? | |
| 22 Is an additional General Fund appropriation required to meet the state match requirement? | No |
| 23 Will any of these funds be passed through to local governments or non-state entities? | No |
| 24 If yes, identify affected entities by type | |
| 25 Will additional state monies be required to continue the program if grant expires or is reduced? | No |
| 26 If yes, is this a requirement of the grant? | |
| 27 Are new FTEs funded through the grant? | No |

| | For 2016-17 | | | | |
|--|--------------|-------------|-------------|-------------|--|
| | SFY 2015-16 | SFY 2016-17 | SFY 2016-17 | SFY 2017-18 | |
| | Actual | Authorized | Proposed | Proposed | |
| 27 If yes, give the number by type for each year | Permanent | | | | |
| | Time-Limited | | | | |
| 28 Amount of grants funds applied for in each year | | \$12,160.00 | | | |
| 29 Amount of grants funds awarded in each year | | \$12,160.00 | | | |

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| 30 Purpose of grant or amendment | The North Carolina Department of Insurance, Office of State Fire Marshal applied for and received a private grant from the North Carolina Association of Insurance Agents (NCAIA). This private grant will be used to develop on-line training in various disciplines. These disciplines are related to OSFM coursework in the areas of Engineering and Fire/Rescue. The OSFM currently provides these classes but attendees have to travel to attend. Offering these classes on-line will reduce time away from work and travel expenses for the individuals taking these classes. The on-line training will be made available to the public and professionals serviced by groups within the Office of State Fire Marshal. |
| 31 Comments | Reporting is required by the Grantor. This reporting includes expenditure data. Also, the Grantor provides the funds in advance. Funds must be used for the approved purpose in accordance with the grant agreement. |
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Instructions at https://osbm.s3.amazonaws.com/OSBM-public/documents/files/grants_instl.pdf

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|---|--|
| 1 Department | Department of Insurance |
| 2 Division (except in DHS) DHS only, choose division from drop down list. | Producers, Fraud and Products Group |
| 3 Contact person (name) | Kris Hudson |
| 4 Phone number | 919-807-6040 |
| 5 E-mail | kris.hudson@ncdoi.gov |
| 6 Funding Entity (grantor) | North Carolina Association of Insurance Agents (NCAIA) |
| 7 CFDA number | NCAIA Leads On-Line |
| 8 Grant title | |
| 9 Grant application deadline (MM/DD/YY) | 04/01/16 |
| 10 Start date of grant (MM/DD/YY) | 08/01/16 |
| 11 End date of grant (MM/DD/YY) | 04/01/17 |
| 12 Application type | New |
| 13 Is this grant already in agency's continuation budget? | No |
| 14 Budget code the grant will be expended in (XXXXX) | 23903 |
| 15 Fund code (XXXX or NA) | No |
| 16 Is there a state matching requirement? | No |
| 17 If yes, what is the matching requirement? | |
| 18 If yes, what is the source of state funds being used to match grant funds. | |
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| 21 Is an additional General Fund appropriation required to meet the state match requirement? | No |
| 22 Will any of these funds be passed through to local governments or non-state entities? | No |
| 23 If yes, identify affected entities by type | No |
| 24 Will additional state monies be required to continue the program if grant expires or is reduced? | No |
| 25 If yes, is this a requirement of the grant? | No |
| 26 Are new FTEs funded through the grant? | No |

| | | | | | | | |
|---|-------------|---|-------------|-------------|-------------|-------------|-------------|
| 27 If yes, give the number by type for each year: Permanent Time-Limited | SFY 2015-16 | Complete either Authorized or Proposed For 2016-17 | SFY 2016-17 | SFY 2016-17 | SFY 2017-18 | SFY 2018-19 | SFY 2019-20 |
| | Actual | | Authorized | Proposed | Proposed | Proposed | Proposed |
| 28 Amount of grants funds applied for in each year | | | \$3,983.00 | | | | |
| 29 Amount of grants funds awarded in each year | | | \$3,983.00 | | | | |

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| 30 Purpose of grant or amendment | This grant will be used by the Producers, Fraud and Products Group of the North Carolina Department of Insurance. The Criminal Investigations Section of the Producers, Fraud and Products Group utilizes the Leads On-Line Database. This database contains information which assist the Department in property insurance fraud cases. The database is currently being utilized by the Department. The grant will pay for annual service |
| 31 Comments | <p>The NC DOI Producers, Fraud and Products Group receives 100+ fraud complaints each week. Approximately 95% of these calls are property related. This database, Leads On-Line, is used to determine if a suspect with inflated contents or property insurance has sold any of the property they claim stolen/damaged/lost to pawn shops in the US. The database will also be used to determine prior addresses and phone numbers used when items were pawned. The Grantor provides funding in advance and requires an expenditure report on the use of the funds. The fund is needed to adequately track cash, expenditures and monitor the status of the grant.</p> |

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